

1 **The dolomite nodules enclosing fossil marine vertebrates in the East Pisco Basin, Peru: field**  
2 **and petrographic insights into the Lagerstätte formation**

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## 22 **Abstract**

23 The Mio-Pliocene Pisco Formation (Peru) is a worldwide famous marine vertebrate Lagerstätte. Several  
24 fossil specimens are wrapped up in dolomitic nodules. Some others lie in the sediment displaying dolomite  
25 only in bone cavities (e.g., mesorostral canal and endocranium). With the aim to understand whether the  
26 precipitation of the dolomitic nodules influenced the formation of the Lagerstätte, we collected field data on  
27 a high number of fossil vertebrates and conducted petrographic and mineralogical analyses on samples  
28 representative of the variable development of concretions. Our results revealed positive relationships  
29 between size, completeness and articulation of skeletons and the presence of an external nodule. Clear  
30 evidence of chemoautotrophic communities that thrived on the carcasses are scarce. Microborings are often  
31 found in the cortical bone tissues together with iron oxides; the former are left by microorganisms feeding on  
32 the carcass, the latter are traces of former Fe sulphides, a product of organic matter degradation. We suggest  
33 that an early burial of the skeletons was a determinant factor in the development of dolomite concretions,  
34 since it allowed methanogenesis and anaerobic sulphate reduction exploiting the lipids in the bones and the  
35 organic matter dispersed in the sediments. Dolomite precipitation was driven by the same bacteria operating  
36 during the sulphophilic stage of whale-fall communities. Textural observations imply that dolomite  
37 precipitated shortly after carcasses burial. The increase of alkalinity generated by sulphate reduction and  
38 methanogenesis caused rapid precipitation of dolomite within skeletal cavities and prevented the degradation  
39 of the bones and diagenetic compression of skeletons; nodules themselves prevented erosion of fossils after  
40 exhumation. Therefore, nodules formation had a crucial role in the development of the Pisco Lagerstätte.

41

42 **Keywords:** biomineralization, dolomite concretions, marine vertebrates, taphonomy, Pisco Formation.

43

## 44 **1. Introduction**

45

46 The Mio-Pliocene, mainly diatomaceous, Pisco Formation, crops out in the Ica desert, Peru. For decades,  
47 due to its enormous concentration of perfectly preserved fossil marine vertebrates (Esperante et al., 2008), it  
48 has been raising the interest of researchers from all over the world. This interest has been raised by the

49 opportunity to study in detail the evolution of many vertebrates lineages (e.g., Bianucci et al., 2010; Lambert  
50 et al., 2008, 2009, 2010, 2013 and references therein) and taphonomy (Ehret et al 2008, Esperante et al.,  
51 2008, 2015). Due to fossil abundance and preservation, the Pisco Fm. can be defined as a Konservat-  
52 Lagerstätte (Seilacher, 1970; Esperante et. al., 2015).

53 The fossil vertebrates of the Pisco Fm., are often enclosed in hard dolomite concretions, or nodules. Even  
54 in absence of the carbonate concretion, sediments close to the fossil bones are commonly lithified and have a  
55 different colour in respect to the surrounding lithology.

56 Carbonate concretions enclosing fossils have been described from the Pisco Fm. (Esperante et al., 2015)  
57 as well as from many other deposits (e.g., Tarr, 1921; Weeks, 1957; Canfield and Raiswell, 1991; Kaim et al.,  
58 2008; Danise et al., 2012; McCoy, 2015 and references therein). Hypotheses on their relation with the decay  
59 processes have been proposed for both invertebrates and vertebrates (e.g., Briggs, 2003; Danise et al., 2012).  
60 Information on the paleo-geochemical micro-environments related to the pre-burial decay and early  
61 diagenesis of fossil marine vertebrates has been gained by observations on modern shallow- and deep-water  
62 whale fall communities (Allison et al., 1991; Goffredi et al., 2004; Treude et al., 2009; Little, 2010). This  
63 subject is still matter of discussion, in particular as regards: 1) the development of a sulphophilic stage  
64 supporting chemoautotrophic communities on shallow fossil whale falls (Dominici et al., 2009; Danise et al.,  
65 2012, 2014); 2) the early vs. late diagenetic origin for carbonate cements precipitated within the skeletons  
66 (Kiel, 2008; Shapiro and Spangler, 2009). However, most of the studies on this topic still focus on a limited  
67 number of specimens and lack a basin-scale field background.

68 The abundance of concretions enclosing fossil vertebrates in the Pisco Fm. and their variable  
69 development and characteristics give the opportunity to study the formation mechanisms of concretions; the  
70 aim is to provide results for future reconstructions of the fossilization processes. We suggest that the  
71 dolomite concretions hosting fossil vertebrates can give important insights into: 1) the geochemical processes  
72 occurring during and as a consequence of decay; 2) the interaction between the decaying carcass and the  
73 enclosing sediment. Relation between high sedimentation rates and the formation of the Pisco Konservat-  
74 Lagerstätte formation have been proposed by Esperante et al. (2008); however, we believe that many are the  
75 causes that contributed to the Lagerstätte. In this frame, our research aims (i) to carry out a reconstruction of

76 nodule formation mechanisms, (ii) to assess their temporal relationships with the fossilization process and  
77 (iii) to evaluate their role in the fossil preservation, basing our investigations on the large variety of records  
78 offered by the Ica desert Konservat-Lagerstätte. With these purposes, in this work we discuss the results  
79 driven from field investigations, providing a complete picture of the variable development of concretions  
80 around the fossil. We also discuss the results of petrographic and mineralogical investigations conducted on  
81 selected representative specimens.

82

## 83 **2. Geological frame**

84

85 The Pisco Formation crops out for about 300 km along the southwestern coast of Peru, from Pisco to  
86 Yauca (Fig. 1A, B), its thickness ranging from about 200 to 1000 m (Dunbar et al., 1990). The Formation  
87 was deposited during the Mio-Pliocene in the East Pisco forearc basin (Thornburg and Kulm, 1981; De  
88 Muizon and DeVries, 1985; DeVries, 1988) and subsequently uplifted starting from the late Pliocene, most  
89 of the uplift being caused by the subduction of the aseismic Nazca ridge under the South American Plate  
90 (Hsu, 1992). Communications of the basin with the outer ocean were restricted due to two structural barriers:  
91 the Mesozoic igneous rocks of the Coastal Batholith to the east (Mukasa, 1986; Cobbing, 1999) and by  
92 Precambrian and Jurassic metamorphic, igneous and sedimentary rocks of the Coastal Cordillera to the west  
93 (Romero et al., 2013). Nowadays, the East Pisco Basin belongs to a morphostructural unit known as  
94 “Pampas Costera” (Coastal Desert), a desert region cluttered with numerous hills with a large base and a  
95 planar top, modeled during Quaternary by marine and continental erosion phenomena (Montoya et al., 1994).  
96 Our study area, the Ica Desert, is characterized by these morphologies (Fig. 1C).

97 Because of the coastal upwelling conditions characterizing the Peruvian shelf since the Miocene (Suess  
98 and von Huene, 1988), the East Pisco Basin was characterized by continuous diatom blooms causing a high-  
99 rate biosiliceous sedimentation. Therefore, diatomaceous mudstones are the main lithology characterizing the  
100 Pisco Fm., in particular in its upper portion (Brand et al., 2011). Nodular dolomite layers, terrigenous  
101 sandstones, tuff beds from the Andean volcanic activity and minor phosphorites complete the sequence  
102 (Dunbar et al., 1990; Brand et al., 2011; Di Celma et al., 2015).

103 This work is mainly focused on the outcrops of Cerro Colorado (late Miocene; Di Celma et al., 2015) and  
104 Cerro Los Quesos (late Miocene; Brand et al., 2011; Di Celma et al., submitted) (Fig. 1B).

105

### 106 **3. Methods**

107 Field surveys were conducted in July 2013, May 2014 and September 2014 at Cerro Colorado and Cerro  
108 Los Quesos. Stratigraphic investigations were carried out together with acquisition of paleontological data  
109 and collection of samples for petrographic and micropaleontological analyses.

110 A total of 229 fossil vertebrates (preserved as bone elements), out of those recorded in field surveys were  
111 used for this study (156 specimens at Cerro Colorado and 73 at Cerro Los Quesos ).

112 For each fossil, detailed information was reported in dedicated sheets filled-out in the field. These data  
113 consist of: 1) the location (carefully recorded using hand-held GPS devices); 2) a preliminary identification  
114 (see Bianucci et al., 2015 for Cerro Colorado); 3) the stratigraphic position; 4) several accurate taphonomic  
115 observations (e.g., skeleton completeness, defined as percentage of skeleton preserved and articulation,  
116 defined as percentage of skeletal elements still articulated -see Tables S1 and S2, supplementary material-;  
117 evidences scavengers (e.g. shark bites); presence of mollusks and/or shark teeth associated to the carcasses);  
118 5) observations on the enclosing sediments (e.g., color and degree of lithification and development of the  
119 concretions).

120 For the petrographic and mineralogical analyses, we collected samples of bones, nodules and sediments  
121 enclosing the bones and nodules, with the aim of analyzing all the cases of nodules development. A synthetic  
122 description is reported in Table 1. Samples were selected for laboratory analyses. We also collected samples  
123 of unlithified diatomite surrounding the nodules and of dolomitic stratigraphic layers, which formed  
124 morphological benches along the sequence.

125 The samples were examined under a stereoscope. Polished thin sections of bones and enclosing  
126 sediments were observed by means of a petrographic microscope Axioplan in transmitted and reflected light.  
127 Scanning Electron Microscopy in Backscattered Electron Imaging (SEM-BSEI), Secondary Electron  
128 Imaging (SEM-SEI) and Energy Dispersive X-Ray Spectroscopy analyses (EDS) of sediment particles,  
129 cement, bones and pore filling minerals were carried out with a Philips XL30 equipped with a Dx4i

130 microanalytical device on the same carbon-coated polished thin sections at Dipartimento di Scienze della  
131 Terra of the University of Pisa (Italy). Analytical details were 20 kV filament voltage, 5 nA beam current,  
132 100 s counting time, ZAF correction. Major elements composition of the bulk nodule was estimated through  
133 EDS analysis of scanned windows of 1 mm x 1 mm and 500  $\mu\text{m}$  x 500  $\mu\text{m}$ , selected to have a sufficiently  
134 large area compared to the sediment grain size; rare and scattered particles larger than 250 micron were  
135 avoided from the analyses. Fragments of lithified sediment were picked up and carbon-coated to be observed  
136 by means of SEM as well. X-ray Powder Diffraction (XRPD) analyses were carried out with a Philips  
137 diffractometer at Dipartimento di Scienze della Terra of Pisa on bulk sediment samples, after pulverization in  
138 an agate mortar.

139

## 140 **4. Results**

141

### 142 *4.1. Field data*

143 The sedimentological structures observed in the field suggest that the depth of the Pisco Basin at both  
144 Cerro Colorado and Cerro Los Quesos ranged between 0 and 100 m bsl (inner-middle shelf). Cerro Colorado  
145 is characterized by evidences of a strong terrigenous input, while biosiliceous sediments prevail at Cerro Los  
146 Quesos. Nodules enclosing fossils are present in all the lithologies characterizing the investigated sites:  
147 laminated diatomite, diatomaceous silt and fine sand. These nodules are rounded, roughly cylindrical  
148 concretions, with variable size, depending on the size of the fossil (more than 10 m for some mysticetes of  
149 Cerro Los Quesos). Due to the greater resistance of dolomite to erosion compared to the surrounding  
150 lithologies, these features are easily recognizable at the outcrop (Fig. 1C). The concretions are in contact  
151 with the cortical part of the fossil bones and can be over 10 cm thick, thus hiding the presence of the  
152 skeletons to an inexperienced observer (Fig. 1C; Fig. 2A, B, C). On the other hand, several fossil vertebrates  
153 emerge from the ground without being wrapped in an external nodule (Fig. 3 A, B). Nonetheless, in most  
154 cases, these display carbonate concretions within the trabecular tissue of bones, in cavities within bones (e.g.,  
155 endocranium, mesorostral canal, neural arc of vertebrae) or between adjacent articulated bones (e.g.,  
156 vertebrae), indicating a partial development of nodule (Fig. 2D, E). The bones interested by dolomite

157 concretions filling bone cavities do not display diagenetic compression features. In some cases, deformation  
158 of the underlying sediment shows clear evidence that the carcasses at least partially sank into the seafloor.  
159 Overall, the sediment interested by the dolomitic concretions is massive and bluish-ocre to yellow-ocre in  
160 color. Below the concretions, a particular sequence of colors grading to the undisturbed surrounding  
161 sediment has been repeatedly recognized: right below the concretions the sediment is non-laminated, yellow  
162 and then reddish in color, with a millimeter-thick black band separating the yellow from the reddish zone.  
163 This sequence of yellow-black-red sediments (YBR sequence hereafter) is some centimeters to a few  
164 decimeters thick. Because the sediment overlying nodules is only partially preserved, clear indications on the  
165 presence of the complete YBR sequence above the nodules cannot be given. The YBR sequence has been  
166 observed also in absence of the dolomite nodules, the yellow sediment being directly in contact with the  
167 bones (Fig. 3A, B). Some specimens neither have dolomite, neither display a visible YBR sequence (Fig.  
168 3C).

