Oriana Gava: Conceptualization, Methodology, Formal analysis, Investigation, Writing - original draft preparation, Writing - review and editing, Visualization

Assumpció Antón: Conceptualization, Methodology, Writing - review and editing, Visualization, Supervision

Giulia Carmassi: Methodology, Investigation, Formal analysis, Writing - original draft preparation **Alberto Pardossi:** Resources, Supervision

Fabio Bartolini: Methodology, Writing - review and editing, Resources, Supervision

Luca Incrocci: Investigation, Writing - original draft preparation, Resources, Supervision
Fabio Bartolini: Methodology, Writing - review and editing, Resources, Supervision
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2 **Reusing drainage water and substrate to improve the environmental and**

3 **economic performance of Mediterranean greenhouse cropping**

4 Oriana Gava^{a,b*}, Assumpció Antón^c, Giulia Carmassi^b, Alberto Pardossi^b, Luca Incrocci^b, Fabio 5 Bartolini^d 6 ^a 7 Research Centre Policies and Bioeconomy, Council for Agricultural Research and Economics, 8 Florence, Italy ^b 9 Department of Agriculture, Food and Environment, University of Pisa, Pisa, Italy ^c Institute for Food and Agricultural Research and Technology, Carretera de Cabrils, km 2, Cabrils, 11 Barcelona 08348, Spain ^d Department of Chemical, Pharmaceutical and Agricultural Sciences, University of Ferrara, Ferrara, 13 Italy 14 15 * Corresponding Author at oriana.gava@crea.gov.it 16 17 18 **Highlights** 19 - Reusing drainage water can save 20% water and fertilisers 20 - Reusing drainage water can abate over 50% freshwater and marine eutrophication 21 - Reuse strategies are viable (net present value over 20 years $> \epsilon$ 400000) 22 - Avoiding substrate landfilling increases the profitability of reuse technologies 23 - Cascade cropping and closed-loop fertigation are eco-efficient reuse technologies 24 25 26 **Abstract**

27 The objective of this study is to provide decision makers and policy makers with adequate 28 information to support the diffusion of reuse strategies in Mediterranean greenhouses. Sixteen 29 alternative scenarios are compared through eco-efficiency analysis, combining four technologies to 30 manage drainage water (open-loop fertigation vs. wastewater treatment plant vs. cascade cropping 31 vs. closed-loop fertigation) with two substrate materials and two substrate management options at 32 end-of-life. System differences are modelled through detailed primary data, collected and validated 33 via a multi-step process. Results show that cascade cropping and closed-loop fertigation have, 34 respectively, the highest and second-highest eco-efficiency, with respect to their ability to reduce 35 freshwater eutrophication (up to -6,63 kg P) and marine eutrophication (up to -47.1 kg P eq), while 36 generating profits for the farmer. Selecting a biodegradable substrate and reusing it on farm can 37 increase greenhouse profitability by 20%. This article is a new contribution to the literature by (i) 38 supporting the improvement and harmonisation of eco-efficiency analysis in the agricultural sector; 39 (ii) providing a comprehensive comparative assessment that is missing from the published literature; 40 (iii) giving special emphasis to data and the data collection process, to provide input to further

 research; (iv) by generating lessons learnt of practical usefulness for reducing uncertainty in decision 42 making and policy making; (v) by delivering policy recommendations to address key barriers to the 43 diffusion of eco-efficient greenhouse cropping. The involvement of local and multidisciplinary 44 stakeholders is required to improve the methodological approach and the acceptability of the proposed 45 solution, especially in case of trade-offs among the different impact domains, and to identify and 46 prioritise tailored interventions on the conditions and stakeholder needs. **Keywords:** Impact assessment; horticultural substrate; closed-loop fertigation; cascade 49 cropping; wastewater treatment; future cash flows DCD 51 Nomenclature *Scenarios-subscenarios* **BAU**: business as usual **WWTP**: wastewater treatment plant **CSC**: cascade cropping **CLS**: closed-loop fertigation **sw-o**: stone wool, ordinary management of exhausted substrate (landfill) **sw-r**: stone wool, reuse of exhausted substrate (recycling) **cp-o**: coir pith, ordinary management of exhausted substrate (land spreading) **cp-r**: stone wool, reuse of exhausted substrate (composting) *Life cycle assessment* **AC**: Acidification **CC**: Climate change **FET**: Freshwater toxicity **FE**: Freshwater eutrophication **HTC**: Human toxicity cancer **HTnC**: Human toxicity non-cancer **LU**: Land use **ME**: Marine eutrophication **MFR**: Mineral, fossil and renewable resource depletion **OD**: Ozone depletion **POF**: Photochemical ozone formation

- **TE**: Terrestrial eutrophication
- **WRD**: Water resource depletion

85 2020). Addressing this challenge is crucial to the achievement of Sustainable Development Goals 86 while meeting planetary boundaries (Rockström et al., 2009; Sutton et al., 2021; UN, 2015), and to 87 enable sustainable healthy diets, including greater consumption of fresh vegetables (FAO and WHO, 88 2019; Mason-D'Croz et al., 2019; Yin et al., 2020). In the European Union, addressing that challenge 89 would contribute to achieving the zero pollution ambitions of the European Commission's Green 90 Deal (European Commission, 2020a) and more specific objectives of the Farm to Fork Strategy 91 (European Commission, 2020b) and the Circular Economy Action Plan (European Commission, 92 2020c).

93 In Europe, key greenhouse vegetables are produced year-round in unheated greenhouses in 94 Mediterranean countries, using soilless systems (i.e. on cultivation substrates) (Incrocci et al., 2020; 95 Massa et al., 2020). Compared to soil cropping, soilless cropping has a greater efficiency of fertiliser 96 and water use (Savvas et al., 2013; Savvas and Gruda, 2018), given the better water retention 97 properties of substrates compared to soil (Nikolaou et al., 2019; Putra and Yuliando, 2015). However, 98 in Mediterranean countries soilless cropping generates serious environmental impacts, due the great 99 diffusion of open-loop fertigation, where the excess nutrient solution after meeting crop needs 100 (drainage water) is discharged to the ground (Grewal et al., 2011; Thompson et al., 2020). Adopting 101 strategies to reuse drainage water can save up to 40% irrigation water and up to 50% emissions from 102 fertilisers, without significantly affecting crop productivity (Grewal et al., 2011; Komosa et al., 2011; 103 Meric et al., 2011). Besides drainage water, reuse strategies should consider at least the management 104 of the cultivation substrate, a key element of soilless cropping, (Barrett et al., 2016; EIP-AGRI, 105 2019a) and the economic feasibility of the proposed interventions, given the cost-related barriers that 106 have prevented environmental sustainability improvements in commercial Mediterranean 107 greenhouses (EIP-AGRI, 2019b; Juntti and Downward, 2017). Reusable substrate should be 108 promoted that offers a good compromise between technological and environmental performance, and

109 purchase and end-of-life costs for the farmer (Barrett et al., 2016; Gruda, 2019; QUANTIS, 2012; 110 Savvas and Gruda, 2018). The proposed interventions should consider incremental technologies, i.e. 111 that can be modulated based on context-specific factors, including the ease of access to loans for the 112 farmer (Norman and Verganti, 2014; Pearce et al., 2018).

113 Against that background, the overarching objective of this study is to show the potential of 114 alternative reuse technologies, to improve the environmental and economic performance of soilless 115 greenhouse cultivation in a Mediterranean context. More specifically, this objective is achieved by 116 addressing two research questions (RQ) that, to the best of authors' knowledge, are still unanswered: 117 RQ1: "What are the environmental-economic trade-offs of incremental technologies to enable 118 the reuse of drainage water and cultivation substrate in commercial Mediterranean greenhouses?"

