

# Design of an On Board Hydraulic Servo-Actuation fed by a Regenerative Braking System

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Servo-System fed by a KERS

**Abstract**—Many conventional truck and working machines are equipped with additional hydraulic tooling or manipulation systems which are usually fed through a mechanical connection with the internal combustion engine, involving a poor efficiency. In particular, this is a common situation for industrial vehicles whose mission profiles involves a relevant consumption of energy by the on board hydraulic systems, respect to the one really needed for only traction purpose. In this work it is proposed an innovative solution based on the adoption of a system aimed to recover braking energy in order to feed an efficient on board hydraulic actuation system. The proposed system is then adopted to a real application, an Isuzu truck equipped with a hydraulic tooling for garbage collection. A prototype of the system has been designed, assembled and tested showing a relevant improvement of system efficiency and the feasibility of the proposed approach. In the paper the proposed solution is presented, showing the simulation models and preliminary validation results including experimental devices assembled to perform the tests.

**Keywords**—KERS, efficient pump control, hydraulic systems, mechatronics

## 1. INTRODUCTION:

### A. Topic of the Research

Many kinds of -industrial vehicles are usually designed and assembled as customized versions of commercial trucks equipped with electro-hydraulic tooling or manipulation systems devoted to perform specific operations required by the application. Typical applications are related to vehicles used for maintenance and services for urban centres such as garbage collection and other maintenance services as visible in [Figure 1](#).

Currently, most of these vehicles are conventional trucks with an internal combustion engine that is also used to provide the mechanical power needed to feed the board electro-hydraulic tooling and actuation systems.

Especially for vehicle devoted to perform urban maintenance services, the total amount of energy needed by on board hydraulic system is often relevant respect to the one needed for traction purposes mainly for two reasons:

- Overall travelled distances and mean speed of vehicle are quite low.
- Power required by the electro-hydraulic plant is relevant, and the way in which this power is generated and transferred by the internal combustion engine involve considerable amount of losses.

The aim of the work was the investigations of solutions able to substantially improve efficiency and performance of the vehicle including the on board electro-hydraulic servo-system proposing solutions that can be easily adopted not only for new vehicles, but also for the revamping of large fleet of conventional ones currently hold by public administrations.

For these reasons the installation of the proposed systems has to be, as much as possible, simple and also adaptable to different models of trucks.



Figure 1: example of vehicle modified isuzu P75 3.0, modified by Preto SRL

Typical mission profiles, visible in [Figure 3](#), are associated to urban circuits in which the mean distance between two consecutive stops, where dumpsters have to be collected is few hundreds of meters and the maximum speed is usually not over 50 km/h in order to respect urban speed limits. At every stop, the typical time needed to perform the required operations is usually comprised between 40 seconds to few minutes.

In conventional vehicles electro-hydraulic plant is fed by the internal combustion engine of the truck so it cannot be switched off during a stop involving an increment of fuel consumption and pollution. In particular, in a mission of about 10 hours about 100 stops with a mean duration of around 80 seconds are performed. So the introduction of this system should assure that the motor can be switched off for at least 2 hours, and twenty minutes which represent at least the 20-22% of the duration of the entire mission. Also it should be considered that garbage collection in urban centres is often performed during the night so a significant reduction of the acoustic emission due to the switching off of the internal combustion engine is highly desirable. These data have been obtained by monitoring with an on board GPS localization system the typical behaviour of a truck performing garbage collection in the town of Livorno, Italy.

Considering the over cited mission scenario, authors proposed to feed the electro-hydraulic plant of the vehicle through the electrical energy stored in the battery, which is continuously recharged exploiting the energy recovered during the braking manoeuvre according the scheme of [Figure 2](#).

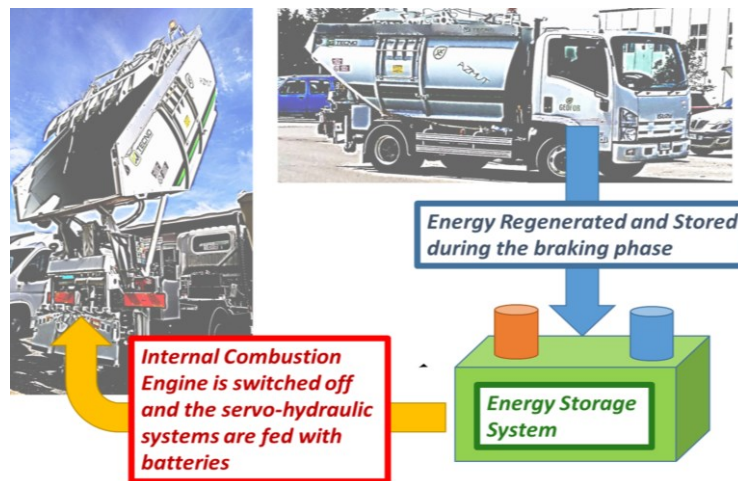


Figure 2: principle of operation of the proposed solution

Due to the encumbrance and cost limitation, it is fundamental to minimize cost and sizing of the energy storage system and of the adopted electrical machines and converters.

As a consequence, a non-secondary task of the activity was also a critical redesign and simulation of the hydraulic plant in order to maximize its efficiency respect to the current solution with affordable costs and intervention respect to the design of conventional plants that have to be modified and “revamped”.

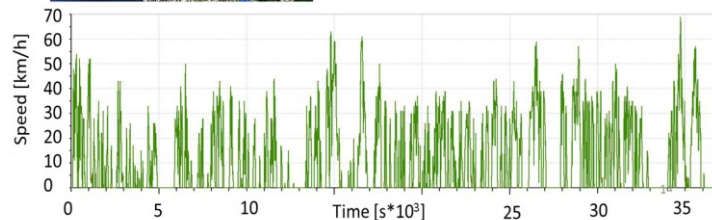
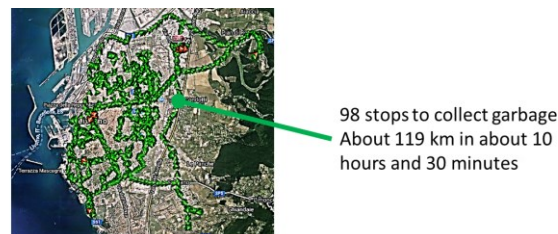


Figure 3: typical speed mission profile of a vehicle performing garbage collection in the Italian city of Livorno Italy

## B. State of the Art

For which concern the state of the art there is a wide literature concerning the adoption of electric or hybrid systems able to recovery

62 the kinetic energy during the braking phase. Particularly for the design of hybrid and ground vehicles, this matter is so widely  
63 discussed that authors should cite only some review papers as the one of Hannan [1] or Mierlo [2], able to roughly summarize  
64 possible solutions.

65 Also Authors have some previous experience in the development of a full electric vehicle with four in-wheel drive motor [3] and  
66 more generally on energy recovery during the braking applied to railway systems [4][5]

67 Looking at works concerning the life prediction of batteries like the one of Onori [6], it should be noticed that in order to increase  
68 life and reliability of accumulators, it is very important to reduce the amplitude of charge and discharge cycles in terms of currents,  
69 thermal loads and depths of discharge. In particular authors have focused their attention on works concerning life estimation of  
70 Lithium-Ion and Lithium Polymer batteries which are currently the most commonly used for these kind of vehicle applications.  
71 For this reason also authors have found interesting contributions and references in many works concerning the estimation of the  
72 state of health of batteries using different techniques ranging from impedance measurement [7] to smart filtering of current/power  
73 measurements [8][9].

74 As a consequence, authors have focused their attention to the optimization of the power consumption of the on board servo-  
75 hydraulic systems which is the object of many recent publications. In particular looking at review paper concerning this topic  
76 authors have found that general reviews on improved efficiency of hydraulic servo-system focused their attention on various way  
77 to perform the so called "Pump Control"[10]: speed of actuators is controlled by generating only the oil flow exactly needed for  
78 the motion to be performed, minimizing as much as possible laminated and dispersed flows.

79 This kind of regulation is often called pump control, since the value of generated oil flow is regulated acting on the rotation speed  
80 of the pump (fixed displacement pump) or modifying pump displacement (variable displacement pump). In particular a good and  
81 very recent review on this matter is represented by the works of Zhongyi Quan [11] and Aly [12].

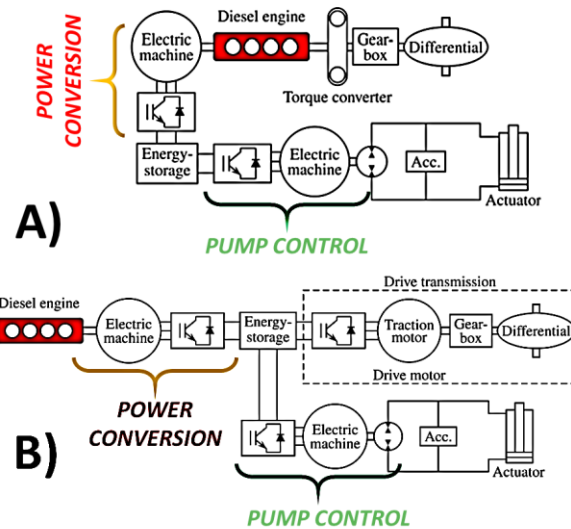
82 In particular, the conversion of a wide variety of existing servo-hydraulic machines to pump controlled system is still matter of  
83 recent research. One of the most investigated case of application of hydraulic pump is represented by the study of hybrid electro-  
84 hydraulic excavators [13],[14],[15].

85 A more extended approach to the general problem of converting an heavy duty vehicle to an hybrid "series" or "parallel" solutions  
86 with pump controlled actuators is studied in the work of Ponomarev [16].

87 However, as visible in the scheme of [Figure 4](#), the general solutions proposed in the work of Ponomarev, involve the usage  
88 of at least  $n+1$  electric drives (including machines and converters) where  $n$  is the number of degree of freedom controlled by  
89 electro-mechanical actuators: in particular a dedicated machine is used to produce electrical energy from the mechanical power  
90 produced by the internal combustion engine and each degree of freedom (power conversion) is independently controlled by a  
91 dedicated electric actuator (performing pump control). **More generally despite to the continuous improvement of electric systems,  
92 there is still a wide attention to the optimization of vehicle-fluid servo-system even for traction purposes as in the recent work of  
93 Shi[17] which is still focused on the development of hydro-pneumatic systems.**

94 **Another general trend in literature to which this work should be reconnected is represented by the investigation of hybrid system  
95 in which the energy consumed by an hydraulic plant is provided by a source which is renewable: as example in the work of  
96 Campana [18] where is considered the application of renewable sources to a pumping station for agriculture.**

97 Respect to the current state of the art, authors were able to optimize costs by minimizing not only the size of the storage system  
98 but also in terms of size of employed electrical machines and drives whose number was reduced to one as better described in the  
99 next session of this work.



101  
102 Figure 4: commonly adopted scheme for parallel (A) and series (B) hybrid vehicles with hydraulic actuators controlled by electric  
103 pump.

## 104 2. PROPOSED SOLUTION AND TEST CASE

### 105 A. Proposed Solution

106 On industrial trucks adopted for garbage collection servo-hydraulic system to lift and manipulate dumpsters are used only when  
107 the vehicle is stopped.

108 As consequence the same electrical machine can be used both to regenerate energy during the braking phase and to control rotation  
109 of a fixed displacement pump during the working phase of the plant, the resulting scheme of the proposed solution is visible in  
110 Figure 5Figure-5 and in Figure 6Figure-6: a PM machine controlled by a four quadrant operation drive is connected through a belt  
111 transmission system to the shaft of the vehicle. Connection between the transmission pulley and the PM machine is performed  
112 using a clutch that is engaged when the vehicle is moving in order to perform regenerative braking. Also the pulley should be  
113 disengaged for vehicle speed exceeding known limits of the electrical machine and increase its reliability and operational life.

