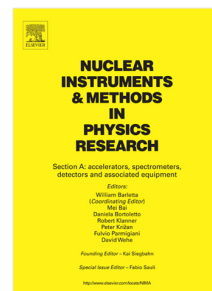


Journal Pre-proof

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Fragment charge identification technique with a plastic scintillator detector using clinical carbon beams

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Abstract

Nuclear physics processes are an important source of uncertainty in dose calculations in particle therapy and radioprotection in space. Accurate cross section measurements are a crucial ingredient in improving the understanding of these processes. The FOOT (FragmentatiOn Of Target) experiment aims at measuring the production cross sections of fragments for energies, beams and targets that are relevant in particle therapy and radioprotection in space. An experimental apparatus composed of several sub-detectors will provide the mass, charge, velocity and energy of fragments produced in nuclear interactions in a thin target. A crucial component of the FOOT apparatus will be the ΔE -TOF detector, designed to identify the charge of the fragments using plastic scintillators to measure the energy deposited and the time of flight with respect to a start counter. In this work, we present a charge reconstruction procedure of produced fragments at particle therapy energies. We validate it by measuring the charges of various fragments at an angle of 3.2° and 8.3° with respect the beam-axis, using a small-scale detector and clinical beams of carbon ions at the CNAO oncology center. Experimental results agree well FLUKA Monte Carlo

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simulations.

Keywords: charge identification, nuclear fragmentation, particle therapy, time-of-flight

1 1. Introduction

2 In particle therapy (PT) beams of energetic protons or charged ions are used
3 for cancer treatment. Thanks to the dependence of the energy loss on the ve-
4 locity of charged particles (Bragg peak), very steep dose profiles can be realized
5 with ion beams, so that the surrounding healthy tissue can largely be spared.
6 At the same time, particle therapy is subject to uncertainties from positioning
7 errors, interplay effects, organ motion, and physics and biological modelling in
8 dose calculations [1]. Especially the value of radiobiological effectiveness (RBE)
9 to correct the physical dose is still under debate (see for instance [2, 3, 4, 5, 6, 7]),
10 demonstrating a complex RBE dependency on dose, cell or tissue type, linear
11 energy transfer and biological endpoints. This, in turn, can translate into an
12 uncertainty in the biological effective dose delivered to the patient.

13 Nuclear interactions play an important role in RBE variability. In fact, the
14 production of low energy and thus densely ionising fragments can affect the
15 biological effectiveness of the primary beam [6, 8]. In radiobiological-oriented
16 treatment planning systems, these contributions are considered, but with sig-
17 nificant uncertainties because they cannot be calculated reliably. A crucial
18 ingredient in the correct modeling of nuclear interaction processes is accurate
19 cross section measurements of particle beams with the human body at thera-
20 peutic energies [9, 10]. However, only a limited set of cross section data are
21 available [11, 12]. The goal of the FOOT (FragmentatiOn Of Target) exper-
22 iment [13] is to provide a new set of cross section measurements for a series
23 of targets and ion beams that are relevant in particle therapy. FOOT aims
24 also at performing charge and mass identification (ID) of the fragments with an
25 accuracy of 3% and 5%, respectively, and at measuring the fragments' energy
26 spectra with an energy resolution of 2 MeV [14]

27 Target fragmentation measurements are particularly difficult due to the short
28 range (tens of μm) of the recoil nuclei, which have low probability to escape,
29 even a thin target ($\sim\text{mm}$), and thus to be detected. To overcome this problem,
30 the FOOT experiment adopts an inverse kinematic approach: ion beams from
31 nuclides abundant in the human body (namely ^{12}C and ^{16}O) are shot onto a
32 hydrogen target to obtain a boost in energy and longer range. In practice, since
33 a pure hydrogen target would be technically difficult to create, measurements
34 are performed with both an hydrogen-enriched target (such as CH_2) and a pure
35 carbon target (graphite), and the proton cross-section is obtained by difference,
36 as done for example in [11].

37 A scheme and a detailed description of the FOOT apparatus can be found
38 in [13]. Two configurations are foreseen: an emulsion spectrometer, optimized
39 for fragments with $Z \leq 3$ [15], and an electronic setup for higher Z -values
40 ($Z \geq 3$). In the electronic setup, the mass ID is performed by combining the
41 measurements of the momentum, kinetic energy and time of flight (TOF) of the
42 fragment. The charge ID relies instead on the measurements of the energy loss
43 ΔE and of the TOF .

44 The ΔE -TOF detector is made of two layers of plastic scintillator bars, 3 mm
45 thick, 40 cm long and 2 cm wide. Each bar is optically coupled at both ends
46 to four silicon photomultipliers (SiPM). The bars share a common electronic
47 system for bias, trigger and readout. The two layers are composed of 20 bars
48 each and rotated 90° with respect to each other to provide the coordinates of
49 the crossing fragments. The ΔE -TOF detector is placed at 2 m from the target
50 and the subtended solid angle is ~ 0.04 Sr [16].

51 During the preparatory phase of the FOOT experiment, the performance
52 of a small-scale ΔE -TOF prototype composed of two bars was assessed as re-
53 ported in [17]. In the present work, carbon ions impinged onto a 4 mm thick
54 polyvinyl-toluene target (PVT) and the ΔE -TOF prototype was used to de-
55 tect the fragmentation products. The experimental setup was modeled with
56 a FLUKA Monte Carlo simulation, and the experimental data were compared
57 versus the simulation prediction.

