

1 **Biostratigraphy, geochronology and sedimentation rates of the upper Miocene**  
2 **Pisco Formation at two important marine vertebrate fossil-bearing sites of**  
3 **southern Peru**

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29 **Abstract**

30 The Mio-Pliocene Pisco Formation, Peru, is a world renowned Konservat-Lagerstätte. Beside its  
31 potential in preserving important information on the history of the Pacific Ocean during the  
32 Miocene, the Pisco Fm. provides palaeontologists with the unique opportunity to study in detail the  
33 evolution of marine vertebrate fauna during the Neogene. Because diatomites are one of the main  
34 lithologies of the Pisco Fm., previous authors have hypothesised exceptionally high sedimentation  
35 rates related to the deposition of diatom frustules as the main cause leading to the formation of the  
36 Lagerstätte. However, sedimentation rates were never calculated. With the perspective to build a  
37 solid stratigraphic framework for the whole Pisco Fm., we started to investigate two important  
38 fossiliferous sites: Cerro Colorado and Cerro Los Quesos. Within these two areas, measurement of  
39 multiple stratigraphic sections combined with extensive field mapping of a number of distinct

40 marker beds was carried out, which allowed a high-resolution correlation and creation of a local  
41 lithostratigraphic framework. Integrated tephrostratigraphy,  $^{40}\text{Ar}/^{39}\text{Ar}$  dating and diatom  
42 biostratigraphy permitted an accurate chronostratigraphy to be established. Both biostratigraphic  
43 and radiometric ages converge to indicate a late Miocene age for the two sites, amending previous  
44 attribution of Cerro Colorado and Cerro Los Quesos to the middle Miocene. Age models for the site  
45 of Cerro Los Quesos reveal that sedimentation rates are high but in line with those of high-  
46 productivity environments, thus invalidating the hypothesis of exceptional sedimentation rates as  
47 the main cause for the formation of the Lagerstätte. These results are extremely important also in  
48 the perspective of building a complete stratigraphic framework for the Pisco Fm.

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50 **Keywords:** Pisco Formation, diatom biostratigraphy, tephrostratigraphy,  $^{40}\text{Ar}/^{39}\text{Ar}$  ages, upper  
51 Miocene, sedimentation rates.

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66 **1. Introduction**

67 The Pisco Formation is a Mio-Pliocene diatomaceous formation and a world renowned Konservat-  
68 Lagerstätte cropping out for 300 km along the Peruvian coast, from Pisco to Yauca (Fig. 1A). Its  
69 fossil marine vertebrates have been raising the interest of palaeontologists for years. There are  
70 numerous papers describing new vertebrate species found in the Pisco Fm. (e.g., Lambert et al.  
71 2008, 2009, 2010 b, 2013, 2014, 2016, in press; Bianucci et al. 2010, 2016a, 2016b, 2016c, and  
72 references therein), their ecology (Lambert et al. 2010a, 2014, 2015; Collareta et al. 2015) and  
73 taphonomy (Esperante et al. 2008, 2015; Ehret et al. 2009). Most recently, researchers have focused  
74 their attention on the possible mechanisms that led to such a well-preserved fossil-rich deposit. A  
75 rapid sinking of the carcasses in soft unconsolidated sediment is considered by different authors  
76 (Brand et al. 2004; Gariboldi et al. 2015) as a likely mechanism to explain the exceptional  
77 preservation of the vertebrate skeletons. Some authors advocated also extremely high sedimentation  
78 rates (of one or two orders of magnitude higher than in modern upwelling settings) to explain the  
79 formation of the Lagerstätte (Brand et al. 2004; Esperante et al. 2015). We had proposed instead  
80 that there are many causes that led to the formation of the Pisco Lagerstätte, one of these being the  
81 palaeogeochemical conditions of the sea bottom environment at the time of the carcasses deposition  
82 (Gariboldi et al. 2015; Gioncada et al. 2016).

83 To date, no attempt has been made to calculate the sedimentation rate along measured  
84 sections. Throughout this work we will present biostratigraphic and geochronologic data with the  
85 aim of calculating sedimentation rates in the Pisco Basin during the deposition of the Pisco Fm.

86 Different authors have previously dated the Pisco Fm. using different methods, including  
87 K/Ar and  $^{40}\text{Ar}/^{39}\text{Ar}$  ages and diatom, radiolarian and planktonic foraminifera biostratigraphy (see  
88 Table 1 for all the references). K/Ar dates, although well positioned along sections, are biased by  
89 the low precision of the method, while most of the times  $^{40}\text{Ar}/^{39}\text{Ar}$  dates are provided without any  
90 information about the analytical precision, the measurement error, and the exact stratigraphic  
91 position. Generally, ages were used to date individual localities without any attempt to

92 correlatethem. Brand et al. (2011), by identifying different marker beds, were the first to attempt a  
93 correlation between different outcrops exposed along the Ica Valley River but they did not provide  
94 a precise location for their dating points. Biostratigraphy, mainly based on diatoms (Mertz 1966;  
95 Macharè and Fourtanier 1987; Schrader and Ronning 1988, Tsuchi et al. 1988; Koizumi 1992), was  
96 also extensively used but many of the bioevents and species used in those works have been  
97 thoroughly revised since the 80s (Gariboldi, 2016).

98 In this work, we developed a robust lithostratigraphic framework for two localities where  
99 the Pisco Fm. crops out: Cerro Colorado (CC hereafter; Di Celma et al. 2016a) and Cerro Los  
100 Quesos (CLQ hereafter; Di Celma et al., 2016b) (Fig. 1B). Stratigraphically complete, composite  
101 sediment columns (Fig. 2A, B) were assembled by combining a set of individual measured sections  
102 that were laterally correlated in the field by literally walking on the local marker beds. Diatomites  
103 and tephra samples were collected along these sections to develop the chronostratigraphic model  
104 presented in this work; this is based on new micropalaeontologic data and radiometric ( $^{40}\text{Ar}/^{39}\text{Ar}$ )  
105 age determinations. The Pisco Fm. diatom biostratigraphy was revised following most recent  
106 publications on Equatorial Pacific diatom biostratigraphy (mostly Barron 2003), although we are  
107 aware that a solid calibration of bioevents with magnetostratigraphy/astrochronology in this region  
108 is still lacking and would produce more reliable results.

109 Beside the debate on the origin of the Lagerstätte, a high resolution chronostratigraphic  
110 framework for the Pisco Fm. is of fundamental importance to reconstruct in detail the evolutionary  
111 history of the impressive vertebrate fauna of the Pisco Basin, which has been mapped in detail at  
112 CC and CLQ (Bianucci et al. 2016a, 2016b). For example, this work could provide a more precise  
113 age constraint for the giant raptorial sperm whale *Livyatan melvillei* of which the holotype and only  
114 known specimen was found at CC (Lambert et al. 2010b). This datum would be important, since the  
115 appearance of this megapredator could have had a high impact in the marine vertebrate ecosystem.

