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Title: RELATION BETWEEN APGAR SCORING AND PHYSICAL PARAMETERS IN 44 NEWBORN AMIATA DONKEY FOALS AT BIRTH

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Abstract: The assessment of the behavior and physiological parameters of neonatal foals is essential in the detection of early signs of illness. Modified Apgar scoring systems from human medicine exist and have been validated in foals as a guide for assessing neonatal viability after birth. This study evaluated the viability of 44 Amiata donkey foals at birth, by assessing the Apgar score and comparing the relationship between viability and various physical parameters.

A total of 44 Amiata donkey foals and 27 jennies were enrolled in this study. An expert operator examined each foal within 5 minutes of birth. A complete physical examination was performed, along with an existing four-parameter Apgar score. The presence of the suckling reflex was evaluated. The interval time needed to acquire sternal recumbency and quadrupedal position, as well as nurse from the mare, were recorded. In addition, heart rate (HR), respiratory rate (RR), and rectal body temperature (BT) were measured. Results were expressed as median±standard error, minimum and maximum values.

The effects of the Apgar score on time to reach sternal position and quadrupedal standing, time to nurse from the mare, RR, HR, and BT were estimated along with the differences related to Apgar scoring and gender. Differences between female and male donkey foals regarding the time to acquire sternal position and quadrupedal standing, time to nurse from the mare, RR, HR, and BT were also assessed. Differences between female and male donkey foals regarding the Apgar score was evaluated using a chi-Square test. Finally, the reference values for Amiata donkeys were also calculated.

Twenty/44 (45.4%) foals were colts and 24/44 (54.5%) were fillies born from 27 jennies. None of the foals showed an Apgar score lower than 6. Twenty-nine out of 44 foals showed an Apgar score of 8/8, 10/44 a score of 7/8, while 5 foals (11.3%) showed a score of 6/8. No differences between fillies and colts in relation to the Apgar score were obtained.

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1. that there has been no duplicate publication or submission elsewhere of this work
2. that all authors have read and approved the manuscript, are aware of the submission for publication and agree to be listed as co-authors

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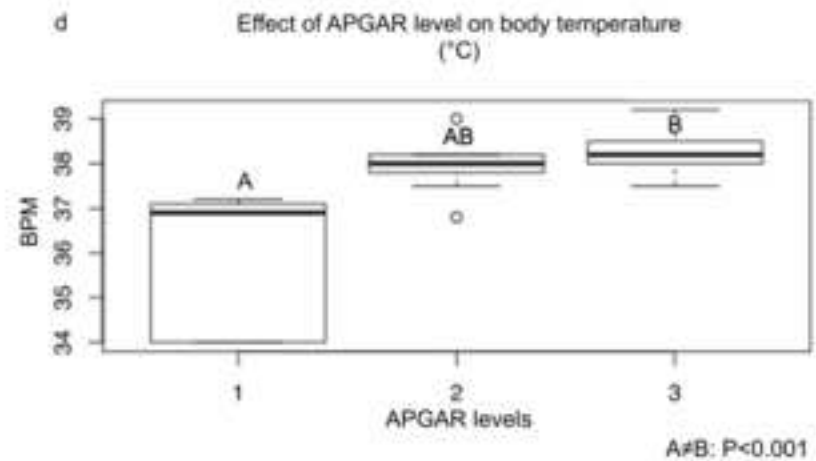
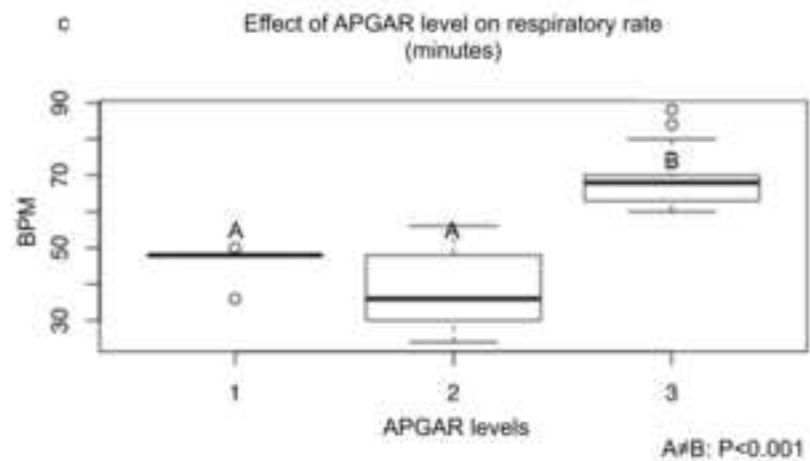
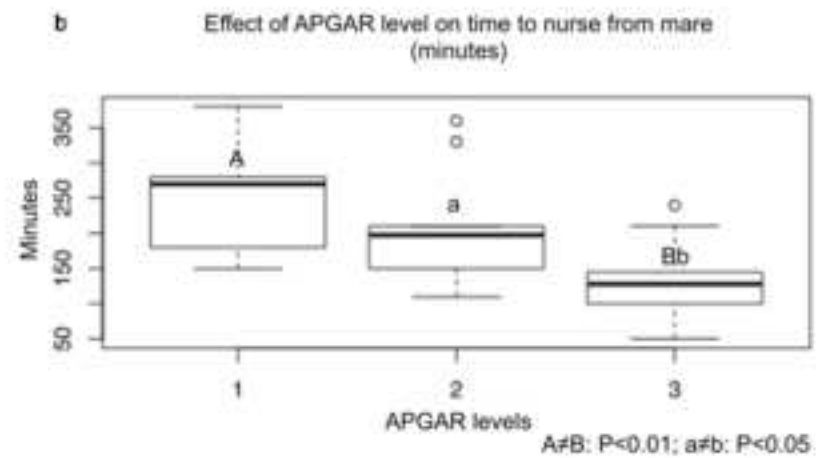
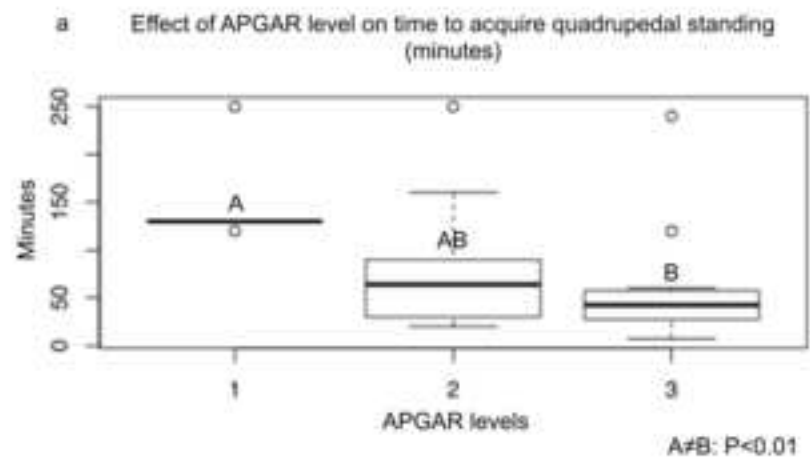
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Figure 1



THERIO-D-19-00579 – REVISION 2

Dear Editor in chief for the Journal Theriogenology and dear Reviewers,

Thank for revising our manuscript and thank you for taking into consideration its publication in your journal. Please, find below the answers to your questions/concerns/comments.