58 Among the existing works applying charge identification techniques with
59 plastic scintillators, some focus on different energy regimes (see for instance
60 [18, 19]), involving different problematics. In the therapeutic energy range, var-
61 ious fragmentation measurements were reported in the context of non-invasive
62 treatment monitoring [20, 21, 22], however these focused on large opening angles
63 with respect to the beam-axis and allowed to detect only fragments with $Z = 1$.
64 In other studies, various measurements for nuclear fragments are presented for
65 different angles at a few particle energy values [11, 12, 23, 24, 25], and most of
66 these are based on large-scale high-cost experiments.

67 The goal of the present work is twofold. First, we propose and validate a
68 charge identification procedure for nuclear fragments with the small-scale and
69 low-cost ΔE -TOF detector developed for the FOOT experiment. Second, we
70 show how to apply it by performing charge measurements of fragments produced
71 in a plastic thin target at small angles from the beam-axis. All measurements
72 were performed with carbon ions at the Centro Nazionale Adroterapia Onco-
73 logica (CNAO) in Pavia, Italy.

74 2. Materials and methods

75 2.1. Experimental setup

76 A small scale ΔE -TOF detector prototype [17] was realized with two scintil-
77 lator modules placed at a nominal distance $d = 40$ cm. Each module consisted of
78 a plastic scintillator bar (EJ200, Eljen Technology) of $40 \times 2 \times 0.3$ cm³, wrapped
79 with reflective aluminum and darkening black tape. Each end of each bar was
80 polished and optically coupled to four SiPMs. Hamamatsu Multi-Pixel Photon
81 Counters with 25 μ m cell pitch and 3 mm size were used, corresponding to the
82 scintillator thickness. At each end of each bar, the two series of two SiPMs were
83 connected in parallel. The output signal of each side of each bar was input to
84 a waveform digitiser board, WaveDREAM, hosted in the WaveDAQ integrated
85 trigger and data acquisition system [26, 27]. Each module end was read out
86 by one channel of the WaveDREAM board, that provided also the bias volt-

87 age for the SiPMs. The characterisation of the modules has been described
 88 elsewhere [17].

89 The prototype was tested at CNAO in 2018, in two experimental setups,
 90 sketched in Fig. 1. The run parameters are summarized in Table 1. In order
 91 to cope with electronics dead time, the beam intensity in this setup had to
 92 be much lower (order 10^3 Hz) than that in clinical conditions (order 10^8 Hz).
 93 For this reason, the accelerator had to be operated in research mode, rather
 94 than in clinical mode, implying that the monitoring chambers could not provide
 95 information about the number of particles delivered, making it impossible to
 96 count the number of initial particles delivered. Only the number of acquired
 97 events were counted. In the first setup (Fig. 1a), the modules were irradiated
 98 with carbon ions of various kinetic energies per nucleon in the therapeutic range:
 99 115, 190, 260, 300 and 400 MeV/u. Particles were impinging onto the center of
 100 the modules.

101 In the second experimental setup (Fig. 1b), carbon ions were shot on a 4
 102 mm thick target of PVT, and the bars were displaced from the beamline at
 103 a specific angle θ , so that fragments from the target could be measured. The
 104 beam intensity was of the order of 10^5 Hz. The target was placed at the rotation
 105 point, and we have performed measurements in which the center of the bars was
 106 at $\theta = 3.2^\circ$ and at $\theta = 8.3^\circ$. The corresponding energy thresholds were 4 MeV

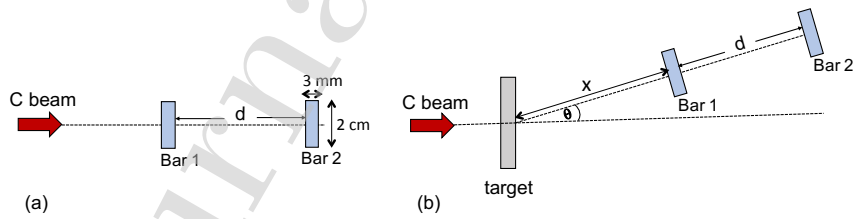


Figure 1: Schematic view (not to scale) of the experimental setups used in this work. (a) Layout for calibration measurements, where the beam was impinging directly onto the bars. (b) Layout for charge identification measurements, where the beam was impinging onto a target and the modules were rotated to $\theta = 3.2^\circ$ and $\theta = 8.3^\circ$. In both cases the nominal distance between the modules was $d = 40$ cm.

Table 1: Summary of run parameters.

Setup	θ [$^\circ$]	Beam energy [MeV/u]	Target	Data statistics	MC statistics	Energy threshold	d [cm]	x [cm]
Fig. 1a	0	115, 190, 260, 300, 400	No	$2 \cdot 10^4$	10^7	2 MeV	40	40
Fig. 1b	8.3	330	4mm EJ200	$2 \cdot 10^4$	10^8	2 MeV	40	40
Fig. 1b	3.2	280	4mm EJ200	$1 \cdot 10^4$	10^8	4 MeV	40	25

107 and 2 MeV, respectively. Angles and thresholds were set according to Monte
 108 Carlo simulations of the FOOT apparatus performed during the design phase of
 109 the experiment [13] and dictated by experimental constraints. Fragments with
 110 $Z \geq 3$ are forward emitted within an angle of 5° and with kinetic energy per
 111 nucleon around that of the primary beam, while the lighter fragments have a
 112 wider angular and kinetic energy distribution. Therefore, while at $\theta = 8.3^\circ$ we
 113 expect to observe fragments with $Z < 3$, at $\theta = 3.2^\circ$ we foresee to detect heavier
 114 fragments. It must be noted that, being 2 MeV the lowest threshold that can be
 115 set above the electronic noise, it resulted in an inefficiency to detect energetic
 116 fragments with $Z = 1$ that will be discussed in the next section. The distance
 117 between the target and the first bar was $x = 40$ and $x = 25$ cm at $\theta = 8.3^\circ$ and
 118 $\theta = 3.2^\circ$, respectively.

