



32 **ABSTRACT**

33 In a medium term scenario hybrid powertrain and Internal Combustion Engine (ICE) downsizing  
34 represent the actual trend in vehicle technology to reduce fuel consumption and CO<sub>2</sub> emission.  
35 Concerning downsizing concept, to maintain a reasonable power level in small engines, the  
36 application of turbocharging is mandatory both for spark ignition (SI) and compression ignition (CI)  
37 engines. Following this aspect, the possibility to couple an electric drive to the turbocharger  
38 (electric turbo compound) to recover the residual energy of the exhaust gases is becoming more  
39 and more attractive, as demonstrated by several studies around the world and by the current  
40 application in the F1 Championship.

41 The present paper shows the first numerical results of a research program under way in  
42 collaboration between the Universities of Pisa and Genoa. This first study is focused on the  
43 evaluation of the benefits resulting from the application of an ETC to a small twin-cylinder SI  
44 engine (900 cm<sup>3</sup>). Starting from the experimental maps of two turbines and one compressor, the  
45 complete model of a turbocharged engine was created using the AVL BOOST one-dimension  
46 code. The numerical activity then moves to the whole vehicle/powertrain modelling, considering  
47 three driving cycles and two different vehicle configurations, in order to verify the effectiveness of  
48 the proposed ETC solution.

49 Results show that ETC is not beneficial over a conventional turbocharger with appropriate turbine  
50 geometry if the target is to optimize the overall efficiency in one specific operating point of the ICE,  
51 like in the case of range-extended electric vehicles. Besides, ETC can slightly improve the average  
52 overall efficiency when the ICE must provide variable power output, as in the case of conventional  
53 or hybrid vehicles. However, the major benefits coming from ETC are the boost range extension in  
54 the lowest engine rotational speed region and a possible reduction of turbo lag, which are key  
55 points in parallel-hybrid and especially in conventional vehicles.

56 Concerning the whole vehicle/powertrain simulation, first results show that the ETC does not  
57 improve fuel economy of the smaller vehicle, especially when employed in urban cycles. The ETC  
58 is much more advantageous in the case of the larger vehicle, particularly when extra-urban roads  
59 or motorways are considered.

60

61

62 **KEYWORDS** – Internal Combustion Engines, Exhaust gas energy recovery, Turbocharger  
63 flow maps, Electric Turbo Compound, Hybrid Vehicle, Electric Vehicle.

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67 NOMENCLATURE AND DEFINITIONS

68

69 CA Crank Angle

70 CADC Common Artemis Driving Cycle

71 BSFC Brake Specific Fuel Consumption

72 CI Compression Ignited

73 ICE Internal Combustion Engine

74 imep indicated mean effective pressure

75 NEDC New European Driving Cycle

76 rpm revolution per minute

77 SI Spark Ignited

78 SOC State of charge

79 TC Turbocharger

80

81 Notation:

82 n rotational speed rpm

83 p pressure bar

84 A waste-gate opening degree %

85 M mass flow rate kg/s

86 N rotational speed factor rpm/ $\sqrt{K}$

87 T temperature K

88  $\beta$  compression ratio

89  $\varepsilon$  expansion ratio

90  $\eta$  efficiency

91  $\Phi$  mass flow rate factor kg· $\sqrt{K}/(s\cdot\text{bar})$

92

93 Subscripts:

94 0 reference condition

95 1 compressor inlet section

96 2 compressor exit section

97 3 turbine inlet section

98 4 turbine exit section

99 c compressor

100 r reduced

101 s isentropic

102 t turbine

103 S static condition

104 T stagnation condition

105

## 106 1. Introduction

107

108 With growing concern for environmental problems, in the last years more stringent standards for  
109 vehicle fuel consumption and emissions have been introduced. Therefore, vehicle manufacturers  
110 focused their attention on the development of modified conventional vehicles in hybrid versions.  
111 These vehicles have advanced powertrains for efficient utilization of energy, in order to recover  
112 energy during braking and allow the Internal Combustion Engine (ICE) to operate on its highest  
113 efficiency region. Additionally, depending on the hybrid powertrain typology (i.e. series hybrid,  
114 parallel hybrid, range extended, etc.), the ICE can also be significantly downsized.

115 In this regard, to maintain a reasonable power level in small ICEs, the application of turbocharging  
116 (TC) technology is mandatory, both for spark ignited (SI) and compression ignited (CI) engines. To  
117 this aim, the possibility to couple an electric drive to the turbocharger (Electric Turbo Compound,  
118 ETC) to recover the residual energy of the exhaust gas, as well as to extend the boost range and  
119 reduce the turbo lag, is becoming more and more attractive, as demonstrated by several studies  
120 around the world and by the current application in the F1 Championship.

121 This approach belongs to a wider topic, which is the maximum recovery of the residual energy from  
122 the exhaust gas to regenerate it either within the engine crankshaft or within auxiliary powertrain  
123 units inside the vehicle. Without entering into details, which are well explained in the recent open  
124 literature [1÷3], it is meaningful to outline that, at present, three main technologies are being  
125 studied for exhaust energy recovery in the automotive sector, i.e., ORCs (Organic Rankine  
126 Cycles), thermoelectric generators and turbo compounding.

127 The Organic Rankine Cycles offer waste heat recovery without imposing any additional  
128 backpressure to the engine as it does not restrict the exhaust gas flow leaving the engine. Despite  
129 a potential improvement in BSFC (Brake Specific Fuel Consumption) of as much as 15%, the  
130 implementation of ORCs requires a complex engineering architecture, which makes it not suitable  
131 for small-scale applications such as passenger vehicles [4-10].

132 Similarly, thermoelectric generators do not increase exhaust back-pressure. The principle of  
133 thermoelectric generation relies on the Peltier-Seebeck effect which accounts for the direct  
134 conversion of thermal energy into electric energy. Nevertheless, the efficiency of electric  
135 conversion is poor and requires large surface areas which are not always available in automotive  
136 applications. Besides, the cost of the material for thermoelectric generator devices is still high, thus  
137 this technology is not yet practical for commercialisation [11÷15].

138 The last method to recover exhaust gas energy from ICEs is represented by the adoption of  
139 turbocompounding technology. Turbocompounding consists in the addition of a mechanic or  
140 electric device to the main turbocharger turbine in order to recover the excess of energy available  
141 in the exhaust.

142 Mechanical turbocompounding technology [16÷19] involves the installation of a power turbine  
143 besides the Turbocharger (TC) turbine for extracting mechanical power from the exhaust gas  
144 stream. Mechanical turbocompounding is well known for being used on aircraft engines in the  
145 1950's. The three most successful and documented engines are the Wright R-3350, the Napier  
146 Nomad and the Allison V-1710. Today, mechanical turbocompounding is mainly used on large  
147 displacement diesel engines designed for use in heavy ground vehicles such as trucks and  
148 agricultural equipment adopted, for example, by Scania, Volvo and Detroit Diesel.

149 The electrical turbocompounding strategy is based on two configurations: in the first layout an  
150 additional turbine is placed on the exhaust line, usually downstream of the turbocharger turbine,  
151 linked to a small electric generator (turbo generator) [20÷22]. The second configuration is based on  
152 coupling an electrical generator to the TC shaft for extracting excess power produced by the  
153 turbine (electric turbo compound) [23÷28]. In this case, the turbine produces more power than the  
154 necessary to drive the compressor. The excess power is converted into electric power using a high  
155 speed generator incorporated in the TC casing. The electrical drive can be also used to accelerate  
156 the TC shaft and reduce the turbo lag, despite the overall increased inertia. An alternative solution  
157 is the use of a turbine and a compressor without mechanical link, both with individual electric drive.

158 In the present paper, the first numerical results of a joint research program developed by the  
159 Universities of Pisa and Genoa are shown. Very few papers present studies related to the  
160 application of an ETC to SI engines [28, 29] and no one has been made adopting the numerical  
161 codes here applied.

162 In the first part of the study, the evaluation of the benefits resulting from the application of an ETC  
163 to a small-size twin-cylinder S.I. engine has been examined. In particular, utilizing the AVL  
164 BOOST-1D computational program, implemented with experimental turbocharger characteristic  
165 curves, an estimation of the global amount of the energy recovered from exhausts is predicted,  
166 providing further insights into engine operating range where ETC is beneficial with respect to a  
167 conventional TC and giving hints on how to size the turbine geometry to maximize the recovered  
168 energy. Concerning this last aspect, some Authors noted the importance of choosing a power  
169 turbine flow capacity large enough to avoid high backpressure penalties, even if slight or no effect  
170 on fuel consumption occurs [29].

