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**In situ microwave assisted extraction of clove buds to isolate essential oil,
polyphenols, and lignocellulosic compounds**

*José Gonzalez-Rivera,^{a,b} Celia Duce,^{a,b} Beatrice Campanella,^c Luca Bernazzani,^b Carlo Ferrari,^a Eleonora
Tanzini,^c Massimo Onor,^c Iginio Longo,^a Julian Cabrera Ruiz,^d Maria Rosaria Tinè^{a,b} and Emilia
Bramanti^{c*}*

^a National Institute of Optics, (INO-CNR)–UOS Pisa, Via G. Moruzzi 1, 56124 Pisa.

^b Department of Chemistry and Industrial Chemistry, University of Pisa, Via G. Moruzzi 13, 56124 Pisa, Italy.

^c Institute of chemistry of organometallic compounds (ICCOM-CNR) Pisa, Via G. Moruzzi 1, 56124 Pisa, Italy.

^d Department of Chemical Engineering, University of Guanajuato, Noria Alta s/n 36050, Guanajuato, Gto, Mexico.

* Corresponding author: e mail address bramanti@pi.iccom.cnr.it

17 ABSTRACT

18

19 Clove buds is a spice of relevance in food, traditional medicine, pharmaceuticals and cosmetics and, among
20 the spices, they have the highest content of total polyphenols with exceptional antiviral and antimicrobial
21 properties. Various approaches have been reported for the isolation of essential oil from clove buds.
22 Nonetheless, the qualitative and quantitative analysis of hydrosoluble polyphenols and solid residues
23 simultaneously yielded during the extraction process has not been explored yet. This work is focused on
24 the analysis of some variables effect on yield and composition of the clove buds essential oils on a green
25 microwave assisted extraction, and the characterization and quantification of the different compounds
26 obtained from the extraction process. A versatile coaxial dipole antenna, to directly apply the
27 electromagnetic energy inside the extraction medium, was used to thermally activate the hydrodistillation.
28 The composition profiles of clove buds essential oil and hydrosoluble polyphenols obtained during in-situ
29 microwave assisted extraction (IMWAE) were analysed and quantified by head space gas chromatography
30 mass spectrometry (HS-GC-MS) and liquid chromatography with UV/visible diode array/fluorescence
31 detector (HPLC-DAD-FD). The solid residue was characterized by Fourier Transform Infrared (FTIR)
32 spectroscopy and its composition in term of lignin, cellulose and hemicellulose was predicted. The green
33 IMWAE process was compared with the conventional hydrodistillation (CH) in terms of yield and quality
34 of isolated products. Thermogravimetry coupled to FTIR analyses of the evolved gases from the solid
35 residue evidenced that the solid residue obtained from IMWAE of clove buds is richer in cellulose-
36 hemicellulose than the residue obtained from CH. This can be because of microwaves that allow to remove
37 a higher amount of phenolic compounds/lignin oligomers. The enthalpy of combustion values ($\Delta_c H$) (kJ/g)
38 of IMWAE and CH residues were determined by calorimetric combustion and were compared with the –
39 $\Delta_c H$ (kJ/g) values calculated using the hemicellulose, cellulose and lignin compositions predicted by partial
40 least square chemometrics. The $\Delta_c H$ highlighted the energetic features of solid residues from IMWAE and
41 CH for their potential uses as alternative biomass for fuel production and here firstly reported for this kind
42 of biomass. The extraction approach here presented is environmentally friendly, highly flexible, easily
43 controllable, time saving, and enables to break the scale-up barrier in microwave assisted industrial
44 processes aimed to valorise aromatic herbs and eventually to exploit vegetable wasting materials. This
45 leads to a lowering of production costs and, therefore, of the market price of isolated extracts from aromatic
46 herbs.

47

48 *Keywords:* Biomass Food chemistry • Clove buds • Microwave chemistry • Polyphenols • Renewable
49 resources

50 **Introduction**

51 Aromatic herbs, medicinal plants and lignocellulose biomass represent one of the most fascinating new
52 platforms for biorefinery, which allows the extraction of an unlimited amount of different chemical
53 compounds to be used as bioactive compounds for specific applications such as fragrances, personal care
54 products, medicines or fuels for green energy production (Zuin et al., 2018). Most of the time, the efforts
55 were focused on a one-target product isolation approach, such as for essential oils extraction (EOs).
56 However, this approach leads to an increase in the costs and environmental impact of isolated products.
57 EOs extracted from aromatic herbs are, indeed, expensive products due to their intrinsic low extraction
58 yield and cost of their production. These complex mixtures of volatile organic compounds have been
59 typically isolated by steam distillation (Schimdt, 2010).

60 Classical hydrodistillation (CH) was developed at lab scale as a simple extraction approach involving only
61 water, herbs and energy (Rassem et al., 2016; Schimdt, 2010). Thus, the efforts to optimize this extraction
62 process to isolate EOs were focused on the minimization of energy consumption, time and cost of the
63 process.

64 Hydrodistillation has been then enhanced by the use of various energy sources and environmentally friendly
65 extraction methods like microwave assisted extraction (MWAE) (Bagherian et al., 2011; Golmakani and
66 Rezaei, 2008; Gonzalez-Rivera et al., 2016; Gonzalez Rivera et al., 2016; Roldán-Gutiérrez et al., 2008;
67 Saleh et al., 2016; Tiwari, 2015), supercritical fluid extraction (SFE) (Cassiana Frohlich et al., 2019) and
68 ultrasound-assisted extraction (Pingret et al., 2014; Roldán-Gutiérrez et al., 2008; Saleh et al., 2016). Green
69 solvents like ionic liquids have been also tested (Ascrizzi et al., 2017). These methods have been shown
70 suitable to produce EOs with sensorial properties similar to those obtained by CH, with the advantage in
71 term of costs and timesaving.

72 The yield and chemical composition of the extracts depend on the extraction method employed for EOs
73 isolation and the intrinsic properties of the plant (Ascrizzi et al., 2017). Considering the target of obtaining
74 high quality and high purity EOs, significant amounts of solid residues are also produced as by-products.
75 These solid residues are mainly condensate polyphenols or insoluble cellulosic materials (Santana-Méridas
76 et al., 2014), which can be used as biomass precursors to obtain energy or bio-compound derivatives. In
77 EO hydrodistillation, high amounts of condensed water (also named as herbal water or hydrosol) containing
78 non-volatile soluble bioactive compounds with strong antioxidant properties (hydrosoluble polyphenols,
79 proteins/enzymes, aminoacids, polysaccharides, alkaloids, alcoholic compounds and vitamins) are also
80 produced (Petigny et al., 2014).

81 Polyphenols, in particular, have been found to have a strong antimicrobial and antiviral activity (Chiou et
82 al., 2016; de Oliveira et al., 2015; Jassim and Naji, 2003; Mukhtar et al., 2008; Song et al., 2005; Zhang et
83 al., 2020; Zhong et al., 2012). Oleuropein (Omar, 2010), for example, and its derivatives (tyrosol and
84 hydroxytyrosol) (Yamada et al., 2009) (extracted from olive leaves, *Olea europaea*) and eugenol (extracted
85 from cloves, *Eugenia caryophyllus L.*) (Hume, 1986) have a structure similar to the conserved amino acids
86 of the SARS-COV-19 virus S protein involved in receptor interaction (Sivanesam and Andersen, 2019).

87 Hydrodistillation offer a clear opportunity to valorize by-products. This work aims to investigate the effects
88 of several variables on yield and composition of the clove buds EO and their by-products (polyphenols and
89 lignocellulose) using a microwave assisted hydrodistillation process with microwave energy applied *in situ*
90 (*in situ* microwave assisted extraction, IMWAE) (Figure 1). This approach is based on the uses of a coaxial
91 dipole antenna to directly apply the electromagnetic energy inside the extraction medium (Calinescu et al.,
92 2017; Longo and Ricci, 2007). IMWAE has been previously successfully applied to the extraction of EO
93 from lavender, sage, rosemary, fennel seed and clove buds (Gonzalez Rivera et al., 2016). IMWAE features
94 a series of advantages: i) it easily provides microwave energy in a reaction vessel getting rid of the
95 restrictions of having a defined closed metal cavity, ii) it avoids the dispersion of the radiation since all the
96 energy generated by the magnetron is absorbed by the sample providing very high energy density and, iii)
97 the reactor walls can be made of any material and geometry. Moreover, the reactor can be safely installed
98 in every kind of research laboratories or industrial environments. Conventional hydrodistillation was also
99 investigated as comparison.

100

101 **Figure 1**

102

103 Clove buds have been chosen in this study as ligneous, representative substrate due to its relevance in food,
104 traditional medicine, pharmaceuticals and cosmetics (Khalil et al., 2017). Cloves are the aromatic flower
105 buds of a tree in the family *Myrtaceae*, *Eugenia caryophyllus*, native to the Maluku Islands in Indonesia,
106 and mainly used as a spice. Cloves are commercially harvested primarily in India, Pakistan, Indonesia,
107 Madagascar, Zanzibar, Sri Lanka and Tanzania. But, Indonesia and Madagascar are the main clove buds
108 oil producer (Uddin et al., 2017). Oil is extracted from buds, leaf and stem oil. Bud oil contains 60-90%
109 eugenol, the main component, eugenyl acetate, caryophyllene and other minor constituents (Cortés-Rojas
110 et al., 2014). Several reports highlighted that the daily intake of cloves can help to control the glucose,

111 triglycerides and LDL cholesterol levels in the blood (Khan et al., 2006) and that clove buds are among the
112 spices with the highest content of total polyphenols (Assefa et al., 2018).