119 In all cases, events were recorded when both modules were triggered. De-
 120 pending on the beam rate, each measurement was 10-20 minutes long. For all
 121 recorded events, an offline data selection was performed, where the difference
 122 in energy deposit in both bars was required to be below 5 MeV, to assure that
 123 the same fragment was identified. For all events passing this selection, from
 124 the collected charge we evaluated the energy deposit ΔE in each bar (see Sec-
 125 tion 2.3), the *TOF* between the two bars (see Section 2.4) and the charge Z of
 126 the fragments (Section 2.5).

127 2.2. Monte Carlo simulation

128 We used the FLUKA Monte Carlo (MC) code [28] to simulate the experi-
 129 mental setups of Fig. 1a and 1b with the run parameters of Table 1. It should
 130 be emphasized that, at present, no detector response was included in the simula-

131 tion, so the results presented below for MC include only the physics of ion-target
132 interactions.

133 For the first setup (Fig. 1a), monochromatic carbon ions were shot directly
134 on the scintillator modules for various energies and the deposited energy $\Delta E_{i,MC}$
135 and interaction time $t_{i,MC}$ (where $i = 1, 2$ refers to the module) were recorded.
136 For each energy value, we obtained a distribution of deposited energy in each
137 module, and determined the most probable value (MPV) $\Delta E_{i,MC}^{mpv}$. The *TOF*
138 of each MC event was defined as $TOF_{MC} = t_{2,MC} - t_{1,MC}$. From the TOF_{MC}
139 distribution we determined the mean value $\mu(TOF_{MC})$. In total we simulated
140 10^7 events for each energy value.

141 For the second setup (Fig. 1b), a monochromatic beam of carbon ions were
142 shot on the target. In this case 10^8 events were simulated at each angle. First, a
143 'clean' selection of events was established, to consider only events with precisely
144 one fragment originating from the target hitting each module, without secondary
145 fragmentation (fragmentation in the module itself). This was useful to study
146 the nuclides that are expected to pass through the bars, and to assure that
147 the charge identification was correct. For these clean events we evaluated the
148 true average velocity in the modules, $\beta_{i,MC}$, as average between the ingoing
149 and outgoing velocities in the modules. We also identified the true nuclides,
150 distinguishing $Z = 1, 2, 3, 4, 5$ and 6 . No energy threshold was used here.

151 Then we performed the same analysis as for data events. First, we selected
152 events that had at least one hit in each module (geometrical cut). The deposited
153 energy $\Delta E_{i,MC}$ (if there was more than one hit, this was the sum of all deposited
154 energies) and the TOF_{MC} (if there was more than one hit, this was the time
155 associated with the first fragment) were registered. Second, an energy threshold
156 was applied of 2 and 4 MeV at $\theta = 8.3^\circ$ and $\theta = 3.2^\circ$, respectively. Third, we
157 required $|\Delta E_{2,MC} - \Delta E_{1,MC}| < 5$ MeV. All the effects of these cuts on the
158 selection efficiency ϵ_{MC} are listed in Table 2. The final selection efficiencies at
159 $\theta = 8.3^\circ$ and $\theta = 3.2^\circ$ were 0.024% and 0.18%, respectively. For all events that
160 passed the selection, we evaluated the Z value as described below in Sec. 2.5.

Table 2: Effects of subsequent selection cuts on the MC simulated events of the setup in Fig. 1b and the irradiation conditions of Table 1.

Cut	ϵ_{MC} at $\theta = 8.3^\circ$	ϵ_{MC} at $\theta = 3.2^\circ$
Fragmentation in target	1.8%	1.8%
Geometrical	6.7%	23%
Energy threshold	97%	83%
$ \Delta E_{2,MC} - \Delta E_{1,MC} < 5$ MeV	21%	50%
Total efficiency	0.024%	0.18%

161 2.3. Energy calibration

We used the setup of Fig. 1a to calibrate the energy response of the detector. When **charged particles** hit a plastic scintillator, scintillation photons are produced. Due to several effects (attenuation, photons exiting the bars on the sides by refraction, absorption depending on the wrapping material), only a fraction of the scintillation photons reaches the photo-detectors at the ends of the scintillator bar, depending on the interaction position. For each event, the total charge $Q_{i,D}$ (where $i = 1, 2$ refers to the module) collected by each module is evaluated from the collected charge (integral of the waveform [16]) on each side $Q_{l,i,D}$ and $Q_{r,i,D}$, where l and r are the left and right side of the bar, respectively, as follows [30]:

$$Q_{i,D} = \sqrt{Q_{l,i,D} \cdot Q_{r,i,D}} \quad (1)$$

162 The total charge is independent of the particle interaction position. The cal-
 163 ibration procedure consists of relating the collected charge $Q_{i,D}$ with a value
 164 of deposited energy $\Delta E_{i,D}$. We used the five calibration runs for this purpose,
 165 with the setup as described in Fig. 1a and with the run parameters of the first
 166 row of Table 1.

