

# Food Analytical Methods

## Assessment of a sampling plan based on visual inspection for the detection of anisakids larvae in fresh anchovies (*Engraulis encrasicolus*). A first step towards official validation?

--Manuscript Draft--

<b>Manuscript Number:</b>	FANM-D-15-00473R2	
<b>Full Title:</b>	Assessment of a sampling plan based on visual inspection for the detection of anisakids larvae in fresh anchovies ( <i>Engraulis encrasicolus</i> ). A first step towards official validation?	
<b>Article Type:</b>	Original Research	
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<b>Order of Authors Secondary Information:</b>		
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<b>Abstract:</b>	<p>The presence of anisakids larvae in fish is a public health issue and effective risk management procedures are needed to avoid that heavily infected products reach the market. Currently, an official sampling plan for fresh fish defining sample size, inspection methods and criteria to accept or reject the merchandise is lacking at the European and Italian level. In this study, we compared the visual inspection proposed by the sampling plan of the Lombardy Region (Italy) to the UV press method and to an optimized digestion procedure with the aim to assess its ability in detecting visible parasites. Thirty-one batches of <i>Engraulis encrasicolus</i>, each composed of ~30 specimens, were collected and subsequently analyzed with the three techniques. The Mean Abundance (MA) was calculated after each procedure and compared on the basis of a threshold value. The results showed that the visual inspection performed similarly to the digestion method, with a sensitivity of 93%, a specificity of 100% and an accuracy of 97%. Overall, the comparison showed that, in the proposed sampling plan, the visual inspection is effective in rejecting unmarketable anchovies and in preventing the commercialization of unsafe products. This method is simple, less demanding than digestion in terms of time and equipment, and thus suitable as a standardized procedure to be routinely applied by Food Business Operators. The hazard</p>	

characterization, performed by sequencing the mtDNA cox2 gene, has identified the visible larvae as *Anisakis pegreffii* in 98% of the cases, highlighting the zoonotic potential of the parasites found and the need for preventive measures.

**Dear Editor,**

**We are sending you back the revised version of the manuscript entitled “*Assessment of a sampling plan based on visual inspection for the detection of anisakids larvae in fresh anchovies (Engraulis encrasicolus)*. A first step towards official validation?”.**

**The manuscript has been implemented according to the suggestions of the reviewer.**

**Thank you for considering the manuscript for publication after minor revision.**

COMMENTS FOR THE AUTHOR:

Reviewer #1: The manuscript has been significantly improved. Nevertheless, there are some, additional minor comments for consideration before publication of this manuscript.

P.6, line 133, remove an additional article "the",

**Done.**

P.6, line 144, mention, which analysis do you mean?

**We mean: UV press method and digestion. The analysis are explained in the next sentence “Each batch was then analyzed using the UV press method (Section 2.2) and the digestion procedure (Section 2.3.2) for the recovery of parasites undetected during visual inspection (Fig. 2).”**

P.7, line 146, I am not convinced that it is necessary to incorporate figure 2 into the text. The work described is not complicated to show a schematic diagram of the analytical process.

**Figure 2 has been removed from the manuscript.**

P.9, line 209, change to "...the tails of M13 forward -21 and reverse-29 primers sequences..."

**The sentence has been changed.**

P.10, line 228-229, the Authors have only been asked to reduce the number of sequences used for generation of a phylogenetic tree, therefore change the existing sentence to: ".....were selected and, a Neighbour - Joining (NJ) dendrogram of 94 representative sequences....."

**The sentence has been changed.**

P.10, line 244-246, an English style requires correction

**The style has been improved.**

P.13, line 300-301, change to: "3.5 Comparison of MA values obtained for tested methods"

**The title of paragraph 3.5 has been changed as suggested.**

P.14, line 323, change to: "from viscera"

**Done.**

P.17, line 398-402, merge these two sentences into one.

**We consider it clearer to leave the sentences as they are.**

P.17, line 405-406, remove: "also considering the zoonotic potential of the visible parasites found in this study"

**The sentence has been removed.**

P.17, line 408, remove: "even by FBOs"

**Done.**

P.18, line 418-421, in a figure caption (figure 1SM), change to: "...and the reference sequences (9) retrieved from GenBank. Bootstrap values >70%, obtained from 2000 replications using....."

**Done.**

line, 421: remove the sentence: "Redundant sequences have been removed"

**The sentence has been removed.**

Table 1SM, change to " Number of specimens used for visual inspection"

**We think it is more correct to write “Number of specimens to be used” since the table is aimed at giving indications on the number of fishes to be sampled.**

Table 2SM, in a table caption change to: "...on a fragment..."

**Done.**

in a table legend change to: "...sequence derived from.....(ITS) region. It has been ..."

**Done.**

The prevalence of *Hysterothylacium* spp. should be written as 1 (0.16%)

**Done.**

1           **Assessment of a sampling plan based on visual inspection for the detection of anisakids**  
2           **larvae in fresh anchovies (*Engraulis encrasicolus*). A first step towards official validation?**

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9 5           Guardone L.<sup>a</sup>, Malandra R.<sup>b</sup>, Costanzo F.<sup>a</sup>, Castigliengo L.<sup>a</sup>, Tinacci L.<sup>a</sup>, Gianfaldoni D.<sup>a</sup>, Guidi  
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22 **Abstract**

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23 The presence of anisakids larvae in fish is a public health issue and effective risk management  
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725 official sampling plan for fresh fish defining sample size, inspection methods and criteria to accept  
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1026 or reject the merchandise is lacking at the European and Italian level. In this study, we compared  
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1227 the visual inspection proposed by the sampling plan of the Lombardy Region (Italy) to the UV press  
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1528 method and to an optimized digestion procedure with the aim to assess its ability in detecting  
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1729 visible parasites. Thirty-one batches of *Engraulis encrasicolus*, each composed of ~30 specimens,  
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1930 were collected and subsequently analyzed with the three techniques. The Mean Abundance (MA)  
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2231 was calculated after each procedure and compared on the basis of a threshold value. The results  
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2432 showed that the visual inspection performed similarly to the digestion method, with a sensitivity of  
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2733 93%, a specificity of 100% and an accuracy of 97%. Overall, the comparison showed that, in the  
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2934 proposed sampling plan, the visual inspection is effective in rejecting unmarketable anchovies and  
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3235 in preventing the commercialization of unsafe products. This method is simple, less demanding than  
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3436 digestion in terms of time and equipment, and thus suitable as a standardized procedure to be  
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3637 routinely applied by Food Business Operators. The hazard characterization, performed by  
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3938 sequencing the mtDNA *cox2* gene, has identified the visible larvae as *Anisakis pegreffii* in 98% of  
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4139 the cases, highlighting the zoonotic potential of the parasites found and the need for preventive  
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4440 measures.