Reviewer 1

1. Title - delete word "various" in the title. **The title has been changed according to the reviewer's comment (please see line 3).**
2. Highlights - Highlights 3 and 4 must to be rewritten according just the manuscript results.
3. Inclusion criteria of mares (data concerning age, parity, body weight (BW) and body condition score (BCS) were not showed and explained in the text. **The requested information has been added in the main text (Please see lines 146-148, 151-154, and 204-209).**
4. Number of animals per group (Apgar 6, 7 and 8) was very different to be used to calculate a "reference interval" for publication. **We agree with the Reviewer and in the original version of the manuscript we did not perform this kind of statistical analysis. However, one of the Reviewer strongly ask for adding it in the new version of the manuscript and we performed the analysis as he/she has been suggested. We will be fine with the final decision of the Editor. We have stressed more this limit in the main text (please see lines 242-243).**
5. Table 1 still out of the rules according guide of authors. **Changes have been made according to guide of authors.**
6. English writing has been little improved. **The reviewer has made comments about the level of English of our paper. In reality, this paper has been edited and proofread by Adrian Wallwork of English for Academics. Coincidentally, Wallwork also revises papers for some members of your department, he has also written a series of books for Springer Science on writing and presenting academic English. He has assured me that our paper is**

**written in correct English. If you would like to contact him directly:
adrian.wallwork@gmail.com.**

11 August 2019

To whom it may concern

This is to declare that I have edited and proofread the English of the following paper:

**RELATION BETWEEN APGAR SCORING AND VARIOUS PHYSICAL PARAMETERS IN 44
NEWBORN AMIATA DONKEY FOALS AT BIRTH**

On behalf of:

Francesca Bonelli

My revision did not include the Bibliography. Subsequent to my revision, the authors may have made other changes or chosen not to implement some of the changes that I suggested. The correctness of the technical terms is also the responsibility of the authors.

The final version of the manuscript, as sent to the authors on **11 August 2019**, will be kept in our archives.

I have 30 years of experience of editing the English of scientific papers. I am also the author of *English for Writing Research Papers* published by Springer.

Please do not hesitate to contact me for any further information you may require:

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Best regards



Adrian Wallwork

1 **Highlights**

- 2 • The assessment of neonatal foal is mandatory to notice precocious signs of illness.
- 3 • The viability of Amiata donkey foals at birth was evaluated by the Apgar score.
- 4 • **Physical parameters were compared, and the effect Apgar score on them was assessed.**
- 5 • ~~The Apgar score was compared with some physical parameters.~~
- 6 • ~~The Apgar score was effective in assessing vitality in neonatal donkey foals.~~
- 7 • **Apgar score relates to body temperature and respiratory rate, not to heart rate.**

1 **Research Article**

2

3 **RELATION BETWEEN APGAR SCORING AND PHYSICAL PARAMETERS IN 44**
4 **NEWBORN AMIATA DONKEY FOALS AT BIRTH**

5

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23 **Abstract**

24 The assessment of the behavior and physiological parameters of neonatal foals is
25 essential in the detection of early signs of illness. Modified Apgar scoring systems from
26 human medicine exist and have been validated in foals as a guide for assessing neonatal

27 viability after birth. This study evaluated the viability of 44 Amiata donkey foals at birth, by
28 assessing the Apgar score and comparing the relationship between viability and various
29 physical parameters.

30 A total of 44 Amiata donkey foals and 27 jennies were enrolled in this study. An expert
31 operator examined each foal within 5 minutes of birth. A complete physical examination
32 was performed, along with an existing four-parameter Apgar score. The presence of the
33 suckling reflex was evaluated. The interval time needed to acquire sternal recumbency
34 and quadrupedal position, as well as nurse from the mare, were recorded. In addition,
35 heart rate (HR), respiratory rate (RR), and rectal body temperature (BT) were measured.
36 Results were expressed as median±standard error, minimum and maximum values.

37 The effects of the Apgar score on time to reach sternal position and quadrupedal standing,
38 time to nurse from the mare, RR, HR, and BT were estimated along with the differences
39 related to Apgar scoring and gender. Differences between female and male donkey foals
40 regarding the time to acquire sternal position and quadrupedal standing, time to nurse
41 from the mare, RR, HR, and BT were also assessed. Differences between female and
42 male donkey foals regarding the Apgar score was evaluated using a chi-Square test.
43 Finally, the reference values for Amiata donkeys were also calculated.

44 Twenty/44 (45.4%) foals were colts and 24/44 (54.5%) were fillies born from 27 jennies.
45 None of the foals showed an Apgar score lower than 6. Twenty-nine out of 44 foals
46 showed an Apgar score of 8/8, 10/44 a score of 7/8, while 5 foals (11.3%) showed a score
47 of 6/8. No differences between fillies and colts in relation to the Apgar score were
48 obtained.

49 **Keywords**

50 Donkey foal, Apgar score, neonatal evaluation, vitality.

51 **1. Introduction**

52 Donkeys (*Equus asinus*) have been close companions to humans for millennia and have
53 been used as working animals all over the world [1]. Today, donkeys are also used in milk
54 production for children with an intolerance to cow's milk [2,3] or in animal-assisted therapy
55 [4]. The scientific literature has shown a renewed interest in these animals regarding their
56 welfare [5], infectious diseases [6-8], alternative therapies [9], the need for specific
57 diagnostic criteria and reference values in adult donkeys [10-13], pregnant and lactating
58 jennies [14-16], and donkey foals [17-19].

59 Equine foals adapt rapidly to extra-uterine life, which is usually completed within a few
60 days after birth. Normal foals are able to stand, to bond with the mare and show udder-
61 seeking behaviour within a few hours of birth. A rapid adjustment of the foal's overall
62 physiology to the extra-uterine life is crucial for their survival [20].