169 At Cerro Los Quesos, only eight specimens out of the 73 examined (11%) present dolomitic concretions.  
170 At Cerro Colorado, the presence of dolomite is much more frequent, interesting 68 of the 157 examined  
171 specimens (44%, Fig. 4A, B). The elevated number of fossils with dolomitic concretions at Cerro Colorado  
172 allowed us 1) to check for relations between the presence of nodules and some taxonomic and taphonomic  
173 features of the specimens, such as the taxon they belong to, their size, skeletal articulation and completeness  
174 (Fig. 4C, D, E); 2) to evaluate their vertical and horizontal distribution in the stratigraphical sequence (Fig.  
175 4A).

176 The Cerro Colorado fossil vertebrates mostly belong to cetaceans, representing 86% of the specimens  
177 examined for the presence of concretions. Cetaceans that were identified are mysticetes (cetotheriids and  
178 balaenopteroids) and odontocetes (kentriodontid-like delphinidans, pontoporiids, ziphiids and physeteroids  
179 including the giant raptorial sperm whale *Livyatan melvillei*, Lambert et al., 2010). Besides cetaceans, seals,  
180 crocodiles, sea turtles, seabirds, bony fish, and sharks are reported (Bianucci et al., 2015).

181 The nodules mainly occur in correspondence of cetacean remains, and are definitely more frequent in  
182 mysticetes (67% of remains present a high or partial development of concretions) than in odontocetes (22%  
183 of remains) and in pinnipeds (14%) (Fig. 4C). The higher frequency of nodules in the cetaceans in respect to

184 other vertebrates and, within the cetaceans, in the mysticetes rather than the odontocetes, evidences a  
185 relationship between the fossil size and the presence or absence of nodule. In fact, at Cerro Colorado the  
186 skeletons of mysticetes are generally larger than the ones of odontocetes and, on the whole, the cetacean  
187 skeletons are in most cases larger than the ones of other marine vertebrates. A relationship also exists  
188 between the presence of a nodule and both the articulation (Fig. 4D) and the completeness (Fig. 4E) of the  
189 fossil skeletons, i.e. the specimens with a highly or partially developed nodule are preferentially totally or  
190 partially articulated and complete.

191 The distribution of the fossil vertebrates inspected for concretions at Cerro Colorado along the  
192 stratigraphic column is illustrated in Fig. 4A. Nodules are not concentrated in a particular stratigraphic  
193 position, instead they affect specimens irrespective of their stratigraphic position, suggesting that the nodule  
194 formation is not related to a given sedimentary event.

195 Shark teeth have been found associated to fossils, but evident traces of shark bites on bones are rare; any  
196 other evidence of scavenging activity (e.g., crustaceans) is absent. On the other hand, both at Cerro Colorado  
197 and at Cerro Los Quesos bivalves are present, being frequent but unrelated to fossil vertebrates at Cerro  
198 Colorado and rare but associated to fossil cetaceans at Cerro Los Quesos. Bivalves from Cerro Colorado  
199 mostly belong to the genera *Dosinia* and *Anadara* (suspension feeders), while bad preservation of specimens  
200 prevented the determination of bivalves from Cerros Los Quesos. Bivalves at Cerro Los Quesos are still in  
201 their living position.

202

#### 203 *4.2 Petrography and mineralogy*

204

205 The specimens selected for the petrographic and mineralogical studies of the concretions (Table 1) are  
206 representative of the different situations encountered in the field. We summarized the diverse situations  
207 encountered into three cases: 1) specimens enclosed in a hard dolomitic nodule; 2) specimens with partially  
208 developed dolomite concretions (mainly within cranium and rostrum cavities, between two articulated  
209 vertebral bodies and in the neural arch of vertebrae); 3) specimens without dolomitic nodule, hosted in a  
210 partially hardened sediment of yellow-reddish color. Sampling strategy is shown in Fig. 5A. We sampled



211 both the nodules and the bones, at different skeletal positions, when available. Oriented subsamples were  
212 selected to study the nodule development at the microscopic scale (Fig. 5B).

213

#### 214 4.2.1. The dolomite nodules enclosing fossil bones

215 The petrographic study highlighted that concretions consist of variable fractions of diatomaceous or  
216 terrigenous clasts, of microcrystalline carbonate and of void-filling sparry carbonate cements (Fig. 6A). Both  
217 the microcrystalline and the sparry carbonate are dolomite. The micritic dolomite shows a clotted texture.  
218 The sediment clasts consist of diatom frustules joined to terrigenous mineral grains, volcanic ashes and  
219 forams in variable amounts (Fig. 6A, B, C, D). In the most consolidated concretions, SEM imaging  
220 highlights that dolomite completely fills not only the intergranular porosity, but also the small areolae of  
221 diatom valves (Fig. 6B). In some cases, silica of diatom valves is dissolved and the morphology of diatoms is  
222 perfectly replicated by molds of dolomite. Foraminifera tests can be replaced by dolomite.

223 The dolomite cement fills completely the original sediment porosity close to the bones as well as most  
224 bone cavities (Fig. 5B), while the porosity is progressively left unfilled moving out of them (Fig. 5C). At the  
225 outcrop scale, the more cemented regions correspond to a light bluish carbonate rock, while the porous  
226 regions have a yellow/reddish color.

227 The dolomite cement has a crystal size of about 50 microns and displays a mosaic texture when filling  
228 diatom frustules or foram tests. Compared to the diatomaceous mudstone out of the nodules at a similar  
229 stratigraphic position, diatom frustules preserved within the nodules are overall scarcely deformed (Fig. 7).

230 In most cases, the dolomite-cemented diatomaceous sediment is massive (Fig. S1A, supplementary  
231 material). Only two examples of concretions preserving the laminated pattern of the original sediment were  
232 found: these are the external nodule of specimen CC-O46 (Fig. S1B, supplementary material) and the  
233 mesorostral canal nodule of specimen CLQ-M67 (Table 1). In both cases the laminae are millimeter or  
234 submillimeter in thickness and are alternately dominated by biogenic and terrigenous particles. The  
235 terrigenous laminae of specimen CC-O46 are characterized by terrigenous particles of ca. 100  $\mu\text{m}$  of  
236 diameter cemented together by dolomite, while the diatom genus *Actinoptychus* seems to dominate the  
237 biogenic fraction. On the other hand, specimen CLQ-M67 seems to be dominated by biogenic laminae

238 (frustules of the diatom genus *Coscinodiscus* are easily recognizable), while the terrigenous fraction is  
239 represented by an aphanotopic cement probably binding together fine clay particles. An estimation of the  
240 chemical composition of the nodules has been obtained by averaging several EDS scans of 1 mm x 1 mm  
241 500 µm x 500 µm areas (Table 2). Major elements chemical composition at increasing distances from the  
242 bones indicates a decrease of Ca and Mg in respect to Si, and an increase of the Al/Si ratio (Table 2): these  
243 trends confirm the increase of dolomite filling towards the bones, as visible in SEM-BSE images (Fig. 5C).

244 Fine-grained iron oxides/hydroxides (from <0.5 µm to ca. 2.5 µm, in clusters of 10 µm in diameter) are  
245 rather diffuse in the dolomite-cemented sediment, in particular inside diatom frustules and around them. The  
246 prevalent shape and clustering of fine rounded grains (Fig. 6C) is suggestive of replacement of previous iron  
247 sulphide framboids: indeed, iron sulphides can be altered to iron oxides in oxic condition (Eggleston et al,  
248 1996).

249 Within bones, dolomite fills cavities such as Haversian canals and trabecular porosities (Fig. 5B) with  
250 different textures: fine anhedral crystals, clotted aggregates, mosaic shaped crystals, bladed or mosaic-shaped  
251 void-fillings. Terrigenous and biosiliceous clasts also entered some bone cavities. The bone tissues enclosed  
252 in dolomite concretions do not show any mineral replacement and structures such as Haversian canals are  
253 preserved. In some cases the trabecular tissue can be fractured (Fig. 6D) and the cortical tissue intensely  
254 burrowed (Fig. 8A, B, C). We recognized at least three types of borings. Microborings type A are 2-4 µm in  
255 diameter and around 30-40 µm in length (Fig. 8A) although their size and shape are comparable to those of  
256 microborings type 1 described by Danise et al. (2012), they differ from the latter by interesting only the  
257 cortical tissue and not the trabecular one. Iron oxides have been observed in correspondence of microborings  
258 type 1 (Fig. 8A, arrows). Danise et al., 2012 identified those as traces left by some kind of organisms of  
259 prokaryotic origin. Microborings type B have lengths ranging between 10 and 20 µm and diameters of ca.  
260 12,5 µm (Fig. 8B). These microborings are limited to the first 50 µm of the cortical bone tissue (Fig. 8B).  
261 Although we are not able to identify the organism which left these traces, they seem to be the same  
262 represented in Fig.13D of Esperante et al. (2015), described as Wedl type tunnels; however, the length and  
263 the diameter of microborings type B do not fit the description of Wedl type tunnels, which are described as  
264 tunnels of 8 µm of diameter penetrating for 0.2-0.25 mm below the bones surface (Davis, 1997).

265 Microborings type C are found on the cortical tissue; they are tubular and have lobe-like extensions, with  
266 diameters of ca. 35  $\mu\text{m}$  and length of ca. 100-120  $\mu\text{m}$  (Fig. 8C); their shapes resemble traces left by *Osedax*  
267 spp. (Kiel et al., 2010, 2013; Higgs et al., 2014), but they are too small to be unambiguously attributed to this  
268 organism. The textural relationships between the mineral phases infilling pore spaces in bones within  
269 nodules indicate that dolomite was the first mineral to grow as an infill, nucleating on the bone surface. At  
270 the contact with the bone, the sediment particles may be separated from the cortical bone by a thin dolomite  
271 rim, apparently exploiting the bone as a nucleation surface and in some cases showing a clotted textures (Fig.  
272 6E). The cloudy reddish opaques visible in transmitted light (Fig. 6A, D) (corresponding to the bright  
273 punctuations under SEM-BSE, Fig. 6F, arrowheads) indicate that iron oxides precipitated, probably as  
274 sulphides, during dolomite growth. In the cavities of trabecular bone which were not invaded by sediment, a  
275 second generation of dolomite cement grew nucleating on the first generation dolomite originating a drusy  
276 texture. An evident zoning in Fe content in the drusy dolomite is revealed by SEM-BSEI images and EDS  
277 microanalytical data (Fig. 6G and Table 2). A final dolomite cement fills the drusae as sparry mosaic  
278 dolomite. Silica, also, is found as cement. Textural relationships in correspondence of small cracks with  
279 negligible displacement in the trabecular bone (Fig. 6D) highlight the continuity of the dolomite-cemented  
280 sediment and of the dolomite rimming the bone along the fractured surface. This pattern indicates that many  
281 cracks occurred before the first generation of dolomite and shortly before nodule consolidation.

282 Late minerals (gypsum, anhydrite, halite, Mn minerals) are found in the bones and are also diffusely  
283 present in the nodules, with textural relationships indicating that they formed after the carbonate cement. Part  
284 or all gypsum/anhydrite occurs filling pores and drusae and as diffuse needles in intergranular position and as  
285 veinlets; gypsum and anhydrite can be related to the several generations of gypsum veins of some  
286 centimeters of thickness, with fibrous texture, well evident in the field at both Cerro los Quesos and Cerro  
287 Colorado sites. Mn minerals (oxides) occur in the nodules as spots and veinlets or as patinae on the bones,  
288 suggesting that they formed after the carbonate cement (Fig. S2 supplementary material).

289 The dolomite layers forming discrete strata along the stratigraphic sequences are petrographically similar  
290 to the nodules enclosing fossil vertebrates as regards the texture of the carbonate. Here fish scales are rather  
291 common.

292

#### 293 *4.2.2. The outer boundaries of the nodules: the YBR sequence*

294 Sediments from the different layers of YBR sequences have been investigated both by means of SEM-  
295 EDS and XRPD analyses. These sediments are always scarcely lithified. The presence of ferroan dolomite is  
296 highlighted in the yellow sediments (specimens CC-M85 and CLQ-M58, XRPD analysis) and minor  
297 dolomite was found in specimen CLQ-C67 (SEM-EDS).

298 The black layer is composed mainly by Mn minerals, cementing the original sediment particles, while the  
299 red layer presents dispersed Fe hydroxides. XRPD analyses carried out on the black layer did not permit the  
300 identification of the Mn minerals due to low crystallinity.

301 Silica of the diatom frustules has been recognized both in the yellow and in the red layer, while it is  
302 dissolved within the black layer and the original presence of diatoms is testified by their Mn-internal mould  
303 (Fig. 6H).

304

### 305 **5. Discussion**

306

307 Dolomite layers are commonly observed in recent and past organic carbon-rich marine sediments (e.g.  
308 Kulm et al., 1984; Benoulli and Gunzenhauser, 2001; Meister et al., 2008). Their formation has been related  
309 to degradation of organic matter by different mechanisms: 1) anaerobic oxidation of methane (AOM), 2)  
310 methanogenesis (MG) and 3) sulphate bacterial reduction (SBR). These mechanisms regulate carbonates  
311 precipitations by increasing alkalinity and pH in sediment pore waters (Irwin et al., 1977; Jørgensen, 1992;  
312 Coleman, 1993; Teal et al. 2000; Aloisi et al., 2002; Luff and Wallmann, 2003; Moore et al., 2004; Meister  
313 et al., 2007, 2011, 2013). The inhibiting effect of sulphate on dolomite precipitation can be neutralized by  
314 high sedimentation rates limiting sulphate penetration in the sediment (Kelts and Mackenzie, 1984) or by the  
315 biomediated sulphate reduction operated by bacteria during organic matter degradation. The role of  
316 biomediated sulphate reduction in dolomite formation has been also demonstrated by several laboratory  
317 experiments (Vasconcelos et al., 1995; Van Lith et al., 2003; Jinhua et al., 2013; Bontognali et al., 2014).