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5645 **Keywords**

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5846 Anisakids, UV press method, enzymatic digestion, food safety, method validation  
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## 47 Introduction

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248 Ascaridoid nematodes belonging to the families Anisakidae and Raphidascarididae (Fagerholm  
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549 1991), commonly called anisakids, are of considerable public health significance worldwide  
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750 (Adams et al. 1997; Chai et al. 2005). Human infection is associated with the ingestion of raw or  
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51 undercooked seafood hosting viable third stage larvae (L3) of species belonging to the Anisakidae  
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152 family and possibly to Raphidascarididae (Chai et al. 2005; Fagerholm 1991; Lymbery and Cheah  
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53 2007). In addition to health implications, the presence of visible parasites in the flesh affects the  
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154 quality, making the fish repugnant to the consumer and reducing its commercial value (Council  
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55 Regulation (EC) No 2406/1996).

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2256 The life cycle of anisakids is indirect, with fish as intermediate or paratenic hosts (Anderson  
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2457 1992). In fish, anisakids L3 are typically encapsulated on visceral organs, mesenteries and  
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58 peritoneum, but they can also directly encyst in the edible tissues (Adams et al. 1997; Anderson  
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2959 1992). In particular, L3 are able to migrate from the viscera to the muscle after the fish's death  
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50 (Adams et al. 1997; Lymbery and Cheah 2007; Rello et al. 2009).

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3461 After ingestion by humans, anisakids larvae may cause infection, either in a non-invasive form,  
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62 generally asymptomatic, or in an invasive form with gastrointestinal symptoms (Adams et al. 1997).  
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3963 Anisakids larvae, both alive and dead, may also cause allergic reactions (Nieuwenhuizen and  
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4164 Lopata 2013).

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4465 The vast majority of the diseases related to the ingestion of anisakids are caused by *Anisakis* spp.  
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4666 and *Pseudoterranova* spp. (Anisakidae family) (Chai et al. 2005; Lymbery and Cheah 2007), while  
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67 *Contracaecum* spp. (Anisakidae family) and *Hysterothylacium* spp. (Raphidascarididae family)  
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5168 seem to be rarely involved in pathological forms (Yagi et al. 1996). In Italy, the species most  
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69 frequently associated to human cases is *A. pegreffii* (Mattiucci et al. 2013), the most widespread  
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5670 species in the Mediterranean Sea (Mattiucci and Nascetti 2008).

71 Even though the impact of anisakids parasites on public health has been recognized for a long  
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272 time, this infection is generally considered an emerging fish-borne zoonotic disease, due to the  
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573 increased habit of eating raw fish in ethnic dishes (D'Amico et al. 2014) or in typical recipes  
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774 (Mattiucci et al. 2013).

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1075 Among the Mediterranean fish species hosting anisakids larvae, the European anchovy  
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1276 (*Engraulis encrasicolus*) is the most fished by the Italian fleet: in 2012 it accounted alone for 22%  
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1577 of the national catch (ISMEA 2013). The large amount of product caught, associated with the fact  
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1778 that this species is a common second intermediate or paratenic host of anisakids and that it is  
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1979 usually sold not eviscerated, makes this fish a significant source of infection for humans. Moreover,  
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2280 a widespread presence of anisakids infection is reported in this species (Mladineo and Poljak 2013; Piras et  
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2481 al. 2014; Rello et al. 2009), with very high values in some capture areas (Mladineo and Poljak 2013; Piras et  
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2682 al. 2014). In Italy, as well as in Spain, the greatest risk of acquiring anisakidosis is associated with  
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2983 the traditional consumption of raw marinated anchovies (Mattiucci et al. 2013).

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3184 The presence of anisakids larvae in fishery products is a concern for consumers and Official  
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3485 Control Authorities and a large number of provisions has been issued at the European and Italian  
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3686 level. Considering that no fishing area can be considered free from anisakids (EFSA 2010) and that  
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3987 also aquaculture products are affected (Lima dos Santos and Howgate 2011) the only prevention  
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4188 system is represented by the application of an effective control system by trained Food Business  
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4389 Operators (FBOs). In fact, while before the Hygiene Package the Veterinary Inspector was the  
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4690 person in charge of controls, nowadays this task is delegated to the FBOs (D'Amico et al. 2014).

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4891 Several methods, such as visual inspection (Commission Regulation (EC) No 2074/2005),  
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5192 candling (Butt et al. 2004), UV illumination (Adams et al. 1997), UV press method (Karl and  
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5393 Leinemann 1993) and pepsin digestion (Cavallero et al. 2015; Fraulo et al. 2014; Llarena-Reino et  
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5694 al. 2013a) have been proposed for detecting visible parasites in fish. According to Reg. No  
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5895 2074/2005, the visual inspection must be performed on a representative number of samples. In



96 particular: “*the persons in charge of establishments on land and qualified persons on board factory*  
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27 *vessels shall determine the scale and frequency of the inspections by reference to the type of fishery*  
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98 *products, their geographical origin and their use*”. However, currently, there are no law provisions  
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79 at the European or Italian national level that define a detailed sampling plan to be used for the  
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100 collection of fresh fish to be visually inspected according to the Reg. No 2074/2005. By definition,  
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101 a sampling plan includes the sample size, the inspection procedure and the criteria to be used to  
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102 accept or reject the lot of production based on the results of the inspection (Codex Alimentarius  
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103 Commission 1969). Due to the aforementioned lacks, the sampling plan for the detection of  
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104 parasites often refers to internationally accepted protocols, such as the Codex Alimentarius.  
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205 However, the available protocols refer to prepackaged products, quick frozen and minced fish and  
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2406 salted Atlantic herring and Sprat (Codex Alimentarius Commission 1969; 1989; 2004) and not to  
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107 fresh fish. In addition, a recently proposed procedure, based on a scoring system for the prediction  
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2908 of fish lots infection, does not take into consideration *E. encrasicolus* (Llarena-Reino et al. 2013b).  
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109 As reported by Regulation (EC) No 852/2004, “*When this Regulation, Reg. (EC) No. 853/2004*  
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3410 *and their implementing measures do not specify sampling or analysis methods, FBOs may use*  
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3912 *appropriate methods laid down in other Community or national legislation or, in the absence of*  
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4615 *such methods, methods that offer equivalent results to those obtained using the reference method, if*  
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5117 *they are scientifically validated in accordance with internationally recognized rules or protocols*”.