63 Peri-partum represents a very critical period. Data have shown that the mortality rate can
64 reach 1.7% within the first 48 hours of a live birth [21]. Initial clinical signs of many
65 systemic disorders may be vague and go unnoticed. For both practitioners and owners, it
66 is therefore important to know the history of the mare (pregnancy and delivery), in order to
67 evaluate the possible risk factors for perinatal diseases [22] and thus assess neonatal foal
68 behavior very early on.

69 Ideally, the Apgar score should be performed within a few minutes of birth. Modified Apgar
70 scoring systems from human medicine [23] have been developed and validated in foals as
71 a guide for assessing neonatal viability [24-26].

72 Despite the large amount of literature on equine foals, there is a lack of knowledge
73 regarding viability assessments of donkey foals at birth. The aim of the present study was
74 thus to evaluate the viability of a cohort of 44 Amiata donkey foals at birth by assessing
75 the Apgar score and comparing the relationship between the Apgar score and various
76 physical parameters.

78 **2. Materials and Methods**

79 *2.1 Animals*

80 A total of 44 Amiata donkey foals and 27 jennies belonging to the Regional Stud Centre of
81 Tuscany (Italy) were enrolled in this study. The Amiata donkey is one of the most common
82 Italian donkey breeds originating from the Amiata mountain in central Italy. In 1990 the
83 Biodiversity Committee of the European Parliament included the Amiata donkey in the list
84 of endangered breeds (NL 215/90).

85 The donkey foals were born at the Veterinary Teaching Hospital “Mario Modenato”,
86 Department of Veterinary Sciences, University of Pisa (n=27), at the Regional Stud Farm
87 “Le Bandite di Scarlino” (Grosseto, Italy) (n=17) between 2005 and 2019.

88 All foals and jennies underwent similar management conditions. Jennies were housed in
89 collective paddocks (8-10 animals/each) during pregnancy. Close to parturition (between
90 10 and 15 days), jennies were housed in individual 6x6 m boxes where after delivery they
91 were kept with their foals until the second week post-partum. From sexual maturity, the
92 jennies were trained to be separated from each other and spend some time in the box,
93 however, due to their gregarious nature, the jennies were able to see and hear each other
94 whilst in the box. Jennies were fed with meadow hay *ad libitum* along with commercial
95 equine feed, in line with the NRC energy recommendations [27].

96 At inclusion time, data concerning age, parity, body weight (BW) and body condition score
97 (BCS) [28] were recorded. Information regarding those jennies that had undergone more
98 than one pregnancy and delivery throughout the study period, were assessed each time.

99 Approval to conduct this study was obtained from the Ethics Committee on Animal
100 Experimentation of the University of Pisa and transmitted to the Italian Ministry of Health
101 for the study-period 2005-2013 in line with the D.Lgs 116/92. Concerning the period
102 between 2016 and 2019, the approval was obtained from the “Organismo Preposto al

103 Benessere Animale (OPBA), University of Pisa, according to the D.Lgs 26/14 (Prot. N.
104 33476/16).

105 *2.2 Delivery management*

106 The expected time for delivery was assessed by observation of mammary gland growth
107 and calcium concentration in the milk. Mammary glands were examined visually every 24
108 hours and by palpation in order to assess the turgidity and filling degree [29,30]. When
109 mammary secretion was present, the milk calcium concentration was evaluated every 24h
110 at 6:00 pm using a commercial colorimetric kit (FoilWatch Titrets[®] for Daytime Foaling
111 Management, Chemetrics, Inc., Calverton, VA USA). Since the electrolyte trend in jenny's
112 milk near to parturition is similar to equine mares [31], an expert operator began to attend
113 mares at night for parturition when the calcium concentration was >200 ppm, as indicated
114 by the manufacturer. When the delivery started, the operator visually supervised each
115 phase of the delivery. Care was taken to prevent stress throughout the procedures. In
116 case of needs, the delivery was assisted by an expert operator.

117 *2.3 Examination and sampling procedures*

118 An expert operator examined each foal within 5 minutes of birth. A complete physical
119 examination was performed, along with a four-parameter Apgar score, as proposed by
120 others [25]. The presence of the suckling reflex was evaluated. The interval time needed
121 to acquire sternal recumbency, quadrupedal position and nurse from the mare were
122 recorded [30]. In addition, heart rate (HR), respiratory rate (RR), and rectal body
123 temperature (BT) were measured. The naturally or manually detachment of the umbilical
124 cord was recorded, along with the first spontaneous urination and meconium expulsion
125 with or without the use of an osmotic enema. Foals were only restrained manually, and no
126 drugs were used during the clinical examination.

127 *2.4 Statistical Analysis*

128 For statistical analysis, foals were retrospectively divided into three categories: 1) foals
129 reaching an Apgar score of 8/8; 2) foals reaching an Apgar score of 7/8; and 3) foals
130 reaching an Apgar score of 6/8. Results concerning physical parameters were evaluated
131 for distribution using a Kolmogorov-Smirnov test. Data showed a non-Gaussian distribution
132 and thus expressed as median±standard error, minimum, and maximum values.

133 The effect of the Apgar score on time to reach the sternal position and quadrupedal
134 standing, time to nurse from the mare, RR, HR, and BT were estimated by the Kruskal
135 Wallis test and Dunn's multiple comparison test as a post-hoc analysis. Differences
136 between female and male donkey foals regarding time to acquire sternal position and
137 quadrupedal standing, time to nurse from the mare, RR, HR, and BT were assessed using
138 the Mann-Whitney test for unpaired data. Differences between female and male donkey
139 foals regarding the Apgar score was assessed using a chi-Square test. Statistical
140 significance was set at 0.05. Statistical analysis was performed using a commercial
141 software (Graph Pad Prism, 6.0, USA).

142 Since no interval ranges of values are available for Amiata donkeys, lower and upper limit
143 of reference interval were calculated using results of Apgar 7/8 and 8/8 foals by Reference
144 Value Advisor software, as described by Geffrè et al. [32].

145

146 **3. Results**

147 Twenty/44 (45.4%) foals were colts and 24/44 (54.5%) were fillies, born during a fourteen-
148 year study (2005-2019), from twenty-seven Jennies. Three out of 27 (11.1%) mares were
149 primiparous. The median age was 6.9 years old (3-15 years), the median body weight was
150 346 kg (290-360 kg), and the median body condition score was 4/9 (3-7/9).