114 The PM motor is also connected to a pump feeding the hydraulic plant, through a flywheel hub. In this way the PM machine is  
115 able to transmit torque to the pump only for a known direction sense when the hydraulic plant is activated. A scheme of the  
116 mechanical connection between PM machines and loads is also visible in Figure 6Figure-6. When the hydraulic plant is activated  
117 the PM motor is speed controlled. Parameters of the fixed displacement pump are known so the oil flow delivered to the plant  
118 should be easily controlled by regulating the speed of the motor and calculated according (1)(+):

$$119 \quad Q = cc \eta_v \omega_{pump} \quad (1)$$

120 In particular in (1)(+) the following symbols have been adopted:

- 121 • Q is the delivered flow;
- 122 • cc is the known displacement of the pump
- 123 •  $\eta_v$  is the volumetric efficiency of the pump

124  
125 Proposed solution should be considered as an optimal retrofit kit that should be applied to a wide population of existing trucks with  
126 conventional propulsion system, since as visible in Figure 6Figure-6, motion is transmitted to the PM machine using a transmission  
127 belt that should be easily adapted to different vehicle configurations allowing rough installation tolerances.

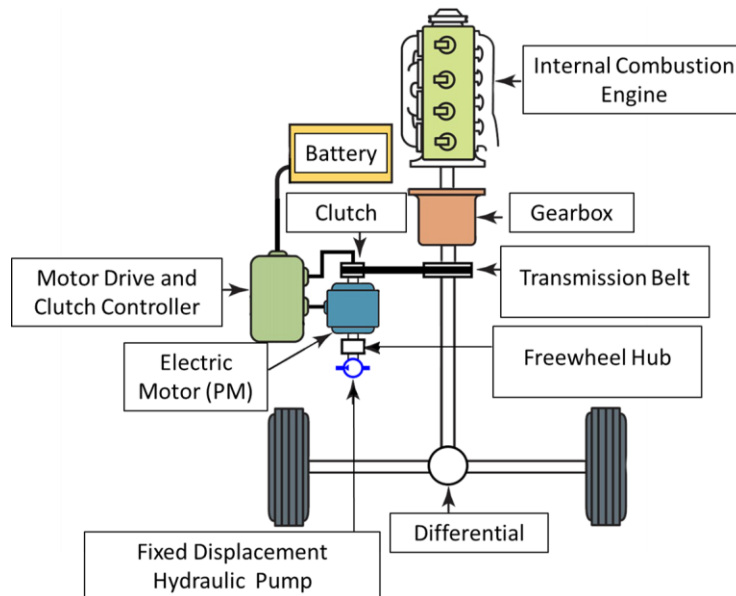
128 Since the PM electrical machine is used both for regenerative braking and to feed the hydraulic plant, the sizing of this component  
129 is mutually affected by the design of both the systems (kinetic energy recovery system and hydraulic plant) involved in two  
130 different functionalities. As a consequence, design of KERS (Kinetic Energy Recovery System) and hydraulic plant should be  
131 advantaged by the adoption of models able to reproduce this apparently weak coupling between the two systems.

132 As visible in Figure 7Figure-7, the same system should be easily installed with few modifications also on hybrid or pure electric  
133 vehicles removing the mechanical connection of the motor (belt and pulley transmission) and the freewheel hub which is not more  
134 needed since the rotation sense of the PM motor is always the same. Motor Drive is directly fed by vehicle accumulators or  
135 indirectly, using an additional DC-DC converter and a dedicated accumulator.

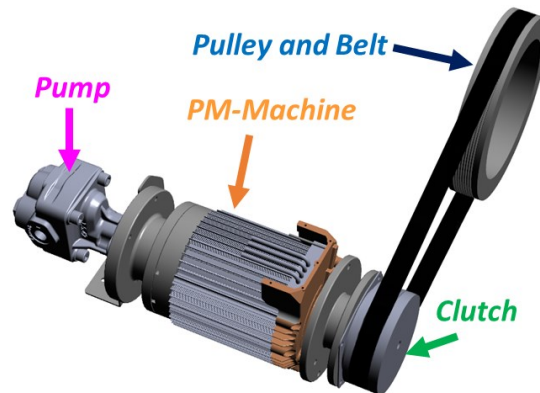
136 In this case the proposed scheme should be quite similar to one of the two solutions of Figure 4Figure-4 depending on the vehicle  
137 plant to which the system is interfaced. In particular, there is no need of implementing a customized KERS (Kinetic Energy  
138 Recovery System) to feed the system since pre-existing features of the hybrid/electric vehicle plant are exploited.

139 As a consequence, the novelty of the proposed solution should be summarized in the following three points:

- 140 • An innovative electromechanical layout, that, using only one electrical machine is able to perform two different tasks:
- 141 ○ Operating as a generator. In this way, the electrical machine is used to recover during the braking phase and store in an
- 142 electrochemical storage system part of the kinetic vehicle energy, thus implementing one kinetic energy recovery system
- 143 (KERS).
- 144 ○ Operating as a speed controlled motor. . The same electrical machine can be used to feed a pump able to control an
- 145 hydraulic plant
- 146 • The main advantage of the proposed solution is represented by simplicity since size, cost and number of involved components
- 147 is minimized respect to more general solutions proposed in literature (one motor, only one actuated clutch). In this way, it was
- 148 possible to drastically simplify a system by decomposing the mission profile in different phases in which the power flows
- 149 between components and subsystems is known.
- 150 • Innovation respect to application field and system design: elementary components and adopted solutions are aligned with the
- 151 current state of the art. What makes different the proposed study respect to the state of the art is the application, and the cost
- 152 effective way that has been adopted. Simple solutions, applied to conventional industrial trucks equipped with hydraulic servo-
- 153 systems, should be adopted to obtain a cost effective improvement in terms of efficiency, acoustic emissions, overall usability
- 154 and maintenance.



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156 *Figure 5: simplified scheme of the proposed solution*



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158 *Figure 6: further detail of the mechanical connections between PM machine and loads*

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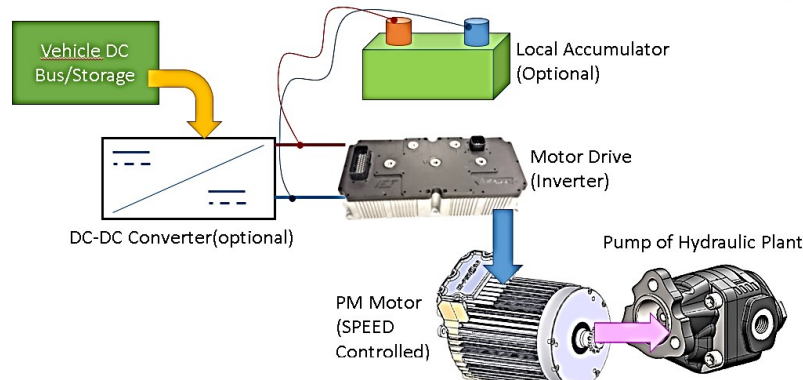


Figure 7: direct connection of the hydraulic plant to the electric plant of an hybrid or electric vehicle

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### 164 B. Proposed Test Case

165 The proposed system is installed on the chassis of an ISUZU P3 75 3.0 truck, whose main features are described in [Table I](#)  
166 [Table I](#).

167 As visible in [Figure 8](#)[Figure-8](#), the chassis of the proposed test case has a quite common layout that is often adopted on many  
168 commercial vehicles, for this layout encumbrance and more generally positioning of the proposed solution make quite easy the  
169 implementation of the proposed solution on a wide range of different trucks.

170 As visible in [Figure 9](#)[Figure-9](#) and in [Figure 10](#)[Figure-10](#), in order to perform lift and manipulation of dumpsters, the truck is  
171 equipped with different articulated systems able to perform six different motions through the control of 10 hydraulic actuators:

- 172 • Motion 1: cylinder clamp the dumpster until end stop;
- 173 • Motion 2: preliminary lifting;
- 174 • Motion 3: dumpster lifting;
- 175 • Motion 4: dumpster tipping;
- 176 • Motion 5: repeat steps (1,2,3,4) backward;
- 177 • Motion 6: progress of the chassis;
- 178 • Motion 7: shovel closing;
- 179 • Motion 8: return of the chassis;

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181 Finally, when the collecting tank is full, it is periodically lifted up in order to dump collected garbage performing the movement  
182 describe in [Figure 10](#)[Figure-10](#).

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184 *Table I: Main Parameters of the truck Isuzu P3 75 3.0*

Symbol	Quantity	Value [Units]
$M$	Vehicle Mass (full load approximated)	7500 kg
$C$	Displacement	2999 cc
$M_{max}$	Max Motor Torque	375 Nm (1600-2800 rpm)
$P_{max}$	Max Power	110 kW (2800 rpm)
$\tau_{g1}$	Ratio of First Gear	5.979
$\tau_{g2}$	Ratio of Second Gear	3.434
$\tau_{g3}$	Ratio of Third Gear	1.832
$\tau_{g4}$	Ratio of Fourth Gear	1.297
$\tau_{g5}$	Ratio of Fifth Gear	1.000
$\tau_{g6}$	Ratio of Sixth Gear	0.759
$\tau_{gr}$	Ratio of Reverse Gear	5.068
$T_d$	Ratio of the differential	5.571
$V_{max}$	Max Speed (limited electronically)	90 km/h

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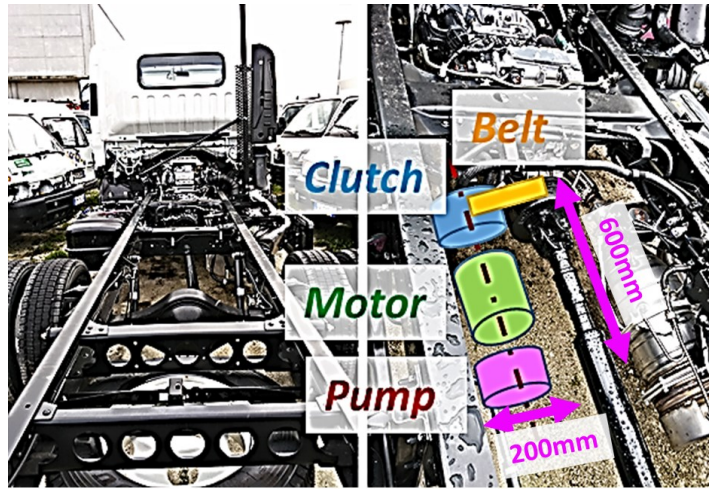


Figure 8: Approximated Encumbrances of the proposed solution on a Isuzu P3 75 truck

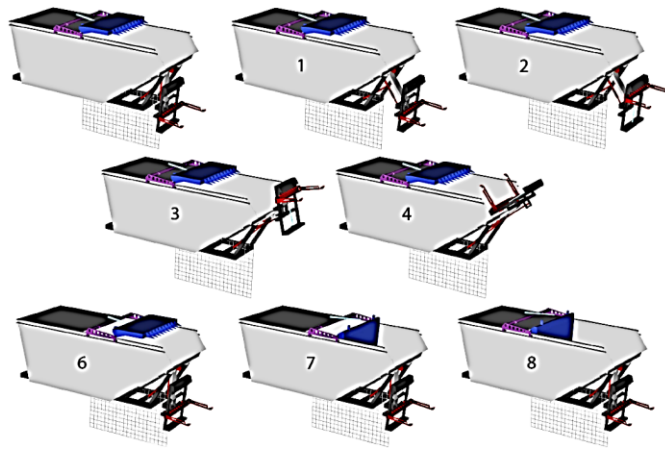


Figure 9: list of the performed motions

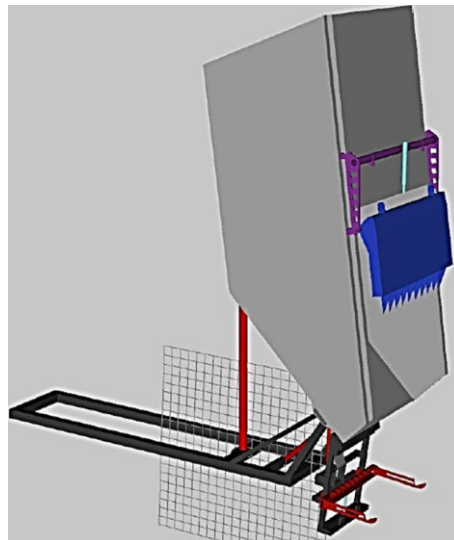


Figure 10: lifting and emptying of the collecting tank

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194 In the conventional plants, usually installed on this kind of vehicles, the manipulation of the dumpster is decomposed in a sequence  
195 of individual motions each corresponding to a single degree of freedom which is actuated by one or more parallel connected