119 RQ2: "What are the best value-for-money technologies, readily available on the market, that 120 can enable the diffusion of reuse strategies across Mediterranean greenhouses in a timely manner?".

121 Addressing those research questions requires a life cycle approach, as different types and 122 quantities of materials, with different useful lives, are needed for distinct technologies and their 123 relative maintenance (Guinée et al., 2011; Heijungs et al., 2009; Rajagopal et al., 2017). Different 124 methods exist to consider the production inputs and outputs throughout the life cycle of a product, 125 e.g. life cycle assessment, life cycle costing, material flow analysis, environmentally-extended input 126 output analysis or cost-benefit analysis (Finnveden and Moberg, 2005; Hoogmartens et al., 2014). 127 Method selection depends on the aims and scope of the study (for example material flow analysis 128 does not include an impact assessment, environmentally-extended input-output analysis is an 129 economy-wide assessment that can be carried out at the country or higher level (Reimann et al., 130 2010)), which includes identifying the way how to deal with environmental-economic trade-offs, as 131 well (Hamilton et al., 2015; Huguet Ferran et al., 2018), e.g. via multicriteria decision analysis, data 132 envelopment analysis or eco-efficiency analysis (Cook et al., 2014; Rüdenauer et al., 2005; Stewart, 1996). 133

134 The adopted research method is Eco-efficiency analysis (EE), based on the combination of Life 135 Cycle Assessment (LCA) and Life Cycle Costing (LCC) at the farm level. LCA (ISO 14040:2006; 136 14044: 2006) and LCC (a standard exists for the building sector, ISO 15686-5:2017) are widely 137 applied, individually or in combination, for the evaluation of alternative vegetable production 138 technologies (Cellura et al., 2012; Peña and Rovira-Val, 2020; Sanyé-Mengual et al., 2015; 139 Tamburini et al., 2015; Testa et al., 2014a; Torrellas et al., 2012a). LCA and LCC are suitable for 140 micro-level assessments and well accepted and known by stakeholders (Reimann et al., 2010).

141 Data (2014-2018) refer to a typical farm central Italy (Tuscany), the production system and 142 technology of which are reasonably representative of the Mediterranean context (Almeida et al., 2014;

143 Cellura et al., 2012; Testa et al., 2014b). Tomatoes are just one of the many crop species that are 144 suitable for soilless production. Like similar research (Hollingsworth et al., 2020), this study uses 145 tomatoes as a reference crop because it is the most commonly grown, and the highest-value added, 146 greenhouse crop in Mediterranean Europe (De Cicco, 2019; European Commission, 2020d). 147 Tomatoes are the most widely consumed horticultural products in the world (OECD, 2017) and are 148 expected to be a central crop in changing diets (European Commission, 2020d).

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150 *1.2. Contribution to the relevant literature*

151 Eco-efficiency analysis (ISO 14045:2012) (Schmidheiny, 1992; WBCSD, 2005) generates 152 evidence about the best value-for-money interventions to improve the sustainability of production 153 systems (Caiado et al., 2017; Miah et al., 2017). Such evidence can be used to target policy support 154 and guide decision making (Zhang et al., 2019; Zhen et al., 2020), by linking environmental impact 155 indicators calculated via LCA with economic impact indicators (Huppes and Ishikawa, 2005a, 2005b; 156 Rüdenauer et al., 2005; Saling et al., 2002). The EE standard does not identify a specific method for 157 the economic impact assessment; however, researchers agree on the use of LCC, subject to internal 158 consistency among methodological choices for LCA and LCC (e.g. system boundaries and functional 159 units) (Kirchherr et al., 2017; Koskela and Vehmas, 2012; Saling, 2016; Todorovic et al., 2016).

160 There are a series of EE variants, mainly differing for: (i) the use of absolute vs. relative values 161 for the environmental and economic impact indicators; (ii) the use of a single score LCA (after 162 normalisation and weighting) vs. individual results per impact category; (iii) the use of current vs. 163 discounted economic values; (iv) the way how a relationship is created between the outputs LCC and 164 LCA, i.e. by calculating a ratio, by adding them or by plotting them on a two-way graph (Huppes and 165 Ishikawa, 2005b, 2005a; Koskela and Vehmas, 2012; UNEP/SETAC, 2008; Zhang et al., 2019).

166 In greenhouse horticulture, EE stands on the shoulders of the large LCA literature, which is 167 especially developed for tomatoes (Torres Pineda et al., 2020). Over the last 20 years, LCA studies 168 have assessed different production systems to identify the opportunities for sustainable greenhouse 169 vegetable production (Perrin et al., 2014), such as infrastructures suitable to different climates 170 (Torrellas et al., 2012b), renewable energy (Maaoui et al., 2021), systems to improve energy 171 efficiency (Antón et al., 2012; Dorais et al., 2014) and to close the fertigation loop (Antón et al., 2005; 172 Page et al., 2012), cascade cropping (Muñoz et al., 2017), different lighting systems (Zhang et al., 173 2017), to cite a few. LCAs are available to support substrate selection as well (Dorr et al., 2017; Vinci 174 and Rapa, 2019). LCC studies are available for different greenhouse crops and production methods 175 (Banaeian et al., 2011; Mohamad et al., 2018; Mohammadi and Omid, 2010; Testa et al., 2014a) and 176 are often combined with LCAs (Peña and Rovira-Val, 2020), especially for the assessment of

177 innovative production systems (Sanyé-Mengual et al., 2017). The combination of LCA and LCC into 178 EE has got growing attention by agricultural research (Suzigan et al., 2020), with examples from 179 different sectors, e.g. dairy products (Forleo et al., 2018a; Skrydstrup et al., 2020), cereals (Babu et 180 al., 2020; Chancharoonpong et al., 2021; Kumar et al., 2021; Saber et al., 2021; Todorović et al., 181 2018), energy crops (Forleo et al., 2018b; Kochaphum et al., 2015), orchard fruit (Kim et al., 2020; 182 Mouron et al., 2006; Müller et al., 2015), as well as horticultural crops (Mohammadzadeh et al., 2018; 183 Sanyé-Mengual et al., 2018). In greenhouse horticulture, EE have focused on conventional vs. organic 184 production methods (Zhen et al., 2020), crop selection in rooftop production systems (Rufí-Salís et 185 al., 2020a), different lighting systems (Pennisi et al., 2019).

186 This article is a new contribution to agricultural EE by supporting method development and by 187 showing new empirical findings. First, the article supports the need for method harmonization by 188 presenting an approach to EE that uses discounted economic values based on LCC and plots relative 189 changes in all LCA outputs and the economic indicator on a graph. Second, the article adds evidence 190 to the published literature by (i) reporting a structured data collection process, which results in a 191 detailed data source for further research; and (ii) delivering a comprehensive assessment of the 192 potential environmental-economic impacts of reuse technologies for drainage water and the substrate 193 that can be promptly adopted by farmers. Additionally, the article will contribute to the debate on 194 ecological transitions of agri-food systems, by providing evidence about the eco-efficiency of 195 incremental innovation in commercial greenhouses.

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197 **2. Research methods**

198 The goal of this study is to compare the cradle-to-gate eco-efficiency (LCA + LCC) of three 199 alternative and incremental reuse technologies for greenhouse tomato production in soilless culture 200 against the ordinary production system. The assessment is based on real-world data collected in 201 central Italy that are representative for the sector in Mediterranean Europe, and targets researchers 202 and decision-makers in agribusiness and agricultural policy, who need to identify, assess, and 203 prioritise sustainability interventions, as well as to develop long-term strategies. The functional unit 204 is the occupation of 1 ha of greenhouse area for producing soilless tomatoes for 1 year. An area-based 205 functional unit is selected against a mass-based one for two reasons, i.e. the focus of the study on 206 management decisions and the fact that the modelled reuse technologies do not significantly affect 207 greenhouse productivity (Charles et al., 2006). No allocation is considered since there is only one 208 marketable product. The system under study is defined by a series of inputs and outputs occurring at 209 different life cycle stages (Figure 1).