151 Two twin pregnancies were observed. Thirty-seven out of 42 (88%) deliveries were not
152 assisted, while 5 out of 42 (12%) were assisted. No C-section were needed. Concerning
153 the assisted deliveries, mares were all multiparous (second pregnancy in 2/5 jennies, third

154 pregnancy in 2/5 jennies and eighth pregnancy in 1/5 mare); 2/5 were twin pregnancies
155 (1/2 second pregnancy and 1/2 third pregnancy), while 3/5 were non-twin pregnancies (1/3
156 second and third pregnancy, respectively; 1/3 eighth pregnancy). None of the foals
157 showed an Apgar score lower than 6. Twenty-nine out of 44 foals (65.9%) (15/29 fillies
158 and 14/29 colts) reached an Apgar score of 8/8, 10/44 (22.7%) (8/10 fillies and 2/10 colts)
159 a score of 7/8, while 5 foals (11.3%) (4 males and 1 female) reached a score of 6/8; 2/5
160 were born from a twin pregnancy (1/2 was female, 1/2 male), and the related twins, both
161 males, showed an Apgar score of 7/8. Of the 5 donkey foals with an Apgar of 6/8, the 2/5
162 twins showed mild hypoxic-ischemic encephalopathy, 1/5 non-twins were septic and 2/5
163 non-twin foals showed signs of immaturity (pregnancy length $>353\pm 13$ days). All the foals
164 that showed an Apgar score of 6/8 were born from an assisted delivery.

165 Suckling reflex was present in all the 44/44 (100%) foals within the physiological timing for
166 equine species (2-20 minutes) but was weak in 2/44 (4.5%) non-twin foals with an Apgar
167 score of 6/8, while strong in 42/44 (95.5%).

168 The umbilicus was naturally detached in 43/44 (97.7%) foals within physiological range
169 (15.9 ± 5.2 minutes), while it was manually torn only in 1/44 (2.3%) foal with 8/8 Apgar
170 score. First urination was observed within the reference range for equine foals (by 8.5
171 hours) in all the donkey foals, meconium was naturally passed in 4/44 (9.1%) foals, while
172 an osmotic enema was administered after the first suckling in 41/44 animals (90.9%).

173 Table 1 reports the data concerning the median, minimum and maximum values for time to
174 reach sternal recumbency and quadrupedal position, time to nurse from the mare, HR, RR
175 and RT in relation to the Apgar values recorded.

176 Differences were found in terms of the time to acquire quadrupedal standing, to nurse the
177 mare, RR and BT among foals with different Apgar scores (6/8 vs 7/8 vs 8/8) (Figure 1),
178 while no difference was obtained for the time to reach sternal position ($p=0.1$) and HR
179 ($p=0.31$).

180 No differences were observed between female and male donkey foals for the time to reach
181 sternal recumbency ($p=0.565$) and quadrupedal standing ($p=0.402$), to nurse from the
182 mare ($p=0.804$), and on HR ($p=0.302$) and RR ($p=0.687$), while differences were observed
183 between sexes and body temperature ($p=0.033$) values.

184 No differences were obtained between female and male donkey foals in relation to Apgar
185 scoring ($p=0.07$).

186 The reference intervals for the time to acquire sternal recumbency, quadrupedal standing
187 and to nurse, along with HR, RR and BT were reported in table 1.

188

189 **4. Discussion**

190 The Apgar scoring system was designed by Virginia Apgar in 1952 to provide a method to
191 assess the newborn's condition at specific intervals after birth in humans in order to better
192 assess the efficacy of resuscitation in newborn babies. The criteria for evaluation were
193 skin color and appearance, pulse rate, reflex irritability, muscle tone and respiration [33].
194 Each criterion was determined on a scale from 0 to 2, with the sum of the five values
195 resulting in an Apgar score that ranges from 0 to 10. In humans, the survival rate of
196 newborns with an Apgar score <3 is usually considered as critical, from 4 to 6 is graded as
197 less critical, and over 7 is regarded as normal [34].

198 The Apgar scoring system was introduced into veterinary medicine to assess the clinical
199 status of foals [24-26], puppies [35,36] calves [37,38] and piglets [39,40] with variations
200 compared to human newborn babies in order to assess the viability and effective perinatal
201 asphyxia detection [23].

202 A modified Apgar score was developed for foals [24,25,41], consisting of evaluating the
203 heart rate, respiratory rate, and muscle tone and irritability reflex. However, few data are
204 available on the assessment of behavior and physical parameters in donkey foals at birth.
205 In the present study, the Apgar score was assessed in a cohort of 44 donkey foals at birth.

206 All the jennies included in the present study did not show any risk factors which might have
207 influenced pregnancy or increased foals' morbidity and mortality during the neonatal
208 period. All the mares included could be considered "not old" (>15 years)
209 (<https://thehorse.com/breeding-the-older-mare>), showed a BCS balanced for frame and
210 covering [28] and a normal gestational time for this species [14]. Finally, no clinical signs of
211 systemic or reproductive diseases were noticed during the all pregnancy-period.

212 In our study, the incidence of an Apgar score was equal to 6/8 due to a mild hypoxic-
213 ischemic encephalopathy (2/44 foals, 4.5%) seems to be higher than in equine foals (1-
214 2%) [42]. However, our population presented a relatively high prevalence of twins (4/44
215 foals, 9.1%), which might have been represented a bias. A high prevalence of poor viable
216 donkey foals (2/5, 40%) were indeed composed of twins, which could explain the higher
217 rate of hypoxic-ischemic encephalopathy found in our donkey foals. Usually, hypoxic-
218 ischemic encephalopathy is associated with adverse peri-partum events, such as weak
219 delivery, premature placental separation, and dystocia. In this study, 2/5 donkey foals with
220 hypoxic-ischemic encephalopathy and an Apgar score of 6/8 were born after a twin
221 pregnancy. In approximately 87% of twin pregnancies, mares abort or suffer dystocia, and
222 twin foals suffer a higher rate of stillbirth and perinatal death [43].

223 The median values obtained in this cohort of donkey foals with normal viability regarding
224 time to acquire sternal recumbency, heart and respiratory rates, and body temperature are
225 similar to findings reported in equine foals in previous studies [26,44-46]. The time to stand
226 in quadrupedal position was shorter compared to a previous study performed on Martina
227 Franca donkey foals [30], while the time to nurse was longer [18,33,47]. However, both
228 standing and suckling time were within the reference range for equine foals [26].
229 Establishing ranges for the time to stand and time to nurse might be a challenge for
230 owners. Extensive knowledge of neonatal physiology is essential for recognizing the
231 clinical abnormalities that are usually associated with systemic pathophysiological

232 changes. A better understanding of normal standing and nursing times might lead to a
233 prompt identification of clinical signs related to any impairment during the neonatal period
234 and to improve the animal's prognosis [48].