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## 117 **2. Geologic setting**

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119 *2.1. Stratigraphy*

120 The Cenozoic tectonics of Peru was controlled by the oblique subduction of the eastern edge of the  
121 oceanic Nazca Plate underneath the Peruvian portion of the South American plate (e.g., Barazangi  
122 and Isacks 1979; Pilger 1981; Cahill and Isacks 1992; Gutscher et al. 2000). The collision of the  
123 two plates deformed the leading edge of the South American Plate and developed a composite  
124 transform-convergent margin characterised by lateral strike-slip, normal and oblique-slip faults that  
125 formed a number of elongated basins along the western margin of Peru (León et al. 2008; Zúñiga-  
126 Rivero et al. 2010). The (East) Pisco Basin is one of these fault-bounded basins and its sedimentary  
127 fill includes the Eocene Paracas Group (Los Choros and Yumaque Formations), the late early-early  
128 late Oligocene Otuma Formation, the late Oligocene-middle Miocene Chilcatay Formation, and the  
129 Miocene-Pliocene Pisco Formation (Dunbar et al. 1990; DeVries 1998). These sedimentary  
130 packages are bounded by well-defined, regionally traceable angular unconformities recording  
131 discrete periods of subaerial exposure. Regional uplift and deep erosion followed in the late  
132 Pliocene and Quaternary to reveal the complex interplay between depositional history and tectonic  
133 deformation during the evolution of the Basin (León et al. 2008).

134 The Pisco Fm. is characterised by a wide spatial variability in thickness and sedimentary  
135 facies. Previous measurement of sections at different localities led to the subdivision of the Mio-  
136 Pliocene Pisco Basin in a north (Pisco area), central (Ica River Valley) and southern (Sacaco) Basin  
137 (e.g., Marty 1989, Dunbar et al. 1990). A robust lithostratigraphic framework (Di Celma et al.  
138 2016a, 2016b) and a detailed structural analysis of fault systems (Rustichelli et al. 2016a, b) have  
139 been developed at CC and CLQ, some 25 and 50 km south of the Ica town.

140 At CC, the measured stratigraphy of the Pisco Fm. has been subdivided into two  
141 allomembers separated by an intraformational unconformity. The Lower Allomember lies with  
142 marked angular unconformity directly on the deformed strata of the underlying Chilcatay Fm. and  
143 consists of diatomites, diatomaceous siltstones with minor dolomite horizons and occasional

144 volcanic ash layers, lying on a basal conglomerate and burrow-mottled sandstone beds. The Upper  
145 Allomember comprises a basal pebble-bearing interval overlain by a 50 m thick sand-prone  
146 package, diatomaceous siltstones and diatomite beds with subordinate volcanic ash layers,  
147 sandstone beds and dolomite horizons (Di Celma et al. 2016a).

148 At CLQ, the measured stratigraphy mainly consists of diatomaceous siltstones and diatomites  
149 with interbedded ash layers and dolomite layers lying on basal sandstone beds (Di Celma et al.  
150 2016a). The CLQ section has been divided into 6 Members (from A to F, Fig. 2B) distinguished on  
151 the basis of their characteristic lithofacies (Di Celma et al., 2016b). The intraformational  
152 unconformity documented at CC was only recently recognised at CLQ and represents an  
153 improvement of Di Celma et al. (2016b). At CLQ it is at about 29 m above the base of the section  
154 (abs hereafter) and is marked by a 20 cm thick, laterally discontinuous lag of molluscs, shark teeth  
155 and phosphorite nodules. Consequently, also at CLQ the Upper and Lower Allomember identified  
156 at CC can be recognised.

157 In general, sedimentary structures and microfossil assemblages suggest that the water depth of  
158 the Pisco Basin at the two studied sections ranged between 0 and 100 m (inner-middle shelf), the  
159 CC section being characterised by shallower depths than CLQ for most of its development  
160 (Gariboldi et al. 2015; Di Celma et al. 2016a, 2016b).

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## 162 2.2. *Previous dating*

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164 As summarised in Table 1, previous authors have dated the Pisco Fm. by means of different  
165 methods.

166 K-Ar ages for the Pisco Fm. were first obtained for the Southern Basin (Muizon and Bellon  
167 1980; 1986; Muizon and DeVries 1985). They report late Miocene ages of 9.5 Ma (base of El  
168 Jahuay section) and 8.8 and 8 Ma (base of Aguada de Loma section) for the basal Pisco Fm. and a  
169 Pliocene age of 3.9 Ma (Sacaco section) for the upper Pisco Fm. (supplementary material, Fig. S1).

170 Dunbar et al. (1990) listed K-Ar dates obtained for the Pisco Fm. that range from  $6.89 \pm$   
171  $0.10$  Ma in the northern Basin (Monte Caucato section) to  $6.75 \pm 0.18$  Ma in the central Basin  
172 (Queso Grande section) to  $5.49 \pm 0.12$  and  $6.42 \pm 0.12$  Ma in the southern part of the central Basin  
173 (Molde de Queso and Huaracangana sections, respectively). New  $^{40}\text{Ar}/^{39}\text{Ar}$  ages are provided by  
174 Brand et al. (2011) and Esperante et al. (2015), ranging from 9.2 to 6.85 Ma for different localities  
175 in the Ica Valley and from 7.73 to 7.11 for the site of CLQ, respectively (supplementary material,  
176 Fig. S1). The stratigraphic position of such dated samples along their composite section is however  
177 not well constrained, as stated by the authors (see Brand et al. 2011, Fig. 8).

178 More recently, Ehret et al. (2012) provided ages obtained with Sr isotopic ratio (measured  
179 on mollusc shells) and zircon U-Pb dating in the southern Pisco Basin. They report age ranges of  
180 9.03-6.51 Ma for the base of El Jahuay section and 8.79-6.45 Ma for the Montemar section; these  
181 are compatible with previous K-Ar dates provided for the same sections (Muizon and DeVries  
182 1985). Conversely, data obtained by Ehret et al. (2012) on the Sud Sacaco and Sacaco horizons  
183 provided ages older than the 3.9 Ma tuff bed of Muizon and Bellon (1980).  $^{87}\text{Sr}/^{86}\text{Sr}$  analysis yield  
184 ages spanning from 6.59 Ma to 5.89 Ma for Sacaco and Sud Sacaco, moving those levels from the  
185 Pliocene to the late Miocene (see Ehret et al. 2012 for geographic location of the dated sections).

186 Biostratigraphic studies in the literature have been carried out using both macrofauna and  
187 microfossils (we report ages of First and Last Occurrences -FO and LO hereafter- preceded by 'ca.'  
188 when referring to an uncalibrated age).

189 De Muizon and DeVries (1985) attempted to develop a molluscan biostratigraphy for the  
190 uppermost Miocene - lower Pliocene outcrops in the Sacaco area. DeVries (1998) used the  
191 molluscan fauna to date the Pisco Basin deposits, considering in particular the occurrence of the  
192 bivalve *Anadara sechurana* Olsson, 1932 and of the gastropod *Turritella infracarinata*  
193 Grzybowski, 1899. As *A. sechurana* was considered not younger than middle Miocene, its  
194 occurrence was used consequently in following studies to constrain outcrops of the Pisco Fm. (e.g.  
195 Bianucci et al. 2010, Fig. S1). However, recent researches highlighted that the range of *A.*

196 *sechurana* is not limited to the middle Miocene and may extend into the early Tortonian. In the  
197 Gatun Fm. (Panama), for instance, this species ranges from 11.6 to 10.4 Ma (Austin Hendy personal  
198 communication) and its disappearance is likely related to local unfavourable environmental  
199 conditions rather than to a real extinction (Austin Hendy personal communication). As such, the use  
200 of *A. sechurana* as a reliable biostratigraphic marker for the middle Miocene is questionable.