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214 The foreground system is defined by the use phase, i.e. the set-up and management of soilless 215 cultivation by farm labour, including plant training, seedling transplanting, replacement of exhausted 216 with new substrate, use of water, electricity, fertilisers and pesticides, as well as harvesting and 217 packing marketable tomatoes. The background system includes the production, manufacturing, 218 assembly, maintenance, dismantling and end-of life of all the materials, resources and energy used. 219 The outputs are emissions to the environment, drainage water and marketable tomatoes.

220 In soilless systems, the applied nutrient solution exceeds crop needs by about 30 %, to ensure 221 proper crop development and optimal yield (Sonneveld & Voogt, 2009)). When this surplus (drainage 222 water) is not properly managed, like in open-loop soilless culture, crop nutrients leach to the ground 223 and generate environmental problems (Incrocci et al., 2020; Kläring, 2001). To avoid those problems, 224 technology is readily available for farm uptake that allows to collect the surplus solution and to reuse 225 it for different purposes, as follows:

226 1. Recovering water for indirect uses via treatment in a municipal wastewater treatment plant 227 (EIP-AGRI, 2019c);

228 2. Recovering water and nutrients for the fertigation of other soil-grown greenhouse crops or for 229 growing more salt resistant soilless crops on the same farm (cascade cropping) (Elvanidi et 230 al., 2020; García-Caparrós et al., 2018);

Example 3 Journal Pre-proof

330 are not necessarily compatible with each other, due to differences in data formatting and quality

331 requirements, geographical and technological coverage, allocation procedures, and time relevance 332 (Shonnard et al., 2015; Zhou et al., 2014). The implementation of impact assessment methods can

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333 result in the inclusion of different characterisation factors, with no observed consistency as to which 334 software includes a substance and which excludes it (Speck et al., 2016).

335 In the LCC, the present total costs of production (TCOP) are used to evaluate economic-336 environmental trade-offs in the contribution to impacts of life cycle stages. As future cash flows are 337 relevant for the assessment, which considers the greenhouse production system over its useful life 338 and includes the end of life of all materials (Nieder-Heitmann et al., 2019). TCOP is used to calculate 339 the net present value of discounted cash flows (NPV), using an interest rate of 10% as in similar 340 studies (Boulard et al., 2011; Hollingsworth et al., 2020 ¹. Scenarios are economically viable when 341 NPV>0 (scenario profitability increases with NPV). To improve the communication of findings, the 342 EE uses the profitability index (PI), calculated as the ratio between NPV and investment costs: 343 profitable scenarios have $PI > 1$ and they should be preferred to the baseline when they show a greater 344 PI of BAUsw-o.

345 EE uses relative values, i.e. percent change with respect to the baseline (Zhang et al., 2019). 346 Improvement or worsening of environmental and economic indicators are plotted on a two-way 347 graph, to identify the scenarios that are both economically and environmentally desirable (Ferrández-348 García et al., 2016; UNEP/SETAC, 2008). Eco-efficient scenarios show improvements in both the 349 environmental and economic dimension, i.e. the percent change is negative for LCA impact 350 categories (x-axis) and positive for PI (y-axis). Positive values for LCA impact categories and 351 negative for PI pinpoint inefficient scenarios. The remaining combinations (environmental 352 improvements, but economic worsening or the other way around) identify partially efficient 353 scenarios.

354 Sensitivity analyses are carried out to estimate the effects of data choices on study findings 355 (ISO 14040:2006). Sensitive parameters in the LCA and LCC are selected based on expert 356 consultation and/or impact assessment findings, to support practical decision-making and limit the 357 context-specificity of the study.

358 The comparison of absolute impact assessment figures with the literature largely involves LCA 359 findings and uses studies of tomato greenhouse production in Mediterranean countries with the same 360 system boundaries of the present research. However, the life cycle impact assessment method and the 361 considered impact categories may differ, thereby preventing the comparison of most absolute values, 362 but climate change (Dias et al., 2017). This is due to the large consensus among researchers on the 363 use of the most recent characterization factors published by the Intergovernmental Panel on Climate

 $\frac{1}{1}$ 1 An interest rate of about 10% is consistent with the average internal rate of return of investments to advance agricultural systems (The Economist, 2015).

364 Change, with the more widespread time horizon being 100 years (Levasseur, 2015), like in the present 365 study.

366

367 **3. Data**

368 Data collection (2019) was the most critical part of the study. The use of secondary data was 369 limited to the background system. Multiple data sources were combined to carefully consider the

371 Basset-Mens et al., 2019) (Figure 2; see the Annex for a detailed description of the process).

370 similarities among the production contexts, facilities, and market conditions, and to validate data (cf.
371 Basset-Mens et al., 2019) (Figure 2; see the Amex for a detailed description of the process).

374 *3.1. Case study*

375 The case study was selected having an ongoing agreement with the University of Pisa for 376 carrying out field experiments. The case study is located in Tuscany (central Italy), in the province 377 of Pistoia (administrative centre 43°56′N 10°55′E)², an area specialised in protected agriculture (Figure 3). 378

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381 Figure 3. Case study map. Source: Authors' own elaboration.

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The province has a total surface of 965km^2 and an average height above the sea level of 245m. 384 The average annual precipitation is 1200mm, distributed over 95.1 days, and the average annual 385 temperature is 15.3°C (Consorzio LaMMA, 2022).

386 According to the most recent data (CREA, 2021), the agricultural sector of Tuscany was worth 387 over €3.2 billions in 2019, largely due to crop cultivation (61%). Among crop farms, horticultural 388 and floricultural farms displayed the greatest gross revenues, with an average of ϵ 186,000 per farm, 389 about 40% more than cereal and wine farms. However, horticultural and floricultural farms were the 390 most intensive fertiliser users, with greater than average annual consumptions of nitrogen (503 kg/ha) 391 and phosphorous (457 kg/ha) fertilisers (on average Tuscan farms used 77 kg/ha nitrogen and 47 392 kg/ha phosphorous fertilisers) (CREA, 2021). The agri-food sector significantly contributed to the

 $\frac{1}{2}$ ² Italian provinces are level 3 territorial units under the Nomenclature of Territorial Units for Statistics of the EU (European Commission, 2021).

393 regional economy and hold a strategic role to stimulate the economic development of rural areas 394 (IRPET, 2021), with over ϵ 2.2 billion total value added and 1.69 full-time equivalents per farm (30%) 395 more than the national average) (CREA, 2021). Most Tuscan farms were involved in local supply 396 chains, with 54% of production inputs and 70% of outputs being, respectively supplied and demanded 397 from within Tuscany; that demand was driven by food processors, restaurants and retailers (IRPET, 2021). 398

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400 *3.2. Inventory building*

401 The total agricultural area is 2 ha divided into two locations, located 4 km apart; the distance 402 from suppliers and waste management plants is similar between the two locations. The utilised 403 agricultural area includes 8 multi-span tunnels, with no heating system. Greenhouse surfaces range 404 between 500 m² to 2500 m² (length = 34-55 m; width = 16-45 m; spans = 2-5; ridge height = 4.5 m; 405 gutter height = 2.3 m). There are two crop growing seasons per year (March-July and August-406 December, 264 days/year in total). Accurate fertigation to meet crop needs is guaranteed by a 407 computerized fertigation unit and a drip irrigation system. The unit embeds light sensors and a 408 weather station, to modulate the distribution of the solution and the opening of rooftop ventilators. 409 Plant protection complies with Integrated Pest Management rules. Soilless tomato cultivation (on 410 stone wool substrate) with open-loop system was introduced in 2010. Stone-wool growing bags are 411 used for 2 harvest years in a row and disposed to landfill at end-of life. All harvested tomatoes of 412 commercial quality (marketable yield) are sold to a local retailer, who set the price to the farmer. The 413 residual biomass (non-marketable tomatoes and crop residues) is spread on farmland. Labour force 414 includes two farm household members (full-time) and a full-time worker. Farm structure is in line 415 with relevant official statistics for farms specialized in horticulture in Tuscany (European 416 Commission, 2020e). The life cycle inventory for the LCA is built in the SimaPro software, with the 417 support of the Ecoinvent® 3.6 database (Wernet et al., 2016) for the background system (Tables 1 418 through $3)^3$.