235 Analyzing our population of donkey foals in relation to the Apgar score assigned (8/8 or
236 7/8 or 6/8), the time to stand and to nurse were statistically shorter in foals with an Apgar
237 of 8/8 compared to Apgar 7/8 or 6/8, while the time to reach sternal position was similar
238 among the three groups. Immediately after parturition, cortisol levels are reported to be
239 high in newborns in response to the stress of delivery, birth and adaptation to extra-uterine
240 life [49,50]. A higher cortisol concentration might help even in foals with an Apgar score of
241 7-6/8 reach the sternal position. However, in the present study the number of foals with an
242 Apgar score between 6/8 and 7/8 was lower compared to those with an Apgar score of
243 8/8. An increasing number of donkey foals with an Apgar score of less than 8/8 might be
244 beneficial in order to improve the statistical analysis and lead to well-defined reference
245 intervals that can help owners and practitioners dealing with a neonatal Amiata donkey
246 foal.

247 The respiratory rate and body temperature were within the physiological equine foal range
248 in donkey foals with a normal Apgar score (7/8 or 8/8), while foals with a low Apgar score
249 (6/8), showed lower values [26]. In addition, both respiratory rate and body temperature
250 were statistically lower in donkey foals with an Apgar score of 6/8 compared to those with
251 normal Apgar values (7/8 or 8/8). The compromised respiratory rate in donkey foals with a
252 low Apgar score might be related to abnormal breathing due to perinatal diseases
253 (hypoxic-ischemic encephalopathy, immaturity/dysmaturity, septicemia). Sick foals may
254 develop an abnormal low respiratory rate as a result of central nervous system damage or
255 depression [24]. The significant decrease in body temperature in foals with an Apgar of 6/8
256 might be related to a decreased metabolic demand [51].

257 No differences were found among the three groups regarding heart rate, thus this
258 parameter does not seem to be related to different Apgar scoring in donkeys. Foals with a
259 low Apgar score and related neonatal pathology usually show an altered heart rate [24].
260 This difference might be due to the species, or to the relatively low number of animals
261 included. An increased study population is needed in order to better understand the heart
262 rate pattern in donkey foals with a low Apgar score ($\leq 6/8$).

263 In conclusion, the modified Apgar score assessed immediately after birth has proved to be
264 an effective and easy method to assess vitality in neonatal donkey foals, also in field
265 conditions. Further studies are needed to evaluate the value of the Apgar score in
266 predicting the short-term survival rate of donkey foals. The interval ranges for clinical
267 values of Amiata donkey foals at birth calculated in this study might be use as lower and
268 upper limit of reference intervals for this breed. However, an increased study population
269 would be needed for a deeper understanding of these results.

270 **Figure Legend**

271 Figure 1. Box-plot showing median, 1st and 2nd quartiles, minimum and maximum values
272 for time to acquire quadrupedal standing (a), time to nurse from the mare (b) both
273 expressed as minutes, respiratory rate expressed as breaths *per* minute (BPM) (c), and
274 body temperature expressed as Celsius degree (d) in donkey foals grouped by Apgar
275 score values. Legend: within graphs different letters denote a significant difference; °:
276 outliers.

277

278 **Authorship**

279 FB – acquisition of data, drafting and revising the article, final approval of the version to be
280 submitted; IN, DP and VV – acquisition of data; GC – analysis and interpretation of data.
281 MS– design of the study, acquisition of data, interpretation of data, revising the article, final
282 approval of the version to be submitted.

283

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288

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292

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	Apgar 8/8 (n=29)	Apgar 7/8 (n=10)	Apgar 6/8 (n=5)	Lower and upper limit of Reference interval
Sternal recumbency (minutes)	1±0.1 1-3	1.5±0.7 1-8	2±0.5 1-4	0.1 – 2.6
Standing time (minutes)	40±8.9 7-240	40±18.8 20-160	130±2.5 120-130	6.7 – 86.1
Time to nurse (minutes)	120±10.4 50-240	180±32.8 110-360	225±32.4 150-280	33.1 – 247.0
HR (bpm)	120±7.5 60-200	100±10.9 60-140	100±3.7 88-108	33.2 – 182.0
RR (bpm)	64±1.8 60-88	36±4.3 24-56	48±3.5 36-50	20.1 – 98.8
BT (°C)	38.3±0.1 37.5-39.2	38±0.2 36.8-39	36.9±0.7 34-37.2	37.1 – 39.2

423 Table 1. Data concerning the median±standard error, minimum and maximum values for
 424 time to acquire sternal recumbency and quadrupedal position, time to nurse from the
 425 mare, heart rate (HR), respiratory rate (RR) and body temperature (BT) in a population of
 426 44 donkey foals with different Apgar scores. Lower and upper limit of reference interval for
 427 our population were also reported. Legend: bpm: beat per minute; bpm: breath per minute.
 428

1 **Research Article**

2

3 **RELATION BETWEEN APGAR SCORING AND ~~VARIOUS~~ PHYSICAL PARAMETERS**
4 **IN 44 NEWBORN AMIATA DONKEY FOALS AT BIRTH**

5

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23 **Abstract**

24 The assessment of the behavior and physiological parameters of neonatal foals is
25 essential in the detection of early signs of illness. Modified Apgar scoring systems from
26 human medicine exist and have been validated in foals as a guide for assessing neonatal

27 viability after birth. This study evaluated the viability of 44 Amiata donkey foals at birth, by
28 assessing the Apgar score and comparing the relationship between viability and various
29 physical parameters.

30 A total of 44 Amiata donkey foals and 27 jennies were enrolled in this study. An expert
31 operator examined each foal within 5 minutes of birth. A complete physical examination
32 was performed, along with an existing four-parameter Apgar score. The presence of the
33 suckling reflex was evaluated. The interval time needed to acquire sternal recumbency
34 and quadrupedal position, as well as nurse from the mare, were recorded. In addition,
35 heart rate (HR), respiratory rate (RR), and rectal body temperature (BT) were measured.
36 Results were expressed as median±standard error, minimum and maximum values.

37 The effects of the Apgar score on time to reach sternal position and quadrupedal standing,
38 time to nurse from the mare, RR, HR, and BT were estimated along with the differences
39 related to Apgar scoring and gender. Differences between female and male donkey foals
40 regarding the time to acquire sternal position and quadrupedal standing, time to nurse
41 from the mare, RR, HR, and BT were also assessed. Differences between female and
42 male donkey foals regarding the Apgar score was evaluated using a chi-Square test.
43 Finally, the reference values for Amiata donkeys were also calculated.