113 The strong antimicrobial, antifungal, anti-inflammatory and antiviral activity of eugenol emerged from a
114 number of recent studies (Marchese et al., 2017). Specifically, eugenol has shown promising antiviral
115 activity against feline calicivirus, tomato yellow leaf curl virus, influenza A virus, herpes simplex virus
116 type 1, herpes simplex virus 2, ebola virus, four airborne phages as well as larvicidal activity against *Aedes*
117 *aegypti* (Lane et al., 2019) and references therein), anesthetic activity, inhibitory activity towards the
118 pathogens of dental caries (Uju and Obioma, 2011), antioxidant potential, antimicrobial role (Hadidi et al.,
119 2020), antifungal role (Munoz Castellanos et al., 2020; Wan et al., 2020), anti-inflammatory action, anti-
120 carcinogenic effects (Nirmala et al., 2019), neuroprotective ability, hypolipidemic efficiency and anti-
121 diabetic effectiveness (Gonzalez Rivera et al., 2016; Grumezescu et al., 2013; Lee and Shibamoto, 2001).
122 Studies showed that eugenol inhibits the replication of influenza A virus by interfering with the ERK,
123 p38MAPK and IKK/NF- κ B signal pathways (Dai et al., 2013). Eugenol has a structure similar to conserved
124 amino acids in the sequence of spike protein (S protein) of SARS-CoV-2, which is involved in the
125 interaction with ACE2 receptors during infection (Sivanesam and Andersen, 2019). It is reasonable to
126 hypothesize that the interaction of eugenol or other similar small polyphenols may interact with beta
127 structures of S protein avoiding the attack of the virus to the host cell (Bramanti et al., 2013, 2010;
128 Sgarbossa et al., 2013). Eugenol is generally recognized as a safe and non-mutagenic compound by World
129 Health Organization (“TOXNET. Toxicological data network: Eugenol,” n.d.). Novel application of clove
130 EO includes its use as a green monomer to produce polymers (Deng et al., 2015).

131 Clove bud polyphenols have a powerful antimicrobial and antiviral activity (Chioy et al., 2016; de Oliveira
132 et al., 2015; Jassim and Naji, 2003; Mukhtar et al., 2008; Song et al., 2005; Zhang et al., 2020; Zhong et
133 al., 2012). Among these, quercetin has been found have a potential role against coronavirus disease 2019
134 (COVID-19) (Derosa et al., 2020). In several applications polyphenols have been used to treat materials in
135 order to give them antiviral properties (Catel-Ferreira et al., 2015; Kazuo and Yoshikatzu, 1997).

136 In view of the properties mentioned above, in this work IMWAE has been applied to clove buds (i) as
137 representative, complex, ligneous matrix, (ii) in order to chemically characterize not only EO, but also
138 residual condensed water and solid residue after IMWAE and CH. While many extraction approaches have
139 been addressed only to optimize the yield of clove EOs, few information are reported in the literature on
140 the chemical composition of by-products. EO composition has been assessed using headspace gas
141 chromatography mass spectrometry (HS-GC-MS). The polyphenolic profile of water extracts has been
142 assessed by liquid chromatography with UV/visible diode array and fluorescence detector (HPLC-DAD-

143 FD). The solid residue obtained after IMWAE and CH has been characterized by thermogravimetric
144 analysis coupled to FTIR (TGA-FTIR), ATR-FTIR and by calorimetric combustion. TGA-FTIR has
145 allowed us to investigate the thermal behaviour and the composition of the gases evolved during the thermal
146 decomposition of the solid residues after both IMWAE and CH of clove buds. FTIR spectroscopy coupled
147 to partial least squares (PLS) analysis has allowed us to quantify the lignin, cellulose and hemicellulose
148 content of solid residue by applying a chemometric method previously developed (Chen et al., 2010).
149 Enthalpy of combustion values ($\Delta_c H$) was also calculated based on the calorimetric combustion of IMWAE
150 and CH. This information, which is reported here for the first time, is potentially useful for the alternative
151 uses of these solid residues as fuels for energy production.

153 **Experimental**

154 **Materials**

155 Dry clove buds (Indonesia) were purchased from a local market. Phloretin, ellagic acid, resveratrol,
156 chlorogenic acid, cyanin chloride, coumarin, quercetin, tannic acid, resorcinol, pyrocatechol, pyrogallol,
157 (-)-epicatechin, gallic acid, (-)-epigallocatechin gallate, rosmarinic acid, trans-ferulic acid, caffeic acid,
158 1,3,5-trihydroxybenzene dehydrate, salicylic acid, acetylsalicylic acid, (+)-abscisic acid, vanillin,
159 pinosresinol, (+)-catechin and eugenol were HPLC analytical standards purchased from Merck (Milan,
160 Italy). Tyrosol, hydroxytyrosol, oleuropein, syringic acid, luteolin and apigenin were purchased from
161 Extrasynthese (Cedex, France). Deionized water obtained with a Milli-Q system (Purelab Pro + Purelab
162 Classic, Millipore, USA) was used as a solvent for all the extractions. Ethanol (EtOH for HPLC, $\geq 99,8\%$,
163 Fluka), methanol (MeOH for HPLC $\geq 99\%$, Merck), dimethyl sulfoxide (DMSO for GC $\geq 99,5\%$, Merck),
164 sodium hydroxide (NaOH 0.1 M, Merck) and formic acid ($\approx 98\%$, Fluka) were used as solvents for the
165 preparation of standards solution of polyphenols.

167 **In situ microwave assisted extraction (IMWAE)**

168 The IMWAE apparatus, experimental set-up and extraction procedure are described as follow based on our
169 previous works with slight modifications (Gonzalez Rivera et al., 2016). Dry clove buds were ground to a
170 fine powder using a laboratory blender. A weighted amount (5, 10 or 20 g) of grounded clove buds was
171 mixed with 200 mL of deionized water and the aqueous substrate dispersion was loaded into a 350 mL flask
172 vessel. The vessel was wrapped by a metallic grid to prevent the emission of MW irradiation out of the

173 reaction medium and to ensure safe operating conditions. MW energy was applied by means of a coaxial
174 dipole antenna immersed into the extraction medium, protected by a glass tube. The extraction medium was
175 heated in the MW assisted device, for 10 min using 150 W of MW power while continuously stirring the
176 mixture at 250 rpm. The source of microwave was a magnetron oscillator equipped with forward and
177 reflected power indicators (SAIREM, Mod. GMP 03 K/SM, up to 300 W of continuous MW irradiation
178 power at a frequency of 2.45 GHz). Once the hydrodistillation had started, the power was kept at 150 W
179 for 90 min under steady state conditions. The extraction time has been selected based on literature reports
180 and previous published data of clove bud extraction by coaxial microwave assisted hydrodistillation
181 (Gonzalez Rivera et al., 2016). After completion of the extraction process, the EO was collected, decanted,
182 dried and weighed. The condensed water remained in the flask vessel was separated from the solid clove
183 bud residue by 12 min centrifugation at 5000 RPM. EO and condensed water were stored at 4 °C and -20
184 °C, respectively, in dark containers for further HS-GC-MS and HPLC-DAD-FD characterization. The
185 condensed water after extraction, also known as hydrosol, or herbal water corresponds to the total volume
186 of water used for the hydrodistillation. The concentration of water-soluble polyphenols hence corresponds
187 to the total amount of water used for the extraction. The solid residues were dried at 60 °C overnight before
188 FTIR and TGA-FTIR. Deionized water has been employed in this work for analytical purposes of the
189 products. For the scale up in industrial processes any type of water can be employed depending on the
190 subsequent use of the products.

192 **EO extraction by conventional hydrodistillation (CH)**

193 Hydrodistillation of clove buds using conventional heating was also performed for comparison. Briefly, 5
194 g of ground clove buds were loaded together with 200 mL of deionized water in a typical Clevenger
195 extractor. The extraction was thermally activated using a standard electric mantle operating at 250 W. Both
196 IMWAE and CH processes have been operated at 100°C. This detail has been added in the experimental.

198 **HPLC-DAD-FD analysis**

199 Condensed water extracts were analyzed using HPLC-DAD-FD system. An HPLC gradient pump (P4000,
200 ThermoFinnigan) was coupled with a vacuum membrane degasser (SCM1000, ThermoFinnigan), an
201 AS3000 autosampler (ThermoFinnigan), a UV6000 diode array detector and a FL3000 fluorescence
202 detector (ThermoFinnigan). Separations of polyphenols were carried out using a reversed-phase HPLC

203 column C18 Spherisorb S5 ODS2 (Waters, 250 mm x 4 mm, 5 μ m). Column temperature was set at 40 $^{\circ}$ C
204 and injection volume was 20 μ L.

205 Mobile phases for the determination of polyphenols in standard solutions and condensate water samples
206 was 5% methanol–0.1% formic acid in water (eluent A) and 95% methanol–0.1% formic acid in water
207 (eluent B). The gradient was as follows: 0–5 min, 100% A; 5–45 min, linear gradient up to 100% B; 45–55
208 min 100% B; 55–57 min, linear gradient up to 100% A. Post-run time was 15 min. Elution was performed
209 at a solvent flow rate of 0.8 mL min⁻¹. Table S1 summarized the retention time (t_R), solvent used for stock
210 solution preparation and spectra information (UV absorbance (λ_{max}) and fluorescence ($\lambda_{excitation}/ \lambda_{emission}$))
211 of 31 polyphenols standards separated using the above conditions.

212 ChromQuest™ 4.2 Chromatography Data System was used to carry out HPLC-DAD/FD control, data
213 acquisition and data analysis.

214

215 **Headspace gas chromatography/ mass spectroscopy (HS-GC-MS)**

216 Clove bud essential oils were analysed by HS-GC-MS. The analysis was carried out using an Agilent 6850
217 gas chromatograph coupled with an Agilent 5975c mass spectrometer. An Agilent GC Sampler 80 was
218 employed for HS sampling. The vials were incubated at 80 $^{\circ}$ C for 15 min. The chromatographic separation
219 was carried out on a J&W DB-WAX Ultra Inert column, (60 m \times 0.25 mm, 0.5 μ m film thickness) supplied
220 by Agilent Technologies (USA). Helium 5.6 IP was used as a carrier gas at constant flow of 1 mL/min. The
221 oven temperature program was as follows: 40 $^{\circ}$ C for 5 min, 19 $^{\circ}$ C/min up to 230 $^{\circ}$ C. The total runtime was
222 58 min. The temperature of the transfer line and ion source was set at 240 and 250 $^{\circ}$ C, respectively.

223

224 **ATR-FTIR spectroscopy analysis**

225 Infrared spectra were recorded by using a Perkin-Elmer Spectrum One FTIR Spectrophotometer, equipped
226 with a universal attenuated total reflectance (ATR) accessory and a triglycine sulfate TGS detector.
227 Measurements were performed in attenuated total reflectance (ATR) mode after background acquisition.
228 For each sample, 32 scans were recorded, averaged and Fourier-transformed to produce a spectrum with a
229 nominal resolution of 4 cm⁻¹.