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 $42\bar{3}$

 $\frac{1}{3}$ 3 Agri-footprint $\&$ 4.0 (Blonk Consultants, 2017) and USLCI (NREL, 2012) databases were added to create missing processes in Ecoinvent® 3.6.

425 The greenhouse (B15 class European Standard EN 13031-1:2003) has concrete foundations and 426 walkway, a steel frame and LDPE covering. Roof and lateral windows are operated by an electric 427 engine and manually, respectively. Tomato seedlings are sourced from a neighbouring nursery and 428 transferred to small substrate cubes, before transplanting in the substrate (2646 slabs/ha; 3 plants/m²). 429 The fertigation control unit prepares and distributes the nutrient solution via drip irrigation. The 430 floor is covered with polypropylene mulching that, in BAU, has openings for draining the surplus 431 nutrient solution to the ground. In WWTP, CSC, CLS a gutter system collects that surplus solution, 432 which is pumped either to an outdoor tank $(40m³)$; concrete base, steel structure, plastic coverage, and 433 interior; WWTP, CSC), or to indoor plastic tanks $(6m³$ total) and subsequently through the 434 sterilisation unit, before recirculation (CLS).

435 Electricity modelling is based on the Italian country mix, where the share of fossil sources is 436 61%, of which 13% coal (renewables = 39%; (IEA, 2018).

437 Due to limitations of the Ecoinvent® 3.6 database, official and refereed literature was retrieved 438 to bridge information gaps (coir pith, orange essential oil, Bacillus thuringiensis). For missing 439 fertiliser processes, stoichiometry was used when the process contributed for at least 5% to the 440 impacts of the life cycle stage (ferric ethylenediaminetetraacetic acid), otherwise the relative 441 background processes were excluded from the assessment (copper, manganese, and zinc 442 ethylenediaminetetraacetic acid) (Zampori et al., 2016).

443 The materials for all greenhouse stages are sourced from farm neighbourhoods, except for 444 pollination hives and the substrate (Table 2).

445

446 Table 2. Transport distances and means of transport. Source: Authors' own elaboration.

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448 The calculation of emissions to air from fertilisers moves from a mass balance and uses 449 emissions factors (Nemecek and Kägi, 2007): N2O = 1.25% and NH3 = 2% total nitrogen applied 450 with fertilisers; $NOX = 0.21 \times N_2O$. The calculation of emissions to air from pesticides is based on 451 (Juraske et al., 2007) (Table 3).

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Table 3. Life cycle inventory (reference period 2014-2018): outputs of all scenarios. Data are from primary sources, when not 454 differently stated. BAU: business as usual; WWTP: wastewater treatment plant; CSC: cascade c differently stated. BAU: business as usual; WWTP: wastewater treatment plant; CSC: cascade cropping; CLS: closed-loop fertigation. EDTA: ethylenediaminetetraacetic acid. Source: Authors own elaboration.

457 The economic inventory (LCC) is built using a Microsoft Excel® spreadsheet. Data cover the 458 total production costs over the greenhouse life cycle (purchase, use and end of life management) and

459 revenues (BAU, WWTP, CSC = ϵ 208494 /ha/yr, CLS = ϵ 206388 /ha/yr) (Table 4).

460

461 Table 4. Life cycle costs and revenues. Source: Authors' own elaboration.

463 Farmer prices are already charged with the prices for background processes, e.g. delivery, 464 construction, assembly, to cite a few (Heijungs et al., 2013). Investment costs involve the materials 465 for building the greenhouse and fertigation infrastructures. Project design, construction fees, overhead 466 costs farm advisory, and labour are allocated to the greenhouse infrastructure stage. Variable costs 467 include utilities, consumables and waste and the relative transports. Farmer price for marketable 468 tomato is ϵ 1.2/kg, subject to 10% value added tax (Italian consumption tax system) (cf. Testa et al., 2014b). 469

470 In the environmental and economic inventories (Tables 1 through 4), key differences of reuse 471 scenarios are in the fertigation and fertilisers stages, as follows:

- 472 WWTP, CSC: greater quantities of construction materials for building the outdoor tank;
- 473 WWTP: higher costs due to the fees for wastewater management;
- 474 CLS: greater quantities of electronic components and plastics for building and operating the 475 closed-loop system;
- 476 CLS: over 20% water and fertiliser savings.

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478 *Parameters for sensitivity analyses*

479 Based on impact assessment results, a sensitivity analysis is carried out to evaluate the extent 480 to which extending the lifespan of the greenhouse and fertigation infrastructures from 20 years to 25 481 and 30 years would affect environmental impacts (Bartzas et al., 2015; Boulard et al., 2011).

482 Transport distances are sensitive parameters identified via expert interviews, as those observed 483 in the case study are shorter than in most farms; increasing those distances by 50% and 100% would 484 improve the understanding of the extent to which transport distance contribute to environmental impacts. 485

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486 The impact of electricity is identified as an important environmental aspect via expert 487 interviews, as greenhouses have been more reliant on electronic components through time. To 488 consider that, a sensitivity analysis is carried out on electricity production. The shares of renewable 489 and fossil resources are varied to consider 2030 and 2050 targets of Italy's National Energy Strategy 490 (i.e. phasing out of coal by 2030 and progressive reduction of fossil sources to 40% in 2030 and 7% 491 in 2050; MATTM, 2017).

492 Price adjustment up to $\pm 20\%$ by retailer companies (key buyers) through time is identified as a 493 key economic problem for decision makers. A sensitivity analysis is carried out to evaluate the extent 494 to which price fluctuations of $\pm 5\%$, $\pm 10\%$, $\pm 20\%$ affect the economic viability of each scenario-495 subscenario combination.

 496 497

498 **4. Results**

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500 *4.1. Life cycle assessment and life cycle costing*

501 Study findings show that upgrading BAU to collect and reuse drainage water for agricultural 502 purposes on farm (CSC, CLS) or indirect uses off farm (WWTP) abates marine and freshwater 503 eutrophication (Table 5).

504
505

505 Table 5. Assessment results per scenario per functional unit (1 ha greenhouse): characterized life cycle impacts per year, total 506 costs of production (TCOP) per year, net present value (NPV) and profitability index 506 costs of production (TCOP) per year, net present value (NPV) and profitability index (PI) over the lifetime of the greenhouse (20
507 vears). Source: Authors' own elaboration. years). Source: Authors' own elaboration.