44 Twenty/44 (45.4%) foals were colts and 24/44 (54.5%) were fillies born from 27 jennies.
45 None of the foals showed an Apgar score lower than 6. Twenty-nine out of 44 foals
46 showed an Apgar score of 8/8, 10/44 a score of 7/8, while 5 foals (11.3%) showed a score
47 of 6/8. No differences between fillies and colts in relation to the Apgar score were
48 obtained.

49 **Keywords**

50 Donkey foal, Apgar score, neonatal evaluation, vitality.

51 **1. Introduction**

52 Donkeys (*Equus asinus*) have been close companions to humans for millennia and have
53 been used as working animals all over the world [1]. Today, donkeys are also used in milk
54 production for children with an intolerance to cow's milk [2,3] or in animal-assisted therapy
55 [4]. The scientific literature has shown a renewed interest in these animals regarding their
56 welfare [5], infectious diseases [6-8], alternative therapies [9], the need for specific
57 diagnostic criteria and reference values in adult donkeys [10-13], pregnant and lactating
58 jennies [14-16], and donkey foals [17-19].

59 Equine foals adapt rapidly to extra-uterine life, which is usually completed within a few
60 days after birth. Normal foals are able to stand, to bond with the mare and show udder-
61 seeking behaviour within a few hours of birth. A rapid adjustment of the foal's overall
62 physiology to the extra-uterine life is crucial for their survival [20].

63 Peri-partum represents a very critical period. Data have shown that the mortality rate can
64 reach 1.7% within the first 48 hours of a live birth [21]. Initial clinical signs of many
65 systemic disorders may be vague and go unnoticed. For both practitioners and owners, it
66 is therefore important to know the history of the mare (pregnancy and delivery), in order to
67 evaluate the possible risk factors for perinatal diseases [22] and thus assess neonatal foal
68 behavior very early on.

69 Ideally, the Apgar score should be performed within a few minutes of birth. Modified Apgar
70 scoring systems from human medicine [23] have been developed and validated in foals as
71 a guide for assessing neonatal viability [24-26].

72 Despite the large amount of literature on equine foals, there is a lack of knowledge
73 regarding viability assessments of donkey foals at birth. The aim of the present study was
74 thus to evaluate the viability of a cohort of 44 Amiata donkey foals at birth by assessing
75 the Apgar score and comparing the relationship between the Apgar score and various
76 physical parameters.

78 **2. Materials and Methods**

79 *2.1 Animals*

80 A total of 44 Amiata donkey foals and 27 jennies belonging to the Regional Stud Centre of
81 Tuscany (Italy) were enrolled in this study. The Amiata donkey is one of the most common
82 Italian donkey breeds originating from the Amiata mountain in central Italy. In 1990 the
83 Biodiversity Committee of the European Parliament included the Amiata donkey in the list
84 of endangered breeds (NL 215/90).

85 The donkey foals were born at the Veterinary Teaching Hospital “Mario Modenato”,
86 Department of Veterinary Sciences, University of Pisa (n=27), at the Regional Stud Farm
87 “Le Bandite di Scarlino” (Grosseto, Italy) (n=17) between 2005 and 2019.

88 All foals and jennies underwent similar management conditions. Jennies were housed in
89 collective paddocks (8-10 animals/each) during pregnancy. Close to parturition (between
90 10 and 15 days), jennies were housed in individual 6x6 m boxes where after delivery they
91 were kept with their foals until the second week post-partum. From sexual maturity, the
92 jennies were trained to be separated from each other and spend some time in the box,
93 however, due to their gregarious nature, the jennies were able to see and hear each other
94 whilst in the box. Jennies were fed with meadow hay *ad libitum* along with commercial
95 equine feed, in line with the NRC energy recommendations [27].

96 At inclusion time, data concerning age, parity, body weight (BW) and body condition score
97 (BCS) [28] were recorded. Information regarding those jennies that had undergone more
98 than one pregnancy and delivery throughout the study period, were assessed each time.

99 Approval to conduct this study was obtained from the Ethics Committee on Animal
100 Experimentation of the University of Pisa and transmitted to the Italian Ministry of Health
101 for the study-period 2005-2013 in line with the D.Lgs 116/92. Concerning the period
102 between 2016 and 2019, the approval was obtained from the “Organismo Preposto al

103 Benessere Animale (OPBA), University of Pisa, according to the D.Lgs 26/14 (Prot. N.
104 33476/16).

105 *2.2 Delivery management*

106 The expected time for delivery was assessed by observation of mammary gland growth
107 and calcium concentration in the milk. Mammary glands were examined visually every 24
108 hours and by palpation in order to assess the turgidity and filling degree [29,30]. When
109 mammary secretion was present, the milk calcium concentration was evaluated every 24h
110 at 6:00 pm using a commercial colorimetric kit (FoalWatch Titrets[®] for Daytime Foaling
111 Management, Chemetrics, Inc., Calverton, VA USA). Since the electrolyte trend in jenny's
112 milk near to parturition is similar to equine mares [31], an expert operator began to attend
113 mares at night for parturition when the calcium concentration was >200 ppm, as indicated
114 by the manufacturer. When the delivery started, the operator visually supervised each
115 phase of the delivery. Care was taken to prevent stress throughout the procedures. In
116 case of needs, the delivery was assisted by an expert operator.

117 *2.3 Examination and sampling procedures*

118 An expert operator examined each foal within 5 minutes of birth. A complete physical
119 examination was performed, along with a four-parameter Apgar score, as proposed by
120 others [25]. The presence of the suckling reflex was evaluated. The interval time needed
121 to acquire sternal recumbency, quadrupedal position and nurse from the mare were
122 recorded [30]. In addition, heart rate (HR), respiratory rate (RR), and rectal body
123 temperature (BT) were measured. The naturally or manually detachment of the umbilical
124 cord was recorded, along with the first spontaneous urination and meconium expulsion
125 with or without the use of an osmotic enema. Foals were only restrained manually, and no
126 drugs were used during the clinical examination.

127 *2.4 Statistical Analysis*

128 For statistical analysis, foals were retrospectively divided into three categories: 1) foals
129 reaching an Apgar score of 8/8; 2) foals reaching an Apgar score of 7/8; and 3) foals
130 reaching an Apgar score of 6/8. Results concerning physical parameters were evaluated
131 for distribution using a Kolmogorov-Smirnov test. Data showed a non-Gaussian distribution
132 and thus expressed as median±standard error, minimum, and maximum values.