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4615 In this work, a visual inspection according to Reg. No 2074/2005 was performed on *E.*  
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5117 *encrasicolus* specimens collected at the Wholesale market of Milan (Italy), according to the  
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5619 sampling plan proposed by the Circular Letter VS8/C790/94 of the Lombardy Region (Italy). Then,  
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121 routinely applied for the control of anchovies at fish markets in Italy (D'Amico et al. 2014). Thus,  
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122 this work represents an attempt to propose a simple and rapid workflow to be used by FBOs to  
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123 ensure safety and marketability of anchovies.  
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## 124 **2. Materials and Methods**

  
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### 125 **2.1. Sampling and visual inspection at the wholesale market**

  
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126 Thirty-one batches, made on average of 30 ( $\pm 2.79$  DS) *E. encrasicolus* each, for a total of 929  
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127 specimens, were randomly sampled at the Wholesale market of Milan from different lots of  
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128 anchovies caught in the Western Mediterranean Sea (FAO area 37.1.3) and in the Central  
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129 Mediterranean Sea (FAO area 37.2.1) (Table 1). Anchovies were sampled after ~ 24h of storage on  
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22 ice (the usual average time from the catch to the market). Considering that the accuracy of a visual  
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25 inspection method largely depends on the training and skills of the inspectors (Levsen et al. 2005),  
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28 in this work, the sampling and the visual inspection were performed by the Official Veterinarian of  
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30  
31 the Health Local Unit of Milan, according to Reg. No 2074/2005, following the Circular Letter  
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33  
34 VS8/C790/94 of the Lombardy Region. The Head and the Viscera (HV) were separated from the  
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37 Fillets (F) (including the belly flaps and the backbone carrying epaxial muscles) and both HV and F  
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40 were left on a tray at Room Temperature (RT) for about 10 min (Fig. 1). Subsequently, a visual  
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43 inspection for the detection of visible larvae, “*a parasite or a group of parasites which has a*  
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46 *dimension, color or texture which is clearly distinguishable from fish tissues*” (Commission  
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48  
49 Decision No 93/140/EEC), was performed and the number of anisakids larvae found in HV and F  
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51  
52 were registered. A decision on the marketability of the batch was issued according to the Circular n.  
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55 1 of 1997 of Liguria Region (Italy) (Section 3.4).  
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126 All the larvae were counted and, after registering the number and the site of collection, they were  
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128  
129 separately packed into plastic bags with fish HV and F according to their site of detection, and then  
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131  
132 frozen at -20°C and transferred to the FishLab of the University of Pisa for subsequent analysis.  
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145 Each batch was then analyzed using the UV press method (Section 2.2) and the digestion  
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146 procedure (Section 2.3.2) for the recovery of parasites undetected during visual inspection.

## 147 **2.2 Ultraviolet Light (UV) press method**

148 Once thawed at 4°C over night inside the original plastic bags, F and HV of each batch were  
8  
149 analyzed. F were placed in a plastic film and manually squeezed between two acrylic sheets to a  
10  
11 thin layer of 2-3 mm. Heads (H) were cut longitudinally, in order to facilitate the visualization of  
12  
13 the larvae, and placed, together with Viscera (V), in a petri dish. HV were not compressed and were  
14  
15 moved with a metal rod during the analysis. F and HV were both analyzed in a darkened room  
16  
17 under UV light at 365 nm (UltraBright UV Transilluminator, 302nm/365nm, Maestrogen, Las  
18  
19 Vegas, USA) as proposed by Karl and Leinemann (1993). In fact, dead nematode larvae show  
20  
21 fluorescence under UV light. The thawing liquid and the bags in which F and HV had been stored  
22  
23 were also analyzed using the same procedure. The visible parasites found were counted and left in  
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25 place, to subsequently verify their recovery with the digestion method.  
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## 31 **2.3 Digestion procedure**

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34 **2.3.1 Development and optimization of the digestion procedure.** Initially, 5 batches made each of  
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36 30 anchovy's HV and F different from those used in this study were separately digested according  
37  
38 to the procedure proposed by the Commission Regulation (EC) No 2075/2005. The pH value of the  
39  
40 digestion solution made of 25% HCl and pepsin [2000 FIP] (Pepsina A, EuroCloneS.p.A, Milan,  
41  
42 Italy) was measured using a pH meter (Eutech 700 – Thermo Scientific Inc. – Eutech Instruments  
43  
44 Pte Ltd Singapore) every 5 min for 15 min after adding the fish tissues and stirring at 44°C. The  
45  
46 temperature was monitored using a thermocouple thermometer Hanna HI92704 (Hanna  
47  
48 Instruments, Padova, Italy). Considering the increase of the pH value (from 2.7 to more than 5 after  
49  
50 5 minutes) and the amount of the residual indigested tissue the HCl solution was replaced with a  
51  
52 buffered solution of H<sub>3</sub>PO<sub>4</sub> (pH 2.4) at different concentrations (150 mM, 300 mM, 600 mM) and  
53  
54 different times of digestion (15, 30, 45, 60 min) and different temperatures (44, 46, 48°C) were  
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170 tested. These modifications were then tested in all the possible combinations. The best method was  
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171 chosen considering the stability of the pH value and the amount of indigested tissue. In order to test  
3  
172 the recovery of parasites after the digestion with the proposed method, a preliminary test using 10  
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173 frozen anisakids larvae collected from anchovies not belonging to this study was performed.