201 Radiolaria, although sparsely present within silty diatomite and diatomaceous silty layers,  
202 provided a well constrained biostratigraphic framework for the Pisco Fm. at different localities of  
203 the north, central and south Pisco Basin (Marty 1989, also reported in Dunbar 1990). Biozone  
204 assignment identified a latest middle Miocene to early late Miocene interval (*Diarthus pettersonii*  
205 zone, 12.02-8.84 Ma following recent calibration for the eastern Equatorial Pacific, i.e. Kamimuri et  
206 al., 2009) limited to the north Basin (Monte Caucato section), a middle late Miocene interval  
207 (*Didymocyrtis antepenultima* zone, 8.84-7.74 Ma and *D. penultima*, 7.74-6.89 Ma) in some sections  
208 of the northern (Monte Caucato section) and central Basin (Cerro Yaparejo, Fundo Santa Rosa,  
209 Yesera de Amara sections) and a widespread younger late Miocene to early Pliocene interval  
210 (*Stichocorys peregrina* zone, 6.89 – 4.19 Ma) in most sections of the central and southern Basin.

211 Mertz (1966) was the first to describe diatoms from the Pisco Fm.; beside a thorough  
212 description of the observed species and a general palaeoecological framework, he also used diatoms  
213 to date the Pisco Fm., concluding that it must have been Miocene in age.

214 Macharè and Fourtanier (1987) dated the Pisco Fm. referring to the Equatorial Pacific  
215 biostratigraphic scheme of Barron (1983 and 1985) and to the north Pacific biostratigraphic scheme  
216 of Akiba (1985) and Akiba and Yanagisawa (1986). The need to use two different schemes resides  
217 in the occurrence of species with ranges described in the North Pacific scheme (i.e., *Denticulopsis*  
218 *katayamae* Maruyama, 1984 in the Akiba and Yanigasawa North Pacific scheme, 1985) rather than  
219 by Barron's 1983 Equatorial Pacific scheme. Basing their conclusions on the ranges of  
220 *Coscinodiscus plicatus* Grunow, 1878 and *Denticulopsis hustedtii* (Simonsen and Kanaya, 1961)  
221 Simonsen, 1979 (which Macharè and Fourtanier referred to as *Denticula hustedtii*) for the



222 Equatorial Pacific, Macharè and Fourtanier (1987) dated the base of the Pisco Fm. to the middle-  
223 late Miocene (14-9 Ma, as reported by the authors). However, they highlight that taking into  
224 account the North Pacific range of *D. hustedtii*, the base of the formation would be younger (11-9  
225 Ma, as reported by the authors). Notwithstanding the general lack of marker species, they also  
226 attributed the middle part of the formation exposed in the southern part of the Basin (Sacaco area,  
227 see Plate 1 of Macharè and Fourtanier, 1987 for sample locations) to the late Miocene – early  
228 Pliocene, basing their conclusion on the analogies of the association with that of the Sisquoc  
229 Formation (California). Finally, they attribute the upper part of the Pisco Fm. to the Pliocene  
230 because of the occurrence of *Koizumia tatsunokuchiensis* (Koizumi, 1972) Yanagisawa, 1994  
231 (which Macharè and Fourtanier referred to as *Rossiella tatsunokuchiensis*), *Fragilariopsis*  
232 *reinholdii* (Kanaya and Koizumi, 1970) Zielinski and Gersonde, 2002 (which Macharè and  
233 Fourtanier referred to as *Nitzschia reinholdii*) and *Shionodiscus oestrupii* (Ostenfeld, 1900)  
234 Alverson, Kang and Theriot, 2006 (which Macharè and Fourtanier referred to as *Thalassiosira*  
235 *oestrupii*). Although this attribution may still be valid, it is based on the assumption that *K.*  
236 *tatsunokuchiensis* and *S. oestrupii* first appear in the Pliocene, as reported by Barron (1985). More  
237 recently, the range of *K. tatsunokuchiensis* has been extended back in time and the species is now  
238 known to span from 9.0 Ma to 2.6 Ma (Barron 2003, middle-to-high-latitude planktonic diatoms in  
239 the North Pacific, B03's M-HLNP hereafter), while the FO of *S. oestrupii* is calibrated in the late  
240 Miocene at 5.6 Ma (Barron 2003; tropical planktonic diatoms in the Equatorial Pacific, B03's EP  
241 hereafter).

242 Diatoms were also used by Schrader and Ronning (1988) to date some stratigraphic sections  
243 of the Pisco Fm. Although they refer to Barron's 1985 Equatorial Pacific zonation, Schrader and  
244 Ronning (1988) used the species ranges of Barron both in the Equatorial Pacific zonation and in his  
245 high latitude north Pacific zonation (Barron, 1985). Schrader and Ronning (1988) and Macharè and  
246 Fourtanier (1987) used *Coscinodiscus plicatus* to date the Pisco Fm., ascribing to this species a LO  
247 older than ca. 8 Ma. We draw attention to the work of Tanimura (1996), who taxonomically re-

248 examined specimens of plicated *Thalassiosira* from DSDP North Pacific Site 173, thus implying a  
249 revision of the attribution of specimens of the Pisco Fm. to *C. plicatus*.

250 Tsuchi et al. (1988) also used diatoms to date outcrops of the Pisco Fm. exposed in the Ica  
251 River Valley; they recognised different diatom zones of Barron's 1985 low-latitude diatom zonation  
252 and referred these outcrops to the Miocene. Conversely, they attributed a late middle-late upper  
253 Miocene through Pleistocene ages for diatomaceous outcrops in the Sacaco area (see Tsuchi et al.  
254 1988 for outcrop locations).

255 Both middle-to-high-latitude North Pacific and low-latitude (Equatorial) Pacific zonations  
256 (Barron 1985) have been used in recent works by Di Celma et al. (2016a, 2016b). In particular,  
257 because of the co-occurrence of *Denticulopsis praekatayamae* Yanagisawa and Akiba, 1990 (*D.*  
258 *hustedtii* in Di Celma et al. 2016a) and *Lithodesmium reynholdsii* Barron, 1976, the sedimentary  
259 succession exposed at CC was referred to the subzone D of the *Denticulopsis hustedtii* -  
260 *Denticulopsis lauta* zone of the middle-to-high-latitude North Pacific zonation (Di Celma et al.  
261 2016a). On the other hand, species ranges from the low-latitude Pacific zonation were used to date  
262 the CLQ site; the stratigraphy spanning from 213.4 m to 277.4 m abs was constrained to the base of  
263 the *Fragilariopsis miocenica* zone (the low-latitude Pacific zonation, Barron 1985) thanks to the co-  
264 occurrence of *Nitzschia porteri* Frenguelli, 1949 *sensu* Burckle, 1972 and *Fragilariopsis miocenica*  
265 (Burckle, 1972) Censarek and Gersonde, 2002 (Di Celma et al., 2016b).

266 In this work we will present and discuss in detail biostratigraphic and geochronological data  
267 reported by Di Celma et al. (2016a, 2016b). In contrast to Di Celma et al. (2016a), where data from  
268 CC were referred to the middle-to-high-latitude North Pacific zonation of Barron (1985), in this  
269 study data obtained from both CC and CLQ were referred to the Pacific low Latitude zonation of  
270 Barron (1985) to make the results more uniform.