230

231 Thermogravimetric- Fourier-transform infrared spectroscopy analysis (TG-FTIR)

232 The thermogravimetric experiments were performed using a TA Instruments Thermobalance model
233 Q5000IR equipped with an FTIR Agilent Technologies spectrophotometer model Cary 640 for Evolved
234 Gas Analysis (EGA). TG-FTIR measurements were performed using Pt crucibles at a rate of 20 °C/min,
235 from 30 °C to 900 °C under air or nitrogen flow (80 mL/min). The amount of sample in each measurement
236 varied between 10 and 15 mg. Mass calibration was performed using certified mass standards, in the 0–100
237 mg range, supplied by TA Instruments. The temperature calibration was based on the Curie Point of
238 paramagnetic metals. TG-FTIR measurements were performed in the range 600–4000 cm⁻¹ with a 4 cm⁻¹
239 width slit. To reduce the strong background absorption from water and carbon dioxide present in the
240 atmosphere in the TG-FTIR spectra, the optical bench was usually purged with nitrogen. In addition, a
241 background spectrum was taken before the beginning of each analysis in order to zero the signal in the gas
242 cell and to eliminate any contribution from ambient water and carbon dioxide.

244 Combustion calorimetry

245 Combustion of biomass samples was carried out by a home-made bomb calorimeter. A standard stainless-
246 steel bomb (volume = 375 ml) is placed in a bath with 2200 ml of water, equipped with a stirring system
247 and a temperature probe (thermistor). The bath is lodged in an isoperibol calorimeter. The calorimetric
248 curves are acquired by a personal computer and corrected to account for the heat losses through the
249 calorimetric wall. The calorimeter was previously calibrated by benzoic acid certified standard. One gram
250 of sample was pressed to form a pellet and placed in a crucible with about 0.5 g of hexadecane. An iron
251 wire was then immersed in the hexadecane and connected to electrical terminals. Then, about 0.5 ml of
252 water were placed in the bottom of the bomb in order to saturate the vapor phase of water and get the
253 higher calorific value of the sample. Finally, the bomb was closed and pressurized with oxygen (99.9%, 25
254 bar) after flushing for about 30 seconds, placed in the calorimeter and the sample was ignited. The ΔU value
255 was obtained for each experiment from the thermal effect, corrected for the heat losses. The blank
256 contribution due to the combustion of the ignition wire and hexadecane was subtracted. The experiments
257 were carried out at 25 ± 0.1 °C. The enthalpy of combustion can be obtained by Eq. (1):

$$258 \Delta_c H(p, T) = \Delta_c U(p, T) + \Delta v_g RT \quad (1)$$

259 where Δv_g is the variation of number of moles of gaseous species due to the combustion. However, since
260 $\Delta v_g = 0$ for both cellulose and hemicellulose, only the contribution due to lignin combustion should be

261 considered. Considering that the molar fraction of lignin in the biomasses here analysed is between 0.15
262 and 0.26, the correction term to calculate $\Delta_c H$ from $\Delta_c U$ is between -0.003 and -0.005 kJ g⁻¹, which is within
263 the experimental error. The correction for pressure value, $p \neq 1$ bar, is neglectable, as well. The overall
264 uncertainty on the $\Delta_c H$ values is within $\pm 2\%$ (N=3).

266 **Results and discussion**

267 **Clove buds essential oil yield and composition**

268 Clove buds are well known attractive substrate due to their high EO content and low cost. The maximum
269 amount of clove EO that can be extracted depends on their geographical origin of production, on the part
270 of the plant used for their extraction (leaves, buds or mixture thereof), on the pre-extraction treatment and
271 the extraction method employed. Figure 2 (a) shows the yield percent of clove buds EO isolated by IMWAE
272 using different liquid to solid ratio ($L/S_{ratio}=10, 20$ and 40) and fixed MW extraction conditions (reflux of
273 water using 150 W of MW at 2.54 GHz).

274 High EO extraction yields ranging from 5.5 ± 0.8 up to $16 \pm 1.5\%$ were obtained after 90 min of IMWAE.
275 The EO yield % strongly depends on the liquid to solid ratio, achieving the highest value at the highest ratio
276 explored. Figure 2 shows also the clove buds EO yield % obtained by CH as comparison. The extraction of
277 EO by CH was performed using the best liquid to solid ratio value explored ($L/S_{ratio}=40$) used for the
278 IMWAE. Under such conditions, CH yielded lower EO amount ($7.8 \pm 0.8\%$ wt) even if the extraction time
279 used was more than 30% longer (120 min). Thus, IMWAE confirmed its advantages as faster and greener
280 approach than CH, yielding higher amount of EO, as previously observed (Gonzalez Rivera et al., 2016).

282 **Figure 2**

283
284 Table 1 summarizes the literature reports concerning the isolation of clove buds extracts by different
285 approaches. EO yield values (widely ranging from 2.4 up to 41.8%) depend on both the extraction
286 conditions (time, L/S_{ratio} and solvent) and the extraction approach used. The comparison between the
287 different approaches (to maximize the yield %) is difficult since the clove bud cultivar and geographical
288 origin are also important factors.

289 As general remarks, according to Table 1 standard, conventional hydrodistillations (Chin this work) and
290 steam distillation (SD) methods using water as solvent and the longest extraction times (from 120 to 360
291 min and from 240 to 600 min for CH and SD, respectively) reach yields below 10% (wt) if the L/Sratio is
292 below 1:10 (w/w) (Gonzalez Rivera et al., 2016)(Leon-méndez et al., 2018) (Guan et al., 2007) and up to
293 20% (wt) if the L/Sratio increases up to 1:20 (Clifford et al., 1999) (Kapadiya et al., 2018). MW assisted
294 extraction methods (IMWAE, MAE, MASE and MWHD) slightly increase the EO yields strongly
295 decreasing the extraction times (from 30 to 120 min) (Gonzalez Rivera et al., 2016) (Kapadiya et al., 2018)
296 (Golmakani et al., 2017)(Leon-méndez et al., 2018).

297 The highest yield (up to 41.8%) (Guan et al., 2007; Yang et al., 2014) was obtained using Soxhlet extraction
298 (SE) and ethanol for 360 min. These features and the need of an additional step to separate the solvent from
299 EO, make solvent approach unfavorable for environmental and economic reasons. Supercritical fluid
300 extraction (SFE) has become a popular approach for treatment of clove buds, achieving high yields (Clifford
301 et al., 1999; Guan et al., 2007; Reverchon and Marrone, 1997; Scopel et al., 2014; Yang et al., 2014).
302 However, high pressure processing (from 100 up to 200 bar) and long extraction times (from 110 up to 330
303 min) can considerable influence the cost of EO production, beside the intrinsic concerns in the safety of the
304 process due to high pressures involved.

305 Ultrasound assisted extraction (UAE) is a green approach and high EO yields (up to 41%) are obtained in
306 short extraction time (45 min) (Alexandru et al., 2013; Tekin et al., 2015). The EO yields enhancement
307 using UAE is related to the US negative pressure cavitation effects. The cell membranes of the substrate
308 are disrupted by the hammer-like action of the produced solvent jets. However, this approach still uses
309 organic solvents or water-organic solvent mixtures that require an additional step of separation of the
310 organic solvent.

311 The IMWAE proposed in this work presents relative short extraction times (90 min) at atmospheric
312 pressure, it uses only water as solvent, and it gives yields up to 16% EO without additional purification
313 steps. Further benefits of IMWAE deal with an easy scale up, flexible technology, environmentally friendly
314 approach, safe operations, and low cost.

315 Figure 2 (b) shows the chemical composition, expressed as percentage, of EO isolated from clove buds
316 using IMWAE and CH, as comparison. Five compounds were identified by HS-GC-MS analysis,
317 corresponding to the full EO characterization. Eugenol was the main compound (48.9 ± 2.5 %) followed by
318 β -caryophyllene (42.8 ± 2.1 %). These two compounds account for more than 90% of EO composition. Other
319 identified compounds were α -caryophyllene (3.7 ± 0.2 %), α -cubebene (2.3 ± 0.1 %) and α -copaene (2.2 ± 0.1
320 %). The chemical composition of clove buds EO isolated through IMWAE or CH resulted statistically
321 indistinguishable.

322 Table 1 also enlists the main compounds of clove EO extracted using clove buds from different
323 geographical sources, different approaches and extraction conditions. Despite the many diverse factors
324 involved during extraction, eugenol was the most abundant compound in all cases, with the exception of
325 UAE procedure (Alexandru et al., 2013) where α -humulene was reported as the main compound obtained
326 from various clove buds from China, India and Madagascar. β -caryophyllene and eugenyl acetate were also
327 observed in clove buds EO almost in all cases, particularly in EO from clove buds from China and India
328 (Table 1). Their composition, however, is more linked to the cultivar of buds used. EO extracted in this
329 work from Indonesia clove buds have 42.8 ± 2.1 % of β -caryophyllene while eugenyl acetate was not
330 detected.

331 β -caryophyllene has been recently widely investigated due to its potential properties in biomedical
332 applications as anti-carcinogenic (Fidy et al., 2016) and organoprotective agent like the phytocannabinoids
333 (Al-tae et al., 2019).

334

335 **Table 1**

336

337 **Quantitative and qualitative analysis of hydrosoluble polyphenols**

338 Figure 3 shows the HPLC-DAD (a) and FD chromatograms (b) of condensed water obtained after EO
339 extraction by IMWAE and CH using a $L/S_{ratio}=40$ and, 90 min and 120 min of extraction time, respectively.
340 Nine different main polyphenols were identified by the comparison of both UV absorbance/fluorescence
341 spectra and retention time (t_R) of their corresponding analytical standards. To this purpose, an HPLC-DAD-
342 FD method using 31 analytical standards was developed; eluent conditions, instrumentation, and
343 polyphenols used in this work (including t_R and spectra information, λ_{max}) are fully described at the
344 experimental section and listed in Table S1 of ESI.