318 Previous experimental results have explained the mechanisms for the formation of carbonate nodules  
319 around decaying organisms (Berner, 1968; Allison, 1988): carbonate species may form in the environment  
320 of decomposing carcasses following the increasing of alkalinity accompanied by a rise in pH (e.g. caused by  
321 ammonia production); subsequently these may function as nucleation centers for binding additional  
322 bicarbonate scavenged from pore-water (Allison, 1988). Geochemical studies of carbonate concretions in  
323 marine environments confirm the role of organic matter decay processes (AOM, SBR, methanogenesis) in  
324 their early diagenetic formation (Claypool and Kaplan, 1974; Irwin et al., 1977; Coleman, 1993, Bojanowski  
325 and Clarkson, 2012). Recently, it has been suggested that nodules on large carcasses of vertebrates could be  
326 linked to the activity of sulphate reducing bacteria (Shapiro and Spangler, 2009), and a correlation with the  
327 evolution of modern whale-falls communities has been hypothesized (e.g., Danise et al., 2012). However,  
328 additional observations on fossil vertebrates are needed to contribute to this discussion and to highlight the  
329 role played by carbonate concretions in the formation of the Lagerstätte.

330

### 331 *5.1 Causes and timing of dolomite nodules formation*

332

333 The data collected during our field investigations in the Pisco Lagerstätte highlight that an important  
334 relationship exists between fossil vertebrates and the presence of dolomite concretions (Fig. 2). Large,  
335 complete and articulated fossils are characterized by concretions more frequently than the others (Fig. 4B, C,  
336 D, E).

337 In the examined nodules, dolomite cements the intergranular and intragranular porosity of the preexisting  
338 sediment, after it infilled the skeletal cavities (e.g., mesorostral canal, endocranium, neural arch of  
339 vertebrae). Therefore, dolomite formation must have occurred after the whale soft tissues were already  
340 decayed or taken away from the bones, and the bones themselves were, at least partially, covered by  
341 sediment (Fig. 9A, B). Rapid burial may have been favoured by the sinking of the carcasses into the  
342 unconsolidated sediment, as also suggested by Brand et al. (2004). Extremely high sedimentation rates have  
343 been hypothesized for the Pisco Fm. (Brand et al., 2004; Esperante et al., 2015), but have not been measured  
344 yet.

345 The infilling of trabecular bone and skull cavities by sediment can be explained as the result of suck in  
346 due to the lower internal pressure caused by escaping of gases produced during organic matter degradation,  
347 as proposed by Bodzioch and Kowal-Linka (2012). Thus, the infilling of the carcasses by the unconsolidated  
348 sediments must have occurred shortly after or during degradation of soft organic matter in the cavities of the  
349 skeleton, at the sea bottom or a few centimetres below it.

350 A subtle layer of dolomite crystals grown on the trabeculae surface and separating it from the sediment  
351 clasts (Fig. 6E) testifies the early precipitation of at least the first generation of dolomite cement, nucleating  
352 on the bones before the sediment completely filled the voids of the trabecular tissue.

353 The formation of dolomite inside the skeletal and bone cavities prevented the failure of the bones due to  
354 the sedimentary load, which is instead observed in other cases in absence of carbonate nodules (e.g., the skull  
355 of the holotype of *Nazcacetus urbinai* Lambert et al., 2009 from Cerro Los Quesos lacks endocranial  
356 dolomitic concretion and presents a strong dorsolateral compression). Similarly, the scarce deformation of  
357 diatom frustules in the nodules, compared to the diatomite out of the nodules, suggests that dolomite  
358 precipitation mostly occurred before complete compaction (Fig. 7). On the other hand, the mosaic-textured  
359 carbonate cement filling frustules, as well as the sparry cements in the trabecular porosity, represent one or  
360 more later generations of dolomite cement precipitation, whose timing in respect to the formation of the main  
361 concretions is difficult to assess; however, it precedes the precipitation of late minerals such as halite and  
362 gypsum. Taken as a whole, the above evidences indicate that the dolomite nodules formed in several steps,  
363 due to early diagenetic processes, after the sediment covered the bones (Fig. 9B), while a later step of sparry  
364 dolomite filling is temporally unconstrained.

365 As regards the mechanism of dolomite formation, we favour primary dolomite precipitation as a main  
366 mechanism, over secondary dolomitization. We found no clear petrographic evidence of dolomitization of a  
367 previous calcite cement. Moreover, the petrographic features indicate the presence of clotted dolomite  
368 textures and a close relationships between dolomite and iron oxides within the nodules. Framboids of iron  
369 oxides, formerly sulphides, are recurrent; in addition, iron oxide inclusions occur in dolomite growing on the  
370 bone trabeculae (Fig. 6F). Both clotted textures, associated iron oxides, and iron oxides in microborings can  
371 be taken as evidence of microbial-mediated origin for the carbonate. Clotted textures can be consequent to

372 micro-geochemical variations related to bacterial activities (Kennard and James, 1986; Burne and Moore,  
373 1987; Riding, 2000). For what concerns iron oxides H<sub>2</sub>S is an intermediate product of decay processes and  
374 its reaction with Fe takes to the production of iron mono-sulphides, later converted to pyrite in sulphidic  
375 conditions and that we observed in the form of iron oxides (Fig. 6C, D, F, 8A). Although pyrite may be the  
376 results of different reactions (Berner 1980, 1984), pyrite in the peripheral areas of bones and associated to  
377 microborings is closely related to anaerobic sulfate reduction acting during lipids degradation (Shapiro et  
378 Spangler, 2009; Danise et al., 2012). Indeed, these areas match with sulfide concentrations during lipid  
379 degradation in modern whale-falls (Allison et al., 1991; Deming et al., 1997). Allison et al. (1991) also  
380 suggest extensive skeletonisation (removal of soft tissue from carcasses) as a prerequisite for sulfide  
381 formation in bones, as only this condition would permit oxygen and sulfate diffusion in the trabeculae.

382 For what the drusy dolomite in trabecular cavities is concerned, SEM-EDS analyses highlighted zoned  
383 crystals with increasing Fe in the dolomite lattice (Fig. 6G) rather than with iron oxides. This indicates the  
384 establishment of reduced but non-sulphidic conditions inside the drusae. Precipitation of the drusy dolomite  
385 may be representative of a locally different geochemical microenvironment or of a later diagenetic stage  
386 compared to that characterized by the presence of framboids. It can be assumed that microsparitic to sparry  
387 dolomite infilling took place when no organic matter was left, as suggested in Riding and Tomás' model  
388 (2006).

389 The microbially mediated precipitation of dolomite is supported by the massive texture (loss of  
390 laminations) of nodules. This may be caused by an intense microbioirrigation activity (Pike et al., 2001) but  
391 possibly also by the generation of methane, that typically gives a foamy appearance to the sediment (Treude  
392 et al., 2009). Indeed, the laminations sporadically preserved in the external nodule of specimen CC-O46 and  
393 the intrarostrum nodule of specimen CLQ-M67 testify that the loss of the original sedimentary features in  
394 nodules was not induced by dolomite precipitation itself.

395 As regards the different microbially mediated processes involved in organic matter decay in marine  
396 sediments (AOM, SBR, MG), they are commonly separated in the sedimentary column, methanogenesis  
397 being deeper than SBR. However, co-occurrence of SBR and methanogenesis associated to modern whale

398 carcasses has been demonstrated by Treude et al. (2009) and reported also by Smith et al., 2015. Therefore,  
399 their discrimination in fossil material is hard throughout a petrographic approach.

400 It is a matter of fact that the soft tissues of the marine vertebrates represent a high amount of organic  
401 matter available for decomposing agents (Smith, 2006), able to influence also the surrounding sediment  
402 (Smith and Baco, 2003; Treude et al., 2009), thus it may be questioned why dolomite formation did not  
403 occur before the bones were covered by the sediment. Dolomite formation may have been inhibited in the  
404 very early stages of soft tissue decay due to possible oxic degradation promoting acidic conditions,  
405 unfavourable for carbonate mineral precipitation (Coleman, 1993). It cannot be excluded that the presence of  
406 a high amount of biomass may have favoured nodule formation: the presence microorganisms related to its  
407 decay changed the geochemical conditions of the surrounding sediments favouring alkaline conditions and  
408 carbonate nucleation, as suggested by Bontognali et al. (2014) based on experimental results. However,  
409 dolomite formed when soft tissues were decayed and the bones cavities were filled by sediment: this  
410 evidence strongly suggests that dolomite precipitation is mainly ruled by the presence of of bones lipids  
411 rather than by that of soft tissues. This is in agreement with the observations and the degradation rate  
412 assessment reported by several authors, suggesting that the utilization of bone lipids by sulphate-reducing  
413 bacteria is much slower and sustained compared to the soft tissues consumption (Schuller et al., 2004;  
414 Treude et al., 2009). Therefore, bone lipids represent a source of organic matter available for degradation  
415 after skeletonization and sediment infilling (e.g. endocranium).

416 Petrographic textures similar to those presented here have been described by several authors (Kaim et al.,  
417 2008; Kiel, 2008; Shapiro and Spangler, 2009; Danise et al., 2012). Based on carbon isotope similarity, Kiel  
418 (2008) suggests that the carbonate cements inside the bones and the external concretions formed at the same  
419 time, and proposes that they formed thousands of years after the death of the whale, therefore unrelated to  
420 the bone lipids degradation. On the other hand, Shapiro and Spangler (2009) note the lack of cement in the  
421 central cancellous bone and take it as an evidence against later pore water circulation, preferring the  
422 microbial origin. For what the studied Ica desert concretions are concerned, the field and petrographic  
423 evidence collected in this work indicate that the dolomite within bone cavities formed during the same  
424 process that lead to the outer concretions. Unlike Kiel's reconstruction, this contemporaneity can be an



425 evidence that both in the bones and in the external nodules, carbonate formation was related to lipids  
426 degradation operated by bacteria.

427 Our results allow to contribute to the comparison between the processes and timing observed at present  
428 in deep and shallow sea whale-falls (Deming et al., 1997; Smith and Baco, 2003; Little, 2010; Smith et al.,  
429 2015) and their fossil equivalent (e.g. Dominici et al., 2009; Danise et al., 2012). The first stage of the  
430 whale's decomposition is the mobile-scavenger stage, with sharks and crabs eating all the soft tissues for a  
431 couple of years (Smith and Baco, 2003). The next stage (the enrichment-opportunist stage, shorter than two  
432 years, as described by Smith and Baco, 2003) involves "dense assemblages of heterotrophic macrofauna  
433 (especially polychaetes and crustaceans)", which "colonize the bones and organically enriched sediments  
434 surrounding the carcass" (Smith et al., 2015). The third stage is the sulphophilic stage: anaerobic bacteria  
435 exploiting the lipids in the bone reduce  $\text{SO}_4^{2-}$  and release  $\text{H}_2\text{S}$ , which is oxidized by the sulphophilic bacteria  
436 using sea water oxygen. In this stage a large community of mussels, clams and limpets thrives by exploiting  
437 sulphide-based chemoautotrophic production for up to fifty years (Smith and Baco, 2003). During this stage  
438 also methane can be released from the decaying tissue and bacterial methanotrophs may thrive (Smith et al.,  
439 2015). The final stage is the reef stage, during which the mineral remains of the skeleton are colonized by  
440 suspension feeders (Smith et al, 2015).

441 Evidence of the occurrence of a sulphide-based chemoautotrophic community have been commonly  
442 found in association to whale falls in the fossil records for deep sea and outer shelf (Amano and Little, 2005;  
443 Danise et al., 2010; Dominici et al., 2009). In shallow shelves and coastal settings, fossil traces of bone  
444 degradation due to microbial activity have been documented (Dominici et al., 2009; Shapiro and Spangler,  
445 2009; Danise et al., 2012. Danise et al. (2012) found, on a shallow fossil whale fall, traces of pre-burial  
446 activity (microborings, traces of iron sulphides testifying sulphate reduction), dolomite concretions formed  
447 after burial during bone lipids degradation, but no trace of chemosynthetic invertebrates testifying the  
448 development of a sulphide-based chemoautotrophic ecosystem (Danise et al., 2012). Rare fossil  
449 chemosynthetic bivalves were instead found by Danise and Dominici, 2014. Also in our case, evidence of  
450 this molluscan community are scarce; in addition, because of the lack of hinges due to bad conservation of  
451 bivalves, the chemosynthetic nature of those molluscs associated to Cerro Los Quesos carcasses could be

452 speculated only by their position with respect to fossils (see Table S2, supplementary material and Fig. S3,  
453 supplementary material). The fossils with associated bivalves (CLQ-C46, CLQ-C47, CLQ-O7) are all  
454 positioned on the same stratigraphic level. Our reconstruction of nodule formation mechanisms suggests that  
455 the geochemical condition in which nodule developed was suitable for the flourishing of the sulphophilic  
456 stage community observed at modern whale-falls. Therefore, the prevailing absence of chemosynthetic  
457 bivalve traces must be ascribable to different causes that prevented their flourishing or their preservation.  
458 Overall we propose that organic matter decay processes were able to sustain a long-lived biomineralization  
459 factory that lead to the formation of the dolomitic nodules.