174 *2.3.2. Final protocol and samples digestion.* Aliquots of ~50 mg of F or HV were digested  
11  
175 separately using the final digestion protocol and the procedure was repeated until the complete  
13  
176 digestion of each batch. Fish tissues were grossly chopped with scissors and placed in a beaker  
15  
177 containing pepsin powder (final concentration 10 FIP/ml) previously dissolved in 250 mL of  
18  
178 600mM H<sub>3</sub>PO<sub>4</sub> buffered solution (pH 2.4). The beaker, placed on a magnetic stirrer, was maintained  
20  
179 into a pre-heated stove at 48°C for 45 min. The temperature of the digestion solution was  
23  
180 monitored. The digestion solution was decanted for at least 20 min (instead of sieving as proposed  
25  
181 by Reg. No 2075/2005), then the sediment was subdivided in 3-4 aliquots, diluted with tap water for  
28  
182 clarification and finally transferred in Petri dishes. Each dish was then analyzed under UV light as  
30  
183 reported in paragraph 2.2. The visible larvae found in HV and F were collected, counted and stored  
32  
184 at -20°C until molecular analysis for hazard identification (Section 2.5).

#### 185 **2.4 Mean Abundance (MA)**

186 The Mean Abundance (MA) was calculated after each procedure (Bush et al. 1997) and used to  
40  
187 assess the batch marketability according to a threshold provided by the sampling plan of the  
42  
188 aforesaid regional laws. The criteria used to accept or reject the lot of production based on the  
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189 results of the examination of the samples was the one indicated by the Liguria Region in Circular n.  
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190 1 of 1997. In particular: “*when opening the coelomic cavity numerous viable larvae appear, giving*  
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191 *a repellent aspect to the product, the batch is withdrawn from the market; ii) if the number of*  
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192 *visible parasites is higher than 3 per anchovy in the 10% of the examined specimens, or the number*  
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193 *of parasitized specimens is higher than 10% of the total, the batch should be submitted to*  
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194 *decontamination by means of freezing, according on the existing law; iii) if the number of larvae is*

195  $\leq 3$  per anchovy in maximum 10% of the examined specimens, the batch is intended to free  
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196 consumption". It derives that a MA of 0.30 corresponds to the threshold that allows to divide the batches in  
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197 "non marketable" (MA > 0.3) or "marketable" (MA  $\leq$  0.3). MA values retrieved after the visual inspection  
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198 and the UV press method were compared to the values found after the enzymatic digestion (assumed as the  
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199 gold standard) in order to evaluate the sensitivity (*s*), specificity (*s'*) and accuracy (*ac*) of the tests. Finally,  
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200 Pearson's correlation coefficient was calculated to check the existence of a relationship of linearity between  
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201 MA values obtained with the three procedures.  
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## 202 **2.5 Molecular identification of the larvae by sequencing and phylogenetic analysis**

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203 **2.5.1 Extraction, amplification and sequencing of the mtDNA *cox2* gene.** Total DNA extraction  
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20 was performed from each visible anisakids larvae recovered following the procedure described by  
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22 Armani et al. (2014), with the addition of proteinase K. DNA concentration and purity were  
23  
24 determined by a NanoDrop ND-1000 spectrophotometer (NanoDrop Technologies, Wilmington,  
25  
26 DE, USA).  
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28

29  
30 A 629 bp fragment of mtDNA *cox2* gene was amplified using the primers 211F and 210R from  
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32 Nadler and Hudspeth (2000), linked to the tails M13 forward -21 and reverse M13-29 (Messing  
33  
34 1983). PCR amplifications were set up in a 20  $\mu$ l reaction volume containing 2  $\mu$ l of a 10x buffer  
35  
36 (5Prime, Gaithersburg, USA), 200  $\mu$ M of each dNTP (dNTPmix, EurocloneS.p.A-Life Sciences  
37  
38 Division, Pavia, Italy), 200 nM primers, 25 ng/ $\mu$ L of BSA (Purified BSA 100X, Bew England  
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40 BIOLABS® Inc. Ipswich, MA, USA), 1.25 U PerfectTaq DNA Polymerase (5Prime, Gaithersburg,  
41  
42 USA), 1-2 $\mu$ L of DNA and DNase free water (Water Mol. Bio. Grade, DNase-RNase and Protease  
43  
44 free, 5Prime GmbH, Hamburg, Germany) with the following cycling program: denaturation at 94  
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46 °C for 3 min; 40 cycles at 94 °C for 20 s, 45 °C for 20 s, 72 °C for 25 s; final extension at 72 °C for  
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48 10 min. The amplifications were carried out using a peqSTAR 96 Universal Gradient thermocycler  
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50 (Euroclone, Milan, Italy). Five  $\mu$ L of PCR products were checked by gel electrophoresis and the  
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52 presence of fragments of the expected length was assessed by comparison with the marker  
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220 SharpMass™50-DNA ladder (Euroclone, Wetherby, UK). Purification and sequencing were  
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221 performed by the High-Throughput Genomics Center (Washington, USA).  
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4  
222 2.5.2 Sequences assembling, BLAST and phylogenetic analysis. All the obtained sequences were  
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223 analyzed using Clustal W in MEGA version 6 (Tamura et al. 2013). Adjustments were made after  
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224 visual checking and the sequences were analyzed on GenBank by using the Basic Local Alignment  
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225 Search Tool (BLAST) (Altschul et al. 1990). Since the sequences were produced from unidentified  
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226 parasites they were not deposited. Finally, 622 mtDNA *cox2* gene fragments of 576 bp (613  
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227 sequences of *A. pegreffii* and *A. simplex* from this work and 9 reference sequences of the *Anisakis*  
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228 species genetically characterized so far as proposed by Cipriani et al. (2015) were selected and a  
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229 Neighbour-Joining (NJ) dendrogram of 94 representative sequences was obtained using MEGA  
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230 version 6 computing the distances with the Kimura 2-parameter model with 2000 bootstraps re-  
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231 samplings (Saitou and Nei 1987).  
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### 232 3. Results and discussion 29 30