345 Gallic acid ($t_R = 12.2$ min), chlorogenic acid ($t_R = 22.6$ min) and eugenol ($t_R = 32.5$ min) were detected in the
346 absorbance chromatogram at 280 nm (Figure 3 (a)). Tyrosol ($t_R = 19.3$ min), catechin ($t_R = 20.4$ min), (-
347)epicatechin ($t_R = 23.4$ min), acetylsalicylic acid ($t_R = 27.5$ min) oleuropein ($t_R = 30.2$ min), and pinoresinol
348 ($t_R = 32.5$ min) were identified using the fluorescence detector (Figure 3 (b)). Condensed water from CH
349 showed a similar phenolic profile.

350 The condensed water, from IMWAE and CH, of clove buds is, thus, rich of bioactive compounds with well
351 known, strong antioxidant properties (Pandey and Rizvi, 2009).

352 Among the different polyphenols detected, gallic acid, chlorogenic acid and eugenol were identified as
353 the main compounds and their quantification is shown in Figure 3 (c) and 3 (d).

354

355 **Figure 3**

356

357 In the condensed water the composition of polyphenols showed small differences with respect to the
358 different extraction approaches employed (Figure 3 (c)). IMWAE yielded water extracts with almost one-
359 third of eugenol than that obtained from CH. Since eugenol is the main compound of clove buds EO, and
360 the EO yield is higher using IMWAE, the residual lower concentration in the aqueous extracts can be
361 explained with its more effective MW-mediated extraction and separation from the aqueous extraction
362 mixture. This result agrees with the higher EO yield obtained by IMWAE (Figure 2 (a)). The concentration
363 of gallic acid in the condensed water obtained using microwaves (10.4 mg/g) was slightly lower than in
364 that from CH (13.9 mg/g). The amount of chlorogenic acid in the condensed water from IMWAE (1.9
365 mg/g) was comparable with that from CH (1.7 mg/g).

366 Figure 3 (d) shows the composition of hydrosoluble polyphenols extracted using different L/S_{ratio} by
367 IMWAE. In agreement with the increase of EO yield as the L/S_{ratio} increases, the concentration of eugenol
368 in the condensed water decreased as the L/S_{ratio} increased: eugenol was, indeed, distilled from the aqueous
369 phase to the EO organic phase. However, in the cases of gallic acid and chlorogenic acid, the composition
370 in the condensed water seems to have a maximum at $L/S_{ratio}=20$. The L/S_{ratio} during the IMWAE is an
371 important factor to be controlled when the aim of the hydrodistillation is both the EO production and/or the
372 polyphenols valorization.

373 Few works reported in the literature deal with the characterization and quantification of polyphenols
374 extracted from clove buds. Adefegha et al. reported the phenolic profile of the water obtained after cooking
375 clove buds and they quantified gallic acid, catechin, chlorogenic acid, caffeic acid, ellagic acid, rutin,
376 quercitrin, quercetin, kaempferol, and luteolin by HPLC-DAD analysis (Adefegha et al., 2016). The use
377 of solvents like methanol has been also investigated for the specific extraction of polyphenols from clove
378 buds (Dua et al., 2015). Gallic acid, eugenol, quercetin and tocopherol were identified in the methanol-
379 water (80:20 v/v) extracts after 4 h of extraction at room temperature (Dua et al., 2015). The total amount
380 of polyphenols extracted was enhanced by the use of methanol.

381 The analysis of hydrosoluble polyphenols isolated in the condensed water after EO clove bud extraction
382 has been limited to the total phenolic content assay (TPC) (Table 1). In this work, a qualitative and
383 quantitative analysis of the condensed water obtained during clove buds EO isolation by both IMWAE and
384 CH has been reported for the first time.

385 In summary, the amount of hydrosoluble polyphenols, with strong antioxidant properties, found in the
386 condensed water after the extraction of EO from clove buds, is significant and it opens new opportunities
387 for condensed water valorisation.

388

389 **FTIR-PLS analysis and thermal behavior of lignocellulosic residue**

390 The solid residues remaining after the treatment of aromatic herbs aimed to extract EO represent the highest
391 mass of by-products after HD and it has been usually managed as waste, typically burned for energy
392 production (Kapadiya et al., 2018). This practice, however, is not efficient because of the high moisture
393 content of the solid residues, which leads to high costs of waste disposal. This type of solid residue is rich
394 of biopolymers like cellulose, lignin, hemicellulose, which represent a low-cost feedstock for biorefining.
395 The depolymerization of lignocellulosic material into several feedstock chemicals such as glucose,
396 furfurals, levulinic acid and other compounds, is clearly a greener alternative (González-Rivera et al.,
397 2014). However, its potential uses, as renewable feedstock, have been limited due to the scarcely available
398 information of its chemical composition.

399 Fourier Transform Infrared spectroscopy, thermogravimetric analysis and calorimetric combustion were
400 carried out in this work in order to characterize the solid residue after the IMWAE and CH. Figure 4 (a)
401 shows the FTIR spectra of raw dry clove buds and the corresponding extracted EO. The two spectra have
402 similar structural features due to the high amount of EO contained in the raw clove buds. The main peaks
403 observed match with the spectral profile of eugenol (OH stretching at 3516 cm^{-1} , symmetric and asymmetric
404 stretching of methylene and methyl groups at 2920 and 2853 cm^{-1} , CO bending at 1230 cm^{-1} , and C-C
405 stretching vibrations in the phenyl ring at 1640 cm^{-1} , 1514 cm^{-1} and 1430 cm^{-1}), which is the main
406 compound in clove buds EO. Figure 4 (b) shows the FTIR spectra of the solid residues in the fingerprint
407 region ($1900\text{-}800\text{ cm}^{-1}$) after the IMWAE and CH. The intensity of the peaks corresponding to eugenol was
408 strongly reduced and two main peaks at 1618 and 1029 cm^{-1} (C=O and C-O stretching vibrations of
409 cellulose and lignin) were observed. The solid residues of clove buds after both extraction processes showed
410 the characteristics of softwoods due to the peaks at 1316 cm^{-1} (due to the condensation of guaiacyl unit and
411 syringyl unit, syringyl unit and CH_2 bending stretching) (Jiao et al., 2017), 1272 cm^{-1} (guaiacyl ring
412 breathing and C=O stretching), 1160 cm^{-1} (C-O-C stretching in pyranose rings, C=O stretching in aliphatic
413 groups) and 894 cm^{-1} (due to cellulose P-chains and C-H stretching out of plane of aromatic ring) (Chen et
414 al., 2010).

415

416 **Figure 4**

417

418 The composition of cellulose, hemicellulose and lignin in the solid residue after EO extraction and raw
419 clove buds were predicted using a partial least square (PLS) model previously reported for the
420 determination of the chemical composition of hard and softwood samples (Chen et al., 2010). The PLS
421 model was reconstructed using the FTIR spectra of the wood samples in the region of 1900-800 cm^{-1} (which
422 contains the fingerprint of wood components). The first derivative of the normalized FTIR spectra were
423 used as the X matrix and the chemical composition of lignin, cellulose and hemicellulose (determined by
424 Van Soest analysis) as the Y matrix (Chen et al., 2010). The model was developed using JMP software and
425 7 principal components that explain the 98% of the variance in the X matrix and 98.8% of the variance in
426 the Y matrix (Chen et al., 2010). The prediction of the clove buds solid samples was then performed loading
427 their first derivative of the normalized FTIR spectra (Figure 5 (a)) into X matrix of the model and the cross-
428 validation technique was applied. Figure 5 (b) reports the comparison of the predicted chemical
429 composition in terms of cellulose, hemicellulose and lignin in raw clove buds and in the dry solid residue
430 after IMWAE or CH EO extraction.

431

432 **Figure 5**

433

434 The PLS predicted chemical composition of raw clove buds indicate that hemicellulose (26 %), cellulose
435 (19 %) and lignin (37%) represent about 80%. The mass balance is reached considering about 15.4 - 16.1%
436 volatile compounds (which include EO and 4-5% water determined by TGA under nitrogen flow (step 1 of
437 weight loss in Table 3 below) and 5% inorganic materials (determined as ash by TGA under oxygen flow).

438 The PLS predicted chemical composition of dry solid residue after IMWAE or CH EO extraction (Table 2)
439 evidenced that the three different components account for 78 and 80 % in the mass balance, respectively.
440 Considering that about 6% is due to volatile compounds determined by TGA under nitrogen flow (step 1
441 in Table 3 below) and less than 4% is due to inorganic material in both, it can be hypothesized that the
442 missing part to the mass balance (about 12% and 10% in IMWAE and CH dry residue, respectively) is due
443 to a partial loss of lignin (Kang et al., 2013; Toledano et al., 2014).

444

445 **Table 2**

446
447 Interestingly, lignin apparently decreases, and cellulose apparently increases in dry residues depending on
448 the thermal treatment that characterizes the respective extraction method, and this is more enhanced in solid
449 residues after IMWAE extraction (Table 2). This result is not surprising considering that microwaves may
450 promote a partial degradation of lignin or other wood components (e.g. hemicellulose or cellulose),
451 suggesting a higher interaction of phenolic compounds with microwaves, thus giving higher EO yields. In
452 the specific case, the apparent increase of cellulose may be due to a bias of the PLS model based on the van
453 Soest extraction of whole, untreated woods (Chen et al., 2010). Following the hypothesis above, the
454 insoluble part derived from lignin degradation may interfere with the quantitation of cellulose.
455 Hemicellulose percentage in the solid residue from CH (24 %) was comparable with that contained in raw
456 clove buds (25 %) and slightly higher than that found in the residue after IMWAE (20 %).

457 Figure 6 shows the thermograms related to the pyrolysis of clove buds and the solid residues from IMWAE
458 and CH extractions and Table 3, summarizes the experimental temperatures and percentage mass losses of
459 the corresponding degradation steps.

460
461 **Figure 6**

462
463 Four different mass losses were identified during the thermal analysis of clove buds and the solid residues
464 from IMWAE and CH extractions. The mass loss below 150 °C is related to the essential oil evaporation
465 in raw clove buds (15.4% at $T_{\text{peak}}=95$ °C) and to moisture evaporation (almost 6% at $T_{\text{peak}}=50$ °C) in solid
466 residues from IMWAE and CH. The mass losses above 150 °C are due to the solid portion of the samples,
467 and they have been assigned in the literature to the pyrolysis of lignocellulosic materials: hemicellulose in
468 the temperature range 215-220 °C, cellulose in the temperature range 315-400 °C and lignin in the
469 temperature range 160-900 °C (Carrier et al., 2011; Sher et al., 2020; Yang et al., 2007).