196 actuators: to individually control the i-th motion only the i-th hydraulic actuator has to be fed, while the other ones are blocked by  
 197 end runs and/or by incompressibility pressurized oil.  
 198 In this way, the traveling speed  $v_i$  of each of the i-th linear actuator is controlled by regulating the corresponding inlet flow  $Q_i$ .  
 199 according relation (2)(2)

$$200 \quad v_i = \frac{Q_i}{A_i} \quad (2)$$

201 In (2)(2) the symbol  $A_i$  is adopted to identify the corresponding equivalent area of the i-th actuator.  
 202 In most of the conventional plant regulation of the flow  $Q_i$  delivered to each actuator is performed according the simplified scheme  
 203 of Figure 11: a fixed displacement pump is directly connected to the internal combustion engine with a constant rotational  
 204 speed that can be easily regulated considering the equivalent inertia of the motor and its relatively large torque capability respect  
 205 to the connected load represented by the pump. As a consequence delivered flow  $Q$  is almost constant and it is regulated by a flow  
 206 control valve which directly recirculates a part of the flow  $Q_r$  in order to deliver the desired value of  $Q_i$  to the controlled load  
 207 according (3)(3).

$$208 \quad Q = Q_i + Q_r \quad (3)$$

209 Oil recirculation to tank introduces a loss of Hydraulic power  $W_d$  which is proportional to the recirculating flow  $Q_r$  according  
 210 (4)(4):

$$211 \quad W_d = \frac{Q_r P}{\eta_t} \quad (4)$$

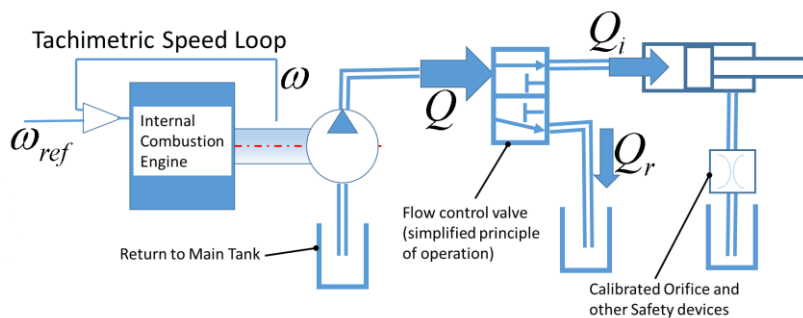
212 In (4)(4) symbol  $P$  represents the hydraulic head pressure of the pump (neglecting small or null pressurization values of the tank)  
 213 and  $\eta_t$  represents the total/energetic efficiency of the pump. Considering a double chamber/effect cylinder also flow  
 214 controlling/safety devices should be installed to control the speed of the load especially during braking/deceleration phases or in  
 215 the return run since in this case the sense of the load should be inverted. This solution involves small additional losses which are  
 216 tolerable mainly for two reasons: transients are usually quite smooth and during the return phase the dumpster is usually empty so  
 217 the load is quite smaller and almost known.

218 This simplified scheme is one of the most commonly adopted in conventional solutions in order to optimize costs, reliability and  
 219 robustness of the control loop.

220 However, in the proposed applications an increase of the efficiency of the oil plant should lead to a significant size reduction of  
 221 the energy storage system with consequent benefits in terms of costs, encumbrances and reliability (a less loaded battery should  
 222 suffer lower aging effects).

223 For this reason, authors proposed the solution represented in the simplified scheme of Figure 12: the speed of the fixed  
 224 displacement pump is directly regulated by modifying the rotational speed of the PM motor so there is no need of recirculating to  
 225 tank a part of the flow  $Q_r$  being  $Q_i$  almost equal to  $Q$ . In this way most of the plant is always equal to the original one and the  
 226 modification mainly affects only two components:

- 227 • The flow control valve, used to recirculate the flow  $Q_r$  which is removed.
- 228 • The pump, whose fixed displacement should be modified to optimize the sizing of the connected PM machine (alternatively a  
 229 reduction gearbox between motor and pump).



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 231 *Figure 11: simplified scheme, principle of operation of a conventional solution respect to the control of a single degree of*  
 232 *freedom*



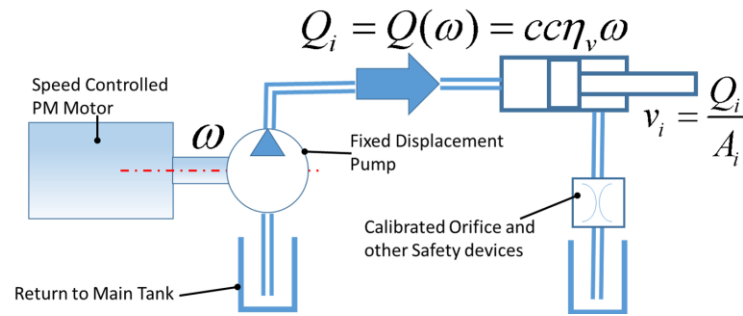


Figure 12: simplified scheme, principle of operation of the proposed solution respect to the control of a single degree of freedom

Further power savings should be obtained by adopting hydraulic schemes that allows the regeneration of hydraulic power such as the one considered in [15] and [19][17].

For the proposed application, authors avoided this kind of solutions mainly for the following reasons:

- more than a half of the power required by the hydraulic plant is due to irreversible operations in which the power is dissipated or is almost impossible to be recovered: as example some actuators are devoted to compress the /compact the garbage loaded on the truck, other actuators perform clamping operations for which the energy cannot recovered;
- conversion efficiencies further reduce the percentage of energy that should be recovered;
- finally, in order to fully exploit the hydraulic regeneration of power, some further modifications have to be introduced so benefits and drawbacks have to be further evaluated. considering also cost and reliability specifications.

As a consequence, authors believe that the application of this kind of solutions should be considered as a further optimization of the system that should be probably the object of future works.

### 3. MODELLING

#### A. Hydraulic Model of the conventional plant

Aim of this activity was the developments of models able to evaluate energy consumption of on board hydraulic servo-system used to lift and manipulate the dumpsters.

Models of both conventional plant and proposed innovative solutions have been assembled using a commercial software, Amesim™. All the presented models have been assembled from scratch. So the results of the presented activity were also a demonstration of how an innovative use of modern simulation tools can be very important for rapid prototyping of electromechanical systems, by using resources that are compatible with small scale productions.

Authors have started their activity modelling the conventional hydraulic plant in order to validate the tool with experimental tests performed on existing unmodified vehicles. Thanks to the data kindly supplied by the developer of the conventional hydraulic plant, authors have assembled a full hydraulic model, visible in [Figure 13](#), according to the following assumptions:

- Pump Model: the pump is modelled as a flow source whose reference flow is proportional to the rotating speed  $\omega$ , taking count of volumetric efficiency  $\eta_v$ , which is a tabulated function of head pressure and delivered flow. The corresponding torque demand is then evaluated from required hydraulic power taking count of the total efficiency of the valve  $\eta_t$ , which is also a tabulated function of pressure and flow.
- Modelling of Pipes and Lumped Hydraulic elements: each hydraulic pipe branch is discretized as single lumped Resistive (R) and Capacitive (C) element taking count of equivalent compressibility effects introduced by friction losses on pipes and compressibility effects due to both oil compressibility and pressure induced deformations of pipes. Inertial effects which are usually with lumped mono-dimensional elements (I) are neglected considering the low dynamical behaviour of the plant. The adopted approach is quite common in literature [20][18] and also adopted by authors for the simulation of plants and fluid networks with incompressible [21][19] compressible fluids [22][20] or multiphase one, such as steam [23][24].
- Dynamical behaviour of the controlled 4/3 valves: valves are modelled considering the equivalent response of a second order system (eq. valve eigen-frequency at 20 Hz) as often proposed in literature [24][22], [25][23], [26][24].
- PLC/Control logic: valves are controlled in order to produce the desired sequence of motions by an industrial PLC which decides the current valve configuration according the position feedback of controlled axis. Control logic is implemented in term of equivalent state-flow chart [27][25].
- Customized Hydraulic components: for some lumped components of the real plant there was no equivalent model in the AMESIM library. In particular authors have to assemble customized models (Amesim Supercomponents) of over-centre valves and flow regulation one which are shown respectively in [Figure 14](#) and in [Figure 15](#).
- Multibody Model: loads applied to each cylinder are simulated using a complete model of the articulated system used to lift and move the dumpster. Friction on joint is not considered (only a small viscous damping is applied in order to prevent numerical chattering). Inertial properties and weights of each body are calculated from three-dimensional CAD models of components.

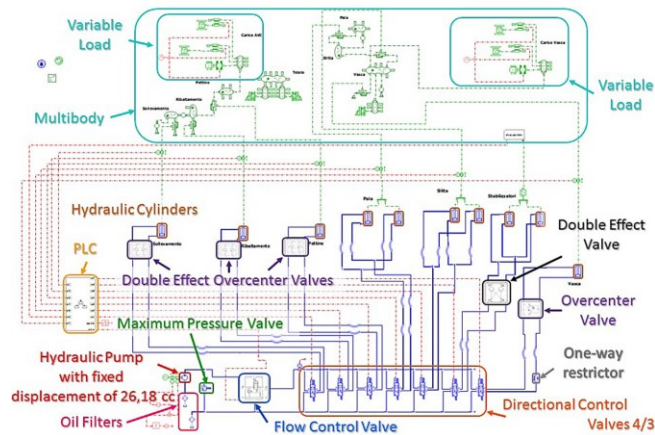


Figure 13: (conventional hydraulic plant)

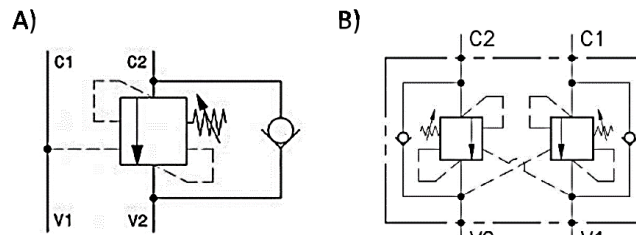


Figure 14: Equivalent scheme single (A) and double (B) over centre valves (Custom Amesim Super-Component)

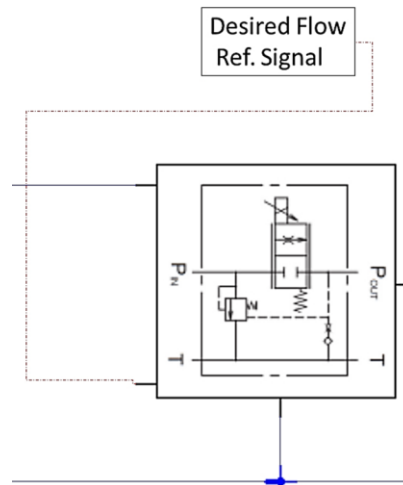


Figure 15: Control Flow Valve (Equivalent Supermodel)

B. Model of the Proposed Solution

Proposed solution, described in [Figure 5](#) involves the modelling of two main systems:

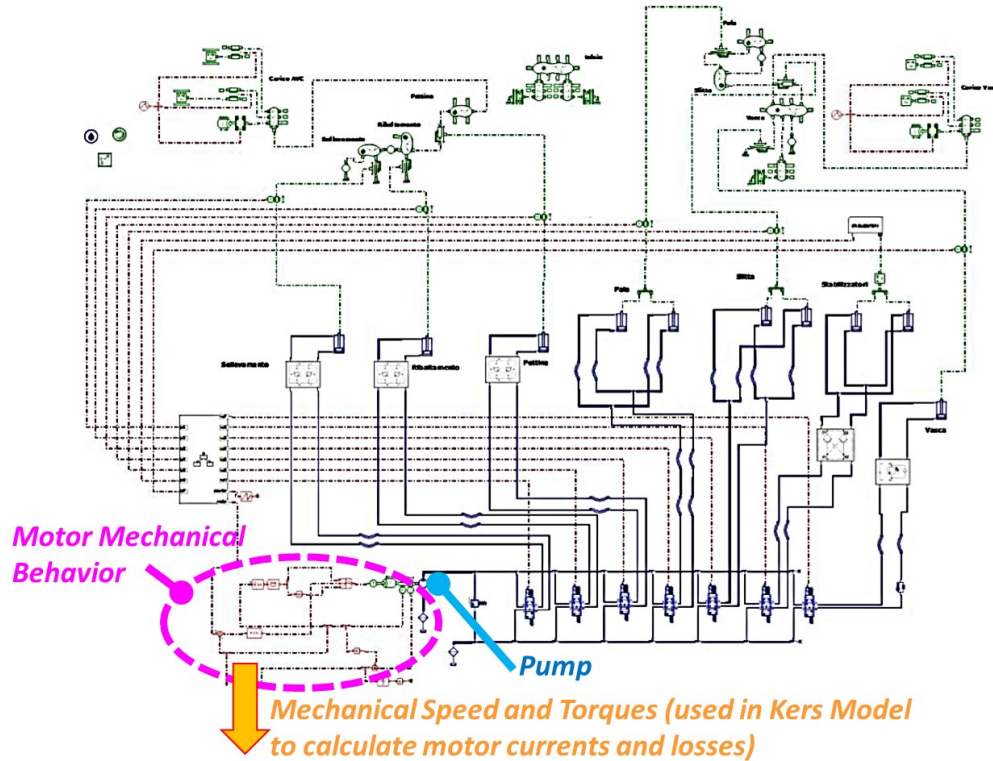
- A modified hydraulic plant;
- The KERS;

As previously said the two sub-systems share the same electric motor drive and energy storage

- A modified hydraulic plant sub-model: this model is devoted to the calculation of the behaviour of the hydraulic servo-system and to the calculation of required mechanical torques and power required to feed the pump.
- KERS Sub-Model: according simulated vehicle dynamics, the energy recovered and stored on electrochemical storage is calculated. Since from the hydraulic model are known reference torque and speed required by the pump when the vehicle is stopped this load can be applied to the motor verifying the effects in terms of battery discharge and life.