509 Compared to BAU, freshwater eutrophication (FE) and marine eutrophication (ME) are, 510 respectively, -34% and -16% when the drainage water is treated in a wastewater treatment plant 511 (WWTP), -48% and -69% when drainage water is recycled on a second crop (CSC), and -46% and - 512 68% when drainage water is recirculated on the same crop (CLS) (Parada et al., 2021). 513 Despite water savings, water resource depletion (WRD) does not decrease markedly (-1%) in 514 CLS compared to BAU, as in BAU the entire volume of fertigation water is released to the 515 environment. 516 In BAU, TCOP confirms previous research (Llorach-Massana et al., 2016). Reuse scenarios 517 increase TCOP between 2% (CSC) and 10% (WWTP) and reduce PI compared to BAU, especially 518 WWTP (-29%) and CLS (-25%), though keeping their profitability (PI>1). The reduction of PI in 519 reuse scenarios contrasts with the findings of similar studies (Galdeano-Gómez et al., 2017). 520 Different to ME and FE, terrestrial eutrophication (TE) increases, especially in WWTP (+21%), 521 as this impact depends more on emissions to air (Posch et al., 2008; Seppälä et al., 2006). Compared 522 to BAU, direct emissions to air differ slightly in CLS only, while indirect emissions increase in all 523 reuse scenarios due to the greater material quantities. 524 Acidification (AC) is directly related to the applied quantity of fertilisers (Muñoz et al., 2008) 525 and, like TE, depends on emissions to air (especially NH₃, NO₂, SO_x) (Posch et al., 2008; Seppälä et 526 al., 2006). Reuse scenarios do not allow the reduction of TE and AC. 527 The impact on climate change is close to (Martínez-Blanco et al., 2009) or lower than (Payen 528 et al., 2015; Torrellas et al., 2012) similar studies. Reuse scenarios increase the remaining impact 529 categories (PM, OD, POF, LU, MFR, HTC, HtnC, FET), compared to BAU. Environmental 530 worsening is moderate in CLS, ranging between 3% (MRF) and 16% (HTC), but it is more relevant 531 in WWTP, especially for land use and toxicity $(+32\% \text{ LU}, +34\% \text{ HTC}, +69\% \text{ HTnC}, +29\% \text{ FET})$, 532 due to the large volume of chemically-treated drainage water in the wastewater treatment plant

533 (Linderholm et al., 2012). Just CSC can reduce toxicity impacts (ca. -3% HTnC and FET).

534 The contribution analysis emphasises the effect of the fertigation and fertilisers stages on 535 impact assessment results (Figure 4).

536

539 Figure 4. Life cycle stage contribution to environmental impacts and TCOP. Source: Authors' own elaboration.

540

538

541 The effect of the wastewater treatment plant is pinpointed by the contribution of the fertigation 542 stage to toxicity impacts in WWTP (HTnC = 52% , FET = 35% , HTC = 26%) and CLS (HTnC = 543 32%, FET = 35%, HTC = 18%), compared to BAU. In WWTP, toxicity impacts are caused by 544 industrial processes to produce plastics and construction materials (HTC) and the wastewater 545 treatment plant (HTnC, FET).

546 In reuse scenarios, the fertigation stage increases TCOP as well, especially in WWTP (1.8 times 547 BAU) and CLS (1.2 times BAU).

548 Compared to BAU, the fertilisers stage contributes -59% to ME (7.4 kg N eq) in CLS and -81% 549 (9 kg N eq) in WWTP; while ME of the fertigation stage is 2.5 times BAU in CLS (3.9 kg N eq) and 550 10 times CLS in WWTP (39 kg N eq). Similar reasoning applies to FE; the absolute FE values for 551 the fertigation and fertilisers stages are as follows: fertigation, $BAU = 1.3$ kg P eq, WWTP = 3.4 kg 552 P eq, CSC = 1.5 kg P eq, CLS = 2.3 kg P eq; fertilisers, BAU = 11 kg P eq, WWTP, CSC = 3.7 kg P 553 eq, $CLS = 3.2$ kg P eq.

554 Study findings confirm previous research (Martínez-Blanco et al., 2011; Testa et al., 2014a), by 555 identifying the greenhouse stage as the major source of environmental and economic impacts in all 556 scenarios, especially with respect to TCOP (ϵ 87502-88252) mainly due to consumables, CC (6660-557 7316 kg CO₂ eq), MFR (4 kg Sb eq), HTC (9·10⁻⁴ cases) mainly due to the production of construction 558 materials and electricity (CC).

559 Other life cycle stages are minor, with reuse scenarios not deviating much from BAU, as in 560 previous research (Torrellas et al., 2012). Direct emissions from pesticides contribute substantially to 561 toxicity impacts (Schmidt Rivera et al., 2017). A possible explanation for the reduced contribution of 562 pesticides is the adoption of Integrated Pest Management. Most environmental impacts of substrate 563 (stone wool) are generated during manufacturing and emissions after landfilling (cf. Savvas and 564 Gruda, 2018). TCOP (ϵ 3969 substrate; ϵ 22408-22420 waste) depends to a great extent on the 565 purchase and landfilling of stone wool. 566 Compared to landfilling, recycling exhausted stone wool allows slight environmental

567 improvements, but great cost savings (-18% TCOP) (Figure 5).

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570 Figure 5. Contribution to LCA impact categories and LCC of different subscenarios. Source: Authors' own elaboration.

571 Coir pith is biodegradable on farm (land spreading) or via composting; so, cp-o and cp-r 572 subscenarios do not include landfilling. Shifting to coir pith allows small environmental 573 improvements in some impact categories (2% to -3% of CC, OD, AC, TE) and relevant environmental 574 worsening in other impact categories, as shown elsewhere (Antón et al., 2005a; QUANTIS, 2012). 575 Especially, ME and LU grow by ca. +30% and +300%, respectively, due to land occupation by 576 coconut plantations and emissions from fibre and pith processing. Despite the higher purchase cost 577 (ϵ 2.2/coir pith slab vs. ϵ 1.5/stone wool slab), shifting to coir pith decreases TCOP up to -16% (sw-578 o), by abating the disposal fees at end of life (ϵ 1.4/kg for landfilling stone wool, ϵ 0.8/kg for 579 composting coir pith, no cost for spreading coir pith on land and recycling stone wool). Subscenarios 580 alternative to the baseline (sw-o) have a negligible effect on PM, MFR, and WRD.

581

582 *Sensitivity analyses*

583 The extension of the useful life of the production facilities up to 30 years reduces all absolute 584 impact figures, with no remarkable differences among scenarios (Figure 6).

586 587 Figure 6. Sensitivity of characterised impacts (BAU) to increased lifespan and transport distance, and future changes in the 588 Italian electricity mix. Source: Authors' own elaboration, Italian electricity mix. Source: Authors' own elaboration,

590 CC and OD decrease, as in similar studies (Bartzas et al., 2015), though the greatest 591 environmental impact mitigation potential is in terms of MFR $(> 25\%$ reduction) and HTC $(> 12\%$ 592 reduction), due to the smaller quantities of construction materials.

593 Transportation does not contribute much to environmental impacts (cf. Bartzas et al., 2015), as 594 this study is limited to the farm gate (Page et al., 2012), then even doubling distance has not major 595 effects on the overall impact of transports (max increase: $OD = about +5\%$).

596 Future changes in the production of the Italian electricity mix can have a marked effect on LU 597 (up to +15% in 2030), due to the increase of photovoltaic mounting systems. Other environmental 598 impacts are expected to decrease up to -5% (OD).

- 599 Changes in producer price for tomatoes markedly affect the economic viability of scenario-sub-
- 600 scenario combinations (Figure 7).
- 601

Journal Preziods

Difference between NPVs

602

603 Figure 7. Changes in producer price: sensitivity of net present value (NPV) and scenario viability with respect to the baseline (BAUsw-o). Source: Authors' own elaboration.

604 When considered per se, all scenarios but WWTPsw-o, would be viable even with -20% 605 producer price. Instead, no scenario would be viable compared to the baseline with the same reduction 606 of the producer price. WWTPsw-o, CLSsw-o display the largest NPV fluctuations and would require 607 at least +10% producer price to be viable, while a 5% increase would be enough for the rest of 608 scenarios. CSCsw-r, CSCcp-o, CLScp-o, and BAUcp-r would be viable even with -5% producer price 609 and BAUsw-r even with -10%.