470
471 **Table 3**

472
473 The pyrolytic profiles of the solid residues from IMWAE and CH extractions are identical among them and
474 slightly different to that of raw clove buds. To directly compare the mass losses of lignocellulosic materials
475 of the solid residues from IMWAE and CH extractions to those of raw clove buds the curves have been

476 rescaled by taking the value at 150 °C as 100%, thus eliminating the mass losses due to essential oil and
477 moisture evaporation. The rescaled mass losses are reported in Table S2 of ESI. The mass losses in the
478 temperature range 150-400 °C highlight a significant decrease (2-3 times) of the hemicellulose/cellulose
479 ratio in both residues respect to raw clove buds, in agreement with PLS data.

480 Figure 7 shows the profiles of the main gaseous products obtained by monitoring their strongest IR bands
481 (CO_2 $\lambda=2371\text{ cm}^{-1}$, CO $\lambda=2165\text{ cm}^{-1}$, small-oxygenated compounds $\lambda=1738\text{ cm}^{-1}$ and methane $\lambda=3015\text{ cm}^{-1}$)
482 evolved during the thermal decomposition of residues after IMWAE (a) and CH (b).

483 Figure S1 shows the results of TG-FTIR analysis at three significant temperatures ($T=240\text{ °C}$, 345 °C and
484 490 °C). The FTIR spectra showed that both IMWAE and CH solid residues evolve similar gases at $T=240$
485 °C , i.e. CO_2 ($2358, 2322\text{ cm}^{-1}$ O=C=O asymmetric stretching and, 668 cm^{-1} O=C=O bending), CO ($2181,$
486 2106 cm^{-1} stretching), H_2O (3736 cm^{-1} OH symmetric stretching) and small oxygenated compounds
487 (aldehydes and carboxylic acids, 1738 cm^{-1} C=O stretching, 2930 cm^{-1} - CH_2 - stretching, 2859 cm^{-1} -CH
488 symmetric stretching and methane (3015 cm^{-1} C-H stretching).

489 It has been reported that CO_2 evolution during lignocellulosic thermal degradation is due to the cracking
490 and reforming of functional groups of carboxyl (C=O) and COOH (Yang et al., 2007). CO_2 is released at
491 all three different temperatures explored (matching with the hemicellulose, cellulose and lignin degradation
492 steps). The intensity of CO_2 signal was higher at lower temperature. The CO_2 production during
493 lignocellulosic material degradation is, indeed, associated to hemicellulose degradation (due to its higher
494 carbonyl content units) (Yang et al., 2007). CO was evolved at higher temperature with two remarkable
495 peaks at 350 and 500 °C (Figure 7). The evolution of CO was mainly related to cellulose decomposition
496 due to the high carbonyl units contained in the cellulose polymer. Lignin decomposition can be observed
497 by following the methane evolution profile. CH_4 evolved mainly after 500 °C due to the thermal cracking
498 of methyl groups contained in lignin (Methoxyl-O- CH_3) (Yang et al., 2007). Thus, the evolution profiles
499 of gases allowed us to identify the three main decomposition steps related to hemicellulose, cellulose and
500 lignin, respectively.

501

502 **Figure 7**

503

504 The CO_2 evolution profiles of Figure 7 have different intensity in solid residues obtained after IMWAE and
505 CH. Under the same experimental conditions, the differences in the IR intensity could indicate different

506 concentration of the gas evolved (Yang et al., 2007). The comparison between the IR intensity of CO₂
507 signals observed in the evolution profile of solid residues from IMWAE highlighted that the peak with a
508 maximum value at 345 °C (due to the main cellulose decomposition) and the two peaks with lower IR
509 intensity at 240 °C and at 660 °C (due to hemicellulose and lignin decomposition, respectively) correspond
510 to a solid residue with lower amount of hemicellulose and lignin, respectively. A similar behaviour of the
511 IR intensity of CO₂ signals was observed in the evolution profile of solid residues from CH. However, the
512 intensity of all peaks was lower than that observed in the residue from IMWAE (Figure 7(b)). It can be
513 hypothesized that MW irradiation produce a solid residue characterized by the increase of branched
514 hemicellulose hydrolysis and, thus, an enhancement of phenolic compounds extraction (EO and lignin).
515 This effect is expected also during CH but it is more evident in IMWAE due to the acceleration of the
516 extraction process.

517 A further characterisation of the solid residues from IMWAE and CH, using their corresponding pyrolytic
518 profiles obtained by the non-isothermal thermogravimetric analysis, is in progress through the biomass
519 pyrolysis kinetics investigation.

520 In the prospective biorefining of clove buds according to Figure 1 the calorimetric combustion of IMWAE
521 and CH residues were determined in order to assess the enthalpy of combustion values ($\Delta_c H$). This
522 information is useful for the alternative uses of solid residues as fuels for energy production.

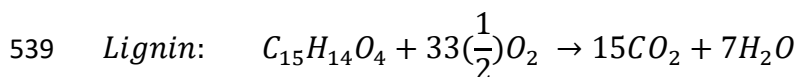
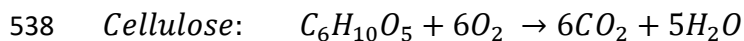
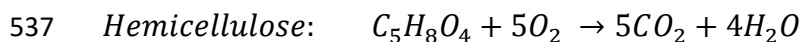
523 The standard enthalpy of combustion ($\Delta_c H^\circ$) of the solid residues from IMWAE and CH was calculated
524 using the standard enthalpy of formation ($\Delta_f H^\circ$) reported for hemicellulose, cellulose and lignin
525 components (Gorensek et al., 2019). The biomasses derivate from clove buds showed characteristics of
526 softwoods (Chen et al., 2010), the $\Delta_f H^\circ$ and monomer units for the three components were then selected
527 accordingly: hemicellulose (monomer xylose units, MW = 132.12 g mol⁻¹, $\Delta_f H^\circ = -759.2$ kJ mol⁻¹),
528 cellulose (monomer glucose unit, MW = 161.14 g mol⁻¹, $\Delta_f H^\circ = -1019.0$ kJ mol⁻¹) and lignin (monomer
529 unit, MW = 258.27 g mol⁻¹, $\Delta_f H^\circ = -759.39$ kJ mol⁻¹) (Gorensek et al., 2019). The $\Delta_c H^\circ(i)$ values were
530 calculated by Eq. (2):

$$531 \quad \Delta_c H^\circ(i) = \nu_{CO_2} \Delta_f H_{CO_2}^\circ + \nu_{H_2O} \Delta_f H_{H_2O}^\circ - \Delta_f H^\circ(i) \quad (2)$$

532 (i = hemicellulose, cellulose, lignin)

533

534 Where $\Delta_f H^\circ \text{CO}_2 = -393.51 \text{ kJ mol}^{-1}$ and $\Delta_f H^\circ \text{H}_2\text{O} = -285.83 \text{ kJ mol}^{-1}$ are the standard enthalpies of formation
535 of carbon dioxide and liquid water, respectively and νCO_2 and $\nu \text{H}_2\text{O}$ are the stoichiometric coefficients of
536 CO_2 and H_2O in each combustion reaction:



540 The combustion enthalpy values calculated by Eq. (2) for hemicellulose, cellulose and lignin are
541 $\Delta_c H^\circ \text{hemi} = -2351.67 \text{ kJ mol}^{-1}$, $\Delta_c H^\circ \text{cell} = -2771.21 \text{ kJ mol}^{-1}$ and $\Delta_c H^\circ \text{lig} = -7144.07 \text{ kJ mol}^{-1}$.

542 From the known values of the percentage compositions in hemicellulose cellulose, and lignin, assumed as
543 to be the only burning components, the combustion enthalpy of the biomass can then be calculated:

544
$$\Delta_c H_{\text{biomass}} / \text{kJ g}^{-1} = \frac{\sum_{i=1}^3 (\%_i \frac{\Delta_c H_i^\circ / \text{kJ mol}^{-1}}{MW_i / \text{g mol}^{-1}})}{100} \quad (3)$$

545

546 (i = hemicellulose, cellulose, lignin)

547 Table 4 shows the $\Delta_c H$ for solid residues from IMWAE and CH calculated from the percentage composition
548 of Table 2 (obtained by FTIR chemometrics) according to Eq. (3) compared with experimental values
549 obtained by direct calorimetry and with the higher heating value reported for solid residues from clove buds
550 treated with a MW process (Kapadiya et al., 2018). Two different values were calculated for each sample
551 from the percentages of FTIR PLS analysis data.

552 The first value is calculated considering only the lignin content found with the chemometric method as is,
553 therefore, is expected to be underestimated. The second value considers the total lignin, that is also the
554 degraded fraction missed by PLS analysis, by attributing to it the same heat of combustion as lignin. The
555 latter value is therefore expected to be overestimated.

556 Thus, the enthalpy of combustion of the solid residue from IMWAE should be between -15.40 and -18.41
557 kJ g^{-1} and that from CH should be between -16.60 and -19.00 kJ g^{-1} . This is consistent with the
558 experimental values.

559

560 **Table 4**

561

562 The experimental $-\Delta_c H$ (kJ g^{-1}) values of solid residues from IMWAE and CH (Table 4) are in line with
563 those reported for lignocellulose woods (i.e. pine ($-\Delta_c H=20.68 \text{ kJ g}^{-1}$), spruce ($-\Delta_c H=20.5 \text{ kJ g}^{-1}$), poplar
564 ($-\Delta_c H=19.57 \text{ kJ g}^{-1}$), bagasse ($-\Delta_c H=18.82 \text{ kJ g}^{-1}$), corn stalks ($-\Delta_c H=18.60 \text{ kJ g}^{-1}$), sweetgrass ($-\Delta_c H=18.66 \text{ kJ g}^{-1}$), wheat straw($-\Delta_c H=18.43 \text{ kJ g}^{-1}$)) (Ioelovich, 2018). The calculated $-\Delta_c H$ (kJ g^{-1}) using
565 the composition predicted by PLS of FTIR spectra are in a reasonable agreement with the experimental
566 values and the HHV reported. The $\Delta_c H$ highlights the energetic features of solid residues from IMWAE
567 and CH for their potential uses as alternative biomass for fuel production.