324 1) *Modified Hydraulic Plant Sub-Model*

325 The innovative solution differs from the original one only for the removal of the flow control valve and for the different sizing of  
 326 the fixed displacement pump, which is moved by an electric PM motor according the scheme of [Figure 5](#)[Figure-5](#).  
 327 In this model, visible in [Figure 16](#)[Figure-16](#), only the mechanical behaviour of the motor in terms of exerted torque limitations and  
 328 inertia are evaluated. In this way the model is able verify if the target performances of the motor are good enough to obtain the  
 329 required service. Calculated motor torque and speed are then passed to KERS Sub-Model to verify the consequences in terms of  
 330 electrical energy consumptions.



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Figure 16: Modified Hydraulic Plant Model developed in LMS Amesim

333 2) *KERS Sub Model*

334 The KERS submodel visible [Figure 17](#)[Figure-17](#) is able to simulate the dynamical behaviour (block planar vehicle dynamics) of  
 335 the benchmark test vehicle Isuzu P3 75 whose main data are described in [Figure 1](#)[Figure-1](#) and in [Table I](#)[Table-I](#). Desired mission  
 336 profiles in terms of speed are reproduced (autopilot block), considering vehicle feature. Regarding the internal combustion engine  
 337 authors assumed the torque behaviour corresponding to [Figure 18](#)[Figure-18](#).

338 For the electric drive and PM machine system assumed the hypothesis of a direct connection with the pump, so required currents  
 339 and power can be directly calculated using a model of the PM brushless machine whose efficiency is a tabulated function of exerted  
 340 speed and torque.

341 In order to verify thermal overload conditions, the simplified model [\(5\)](#)[\(5\)](#) is considered for the calculation of motor temperature  
 342  $T$ :

343

$$T = aI^2 - a(I^2 - I_0^2)^{-bt} \quad (5)$$

344 In [\(5\)](#)[\(5\)](#) symbols  $I$  and  $I_0$  are respectively actual and reference current values of the motor;  $t$  represent the time while  $a$  and  $b$  are  
 345 two parameters tuned with best fit criteria respect to limited available data from motor supplier.

346 Consumed and regenerated current and energy calculated by the model are used to calculate the corresponding behaviour in terms  
 347 of battery SOC (State of Charge) and optionally battery SOH (State of Health). The battery is supposed to be Lithium-Ion one.  
 348 Corresponding Dynamic and Aging models of the battery are described in the references [26-30]. The implemented control logic  
 349 (block Kers Logic) visible in [Figure 17](#)[Figure-17](#) is implemented in terms of state-flow charts taking count of the following inputs:  
 350 Speed, State of Charge of the Battery, Performed Manoeuvre (Traction, Braking Coasting), Thermal Overload of the PM-Machine.

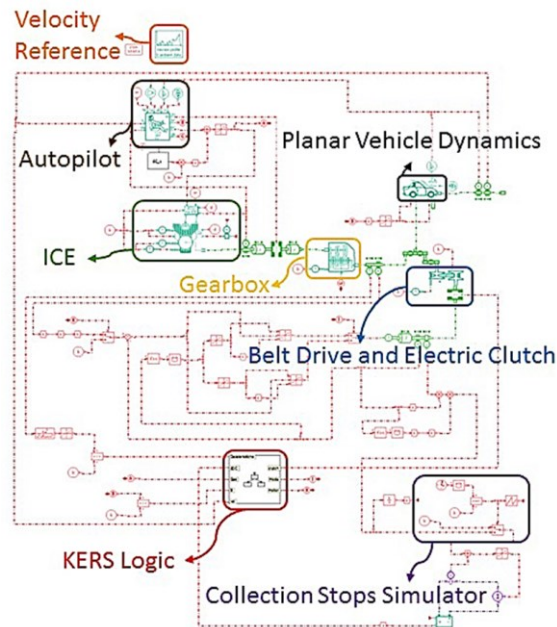


Figure 17: Amesim vehicle model

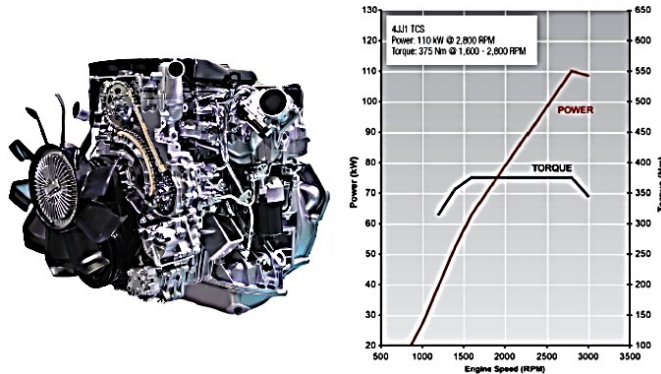
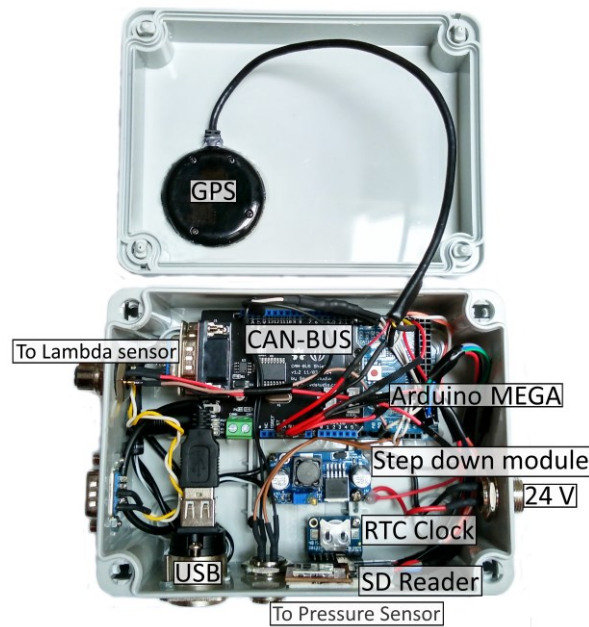


Figure 18: Diesel Motor installed on Isuzu P3 75 Motor

#### 4. PRELIMINARY MODEL VALIDATION AND PRELIMINARY EXPERIMENTAL ACTIVITIES

Preliminary experimental activities play a key role in the development of the system mainly for two reasons: first, the hydraulic plant model whose calibration involves the setting of hundreds of parameters has to be validated. Also a good calibration of the system involves the knowledge of a typical mission profile, in order to avoid unrealistic assumptions in the preliminary sizing of the system.

For this reason, authors developed a compact and cost-effective acquisition system visible in [Figure 19](#). The system fed at 24V and sealed in a IP66 Box was designed to acquire and save data on a SD memory card at a maximum frequency of 10 Hertz. In particular the acquisition module is able to localize the vehicle through a dedicated GPS system the whole list of acquired sensors is listed in [Table II](#).



364  
365 *Figure 19: Customized Acquisition Module*

366 *Table II: Low cost monitoring board adopted for*

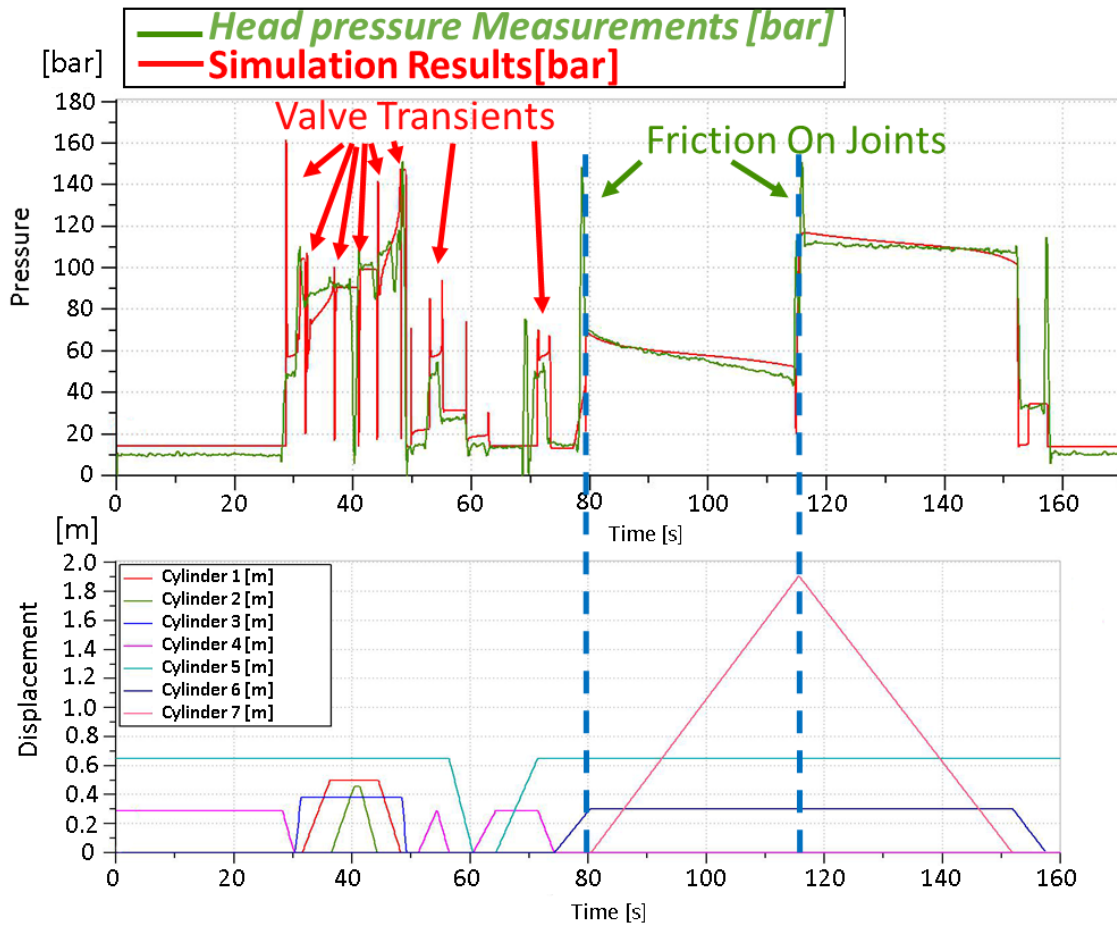
Acquired Measurement	Sensor/interfaced hardware	Signal/ Communication Protocol/Signal
Position	GPS	serial
Long. Speed	Vehicle ECU	CAN BUS
Performed maneuver (traction or braking)	Vehicle ECU	CAN BUS
Inlet air mass flow in the motor	Debimeter	dedicated
Oxygen in Exhaust Gas/Stoichiometric Ratio	Lambda Probe	dedicated
Head Pressure in Hydraulic Pump	Pressure Sensor	Analog Voltage
Speed of electric PM machine	Motor drive (encoder)	CAN BUS
Motor Temperature	Motor drive (thermo-couple in windings)	CAN BUS
Inverter Temperature	Motor drive	CAN BUS
Battery State of Charge	Motor Drive/BMS	CAN BUS
DC link current and voltage	Motor drive	CAN BUS
Electromagnetic friction state (engaged/disengaged)	Vehicle ECU	CAN BUS
State of The Hydraulic Plant	PLC (logic controller of the hydraulic plant and related position feedback sensors)	CAN BUS
Oil Temperature (TANK)	PLC (logic controller of the hydraulic plant interfaced with a temp. sensor)	CAN BUS

367  
368 The experimental board described in the previous section was then installed on an existing truck equipped with the conventional  
369 hydraulic plant described in [Figure 13](#) and performing its service activities in the town of Livorno in order to obtain  
370 measured mission profiles like the one described in [Figure 3](#) that were quite precious for the preliminary sizing of the  
371 innovative solution.