For each calibration run, we determined the distribution of collected charge and the MPV of the distribution, $Q_{i,D}^{mpv}$. Moreover, we used the Monte Carlo simulations to determine the distribution of expected deposited energy its MPV, denoted $\Delta E_{i,MC}^{mpv}$ here. We used the MPV, that is less sensitive than the mean,

of the charge and energy distribution to avoid the influence of outliers in data. Then the five points were fitted with the function derived from Birk's law [29]:

$$Q_{i,D}^{mpv} = p_{a,i} \cdot \frac{\Delta E_{i,MC}^{mpv}}{1 + p_{b,i} \cdot \Delta E_{i,MC}^{mpv}} \quad (2)$$

where $p_{a,i}$ is a multiplicative term to incorporate the nominal scintillation and detection efficiency, the wrapping, and the optical coupling to the photo-detectors; $p_{b,i}$ is related to saturation effects due to both the scintillator and photo-detectors. For each data event the energy deposited in each detector, $\Delta E_{i,D}$, was obtained by applying the calibration parameters from Eq. 2:

$$\Delta E_{i,D} = \frac{Q_{i,D}}{(p_{a,i} - Q_{i,D} \cdot p_{b,i})} \quad (3)$$

167 The calibration procedure was applied to each of the five measurements with
 168 monochromatic beams, and the energy distributions were obtained. The energy
 169 resolution was determined by fitting each energy distribution with a Gaussian
 170 function and by evaluating $\mu(\Delta E)$ and $\sigma(\Delta E)$.

171 2.4. TOF correction

The time-stamp determination of each bar was described in detail in [16, 17], and will be only briefly summarized here. The time stamp $t_{i,D}$ for each bar is determined as the mean value of the time-stamps of each bar end (left and right). This choice allows to remove the dependence of the time information on the interaction position along the bar. For each event, the measured time-of-flight between the two bars, TOF_D , is defined as $TOF_D = t_{2,D} - t_{1,D}$. However, this value still had to be corrected to account for the offset in signal propagation time between the four channels, for instance due to cabling. The correction procedure was similar to the energy calibration, using again the setup of Fig. 1a with the monochromatic ion beams with five energy values. The mean of the measured time of flight distribution, $\mu(TOF_D)$ was evaluated over $2 \cdot 10^4$ events, while the mean of the expected TOF in data was determined from the Monte Carlo simulations, denoted with $\mu(TOF_{MC})$ here. Then we fitted the five points

with the following function:

$$\mu(TOF_D) = t_0 + k \cdot \mu(TOF_{MC}), \quad (4)$$

where t_0 accounts for the difference in signal propagation time between the modules, and k accounts for the accuracy in the measurement of the actual distance between the two bars. For each data event we determined the corrected time-of-flight, $TOF_{D,cor}$, by applying the calibration parameters from Eq. 4:

$$TOF_{D,cor} = \frac{TOF_D - t_0}{k} \quad (5)$$

172 The TOF correction was applied to each of the five measurements with monochro-
 173 matic beams. To obtain the TOF resolution, the resulting TOF distributions
 174 were fitted with a Gaussian function and $\mu(TOF)$ and $\sigma(TOF)$ were evaluated.

175 2.5. Charge identification

In the energy range relevant for our experiment ($0.1 < \beta < 0.9$), the Bethe-Bloch formula describes the energy loss of a particle as a function of its velocity in the material up to a few percent accuracy:

$$-\frac{dE}{dx} = K \cdot \rho \left(\frac{Z_S}{A_S} \right) \frac{Z^2}{\beta^2} \left[\frac{1}{2} \ln \left(\frac{2m_e c^2 \beta^2 \gamma^2 W_{max}}{I^2} \right) - \beta^2 \right] \quad (6)$$

where $K = 0.307 \text{ MeV} \cdot \text{mol}^{-1} \cdot \text{cm}^2$, $\rho = 1.023 \text{ g/cm}^3$ is the scintillator density, $(Z_S/A_S) = 0.5417$ is the ratio of the scintillator atomic and the mass number, Z is the particle charge, $\beta = v/c$ with v the velocity in the scintillator and c the speed of light in a vacuum, $m_e c^2 = 0.511 \text{ MeV}$ is the electron rest mass, $\gamma = \sqrt{1/(1 - \beta^2)}$, $W_{max} = 2m_e c^2 \beta^2 \gamma^2$ is the maximum energy transferred in one collision, $I = 64.7 \text{ eV}$ is the scintillator mean excitation potential [31]. We assumed $\frac{dE}{dx} = \frac{\Delta E}{\Delta x}$, where $\Delta x = 3 \text{ mm}$ is the scintillator thickness. We verified that shell and density corrections could be neglected; in fact, these effects are not relevant for the typical fragment velocities in our measurements. Because the velocity of the fragment inside the scintillator is unknown, we used the fragment velocity in between the two modules in Eq. 6, that is a reasonable

approximation as the velocities are not too small. Thus, for the MC simulation we estimate the β of the fragment, β_{MC} , as:

$$\beta_{MC} = \frac{d}{c \cdot TOF_{MC}} \quad (7)$$

while for the evaluation of the β of the fragments in data, β_D , we used instead the corrected time-of-flight $TOF_{D,cor}$:

$$\beta_D = \frac{d}{c \cdot TOF_{D,cor}} \quad (8)$$

In our case, where the fragment charge Z is the quantity to be evaluated and the velocity β and the deposited energy ΔE are the measured quantities, we can invert the Bethe-Bloch formula (Eq. 6) to estimate Z for the data and MC simulations:

$$Z = \sqrt{\beta^2 \cdot \frac{\Delta E}{\Delta x} \cdot \left(K \cdot \rho \frac{Z_S}{A_S}\right)^{-1} \cdot \left[\frac{1}{2} \ln \left(\frac{2m_e c^2 \beta^2 \gamma^2 W_{max}}{I^2}\right) - \beta^2\right]^{-1}} \quad (9)$$

176 Finally, for the data events we fitted the obtained Z distribution with a
177 Gaussian, and extracted the resolution $\sigma(Z)$ and the average $\mu(Z)$.