569 **Conclusions**

570

571 Full valorization of aromatic herbs through an energy efficient and eco-friendly approaches addresses well
572 the goals of the agenda 2030 for sustainable development of the United Nations (Marco et al., 2020).

573 The chemical characterization of the three products obtained after IMWAE of clove buds and the
574 quantification of their main components (EOs, polyphenols in the condensed water and solid residue)
575 proposed in this work represents an essential step for their further valorization and exploitation. Moreover,
576 the IMWAE here proposed represents a green, competitive and economically attractive approach easily
577 applicable to a wide gamma of different substrates like aromatic herbs, spices and seeds and it may suggest
578 how to increase the shareholder value, and to maximize the profit of these novel hydrodistillation processes
579 (Gonzalez Rivera et al., 2016; Santana-Méridas et al., 2014), in agreement with the concept of biorefinery
580 and sustainable chemistry (Corona et al., 2018; Gonzalez-Rivera et al., 2016; Rombaut et al., 2014).

581 IMWAE has the following technological advantages: i) the use of several independent coaxial antennas
582 applied in situ should permit to extend MW irradiation to very large batch reactors (pilot plants) as well as
583 to continuous flow systems (production scale); (ii) any problem due to high processable volumes is in
584 principle overcome because the method offers the possibility of breaking the scale-up and scale-out barrier
585 in industrial processes, enabling a better control of the local temperature and of its distribution, in
586 comparison with other microwave systems commonly employed; (iii) due to its versatility, this method can
587 be applied to most of the process technologies already available; (iv) the proposed microwave extractor is

588 not a resonant cavity applicator, so that it is apart from the conventional definition and differentiation
589 between multi-mode and mono-mode conventional microwave instruments.

590 Unimportantly, the biorefining of clove bud components, well known for their antiviral effect can open new
591 opportunities for the exploitation and application of low cost, worldwide available and naturally-occurring
592 antivirals to the treatment of current and future outbreak pandemic emergences.

593

594 **Credit authorship contribution statement**

595 José Gonzalez-Rivera, Celia Duce, Beatrice Campanella, Carlo Ferrari, Iginio Longo, Luca Bernazzani,
596 Emilia Bramanti: Conceptualization, Methodology, Investigation. José Gonzalez-Rivera, Celia Duce, Luca
597 Bernazzani, Emilia Bramanti: writing - original draft. José Gonzalez-Rivera, Celia Duce, Beatrice
598 Campanella, Eleonora Tanzini, Massimo Onor, Luca Bernazzani, Julian Cabrera Ruiz: Data curation.
599 Writing, review & editing and supervision, funding acquisition: Maria Rosaria Tinè, Celia Duce, Carlo
600 Ferrari, Beatrice Campanella, Luca Bernazzani, Maria Rosaria Tinè, Emilia Bramanti.

601 **Declaration of Competing Interest**

602 The authors declare that they have no known competing financial interests or personal relationships that
603 could have appeared to influence the work reported in this paper.

604 **Acknowledgments**

605 The authors would like to thank C. Lanza and A. Barbini (INO-CNR), M. Cempini (ICCOM-CNR), E.
606 Pulidori and R. Carosi (Dipartimento di Chimica e Chimica Industriale, Università di Pisa) for their
607 valuable technical support.

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845 **TABLES**

846

847 **Table 1.** Literature reports of clove buds extracts isolated by different approaches and comparison with the IMWAE developed in this
848 work.

849

Approach	Source	Extraction conditions			Characterization of extracts				Ref.
		Time, min	S/L ratio (w/w)	Solvent	EO		Hydrosoluble Polyphenols (mg/g)	Solid residue analysis	
					Yield % (wt)	Main compounds (%)			
IMWAE	Indonesia	90	1:40	H ₂ O	16	eugenol (48.9) β-caryophyllene (42.8) α-caryophyllene (3.7)	Gallic acid (10.4) Chlorogenic acid (1.9) Eugenol (10.4)	<i>FTIR (PLS model)</i> Hemicellulose 20±1 % Cellulose 40±4% Lignin 30±3% -Δ _c H= 17.07 ^a	This work
CH		120			7.8	eugenol (49.2) β-caryophyllene (43.5) α-caryophyllene (3.8)	Gallic acid (13.9) Chlorogenic acid (1.7) Eugenol (33.5)	<i>FTIR (PLS model)</i> Hemicellulose 24±1% Cellulose 31±3% Lignin 34±4% -Δ _c H=17.62 ^a	
IMWAE	Madagascar	120	1:5	H ₂ O	5.9	eugenol (66.9) β-caryophyllene (24.8) α-humulene (3.1)	no	no	(Gonzalez Rivera et al., 2016)
CH		360			9.7	eugenol (87.1) eugenyl acetate (6.4) β-caryophyllene (5.1)			
SD		240	1:20	Steam	18.2	eugenol (81.1) eugenyl acetate (18.1) α-caryophyllene (0.7)			
Soxhlet	Madagascar	1440	----	CH ₂ Cl ₂	17.4	eugenol (76.8) eugenyl acetate (15.2) β-caryophyllene (7.9)			(Clifford et al., 1999)
SHWE		100	----	Superheated water (150°C)	18.3	eugenol (83.6) eugenyl acetate (16.1) β-caryophyllene (1.0)	no	no	
SFE		200 bar-55 °C-330 min		SC-CO ₂	21.5	eugenol (76.2) eugenyl acetate (15.7) β-caryophyllene (8.1)			
MAE	India ^b	30	1:6.7	H ₂ O	13.1	eugenol (90.1)	TPC of hydrosol (83.58 mg GAE/g)	Proposed as alternative source of fuel(i.e. coal)	(Kapadiya et al., 2018)
CH		180	1:25		12.5	eugenol (88.1)			

MAE		80		H ₂ O	13.9	eugenol (88.8) eugenyl acetate (7.5) β-caryophyllene (2.7)			
MASE	Iran ^b	80	1:10	steam	12.7	eugenol (83.4) eugenyl acetate (14.3) β-caryophyllene (1.4)	no	no	(Golmakani et al., 2017)
CH		240		H ₂ O	13.0	eugenol (87.3) eugenyl acetate (10.4) β-caryophyllene (1.4)			
SD		240		steam	11.5	eugenol (82.7) eugenyl acetate (15.6) β-caryophyllene (0.9)			
MWHD		40	1:1	H ₂ O	3.2	eugenol (65.2) β-caryophyllene (12.1)	no	no	(Leon-méndez et al., 2018)
CH		180			2.9	eugenol (60.3) β-caryophyllene (11.6)			
SFE		90 bar-50°C		SC-CO ₂	20.8	eugenol (65.9) eugenyl acetate (19.0) β-caryophyllene (11.1)	no	no	(Reverchon and Marrone, 1997)
SFE		100 bar-50°C-120 min		SC-CO ₂	19.6	eugenol (58.8) eugenyl acetate (19.6) β-caryophyllene (14.0)			
SD	China ^b	600	1:5	steam	10.1	eugenol (58.2) β-caryophyllene (20.6) eugenyl acetate (13.8)	no	no	(Guan et al., 2007)
CH		360		H ₂ O	11.5	eugenol (48.8) β-caryophyllene (36.9) α-humulene (4.4)			
Soxhlet		360	1:8.3	Ethanol	41.8	eugenol (57.2) eugenyl acetate (19.4) β-caryophyllene (17.5)			
SFE		90 bar-40°C-240 min		SC-CO ₂	14.2	eugenol (63.5) eugenyl acetate (18.8) β-caryophyllene (13.7)	no	no	(Scopel et al., 2014)
US-SFE	Taiwan ^b	150 bar-40°C-115 min		SC-CO ₂	22.1	eugenol (59.2) eugenyl acetate (18.6) β-caryophyllene (15.4)	no	no	(Yang et al., 2014)

SFE		200 bar-40°C-170 min			19.1	eugenol (55.6) eugenyl acetate (17.1) β-caryophyllene (14.5)			
SE		360	1:20	Hexane	17.5	eugenol (34.1) eugenyl acetate (10.5) β-caryophyllene (9.1)			
	India				41	α-humulene (≈32) eugenol (≈8) eugenyl acetate (≈5)			
UAE	China	45	1:20	Ethanol : H ₂ O (1:1)	41	α-humulene (≈45) eugenol (≈9) eugenyl acetate (≈8)	TPC of crude extracts (191 ± 1 to 215 ± 3 mg GAE/L)	no	(Alexandru et al., 2013)
	Madagascar				39	α-humulene (≈26) eugenol (≈9)			
UAE	Turkey ^b	45	1:20	Ethanol	28.2	β-caryophyllene(≈5) eugenol (64) α-caryophyllene (n.r.)	no	no	(Tekin et al., 2015)

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851 MWHD=microwave assisted hydrodistillation; CH=hydrodistillation; MAE=microwave assisted extraction; SFE=Supercritical fluid extraction;
852 MASD=microwave-assisted steam distillation; US-SFE=ultrasound-assisted supercritical fluid extraction, UAE=ultrasound assisted extraction;
853 SD=steam distillation; SE=solvent extraction; SHWE=superheated water extraction. S/L=solid/liquid. ^a Experimental enthalpy of combustion
854 (kJ/g); ^b clove buds purchased from local market in the country stated; the native production of the plant is not mentioned.

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Table 2. PLS predicted chemical composition of cellulose, hemicellulose and lignin in dry solid residue after the IMWAE and CH (N= 3 replicates).

components	PLS %		
	Raw clove buds	Solid residues after extraction	
		IMWAE	CH
Hemicellulose	25±5	20±1	24±1
Cellulose	19±2	40±4	31±3
Lignin	37±6	18±3	25±4
Insoluble “degraded” lignin ^a	-	12	10
Total lignin	37±6	30±3	35±4
Moisture and volatiles ^b	15±2	6±2	6±2
Ash ^c	5 ±2	2±2	2±2

861 IMWAE= In situ microwave assisted extraction; CH=hydrodistillation. ^a Calculated by difference; ^b step

862 (I) Table 3 TGA under nitrogen flow; ^c TGA under air flow.

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Table 3. Experimental temperatures and percentage mass losses of the corresponding degradation steps under nitrogen flow of raw clove buds and solid residues from IMWAE and CH extractions.