610

611 *4.2. Eco-efficiency analysis*

613

612 EE emphasises the trade-offs among impact categories (Figure 8).
613

617 The x-axis identifies the scenarios that are economically acceptable for the farmer (PI change 618 $> 0\%$) and those that are not. Study findings suggest that adopting a reuse strategy would make sense 619 just if coupled with changes to the substrate material (here coir pith instead of stone wool) and/or in 620 the way how exhausted slabs are managed at end of life. Then, WWTPsw-o, CSCsw-o, CLSsw-o are 621 generally inefficient. The changes simulated by subscenarios have the potential to reduce TCOP, by 622 avoiding landfilling fees throughout the greenhouse lifetime, thereby increasing PI up to 34% (BAU-623 sw-r). This explains why BAU-subscenario combinations alternative to the base line (BAUsw-o) are 624 eco-efficient with respect to a series of impact categories, but ME, FE, and WRD. However, the 625 potential environmental improvements are very low, especially in BAUsw-r (almost neutral), and 626 never exceed -3% (AC in BAUcp-o, BAUcp-r) reduction in the absolute values of characterised 627 impacts. Other generally inefficient scenarios are WWTPcp-r and CLS-cpr. TCOP increase in WWTP 628 and CLS compared to BAU due to the adoption of the reuse technology makes the abatement of 629 substrate-related costs (sw-r, cp-o) necessary to raise PI and then encourage farmer uptake.

630 As expected from LCA results, water reuse technologies are eco-efficient with respect to FE 631 and ME, when coupled with the adoption of reuse strategies for substrate management. WWTPcp-o 632 and WWTPcp-r are exceptions, being eco-efficient just in terms of FE. The contribution of eco-633 efficient scenario-subscenario combinations to reduce FE and ME while increasing PI, compared to 634 the baseline, are as follows: WWTPsw-r (FE = -34%, ME = -17%, PI = +3.8%), CSCsw-r (ME = -635 48%, FE = -69%, PI = +19%), CLSsw-r (FE = -46%, ME = -69%, PI = +5.6%), CSCcp-o (FE = -636 42%, ME = -38%, PI = +16%), CLScp-o (FE = -41%, ME = -38%, PI = +2.7%), CSCcp-r (FE = -637 42%, ME = -38% , PI = $+5.8\%$).

638 Study findings highlight that reuse scenarios do not allow to achieve eco-efficiency in terms of 639 other environmental impact categories. The only exception to this pattern is CLS in case stone wool 640 is replaced with coir pith, which in turn is spread on farmland at the end of its useful life. If the farmer 641 decides to keep the business as usual, eco-efficiency can be achieved in terms of CC, OD, PM, AC, 642 TE, MFR.

643 Concerning WRD, adopting CLS is the only way to enable eco-efficiency, though with 644 relatively little environmental improvement. Again, subscenario matters, by affecting both 645 environmental and economic impact indicators. CLSsw-r and CLScp-o can, respectively, reduce 646 WRD with -1.5% and -1%, and increase PI with 5.5% increase of PI with 2.5%; CLSsw-o and CLScp-647 r are just partially eco-efficient due to the high fees for stone wool landfilling and coir pith composting 648 at end of life, respectively.

649 CSC and CLS are eco-efficient reuse strategies to reduce the critical impacts of greenhouse 650 cropping (FE, ME) (cf. Martin-Gorriz et al., 2020; Rufí-Salís et al., 2020b). While CLS only allows

651 WRD reduction (about -1%), CSC offers greatest returns to the farmer (PI increases up to 19%). The 652 eco-efficiency of both scenarios, however, occurs when the substrate is not landfilled and there is no 653 disposal fee for the farmer. Profitable substrate management alternatives for the farmer involve (i) 654 using stone wool and delivering the exhausted substate to a recycling plant, or (ii) using coir pith and 655 spreading the exhausted substrate on farmland.

656

657 **5. Discussion**

658 *5.1. Key research findings*

659 Study findings show that reusing drainage water can mitigate FE and ME of soilless 660 greenhouse cropping, especially due to the reduction of the contribution of the fertiliser stage to 661 environmental impacts, coherently with the literature (Rufí-Salís et al., 2020b). Largely, this is 662 because reuse strategies prevent nitrogen and phosphorus emissions to water (Antón et al., 2005a). 663 TE does not deviate much across the evaluated scenarios, being associated with direct emissions to air. 664

665 When the adoption of closed-loop fertigation is considered within the whole farm economy, 666 reduced eutrophication impacts can add to other environmental improvements due to a more efficient 667 use of water and fertilisers (Clark and Tilman, 2017; Montero et al., 2009). However, the reduction 668 of ME and FE comes at greater economic costs (TCOP) compared to the baseline (BAU scenario). 669 Reuse scenarios reduce PI, due to the high investment costs for the technological upgrade, 670 maintenance costs (electronic components should be replaced every 10 years) and wastewater 671 treatment fees, which are not compensated by greater returns from product sale. This finding contrasts 672 with other literature, which suggests the existence of reinforcing feedback loops between the 673 optimisation of fertiliser and water inputs and the improvement of the economic performance of 674 Mediterranean greenhouses (Galdeano-Gómez et al., 2017).

675 Reusing drainage water through closed-loop fertigation does not deliver a marked reduction of 676 WRD compared to BAU. This is probably due to the selected life cycle impact assessment method. 677 In the ILCD method, WRD is estimated via a scarcity model (Frischknecht et al., 2009), which 678 considers the volume of water withdrawal and replenishment in an area and provides and indicator 679 for the deprivation of water resources to users in that area (Boulay et al., 2015).

680 In general, the relatively low CC found here might be due to the different assumptions about 681 the lifespan of the greenhouse and fertigation infrastructures, thereby pointing to the relevance of 682 proper maintenance for extending the lifetime of infrastructures (Parajuli et al., 2019).

683 EE is a useful tool to identify the relevant technological options for consideration by decision 684 makers and policy makers. Per each environmental impact category, eco-efficient scenarios can

685 simultaneously reduce environmental impacts and increase of the profitability of the investment,

686 compared to the status quo (Figure 9).

689 Figure 9. Eco-efficient scenarios. Environmental improvement is calculated as the negative of % change per each impact 690 category. LCA impact categories are displayed on the primary y-axes (left-hand side); PI is displayed on the secondary y-axes (righthand side). Source: Authors' own elaboration.

692 Results suggest that small changes to the status quo can markedly increase farm profit, i.e. by 693 just modifying substrate management at end of life (BAUsw-r) or by replacing inorganic with organic 694 substrate, as well (BAUcp-o, BAUcp-r). To enable marked reductions of acidification and, especially, 695 eutrophication deeper changes are needed in the greenhouse technology, i.e. water reuse strategies 696 should be adopted. Both CSC and CLS are eco-efficient alternatives to the current production 697 technology, provided that the substrate is not landfilled and there is no disposal fee for the farmer. 698 For example, exhausted stone wool slabs can be delivered to a recycling plant, while exhausted coir 699 pith can be spread on farmland. Delivering drainage water to a wastewater treatment plant is another 700 option, which could be relevant for consideration by decision-makers, for example when contextual 701 conditions (e.g. poor farmer knowledge about other technologies) prevent the proper management of 702 CSC or CLS systems.