171 In the second part of the study, the numerical activity moves to the whole vehicle/powertrain  
172 modelling adopting the 3DS's Dymola code based on Modelica language. Engine results are  
173 utilized to identify the optimal way to exploit the electrical energy recovered. Vehicle powertrain  
174 architecture of a conventional vehicle equipped with a standard TC group is kept unmodified as  
175 much as possible, as well as that of the electrical system, apart the introduction of the ETC device.  
176 Three driving cycles and two different vehicle configurations have been analysed. The three driving  
177 cycles, all from CADC (Common Artemis Driving Cycle), are able to represent the vehicle usage in  
178 urban, extra-urban roads and motorways in a realistic way.

179

## 180 **2. Experimental results on turbocharger performance**

181 Turbine and compressor maps implemented in the engine simulation model are properly selected  
182 referring to an experimental database available at the Internal Combustion Engines Group (ICEG)  
183 of the University of Genoa. In particular, two turbines and one compressor are identified. Both  
184 turbines are single entry nozzleless radial flow units, fitted with a waste-gate valve. The first turbine  
185 (Garrett GT2052, called A) is characterized by 47 mm rotor diameter and by a  $TRIM_t$  level equal to  
186 0.72, the second (IHI RHF3, called B) by a rotor diameter of 33 mm and by a  $TRIM_t$  equal to 0.81.  
187 Selected compressor is a radial flow type (IHI RHF3) characterized by an impeller diameter of  
188 about 40 mm.

189 Experimental characteristic curves of the turbomachines have been measured at the turbocharger  
190 test facility of the University of Genoa (Figure Fig. 1), fully described in previous papers [30, 31, 32].  
191 The compressed air apparatus is fitted with three electrical screw compressors able to provide a  
192 total mass flow rate of about 0.6 kg/s at a maximum pressure of 8 bar. An electric heater allows

193 increasing turbine inlet temperature up to 750 °C. However, turbine experimental maps here  
194 selected refer to an inlet air temperature of about 80 °C (“cold operation”).

195 Even if experimental results here reported refer to turbine and compressor steady flow  
196 characteristic curves, it must be mentioned that the possibility to reproduce the unsteady flow  
197 typically occurring in the intake and exhaust engine circuit is a peculiarity of the UNIGE test facility.  
198 In particular, two different arrangements respectively using diametral slot rotating valves or a motor  
199 driven cylinder head are available, fully described in [30, 33].

200 Measurements are performed by an automatic data acquisition system, using interactive  
201 procedures in LabVIEW® environment. All quantities here reported are an ensemble average of  
202 multiple data acquisition (10 cycles). Therefore, measurements are referred to the average level of  
203 data recorded at the same operating condition. Under steady flow condition, static pressure levels  
204 are measured at the inlet and outlet section of compressor and turbine through strain-gauge  
205 transducers characterized by an accuracy of ±0.15% of the full scale. A high frequency response  
206 piezoresistive pressure sensor mounted just downstream of the compressor volute exit is used to  
207 measure instantaneous pressure signal to detect surge phenomenon [30]. Total air temperature  
208 levels are measured through platinum resistance thermometers (Pt 100 Ohm) with a measurement  
209 tolerance of ±0.15 °C + 0.2% of measured value. All sensors are crosswise inserted in the flow  
210 pipe. With particular reference to the compressor outlet section, three different probes are  
211 generally adopted to evaluate compressor efficiency (therefore turbine thermo-mechanical  
212 efficiency) with good accuracy. Turbocharger rotational speed is measured by an inductive probe  
213 mounted close to the compressor wheel allowing to detect rotor revolution with good accuracy.  
214 Compressor mass flow rate is measured through a thermal mass flow meter with a measurement  
215 tolerance of ±0.9% of measured value and ±0.05% of the full scale. As regards turbine mass flow  
216 rate, this quantity is measured through a laminar flow meter (measurement tolerance of ±2% of  
217 measured value).

218 In Figure 2 steady flow characteristic curves of both considered turbines measured at different  
219 levels of rotational speed factor ranging from 2000 to 7000 rpm/√K are shown. Turbine maps are  
220 referred to the complete closure of the by-pass system (waste-gate valve), since only turbine maps  
221 with the regulating device fully closed are implemented in the simulation model. Since results here  
222 reported are measured in “cold conditions”, all quantities are scaled based on the conventional  
223 non-dimensional groups to properly take into account for the density variation of the flow entering  
224 the turbine.

225 Turbine maps are then referred to the following parameters:

226 - turbine rotational speed factor: [rpm/√K]  
227

$$N_t = \frac{n}{\sqrt{T_{T3}}}$$

228  
229

230 - Expansion ratio (total-to-static): [-]  
231

$$\varepsilon_{TS} = \frac{P_{T3}}{P_{S4}}$$

232

233

234 - Mass flow rate factor: [kg·√K/(s·bar)]

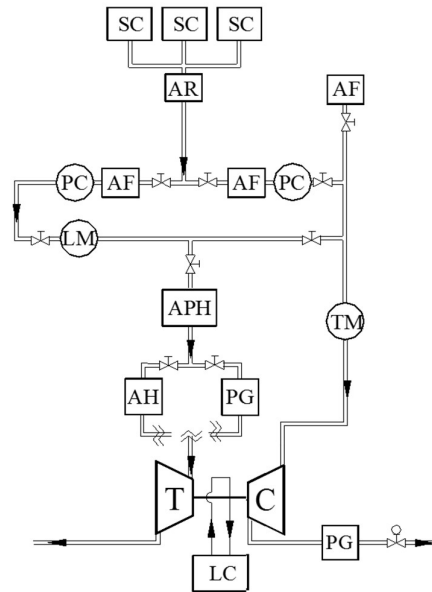
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$$\Phi_t = \frac{M_t \cdot \sqrt{T_{T3}}}{p_{T3}}$$

236

237

238



239

AF	Air Filter	LM	Laminar Flow Meter
AH	Air Heater	PC	Pressure Control
APH	Air Pre-Heater	PG	Pulse Generator
AR	Air Reservoir	SC	Screw Compressor
C	Compressor	T	Turbine
LC	Lubricating Circuit	TM	Thermal Mass Flow Meter

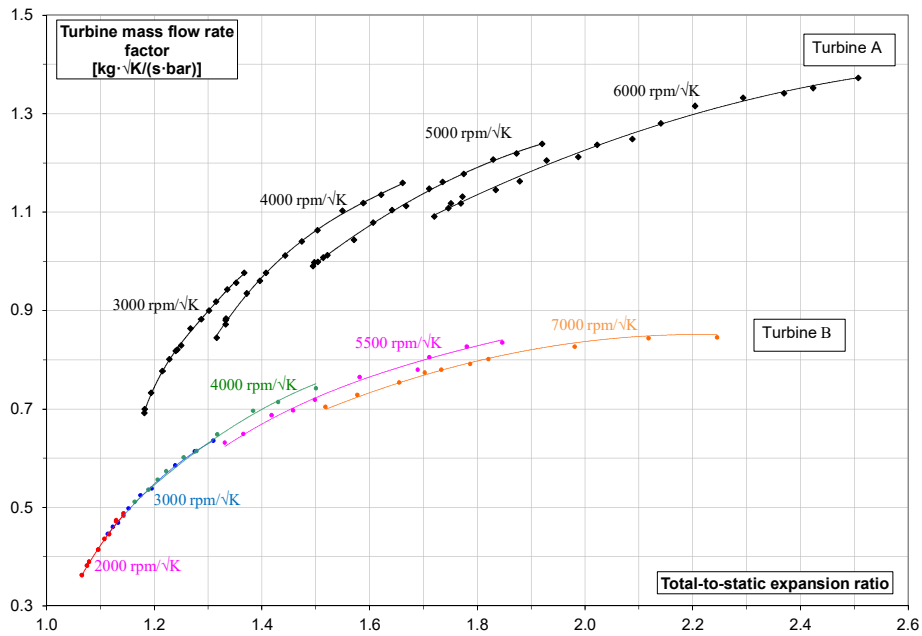
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241

**Fig. 1: Turbocharger test facility of University of Genoa.**

242

243 In order to measure turbine characteristic curves over an extended range while keeping the  
 244 turbocharger compressor coupled, modulation of compressor inlet pressure is performed, thus  
 245 controlling its power absorption, working at higher and lower level than the ambient condition. In  
 246 Figure 2 it is highlighted that mass flow rate factor is more sensitive to the expansion ratio than to  
 247 turbine rotational speed at constant waste-gate valve setting. It is worth noticing the extension of  
 248 each constant speed curve that was achieved at low turbine inlet temperature (about 400 K). The  
 249 definition of steady flow curves in a wide operating range is an essential requisite to reduce  
 250 inaccuracies in the extrapolation method adopted within simulation models.



251

252

**Fig. 2: Measured turbine steady flow maps.**

253

254 As aforementioned, the compressor selected for this application is characterized by an impeller  
 255 diameter of about 40 mm is. In Figure 3 total-to-total pressure ratio and total-to-total efficiency vs.  
 256 corrected mass flow rate are reported referring to different levels of compressor corrected  
 257 rotational speed.