178 3. Results

179 3.1. Energy calibration and time-of-flight correction

180 In Fig. 2a we show an example of a charge measurement for the experimental
181 setup of Fig.1a, using 190 MeV/u carbon ions. The energy distribution of the
182 corresponding MC simulation is displayed in Fig. 2b, where the value of $\Delta E_{1,MC}^{mpv}$
183 is indicated with the arrow.

184 Figure 3a shows the energy calibration procedure for the first module. Here
185 $Q_{1,D}^{mpv}$, the MPV of the charge of the first module, is plotted as a function of
186 the MPV of the expected energy deposited, $\Delta E_{1,MC}^{mpv}$ for the five calibration
187 points. The error bars for the sample standard deviation are smaller than
188 symbols and thus are not shown. The fit with Eq. 2 (red solid line) gave $p_{a,1} =$
189 $3.22 \pm 0.01 \text{ MeV}^{-1}$, $p_{b,1} = (1.41 \pm 0.01) \cdot 10^{-2} \text{ MeV}^{-1}$. For the second module,
190 we found $p_{a,2} = 2.76 \pm 0.01 \text{ MeV}^{-1}$ and $p_{b,2} = (1.13 \pm 0.01) \cdot 10^{-2} \text{ MeV}^{-1}$. The

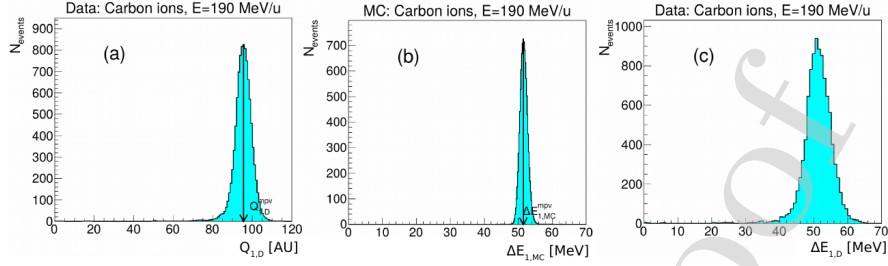


Figure 2: (a) Example of a charge distribution, for carbon ions of 190 MeV/u, where the quantity $Q_{1,D}^{mpv}$ is displayed with the arrow. (b) Distribution of deposited energy in MC simulation for carbon ions of 190 MeV/u, where the quantity $\Delta E_{1,MC}^{mpv}$ is displayed with the arrow. (c) Energy distribution in data resulting after the calibration procedure was applied, for carbon ions of 190 MeV/u.

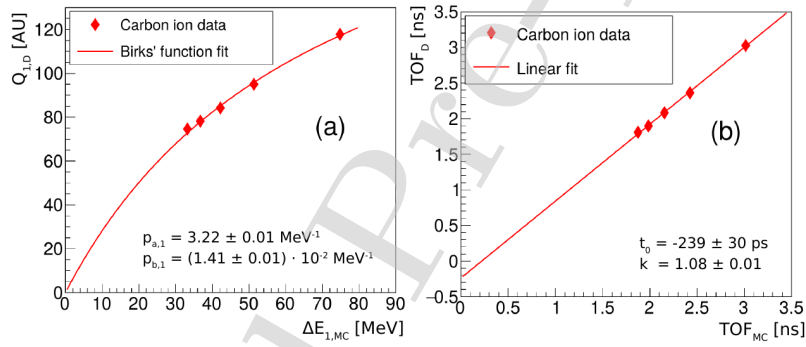


Figure 3: Calibration procedure. (a) Energy calibration: MPV of charge distribution collected by the first bar as a function of the MPV of the expected energy deposited by carbon ions (red diamonds), together with the fit (solid line) with Eq. 2. (b) Mean of the measured TOF as a function of mean of the expected TOF for the five calibration measurements (red diamonds), together with the linear fit (solid line).

191 differences in p_a and p_b between the two detectors reflect differences in light
 192 transport and detection, mainly due to the detector wrapping and coupling to
 193 the photo-detectors and to variations in the gain of the photo-detectors. An
 194 example of an energy distribution after applying the calibration procedure is
 195 given in Fig. 2c.

196 In Fig. 3b we display $\mu(TOF_D)$ as a function of $\mu(TOF_{MC})$ for carbon ions.

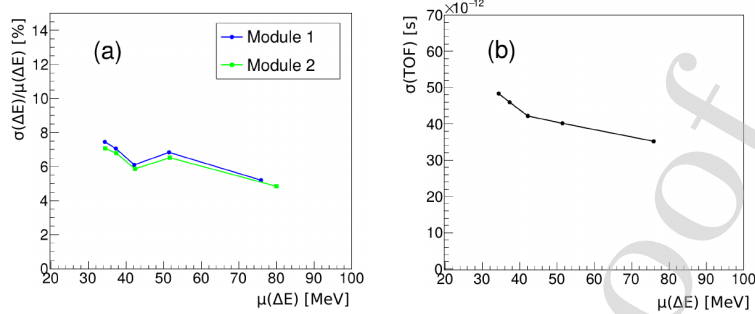


Figure 4: Energy resolution for the two modules (a) and TOF resolution (b) as a function of the mean energy deposit $\mu(\Delta E)$.