460

#### 461 *5.2 The boundary between concretions and unaffected sediment*

462

463 With or without dolomite concretions, the presence of the YBR sequence in correspondence to fossil  
464 remains is recurrent; this fact made us suspect that its formation was closely related to the reduced  
465 environmental conditions induced by carcasses degradation, just as much as the dolomite is.

466 The yellow layer surrounds the dolomite nodule or is directly in contact with the bones, but dolomite is  
467 rarely found or completely absent from it (see paragraph 4.2.2). Therefore, we suggest that it represents an  
468 incipient phase of nodule formation. When fossils are mostly complete and articulated but lie directly on the  
469 yellow layer, bicarbonate saturation may have been inhibited by different causes (i.e. sulphate penetration  
470 throughout the sediment porosity), preventing the precipitation of high amounts of dolomite. Moreover, the  
471 environment around the bones was undergoing enhanced reduced conditions compared to the surrounding  
472 sediments, strongly influencing the behaviour of redox-sensitive elements. This allowed the Fe (dissolved in  
473 pore water after iron reduction, or derived from terrigenous as well as from organic matter) to be fixed as  
474 sulphides. Similarly, reduced conditions increased Mn solubility, allowing it to form soluble compounds  
475 with humic acids; on the other hand oxidation causes the formation of insoluble organic manganese  
476 compounds (López-González et al., 2006). Biologically-induced Mn reduction exploited Mn particulate  
477 present in the oxygenated sea water and Mn contained in the volcanogenic sediment particles. A redox  
478 discontinuity layer formed where the reducing conditions ceased, causing the precipitation of Mn species and

479 the oxidation of Fe, that makes the sediment color turning into red. Migration of Mn by diffusion in  
480 obedience of the redox gradient is suggested by the texture of the Mn-rich black layers. We infer that the  
481 YBR sequences mark the outer boundaries of the volume of sediment where reducing conditions were  
482 induced by organic matter decay, thus favouring the concentration of redox-sensitive elements as Fe and Mn,  
483 in an overall oxic sea bottom (as also highlighted by Treude et al., 2009). Although this sequence was only  
484 found below fossil specimens, we cannot exclude that it completely surrounded fossils and that it was eroded  
485 by recent weathering agents.

486

### 487 *5.3 Factors affecting the extent of dolomitic nodules formation*

488

489 Many factors might control the degree of dolomite development around the vertebrate skeletons in the  
490 Pisco Fm. Basically, the microbially mediated dolomite formation requires removal of sulphate ions  
491 inhibiting primary dolomite (Baker and Kastner, 1981), joined to carbonate supersaturation caused by the  
492 increase in alkalinity from bacterial degradation of organic matter (Claypool and Kaplan, 1974; Compton,  
493 1988a): any variation of these requirements may interrupt the process of dolomite cement formation. Some  
494 factors affecting these variables are discussed here below.

495 (i) The available amount of biomass affects the extent of time during which bacterial degradation occurs  
496 and the volume of sea bottom sediment affected (Smith, 2006; Treude et al., 2009). Large carcasses furnish a  
497 large amount of organic matter and allow anaerobic degradation to begin in the interior, while aerobic decay  
498 acts on tissues exposed to oxygenated bottom waters. Although dolomite formation occurred after  
499 consumption of soft tissues, we cannot exclude that the huge amount of biomass conditioned the sediment  
500 surrounding carcasses (Smith and Baco, 2003; Treude et al., 2009; Bontognali et al., 2014). The positive  
501 relationship between nodule formation and biomass amounts is clearly supported by field-data (Fig. 4C).  
502 Indeed, nodules mostly wrap: 1) fossils of larger size (basically mysticetes, fig. 4C); 2) highly articulated and  
503 highly complete skeletons (Fig. 4D and 4E), these two properties being directly related to the amount of  
504 bones and flesh that reached the sea bottom with the skeletons.

505 (ii) Once the soft tissues have gone, the amount of bone lipids available for degradation could affect the  
506 chemical environment of the skeleton. Higgs et al. (2011) documented that: 1) bones from different parts of  
507 the skeleton have different lipid content; 2) lipids content increases with body size. Accordingly, we nearly  
508 always found dolomite concretions between vertebrae (lumbar vertebrae) and as endocranial nodules. Also  
509 nodule prevail around mysticetes than around odontocetes (Fig. 4C).

510 (iii) The persistence of low sulphate conditions is unfavoured by the continuous availability of sulphate  
511 from the oxygenated bottom waters, even if the environment close to the decaying carcass is reduced. From  
512 our data, it seems as if the formation of nodules required the bones buried in the sediment before the  
513 exhaustion of bone lipids. It could be proposed that early covering by sediments allowed the isolation from  
514 the oxygenated waters required to maintain the conditions for carbonate precipitation. A highly porous  
515 sediment (highly permeable) may alter this condition, favouring the exchange of sulphate with bottom  
516 waters.

517 No relationship seems to exist between the nodules formation and their distribution in space and time  
518 within the Pisco Fm. Although stratigraphic layers of dolomite are commonly present within the vertical  
519 development of the sequence, nodules distribution is unrelated to those and to any other stratigraphic  
520 horizon. Continuous layers of dolomite were likely formed at the sulphate–methane interface within the  
521 sedimentary column due to alkalinity increase related to microbial activity degrading organic carbon-  
522 (Compton, 1988b; Meister et al., 2008), most likely deeper (within the first 30 m) in the sedimentary column  
523 compared to depth of nodules formation. For what lithologies are concerned, fossils at Cerro Colorado seem  
524 to prevail in diatomite and in diatomaceous sandstone rather than in fine-grained sand (Bianucci et al., 2015).  
525 However, this fact may be attributed to the scarcity of this latter lithology within the Cerro Colorado  
526 sequence (Di Celma et al., 2015). Likewise, the nodules that we analysed are not preferentially located in one  
527 lithology or the other. Therefore, nodule formation is not assignable to a specific or recurrent event in the  
528 stratigraphic record, neither to a given sedimentation rate (as this cannot be assumed constant throughout the  
529 different lithologies), but rather to recurrent specific geochemical conditions at the local scale.

530

531 *5.4 Role of the presence/absence of dolomitic nodules in the formation of the Pisco Lagerstätte.*

532

533 The formation of dolomite nodules preserves bones at the outcrop as long as the nodule is intact.  
534 However, the nodule formation may limit or prevent the complete remineralization of the bones, reducing  
535 bones resistance to erosion agents when exposed. Indeed, bones originally wrapped up in nodules are  
536 delicate and brittle if exposed to weathering: this suggests that they underwent scarce or no remineralization.  
537 The bone structures, such as osteons, trabeculae and Haversian canals, are very well preserved.

538 On the other hand, the lowering of sediment permeability concomitant to the formation of the  
539 concretions, could have allowed the preservation of very labile structures or rapidly degrading tissues, as  
540 discussed by McCoy et al. (2015). This could be the reason for the exceptional preservation of baleen in the  
541 Pisco Fm. Described by Esperante et al. (2008). Besides the current role of nodules in protecting the bones at  
542 the outcrop, our reconstruction of the timing and mechanisms of their formation during early diagenesis  
543 implies additional ways through which they acted a central role in the preservation of the Pisco marine fossil  
544 vertebrates:

- 545 • the early stage of nodules formation presupposes an increase of alkalinity, consequent to microbial  
546 activity, that avoided the dissolution of bones;
- 547 • early filling and cementation of skeletal voids prevented diagenetic compression of specimens (as  
548 occurred, on the contrary, in the case of the holotype of *Nazcacetus urbinai* Lambert et al, 2009);
- 549 • nodules worked as a protection against any erosive events that may have acted in case of re-  
550 exposition of the fossils on the sea floor;
- 551 • since the formation of nodules was favored by large size, articulation and completeness of the  
552 remains, the Pisco nodules had a role in preserving complete and articulated specimens;
- 553 • finally, in some cases, the formation of the dolomite nodules could have contributed to the  
554 preservation of very labile structures or tissues, lowering sediment permeability.

555 Therefore, the formation of the concretions contributed in different ways to the development of the Pisco  
556 Lagerstätte.

557

## 558 **6. Conclusions**

559

560 In the Pisco renown fossil vertebrate deposit (Ica desert), field investigations and petrographic results  
561 indicate a strict correspondence between the presence of the isolated dolomite nodules and the remains of  
562 fossil vertebrates. This correspondence, together with the positive relationships between size, completeness  
563 and articulation of skeletons and the presence of an external nodule, support a cause-effect relation.  
564 Dolomite formed shortly after the soft tissues decayed and the skeletons were covered by sediment. We  
565 propose that the dolomite surrounding the fossils of the Pisco Fm. was precipitated mostly because of  
566 microbially mediated processes (methanogenesis and sulphate reduction), during bone lipid degradation. Iron  
567 hydroxides maintaining the texture of pyrite framboids are evidence of sulphide production during dolomite  
568 precipitation, while clotted dolomite and microborings are evidence of microbial activity in correspondence  
569 of bones. Field results relate nodule development with size, completeness and articulation of fossils: this  
570 evidence can be taken as proof that an intense and prolonged bacterial activity related to a higher amounts of  
571 lipid favored the formation of the nodules. We suggest that this activity is operated by the same bacteria  
572 operating during stage three of whale-fall communities and that vertebrate wrapped up in partial or complete  
573 nodules can be identified as fossil and partially-developed whale fall communities. We suggest that an early  
574 burial by sediment was a determinant factor in the development of dolomite concretions, since it allowed  
575 anaerobic degradation processes before lipid exhaustion. Rapid burial was favored by the carcasses sinking  
576 into an unconsolidated sediment.  
577 A characteristic sequence of redox-sensitive elements enrichment (Mn, Fe) under or around the fossils is  
578 interpreted as a consequence of the reducing conditions in the sediment surrounding the carcasses, differing  
579 from the general geochemical conditions of the sea-bottom.  
580 Although not all the fossils of the Pisco Fm. are interested by nodules, we suggest that dolomitic nodules  
581 played a central role in the development of the Pisco Lagerstätte, acting throughout different protection  
582 mechanisms: increase of alkalinity in the early stage of nodule formation avoided the dissolution of bones;  
583 early diagenetic formation of nodules limited erosive agents on the sea floor (such as bottom currents); early  
584 filling and cementation of skeleton voids prevented diagenetic compression of specimens; lowering of  
585 sediment permeability in some cases contributed to the preservation of very labile structures or tissues.

586 Moreover, the formation of nodules was favored by large size, articulation and completeness of the fossil  
587 vertebrates. Therefore, the nodules had a role in the preservation of complete and articulated specimens, thus  
588 favoring the formation of the exceptional Pisco Lagerstätte.

589

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600

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## Table Caption changes marked

1 Table captions

2 Table 1. List of fossils specimens selected for laboratory analyses. These specimens are  
3 representative of the range of nodule development. Their main field characteristics,  
4 taphonomic aspects, ~~samples descriptioning and~~ and type of analysis are tabulated.

5 Table 2. Representative major element composition (wt%) of the dolomitic concretions assessed by  
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1 Figure captions

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3 Fig. 1. Geographic and geomorphological settings of the Ica desert. A. Sketch map of Peru, with  
 4 location of the Ica desert. B. Location of the sites of investigation, Cerro Colorado and Cerro  
 5 ~~Los~~ Los Quesos. C. The landscape at Cerro Colorado, with a concretion hiding a vertebrate  
 6 fossil skeleton standing in relief in the foreground. The hammer is circled in red for scale.

7 Fig. 2. Carbonatic nodules enclosing fossils, Pisco Fm. A. Skull and articulated mandibles of  
 8 Cetotheriidae (Cetacea, Mysticeti, specimen CC-M22) (left); the skull, the mandibles and the  
 9 postcranial skeleton (not visible in the photo) were hidden in a nodule, which was partially  
 10 destroyed to reveal the bones. Bones are ~~evidenced~~ highlighted in yellow and the nodule in  
 11 orange (right). B. Partially articulated skeleton including skull of Cetotheriidae CC-M18  
 12 (left); colors of bones and nodules (right) as in A. C. Complete articulated skeleton of small  
 13 Cetotheriidae CC-M72 (left); colours of bones and nodule (right) as in A. D. Portion of  
 14 rostrum of the holotype of the physeteroid *Livyatan melvillei* (Cetacea, Odontoceti, CC-O29)  
 15 (left) and its position in the skull evidenced in ~~yellow-light blue~~ (orange)  
 16 precipitated only around the teeth. —E. Portion of articulated skeleton including skull of  
 17 kentriodontid-like Delphinida (Cetacea, Odontoceti, CC-O4). Dolomite (orange) precipitated  
 18 within the mesorostral groove, the endocranial, and the neural canals of the vertebrae.  
 19 Although not visible in the figure, this specimens lies on a yellow sediment lying on a  
 20 millimetric black Mn layer.