133 The effect of the Apgar score on time to reach the sternal position and quadrupedal
134 standing, time to nurse from the mare, RR, HR, and BT were estimated by the Kruskal
135 Wallis test and Dunn's multiple comparison test as a post-hoc analysis. Differences
136 between female and male donkey foals regarding time to acquire sternal position and
137 quadrupedal standing, time to nurse from the mare, RR, HR, and BT were assessed using
138 the Mann-Whitney test for unpaired data. Differences between female and male donkey
139 foals regarding the Apgar score was assessed using a chi-Square test. Statistical
140 significance was set at 0.05. Statistical analysis was performed using a commercial
141 software (Graph Pad Prism, 6.0, USA).

142 Since no interval ranges of values are available for Amiata donkeys, lower and upper limit
143 of reference interval were calculated using results of Apgar 7/8 and 8/8 foals by Reference
144 Value Advisor software, as described by Geffrè et al. [32].

145

146 **3. Results**

147 Twenty/44 (45.4%) foals were colts and 24/44 (54.5%) were fillies, born during a fourteen-
148 year study (2005-2019), from twenty-seven Jennies. Three out of 27 (11.1%) mares were
149 primiparous. The median age was 6.9 years old (3-15 years), the median body weight was
150 346 kg (290-360 kg), and the median body condition score was 4/9 (3-7/9).

151 Two twin pregnancies were observed. Thirty-seven out of 42 (88%) deliveries were not
152 assisted, while 5 out of 42 (12%) were assisted. No C-section were needed. Concerning
153 the assisted deliveries, mares were all multiparous (second pregnancy in 2/5 jennies, third

154 pregnancy in 2/5 jennies and eighth pregnancy in 1/5 mare); 2/5 were twin pregnancies
155 (1/2 second pregnancy and 1/2 third pregnancy), while 3/5 were non-twin pregnancies (1/3
156 second and third pregnancy, respectively; 1/3 eighth pregnancy). None of the foals
157 showed an Apgar score lower than 6. Twenty-nine out of 44 foals (65.9%) (15/29 fillies
158 and 14/29 colts) reached an Apgar score of 8/8, 10/44 (22.7%) (8/10 fillies and 2/10 colts)
159 a score of 7/8, while 5 foals (11.3%) (4 males and 1 female) reached a score of 6/8; 2/5
160 were born from a twin pregnancy (1/2 was female, 1/2 male), and the related twins, both
161 males, showed an Apgar score of 7/8. Of the 5 donkey foals with an Apgar of 6/8, the 2/5
162 twins showed mild hypoxic-ischemic encephalopathy, 1/5 non-twins were septic and 2/5
163 non-twin foals showed signs of immaturity (pregnancy length $>353\pm 13$ days). All the foals
164 that showed an Apgar score of 6/8 were born from an assisted delivery.

165 Suckling reflex was present in all the 44/44 (100%) foals within the physiological timing for
166 equine species (2-20 minutes) but was weak in 2/44 (4.5%) non-twin foals with an Apgar
167 score of 6/8, while strong in 42/44 (95.5%).

168 The umbilicus was naturally detached in 43/44 (97.7%) foals within physiological range
169 (15.9 ± 5.2 minutes), while it was manually torn only in 1/44 (2.3%) foal with 8/8 Apgar
170 score. First urination was observed within the reference range for equine foals (by 8.5
171 hours) in all the donkey foals, meconium was naturally passed in 4/44 (9.1%) foals, while
172 an osmotic enema was administered after the first suckling in 41/44 animals (90.9%).

173 Table 1 reports the data concerning the median, minimum and maximum values for time to
174 reach sternal recumbency and quadrupedal position, time to nurse from the mare, HR, RR
175 and RT in relation to the Apgar values recorded.

176 Differences were found in terms of the time to acquire quadrupedal standing, to nurse the
177 mare, RR and BT among foals with different Apgar scores (6/8 vs 7/8 vs 8/8) (Figure 1),
178 while no difference was obtained for the time to reach sternal position ($p=0.1$) and HR
179 ($p=0.31$).

180 No differences were observed between female and male donkey foals for the time to reach
181 sternal recumbency ($p=0.565$) and quadrupedal standing ($p=0.402$), to nurse from the
182 mare ($p=0.804$), and on HR ($p=0.302$) and RR ($p=0.687$), while differences were observed
183 between sexes and body temperature ($p=0.033$) values.

184 No differences were obtained between female and male donkey foals in relation to Apgar
185 scoring ($p=0.07$).

186 The reference intervals for the time to acquire sternal recumbency, quadrupedal standing
187 and to nurse, along with HR, RR and BT were reported in table 1.

188

189 **4. Discussion**

190 The Apgar scoring system was designed by Virginia Apgar in 1952 to provide a method to
191 assess the newborn's condition at specific intervals after birth in humans in order to better
192 assess the efficacy of resuscitation in newborn babies. The criteria for evaluation were
193 skin color and appearance, pulse rate, reflex irritability, muscle tone and respiration [33].
194 Each criterion was determined on a scale from 0 to 2, with the sum of the five values
195 resulting in an Apgar score that ranges from 0 to 10. In humans, the survival rate of
196 newborns with an Apgar score <3 is usually considered as critical, from 4 to 6 is graded as
197 less critical, and over 7 is regarded as normal [34].

198 The Apgar scoring system was introduced into veterinary medicine to assess the clinical
199 status of foals [24-26], puppies [35,36] calves [37,38] and piglets [39,40] with variations
200 compared to human newborn babies in order to assess the viability and effective perinatal
201 asphyxia detection [23].

202 A modified Apgar score was developed for foals [24,25,41], consisting of evaluating the
203 heart rate, respiratory rate, and muscle tone and irritability reflex. However, few data are
204 available on the assessment of behavior and physical parameters in donkey foals at birth.
205 In the present study, the Apgar score was assessed in a cohort of 44 donkey foals at birth.

206 All the jennies included in the present study did not show any risk factors which might have
207 influenced pregnancy or increased foals' morbidity and mortality during the neonatal
208 period. All the mares included could be considered "not old" (>15 years)
209 (<https://thehorse.com/breeding-the-older-mare>), showed a BCS balanced for frame and
210 covering [28] and a normal gestational time for this species [14]. Finally, no clinical signs of
211 systemic or reproductive diseases were noticed during the all pregnancy-period.