372 Also Authors performed some preliminary calibration and validation tests by executing the lifting sequence described in [Figure](#)  
373 [9](#) with a loaded dumpster. Tests were executed on a truck equipped with the conventional plant. During the test head  
374 pressure of the pump is measured and compared with corresponding simulation results as visible in the example of [Figure 20](#):  
375 it should be noticed that there is a good agreement between experimental data and simulation results. In particular, the model  
376 was able to fit steady state values of head pressure corresponding to a good identification of mean load parameters including  
377 friction losses on valves and pipes. On the other hand, some clear differences should be noticed on transients associated to valve

378 commutation and to the motion start on joints. In particular, simulated valve commutations in the model produce high frequency  
 379 oscillations (hundreds of Hz) on simulated pressure which cannot be measured by the real sensor due to lack of bandwidth. Also in  
 380 the real plant, pressure peaks with durations between 0.1 and 0.5 seconds are observed: these peaks are associated to the motion  
 381 start of actuators. These phenomena should be explained considering that the articulated system is affected by non-linear friction  
 382 and elastic compliances especially on joints. In particular, in the real plant the transition between static and kinetic coulomb friction  
 383 values is associated to a characteristic stick-slip transient. Measurements were performed using the experimental layout visible in  
 384 [Figure 21](#) ~~Figure 21~~.

385 During the tests also the tank temperature is observed in order to avoid errors and uncertainties on oil properties.  
 386 However, for the purpose of this work which was the preliminary sizing of the system, obtained results were good enough to  
 387 validate the model for the desired purpose: a reliable tool to properly size the new plant and to evaluate overall energy consumptions  
 388 and efficiency.  
 389



390  
 391 *Figure 20: comparison between experimental results and simulation results in terms of the measured head pressures and*  
 392 *corresponding motion of cylinders (full loaded dumpster)*

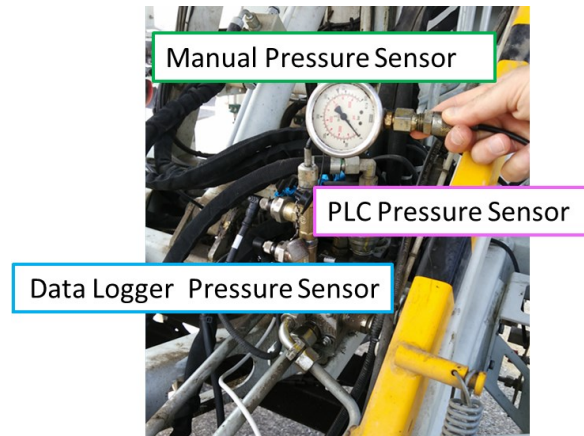


Figure 21: preliminary validation activities, head pressure measurements

## 5. PRELIMINARY SIZING AND SIMULATION RESULTS OF THE PROPOSED SOLUTION

### A. Coupling with the hydraulic Plant

From simulations performed on the validated model of the conventional hydraulic plant, it was possible to estimate the amount of power needed to perform a complete lifting cycle of a dumpster for both conventional and innovative plant: from preliminary calculations the mean power required during a test was evaluated as about 2000-2500 W for the innovative solution and 4000-5000W for the conventional one. An overload factor of 2, intended as the ratio between maximum load and medium one, was considered. Differences in terms of required power between the two solutions are justified by the higher efficiency of the proposed innovative solution with hydraulic pump control.

In order to minimize the size of the electrical machine a power based design was chosen: the chosen machine is a PM motor able to exert a continuous power of about 4-6 kW at 2000-3000 rpm which is at least two times higher respect to the load demand of the innovative plant. Speed specifications were chosen in order to not penalize to much the efficiency of the new pump which is directly connected to the motor respect to the original one that was designed to operate at a lower operating speed (1000rpm) with a higher fixed displacement (about 26 cm<sup>3</sup> respect to 12 cm<sup>3</sup> of the new solution).

Difference between calculated power for conventional and innovative solutions should be easily explained looking at the comparison in terms of delivered flows and pressures.

In particular, as visible in the simulated pressure profiles represented in [Figure 22](#), in the conventional solution flow regulator valve introduces pressure losses (10-20 bar) which are relatively quite important especially on partial loads corresponding to smaller or empty dumpsters.

Also looking at flows for some elementary motions as the one visible in [Figure 23](#) it should be noticed a clear difference between the conventional solution and the pump controlled one: in this example of mission profile more than half of the almost constant flow produced by the pump of conventional solution is recirculated to tank in order to control actuator speed. This can be easily explained considering that the conventional plant is designed to satisfy with its constant flow the maximum oil demand of the plant. On the other hand, the proposed pump controlled system is able to exploit the wide operating speed range of the controlled PM motor.

Considering a pressure loss corresponding to about 10% of head pressure and a percentage of recirculated flow for the conventional solution which is near to 40%, it's possible to understand the reason of different power requirements between conventional and innovative solution since the required mechanical power of the pump  $W$  can be calculated according [\(6\)](#), having adopted almost the same symbols and notation of [\(4\)](#) and [\(3\)](#).

$$W = \frac{QP}{\eta_i} \quad (6)$$

It should be also noticed in [Figure 22](#) that the adopted design of the innovative solution involves that nominal torque of the motor (16.5Nm) corresponds to a delivered head pressure of about 65-70 bar, as a consequence higher forces involve an overload of the motor corresponding to a plant pressure of about 100-120 bar, corresponding to a required torque of about 30 Nm. However, as visible in the graph of [Figure 24](#), the motor should be overloaded (according specifications of manufacturer) for at least 60 seconds exerting a continuous pressure of about 190 bar that the plant cannot reach in real operating conditions for the intervention of safety pressure limitation devices.

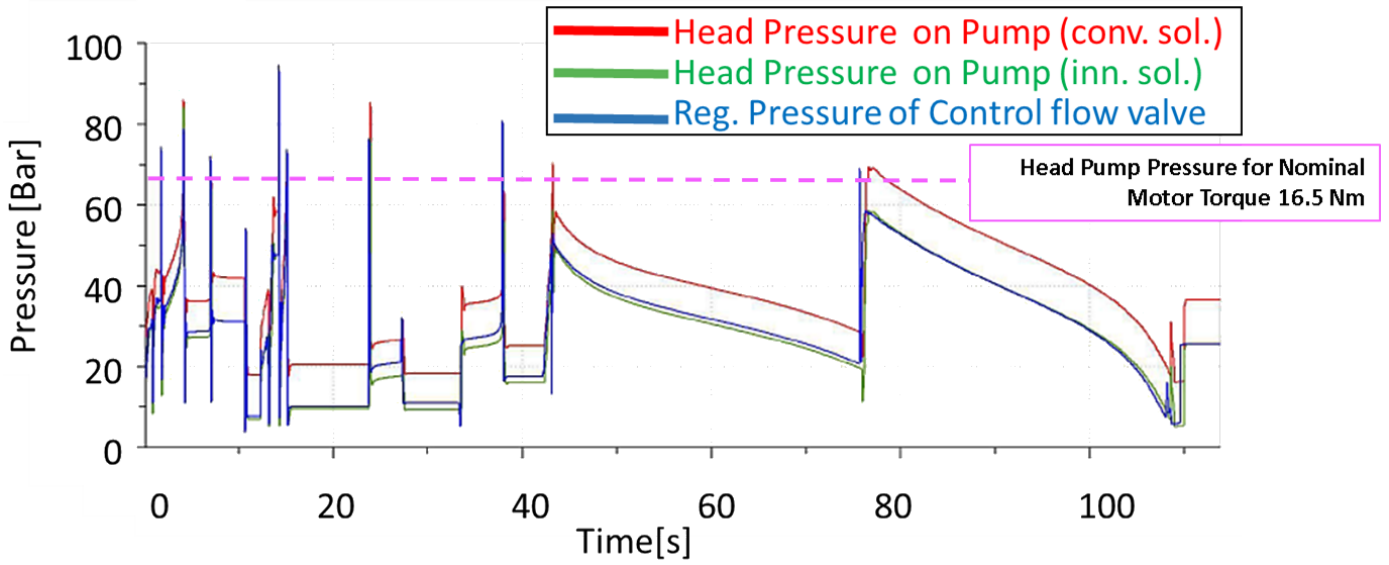


Figure 22: simulation of pressure behaviour (smaller dumpster or partial loaded)

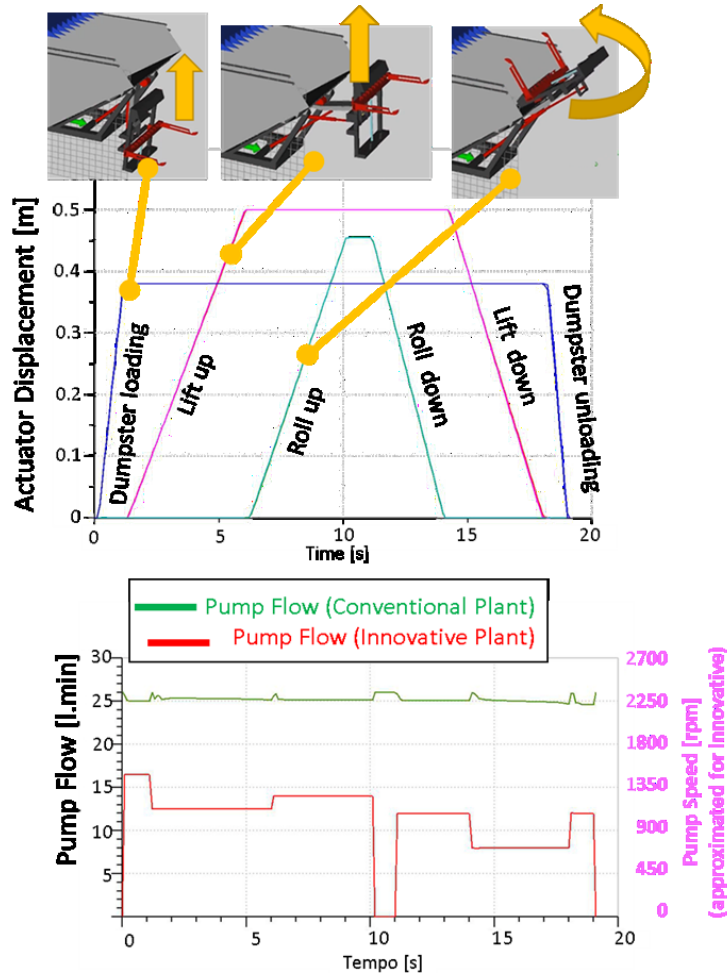


Figure 23: comparison of the pump delivered flows (conventional vs. innovative solution)

431  
432

433  
434



435

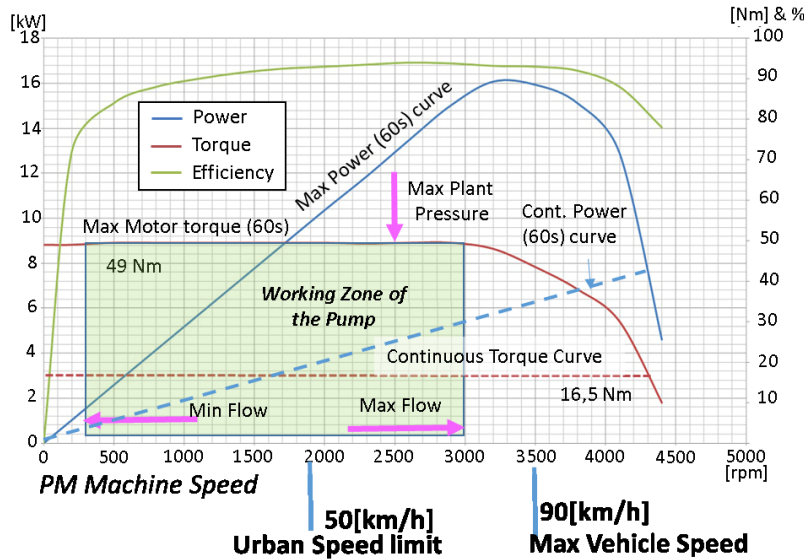
436  
437

Figure 24: Main Performance of the selected machine versus coupled loads and vehicle operative conditions.