271

### 272 3. Materials and Methods

273

274 *3.1. Biostratigraphic methods*

275 Samples for biostratigraphic analysis were collected at about 4-5 m vertical spacing along the  
276 sections measured at CC and CLQ (enumeration of diatomite samples along the CLQ section is not  
277 sequential because samples were collected during different field seasons and along different  
278 sections that were subsequently merged together). Selected samples were dried and 0.2 g of each  
279 were treated in beakers with H<sub>2</sub>O<sub>2</sub> and HCl to remove organic matter and carbonates. The residue  
280 was repeatedly diluted in demineralised water until the pH reached a value of ca. 5. To prepare the  
281 slides, 600 µL of solution were pipetted on a cover slip previously wet with distilled water. Once  
282 dried, cover slips were mounted on microscope slides using a small amount of Norland Optical  
283 Adhesive 61 (NOA 61<sup>®</sup>). Observations were made by means of a Leitz Diaplan<sup>™</sup> light microscope  
284 at 630x and 1000x.

285 The presence and relative abundance of different diatom species were tabulated (Tables 2 and 3).  
286 The total abundance of diatoms relative to the remaining sediment (glass shards and other minerals)  
287 was assessed according to Koç and Scherer (1996):

288 D = dominant; >60% valves.

289 A = abundant; ~20%–60% valves.

290 C = common; ~5%–20% valves.

291 F = few; 2%–5% valves.

292 R = rare; <2% valves.

293 B = barren; no diatoms present.

294 The relative abundance of each diatom taxon was estimated using the following qualitative scale:

295 A = abundant; >10 valves/fields of vision (FOV).

296 C = common; 1–10 valves/FOV.

297 F = few;  $\geq 1$  valve/10 FOVs and  $< 1$  valve/FOV.

298 R = rare;  $\geq 3$  valves/traverse of coverslip and  $< 1$  valve/10 FOVs.

299 X = present;  $< 3$  valves/traverse of coverslip, including fragments.

300 B = barren; no valves.

301 Diatom preservation categories, as reported in range charts, are described qualitatively according to  
302 Barron and Gladenkov (in Rea et al. 1993):

303 VG = very good (no breakage or dissolution).

304 G = good (majority of specimens complete, with minor dissolution and/or breakage and no  
305 significant enlargement of the areolae or a dissolution of frustule rims detected).

306 M = moderate (minor, but common areolae enlargement and dissolution of frustule rims,  
307 with a considerable amount of breakage of specimens).

308 P = poor (strong dissolution or breakage, some specimens unidentifiable, strong dissolution  
309 of frustule rims and areolae enlargement).

310 The diatom zonal scheme used here mainly follows biostratigraphic studies by Barron (1985). First  
311 and Last Occurrences (FO and LO) of species are after the works of Barron (1985, 2003).  
312 Therefore, all diatom bioevents are discussed referring to the Geochronologic and  
313 Chronostratigraphic Time Scale of Berggren et al., 1995 (the one followed by Barron 2003); the  
314 terms lower, middle, upper (chronostratigraphy) and early, middle, late (geochronology) referred to  
315 subepochs are used as in Berggren et al., 1995 as well.

316 In this work, we refer to diatom species taking into account the most up-to-date taxonomic  
317 revisions. This may create some confusion when comparing our data to older papers. In particular,  
318 many specimens, previously determined as *Coscinodiscus plicatus* in numerous biostratigraphic  
319 papers, have been moved into one of the many plicated *Thalassiosira* species. We refer to  
320 Tanimura's revision (1996) to identify the plicated *Thalassiosira* specimens that we encountered in

321 our sections, and to Yanagisawa and Akiba (1990) for the taxonomy of the species belonging to the  
322 genus *Denticulopsis*.

323 Also, we provide ages of FO and LO in Ma; those are preceded by the abbreviation 'ca.'  
324 when referring to an uncalibrated age (i.e., when ages are only provided as ranges in illustrations of  
325 biostratigraphic schemes).

326

### 327 3.2. *Volcanic ashes*

328 The volcanic ash layers were described in the field for thickness, lateral continuity, grading, colour,  
329 presence of crystals (in particular biotite, which can be easily recognised with a hand-lens and  
330 which is of interest for age determinations). The ash samples were examined under a  
331 stereomicroscope and, where necessary, with smear slides analyses, with particular attention to  
332 those showing biotite or sampled in a stratigraphic position suitable for correlating different  
333 sections. Grain-size distribution was determined with a Malvern Mastersizer 2000E™ Laser  
334 Granulometer at the University of Milano Bicocca. Crystals (sanidine and biotite) and glass shards  
335 were prepared in polished resin mounts and carbon-coated for scanning electron microscopy in  
336 backscattered electron imaging (SEM-BSE imaging) and for microanalytical investigations. Energy  
337 dispersive X-ray spectroscopy analysis (SEM-EDS) analysis was carried out with a Philips™ XL30  
338 scanning electron microscope equipped with Dx4i microanalysis at Dipartimento di Scienze della  
339 Terra of the University of Pisa, with 20 kV filament voltage, 5 nA beam current and ZAF  
340 correction. Electron probe microanalysis (EPMA) was carried out with a JEOL JXA-8600™ at  
341 Consiglio Nazionale delle Ricerche, Florence and with a JEOL 8200 Superprobe™ at the University  
342 of Milan. Analytical conditions were 15kV accelerating voltage, 5nA beam current, 3 µm beam  
343 focus for biotite and 10 µm for feldspars.

344 Five samples were selected for biotite and sanidine picking, among those representative of  
345 ash layers showing only evidence of primary transport mechanisms (airfall, ocean currents,  
346 downward gravity currents; see Griggs et al. 2014).

347

### 348 3.3. $^{40}\text{Ar}/^{39}\text{Ar}$ geochronology

349

350 The samples selected for  $^{40}\text{Ar}/^{39}\text{Ar}$  dating were wet-sieved through 500-250-125-63  $\mu\text{m}$  mesh  
351 sieves. Biotite and feldspar crystals in the 125-500  $\mu\text{m}$  size range were hand-picked carefully  
352 avoiding crystals with evident signs of alteration. For feldspars, a fraction of the picked crystals was  
353 mounted on a glass slide to check identification with SEM-EDS.

354 Picked biotites and feldspars of each sample were wrapped in aluminium and irradiated in the  
355 McMaster University nuclear reactor (Ontario, Canada) for 50 hours. The age monitor was  
356 hornblende MMhb1 with an assumed age of 523.1 Ma (Renne et al. 1998). The vertical gradient  
357 was ca. 1 %/cm.

358  $^{40}\text{Ar}/^{39}\text{Ar}$  analyses by step-heating following the procedures described by Villa et al. (2000) were  
359 performed on the NuInstruments<sup>TM</sup> Noblesse<sup>®</sup> noble gas mass spectrometer at the University of  
360 Milano-Bicocca equipped with one Faraday cup with a  $10^{11}$  Ohm resistor and two ion counters.