#### 31 3.1. Definition of “visible parasite” 32

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34 While the definition of visible parasite given by the European Union is not so detailed (Section  
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37 2.1), the Codex Alimentarius Commission considers as visible parasite all the parasites with a  
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40 capsular diameter of at least 3 mm or, if not encapsulated, longer than 10 mm (Codex Alimentarius  
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43 Commission 1971). However, this definition is only focused on the dimension of the parasite and  
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46 does not take into consideration its zoonotic potential (D’Amico et al. 2014). In relation to this  
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49 aspect, it must be underlined that the larvae of *Hysterothylacium* spp. (3–19 x 0.1–0.5 mm) (Borges  
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52 et al. 2012; Shamsi et al. 2011; Shamsi et al. 2013) can often co-infect fish together with the L3 of  
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54  
55 *Anisakis* spp. (14–44 mm in length and 0.4–0.9 mm in diameter) (Murata et al. 2011; Shamsi et al.  
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57  
58 2011; Pardo-Gandarillas et al. 2009). Although on average *Anisakis* spp. larvae are larger than  
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60  
61 *Hysterothylacium* spp., overlapping sizes may occur, so they are not easily distinguishable  
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63  
64 macroscopically. In this regard it is important to point out that, even though zoonotic infections by  
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245 *Hysterothylacium* are rare (Yagi et al. 1996), *Hysterothylacium* spp. falls within the definition of  
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246 visible parasite. Interestingly, also the recent Commission Regulation (EU) No 1276/2011 does not  
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247 consider all the “*nematode larvae*”, as the previous Reg. No 853/2004, but only the visible  
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248 parasites. For the aforesaid reason, in this study, only the larvae approximately longer than 10 mm  
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249 (visible parasite) were collected, counted and molecularly identified.

### 3.2 Sampling and visual inspection at the wholesale market

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According to the Lombardy Region circular (Circular Letter VS8/C790/94), knowing the total weight of the fish lot, it is possible to calculate the total number of specimens and then, by means of conversion rates and using an appropriate table, the number of subjects to be examined in each case. In the case of fish species caught in large batches (> 600 specimens), the number of subjects to collect is, at least, 29 (Table 1SM). Although this protocol had been intended for the Veterinary Inspector, it represents a simple and valid method also for the self-monitoring procedure. In fact, the Regulation No 853/2004 established that FBOs must ensure that fishery products have been subjected to a visual examination for the detecting of visible parasites before being placed on the market. This method, based on the fact that the room temperature provokes the mobilization of the larvae allowing their visualization, is in agreement with the definition of “*visual inspection*” as “*a non-destructive examination of fish or fishery products without optical means of magnifying and under good light conditions for human vision, including, if necessary, candling*” (Commission Decision No 93/140/EEC). The visual inspection allowed to detect 399 total visible parasites with a variable number per batch ranging from 0 to 75 (Table 1).

### 3.3 Ultraviolet Light (UV) press method

Candling procedures are a valuable aid in the search for parasitic larvae in fishery products. Although the white light candling is the method of choice for the detection of nematodes in blocks of frozen fish fillets (Codex Alimentarius 1989), it presents some limits in the case of not skinned fillets (Karl and Leinemann 1993; Lymbery and Cheah 2007). Karl and Leinemann, in 1993,

270 proposed a variation, which combined the compression of frozen fillets between two acrylic plates  
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271 with candling using UV light (“UV press method”). In fact, the compression facilitates the  
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272 penetration of the UV light that causes the emission of fluorescence. In order to use this method the  
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273 F must be frozen for some hours to kill the larvae and promote fluorescence. The UV method has  
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274 been chosen and applied both on F and HV, since the combination of UV and compression is more  
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11 sensitive than the classical candling technique (Karl and Leinemann 1993) and the pigmented  
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14 muscle of the anchovy prevents candling with white light. The UV press method allowed to detect  
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17 355 total visible parasites with a variable number per batch ranging from 0 to 86 (Table 1).  
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### 278 **3.4 Digestion procedure**

279 The digestion is a destructive technique that dissolves fish tissues in order to detect the larvae, by  
280 exploiting the high degree of resistance of the cuticle of nematodes to the digestive processes.  
281 Despite the excellent results that can be obtained, the use of the digestion is limited by the relatively  
282 small number of samples that can be digested at each time and by long reaction times (Karl and  
283 Leinemann 1993). While this technique is not routinely used as an inspection tool, it is largely  
284 applied in epidemiological studies (Bernardi et al. 2011; Piras et al. 2014). In this study, the method  
285 described by Reg. No 2075/2005 for the detection of *Trichinella* spp. larvae in meat was initially  
286 selected. However, it was considered necessary to introduce some modifications to the original  
287 official method, also considering that protocols digestion optimization may differ according to the  
288 material to be digested (Llarena-Reino et al. 2013a). In fact, the digestion process can be considered  
289 satisfactory only if no more than 5% of the original weight of the sample remains undigested. In  
290 particular, considering that the enzymatic action of pepsin is expressed at best in an acid  
291 environment, to overcome the observed rise in the pH, the solution of HCl was replaced with a  
292 buffered solution of H<sub>3</sub>PO<sub>4</sub> (pH 2.4) at a concentration of 600 nM. Finally, the digestion test  
293 performed on 10 *Anisakis* spp. dead larvae allowed us to verify that the digestive procedure did not  
294 determine the destruction of the parasites, in contrast with previous suggestions (Fraulo et al. 2014;  
295



295 Karl and Leinemann 1993) and despite the use of a temperature of 44°C. In fact, other parameters  
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296 such as the pH value and the pepsin concentration could influence the recovery of the larvae  
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297 (Bernardi et al. 2011; Llarena-Reino et al. 2013a). Overall, the digestion method retrieved 640  
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298 visible parasites with a variable number per batch ranging from 0 to 115 (Table 1).  
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### 299 *3.5 Comparison of MA values obtained for tested methods*

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11  
1300 The MA is among the most important descriptors to quantify parasite numbers in a host sample  
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301 or population. MA carries the same information of mean intensity, but it correlates with prevalence  
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1302 (Rozsa et al. 2002). Especially in the case of small fish, which are not sold individually but in batch,  
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303 the MA could be used to estimate the degree of infestation. Considering that the provisions  
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2304 established by the Region Lombardia, which states the rejection of the batch if in a sample of less  
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2405 than 1 kg even a single specimen is found parasitized (MA=0), are not applicable in the light of the  
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306 diffusion of anisakids in anchovies (Angelucci et al. 2011; Mladineo and Poljak, 2013; Rello et al.  
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2907 2009), we took into consideration the protocol indicated by the Liguria Region, which tolerates a  
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308 certain levels of infestation (Circular n. 1 of 1997). In fact, it is essential to identify the percentage  
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309 of parasitized subjects that can be tolerated, or rather that is not perceptible to the observation of the  
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310 consumer. Calculating the MA on the basis of the reported criteria it is clear that a MA of 0.30 corresponds  
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311 to the threshold that allows the distinction between a product that can be intended for free consumption and a  
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412 product that requires a sanitification treatment. In fact, food shall not be placed on the market if unsafe  
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4313 (injurious to health or unfit for human consumption) (Regulation (EC) No 178/2002). In this regard,  
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4514 noteworthy is the fact that even at the Wholesale fish market of Milan a certain level of tolerance on the non  
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415 marketability of the product has been introduced, especially considering the high degree of infestation often  
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5016 present in anchovies.