21 Fig. 3. Examples of fossils with partial or no concretions and of the enclosing sediment. A.  
 22 Articulated skeleton of Balaenopteroidea (Cetacea, Mysticeti, CLQ-~~A124M58~~) with skull  
 23 partially eroded. This specimen lies directly on the YBR sequence (see boxes). The lower  
 24 dark grey layer is a volcanic ash bed (arrow). —B. Portion of articulated vertebral column of  
 25 Balaenopteroidea (CLQ- ~~M33A55~~) lying on the YBR sequence. C. Disarticulated skeleton  
 26 (skull, mandible, vertebrae and rib) of Cetotheriidae (Cetacea, ~~Mysticete~~ Mysticeti, CC-M21)

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27 without nodule; the diatomaceous sediment is reddened by some undetermined alteration  
28 process.

29 Fig. 4. Nodules distribution in the Cerro Colorado fossil vertebrate assemblage. A. Distribution of  
30 the nodules in the fossil vertebrate assemblage examined in this work at Cerro Colorado, as a  
31 function of the position along the stratigraphy. The represented stratigraphic interval is the  
32 Lower Allomember (Di Celma et al., 2015 in review) where a higher concentration of fossils  
33 was found; red lines at the base and top of the section represents respectively the Pisco Fm.-  
34 Chilcatay Fm. angular unconformity between the Pisco Fm. and the Oligo-Miocene Chilcatay  
35 Fm. and the Pisco Fm. intraformational angular unconformity (Di Celma et al., 2015).  
36 Elevations are in respect to the base of the section. Red ellipses along the stratigraphic column  
37 represent nodular dolomitic layers unrelated to the presence of vertebrate remains.  
38 Concentration of fossil specimens between 40 m and 75 m is due to the better exposure of the  
39 strata within that interval at Cerro Colorado (Bianucci et al., 2015-in review). B. Presence of  
40 well developed or partially developed concretions in the whole fossil assemblage (N=157) of  
41 Cerro Colorado. C, D, E. Nodules rRelationships with taxa, completeness and articulation.

42 Fig. 5. Sampling strategy. A. Position of sampledSampling of concretions and bones  
43 skeleton (specimen CLQ-M1 shown as example). B. Schematic section of a bone enclosed in  
44 a dolomitic nodule. Red rectangles show the position of oriented subsamples. C. Example of  
45 the use of oriented subsamples: Vvariation of extent of dolomite filling in an external nodule  
46 were studied; SEM-BSEI images and microanalytical informations from specimen CC-M22.

47 Fig. 6. SEM images and transmitted light microscope photographs of nodules thin sections. A.  
48 Dolomite infilling trabecular tissue of specimens CLQ-~~MA~~1 (transmitted light, plane nicols);  
49 forams and diatoms (arrowheads) are visible within the aphanotopic dolomitic cement. B.  
50 Diatom within the nodule of- specimen CC-M85 (SEM-BSE). The silica of the frustules was  
51 not dissolved (white star in the box) and dolomite completely filled the areolae (black star in  
52 the box). C. Examples of iron oxide framboid, formerly sulphide (left) and iron hydroxides

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53 filling a diatom frustule in the dolomite of specimen CC-M85 (right). D. Broken trabeculae  
54 rimmed by dolomite. ~~E. Cortical bone tissue of specimen CLQ A121 is crowded with~~  
55 ~~microborings type one. This type of borings can be associated to Fe oxides (inbox; diameter~~  
56 ~~of the framboid is ca. 7 μm). F. A second type of microborings characterizes the cortical tissue~~  
57 ~~of a vertebra of specimen CLQ A179. GE.~~ Dolomite crystals nucleated on the trabeculae  
58 before the sediment filled the voids of the trabecular tissue (specimen CLQ-MA1) (SEM-  
59 BSE). ~~Arrows point the boundary between the dolomite rim and the filling (and dolomitized)~~  
60 ~~sediment HE.~~ A detail of iron oxides (arrowheads) –associated to the dolomite rim seen in  
61 (GE). IG. An evident zoning in composition in the drusy dolomite is revealed by SEM-BSE  
62 images. HH. Internal mould of diatom areolae made of Mn minerals (YBR of specimen CLQ-  
63 A180C67).

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64 ~~Fig. 7–. Effect of dolomite precipitation on diagenetic compaction. Comparison between (A)~~  
65 ~~Coscinodiscus frustules in a dolomitized mudstone from a nodule and (B) in the diatomaceous~~  
66 ~~mudstone far from any dolomitic concretion. Early precipitated dolomite (A) prevented~~  
67 ~~frustules from being squeezed by the sedimentary load (B). Arrows facing each other point to~~  
68 ~~valves of the same frustule.~~

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69 ~~Fig. 8. Different types of microborings characterizing the cortical tissue of fossil whales from the~~  
70 ~~Pisco Fm. A. Type A associated to Fe oxides (arrows; diameter of the framboid is ca. 7 μm).~~  
71 ~~B. Microborings type B interesting the outer 50 μm of the cortical bone tissue of specimen~~  
72 ~~CLQ-M10. C. Microborings type C characterize the cortical tissue of a vertebra of specimen~~  
73 ~~CLQ-M67.~~

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74 ~~Fig. 7. Comparison between (A) Coscinodiscus frustules in a dolomitized mudstone from a nodule~~  
75 ~~and (B) in the diatomaceous mudstone far from any dolomite concretion. Early precipitated~~  
76 ~~dolomite (A) prevented frustules from being squeezed by the sedimentary load (B). Arrows~~  
77 ~~facing each other point to valves of the same frustule. Scale bars = 100 μm.~~

78 ~~Fig. 89. Dolomite formation reconstruction. A. Marine vertebrate deposited at the sea floor. Refloat~~

79 | ~~of carcasses due to decay gases likely occurred in the shallow waters of Cerro los Quesos and~~  
80 | ~~Cerro Colorado~~. B. The consumption of the soft tissue is rapid (a few years, Treude et al.,  
81 | 2009) compared to lipid degradation. The skeleton was rapidly buried (see paragraph 5.1 for  
82 | explanation). Rapid burial affected the skeleton. Sulphate reducing bacteria are represented:  
83 | these could be closely related to the sulphate-reducing organisms of the whale-fall  
84 | sulphophilic stage (lasting from 2 to 50+ yrs, Smith and Baco 2003). The mobile scavenger  
85 | stage and the enrichment opportunist stage are not represented because we found no evidences  
86 | of their development. C. The sediment surrounding the skeleton is permanently modified by  
87 | the consequences of decay. The YBR sequence is dotted above the nodule because it was  
88 | never observed in the field.

89

1 Figure captions

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23 eroded. This specimen lies directly on the YBR sequence (see boxes). The lower dark grey  
24 layer is a volcanic ash bed (arrow). B. Portion of articulated vertebral column of  
25 Balaenopteroidea (CLQ- M33) lying on the YBR sequence. C. Disarticulated skeleton (skull,  
26 mandible, vertebrae and rib) of Cetotheriidae (Cetacea, Mysticeti, CC-M21) without nodule;

27 the diatomaceous sediment is reddened by some undetermined alteration process.

28 Fig. 4. Nodules distribution in the Cerro Colorado fossil vertebrate assemblage. A. Distribution of  
29 the nodules in the fossil vertebrate assemblage examined in this work at Cerro Colorado, as a  
30 function of the position along the stratigraphy. The represented stratigraphic interval is the  
31 Lower Allomember, where a higher concentration of fossils was found; red lines at the base  
32 and top of the section represent respectively the Pisco Fm.-Chilcatay Fm. angular  
33 unconformity and the Pisco Fm. intraformational angular unconformity (Di Celma et al.,  
34 2015). Elevations are in respect to the base of the section. Red ellipses along the stratigraphic  
35 column represent nodular dolomitic layers unrelated to the presence of vertebrate remains.  
36 Concentration of fossil specimens between 40 m and 75 m is due to the better exposure of the  
37 strata within that interval at Cerro Colorado (Bianucci et al., 2015). B. Presence of well-  
38 developed or partially developed concretions in the whole fossil assemblage (N=157) of Cerro  
39 Colorado. C, D, E. Nodules relationships with taxa, completeness and articulation.

40 Fig. 5. Sampling strategy. A. Sampling of concretions and bones in a mysticete skeleton (specimen  
41 CLQ-M1 shown as example). B. Schematic section of a bone enclosed in a dolomitic nodule.  
42 Red rectangles show the position of oriented subsamples. C. Example of the use of oriented  
43 subsamples: variation of extent of dolomite filling in an external nodule were studied. SEM-  
44 BSEI images and microanalytical informations from specimen CC-M22.

45 Fig. 6. SEM images and transmitted light microscope photographs of nodules thin sections. A.  
46 Dolomite infilling trabecular tissue of specimens CLQ-M1 (transmitted light, plane nicols);  
47 forams and diatoms (arrowheads) are visible within the aphanotopic dolomitic cement. B.  
48 Diatom within the nodule of specimen CC-M85 (SEM-BSE). The silica of the frustules was  
49 not dissolved (white star in the box) and dolomite completely filled the areolae (black star in  
50 the box). C. Examples of iron oxide framboid, formerly sulphide (left) and iron hydroxides  
51 filling a diatom frustule in the dolomite of specimen CC-M85 (right). D. Broken trabeculae  
52 rimmed by dolomite. E. Dolomite crystals nucleated on the trabeculae before the sediment

53 filled the voids of the trabecular tissue (specimen CLQ-M1) (SEM-BSE). Arrows point the  
54 boundary between the dolomite rim and the filling (and dolomitized) sediment F. A detail of  
55 iron oxides (arrowheads) associated to the dolomite rim seen in (E). G. An evident zoning in  
56 composition in the drusy dolomite is revealed by SEM-BSE images. H. Internal mould of  
57 diatom areolae made of Mn minerals (YBR of specimen CLQ-C67).

58 Fig. 7. Effect of dolomite precipitation on diagenetic compaction. Comparison between (A)  
59 *Coscinodiscus* frustules in a dolomitized mudstone from a nodule and (B) in the diatomaceous  
60 mudstone far from any dolomitic concretion. Early precipitated dolomite (A) prevented  
61 frustules from being squeezed by the sedimentary load (B). Arrows facing each other point to  
62 valves of the same frustule.

63 Fig. 8. Different types of microborings characterizing the cortical tissue of fossil whales from the  
64 Pisco Fm. A. Type A associated to Fe oxides (arrows; diameter of the framboid is ca. 7  $\mu\text{m}$ ).  
65 B. Microborings type B interesting the outer 50  $\mu\text{m}$  of the cortical bone tissue of specimen  
66 CLQ-M10. C. Microborings type C characterize the cortical tissue of a vertebra of specimen  
67 CLQ-M67.

68 Fig. 9. Dolomite formation reconstruction. A. Marine vertebrate deposited at the sea floor. B. The  
69 consumption of the soft tissue is rapid (a few years, Treude et al., 2009) compared to lipid  
70 degradation. The skeleton was rapidly buried (see paragraph 5.1 for explanation). Rapid burial  
71 affected the skeleton. Sulphate reducing bacteria are represented: these could be closely  
72 related to the sulphate-reducing organisms of the whale-fall sulphophilic stage (lasting from 2  
73 to 50 yrs, Smith and Baco 2003). The mobile scavenger stage and the enrichment opportunist  
74 stage are not represented because we found no evidences of their development. C. The  
75 sediment surrounding the skeleton is permanently modified by the consequences of decay.  
76 The YBR sequence is dotted above the nodule because it was never observed in the field.