258 Compressor maps reported are referred to the following parameters:

259 - Reduced rotational speed: [rpm]

260

$$n_{cr} = \frac{n \cdot \sqrt{T_0}}{\sqrt{T_{T1}}}$$

261

262

263 - Compression ratio (total to total): [-]

264

$$\beta_{TT} = \frac{p_{T2}}{p_{T1}}$$

265

266

267 - Reduced mass flow rate: [kg/s]

268

$$M_{cr} = \frac{M_c \cdot p_0 \cdot \sqrt{T_{T1}}}{p_{T1} \cdot \sqrt{T_0}}$$

269

270



271 where:  $T_0 = 293.15 \text{ K}$   
 272  $p_0 = 0.981 \text{ bar}$

273

274 - Isentropic total-to-total efficiency: [-]

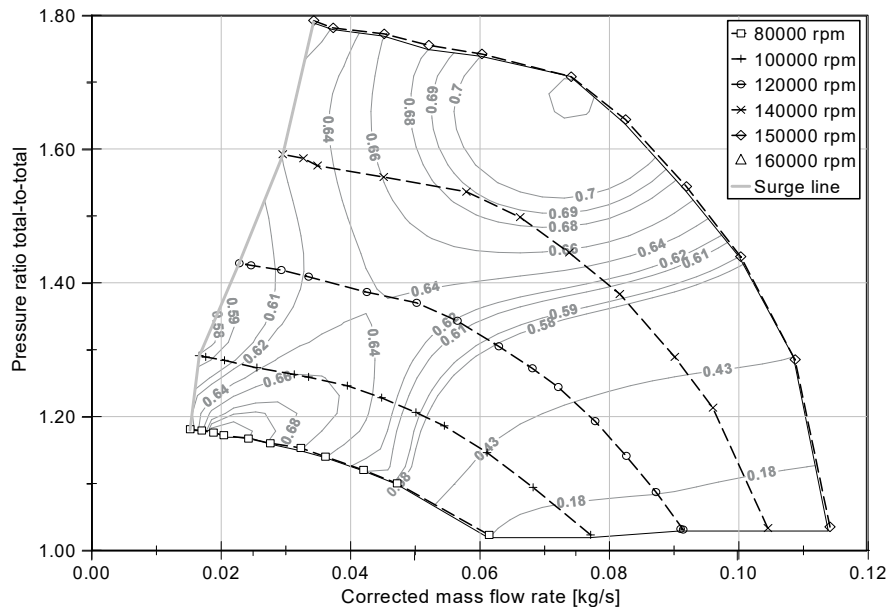
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$$\eta_{cIT} = \frac{T_{T2s} - T_{T1}}{T_{T2} - T_{T1}}$$

276

277

278 The compressor performance curves are measured from the choking zone to the surge line  
 279 changing the external circuit characteristic through a motorized throttle valve located downstream  
 280 of the compressor (Figure 1). The surge condition is identified recording the downstream  
 281 instantaneous pressure signal to detect the surge frequency through a Fast Fourier Analysis [30].



282

283 **Fig. 3: Measured compressor steady flow map.**

284

285 Engine simulation activities described in this paper have been developed referring to the above  
 286 reported turbines and compressor maps. Simulations are performed referring to ICE “fixed point”  
 287 and “multiple points” strategies, taking into account different turbocharger configurations (see  
 288 Table 1), as better explained in the “*ICE modelling and simulation*” section. In particular, to satisfy  
 289 massflow rates and boost pressure required by the simulated ICE, the similitude scaling approach  
 290 has been applied to reproduce the appropriate characteristic curve.

291 Both turbines were coupled to the same compressor, scaled in similitude with respect to the tested  
 292 machine (Figure 3), adopting a mass flow multiplier of 0.75. At the same level of compressor  
 293 pressure ratio and thermodynamic inlet conditions, the same efficiency value was assumed,  
 294 neglecting a possible slight increase of boundary layer losses due to the lower compressor size. A  
 295 third turbine map (called C, in Table 1) was also considered scaling the turbine A map in similitude,

296 adopting a mass flow upscaling of 1.6. Also in this case, the efficiency was maintained unchanged,  
297 even if turbine efficiency gradually improves with size.

298

Model name	Compressor	Turbine
Reference TC (fixed point investigation)	massflow scaling 0.75	A
ETC (fixed point investigation)	massflow scaling 0.75	B
Baseline engine (multiple points investigation)	massflow scaling 0.75	A
ETC-ICE (multiple points investigation)	massflow scaling 0.75	C (massflow scaling 1.6 – Turbine A)

299

Tab. 1: TC Specifications.

300

301

### 302 3. ICE modelling and simulation

303 A twin-cylinder, 0.9 litre, PFI turbocharged SI engine is modelled using AVL Boost® code. The ICE  
304 specifications are listed in Table 2.

305

Displacement volume	894 cm <sup>3</sup>
Stroke	84.6 mm
Bore	82.0 mm
Connecting Rod length	154.0 mm
Compression ratio	8.5

306

Tab. 2: ICE Specifications.

307

308 Geometrical and functional ICE characteristics come from a validated and verified Boost model  
309 [34]. Valve flows, injector specifications, pipe sizes, element temperatures and friction features  
310 result from manufacturer data and Boost library.

311 The model includes an air cleaner, a turbocharger and an air cooler in the intake system. The  
312 throttle is replaced by a restriction in the intake plenum inlet pipe. The exhaust system consists of a  
313 “*simplified square cell catalyst*” and three different volumes, besides the turbine. Inlet and outlet  
314 boundaries are set at normal ambient pressure.

315 Fuel injection system includes two injectors located in the intake ducts just upstream of the intake  
316 valves. Gasoline injection is continuous and set to get the required ratio with respect to the air  
317 mass-flow measured at Measuring Point 1 (shown in Figure 4).

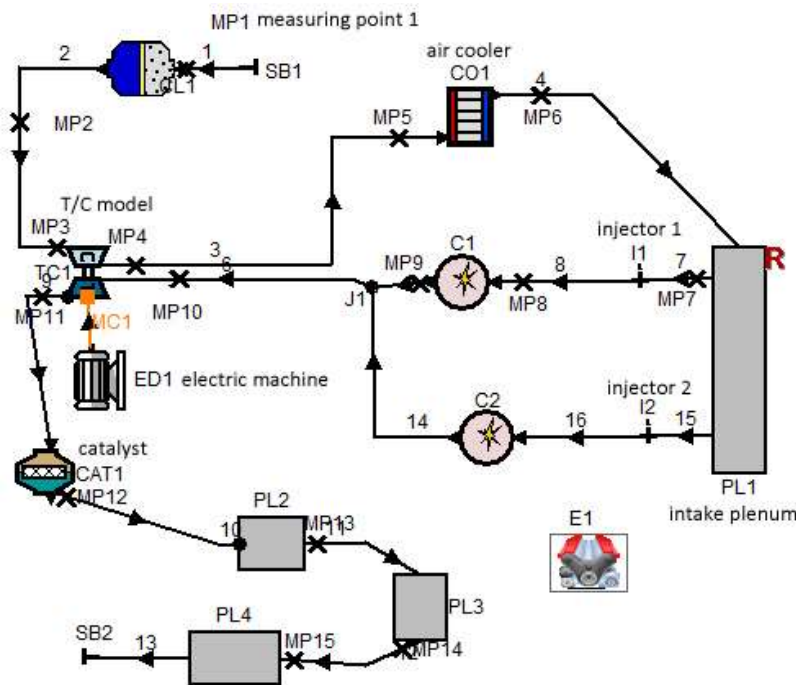
318 Vibe combustion heat release and Woschni 1978 Heat Transfer models [35] are employed. Perfect  
319 mixing model is selected for the scavenging process.

320 The most important modification to the original AVL model is the replacement of the turbocharger  
321 “*simplified model*” with the “*full model*”. In the simplified model the turbocharger simulation is  
322 performed using the “*waste gate calculation*” mode, which means that the waste-gate mass flow

323 rate is calculated from the target pressure ratio across the compressor, the turbocharger efficiency  
324 and the turbine size, while compressor and turbine maps are not needed. The full model gives a  
325 more detailed description of turbocharger behaviour and requires the implementation of both  
326 compressor and turbine maps.

327 Simulations are performed referring to ICE fixed point and multiple points strategies taking into  
328 account different turbocharger configurations, as reported in Tab.1. The ICE fixed point strategy is  
329 typical of a range extender configuration, while the multiple points is correlated to a standard  
330 vehicle application.