Step	Mass loss/wt % (T _{peak} /°C)		
	Raw clove buds	Solid residues after extraction	
		IMWAE	CH
I	15.4	5.9	6.1
Volatiles (<150 °C)	(95)	(53)	(52)
II	15.0	10.5	11.0
Main degradation of hemicellulose and cellulose (150-400 °C)	(220) 27.9 (327)	(235) 40.6 (340)	(236) 39.3 (338)
III	17.1	19.9	20.3
Main degradation of lignin (400-800 °C)	(405, 460, 632)	(407, 485, 632)	(407, 485, 632)
Residual mass at 900 °C	24.6	23.1	23.3

871 IMWAE= In situ microwave assisted extraction; CH=hydrodistillation.

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878 **Table 4.** $\Delta_c H$ for solid residues from IMWAE and CH.

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Estimation method		$-\Delta_c H$ (kJ/g)		Reference
		solid residue from IMWAE	solid residue from CH	
FTIR chemometrics		15.40 ^a	16.60 ^a	This work
		18.41 ^b	19.00 ^b	
Experimental calorimetry	Combustion	17.07	17.62	This work
Higher heating value		21.1758	---	(Kapadiya et al., 2018)

880 IMWAE= In situ microwave assisted extraction; CH=hydrodistillation; $\Delta_c H$ = enthalpy of combustion. ^a
881 Calculated considering only the lignin content found with the chemometric method; ^b Calculate accounting
882 also for “degraded” lignin (see text).

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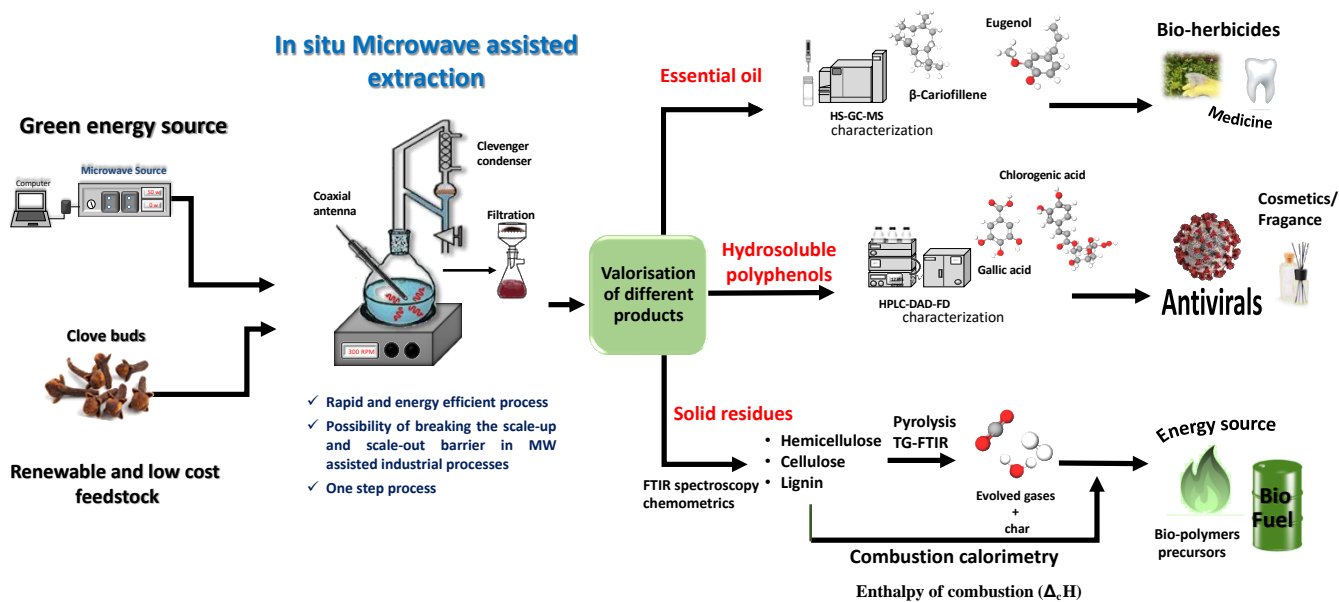
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FIGURES



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891 **Figure 1.** Overview of the herein studied approach for the isolation and characterization of different extracts
892 from clove buds by IMWAE and its potential for biorefining. HS-GCMS=Head space gas chromatography
893 mass spectrometry; HPLC-DAD-FD=High performance liquid chromatography with diode array detector
894 and fluorescence detector; TG-FTIR=thermogravimetric analysis coupled to Fourier transform infrared
895 spectroscopy; $\Delta_c H$ = enthalpy of combustion.

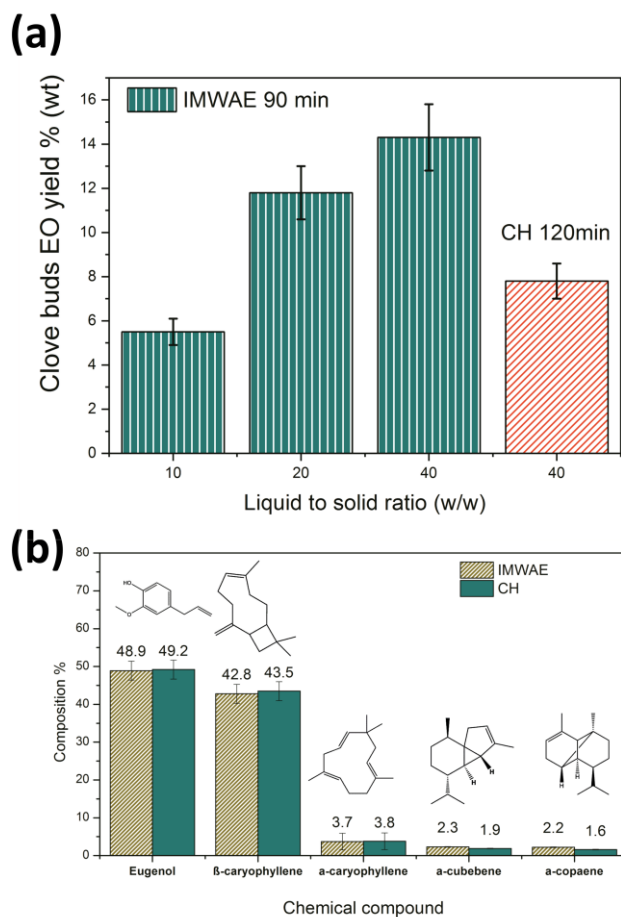
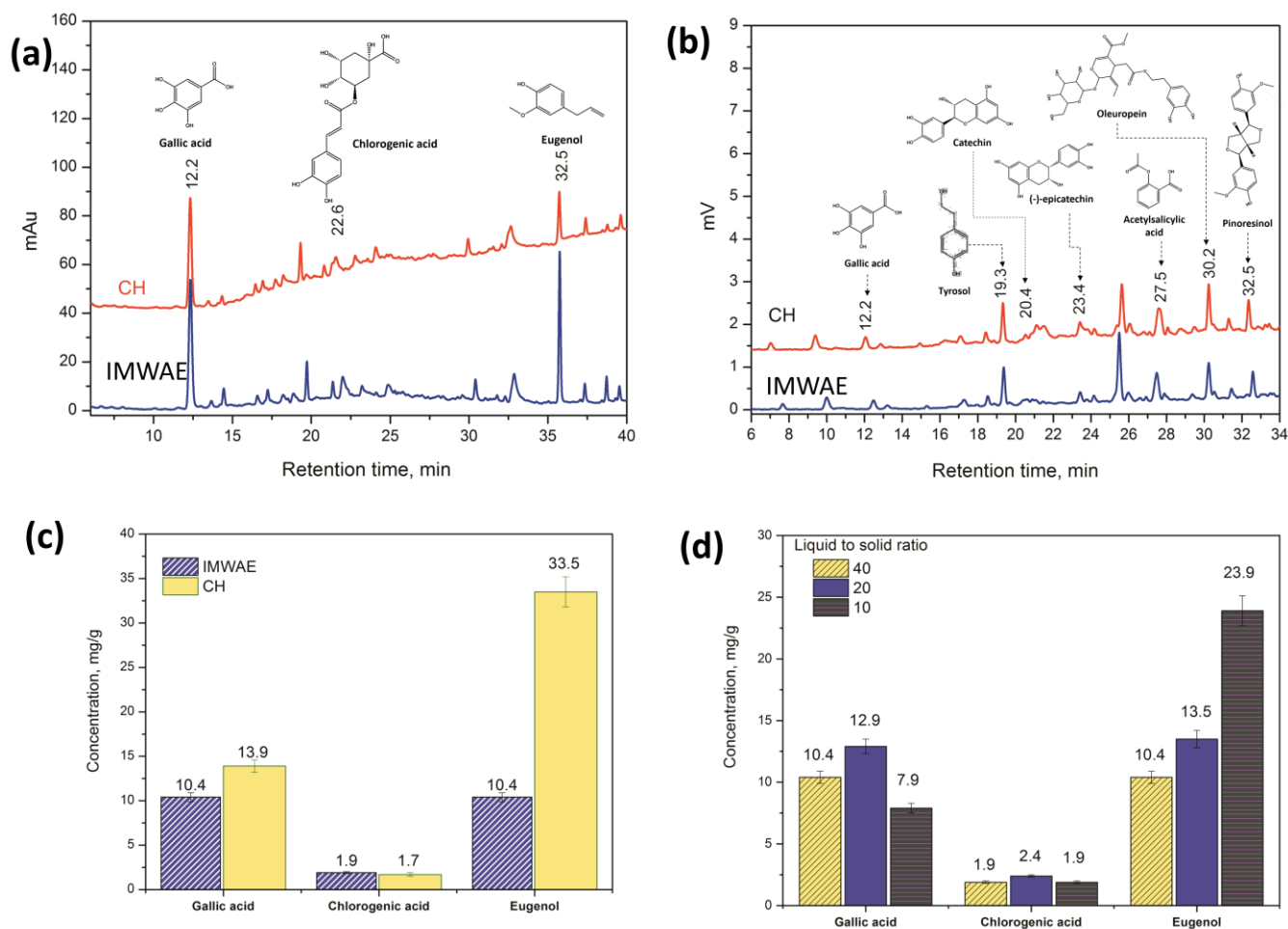


Figure 2. (a) Yield mass % of EO extracted from clove buds by IMWAE at different liquid to solid ratio. (b) Chemical composition of clove buds EO obtained by IMWAE (liquid to solid ratio=40) and CH (liquid to solid ratio=40). Extraction conditions: MW applied power 150W at 2.54 GHz, clove buds from Indonesia and water as solvent, extraction time (90 min of IMWAE and 120 min of CH, N=3 replicates). IMWAE= In situ microwave assisted extraction; CH=hydrodistillation; EO=essential oil.