438

#### 439 B. Design of KERS System

440 According to the simplified scheme of Figure 5 and Figure 6, the PM machine is linked to the transmission shaft of  
 441 the vehicle through a constant ratio belt-pulley transmission system. The transmission ratio of the belt-pulley system is about 1:2  
 442 in order to obtain an optimal sizing condition of the system described in Figure 24: maximum speed of the vehicle  
 443 corresponds to the maximum of regenerated power exploiting all the available operational range of the motor. Also with the adopted  
 444 transmission ratio it is possible to perform a braking energy recovery at the speed of 50 km/h a power between a minimum of 3-4  
 445 kW and a maximum of about 10 kW (considering continuous or peak performance of the PM machine, as example). In this way  
 446 the system is designed to share the same power both for regenerative braking and actuation of hydraulic servo-system, showing a  
 447 symmetrical behaviour in the two operation quadrants. In this regard, the electrical machine has been primarily sized to correctly  
 448 feed the pump. Additionally, the same machine is also used also to implement energy recovery during braking. Naturally, braking  
 449 power is much higher, thus only a small fraction of it can be managed by the considered electrical machine.

450 In particular looking to the typical mission profile of Figure 3, it should be noticed the high frequency of braking  
 451 manoeuvres which make this sizing quite generous as confirmed by simulation results shown in next section of this work: higher  
 452 statistical occurrence of braking involve a higher quantity of recovered energy, being the power consumed by the hydraulic plant  
 453 substantially proportional to the number of collected dumpsters.

454 It should be noticed that by further increasing the ratio of the transmission belt is still possible increase the amount of regenerated  
 455 power respect to vehicle speed: as visible in Figure 24, at 50km/h the PM generator should work at about 1900 rpm and  
 456 the maximum power that can be managed by the system is about 9-10kW., by changing the ratio of the pulley, it's possible to  
 457 further increase the corresponding rotation speed to the nominal one (3000-3500rpm) corresponding to about 16kW. In current  
 458 implementation it was preferred a lower speed of rotation of the motor in order to assure a more cautious design of the clutch.

459 **It should be noticed that the simple transmission system adopted by authors, simplifies the installation of the proposed solution on  
 460 different vehicles. However, gearbox optimization strategies like the one proposed by Li [31] cannot be applied. So the above  
 461 described optimization of the belt transmission ratio is very important for a correct sizing of the system.**

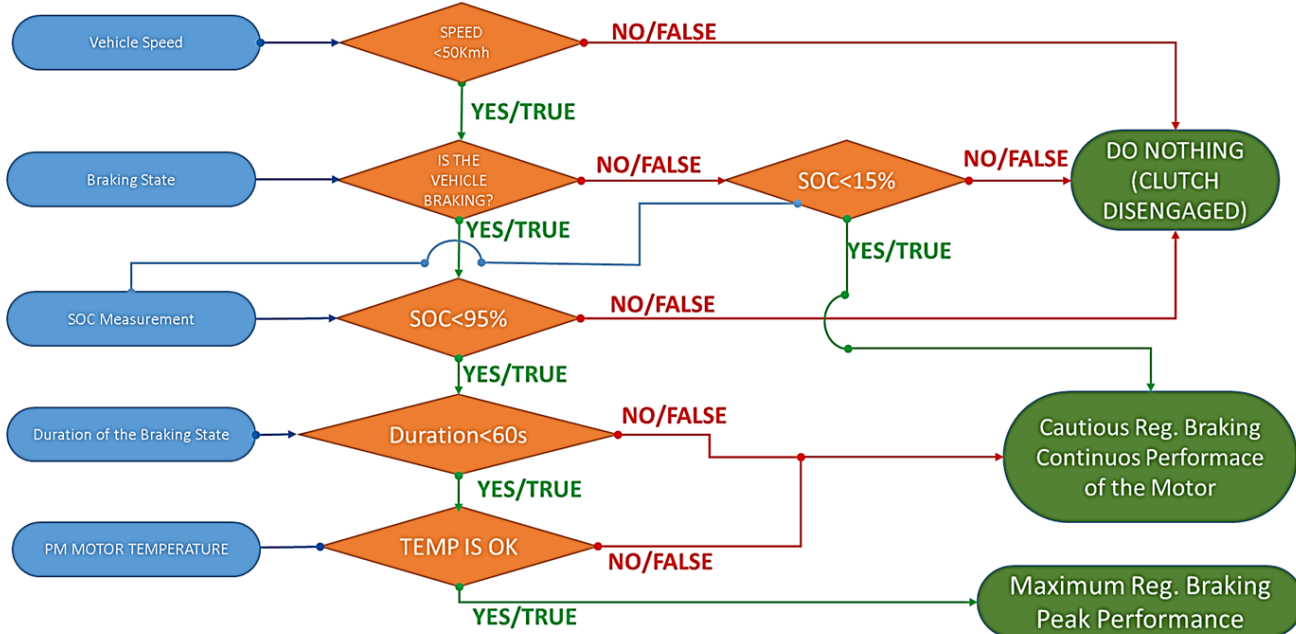
462 Authors preferred to completely separate the proposed system respect to the vehicle electric plant mainly for two reasons:

- 463 • In this way a failure of the system proposed by authors has limited or null consequences the rest of the vehicle, failure  
 464 propagation effects are limited to the servo-hydraulic plant used to lift the dumpsters; the capability of the vehicle to reach a  
 465 repair workshop is not compromised.
- 466 • The truck proposed in this study is a benchmark test case, the proposed system has to be installed with few modifications on  
 467 almost every compatible vehicle which should have slight different features. For these reason, authors have tried to simplify as  
 468 much as possible the proposed plant in order to make easier the customization for different vehicles.

469 The proposed regulation logic of the KERS system is visible in the scheme of Figure 25, regenerated torque reference  
 470  $M_{ref}$  is a function of four parameters which are respectively the vehicle traveling speed  $V$ , Longitudinal effort request  $X\%$ , estimated  
 471 battery SOC (State of Charge), thermal over-temperature diagnostic of motor and drive system:

- 472 • *Speed  $V$* : currently the system is activated only for urban mission profiles, so motor clutch is disengaged for speed higher than  
 473 50 km/h corresponding to current urban speed limits. In case of clutch failure or if a different control configuration is chosen  
 474 the motor can operate for speed which are far higher respect to the maximum allowed speed of the vehicle (90 km/h)

- 475 • SOC of the battery: regenerative braking is activated if the SOC is under 95%, being admissible values of SOC between 0  
 476 (battery completely discharged) and 100% (battery fully charged). Applied regenerative brake Torque is a configurable  
 477 parameter that should optimized according service specifications. This peak value is indicated in the flowchart of [Figure](#)  
 478 [25Figure-25](#) “Maximum Braking Performance”. In [Figure 25Figure-25](#) it supposed equal to the maximum peak torque which  
 479 should be defined as a value between 16 Nm (continuous performance of the motor) and 49 Nm (Overload that can be  
 480 maintained for 60 s) according the chosen calibration of the system. Currently if the braking manoeuvre is prolonged to more  
 481 than 60 s the exerted braking torque is lowered to a safer value (16Nm) that can be exerted continuously. The same performance  
 482 reduction (braking torque lowered to 16Nm) is applied if a thermal overload warning is detected (temperature of both motor  
 483 and drive is monitored).  
 484 In the flowchart of [Figure 25Figure-25](#), the cautious value of braking torque that is applied to avoid braking overload during  
 485 prolonged regenerative braking is called “Cautious Braking Continuous Performance”. However, for a battery SOC under a  
 486 15% regenerative braking is also applied during a traction manoeuvre. In this way possible SOC values are restricted to a range  
 487 between 15 and 95% in order to accelerate aging of the batteries [\[28\]\[26\]\[29\]\[27\]](#) and to assure a minimal charge level to  
 488 perform lifting and manoeuvring of dumpsters. In this case, the duration of this recharging phase cannot be foreseen by the  
 489 system. Also an excessive braking should penalize traction performances of the vehicle. For all these reasons, the applied  
 490 regenerative torque during the traction phase is always limited to the minimum value corresponding in the flowchart of [Figure](#)  
 491 [25Figure-25](#) to the “Cautious Braking Continuous Performance”. Finally, In order to avoid excessive chattering of the system  
 492 respect to the 95% threshold, a hysteresis of few percentage points is added (2%). This is a feature that is neglected in the  
 493 simplified flowchart corresponding to a simple relay block in the corresponding Amesim™ Implementation.
- 494 • Longitudinal effort demand X%: this state represents the desired manoeuvre of the urban driver assuming a range from -100%  
 495 (full braking required), to 100% (full accelerator throttle) being 0 the state in which no command is applied. Currently if the  
 496 Traction effort demand is higher than 80%, regenerative braking is switched off in order to assure the maximum vehicle  
 497 performance in a situation in which the human driver clearly desires the maximum performance of the vehicle. In the flow chart  
 498 of [Figure 25: KERS, flowchart representation of the simplified adopted control logic.Figure 25: KERS, flowchart representation](#)  
 499 [of the simplified adopted control logic.Figure 25Figure-25](#) if the value of performed manoeuvre is null (coasting) or negative  
 500 (braking) the equivalent Boolean state “Braking” has a “true/yes” value.



501  
 502 *Figure 25: KERS, flowchart representation of the simplified adopted control logic.*

503 The application of an additional braking force on the rear axle of the truck, should cause consequences on longitudinal and lateral  
 504 stability of the vehicle especially with degraded adhesion conditions, so many recent works are also focused on the design of  
 505 optimal blending and control strategies [\[34\]\[31\],\[35\]\[32\]](#).  
 506 However, for the proposed application traveling speed is quite low (under 50 km/h) and the torque applied by the KERS system  
 507 are quite negligible respect to pneumatic braking, so an important advantage of the proposed solution is the limited impact in terms  
 508 of modification of on board subsystems (braking plant, ABS, etc.).  
 509 It is important to notice that proposed control logic of the KERS is very simple, and obviously more complex regulators should be  
 510 implemented. However, the only aim of the proposed logic is to demonstrate in a clear way the feasibility of the system, leaving  
 511 to further optimization activities the development of more sophisticated control logics.

### C. Sizing of the energy storage system

Using both the models of hydraulic plant visible in [Figure 16](#) and the one of the KERS interacting with the vehicle ([Figure 17](#)) it was possible to evaluate typical energy and power flows to properly size the battery. In particular authors starting from power flows analysis of the proposed system were able to adopt a very cheap and compact energy storage system, whose main parameters and are briefly described in [Figure 26](#) and in [Table III](#).

In particular the proposed solution is considered very robust respect to very harsh employment conditions (variable temperature, vibrations) since thanks to the high efficiency of the hydraulic plant the total energy required to perform operations, the complete working cycle regarding the manipulation of a dumpster is equivalent to about the 1-2% of the whole nominal energy of the battery with positive consequences in terms of aging and reliability, i.e. reducing the SOC variation corresponding to the considered working operation. Also in terms of power the proposed battery has a nominal power higher than the mean power of the load. Over cited loads introduced by hydraulic plant and KERS can be sustained by the large over-current capacity of the cells, which is also aligned to the capability of the other components, such as PM machine and drive.