331 Both ICE models are developed in Boost environment: one refers to a classical turbocharger  
332 configuration with waste-gate valve while the other considers the use of an ETC consisting of an  
333 electric drive connected to the shaft of a fixed-geometry TC without waste-gate valve. This type of  
334 ETC is modelled in the Boost code by coupling the turbocharger to an electric machine, which is  
335 represented by a specific module (Figure 4) characterized by an efficiency level of 100% since its  
336 electrical losses are included in the detailed vehicle model.



337

338

Fig. 4: ICE Boost model, Electric Turbo Compound version.

339

### 340 3.1 Fixed point simulation results

341 Preliminary simulations are conducted at a fixed ICE operating condition (as in the case of range-  
342 extended vehicles) as reported in Table 3. Starting from 1500 rpm, 1.53 bar absolute boost  
343 pressure and 14.5 A/F ratio, reference TC and ETC are compared. In the case of “reference TC”,  
344 turbine A is properly sized to supply only the power necessary for the compressor. For “ETC”  
345 simulation a turbine able to furnish more power is selected (turbine B in Tab.1), therefore the extra  
346 power provided is collected by the electric machine. However, in the absence of ETC, a waste gate  
347 strategy must be applied with turbine B (case “reference sim” in Table 3).

348 Other points at higher ICE rpm are simulated both for “reference TC” and “ETC” with turbine B, as  
 349 shown in Table 3. At 1500 rpm the best overall efficiency is obtained with the ETC generating 0.28  
 350 kW of electrical power. However, with respect to the reference TC, ICE efficiency is affected by  
 351 increased exhaust backpressure, thus the reduction of total specific fuel consumption turns out to  
 352 be unsubstantial (only 1%, without considering electrical losses).

353 At 2000 rpm and 1.65 bar, corresponding to the best ICE efficiency with reference TC, ETC  
 354 provides a slight improvement, less than 2%, in terms of total BSFC. Increasing the rotational  
 355 speed, 3000 and 4000 rpm, ETC effect becomes negative due to the exhaust backpressure raised  
 356 to unacceptable level, suggesting the adoption of a turbine with increased swallowing capacity for  
 357 this application.

358

	WG bypass	Rot. speed	Boost press.	Air massflow	ICE power	ETC power	ICE BSFC	Tot BSFC	Exhaust backpressure	Turbine
	%	rpm	bar	kg/s	kW	kW	g/kWh	g/kWh	bar	
Reference TC	0	1500	1.53	0.0170	17.00	-	248.37	248.37	1.21	(A)
Reference sim	15	1500	1.53	0.0170	16.90	-	248.99	248.99	1.26	(B)
ETC	0	1500	1.53	0.0170	16.90	0.28	250.59	246.51	1.31	(B)
Reference TC	22	2000	1.65	0.0247	24.78	-	248.07	248.07	1.41	(B)
ETC	0	2000	1.65	0.0246	24.30	0.80	251.62	243.60	1.53	(B)
Reference TC	40	3000	1.64	0.0382	37.41	-	253.73	253.73	1.53	(B)
ETC	0	3000	1.65	0.0385	35.10	2.25	275.00	258.43	1.94	(B)
Reference TC	47	4000	1.64	0.0507	47.44	-	266.07	266.07	1.66	(B)
ETC	0	4000	1.65	0.0510	41.98	3.51	301.00	277.77	2.32	(B)

359

360

**Tab. 3: Comparison for engines operating at fixed point**

361

362

363

### **3.2 Multiple points simulation results**

364 ICE behaviour in standard vehicle application is simulated referring to a classical turbocharger  
 365 configuration with waste-gate valve and then compared to the same engine equipped with an ETC.

366 Baseline cases for 24 different operating conditions are considered and reported in Table 4.  
 367 Corresponding Brake Specific Fuel Consumption (BSFC) results are shown in map of Figure 5.

368 Simulations at the same operating conditions of the ICE fitted with ETC are reported in Table 5.  
 369 The simulated steady points are similar, with the exception of full load 800 and 1500 rpm: at low  
 370 speeds and full load ETC system is used to achieve higher power levels.

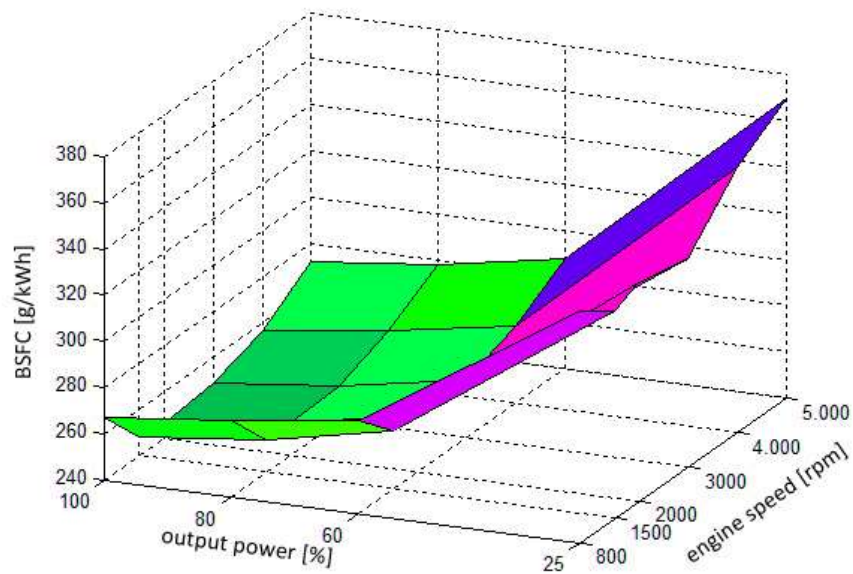
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load	ICE speed (rpm) @ intake manifold pressure (bar)						Turbine
	800 @ 1.31	1500 @ 1.53	2000 @ 1.65	2500 @ 1.65	3000 @ 1.64	4000 @ 1.63	
100%	800 @ 1.31	1500 @ 1.53	2000 @ 1.65	2500 @ 1.65	3000 @ 1.64	4000 @ 1.63	(A)
ca. 80%	800 @ 1.17	1500 @ 1.30	2000 @ 1.30	2500 @ 1.29	3000 @ 1.30	4000 @ 1.29	(A)
ca. 60%	800 @ 1.00	1500 @ 1.00	2000 @ 1.00	2500 @ 1.00	3000 @ 1.00	4000 @ 1.00	(A)
ca. 25% (throttled)	800 @ 0.61	1500 @ 0.60	2000 @ 0.60	2500 @ 0.61	3000 @ 0.60	4000 @ 0.59	(A)

373  
374  
375

Tab. 4: baseline simulated cases.



376

Fig. 5: Baseline BSFC Map

377  
378

379 The turbocharger turbine (C) is characterized by a larger size (+60% swallowing capacity scaling,  
380 in similitude) than in the baseline case in order to limit the backpressure at ICE high speeds and  
381 loads, despite the absence of waste-gate valve. Therefore, at low ICE rotational speed levels the  
382 fixed geometry turbine cannot give enough energy to drive the compressor, energy which is  
383 supplied by the electric device.

384

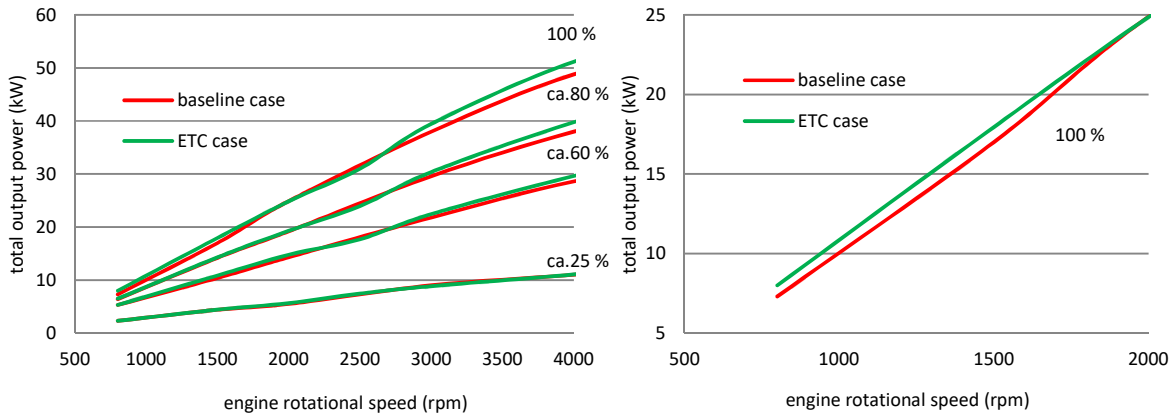
load	ICE speed (rpm) @ intake manifold pressure (bar)						Turbine
	800 @ 1.41	1500 @ 1.65	2000 @ 1.65	2500 @ 1.66	3000 @ 1.66	4000 @ 1.65	
100%	800 @ 1.41	1500 @ 1.65	2000 @ 1.65	2500 @ 1.66	3000 @ 1.66	4000 @ 1.65	(C)
ca. 80%	800 @ 1.19	1500 @ 1.30	2000 @ 1.30	2500 @ 1.30	3000 @ 1.31	4000 @ 1.30	(C)
ca. 60%	800 @ 1.00	1500 @ 1.00	2000 @ 1.00	2500 @ 1.00	3000 @ 1.00	4000 @ 1.00	(C)
ca. 25% (throttled)	800 @ 0.61	1500 @ 0.61	2000 @ 0.59	2500 @ 0.61	3000 @ 0.61	4000 @ 0.60	(C)

385  
386

Tab. 5: ETC-ICE simulated cases.