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5217 According to some authors (Angelucci et al. 2011) the visual method would not ensure an  
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5318 appropriate level of safety of the product, as it is strictly dependent on the experience of the  
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5719 operator and on the environment light. For others, the visual inspection has a low efficiency for gut  
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320 parasites (Llarena-Reino et al. 2012). Moreover, according to Bernardi et al. (2011), digestion  
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321 would allow a more efficient recovery of L3 larvae from viscera compared to the visual inspection.  
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322 On the contrary, in this study, the visual inspection showed a sensitivity (s) of 93%, a specificity  
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323 (s') of 100% and an accuracy of 97%. The results of the visual inspection on the marketability of  
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324 each batch were in agreement with the digestion in 30 cases out of 31. In fact, in only one case,  
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1325 which had a MA found with the digestion method very close to the threshold (0.33), the inspection  
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1326 detected a MA lower than the cut-off (Table 1). Moreover, as expected, the MA values found after  
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1327 the three tests were found to be highly correlated (coefficients of correlation always higher than  
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1328 0.94).

21  
229 The UV candling method was less sensitive (s = 71%) and less accurate (ac = 87%) than visual  
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2430 inspection, although the specificity was 100%. In fact, the results of this method on the batches  
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331 marketability disagreed with the digestion in 4 cases (Table 1). However, it has to be remarked that  
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2932 the UV candling was also applied to the HV, even though it is usually intended for muscle tissue  
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333 since HV only allows the passage of a small part of ultraviolet rays.

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334 From the analysis of the data obtained in this study, it appears that, in spite of a difference in  
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335 absolute terms of parasite detection between the two techniques (which can be observed also  
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336 comparing the average MA after visual inspection and after digestion, 0.85 and 1.33 respectively),  
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4337 the comparison of the tests showed that visual examination, if performed by a skilled and  
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4338 scrupulous operator, is sufficiently sensitive to discriminate marketable from unmarketable batches.  
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4639 Similarly, Huang (1990) reported that the visual examination of the fish can allow the detection of  
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340 90% of the larvae in little fish, such as *Clupea harengus*, *Scomber scombrus* and *Trachurus*  
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541 *trachurus*.

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342 Considering that FBOs have to issue a judgment on the marketability for a high number of  
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543 batches in a limited period of time, the described sampling plan will be helpful in the simplification  
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344 and standardization of FBOs controls.

### 3.6 Molecular identification of the larvae by sequencing and phylogenetic analysis

Many gene targets can be used for anisakids identification. Among these, the direct sequencing of the mitochondrial cytochrom oxidase 2 (mtDNA *cox2*) has allowed the specific identification of 9 different species of the genus *Anisakis* (Mattiucci and Nascetti 2008) and thus it can be successfully applied for identification purposes (Cipriani et al. 2015).

In this study a BLAST analysis supported by a phylogenetic analysis performed using a fragment of the the mtDNA *cox2* were used to identify the visible parasite collected and characterize the hazard. In fact, the epidemiological relevance of the species represents a pivotal criteria in defining the risk associated to the fish consumption (Llarena-Reino et al. 2013b).

Overall, 640 visible (longer than ~10 mm) anisakid larvae were collected. Totally, 613 readable mtDNA *cox2* sequences of on average 578 bp (range 524-582 bp) were obtained and submitted to a BLAST analysis: 597 (97.39%) retrieved a maximum identity of 99-100% with sequences of *A. pegreffii*, 14 (2.28%) with sequences of *A. simplex sensu stricto* (99-100% max identity), 1 (0.16%) with the sequence of a hybrid *A. simplex* x *A. pegreffii* (100% max identity) and 1 with a sequence of *Hysterothylacium* spp. (96% max identity with *H. aduncum*) (Table 2SM). The phylogenetic analysis performed on 622 sequences of *Anisakis* spp. (Fig. 1SM) confirmed the results obtained by BLAST (Table 2SM).

Our results confirm the widespread diffusion of *A. pegreffii*, the dominant *Anisakis* species in the Mediterranean Sea. To the best of the authors' knowledge, this is the first report of *A. simplex* s.str. in anchovies. All the positive batches originated from FAO area 37.1.3. In fact, even though *A. simplex* s.str. is the dominant species in Atlantic and Pacific Oceans, it is also occasionally present in southwestern Mediterranean waters (Mattiucci and Nascetti 2008).

Finally, it has to be noted that in many studies co-infections of *Anisakis* spp. and *Hysterothylacium* spp. have been found (Angelucci et al. 2011; Cavallero et al. 2015). Also in the present study some batches presented probable co-infection with *Hysterothylacium* (in fact, in many

370 anchovies larvae shorter than ~10 mm were found). However, due to the collection and analysis of  
1  
371 the visible larvae only, the most part of the specimens of *Hysterothylacium* spp. which are smaller  
3  
4  
372 than *Anisakis* spp. (Section 3) may have been disregarded. In fact, only one of the specimens was  
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373 identified as *Hysterothylacium* spp. In the light of consumers' protection, this result confirms the  
8  
374 importance of focusing the inspection of fishery products on visible larvae.  
10

### 375 **3.7 Health implications.**

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376 In the light of health implications, considering that all the collected parasites are zoonotic  
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16  
377 (Section 3.5), an aspect to be stressed is the presence of parasites in the edible portions (fillets). In  
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378 addition, parasitic larvae have the ability to migrate in the fish muscle (edible portion) even during  
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379 the *post mortem*. In this study, we observed anisakids larvae coming out through the natural orifices  
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380 and through the skin from the muscle. Other recent studies reported the presence of L3 larvae in the  
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26  
381 muscles of *E. encrasicolus* (Angelucci et al. 2011; Rello et al. 2009). Considering that small fish  
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382 species are generally sold ungutted, the presence of anisakids must be assessed not only in the fish  
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383 flesh but also in the viscera.  
32