212 In our study, the incidence of an Apgar score was equal to 6/8 due to a mild hypoxic-
213 ischemic encephalopathy (2/44 foals, 4.5%) seems to be higher than in equine foals (1-
214 2%) [42]. However, our population presented a relatively high prevalence of twins (4/44
215 foals, 9.1%), which might have been represented a bias. A high prevalence of poor viable
216 donkey foals (2/5, 40%) were indeed composed of twins, which could explain the higher
217 rate of hypoxic-ischemic encephalopathy found in our donkey foals. Usually, hypoxic-
218 ischemic encephalopathy is associated with adverse peri-partum events, such as weak
219 delivery, premature placental separation, and dystocia. In this study, 2/5 donkey foals with
220 hypoxic-ischemic encephalopathy and an Apgar score of 6/8 were born after a twin
221 pregnancy. In approximately 87% of twin pregnancies, mares abort or suffer dystocia, and
222 twin foals suffer a higher rate of stillbirth and perinatal death [43].

223 The median values obtained in this cohort of donkey foals with normal viability regarding
224 time to acquire sternal recumbency, heart and respiratory rates, and body temperature are
225 similar to findings reported in equine foals in previous studies [26,44-46]. The time to stand
226 in quadrupedal position was shorter compared to a previous study performed on Martina
227 Franca donkey foals [30], while the time to nurse was longer [18,33,47]. However, both
228 standing and suckling time were within the reference range for equine foals [26].
229 Establishing ranges for the time to stand and time to nurse might be a challenge for
230 owners. Extensive knowledge of neonatal physiology is essential for recognizing the
231 clinical abnormalities that are usually associated with systemic pathophysiological

232 changes. A better understanding of normal standing and nursing times might lead to a
233 prompt identification of clinical signs related to any impairment during the neonatal period
234 and to improve the animal's prognosis [48].

235 Analyzing our population of donkey foals in relation to the Apgar score assigned (8/8 or
236 7/8 or 6/8), the time to stand and to nurse were statistically shorter in foals with an Apgar
237 of 8/8 compared to Apgar 7/8 or 6/8, while the time to reach sternal position was similar
238 among the three groups. Immediately after parturition, cortisol levels are reported to be
239 high in newborns in response to the stress of delivery, birth and adaptation to extra-uterine
240 life [49,50]. A higher cortisol concentration might help even in foals with an Apgar score of
241 7-6/8 reach the sternal position. However, in the present study the number of foals with an
242 Apgar score between 6/8 and 7/8 was lower compared to those with an Apgar score of
243 8/8. An increasing number of donkey foals with an Apgar score of less than 8/8 might be
244 beneficial in order to improve the statistical analysis and lead to well-defined reference
245 intervals that can help owners and practitioners dealing with a neonatal Amiata donkey
246 foal.

247 The respiratory rate and body temperature were within the physiological equine foal range
248 in donkey foals with a normal Apgar score (7/8 or 8/8), while foals with a low Apgar score
249 (6/8), showed lower values [26]. In addition, both respiratory rate and body temperature
250 were statistically lower in donkey foals with an Apgar score of 6/8 compared to those with
251 normal Apgar values (7/8 or 8/8). The compromised respiratory rate in donkey foals with a
252 low Apgar score might be related to abnormal breathing due to perinatal diseases
253 (hypoxic-ischemic encephalopathy, immaturity/dysmaturity, septicemia). Sick foals may
254 develop an abnormal low respiratory rate as a result of central nervous system damage or
255 depression [24]. The significant decrease in body temperature in foals with an Apgar of 6/8
256 might be related to a decreased metabolic demand [51].

257 No differences were found among the three groups regarding heart rate, thus this
258 parameter does not seem to be related to different Apgar scoring in donkeys. Foals with a
259 low Apgar score and related neonatal pathology usually show an altered heart rate [24].
260 This difference might be due to the species, or to the relatively low number of animals
261 included. An increased study population is needed in order to better understand the heart
262 rate pattern in donkey foals with a low Apgar score ($\leq 6/8$).

263 In conclusion, the modified Apgar score assessed immediately after birth has proved to be
264 an effective and easy method to assess vitality in neonatal donkey foals, also in field
265 conditions. Further studies are needed to evaluate the value of the Apgar score in
266 predicting the short-term survival rate of donkey foals. The interval ranges for clinical
267 values of Amiata donkey foals at birth calculated in this study might be use as lower and
268 upper limit of reference intervals for this breed. However, an increased study population
269 would be needed for a deeper understanding of these results.

	Apgar 8/8 (n=29)	Apgar 7/8 (n=10)	Apgar 6/8 (n=5)	Lower and upper limit of Reference interval
Sternal recumbency (minutes)	1±0.1 1-3	1.5±0.7 1-8	2±0.5 1-4	0.1—2.6
Standing time (minutes)	40±8.9 7-240	40±18.8 20-160	130±2.5 120-130	6.7—86.1
Time to nurse (minutes)	120±10.4 50-240	180±32.8 110-360	225±32.4 150-280	33.1—247.0
HR (bpm)	120±7.5 60-200	100±10.9 60-140	100±3.7 88-108	33.2—182.0
RR (bpm)	64±1.8 60-88	36±4.3 24-56	48±3.5 36-50	20.1—98.8
BT (°C)	38.3±0.1 37.5-39.2	38±0.2 36.8-39	36.9±0.7 34-37.2	37.1—39.2

271 ~~Table 1. Data concerning the median±standard error, minimum and maximum values for~~
272 ~~time to acquire sternal recumbency and quadrupedal position, time to nurse from the~~
273 ~~mare, heart rate (HR), respiratory rate (RR) and body temperature (BT) in a population of~~
274 ~~44 donkey foals with different Apgar scores. Lower and upper limit of reference interval for~~
275 ~~our population were also reported. Legend: bpm: beat per minute; bpm: breath per minute.~~

277 **Figure Legend**

278 Figure 1. Box-plot showing median, 1st and 2nd quartiles, minimum and maximum values
279 for time to acquire quadrupedal standing (a), time to nurse from the mare (b) both
280 expressed as minutes, respiratory rate expressed as breaths *per* minute (BPM) (c), and
281 body temperature expressed as Celsius degree (d) in donkey foals grouped by Apgar
282 score values. Legend: within graphs different letters denote a significant difference; °:
283 outliers.

284 **Authorship**

285 FB – acquisition of data, drafting and revising the article, final approval of the version to be
286 submitted; IN, DP and VV – acquisition of data; GC – analysis and interpretation of data.

287 MS– design of the study, acquisition of data, interpretation of data, revising the article, final
288 approval of the version to be submitted.

289

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298

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