387  
388  
389  
390  
391  
392

To compare the baseline case with the ETC-fitted ICE, total power, evaluated as the sum of the engine power and the electrical power with 100% electric drive efficiency, is plotted in Figure 6 versus rotational speed. As it can be observed, a slight improvement of total power occurs at mid-low ICE rotational speed levels and increases with ICE speed, due to the larger exhaust gas mass-flow rate providing, on the TC group, an extra power recovered by the electrical machine.

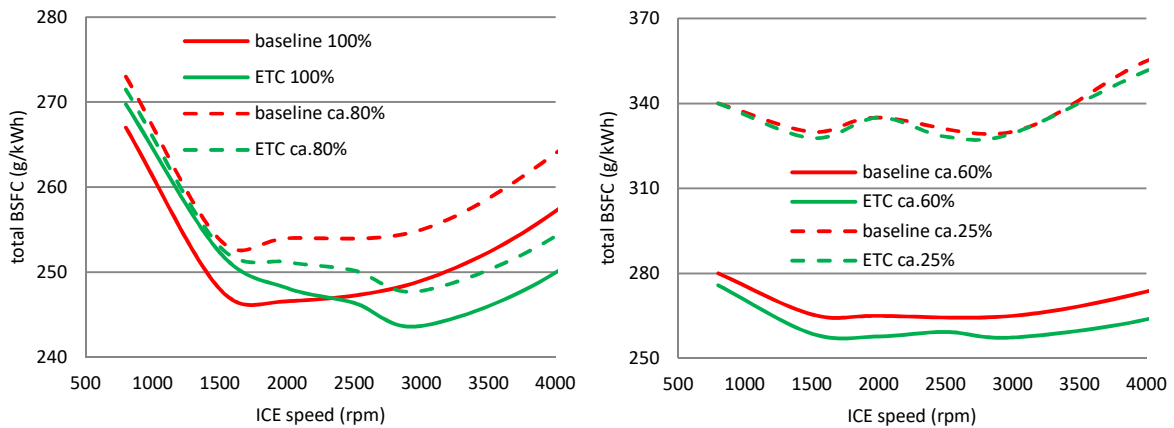


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394 **Fig. 6: Total output power - comparison between baseline and ETC-ICE, at full power, ca.80% ca.60%,**  
395 **ca.25%. Focus on full load, low rpm.**

396

397 At ICE full load condition (Figure 7), the electric drive acts as motor below 2500 rpm assisting the  
398 turbocharger to achieve the required boost pressure. Over 2500 rpm, the electric drive works as  
399 generator 'braking' the turbocharger and collecting the energy surplus provided by the turbine. In  
400 the cases of ca. 80% and ca. 60% of full load, the electric drive is always keeping energy from the  
401 turbocharger. Below ca.60% of full load (Figure 7) the ECU (Engine Control Unit) switches from  
402 boost pressure control to classical intake throttling. In these conditions the amount of exhaust gas  
403 energy rapidly falls down and the ETC recovers only small amounts of energy, with no influence on  
404 total specific fuel consumption (which takes total power into account).



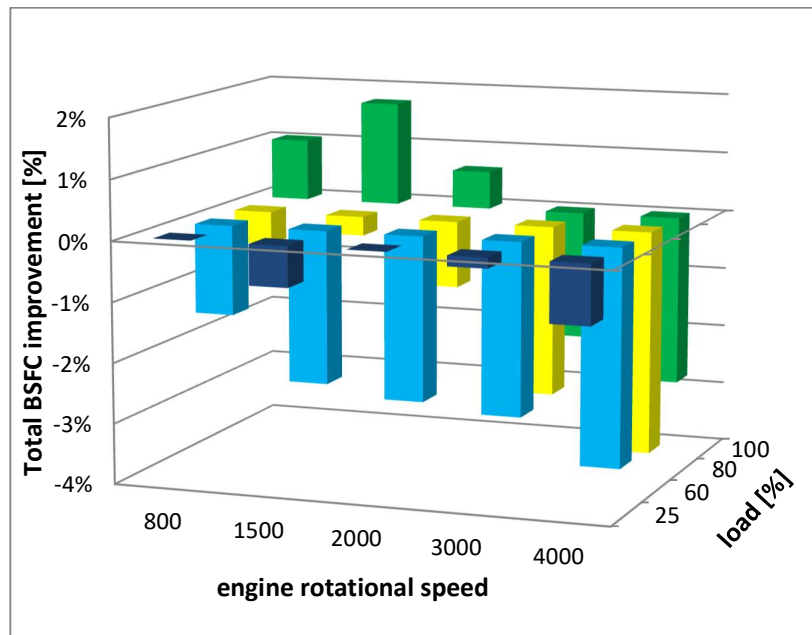
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**Fig. 7: Total Brake Specific Fuel Consumption - comparison between baseline and ETC-ICE at various loads.**

408 In Figure 8 the baseline BSFC is compared with the total BSFC of the ETC-fitted ICE at same  
 409 operating conditions. In forced induction conditions (above ca.60% of full load) moderate  
 410 reductions of fuel consumption occur at every ICE rotational speed level. Only at ICE rotational  
 411 speeds below 2000 rpm at full load, a slight increase arises due to electric power spent to achieve  
 412 the boost pressure target. On the other hand the boost pressure reached is higher than in the  
 413 baseline case, with obvious advantage in terms of power output. In naturally aspirated conditions  
 414 (below ca.60% of full load), only negligible BSFC improvements occur.

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417 **Fig. 8: Percentage variation of total Brake Specific Fuel Consumption - comparison between baseline**  
 418 **and ETC-ICE at various loads.**

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## 420 5. Powertrain architecture

421 The activity, initially focused on the ICE and the TC group, moves to the whole powertrain  
 422 modelling, to verify the effectiveness of the proposed solution.

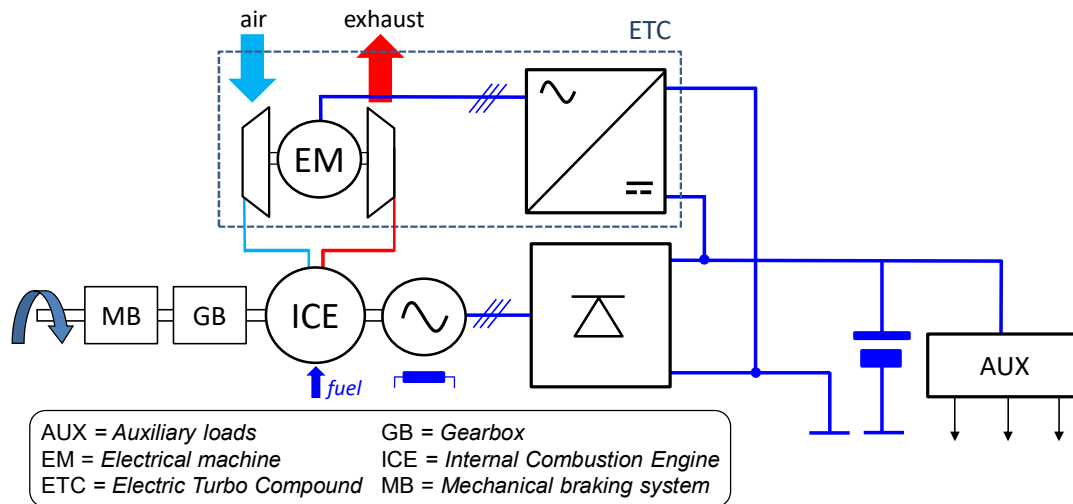
423 As in the solution currently in use in the F1 Championship, the ETC system can be used in an  
 424 hybrid powertrain [36,37]. However, as first approach, the powertrain architecture has been  
 425 maintained, as far as possible, the same of a conventional vehicle equipped with a standard TC  
 426 group. The conventional electrical system is kept unchanged, apart from the introduction of the  
 427 ETC system. A sketch is shown in Figure 9.

428 The electrical machine of the ETC system to convert the excess of exhaust energy into electricity is  
 429 directly coupled to the shaft of the standard TC group. On the other hand, The alternator is coupled  
 430 to the ICE and interfaced with the DC network through a rectifier bridge, to feed the auxiliary loads.  
 431 The conventional alternator, the rectifier bridge, the SLI battery and the electrical auxiliary loads  
 432 are kept unchanged.