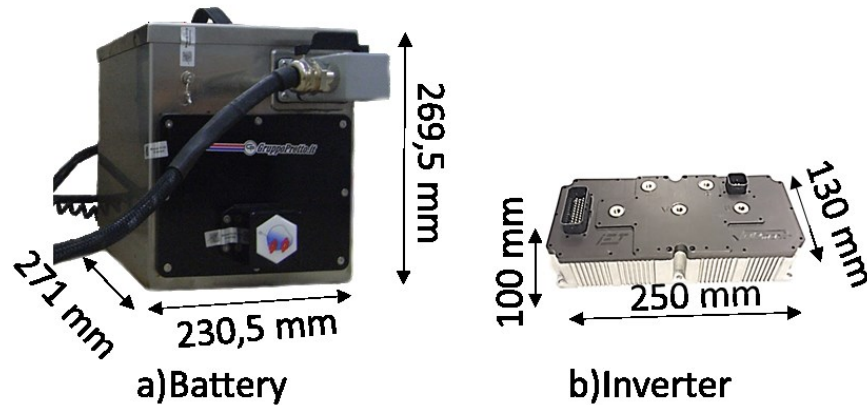


Figure 26: Adopted Battery Pack, Main encumbrances of the ~~accumulator storage system~~ (a) compared with the ~~controller inverter of the electrical machine of the motor~~ (b)

Table III: Main features of the proposed energy storage system

Feature	Value/description
Cell Type	Li-PO Kokam SLP B12021626
Number of cells	14 (series connected)
Min./Nom./Max battery Voltage	42/51.8/58.1 V
Size	53Ah
Nominal energy	2.75 kWh
Protection	IP 66
Max Current (5C)/max power	250-270 A/ 13-14 kW

### D. Preliminary simulation results of a typical mission profile

In order to verify the preliminary sizing of the KERS and in particular of the storage system, authors performed a complete simulation of the recorded mission profile visible in [Figure 3](#).

In particular simulation was performed adopting the complete model of hydraulic plant (visible in [Figure 16](#)) to calculate the energy consumptions associated to lifting and manipulation of dumpsters. Number of manipulated dumpsters and performed operations during a mission are available from recorded data. Calculated energy consumptions are assumed as auxiliary loads imposed to the accumulator when the vehicle is stopped and the ICE is switched off. This loads are then imposed as inputs to the vehicle model equipped with the selected powertrain configuration (i.e. including the KERS system) also simulating vehicle speed profiles referred to the same recorded mission. As a consequence, it is possible to correctly evaluate all the energy flows, from hydraulic to the on-board electrical system. Particularly, energy flows from and to the electrochemical storage system, taking into account regenerative braking.

In this way it was possible to calculate, as example the behaviour of the energy storage system in terms of SOC considering different levels of maximum regenerative braking torque starting from the maximum intermittent torque of the adopted PM machine (49 Nm for 60 s) to the continuous one (a continuous torque of 16.5 Nm). In particular, in [Figure 27](#), it is considered the case of vehicles that starts their service with an initial value of the battery SOC equal to 100%.

An initial SOC value of 100% should be justified, as example, by the availability at vehicle depot of an external source to recharge the electrochemical storage system during the night.

Since the system is tuned to maintain the battery between 15 and 95% of SOC, in approximately the first hour of service the state of charge of the battery drop from 100% to 95%; once this transient it's terminated in almost every configuration the system is

able to perform the assigned mission finishing the mission with a 95% of SOC which assure that the vehicle should be reused the next days without need of any additional recharge of the battery.

Looking at simulation results of [Figure 27](#), it should be recognize the importance of one realistic mission profile from experimental measurements:

- Battery discharge depends on the number of carried dumpsters; in a town like Livorno there are important sites like central markets, harbour docks, industrial areas, in which several dumpsters are grouped together. These sites are the most demanding for the system since higher battery consumptions are involved at a single stop (or in stops which are quite near with limited possibility of recharge).
- In order to avoid an excessive chatter of the regulator around the 95% of SOC, the regulator is implemented with a hysteresis corresponding to about 2% of estimated SOC. As a consequence, there are some particular conditions in which the SOC threshold of 95% is exceeded (braking manoeuvres starting with a SOC of about 95%).

Higher values of regenerated braking torque involve lower fluctuations of the SOC of the energy storage but higher thermal stress for the PM motor which is much more overloaded. However also in this sense simulations of the thermal behaviour of the motor-drive systems performed according [\(5\)\(5\)](#) assure a good thermal balance of the motor and power electronics. It's also interesting to notice that three performed simulations differ each other in terms of electric braking torque or regenerated power of about 300%. As a consequence, the system is able to perform the assigned mission profiles even considering a wide variation of motor performances. This is a clear demonstration of robustness of the proposed calculations respect to component tolerances and to uncertainties which makes the author confident respect to the final calibration and validation of the complete system.

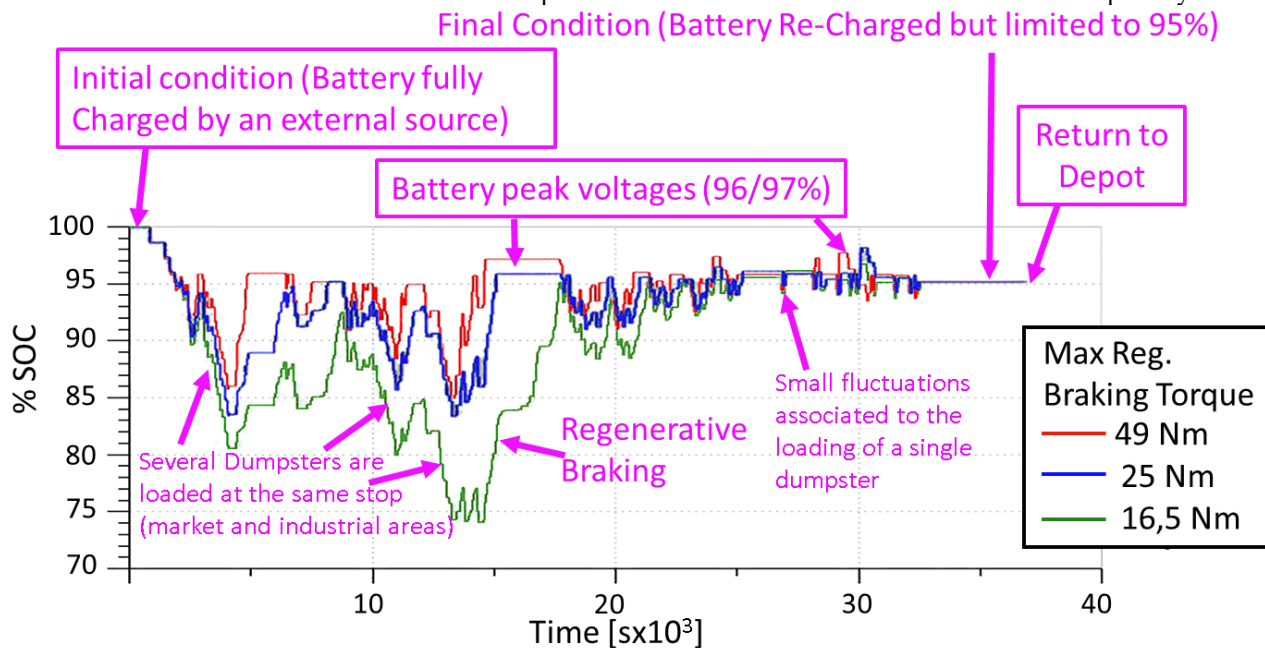


Figure 27: SOC behaviour considering different levels of maximum regenerative braking torque (initial state of accumulator corresponding to fully charged)

The same simulation scenario corresponding to the mission profile of [Figure 3](#) was then repeated considering a very low initial value of the state of charge of the battery, around 10%. This is a simulation scenario involving unusual conditions for the storage system, since the system is tuned to keep the battery SOC at a level higher than 15%. It should be also noticed that it's a good practice to have the availability at the vehicle depot of an external power source to recharge batteries. In particular, very low SOC values should be caused, as example, by prolonged periods of inactivity of the vehicle, by aging or by abnormal mission profiles. So this should not be considered as a normal working conditions but a worst case scenario to which the proposed systems has to survive assuring the end of the assigned mission profile. Corresponding simulation results in terms of battery SOC are shown in [Figure 28](#): independently from the imposed level of braking torque the system is able to perform the desired mission profile ending the mission with a satisfactory level of battery SOC which assure for the following day easier operating conditions. However, in the simulation scenario corresponding to the application of the lowest regenerative braking torque, the level of SOC remain around or below 15% for a duration corresponding of about 30-35% of the total mission time. This working behaviour is not desirable since it involves that a large part of the energy is not recovered in the braking phase but directly generated by the PM machine during a traction manoeuvre in order to keep the battery SOC around a minimum acceptable level (15%). From simulation results it should be deduced that it is possible to start experimental testing of the proposed system considering a level of braking regenerative torque of 49 Nm, being feasible a reduction to a level of about 25 Nm for a more cautious design in terms of thermal overload. Lower regenerative torque corresponding to the continuous performance of the motor (16Nm) should be adopted only tolerating a lower system efficiency in case of extremely degraded conditions.

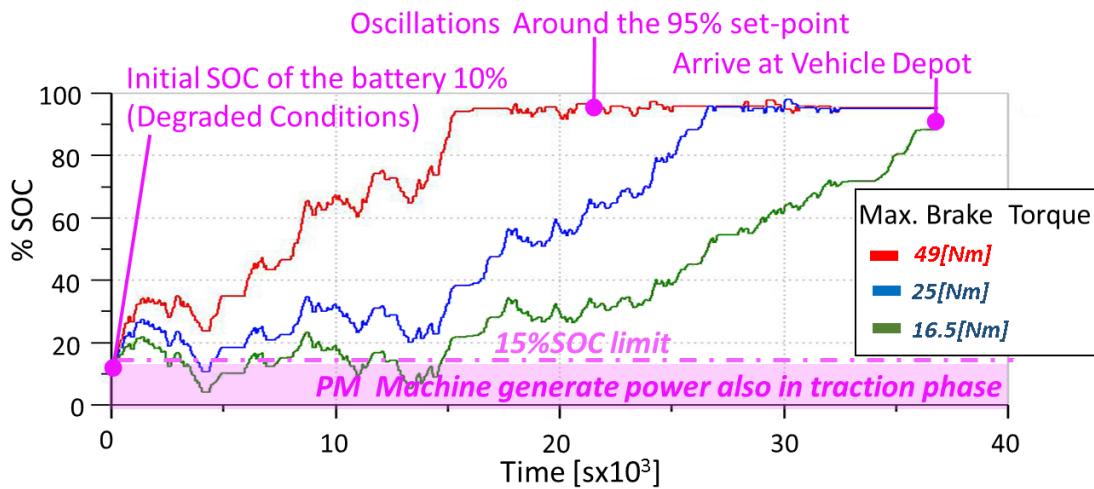


Figure 28: SOC behaviour considering different levels of maximum regenerative braking torque (initial state of battery corresponding to 10% of SOC)

From simulation results it is possible to calculate peak and mean regenerated electric power during a braking phase, which are respectively 9800 W and about 4600 W. These values are calculated considering braking manoeuvres from 50 km/h and the maximum braking performances of the PM motor (49 Nm). Considering specifications described in Table III, these peaks of regenerated power can be tolerated by the chosen storage system accumulators, since the peak power of the battery is about 14 kW. Also the typical duration of a stop braking is 20-40 seconds, and the system is automatically protected against prolonged braking manoeuvres lasting more than 60 seconds.

Regarding the hydraulic plant, peak and mean values of consumed power are quantified respectively in 5000 W and 2500 W. Mean consumed power involves low currents which are compatible with the nominal behaviour of the accumulators storage system. Also peak power requirements solicitations are tolerable compatible with respect to the maximum performances of the batteries battery, both in terms of amplitude and duration.

#### E. Preliminary Cost Evaluation

Considering the logged mission profile of Figure 3, it is possible to quantify fuel saving  $v_{save}$  which can be obtained with the installation of the proposed system.

In the current version, the proposed system has almost no influence on fuel consumptions of the ICE (Internal Combustion Engine) when the vehicle is moving, especially if the SOC of the battery is higher than 15%. In fact in this conditions the hydraulic plant is not working and the pump is disconnected thanks to the freewheel hub visible in the scheme of Figure 5.