33  
384 According to the working document SANCO/10137/2013-rev1 (2013) a fishery product is  
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385 considered obviously infested if visible parasites are detected in edible portions. On the contrary, if  
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386 the parasites are found in non edible parts, the raw material may be considered suitable for  
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387 consumption. Obviously, this definition considers only sanitary implications (zoonotic potential)  
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388 but not the commercial ones. In fact, even though the parasites are confined to the viscera, heavily  
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389 infested products induce consumers' repulsion and must be considered as not suitable (Reg. No  
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48  
390 178/2002).  
49

50  
391 Finally, the health implications related to allergic reactions after ingestion of Anisakids should  
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392 be taken into account (Daschner et al, 2000; Dominguez-Ortega et al., 2001). Even though the  
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393 ingestion of alive larvae is usually required for sensitization and allergic reactions (Alonso-Gomez  
56  
57  
394 et al., 2004; Audicana et al., 2002; Daschner et al., 2000), also the exposure to Anisakis proteins  
58  
59

395 alone may suffice to elicit allergic reactions in sensitized individuals (Nieuwenhuizen et al., 2006).  
1  
396 Obviously, the present approach cannot prevent the risk of allergy in sensitive subjects which, on  
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397 the contrary, should be addressed by a specific legislation. In fact, despite the numerous provisions  
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398 issued at European and Italian level aimed at manage the risk associated to the presence of Anisakid  
8  
399 in fish, Anisakid proteins are still not included in the list of all common allergens by the specific  
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11  
400 Community regulation (Regulation (EU) 1169/2011).  
13

#### 14 **4. Conclusions**

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402 The need of a reliable sampling plan to search for visible larvae in fishery products represents a  
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403 priority for both official authorities and FBOs. The obtained results showed that in the proposed  
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404 sampling plan the visual inspection performed similarly to the digestion procedure, while being  
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405 simpler and less time-consuming and thus suitable to be routinely applied. This is especially  
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406 important in the case of anchovies that are sold ungutted and often consumed raw. In fact, the  
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407 possible migration of the larvae from the viscera to the muscle highly increases the health risk for  
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408 consumers and decreases the final quality of the products. Finally, this study represents a first step  
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409 to validate the proposed sampling plan and to standardize the inspection process on fresh fish.  
35

#### 36 **Figure captions**

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411 **Fig. 1.** Visual inspection of anchovies as performed in the present study: whole specimens (A),  
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412 heads and viscera (B) and fillets (C).  
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414 **Fig. 1SM.** Neighbour-joining (NJ) tree obtained using 622 mtDNA *cox2* gene sequences (576 bp)  
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415 of the *Anisakis* spp. larvae found in this study (613) and the reference sequences (9) retrieved from  
49  
50  
416 Genbank. Bootstrap values >70%, obtained from 2000 replications using the Kimura 2-parameter  
52  
53  
417 genetic distance are shown in the tree.  
54

#### 55 **Compliance with Ethical Standards:**

#### 56 **Funding:**

420 The research was performed with funds granted from the University of Pisa.

421 **Conflict of Interest:**

422 Guardone Lisa, Malandra Renato, Costanzo Francesco, Castigliero Lorenzo, Tinacci Lara, Gianfaldoni Daniela, Guidi  
423 Alessandra, Armani Andrea declare that they have no conflict of interest.

424 **Ethical Approval:**

425 This article does not contain any studies with human or animal subjects. In particular, the fish included in the present  
426 study were intended for human consumption and they were bought at the Wholesale market of Milan.

427 **Informed Consent:**

428 Not applicable.

429

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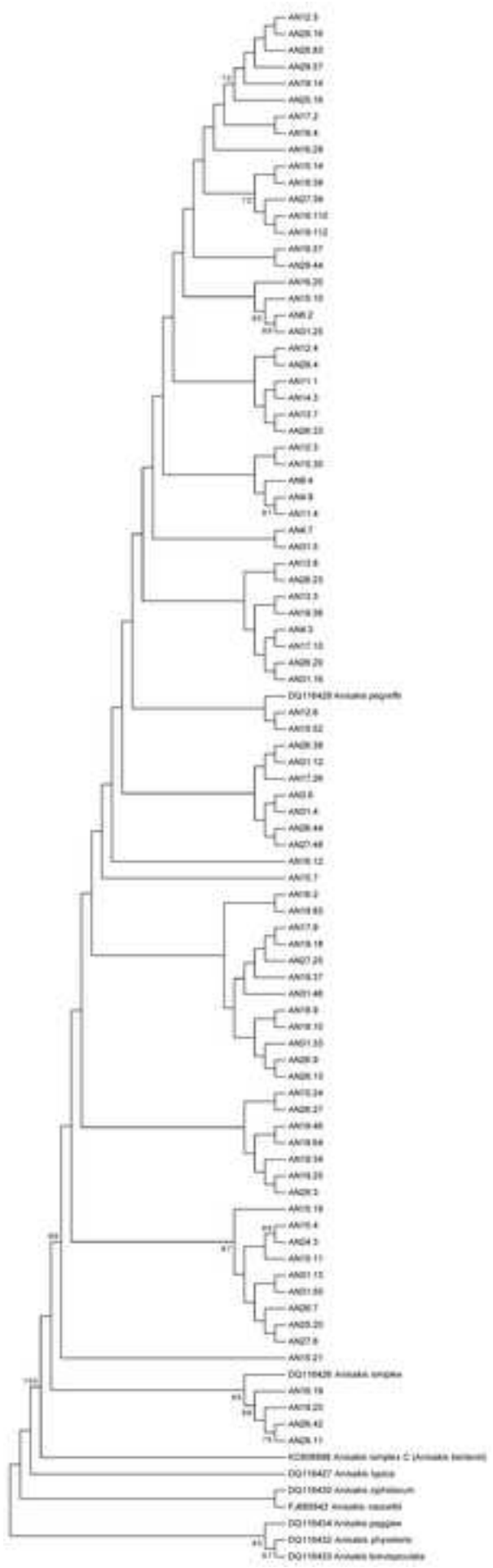
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Figure