197 The continuous red line is the linear fit (Eq. 4), with coefficient $t_0 = (-239 \pm 30)$
 198 ps, and $k = 1.08 \pm 0.01$. These numbers were used to obtain the corrected time-
 199 of-flight in data events, $TOF_{D,cor}$, as written in Eq. 5.

200 The time and energy resolutions are given in Fig. 4a and 4b, respectively.
 201 The energy resolutions for carbon ions of energies ranging from 115 MeV/u to
 202 400 MeV/u were similar in module 1 and 2, and ranged from about 5% to 8%.
 203 The TOF resolution ranged from about 35 to 50 ps.

204 Figure 5 shows the evaluated charge Z of the fragments passing the scintilla-
 205 tor for the setup of Fig. 1a, for carbon ions with various energy values impinging
 206 onto the scintillator. For the experimental data, the extracted μ and σ values
 207 are given in Table 3. The obtained resolution, $\sigma(Z)/\mu(Z)$, is around 3-4%. Res-
 208 olution effects like fluctuations in the number of produced and detected photons
 209 were not included in the MC simulation (see Discussion), explaining the differ-
 210 ence in width between MC and data. The MC intrinsic resolution was between
 211 0.7% and 1.8% for energies between 115 MeV/u and 400 MeV/u, respectively.
 212 The values of Z are peaked at the carbon charge within 1-2%, confirming that
 213 both the energy and time calibrations were correctly performed.

214 3.2. Fragmentation measurements

215 Using the experimental setup of Fig. 1b and the beam parameters given in
 216 the second and third rows of Table 1, we evaluated the fragments exiting from

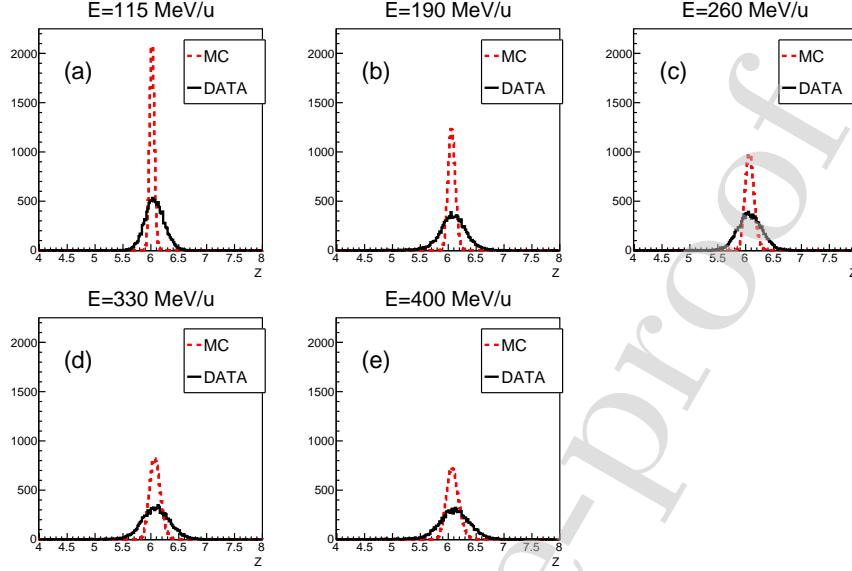


Figure 5: Distribution of reconstructed fragment charge in the first module in data (black solid line) and MC simulation (red dash-dotted line) when the impinging particles are carbon ions, for various energy values. Note that in the MC simulation, no effects of the detector resolution were included.

217 the target in MC and data. In Fig. 6 for the simulated events passing the 'clean'
 218 event selection we display the deposited energy in the first bar, $\Delta E_{1,MC}$, versus
 219 $\beta_{1,MC}$ for various charged fragments that are expected to cross the detector at
 220 $\theta = 8.3^\circ$ (Fig. 6a) and for $\theta = 3.2^\circ$ (Fig. 6b). The full statistics of the MC
 221 simulation was used here. We also display the Bethe-Bloch prediction (solid
 222 lines), showing that the fragments follow this trend.

223 Figure 7 displays the deposited energy in the first module ($\Delta E_{1,D}$ and
 224 $\Delta E_{1,MC}$ for data and MC, respectively) as a function of β in between the bars
 225 (β_D and β_{MC} for data and MC, respectively), for $\theta = 8.3^\circ$ (Fig. 7a) and $\theta = 3.2^\circ$
 226 (Fig. 7b), for both data (black) and MC (red). The MC distribution was re-
 227 stricted to contain the same total number of entries as the data distribution.
 228 The Bethe-Bloch line is also displayed. In Fig. 7a, the events for $Z = 1$ below
 229 the Bethe-Bloch line are events where two fragments passed the scintillator bar

Table 3: The values for $\mu(Z)$ and $\sigma(Z)$ for the monochromatic beams.