361 The analytical protocol consisted of four measurement cycles to allow an in-run cross-calibration of  
362 the relative collector gains, F/IC0 and IC0/IC1:  $^{40}\text{Ar}$  (F),  $^{38}\text{Ar}$  (IC0),  $^{36}\text{Ar}$  (IC1);  $^{39}\text{Ar}$  (F),  $^{37}\text{Ar}$   
363 (IC0),  $^{35}\text{Cl}$  (IC1);  $^{41}\text{C}_3\text{H}_5$  (F),  $^{39}\text{Ar}$  (IC0),  $^{37}\text{Ar}$  (IC1);  $^{38}\text{Ar}$  (F),  $^{36}\text{Ar}$  (IC0). Each measurement  
364 consisted of 40 repetitions of the four cycles, so as to avoid artefacts due to ion counter  
365 nonlinearities (Barberini and Villa 2015).

366

## 367 4. Results

368

369 **4.1. Biostratigraphy: distribution of marker species along the CC and CLQ sections**

370

371 **4.1.1. CC Lower and Upper Allomember sections**

372

373 Both the Lower and the Upper Allomember of CC were deposited in high-energy nearshore and  
374 shelf settings. Sandstones are common and are characterised by neritic benthic diatom genera, such  
375 as *Diplomenora* and *Odontella* and also by rare freshwater genera, such as *Stauroneis*; species  
376 belonging to these genera are commonly long-ranging species and are not useful for biostratigraphy.  
377 Diatomites are rare, especially in the lower portions of both allomembers, and only few samples are  
378 useful for biostratigraphic purposes.

379 In the Lower Allomember (0-75.0 m abs), stratigraphically useful species are *Koizumia*  
380 *tatsunokuchiensis* (sample CCD35, 35.7 m abs, FO = 9.0, LO = 2.6 Ma, ages of B03's M-HLNP;  
381 Table 2, Fig. 3A, B), *Denticulopsis praekatayamae* (present from sample, CCD3, 1.0 m abs, to  
382 sample CCD64, 62.5 m abs; FO = 9.5 Ma, LO = 8.5 Ma, B03's M-HLNP; Table 2, Fig. 3C, D) and  
383 *Lithodesmium reynoldsii* (from sample CCD16, 11.5 m abs, to sample CCD52, 51.5 m abs; FO =  
384 9.9 Ma, LO = 8.9 Ma, ages for the B03's M-HLNP; Table 2, Fig. 3E, F, G). Apparently, *L.*  
385 *reynoldsii* is only present in the CC Lower Allomember, while probably reworked *D.*  
386 *praekatayamae* appears sporadically also in the sediments of CLQ (but not in the Cerro Colorado  
387 Upper Allomember. See Tables 2 and 3).

388 At 153.3 m (sample CCD92, Upper Allomember) rare specimens of *Thalassiosira antiqua*  
389 (Grunow, 1884) Cleve-Euler, 1884 (FO = 8.5, LO = 1.6 Ma, ages of B03's M-HLNP) are present  
390 (Table 2, Fig. 3H).

391

392 **4.2.2. CLQ section**

393

394 Similarly to CC, biostratigraphically useful species are rare along the CLQ measured section.  
395 Although diatomites are common, genera typical of upwelling environments, such as *Chaetoceros*,  
396 *Coscinodiscus* and *Thalassionema* dominate the assemblages. Nonetheless, specimens of marker  
397 species are present and help to constrain the age of the section.

398 Specimens of *Thalassiosira antiqua* are present from 72.9 to 96.5 m abs (from sample  
399 CLQ15-D19 to sample CLQD53; Table 3, Fig. 3I, J). Some of these specimens are smaller than  
400 those of *T. antiqua* at CC and their central rosette are more regular than those of the CC specimens  
401 (Gariboldi, 2016).

402 *Fragilariopsis reinholdii* (FO 7.6 Ma, LO 0.62 Ma ages of tropical planktonic diatoms in the  
403 B03's EP) is observed from 61.2 m abs throughout the section up to 286.8 m abs (from sample  
404 CLQ15-D16 to sample CLQD17; Table 3, Fig. 3K). Also *Koizumia tatsunokuchiensis* (FO 9.0 Ma  
405 LO 2.6 Ma, ages for the B03's M-HLNP) is pervasive in the section (from 113.1 m to 236.8 m abs,  
406 from sample CLQD57 to sample CLQD52; Table 3, Fig. 3A, B). *Nitzschia porteri* (FO 12.2 Ma,  
407 LO 7.1 Ma ages of B03's EP, Fig. 3L, M) is observed starting from 27.8 m abs (sample CLQD15-  
408 10, Table 3) up to 277.4 m abs (CLQD14, Table 3), its distribution crossing the intraformational  
409 unconformity (updated data if compared to those published in Di Celma et al., 2016b); in addition,  
410 *Fragilariopsis miocenica* (FO 7.3 Ma, LO 6.1 Ma ages of B03's EP; Fig. 3N) is observed from  
411 213.4 m (CLQD42) up to 277.4 m abs (CLQD14), therefore co-occurring with *N. porteri* from  
412 213.4 m abs to 277.4 m abs (Table 3).

413 Plicated *Thalassiosira* specimens (Fig. 3O) are present from 27.8 m abs (CLQ15-D10) up to  
414 289.5 m abs (CLQD19), their distribution crossing the intraformational unconformity (Table 3).  
415 SEM imagery have revealed morphological affinities of some of these specimens with  
416 *Thalassiosira flexuosa* (Brun, 1894) Akiba and Yanagisawa, 1986 as described by Tanimura  
417 (1996); those have been identified as *Thalassiosira cf. flexuosa* (Gariboldi, 2016).

418

#### 419 **4.3. Volcanic ash layers**



420

421 Over eighty volcanic ash layers were identified in the field along the CC (23 ash layers) and CLQ  
422 (59 ash layers) measured sections (Di Celma et al. 2016a, 2016b). Several other ash layers occur in  
423 the same successions, but too thin and discontinuous, or too similar in colour and grain-size to the  
424 marine sediments, to be distinguished.

425 The ash layers are mostly unconsolidated tephra; in some cases they are tuffs cemented by  
426 secondary minerals. Their base is usually sharp and marked by coarser grain-size and  
427 concentrations of crystals, when present, while the top may be sharp, irregular or diffuse, indicating  
428 variable influence of post-depositional reworking factors (currents, bioturbation). At the base, a  
429 hard gypsum/anhydrite crust of a few millimetres is commonly found. Tephra thickness is mostly 5-  
430 15 cm, but some thicker tephra (up to 50 cm), organised in different sublayers, have been found.  
431 The colour is largely grey to light grey, with transparent glass; grey to dark grey tephra are less  
432 frequent. Grain-size corresponds to unimodal or bimodal fine, very fine or extremely fine ashes,  
433 mainly moderately to well sorted (White and Houghton 2006). In the majority of tephra the  
434 components are dominated by glass shards (>85-95%), with variable amounts of juvenile crystals  
435 (biotite, sanidine and plagioclase) and traces of terrigenous and biogenic materials (Fig. 4A), but  
436 some ash layers contain >15% of non-primary material, such as rock fragments, heterogeneous  
437 crystals assemblages with olivine, pyroxene, quartz, diatoms, un-identified reddish aggregates.  
438 These were interpreted as tephra modified by reworking.

439 Among the primary ash layers, three samples for CLQ and two for CC were selected for biotite  
440 and sanidine hand-picking for  $^{40}\text{Ar}/^{39}\text{Ar}$  dating. Some physical and chemical characteristics of the  
441 primary ash layers selected for age determinations or most useful for correlations are reported in  
442 Table S1 (supplementary material).