77



Table  
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site	specimen	identification	articulation	completeness	carbonate concretions	YBR	specimen short description	sample s short description	type of analysis	figure		
CERRO COLORADO	Mysticeti	CC-M85	Mysticeti indet.	n.d.	n.d.	complete outer nodule	visible	eroded skull and bone fragments	nodule and adjacent bone, YBR	petrography, SEM-EDS, XRPD	-	
		CC-M22	Cetotheriidae indet.	high	medium	complete outer nodule	absent or covered	articulated skeleton including skull and mandibles inside a nodule	outer nodule and yellow layer	petrography, SEM-EDS	2A	
	Odontoceti	CC-O29	<i>Livyatan melvillei</i>	low	low	partial	visible	skull, mandibles and teeth partially eroded	outer nodule, YBR	petrography	2D	
		CC-O46	Odontoceti	high	low	partial outer nodule interesting vertebrae	absent	skull and few articulated vertebrae	nodule enclosing vertebrae	petrography, SEM-EDS	-	
		CC-O4	aff. Kentriodontidae indet.	high	high	partial	visible	articulated skeleton including skull and mandibles	nodule in neural arc, mesorostral canal and endocranium, YBR	macroscopic observation	2E	
		CC-O37	<i>Messapicetus gregarius</i>	medium	high	partial external nodule only on the articulated lumbar vertebrae.	visible	partially disarticulated skeleton (skull, mandibles, vertebrae, ribs, scapula, humeri and radius)	nodule in neural arc, intervertebral dolomite, external nodule on lumbar vertebrae, YBR	macroscopic observation	-	
		CC-O35	<i>Messapicetus gregarius</i>	medium	medium	no outer nodule	-	partially disarticulated incomplete skeleton (skull, mandibles, cervical and thoracic vertebrae, ribs and sternum)	nodule partially filling endocranium	macroscopic observation	-	
		CC-P7	Phocidae indet.	high	high	scarcely cemented nodule	-	articulated skeleton inside a small nodule	trabecular bone and sediment	SEM-EDS	-	
	CERRO LOS QUESOS	Mysticeti	CLQ-A1	Balaenopteroidea indet.	high	high	complete outer nodule	visible	complete skeleton including skull, mandibles and humerus	outer nodule, dolomite filling in trabecular bone of rib, YBR	petrography, SEM-EDS	-
			CLQ-A177	Mysticeti indet.	high	high	nearly complete outer nodule; only last vertebrae are not enclosed in a nodule	-	skull, mandibles, vertebral column	outer nodule, nodule in mesorostral canal, YBR	petrography, SEM-EDS	-
CLQA-179			aff. Balaenopteroidea indet.	high	high	partial outer nodule interesting lumbar vertebrae	visible	nearly complete vertebral column	outer nodule of the lumbar vertebrae, intervertebral nodule, nodule filling mesorostral canal, YBR	petrography, SEM-EDS	-	
CLQ-A105			Balaenopteroidea indet.	low	low	no outer nodule	absent or covered	skull and articulated mandibles	nodule filling endocranium	petrography	-	
CLQ-A118			Mysticeti indet.	n.d.	low	no outer nodule	-	weathered skull	nodule filling endocranium	petrography	-	
CLQ-A125			Balaenopteroidea indet.	medium	high	no outer nodule	visible	partially articulated skeleton including skull, mandibles, vertebrae, ribs and humerus, without caudal portion	nodule filling endocranium, YBR	macroscopic observation	-	
CLQ-A121			Balaenopteroidea indet.	low	medium	specimen in a basin-scale lithified layer	visible	disarticulated skeleton including skull, mandibles and vertebrae	nodule overlying the skull (bone visible at the base of the sample), nodule in neural arc, YBR	petrography, SEM-EDS	-	
CLQA180			Balaenopteroidea indet.	low/absent	-	specimen in a basin-scale lithified layer	visible	probably complete but disarticulated skeleton	discontinuous YBR at the base of the lithified layer	SEM-EDS, XRPD	-	
CLQA124	Balaenopteroidea indet.	high	high	no outer nodule, YBR envelope	visible	articulated skeleton including right humerus (skull eroded)	YBR, with yellow layer underlying the skeleton	SEM-EDS, XRPD	3A			

**Table 2 revised**  
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Specimen	NODULES								DRUSY DOLOMITE	
	CC-A126				CC-M22				CLQ-A1	
	5-10 $\mu\text{m}$		200-250 $\mu\text{m}$		100-150 $\mu\text{m}$		200-250 $\mu\text{m}$		core	rim
distance from bone	mean [4]	$\sigma$	mean [3]	$\sigma$	mean [8]	$\sigma$	mean [8]	$\sigma$		
SiO <sub>2</sub> (wt%)	32,62	1,81	36,33	2,90	41,41	2,03	49,04	1,79	-	-
TiO <sub>2</sub>	0,14	0,11	0,21	0,11	0,35	0,20	0,42	0,15	-	-
Al <sub>2</sub> O <sub>3</sub>	9,10	0,52	11,14	2,54	9,74	0,77	11,77	0,57	-	-
FeO	2,04	0,42	2,57	1,03	1,52	0,24	2,39	0,19	0,42	4,87
MnO	0,14	0,11	0,26	0,23	0,21	0,26	0,21	0,11	0,12	0,14
MgO	18,82	0,64	15,37	2,95	14,14	0,96	9,39	1,22	38,38	33,97
CaO	34,27	1,55	31,22	3,70	29,30	1,79	22,99	1,13	61,08	61,01
Na <sub>2</sub> O	1,77	0,24	1,71	0,19	1,78	0,23	2,03	0,35	-	-
K <sub>2</sub> O	1,05	0,15	1,19	0,09	1,35	0,06	1,72	0,26	-	-
P <sub>2</sub> O <sub>5</sub>	0,05	0,06	0,00	0,00	0,21	0,19	0,04	0,05	-	-
Sum	100,00		100,00		100,02		100,00		100,00	100,00

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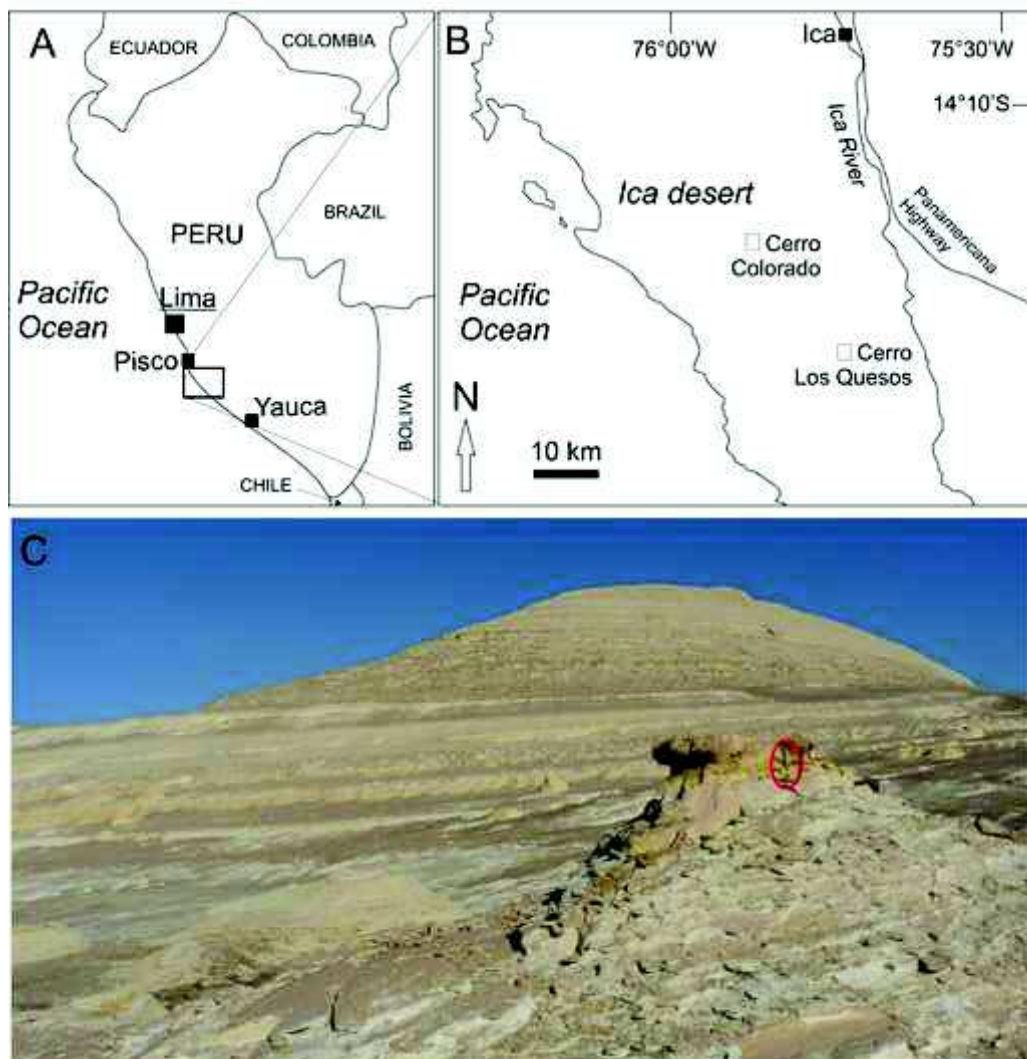


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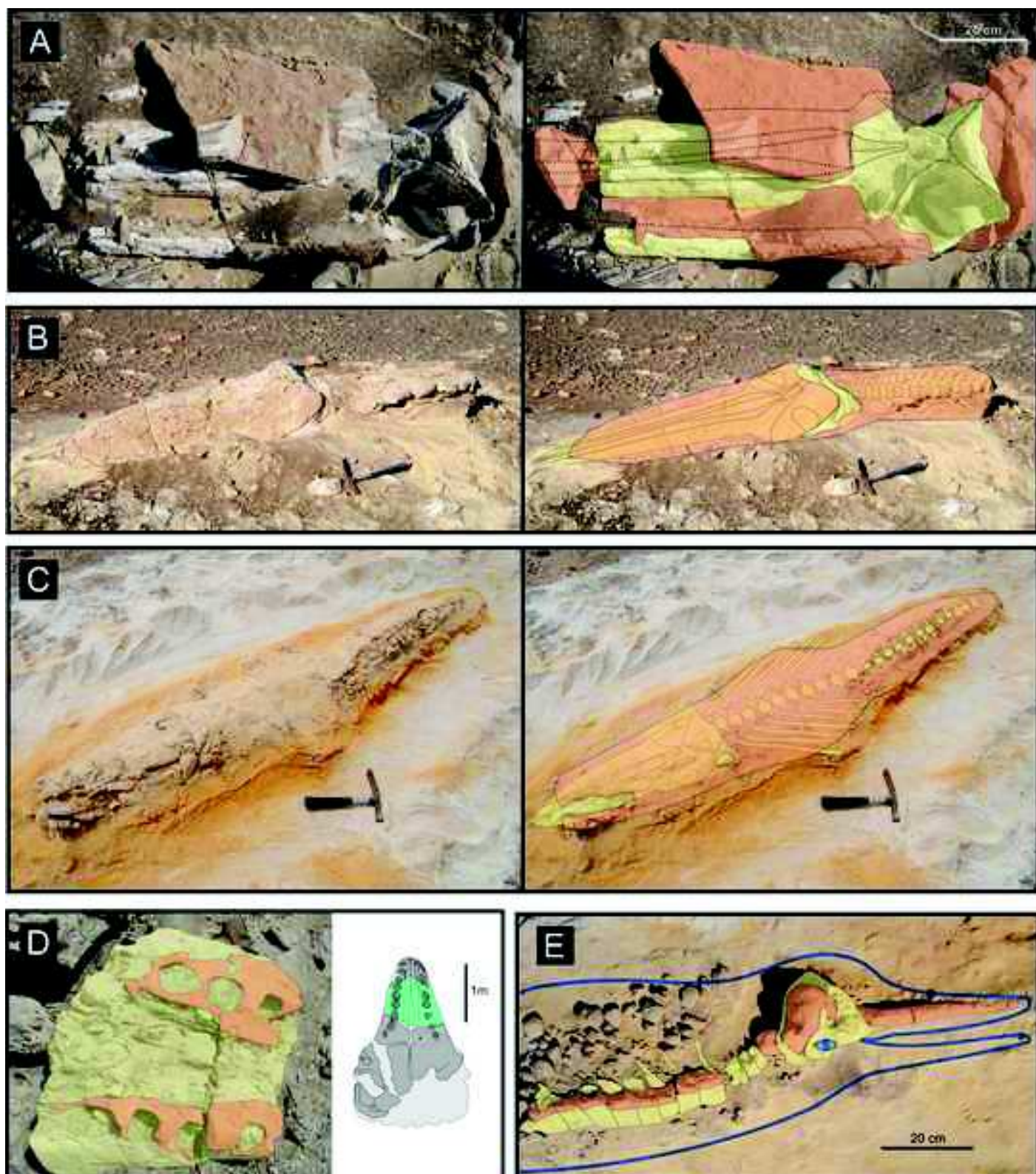


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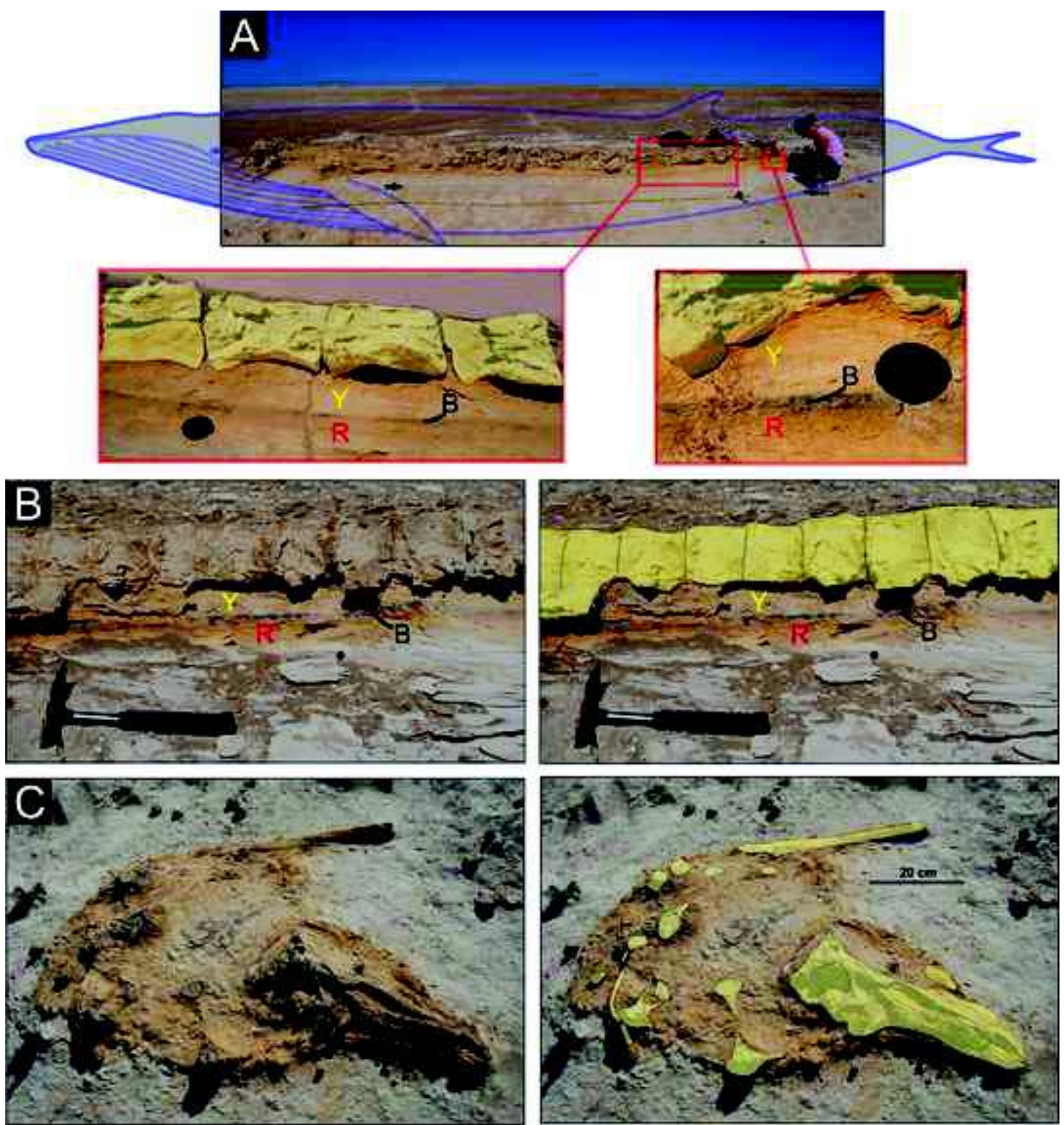