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Batch	Specimens per batch	Origin	Visual inspection		UV-press method		Digestion	
			n L3	MA	n L3	MA	n L3	MA
1	29	FAO area 37.2.1	1	0.03	1	0.03	1	0.03
2	29	FAO area 37.2.1	0	0.00	0	0.00	0	0.00
3	29	FAO area 37.2.1	2	0.07	5	0.17	6	0.21
4	29	FAO area 37.2.1	9	<b>0.31</b>	11	<b>0.38</b>	12	<b>0.41</b>
5	29	FAO area 37.2.1	0	0.00	0	0.00	0	0.00
6	31	FAO Area 37.1.3	3	0.10	3	0.10	3	0.10
7	33	FAO Area 37.1.3	2	0.06	2	0.06	2	0.06
8	34	FAO Area 37.1.3	3	0.09	4	0.12	4	0.12
9	29	FAO Area 37.1.3	0	0.00	0	0.00	0	0.00
10	27	FAO Area 37.1.3	0	0.00	1	0.04	2	0.07
11	29	FAO area 37.2.1	4	0.14	2	0.07	4	0.14
12	24	FAO area 37.2.1	4	0.17	4	0.17	8	<b>0.33</b>
13	29	FAO area 37.2.1	13	<b>0.45</b>	8	0.28	14	<b>0.48</b>
14	29	FAO area 37.2.1	7	0.24	2	0.07	7	0.24
15	29	FAO Area 37.1.3	24	<b>0.83</b>	25	<b>0.86</b>	61	<b>2.10</b>
16	29	FAO Area 37.1.3	24	<b>0.83</b>	16	<b>0.55</b>	38	<b>1.31</b>
17	29	FAO Area 37.1.3	27	<b>0.93</b>	16	<b>0.55</b>	35	<b>1.21</b>
18	34	FAO area 37.2.1	11	<b>0.32</b>	5	0.15	12	<b>0.35</b>
19	34	FAO Area 37.1.3	75	<b>2.21</b>	86	<b>2.53</b>	115	<b>3.38</b>
20	27	FAO Area 37.1.3	0	0.00	1	0.04	1	0.04
21	29	FAO Area 37.1.3	0	0.00	1	0.03	1	0.03
22	29	FAO Area 37.1.3	0	0.00	0	0.00	1	0.03
23	29	FAO area 37.2.1	0	0.00	0	0.00	0	0.00
24	29	FAO Area 37.1.3	10	<b>0.34</b>	5	0.17	10	<b>0.34</b>
25	31	FAO area 37.2.1	13	<b>0.42</b>	18	<b>0.58</b>	26	<b>0.84</b>
26	27	FAO Area 37.1.3	57	<b>2.11</b>	62	<b>2.30</b>	89	<b>3.30</b>
27	27	FAO Area 37.1.3	50	<b>1.85</b>	29	<b>1.07</b>	76	<b>2.81</b>
28	31	FAO Area 37.1.3	0	0.00	0	0.00	1	0.03
29	31	FAO Area 37.1.3	26	<b>0.84</b>	33	<b>1.06</b>	58	<b>1.87</b>
30	34	FAO Area 37.1.3	0	0.00	0	0.00	0	0.00

31	40	FAO area 37.2.1	34	<b>0.85</b>	15	<b>0.38</b>	53	<b>1.33</b>
<b>Total</b>	<b>929</b>		<b>399</b>	<b>0.43</b>	<b>355</b>	<b>0.38</b>	<b>640</b>	<b>0.69</b>

**Table 1** Samples collected (31 batches for a total of 929 specimens of anchovies) and results of the three methods (visual inspection, UV-press method and digestion) applied. The values of MA in bold in shaded boxes are those above the threshold of 0.3, which discriminated the marketability of the batches. The batches are in order of arrival at the FishLab, University of Pisa. n L3: number of anisakid larvae; MA: Mean Abundance.

Number of items in the lot	Number of specimens to be used for visual inspection	
	Sensitivity 10 %	Sensitivity 5 %
10	All	All
20	16	19
30	19	26
40 – 45	21	31
46 – 50	22	35
51 – 60	23	38
61 – 70	24	40
71 – 80	24	42
81 – 90	25	43
91 – 100	25	45
101 – 120	26	47
121 – 140	26	48
141 – 161	27	49
161 – 180	27	50
181 – 200	27	51
201 – 250	27	53
251 – 350	28	54
351 – 450	28	55
451 – 600	28	56
601 – 1200	29	57
1201 - 4000	29	58
> 4000	29	59

**Table 1SM.** Sampling for the visual inspection to detect *Anisakis* larvae in fish batches, according to the Circular Letter VS8/C790/94 of the Lombardy region (adapted and published in D'Amico et al. 2014).

D'Amico, P., Malandra, R., Costanzo, F., Castigliero, L., Guidi, A., Gianfaldoni, D., & Armani, A. (2014). Evolution of the *Anisakis* risk management in the European and Italian context. *Food Research International*, 64, 348-362

**Table 2SM.** Molecular results of the BLAST analysis performed on a fragment of ~582 bp of the *cox2* gene.

Batch	number of visible larvae collected	number of visible larvae sequenced	<i>Anisakis pegreffii</i>	<i>Anisakis simplex</i>	<i>A. simplex</i> x <i>A. pegreffii</i> <sup>a</sup>	<i>Hysterothylacium</i> spp.
1	1	1	1			
2	0	0				
3	6	6	6			
4	12	12	12			
5	0	0				
6	3	3	3			
7	2	2	2			
8	4	4	4			
9	0	0				
10	2	2	2			
11	4	4	4			
12	8	8	8			
13	14	13	13			
14	7	7	7			
15	61	60	58	2		
16	38	36	33	3		
17	35	31	30		1	
18	12	12	12			
19	115	111	107	3		1
20	1	1	1			
21	1	1	1			
22	1	1	1			
23	0	0				
24	10	10	10			
25	26	25	25			
26	89	86	84	2		
27	76	72	72			
28	1	0				
29	58	55	53	2		
30	0	0				
31	53	50	50			
Tot	640	613	597 (97.39%)	14 (2.28%)	1 (0.16%)	1 (0.16%)

<sup>a</sup>The hybrid sequence derived from a parasite previously identified by PCR-RFLP and sequencing of the ribosomal internal transcribed spacer (ITS) region. It has been deposited by Pekmezci et al. (2014). In fact, the mtDNA *cox2* fragment, due to its maternal inheritance does not allow to identify hybrids species.