443 The composition of tephra selected for dating is rhyolitic. BSE imaging of samples selected for  
444  $^{40}\text{Ar}/^{39}\text{Ar}$  dating does not reveal alteration by secondary mineral development (Fig. 4B, C), although  
445 minor alteration could not be excluded. Mineral chemistry data of biotite, sanidine and plagioclase

446 is reported in Table S2 (supplementary material) and shown in Fig. 5 A, B and C (supplementary  
447 material).

448 Mineral chemistry data provide evidence that different sanidine-plagioclase pairs of clusters  
449 identify different samples (Fig. 5 A), suggesting that mineral chemistry could be used to fingerprint  
450 tephra in relevant stratigraphic positions. The same occurs for biotite chemical composition: the  
451 crystals of different samples plot in clusters which are non-overlapping for several chemical  
452 parameters (e.g.  $\text{Mg}/(\text{Mg}+\text{Fe}^{2+}_{\text{tot}}+\text{Mn})$  vs. Ti), while they are rather homogeneous considering the  
453 core-to-rim variations (Fig. 5 B, C). Some samples show a few outliers, which can be interpreted as  
454 foreign biotite crystals entrained during the eruption or deposition. Of those analysed, only the  
455 biotite crystals of sample CLQ-T9b form two distinct clusters (Fig. 5B, C).

456

#### 457 **4.4. $^{40}\text{Ar}/^{39}\text{Ar}$ geochronology: results**

458

459 Four ash layers out of the selected five were suitable for dating. We analysed one ash layer from  
460 CC, CC-T1b, located 30.5 m abs from CC (Fig. 2A) and three ash layers from the succession of  
461 CLQ: CLQ-T49 (127.5 m abs, located on top of the Ballena marker bed, Fig. 2B); CLQ-T1a (the  
462 Mono marker bed, 238.5 m abs, Fig. 2B); CLQ-T9b, the highest ash layer of the succession (289.5  
463 m abs, Fig. 2B).

464  $^{40}\text{Ar}/^{39}\text{Ar}$  analyses were carried out on both biotite and feldspar separates. Table S3  
465 (supplementary material) reports the analytical data for each sample. Age spectra were either  
466 concordant (when several consecutive steps have the same "plateau" age, usually taken as the  
467 accurate age of the sample) or discordant (when step ages give no plateau, in which case the  
468 sample's age can be difficult to estimate). All of the present feldspar separates yielded discordant  
469 age spectra; their unreliable ages suggest that they were contaminated by xenocrystic or detrital  
470 grains, as also suggested by Smith et al. (2006), and/or by alteration phases, as required by the  
471 excessively high Cl/K ratios.

472 In Figs. 6A and 6B, we show the age spectra obtained from  $^{40}\text{Ar}/^{39}\text{Ar}$  analyses on biotite  
473 separates. Sample CC-T1b (Fig. 2A) gave a plateau age of  $9.10 \pm 0.04$  Ma ( $2\sigma$  error). In the CLQ  
474 succession, samples CLQ-T49 and CLQ-T1a gave ages of  $7.55 \pm 0.05$  Ma and  $6.93 \pm 0.09$  Ma,  
475 respectively (Fig. 6B). In these cases, ages were calculated only from the heating steps whose  
476 chemical signature (both Ca/K and Cl/K ratios) was both uniform and typical of genuine biotite;  
477 steps with anomalously high Ca/K and Cl/K ratios were attributed to contamination and/or  
478 alteration phases, and not considered (Fig. S2, supplementary material). For sample CLQ-T9b a  
479 discordant age spectrum was obtained, with step ages increasing steadily from  $3.2 \pm 0.7$  Ma to  $6.71$   
480  $\pm 0.02$  Ma (Fig. 6B). Figs. 6C, D show that the biotite separate of CLQ-T9b contains two different  
481 biotite populations. Fig. 6C shows a linear trend, evidence of a mainly binary mixing between one  
482 Ar reservoir having a high Cl/K ratio (and also, as seen in supplementary Fig. S2 J, a low Ca/K  
483 ratio) and an age  $\geq 6.71$  Ma, and one Ar reservoir having an age  $\leq 3$  Ma, low Cl/K and high Ca/K.  
484 We interpret the former Ar reservoir as the magmatic biotite and assign an age of  $\geq 6.71 \pm 0.02$  Ma.  
485 The bimodal distribution of phases in the analysed separate is confirmed by Fig. 6D where we can  
486 identify a cluster with a higher  $\text{Mg}/(\text{Mg}+\text{Fe}^{2+}_{\text{tot}}+\text{Mn})$  and lower alkalis; the substoichiometric  
487 Na+K+Ca interlayer occupancy is evidence that this population consists of non-primary, altered  
488 biotite that gives inaccurately low apparent ages.

489

## 490 **5. Discussion**

491

492 The absolute ages of many LO and FO of diatom markers that we observed are well known in the  
493 Pacific. However, specimens of stratigraphically useful pelagic species are rare in the sediments of  
494 both CC and CLQ, mainly due to dilution by abundant neritic and/or upwelling-characteristic  
495 species. Moreover, the timing of marker species ingression in the basin may have been related to the

496 flow of oceanic currents into it. As a consequence of these two phenomena, bioevents can appear  
497 delayed (FO) or anticipated (LO) in the basin if compared to pelagic settings.

498 Integration with  $^{40}\text{Ar}/^{39}\text{Ar}$  data validates our biostratigraphic results. These, as well as  
499  $^{40}\text{Ar}/^{39}\text{Ar}$  ages, place both successions of CC and CLQ in the late Miocene.

500 The oldest  $^{40}\text{Ar}/^{39}\text{Ar}$  age was obtained for the base of the CC succession ( $9.10 \pm 0.04$  Ma for  
501 the Lower Allomember, 30 m abs) and younger ages for the succession at CLQ (from the lowest to  
502 the highest:  $7.55 \pm 0.05$  Ma;  $6.93 \pm 0.09$  Ma;  $t \geq 6.71 \pm 0.02$  Ma).

503

#### 504 ***5.1. Diatom biostratigraphy and chronostratigraphy of the CC Lower Allomember.***

505

506 At CC, the Pisco Fm. lies directly on the Chilcatay Fm. and the base of our CC section is  
507 also the base of the Pisco Fm. However, the base of the Pisco Fm. is diachronous throughout the  
508 Basin and could be older or younger at other sites. The co-occurrence of *L. reynoldsii* (FO = 9.9  
509 Ma, LO = 8.9 Ma, Barron 2003, Fig. 3E, F, G) and of *D. praekatayamae* (FO = 9.5, LO = 8.5 Ma,  
510 Barron 2003, Fig. 3C, D) from 11.5 m to 51.5 m abs (samples CCD16 to CCD52, Table 2)  
511 constrains the age of this part of the section between 9.5 and 8.9 Ma (Barron 2003), corresponding  
512 to the *Thalassiosira yabei* zone (Fig. 7) of the Pacific low Latitude zonation of Barron (1985). Our  
513 results differ from previous younger and older age assignments for *L. reynoldsii* (respectively ca.  
514 6.5-5.7 Ma, Fig. 9.1 of Schrader and Ronning 1988 and ca. 10-11 Ma, Macharè and Fourtanier  
515 1987) but agree with the  $9.10 \pm 0.04$  Ma  $^{40}\text{Ar}/^{39}\text{Ar}$  age that we obtained at 30.6 m abs (CC-T1) (Fig.  
516 2A). Our combined data thus confirm a late Miocene (Tortonian) age for CC Lower Allomember  
517 and amend previous age assignment to the middle Miocene (Bianucci et al. 2010; Lambert et al.  
518 2010a, b; Parham and Pyenson, 2010; Pimiento and Balk, 2015) based on the occurrence of  
519 *Anadara sechurana*, a bivalve that was typically found in the middle Miocene of the Pisco Basin  
520 (DeVries 1998). As such, our results extend the range of *A. sechurana* into the upper Miocene of  
521 the Pisco Basin, as also found in Panama (Austin Hendy personal communication).