433 It must however be mentioned that the rectifier bridge could be replaced with a bidirectional  
 434 converter, to allow the electricity produced by the ETC to be converted back into mechanical

435 energy at the alternator pulley. This option could be considered when the electrical energy  
 436 generated by the ETC is so large that, in the case of low energy consumption by the auxiliary  
 437 loads, the standard SLI battery is inadequate to store it all. On the contrary, for small amounts of  
 438 generated energy, the cheaper diode rectifier bridge is sufficient, and the SLI energy is totally  
 439 stored inside the battery. We adopt the diode bridge solution, because simulations show that in our  
 440 case the available ETC electrical energy can always be effectively stored in the battery.

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**Fig. 9: Powertrain Architecture**

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## 445 **6. Powertrain modelling and simulation**

446 The modelling of the selected architecture is performed by means of a suitable powertrain  
 447 simulation model, built for carrying out fuel consumption analysis. The model is of the forward type,  
 448 since the vehicle trip is determined as a consequence of driver commands.

449 The model is conceived using 3DS's Dymola [38], based on Modelica language [39]. In addition to  
 450 the conventional powertrain, it includes the electric turbo compound (ETC). The results obtained  
 451 with the model for the vehicle equipped with the ETC system are compared with those relative to  
 452 the conventional version, mainly to evaluate energy flows and fuel consumption.

453

### 454 **6.1 The simulation model**

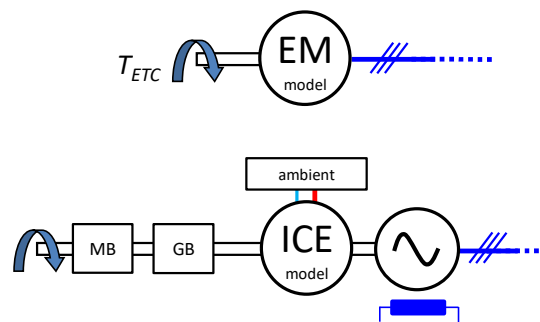
455 The different subsystems are modelled weighting accuracy and complexity for the considered  
 456 purpose. In particular, since the fastest transients in the powertrain model show time constants in  
 457 the order of 100 ms, much faster phenomena such as combustion dynamics or valve switching  
 458 inside electronic converters are considered to be algebraic.

459 Hereinafter, a brief description of the main model subsystems is presented.



460 The internal combustion engine (ICE) model evaluates the fuel consumption and torque as an  
 461 algebraic function of engine speed and throttle position; the generated torque is applied to a  
 462 submodel simulating the mechanical inertia. The model fuel flow control includes over-run cut off  
 463 and idle speed control. The fuel consumption and torque values are obtained from the ICE  
 464 modelling activity previously described, both in the conventional configuration equipped with the  
 465 standard TC group, and in the other one, equipped with the ETC system. The values differ mainly  
 466 due to the different ambient conditions at the inlet and exhaust engine ports. In fact, as detailed in  
 467 the previous paragraph, the waste gate valve is considered only in the standard TC group, while it  
 468 is removed in the ETC system. The effects, being the fluidic ports not included in the considered  
 469 model, are indeed directly considered in fuel consumption and torque functions.

470 The ETC model contains a permanent-magnet synchronous machine (EM) and an electronic  
 471 converter. Still because the fluidic model of the TC group is not represented in the model, the  
 472 values of torque and rotary speed given by the ICE modelling activity, which depend on throttle and  
 473 ICE rotational speed, have been directly imposed to the pulley of the electrical machine. A general  
 474 representation of the two models is shown in Figure 10. The main electrical machine parameters  
 475 are shown in Table 6. Some analysis on design and manufacturing aspects is presented in [40].



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**Fig. 10: ETC electrical machine and ICE models**

Nominal power (kW)	2
Nominal torque (Nm)	0.5
Nominal speed (rpm)	40 000
Maximum speed (rpm)	160 000
Nominal RMS line voltage (V)	7.8
Nominal current (A)	148
Efficiency @ 80000 rpm (%)	75

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**Tab. 6: Model parameters for the ETC electrical machine**

483 As regards the three-phase alternator, the model of a conventional machine is used. Maximum DC  
 484 current is 140 A, maximum speed is 15000 rpm. Alternator and diode bridge losses are taken into  
 485 account using interpolation formulas; the resulting efficiency is 75% at 7500 rpm.

486 The vehicle is modelled through a mechanical equation describing its longitudinal behaviour.  
487 Resistance force is modelled as a second-order polynomial, to take into account rolling resistance  
488 and aerodynamic drag. Furthermore, the transmission model is composed by an automatic gearbox  
489 including a torque converter with a lock-up clutch. The gearbox is modelled as a series of fixed  
490 gear ratios, following the approach shown in [41], that are shifted according to a specific control  
491 logic. In particular, the control logic determines the shift point based on throttle position and vehicle  
492 speed, according to a predefined shift map. Typical available values for the vehicle under study are  
493 employed [42]. To ease the comparison between the standard and the new powertrain  
494 configuration, standard gear ratios and control shift strategy are kept unchanged.

495

## 496 **6.2 Energy management strategy**

497 The main purpose of the energy management strategy is, besides interpreting the driver requests  
498 and feeding the electrical auxiliary loads, to manage the electrical energy, in order to allow the  
499 battery to receive the excess power generated by the ETC with respect to the amount needed by  
500 the auxiliary loads. Therefore, the power management module (PMM) has the fundamental roles  
501 to:

- 502 • interpret the driver brake/accelerator commands and convert them in terms of torque request;
- 503 • keep the battery voltage at a level close to that of conventional cars, since the standard  
504 electrical system is unchanged;
- 505 • guarantee a battery SOC that makes the battery capable to accept all the available  
506 regenerative braking energy. In fact, nevertheless the problem mainly involves hybrid and  
507 electric vehicles, some modest energy recovery can be ensured also in conventional ones. A  
508 comprehensive description of the problem is shown also in [43,44].

509 A schematic implementation of the described roles is shown in Figure 11. The driver model is a  
510 Proportional-Integral controller with some additional elements to better model the actual driver  
511 behaviour. In fact, the PI determines the torque request based on the error between actual and  
512 wished speed, and torque request is split into two signals corresponding to the accelerator and  
513 brake pedal positions. Therefore, the driver only controls one pedal at a time and does not jump  
514 rapidly from the throttle to the brake pedal. The driver model parameters, that already represent an  
515 average driver behaviour, are maintained at their defaults.

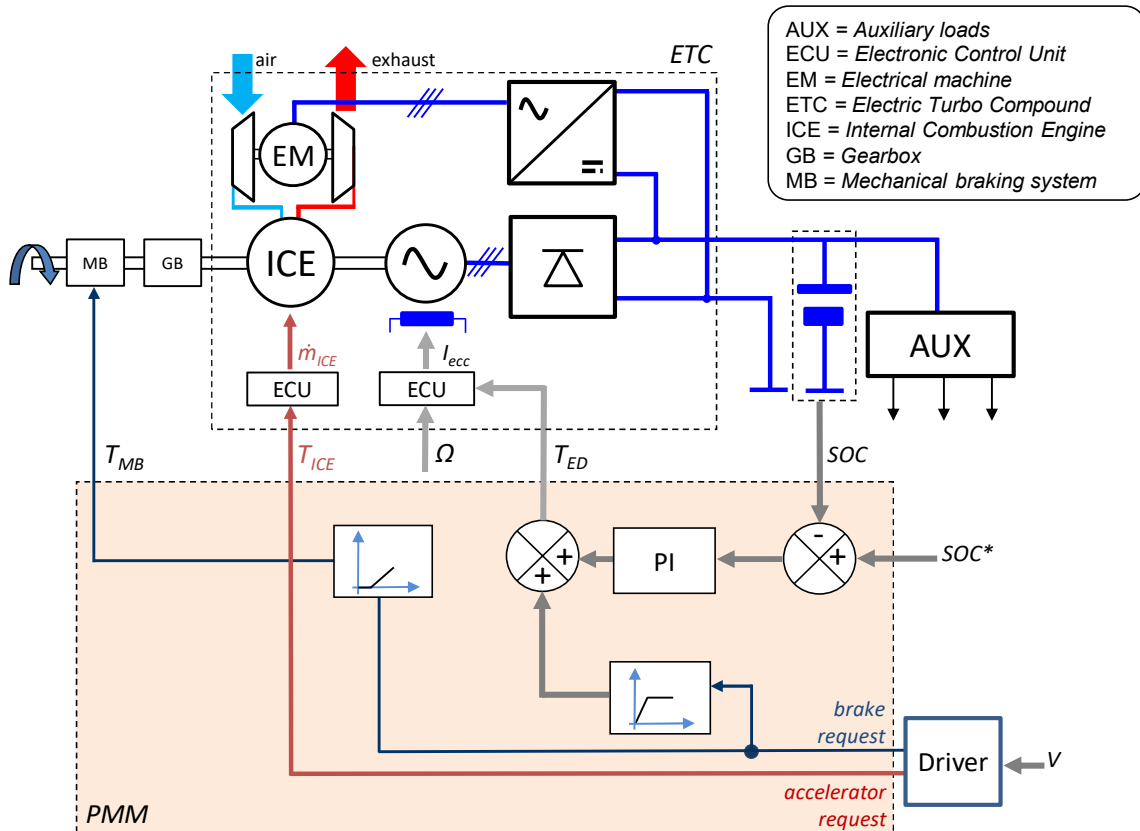
516 The accelerator request goes directly to an electronic control unit (ECU), which regulates the fuel  
517 and the air flows. On the other hand, the brake request signal needs to be further split into  
518 regenerative and dissipative braking components. When the torque request is slightly negative, i.e.  
519 the brake pedal is slightly pushed, this torque is only obtained by means of an increase of the  
520 alternator load that produces electrical braking and the mechanical brakes are not activated: this is  
521 obtained by means of the dead-band non-linear block in the signal path that generates  $T_{MB}$ .