703 The findings of this study show that increasing the efficiency of use of fertilisers and water 704 through circular processes can have positive environmental and economic implications for the 705 greenhouse sector, which is especially important to guarantee continuous production against sudden 706 shortage of inputs or growth of farmer costs. With that respect, supporting research and innovation to 707 foster technological change in greenhouses is required to trigger farmer behaviour towards the 708 sustainable transformation of intensive food production systems (Sarabia et al., 2021). 709 The development and findings of the presented research suggest a series of lessons learnt with 710 theoretical and policy implications beyond the case study level (Yin, 2014). 711 712 *5.2. Theoretical implications* 713 The contribution of this article to the literature is twofold: 714 1. Methodologically, the article sustains the use of LCC within EE, by basing the analysis on 715 co-developed and methodologically consistent LCA and LCC and aims at helping method 716 harmonisation; especially: (i) by comparing improvement scenarios with the baseline, using 717 relative values of all the LCA impact categories under study and of the economic indicator; 718 (ii) by using the profitability index (calculated based on discounted cash flows and 719 investment costs) as the economic indicator; (ii) by supporting the graphical representation 720 of EE to enable the straightforward understanding of study findings, plotting all the 721 scenarios under evaluation on a two-way graph. 722 2. Content wise, the article (i) is an important data source for further research, by providing a 723 detailed inventory of production inputs and outputs for all the evaluated alternatives; (ii) 724 bridges a gap in the literature by showing comprehensive evidence about the environmental-725 economic implications of keeping the conventional open-loop fertigation technology vs. 726 adopting three alternative reuse strategies for drainage water (treatment in a wastewater 727 treatment plant, cascade cropping, closed-loop fertigation) and the substrate; (iii) compares 728 inorganic vs. organic substrates and develops what if situations to show the extent to which 729 reusing the substrate can improve the eco-efficiency of the greenhouse; (iv) supports policy 730 design and decision making to encourage the uptake of reuse strategies in the short or mid-731 term by focusing on incremental technologies that are readily available on the market. 732 This contribution has been achieved via a challenging data collection process, to achieve the 733 required data granularity for modelling the differences between the compared technologies. Data 734 collection and validation relied on an accurate protocol developed by the research team and on the 735 establishment of trusted relationships with farmers, advisors and supply chain actors (Hellweg and 736 Mila i Canals, 2014). 737 738 *5.3. Policy implications* 739 Achieving UN Sustainable Development Goals requires the coordination of food chain actors,

740 towards shared broad objectives. A strand of literature has highlighted the need for a radical change

741 in the way how food is produced (Ruben et al., 2021). However, incremental innovation can offer 742 great opportunities to improve the sustainability of food production, as well, as shown in this article. 743 Eco-efficient reuse strategies for greenhouse production can improve the environmental and 744 economic performance of fresh vegetable production (UNEP, 2017; Zhou et al., 2021). The findings 745 of this research suggest that effective strategies could rely on the promotion of incremental innovation 746 to foster the reuse of drainage water and cultivation substrates. In the European Union, the European 747 Innovation Partnership 'Agricultural Productivity and Sustainability' set up a focus group of experts, 748 to raise awareness about the opportunities of the diffusion of reuse strategies in Mediterranean 749 greenhouse (EIP-AGRI, 2019a, 2019d). However, reuse technologies are not widespread in 750 Mediterranean countries (Incrocci et al., 2020), despite their availability on the market (Massa et al., 751 2020, 2010). Two critical barriers have prevented their diffusion, i.e. the high uptake costs of 752 technology and the lack of an effective knowledge network for mitigating farmers' risk aversion (EIP-753 AGRI, 2019b; Juntti and Downward, 2017).

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755 5.3.1. Recommendations to address the cost barrier

756 To remove the cost barrier, this article compares the environmental-economic trade-offs of 757 incremental technologies, the adoption of which can be modulated based on context-specific factors, 758 including the ease of access to loans for the farmer (Norman and Verganti, 2014; Pearce et al., 2018). 759 This findings aim at reducing uncertainty in policy making to encourage the adoption of reuse 760 strategies in Mediterranean greenhouses (Herrero et al., 2021). The observed ability of the alternatives 761 to open-loop fertigation to reduce freshwater and marine eutrophication sustains the endorsement by 762 the European Innovation Partnership for Agricultural Productivity and Sustainability (EIP-AGRI) of 763 reuse technologies as strategies to reduce the environmental burden of greenhouse vegetable 764 production in Mediterranean countries, while not affecting farming viability (EIP-AGRI, 2019a, 765 2019e). The findings of this study present cascade cropping as a promising alternative to the status 766 quo, by offering the greatest opportunities for improving the environmental-economic impacts of 767 greenhouses. There is a need for the commitment of policy makers and extension services to 768 implement adequate supporting instruments, educational campaigns and training to support the 769 diffusion cascade cropping. Considering the water emergency, study findings point to the shift to 770 closed-loop fertigation as a strategy for reducing the burden of greenhouse cropping on water 771 resources. Then this technology should be considered by policy makers for improving the delivery of 772 more sustainable fresh vegetables in water scarce areas and where climate change is projected to 773 significantly affect the water balance (Rocha et al., 2020). However, the evidence presented in this 774 article pinpoints trade-offs among environmental and economic impacts, similar to recent research

775 (Martin-Gorriz et al., 2020; Rufí-Salís et al., 2020b). Especially, (i) technological innovation to 776 reduce the eutrophication potential can increase the climate change potential, which should be a 777 matter of concern for policy makers, given the growing climate emergency (IPCC, 2021); and (ii) 778 findings about the economic profitability of reuse strategies contrast with other literature, which 779 suggests the existence of reinforcing feedback loops between the optimisation of fertiliser and water 780 inputs and the improvement of the economic performance of Mediterranean greenhouses (Galdeano-781 Gómez et al., 2017). More research is still needed that integrates economic and environmental 782 assessments into ready to use decision tools for decision makers and policy makers (Gava et al., 783 2020). In the EU, this is of utmost importance in the framework of the European Union's Circular 784 Economy Action Plan and the Farm to Fork Strategy. Reusing exhausted coir pith on farmland is 785 already feasible and is a common practice in the case study area and in similar contexts. Instead 786 recycling stone wool requires dedicated plants. Key producers of stone wool substrates have 787 implemented producer take-back programmes (see e.g. Grodan (ROCKWOOL B.V.), 2017). To the 788 best of authors' knowledge, no similar programme has been activated in the case study area, so far. 789 This suggests that targeted extended product responsibility legislation for the horticultural sector 790 might have a high potential to boost the diffusion of eco-efficient technology (Galati et al., 2020). 791 More specific agricultural policy instruments can sustain the diffusion of eco-efficient reuse 792 technologies in Mediterranean greenhouses, by remunerating farmers based on the achieved 793 environmental improvements, as e.g. results-based payments of the coming Common Agricultural 794 Policy post-2020. In the European Union, new policy tools might mitigate north-south differences in 795 the diffusion of reuse technologies as well (Thompson et al., 2020), as e.g. the recently enforced 796 Water Reuse Regulation and the coming Integrated Nutrient Management Action Plan co-developed 797 with Member States (European Parliament and Council, 2020).

798 5.3.2. Recommendations to address the knowledge network barrier

799 Coping for the lack of an effective knowledge network requires the improvement of the local 800 Agricultural Knowledge and Innovation System. Even though understanding how to bridge this gap 801 is beyond the scope of the presented research, this article could be a starting point for further studies 802 based on stakeholder involvement in participatory activities. This could improve the existing evidence 803 by generating a science-policy society dialogue about the multiple aspects of the production context 804 (e.g. spatial variability, local conditions, decision makers' opinions) that are required for effective 805 policy making. Involving stakeholders in participatory activities could have the double benefit of 806 improving the social capital and generating input for planning new policy action. For example, 807 participatory activities may support the understanding of the implications of technological change in 808 socio-ecological systems. This would shade light on the interactions between biophysical elements

809 and governance mechanisms associated with the adoption of reuse strategies in greenhouse farming 810 (Le Moal et al., 2019). Other participatory activities may involve multi-criteria analysis workshops, 811 where stakeholders are asked to express their opinion about multiple assessment indicators and trade-812 offs. This enables a greater contextualisation of findings that can provide useful inputs to design of 813 innovative incentive mechanisms for farmers (De Luca et al., 2017).