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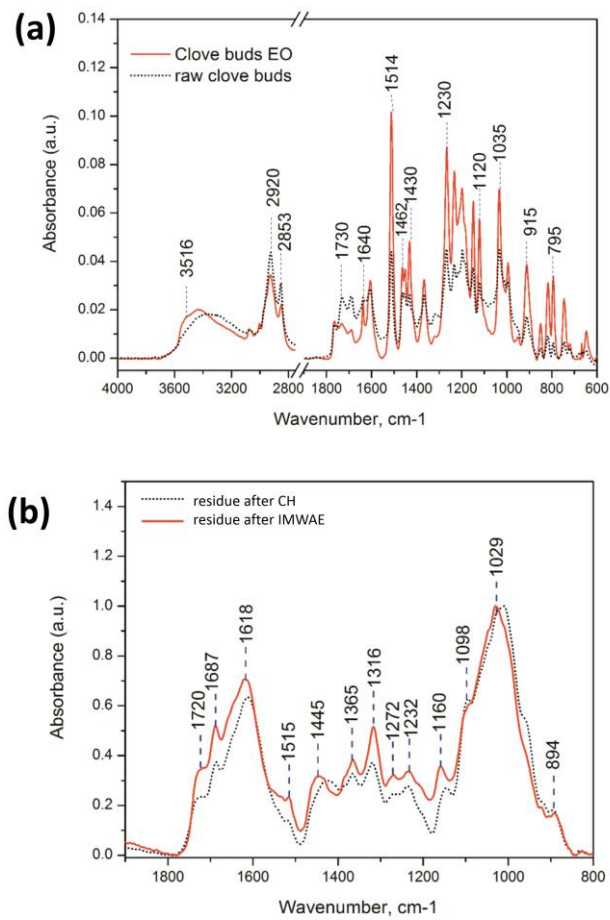


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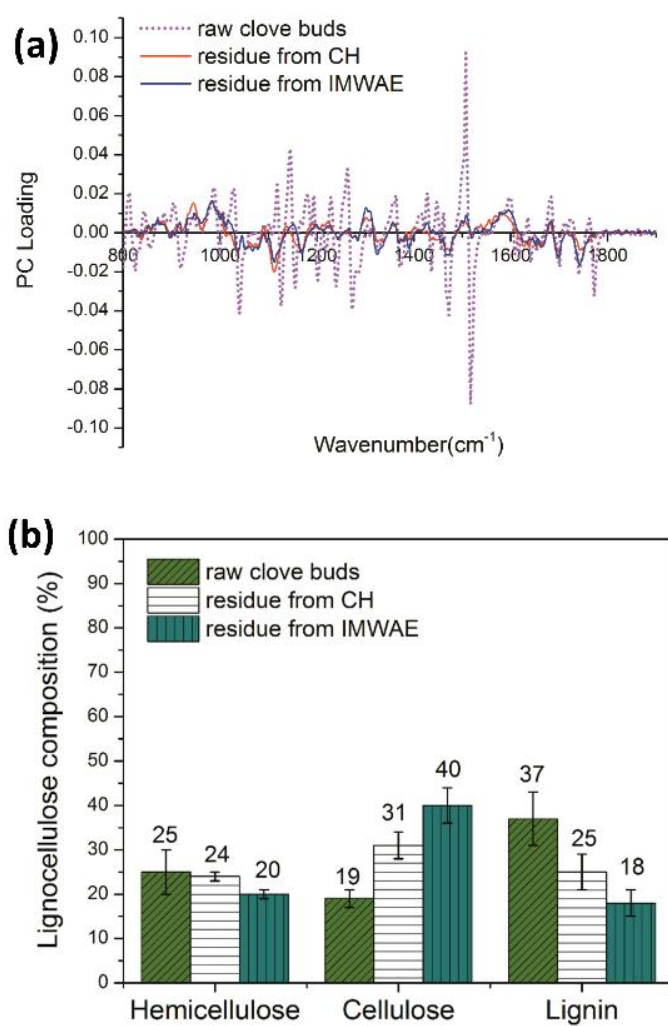
911 **Figure 3.** Polyphenols profile detected by HPLC-DAD-FD: (a) absorbance chromatogram at 280 nm, (b)
912 fluorescence chromatogram of clove buds condensed water from IMWAE (blue line) and CH (red line) and
913 (c) the main hydrosoluble polyphenols simultaneously quantified in condensed water after the extraction
914 of EO from clove buds by IMWAE and CH. (d) Effect of clove bud amount (L/S_{ratio}) during IMWAE.
915 Extraction conditions: 90 min of MW irradiation at 2.54 GHz. IMWAE= In situ microwave assisted
916 extraction; CH=hydrodistillation; EO=essential oil.

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924 **Figure 4.** (a) ATR-FTIR spectra of clove buds EO and the raw clove buds. (b) ATR-FTIR spectra of the
 925 solid residue after IMWAE and CH. IMWAE= In situ microwave assisted extraction; CH=hydrodistillation;
 926 EO=essential oil.

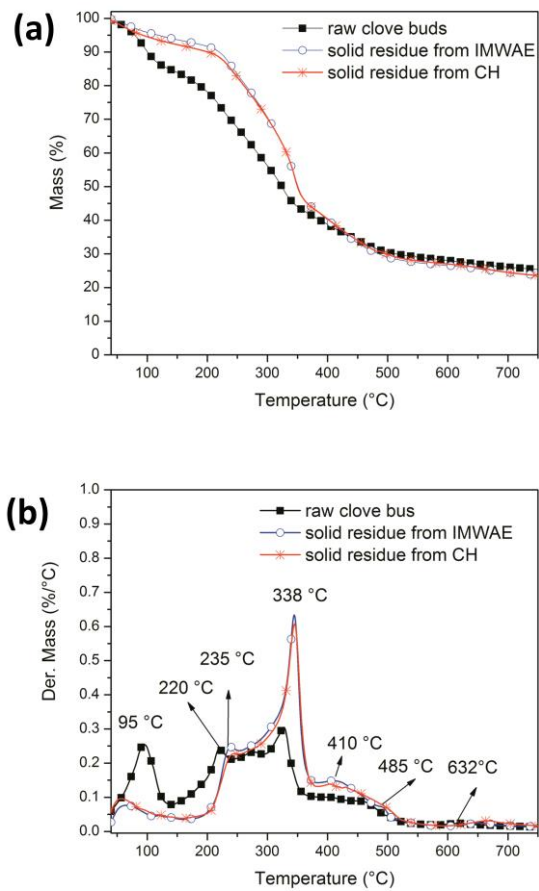


932 **Figure 5.** (a) Loadings profile (first derivative) of solid residue for the cross-validation-PLS prediction
 933 model. (b) PLS predicted chemical composition of cellulose, hemicellulose and lignin in dry solid residue
 934 after the IMWAE and CH (N= 3 replicates). IMWAE= In situ microwave assisted extraction;
 935 CH=hydrodistillation.

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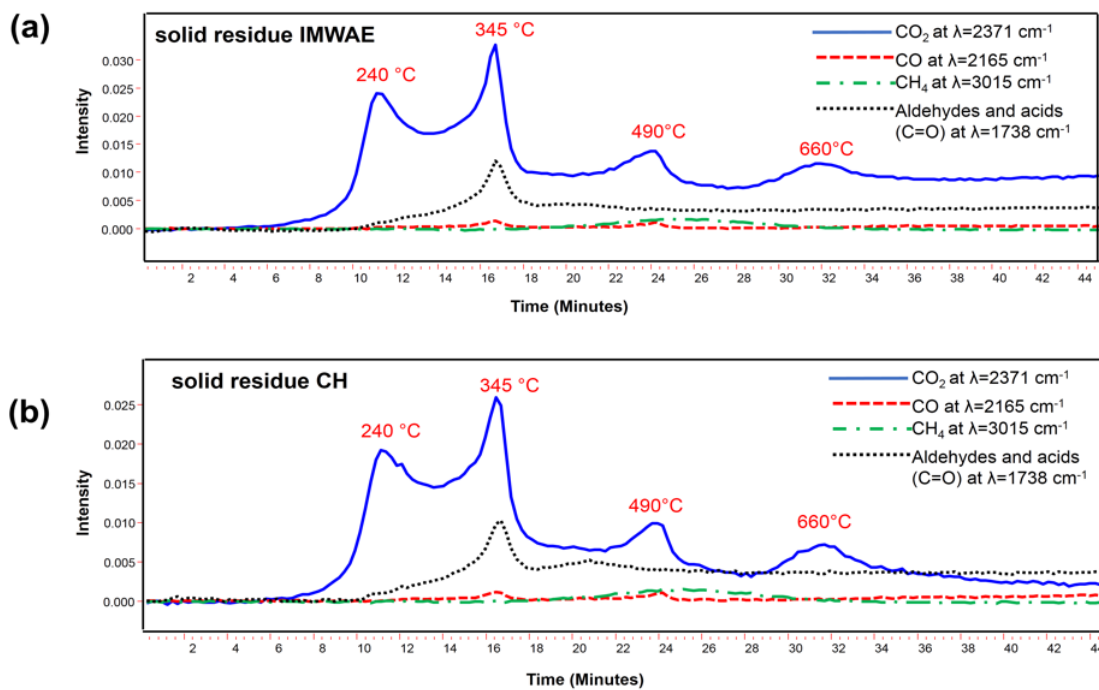
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941 **Figure 6.** TG curves (a) and DTG curves (b) under nitrogen flow for the raw clove buds and solid residues
 942 from IMWAE and CH extractions. IMWAE= In situ microwave assisted extraction; CH=hydrodistillation;
 943 EO=essential oil.

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949 **Figure 7.** Evolution profiles of gases evolved from the TG-FTIR analysis of clove bud solid residues after
 950 IMWAE (a) and CH (b) (thermal decomposition at 20 °C min under N₂). IMWAE= In situ microwave
 951 assisted extraction; CH=hydrodistillation; EO=essential oil.

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