Beam energy [MeV/u]	$\mu(Z)$	$\sigma(Z)$	$\sigma(Z)/\mu(Z)$ [%]
115	6.06	0.17	2.8
190	6.09	0.22	3.6
260	6.07	0.21	3.5
330	6.09	0.24	3.9
400	6.11	0.26	4.3

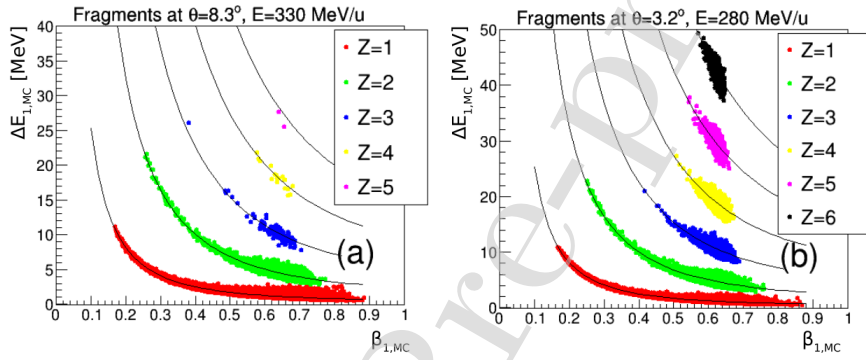


Figure 6: (a) Distribution of deposited energy versus β_{MC} for various nuclides, with the modules were placed at $\theta = 8.3^\circ$ (Table 1 second row). (b) the same, but for $\theta = 3.2^\circ$ (Table 1 third row). The full MC statistics was used in both cases.

230 simultaneously, resulting in a wrongly correlated time-of-flight and energy de-
 231 posit. In these cases, the higher energy deposit, belonging to the heavier and
 232 slower fragment, is wrongly associated to the smaller TOF , belonging instead
 233 to the lighter fragment.

234 In Fig. 8 we show the evaluated fragment charge Z for $\theta = 8.3^\circ$ (Fig. 8a
 235 and 8c) and $\theta = 3.2^\circ$ (Fig. 8b and 8d). A few observations can be made from
 236 Fig. 8. First, at $\theta = 8.3^\circ$, only light nuclides with $Z \leq 2$ are detected, while at
 237 $\theta = 3.2^\circ$ all possible fragments (except protons, that release an energy under
 238 the threshold) are visible. We remind that, for kinematic reasons, the heavier
 239 fragments are emitted preferably in the beam direction, while the lighter ones
 240 can be emitted at larger angles. Second, no nuclides with $Z > 2$ are shown at

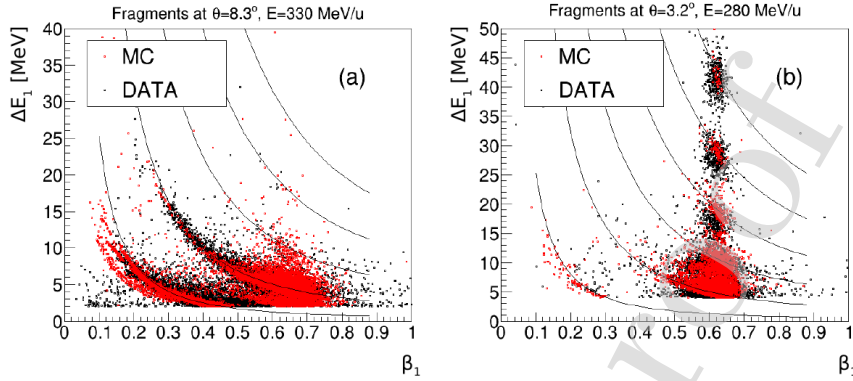


Figure 7: (a) Monte Carlo distribution of deposited energy in the first module versus β (from Eq. 7 and 8), with the modules placed at $\theta = 8.3^\circ$ (Table 1 second row). (b): the same, but for $\theta = 3.2^\circ$ (Table 1 third row). The MC distribution was scaled to have the same number of entries as the data.

241 $\theta = 8.3^\circ$, while the high statistics simulation (Fig. 6a) predicted the possibility
 242 to detect fragments up to $Z = 5$. This is because the statistics of the available
 243 data set is not high enough to allow detection of these fragments. Third, at
 244 $\theta = 3.2^\circ$, nuclides with $Z = 2, 3, 4, 5$ and 6 can be detected. Although the high
 245 statistic simulation (Fig. 6) showed also protons at this angle, the majority of
 246 these protons have energy deposits below the threshold of 4 MeV, and, within
 247 the statistics of the present data set, hardly any protons could be detected.
 248 Figures 8a to Fig. 8d together confirm the ability of the detector to measure all
 249 fragment charges up to $Z = 6$.

250 Table 4 presents the μ and σ resulting from a Gaussian fit of the fragment
 251 charge distributions. The distributions are peaked in the correct positions.
 252 The resolution on charge reconstruction ranges from 8-9% for lighter fragments
 253 ($Z = 1, 2, 3$) to 3-5% for heavier fragments ($Z = 4, 5, 6$). Evaluating the FWHM
 254 (2.35σ) of all fits, we see that in most cases $\text{FWHM} < 0.5$ (half the distance
 255 between two consecutive peaks), hence the peaks are well separated. The influ-
 256 ence of the offline selection cut on $|\Delta E_2 - \Delta E_1|$ (set to 5 MeV) on the values in
 257 Table 4 was evaluated by repeating the analyses with cuts of 1 to 10 MeV with