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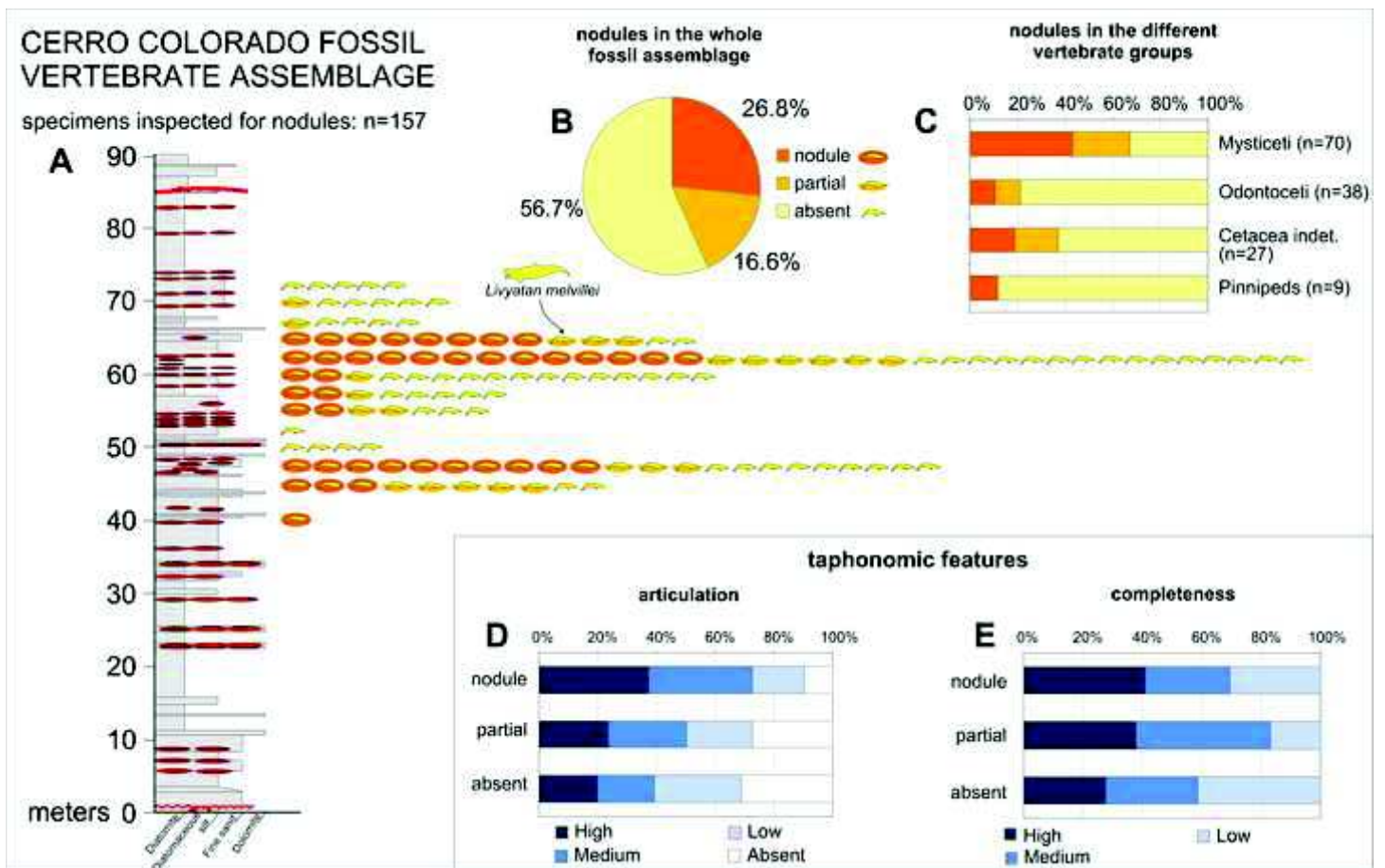


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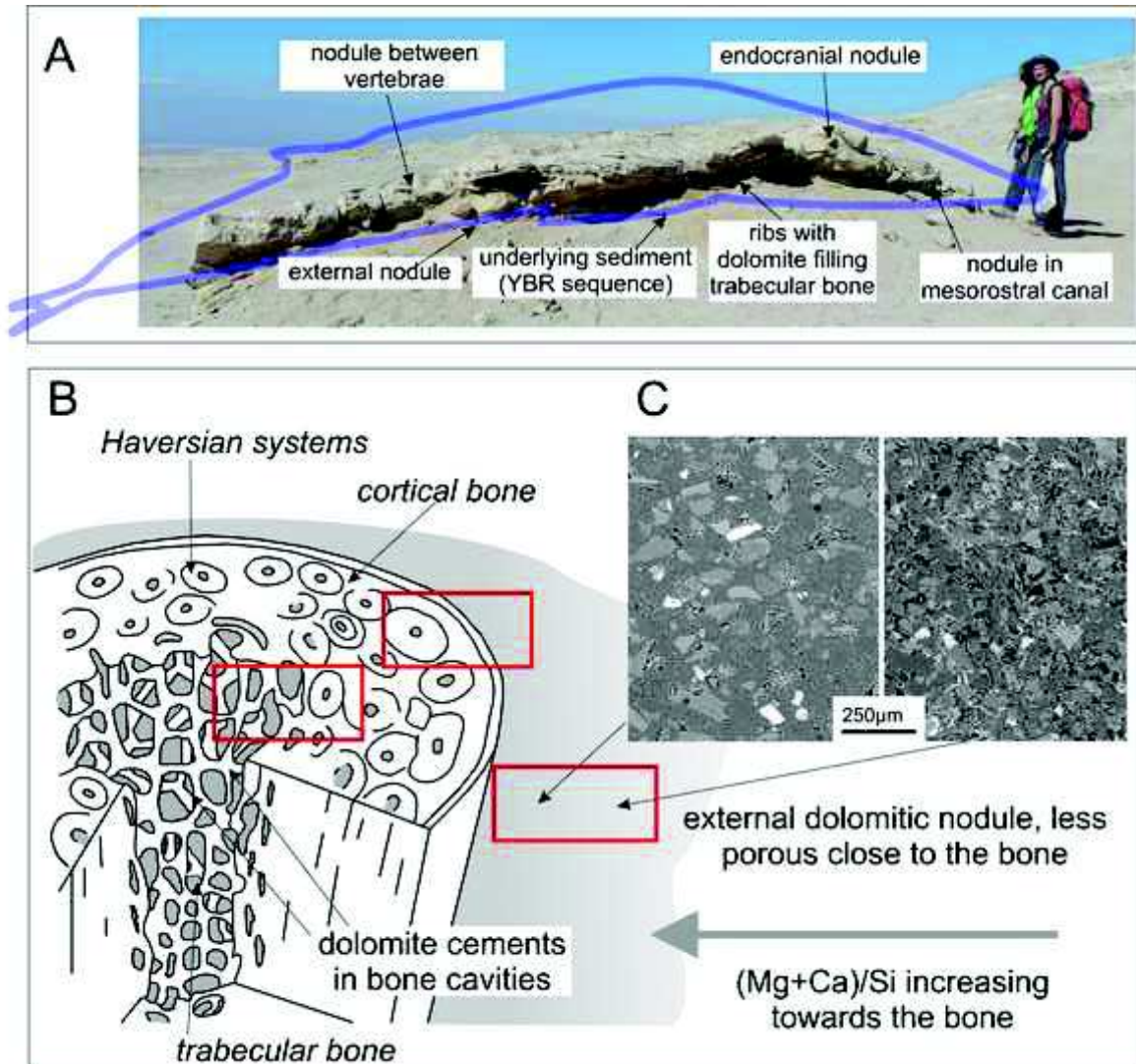


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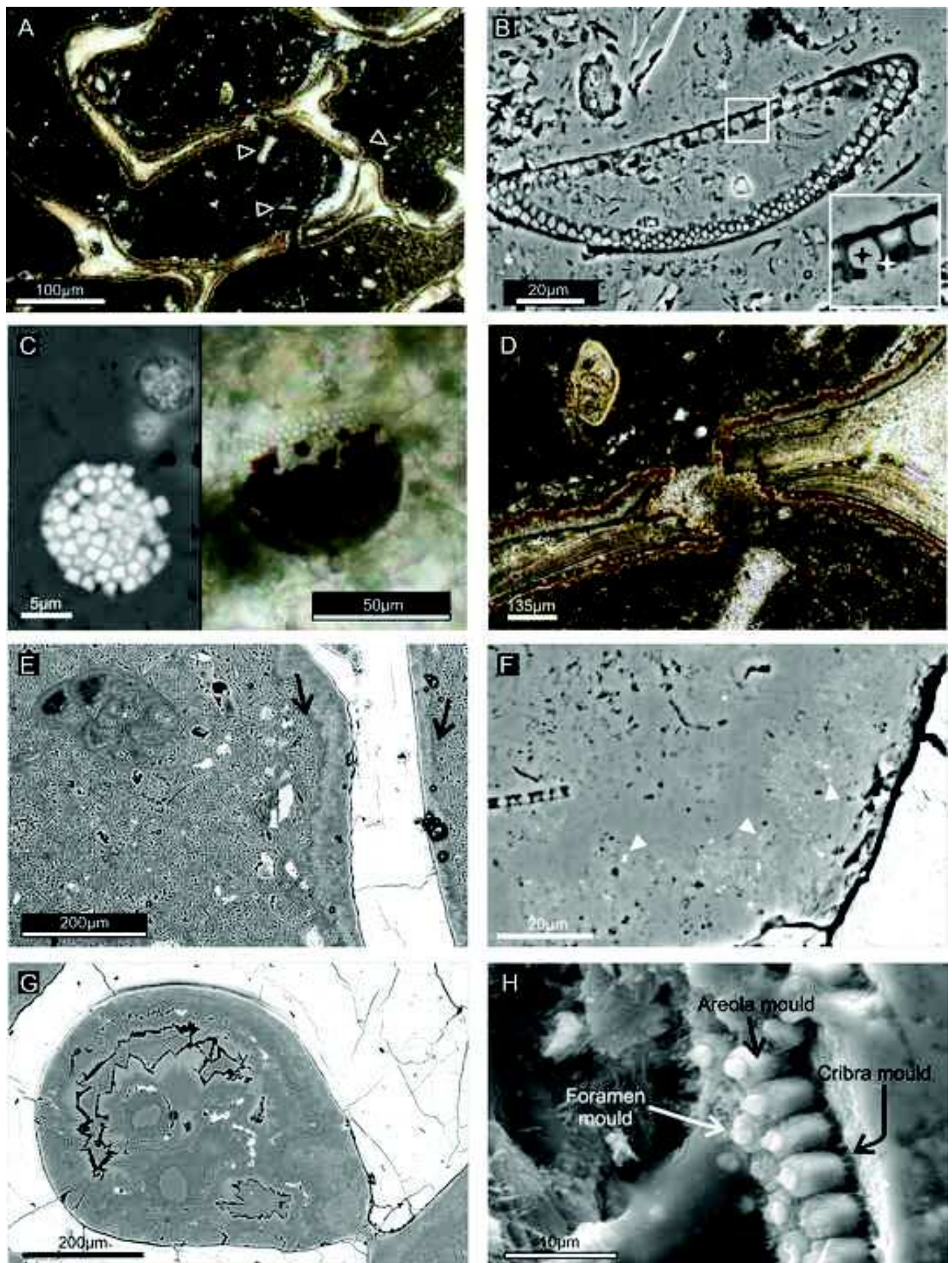