522

523 **5.2. Diatom biostratigraphy and chronostratigraphy of the CC Upper Allomember and of CLQ**

524

525 Important biostratigraphic markers do not occur in the CC Upper Allomember, apart from  
526 *Thalassiosira antiqua*, which is present at 153.3 m abs (CCD92, Table 2, Fig. 3H).

527 Specimens of *T. antiqua* are also present at CLQ from 72.9 m to 96.5 m abs (from sample  
528 CLQ15-D19 to sample CLQD53 Table 3, Fig. 3I, J). This observation, coupled with the finding of  
529 the same internal unconformity at CC and CLQ, leads us to state that the CC Upper Allomember  
530 correlates with the portion of CLQ section above 29 m abs. The range of *T. antiqua* is calibrated to  
531 the middle to high-latitude North Pacific and its range spans from 8.5 Ma to 1.6 Ma (Barron 2003),  
532 suggesting that sediments of CC above sample CCD92 (153.3 m abs) and sediments of CLQ above  
533 sample CLQ15-D19 (72.9 m abs) are younger than 8.5 Ma. Although First and Last Occurrences of  
534 the cold-water *T. antiqua* should be considered with caution as bioevents along the CLQ section,  
535 the  $7.55 \pm 0.05$  Ma radiometric age obtained for the CLQ-T49 tephra (127.5 m abs) is in agreement  
536 with a calibrated age of 8.5 Ma for the FO of *T. antiqua*. Notwithstanding the absence of dated  
537 tephra in the CC Upper Allomember, the occurrence of *T. antiqua* at 153.3 m abs and the  
538 consequent correlation with the CLQ 72.9-96.5 m abs interval (Table 2 and 3) allow us to infer that  
539 the age-range of the CC Upper Allomember is very close to a range between 8.5 Ma and  $7.55 \pm$   
540 0.05 Ma.

541 At CLQ, in the stratigraphic interval spanning from 213.4 to 277.4 m abs (Table 3, updated  
542 data compared to those published in Di Celma et al., 2016a), the co-occurrence of *Nitzschia porteri*  
543 (Fig. 3L, M) and *Fragilariopsis miocenica* (Fig. 3N) constrains the age between 7.3 Ma (FO of *F.*  
544 *miocenica*) and 7.1 Ma (LO of *N. porteri*), corresponding to the *Fragilariopsis miocenica* zone  
545 (Fig. 7) of the Pacific low Latitude zonation (Barron 1985; Table 3). *Fragilariopsis miocenica* was  
546 previously reported in the Pisco Fm. by Macharé and Fourtanier (1987) and Koizumi (1992) as  
547 *Nitzschia miocenica*. On the contrary, *N. porteri* was only reported by Koizumi (1992). If the  $7.55 \pm$

548 0.05 Ma radiometric age at 127.5 m abs (CLQ-T49) agrees perfectly with the supposed FO of *F.*  
549 *miocenica* at 213.4 m abs (7.3 Ma), it could initially appear that the  $6.93 \pm 0.09$  Ma radiometric age  
550 of CLQ-T1a, (238.5 m abs) disagrees with the LO of *N. porteri* (7.1 Ma), which is found 38.9 m  
551 above the CLQ-T1 tephra. This inconsistency is probably related to the need for a recalibration of  
552 the diatom bioevents in this oceanographic zone, and is certainly related to the fact that the  
553 systematic uncertainty of the bioevent ages is heavily underestimated (under no circumstances can a  
554 calibration from an interpolation derived from measurements be zero). If one takes into account a  
555 conservative estimate of  $\pm 0.1$  Ma propagated uncertainty for any bioevent, it becomes evident that  
556 the disagreement disappears. The disappearance of *T. antiqua* in the CLQ sediments well before the  
557 7.3 - 7.1 Ma interval (Table 3) confirms that the range of the species in the Pisco Fm. does not  
558 reflect its full range observed in the high-latitude North Pacific.

559 We therefore confirm that the sedimentary succession exposed at Cerro Los Quesos is late  
560 Miocene in age, as stated by Di Celma et al. (2016a) and in contrast to what suggested by previous  
561 authors who placed Cerro Los Quesos in the middle Miocene (Lambert et al. 2009).<sup>40</sup>Ar/<sup>39</sup>Ar ages  
562 obtained for CLQ agree with those provided by Esperante et al. (2015) for the same site, spanning  
563 from 7.73 to 7.11 Ma, although the latter are provided without stratigraphic positioning.

564

### 565 **5.3. Comments on other diatom biostratigraphic markers.**

566

567 Some other species used as stratigraphic markers in the Pacific zonations are present in the Pisco  
568 Fm. Because their presence may be useful for a future zonation of the Pisco Fm., we list them here  
569 briefly. For a detailed discussion see Gariboldi (2016).

570 *Koizumia tatsunokuchiensis* (Fig. 3A, B) is present at CC at 35.7 m abs (sample CCD35,  
571 Table 2) and at CLQ in the 113.1 – 236.8 m abs interval (samples CLQD57-CLQD52, Table 3). Its  
572 range is used in the middle-to-high-latitude North Pacific zonation (Barron 1985) and has recently

573 been amended: it spans from 9.0 Ma to 2.6 Ma (species ranges of B03's M-HLNP). Our work  
574 further supports that *K. tatsunokuchinesis* has a long range that begins in the Tortonian.

575 We also report the presence of plicated *Thalassiosira* specimens (Fig. 3O) in four CC  
576 samples, both in the Lower and Upper Allomember (CCD5, 1.8 m abs; CCD42, 43.2m abs; CCD58,  
577 57.7 m abs; CCD86, 131.7 m abs, Table 2) and throughout the CLQ section (CLQ15-D10, 27.8 m  
578 abs - CLQD19, 289.5 m abs, Table 2). Plicated *Thalassiosira* specimens of the Pisco Fm. were  
579 identified as *Coscinodiscus plicatus* by Schrader and Ronning (1988) and by Macharé and  
580 Fourtanier (1987). Schrader and Ronning (1988) assigned to them a range from ca. 9 Ma to ca. 8  
581 Ma (fig. 9-1 Schrader and Ronning 1988), while Macharè and Fourtanier (1987) used the range  
582 proposed in Barron's zonation (1985) (from ca. 14.1 to ca. 8.6 Ma). Following the revision of  
583 plicated *Thalassiosira* species made by Tanimura (1996), we identified some of the plicated valves  
584 in the CLQ sediments as *Thalassiosira* cf. *flexuosa*, (Gariboldi, 2016), implying that age  
585 attributions operated by Macharè and Fourtanier (1987) and Schrader and Ronning (1988) using the  
586 *C. plicatus* ranges should be revised (Gariboldi, 2016).