522 Sole electrical braking is kept as far as the maximum torque of the alternator is achieved (see the  
523 saturation block shown in Figure 11), or the battery is fully charged. When stronger braking is  
524 needed, mechanical braking must be added. This occurs when the brake request signal is higher  
525 than the dead-band zone of the block feeding  $T_{MB}$ .

526 To accomplish the other PMM role, i.e. to keep the battery voltage close to the usual level of  
527 conventional cars, and to ensure a SOC that allows the battery to accept the braking energy, the

528 DC voltage reference is maintained slightly below 14 V. This is implemented through a PI controller  
 529 (the PI block shown in Figure 11), having the battery SOC reference ( $SOC^*$ ) around 0.9.

530 The torque reference  $T_{ED}$ , composed by the output of the PI controller and the additional term due  
 531 the regenerative braking, acts directly on the electronic control unit (ECU) of the electrical machine.  
 532 The ECU, taking into account the alternator rotary speed ( $\Omega$ ), determines the requested excitation  
 533 current  $I_{ecc}$ .



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Fig. 11: Energy management strategy

### 536 6.3 Powertrain simulation results

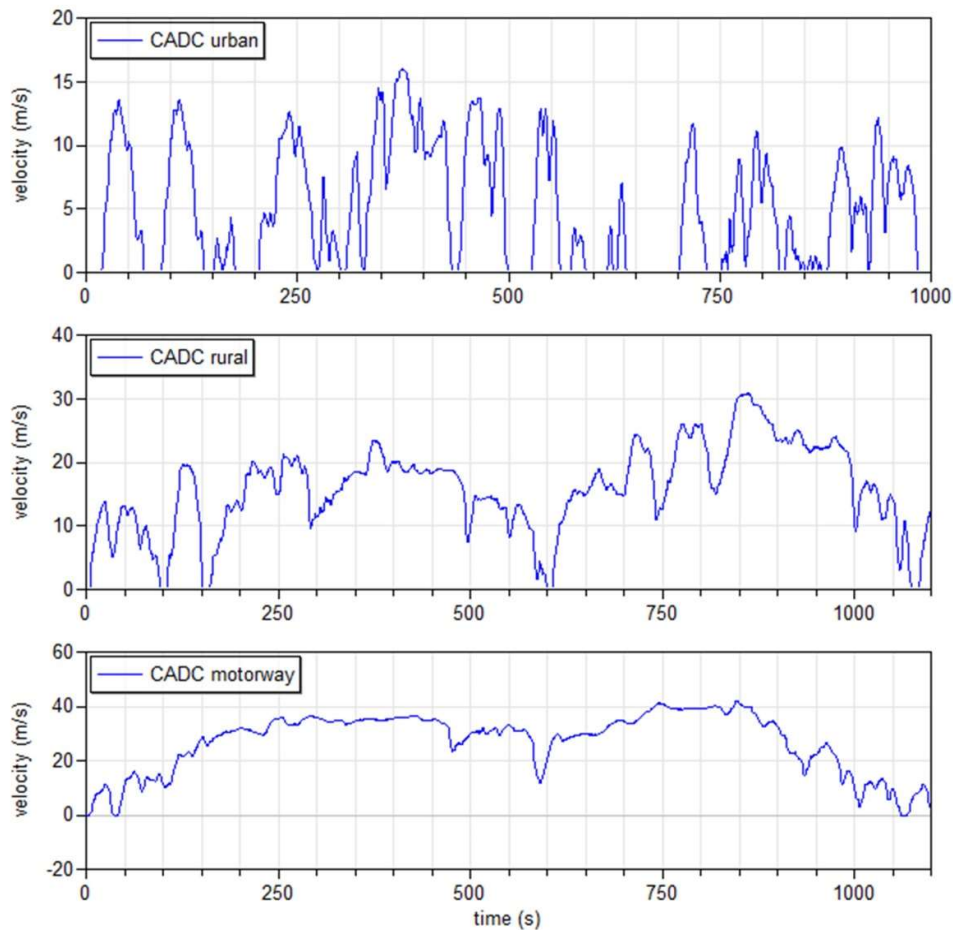
537 The simulations are performed considering three driving cycles and two different vehicle  
 538 configurations, to properly analyse the ETC system under different working conditions. The three  
 539 driving cycles, all from CADC (Common Artemis Driving Cycle), are described in Table 7 and  
 540 shown in Figure 12. These cycles, differently from other standard cycles as NEDC (New European  
 541 Driving Cycle) considered in [40], are able to represent the vehicle usage in urban, extra-urban  
 542 roads and motorways in a realistic way. Further details about CADC are shown in [45].

	Urban	Rural Road	Motorway
Duration (s)	920	1081	1067
Distance (km)	4.5	17.3	29.6
Average speed (km/h)	17.5	57.5	99.7
Maximum speed (km/h)	58	112	150
Speed distribution (%)			
Idle	29	3	2
Low speed ( $0 < v < 50$ )	69	31	15
Medium speed ( $50 < v < 90$ )	2	59	13
High speed ( $v > 90$ )	0	7	70

**Tab. 7: Characteristics of CADCs (Common Artemis Driving Cycles)**

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**Fig. 12: CADC (Common Artemis Driving Cycle) urban, rural, motorway**

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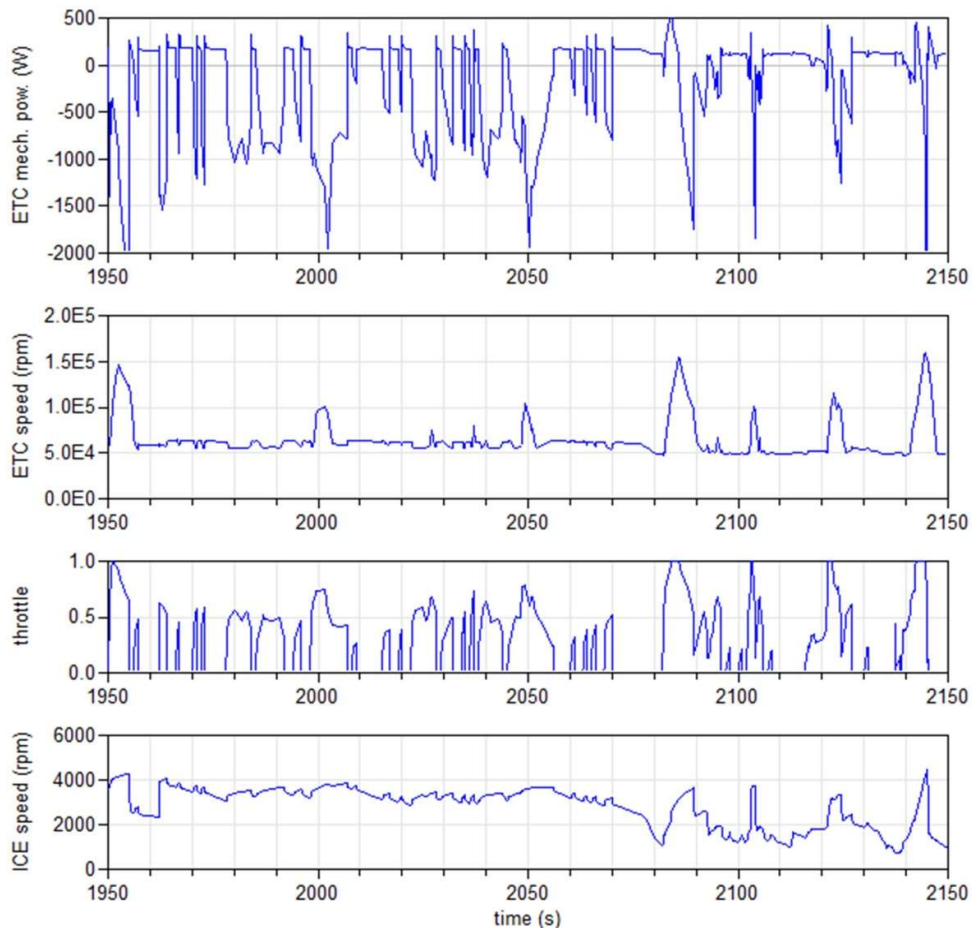
548 Two different vehicle configurations are considered: the first one, representative of a small medium  
549 car, the second one typical of a top medium car. The details are shown in Table 8. Regarding the  
550 electrical auxiliary loads, from analysis of literature [46] an average value of 350 W is considered.