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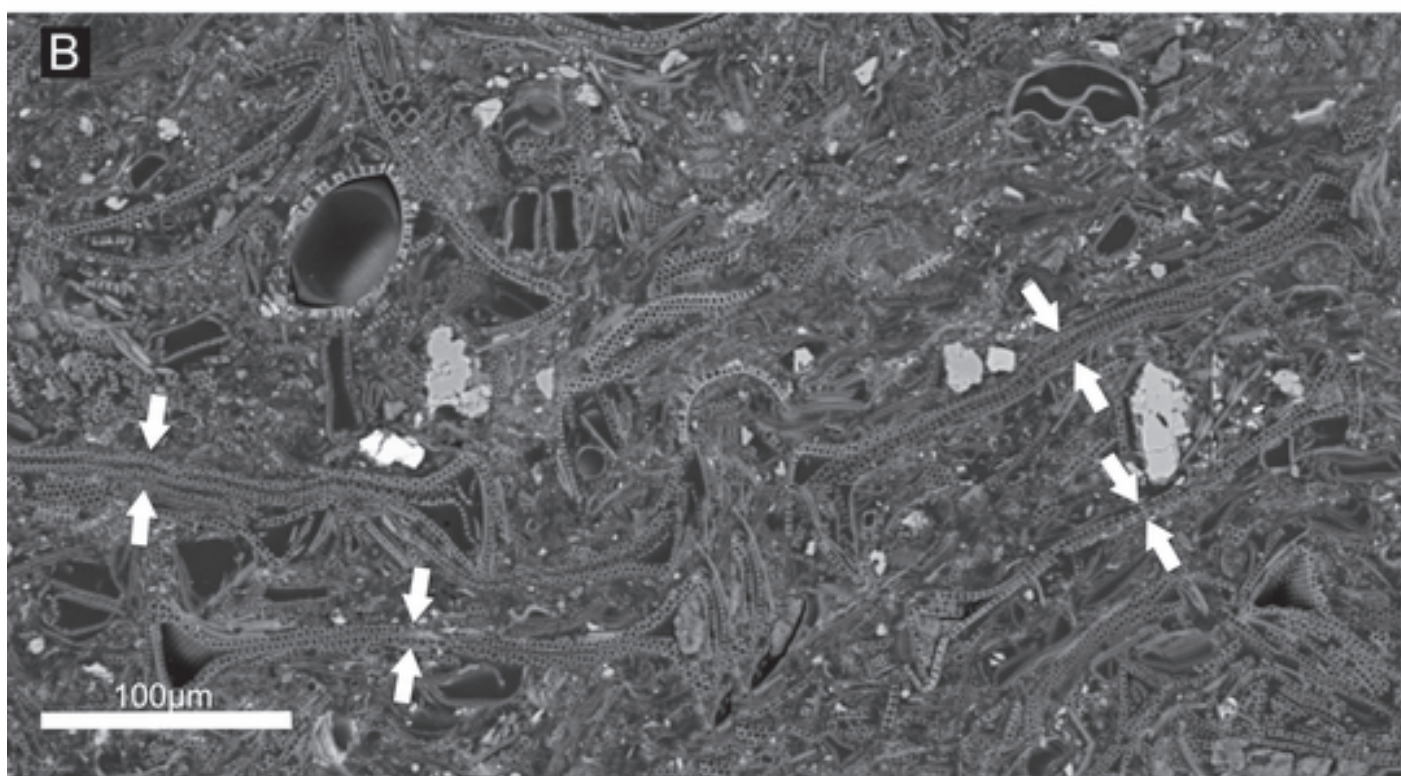
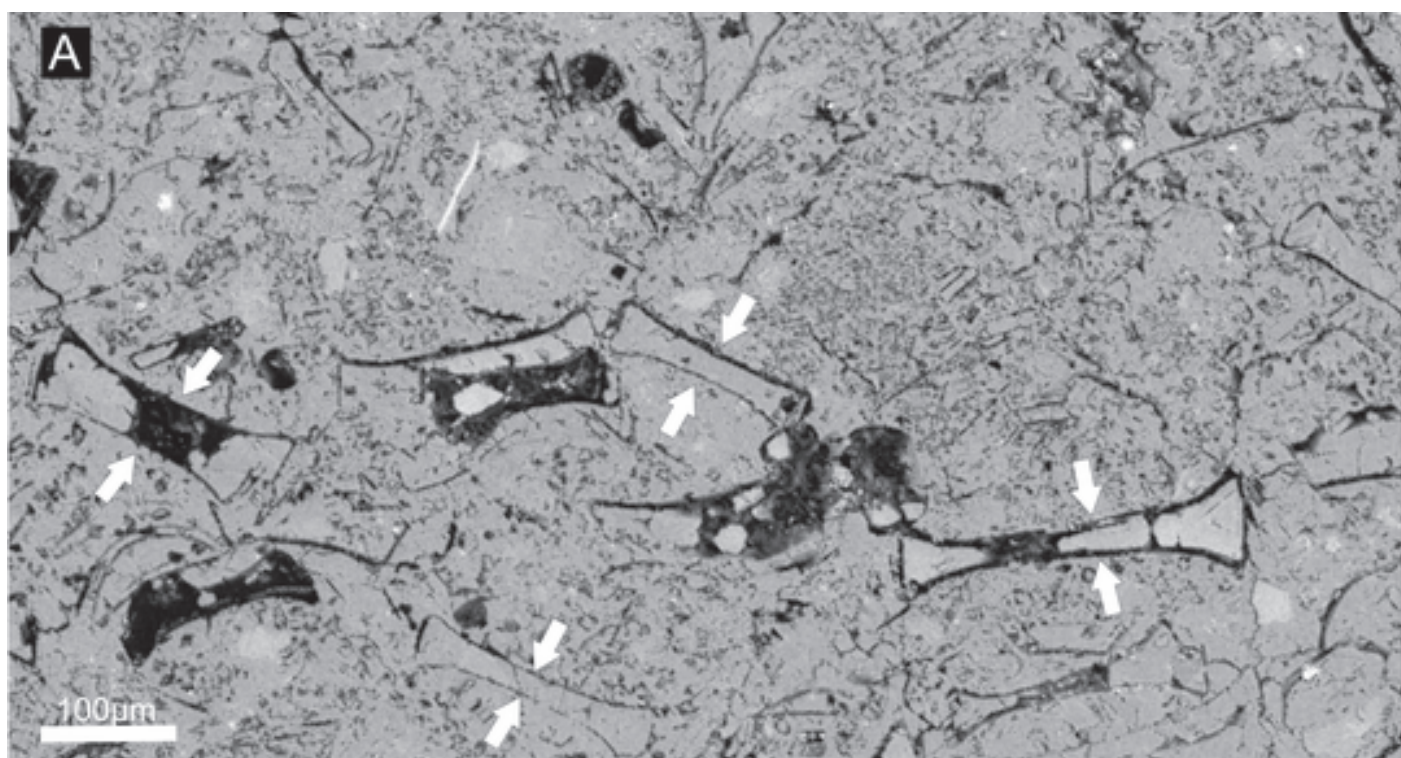


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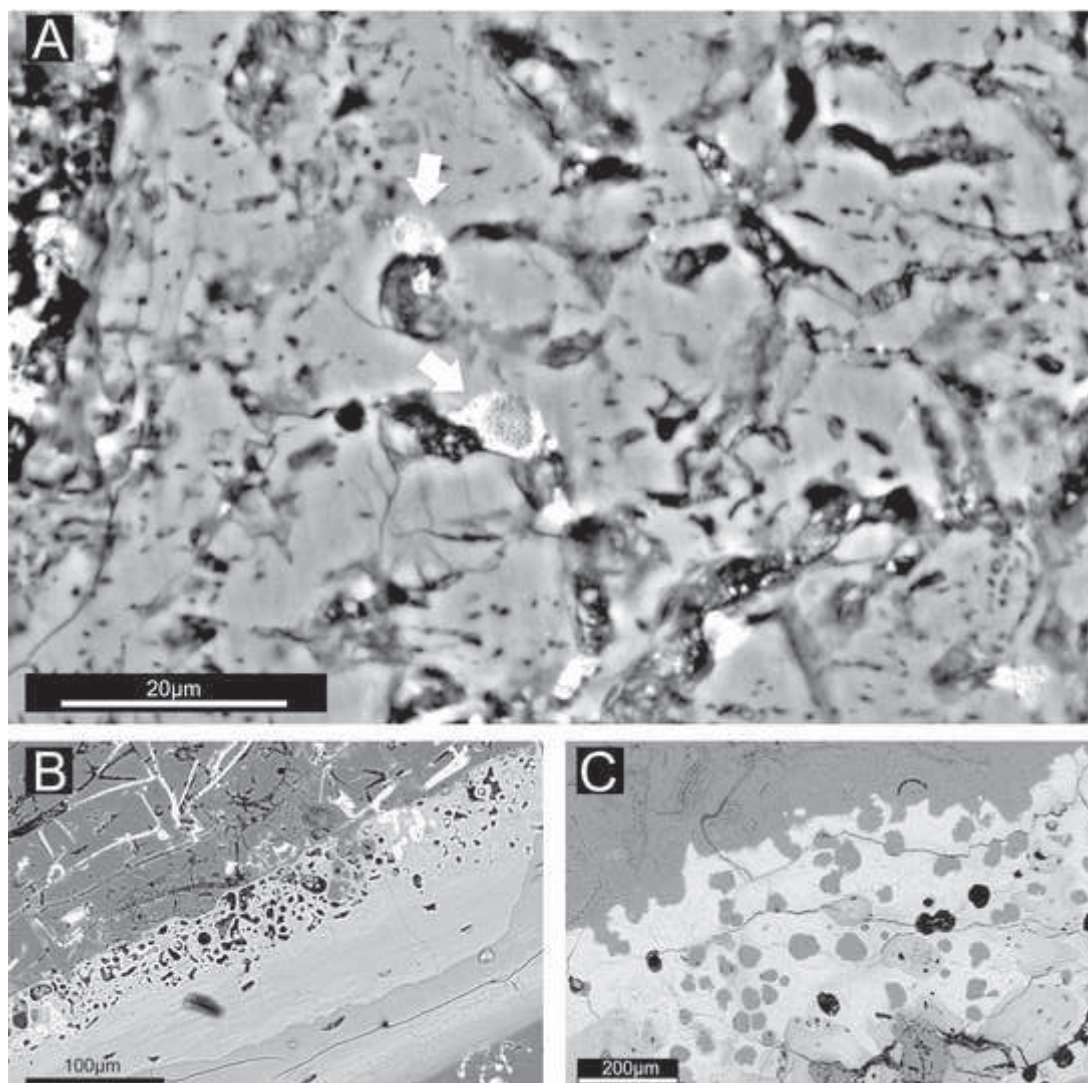
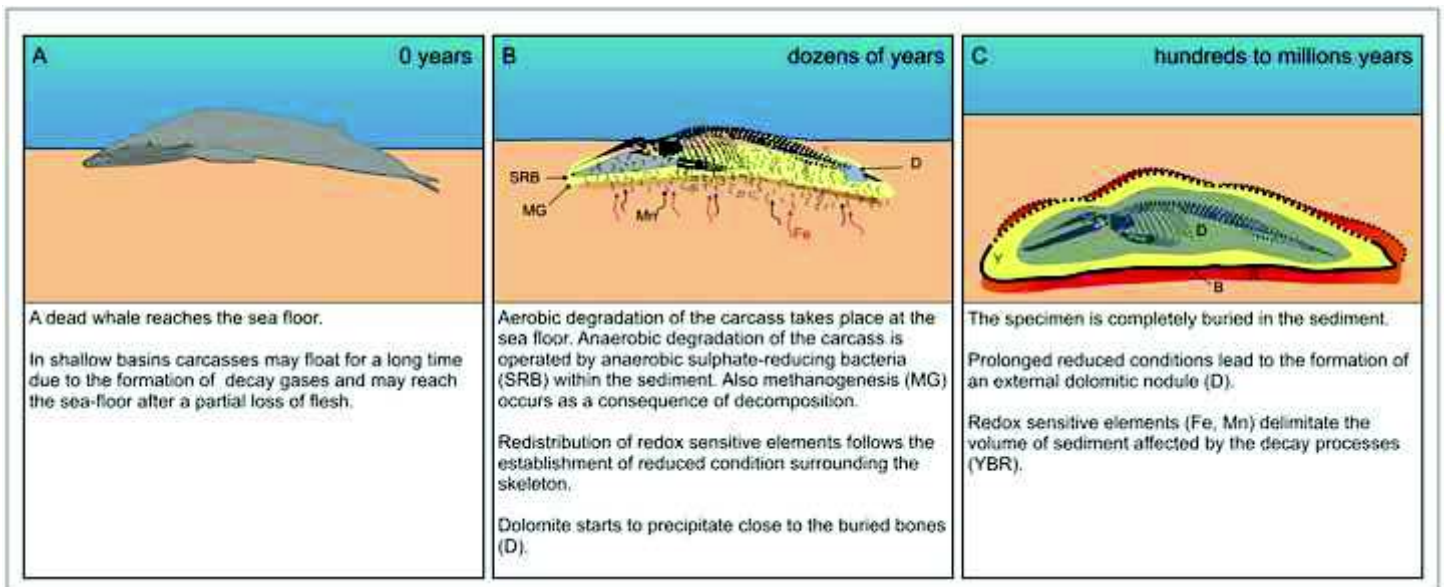


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