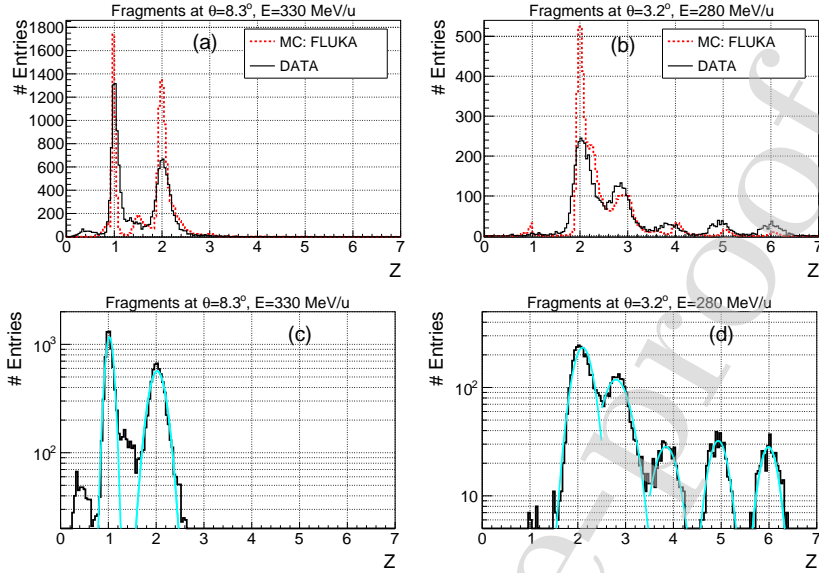


Figure 8: (a) Z of fragments passing through the first module at $\theta = 8.3^\circ$ (Table 1 second row), in data (black solid line) and MC (red dashed line). (b) The same, but for $\theta = 3.2^\circ$ (Table 1 third row). Full statistics was used for the MC distribution, but it was normalized to have the same area as the data. (c) The data distribution from (a) in logarithmic scale including the Gaussian fit (light blue solid line). (d) The data distribution from (b), in logarithmic scale including the Gaussian fit (light blue solid line).

258 steps of 1 MeV. A cut of 5 MeV gave the best resolution in Z .

Table 4: Results of the Gaussian fit of the Z -peaks for the data.

θ	Energy [MeV/u]	Z	$\mu(Z)$	$\sigma(Z)$	$\sigma(Z)/\mu(Z)$ [%]
8.3°	330	1	1.02	0.08	7.8
		2	2.02	0.17	8.5
3.2°	280	2	2.10	0.20	9.4
		3	2.80	0.27	9.5
		4	3.84	0.23	6.0
		5	4.94	0.19	3.8
		6	5.99	0.18	3.0

259 4. Discussion

260 The above work has shown a new charge identification method for the detec-
261 tion of nuclear fragments in particle therapy. Using carbon ion beams at CNAO
262 and a small-scale setup, nuclear fragments with charge from $Z = 1$ up to $Z = 6$
263 could be successfully detected. This technique can easily be extended to higher
264 energies, that are relevant for radioprotection in space. Some improvements are
265 still possible. First, the systematic effects in Tables 3 and 4 should be better
266 understood. Concerning Table 3, we remind that the Bethe-Bloch formula is
267 accurate only up to a few percent, which could partly explain the systematic
268 effect. However, the deviations in Table 4 for the peaks at $Z = 3$ and $Z = 4$
269 are larger. This issue is currently under investigation. It is possible that the
270 relationship in Eq. 2 depends on the ion type and that the calibration curve
271 in Fig. 3 does not perfectly hold for all ions. Calibration measurements with
272 different ions are foreseen in the future to clarify this issue. Second, the MC
273 distributions did not include a full simulation of all experimental issues. In fact,
274 the width of the Z distributions in data is much wider than in MC (see Fig 5 and
275 Fig. 8), mainly due to statistical fluctuations in the number of produced and
276 detected scintillation photons. A minor role is attributed to the SiPM crosstalk,
277 after-pulse and electronic noise. Future work includes a full simulation or pa-
278 rameterization of all these effects. Finally, there are other aspects that could
279 influence the Z resolution, like secondary fragmentation (fragmentation in the
280 bar), the influence of double hits in the detector, etc. All these aspects are
281 currently under investigation.

282 In the context of the FOOT experiment, we believe the performance of the
283 prototype is satisfactory and the modules can be used for the construction of
284 the full-scale ΔE -TOF detector. Future work will include the assembling and
285 testing of such a detector, that is designed to be made of two layers of 20
286 orthogonally oriented plastic scintillator modules.

287 Apart from the validation of a charge identification procedure, the work
288 presented here is also an example of how valuable nuclear interaction data can be

289 obtained with relatively simple, small and low-cost setups, allowing any particle
290 therapy center to perform such measurements. It can easily be extended to
291 other angles and other energies. Such fragment charge measurements are useful
292 for the validation of nuclear physics models in Monte Carlo codes.

293 **5. Conclusion**

294 In this study we have presented a new charge identification procedure for
295 nuclear fragments in particle therapy, using a small scale ΔE -TOF prototype
296 detector, in preparation for the FOOT experiment. It was validated at CNAO
297 with monochromatic carbon ion beams that were shot directly on the bars, and
298 it was used to detect nuclear fragments at angles of 3.2° and 8.3° with respect to
299 the beam-axis, produced by irradiating a thin plastic target with carbon ions of
300 280 MeV/u and 330 MeV/u, respectively. At 3.2° we detected fragments from
301 $Z = 2$ up to $Z = 6$, while fragments with $Z = 1$ were not detected because of the
302 energy threshold in the experimental setup. At 8.3° we detected fragments with
303 $Z = 1$ and $Z = 2$. The resolution on charge reconstruction ranges from 8-9%
304 for lighter fragments ($Z = 1, 2, 3$) to 3-6% for heavier fragments ($Z = 4, 5, 6$),
305 however confirming the capability of disentangling different charged fragments.

306 The above work is an example of how valuable measurements can be ob-
307 tained with simple and small experimental setups in clinical settings, helping to
308 improve the understanding of nuclear interaction processes. Moreover, it rep-
309 represents an important step forward in the design and construction of the FOOT
310 apparatus, bringing us closer towards a new large set of highly relevant mea-
311 surements for therapeutic and space radioprotection purposes.

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315 **References**

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