As shown by previous simulations, visible in Figure 27 and in Figure 28, a SOC under 15% is an improbable condition. As a consequence, when the vehicle is moving, the system is used only to perform regenerative braking. Recovered energy is used to feed the hydraulic plant when the vehicle is stopped.

The amount of fuel that should be saved by adopting the proposed system should be calculated considering the energy consumption to feed the hydraulic plant, keeping the internal combustion engine rotating at a constant speed of 1000 rpm as in the conventional plant.

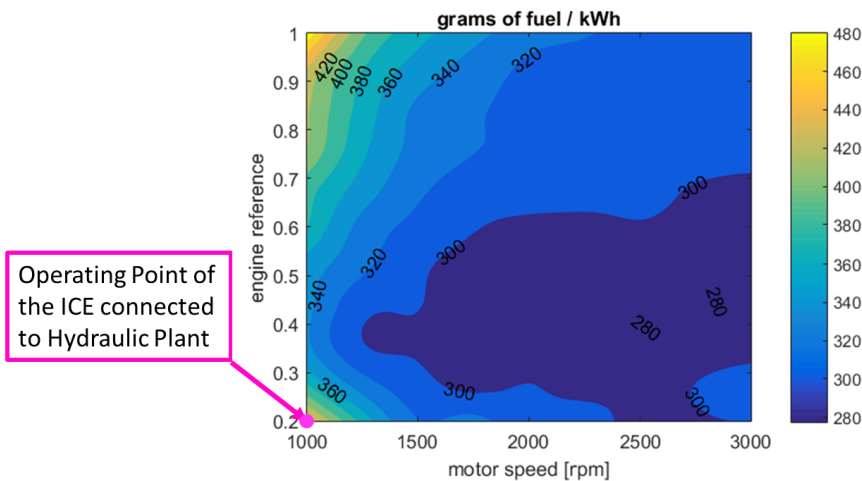
This evaluation was performed according (7) by integrating the power consumption of the hydraulic plant  $W$  considering efficiency of vehicle engine  $\eta_m$  and specific energy of the fuel  $k_w$ :

$$v_{save} = \frac{1}{k_w} \int \frac{W}{\eta_m} dt \quad (7)$$

Calculation performed according (7) are affected by heavy uncertainties especially in terms of engine efficiency  $\eta_m$  since the amount of power  $W$  that the vehicle engine has to generate (few kW) is quite small respect to max performances of the internal combustion engine at its nominal speed (about 110 kW) as visible in Figure 18.

In this operating conditions the estimated efficiency of the motor is a quite uncertain parameter even respect to efficiency maps of the ICE which is visible in Figure 29: typical operating conditions of the ICE when it is connected to the conventional hydraulic plant corresponds to an operating speed  $n$  of 1000 rpm and values of equivalent engine throttle, which are near to minimum values (0.15-0.2) since for lower values the motor is not capable to self-sustain its motion at the assigned speed. For a comparison similar data referred to motors with the same size are also available in literature, as example in [36][33].

623



624

625 *Figure 29: efficiency of the ICE (Internal Combustion Engine) as function of motor speed and equivalent engine*  
 626 *throttle/reference*

627 Extrapolated/uncertain values of the considered working conditions should involve very poor performances corresponding to about  
 628 450 g/kWh of delivered power. The typical power consumption of the conventional oil plant corresponds to a power demand of  
 629 about 5 kW. For this reason, for every hour in which the vehicle is stopped feeding the hydraulic plant more than two kg of fuel  
 630 are consumed (2250g). Considering a mean density of the fuel [39][36] and the current price of fuel in Italy (about 1.3 Euros/litre),  
 631 it's possible to evaluate the equivalent cost of the fuel that should be saved by adopting the new proposed solution (3.5 euros/hour  
 632 of service of the hydraulic plant).

633 According the experimental mission profile of a vehicle in the city of Livorno, the vehicle is stopped and the hydraulic plant is  
 634 working for about a fifth of the total mission time (about two hours on a total mission time of about ten hours)

635 Mean fuel saving per hour  $S_m$  should be calculated as the time weighted mean (8)(8) of the saving during vehicle motion  $S_v$  and  
 636 during the operations performed by the hydraulic plant  $S_h$

$$637 \quad S_m = S_h \frac{t_h}{t_{tot}} + S_v \frac{t_{tot} - t_h}{t_{tot}} \approx 0.2S_h \approx 0.7 \frac{\text{€}}{\text{h}} \quad (8)$$

638 Where in (8)(8)  $t_{tot}$  is the total duration of the mission and  $t_h$  the one in which the vehicle is stopped and the hydraulic plant is  
 639 operating.

640 For these reasons authors expect appreciable savings corresponding to 0.5-1€ (0.7 considering the above described calculations)  
 641 for each hour of service but this calculation has to be confirmed when specific measurements performed with the equipment  
 642 described in Figure 19 should be available.

643 Authors are aware that these calculations concerning the foreseen fuel savings are currently not supported by a direct validation  
 644 with experimental tests on the vehicle, but on the other hand performed direct and indirect validation activities should assure an  
 645 acceptable level of accuracy of performed calculations for the following reasons:

- 646 • Hydraulic Model of the servo-plant is completely validated: energy savings are evaluated considering the power that have to  
 647 be produced to feed this plant.
- 648 • Mission profile has been recorded so there is a quite reduced uncertainty in terms of operating scenario.
- 649 • Simulations have been carried on considering different settings of the KERS in which recovered power during a brake is varied  
 650 of 300%. In all the simulated scenarios the system performed well; even considering tolerances on real components, authors  
 651 are quite confident that with a safety margin of 300% it should be quite easy to calibrate the system in a real operating condition.

652 This estimated fuel saving should be further refined also considering the impact of engine restarts which typically causes slight  
 653 increase of fuel consumptions as studied by many works in literature, as example of Canova [37][34],[38][35]. In this preliminary  
 654 phase of the design this aspect has been neglected but it should be better investigated when it will be possible to perform further  
 655 experimental activities on a fleet of prototypes. Additional electric power consumptions, not considered in these preliminary  
 656 simulations should be tolerated considering large design tolerances of the proposed KERS system: in this case, an increase of the  
 657 regenerated electrical power, although should reduce reducing the reliability margin of the system, but it should also increase the  
 658 amount of saved energy, increasing the profitability in terms of saved fuel.

659 The overall cost of the first prototype of the proposed system should be estimated between 6000 and 7000€ (depending on the  
 660 production scale), considering a cost of about 3000€ for batteries and another 3000-4000€ for the other components (motor, drives  
 661 and clutch). Also this preliminary evaluation is quite approximated since it's referred to the cost of the components for a single  
 662 prototype.

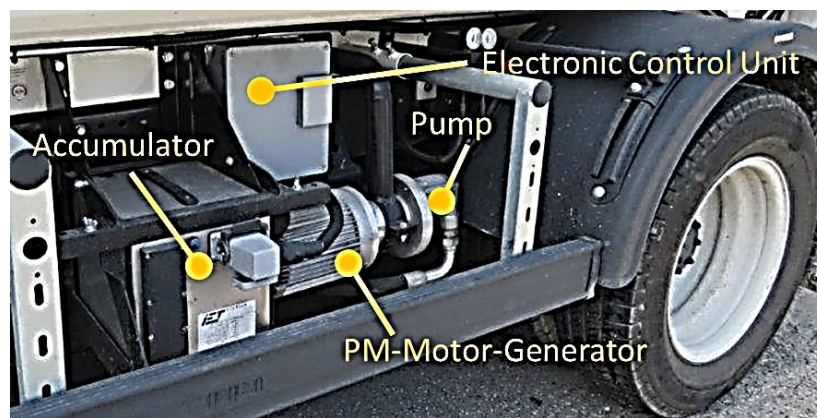
663 Considering at least 4000 hour of service each year, it should be concluded that after 2-3 years the initial investment should be  
 664 counterbalanced by the revenue of fuel saving.

665 Considering the reduced break even time this kind of solution should be recommended not only for new installations but also as  
 666 kind of retrofit kit that should be used to revamp existing vehicles even with an appreciable service life.

667 Author are also convinced that for the evaluation of the profitability of the proposed system also other non-secondary aspects  
 668 have to be considered:

- 669 • Reduction of Pollution: expected fuel saving should also involve an appreciable reduction in terms of pollution.
- 670 • Reduction of Noise: electro-hydraulic manipulation of dumpsters produces less noise respect to conventional one (vehicle  
 671 engine is switched off).
- 672 • Proposed Pump Controlled Plant involve also an improvement of performances of the installed hydraulic servo-system.
- 673 • Weight and Encumbrances: estimated weigh of the proposed solutions including hydraulic pump, pump, motor, drive and  
 674 batteries is less than 50kg. However, it should be considered that new pump is used as a substitute of an original bigger one  
 675 and that the circulating oil flow is about a half respect to the original solution. As a consequence, it's expected a weight  
 676 reduction in terms of oil stored in the oil tank of at least 30 kg. Encumbrances of different components are described  
 677 respectively in [Figure 8](#) and in [Figure 26](#). As a consequence, the impact of the system in terms of weight is  
 678 negligible. Also encumbrances are not too big considering that different components such as batteries and drive should be  
 679 allocated in a different position respect to the motor.

680 Currently, the industrial partner of this activity, Pretto Group SRL is implementing the proposed system on a wide variety of  
 681 different trucks (about one hundred) that should be used by several public administrations of the northern Italy. As visible in  
 682 [Figure 30](#), the system is currently composed by the components described in this work. However, the way adopted to  
 683 constraint the proposed system to the vehicle has to be customized according different chassis and different on board equipment.  
 684 Authors are confident that experimental data from real operating conditions should provide a further validation of the work  
 685 presented in this paper. However, considering the rapid response of the market, authors has at least completed the first aim of this  
 686 activity: the development of an innovative and successful industrial product.  
 687



688 *Figure 30: the proposed system assembled on a real truck*  
 689

## 691 6. CONCLUSION

692 An innovative layout for servo-hydraulic vehicle systems fed by a KERS has been presented in this work.

693 In particular authors have focused their attention on a common application like garbage collection demonstrating the feasibility of  
 694 the proposed solution on a benchmark vehicle. Thanks to the results of this research activity, Pretto Group, the industrial partner  
 695 that have supported this work, have started the installation of the proposed solution on a fleet of industrial trucks for garbage  
 696 collection, so authors are confident that further results and feedbacks should be available in the next months.

697 It should be recognized that the proposed solution should be extended for many different applications with the following  
 698 specifications:

- 699 • mission profiles involving frequent accelerations and decelerations (needed to exploit a KERS)
- 700 • on board servo-hydraulics systems that have to be frequently activated using a quantity of energy that can be recovered  
 701 with regenerative braking of the vehicle.

702 **In particular, some possible extensions of the proposed solution to the following applications should be considered:**

- 703 • Vehicles performing collection and manipulation tasks with hydraulic servo-systems;
- 704 • Vehicles equipped with hydraulics servo-systems used for inspection or maintenance;
- 705 • On board servo-systems designed to automate docking and transfer operation of goods;
- 706 • On board servo-system devoted to assist the access of people to public transport system.

707 In a medium-long term scenario pure full electric or hybrid vehicles should represent the ideal solution for urban services like  
 708 garbage collection.

709 However, in this work authors have demonstrated that with affordable investments and limited technical intervention it's possible  
 710 to introduce important improvements in terms of efficiency, environmental impact also on conventional or existing fleets of  
 711 industrial vehicles. It should be noticed that most of the performed modifications performed on the vehicle and in particular on the  
 712 electro-hydraulic plant are the same that have to be performed for a full hybridization of the system so this work should be  
 713 considered also a contribution in that final direction.

## 714 7. FUTURE DEVELOPMENTS

715 An immediate development should be represented by the construction and the experimental testing of a full operating prototype  
 716 on which experimental activities mainly concerning model validation and system calibration is performed. **Since the installation  
 717 of the proposed system has started on a first production of about a hundred of vehicles, authors are confident that further  
 718 experimental data should be also available from the monitoring of this first generation of the product.**

719 In parallel, the development of a pure electric or full hybrid solution that should be the object of a future work, has been started.

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 726

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