814

815 **6. Conclusions**

816 This study shows how reuse strategies can improve the environmental and economic 817 performance of greenhouse farming, by providing evidence from a multiple scenario analysis based 818 on real-world data from a Mediterranean case study. A comparative eco-efficiency analysis is carried 819 out over sixteen scenarios, by adopting a life cycle perspective. The scenarios represent different 820 combinations of what if situations with respect to drainage water and substrate management. The 821 purpose is to shed light on the potential sustainability improvement (or worsening) achievable through 822 the adoption of incremental reuse technologies.

823 Eco-efficient technological innovation in greenhouse cropping requires the application of reuse 824 strategies to the management of both drainage water and the growing substrate. Replacing open-loop 825 fertigation with cascade cropping or close-loop fertigation enables almost 20% increase of the 826 profitability index, while simultaneously reducing freshwater eutrophication (from 1.38E+01 kg P eq 827 to 7.17E+00 kg P eq or 7.40E+00 kg P eq, respectively) and marine eutrophication (from 6.86E+01kg 828 P eq to 2.15E+01 kg P eq and to 2.17E+01 kg P eq, respectively), when the exhausted substrate is 829 reused (complete recycling of stone wool) at end of life and there is no disposal fee for the farmer 830 (using coir pith as soil amendment).

831 There are important trade-offs among impact categories, with the compared technologies 832 having the potential to increase a series of environmental impact categories, such as, e.g., toxicity. 833 This supports the call for further research to gain more knowledge about the preferences of food chain 834 stakeholders, to prioritise interventions through the use of specific weighting criteria. For example, 835 when water security is the key concern, closed-loop fertigation should be selected to mitigate water 836 resource depletion. Instead, cascade cropping should be chosen when the economic development is 837 the priority, as it offers the greatest returns to the farmer.

838 Two critical aspects emerge across all scenarios, which suggest general recommendations: (i) 839 the greenhouse infrastructure is the major source of environmental impacts across all scenarios, then 840 action should be taken to sustain the use of greenhouse materials with extended useful life; (ii) farmer 841 price for the produced vegetable is a sensitive parameter that can markedly affect the decision towards

842 the adoption of technological innovation; supply contracts that acknowledge the sustainability 843 attributes of greenhouse vegetable production might reduce the risk of price fluctuation.

844 The application of eco-efficiency analysis can support the identification of viable pathways to 845 achieve greater sustainability in greenhouse cropping systems and contribute to continuous method 846 advancement. However, absolute values should be considered with caution, due to study limitations. 847 Some limitations are study-specific, such as the geographical and temporal boundaries of data, the 848 assumptions underlying scenario building, and the use of simplified models for the calculation of 849 emissions from fertilisers and pesticides. Others are more general, such as the existence of data gaps 850 and the lack of context-specificity of background databases and characterisation factors, the limited 851 comparability of life cycle impact assessment results calculated using different methods.

852 However, the presented research is affected by three main limitations, i.e. (i) the reliance on a 853 representative farm; (ii) the reduced comparability with published articles; (iii) the selection of the 854 background databases for building the cradle-to-gate life cycle inventory and of life cycle impact 855 assessment method. To some extent, the comparative nature of this study reduces the importance of 856 those limitations, as research findings focus on the potential improvements that can be achieved 857 compared to a baseline situation. Nevertheless, absolute impact assessment results should be 858 considered with caution, when compared to the published literature. Those limitations highlight the 859 need for further research to provide more ex-post assessments of reuse technology adoption in the 860 real-world. More assessments are needed covering farms with different characteristics. Additionally, 861 published LCA research should follow agreed and harmonised rules to facilitate the generation of 862 external validity from case studies and then the delivery of more general recommendations.

863 Overall, this study suggests some directions for further research: (i) to extend case-based 864 assessments to different geographical and social contexts, including those not currently covered by 865 background databases; (ii) to calibrate scenario-based life cycle inventory models using real-world 866 data; (iii) to involve multidisciplinary stakeholders through participatory methods to identify socially 867 and financially acceptable interventions for assessment; (iv) to develop specific weighting 868 frameworks to deal with trade-offs among environmental impacts to support the prioritisation of 869 interventions based on local conditions and stakeholder needs; (v) to develop win-win supply 870 contracts for farmers and retailers.

871

872 **Annex 1 - Theory**

873 This study develops an eco-efficiency analysis by integrating LCA and LCC. LCA and LCC 874 are process-based tools to compilate the inventory (quantities, costs) of all the inputs and outputs of 875 crop production and to assess the environmental impacts and natural resource use (LCA) and the

876 economic impacts (LCC), from raw material acquisition to disposal (Finkbeiner et al., 2006; Huguet

877 Ferran et al., 2018; Hunkeler et al., 2008; Swarr et al., 2011). In the LCA, this is done through a

878 stepwise approach with four phases (see Brentrup et al., 2004; Curran, 2013; Pennington et al., 2004;

879 Rebitzer et al., 2004 for more details):

880 (1) goal and scope definition (including the identification of system boundaries the selection if 881 the functional unit);

882 (2) life cycle inventory analysis, i.e. the compilation of all the relevant inputs and outputs 883 (including direct and indirect emissions to the environment and consumption of resources);

884 (3) life cycle impact assessment: the outputs of the inventory are classified according to the 885 effect they have on the environment and assigned to impact categories using characterization factors, 886 representing the potential of specific emissions or resource consumption to contribute to the relative 887 impact category, as follows:

888
$$
IC_i = \sum_j (E_j \vee R_j) \times CF_{i,j}
$$

889 where, IC_i = impact category i; E_i or R_i = emission j or consumption of resource j; $CF_{i,i}$ = 890 characterization factor for E_i or R_i contributing to IC_i. CF are calculated via quantitative models at 891 the midpoint (CF_m) or endpoint (CF_e) level. Endpoints are the attributes or aspects of natural 892 environment's ecosystems, human health, resource availability (areas of protection), identifying the 893 ultimate environmental impacts of concern; midpoints represent the relative contribution of 894 emissions/resource consumption to an endpoint at an earlier point on the cause-effect chain between 895 emissions/resource consumption towards endpoints (JRC, 2012). CF_m and CF_e are calculated, based 896 on fate factors (FF), optional exposure factors (EF), effect factors (EFF) and optional damage factors 897 (DF), as follows (Morelli et al., 2018):

898 $CF_m = FF \times EF$

$$
CF_e = CF_m \times EFF \times DF
$$

900

901 (4) interpretation, to support result understanding and informed decision making in business 902 and policy.

903 The same steps apply to the LCC, except for impact assessment, as data are already expressed 904 in currency units; instead, attention should be paid to cost grouping and the identification of relevant 905 economic criteria, such as the definition of costs and the selection of the discount rate, when future 906 cash flows are relevant for the assessment, to cite a few (Heijungs et al., 2013; Ristimäki et al., 2013; 907 Swarr et al., 2011).

908

909 **Annex 2 – Data collection process**

Example 2018 Journal Pre-proof

- 944 for both the LCA and the LCC of all scenarios and subscenarios, to verify if they were consistent with
- 945 the sector, to propose adjustments and to suggest sensitive parameters. The purpose of the
- 946 identification of sensitive parameters was to extend the usefulness of study findings to the sector. The
- 947 identified parameters were transport distances, as those observed for the case study were shorter than
- 948 for most farms, and farmer prices for tomatoes, as they can be affected by relevant fluctuations on a
- 949 vearly basis.
- 950

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- 954

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

 \Box The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