Small medium	Mass (kg)	1200
	Frontal area (m <sup>2</sup> )	1.8
	Cx	0.31
Top medium	Mass (kg)	1700
	Frontal area (m <sup>2</sup> )	2.0
	Cx	0.28

**Tab 8: Vehicle model parameters**

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Figure 13 shows mechanical power and rotary speed of the ETC electrical machine, during a portion of the CADC rural. It shows that during the ICE acceleration transients almost at full load, the ETC works as generator producing electrical energy (i.e. the mechanical power assumes negative values), while during braking or at idle speed it works as motor (i.e. the mechanical power is positive), keeping the turbocharger unit at the desired speed by compensating the energy losses.

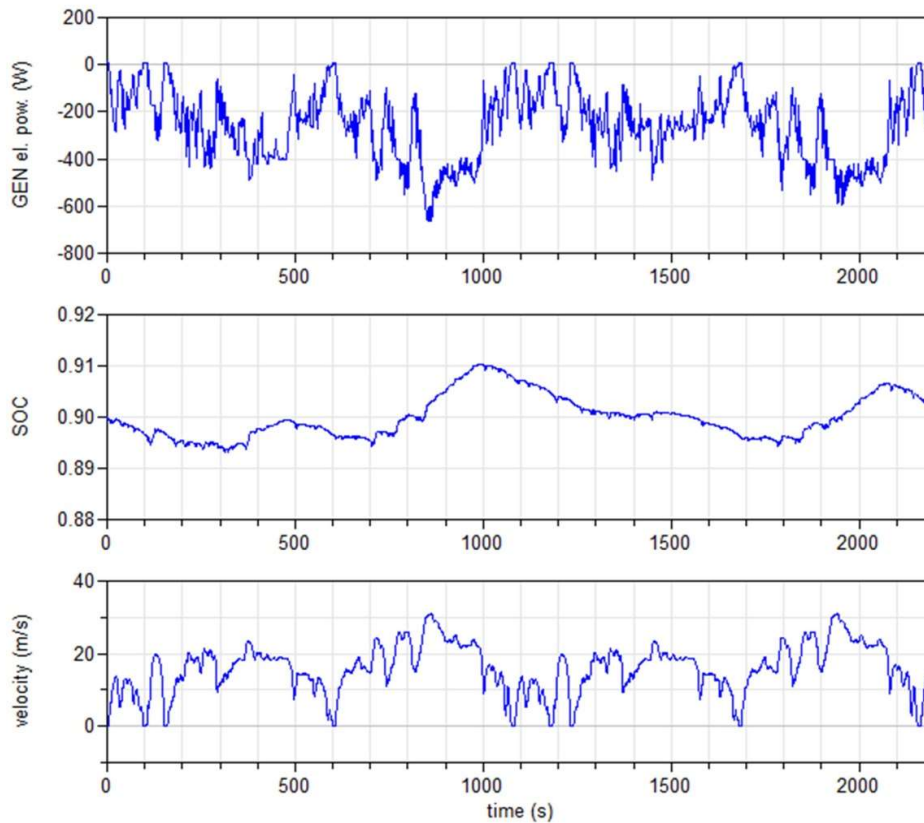


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**Fig. 13: ETC mechanical power and rotary speed, ICE throttle, ICE rotary speed, top medium car, portion of CADC rural**

563 Figure 14 shows the generated DC electrical power. During acceleration and drive at constant  
564 speed, the generator works to fulfil the auxiliary load requests. During braking, because of the

565 additional term in the control logic previously described, the generated electric power rises up.  
 566 However, according to the control logic adopted, the battery SOC (state of charge) remains under  
 567 control.



568

569 **Fig. 14: Generator DC electrical power, battery SOC, top medium car, CADC rural (two cycles**  
 570 **represented)**

571 Finally, the fuel consumption results are related to the examined two vehicle configurations,  
 572 performing the three considered CADC cycles (i.e. urban, rural and motorway). Simulation have  
 573 been firstly performed for the standard TC group configuration, then considering the ETC system.  
 574 Results are shown in Table 9. Variation refers to the percentage difference, in terms of coverage  
 575 distance per litre, of the vehicle equipped with the ETC system in comparison with the baseline TC  
 576 configuration.

Vehicle	Cycle	Baseline TC (km/L)	ETC system (km/L)	Variation (%)
Small medium	CADC urban	7.7	7.5	-1.9
	CADC rural	14.6	14.5	-0.5
	CADC motorway	18.1	18.4	+1.7
Top medium	CADC urban	6.9	6.8	-0.9
	CADC rural	13.4	13.6	+1.5
	CADC motorway	17.0	17.5	+2.7

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**Tab. 9: Fuel consumption results**

580 The table shows that, the ETC does not improve fuel economy of the smaller vehicle, especially  
581 when employed in urban cycles. As a matter of fact, even though the ETC sometimes delivers  
582 small amounts of electrical energy, most of the time it requires electrical power to maintain the TC  
583 group at the desired boost pressure. In this case, fuel consumptions may even rise up, in  
584 comparison with the baseline TC solution.

585 The ETC is much more advantageous in the case of the larger vehicle, particularly when extra-  
586 urban roads or motorways are considered. In fact, under these conditions, engine works mainly in  
587 the high loads and rpm region and consequently the ETC delivers significantly larger electrical  
588 power that causes an improvement of fuel consumption of around 2.7%.

589 It must however be noticed that the fuel savings shown in Table 9 are significantly smaller than the  
590 value of 4% obtained in the steady state analysis. This is a consequence not only of the fact that  
591 during road cycle simulations the operating points of ICE, turbine and compressor vary  
592 continuously (and often they are low-efficiency points), but also of the fact that in the steady state  
593 analysis the electrical components (alternator, converter, battery) are considered lossless, while in  
594 the driving-cycle simulations their losses are taken into account.

595

## 596 **7. Summary/Conclusions**

597 The paper relates to a numerical research carried out in cooperation between the Universities of  
598 Pisa and Genoa and focused on the evaluation of the benefits resulting from the application of an  
599 Electric Turbo Compound (ETC) to a small twin-cylinder SI engine intended for cars with  
600 conventional architecture (not hybrid).

601 Starting from the experimental maps of two turbines and one compressor, the complete model of a  
602 turbocharged engine is created using the AVL BOOST one-dimension code. Simulations are  
603 performed referring to ICE fixed point and multiple points strategies taking into account different  
604 turbocharger configurations. The numerical activity then moves to the whole vehicle/powertrain  
605 modelling, considering three driving cycles and two different vehicle configurations, adopting the  
606 3DS's Dymola code based on Modelica language.

607 When the engine is run at a fixed point (characteristic of a range extender), an electric drive  
608 applied to a conventionally optimized TC group leads to unsubstantial reduction of total specific  
609 fuel consumption, since ICE efficiency is affected by increased exhaust backpressure.

610 As regards the engine operating at various speeds and loads (i.e. in standard vehicle application)  
611 and adopting proper turbine sizing, application of ETC is able to produce a moderate efficiency  
612 improvements, up to 4% of total brake specific fuel consumption, depending on engine speed and  
613 load. However, ETC leads to benefits as regards boost region extension to the lowest ICE  
614 rotational speeds and possible turbo lag reduction.

615 Concerning the whole vehicle/powertrain simulation, first results confirm that ETC leads to small  
616 changes in fuel saving (up to 2.7%) in the case of top medium-size cars. Moreover, results show  
617 that the ETC does not improve fuel economy of the smaller vehicle, especially when employed in  
618 urban cycles when the engine is run in the medium-low load and speed conditions. The ETC is  
619 much more advantageous in the case of the larger vehicle, particularly when motorway cycles are  
620 considered, since the engine works in the region of high loads and speeds

621 Future study will be conducted on other solutions involving ETC, such as its application to  
622 compression-ignited ICEs, two-stage turbocharging, turbine and compressor without mechanical  
623 link, both with individual electric drive.

624

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626

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