587 Finally, we also point out that the presence of *Delphineis urbinai* Gariboldi 2016 (Fig. 3P),  
588 although not considered a marker species (Gariboldi, 2016), is restricted to 231.2 m abs of the CLQ  
589 section (CLQD46; only one specimen was observed in sample CLQD11, 262.0 m abs Table 3).

590

#### 591 **5.4. CLQ Age models and relative sedimentation rates.**

592

593 Given the few control points at CC, it was not possible to build an unambiguous age model for this  
594 site. We built two alternative age models for CLQ, from Member C upwards. As discussed above,  
595 bioevents in the Pisco Fm. are not to be considered as strictly constrained in time. However, to build  
596 our first age model, we considered the first and last samples where *Fragilariopsis miocenica* and  
597 *Nitzschia porteri* have been documented in the CLQ section, as if they were respectively their real

598 FO and LO (Fig.8A), plus the  $^{40}\text{Ar}/^{39}\text{Ar}$  ages; a second age model was built taking into account only  
599  $^{40}\text{Ar}/^{39}\text{Ar}$  ages (see Fig. 8B). For both models, we considered the CLQ-T9b tephra ( $\geq 6.71 \pm 0.02$   
600 Ma) as equal to  $6.71 \pm 0.02$  Ma.

601 The first model is based on the two bioevents, the FO of *F. miocenica* at  $x = 7.3 \pm 0.1$  Ma and  $y =$   
602  $213.5 \pm 1$  m abs, and the LO of *N. porteri* at  $x = 7.1 \pm 0.1$  Ma and  $y = 277.4 \pm 1$  m abs. The  
603 resulting sedimentation rate is 32 cm/ka (Fig. 8A, black solid line); propagating uncertainties lead to  
604 an extremely wide confidence interval, between 16 cm/ka (Fig. 8A, purple dotted line) and infinity  
605 (Fig. 8A, blue dotted line), as the two LO/FO age estimates are so close to each other. It can be seen  
606 that the extrapolation of the 32 cm/ka sedimentation rate downsection to the location of tephra  
607 CLQ-T49 predicts an age of 7.57 Ma (Fig. 8A, black dotted line), in perfect agreement with its  
608  $^{40}\text{Ar}/^{39}\text{Ar}$  age. In turn, hybridising the bioevent model with the one isotopic age of CLQ-T49 results  
609 in a much lower uncertainty for the sedimentation rate, which becomes  $33 \pm 9$  cm/ka (Fig. 8A,  
610 orange dotted line). However, the other two  $^{40}\text{Ar}/^{39}\text{Ar}$  ages of tephras CLQ-T9 and CLQ-T1a are  
611 irreconcilable with both versions of this age model. Uncertainties related to sedimentation rates and  
612 extrapolated ages were calculated by means of IsoPlot 2.06 (Ludwig 1999).

613 The second age model takes into account only the  $^{40}\text{Ar}/^{39}\text{Ar}$  ages (Fig. 8B). The overall  
614 sedimentation rate between CLQ-T9b and CLQ-T49 is  $19 \pm 1$  cm/ka (Fig. 8B, black solid line); the  
615 age of less precise intermediate biotite sample, CLQ-T1a, lies on the line defined by the two more  
616 precise extreme samples, which would predict an age of  $6.97 \pm 0.03$  Ma for it. This is compatible  
617 with, but no firm proof of, a constant sedimentation rate. However, if this model is taken as  
618 accurate, the FO of *Fragilariopsis miocenica* ( $7.3 \pm 0.1$  Ma) and the LO of *Nitzschia porteri* ( $7.1 \pm$   
619  $0.1$  Ma) end up very far from the regression line, which assigns a calculated age of  $7.1 \pm 0.1$  Ma and  
620 of  $6.7 \pm 0.1$  Ma to the FO and for the LO respectively for the elevation at which they are observed,  
621 namely  $213.5 \pm 1$  m abs and  $277.4 \pm 1$  m abs. As the *N. porteri* specimens are not reworked, only  
622 one explanation for this inconsistency in age model B appears tenable: the diatom bioevents that we  
623 used were not calibrated in this oceanographic region.



624 On the whole, obtained sedimentation rates range from ca. 19 to ca. 33 cm/ka. As we  
625 consider the extrapolation of open-ocean bioevent ages to the Pisco Basin problematic, we propose  
626 that the most likely sedimentation rate is that shown in Age Model B based on  $^{40}\text{Ar}/^{39}\text{Ar}$  ages (Fig.  
627 8),  $19 \pm 1$  cm/ka.

628 The obtained sedimentation rates are certainly very high but not exceptional if compared  
629 with those of other high productivity basins, calculated on core sediments. We cite as examples: a)  
630 Pleistocene of DSDP Site 478 (Gulf of California, DSDP Leg 64), >125 cm/ka (Schrader 1982); b)  
631 Quaternary of ODP Site 686 (West Pisco Basin, ODP Leg 112), 16 cm/ka (Suess and Von Huene  
632 1988); c) Pleistocene of ODP Site 1078 (outside the Bight of Angola, ODP Leg 175), 60 cm/ka  
633 (Wefer et al. 1998); d) Pleistocene of ODP Site 881, 5.6 cm/ka and Mio-Pliocene of ODP Site 883,  
634 9.1 cm/ka (Subarctic Pacific Ocean, ODP Leg 145; Rea et al., 1993) Because most of these  
635 examples are all related to Quaternary sediments, we can hypothesise that such young sediments are  
636 less compacted than those of the Miocene Pisco Fm. (and therefore not completely comparable).  
637 We can correct for compaction using the approach of Isaacs et al. (1983), who proposed a  
638 compaction of 60% for the Miocene diatomaceous sediments of the Monterey Fm., California. By  
639 applying this percentage of compaction to the 19 cm/ka obtained in model B, a “real” sedimentation  
640 rate of ca. 32 cm/ka (0.32 mm/a) would result. Therefore, although the proposed age models present  
641 some issues, they demonstrate that the sedimentation rates that characterised the Pisco Fm. during  
642 its deposition were not exceptional. These results strongly contrast with previous literature, which  
643 suggested that the sedimentation rates in the Pisco Fm. were two to four orders of magnitude higher  
644 (cm/a to m/a), to justify the exceptional preservation of fossils (Brand et al. 2004; Esperante et al.  
645 2008, 2015).

646

## 647 **6. Conclusions**

648 This work combines detailed studies concerning the biostratigraphy and the geochronology in two  
649 fossiliferous localities (CC and CLQ) of the Pisco Fm. that were recently investigated in detail from

650 a stratigraphic, geologic and palaeontologic point of view (Bianucci et al. 2016a, 2016b; Di Celma  
651 et al. 2016a, 2016b; Rustichelli et al. 2016a, b). These sites, previously referred to the middle  
652 Miocene, have now been re-assigned to the late Miocene, as strongly supported by the existing  
653 agreement between diatom biostratigraphy and  $^{40}\text{Ar}/^{39}\text{Ar}$  dating. In particular:

654

- 655 - the CC Lower Allomember has been placed in the *Thalassiosira yabei* zone (late Miocene,  
656 Pacific low latitude zonation, Barron 1985) because of the co-occurrence of *Lithodesmium*  
657 *reynoldsii* (FO 9.9 Ma, LO 8.9 Ma) and *Denticulopsis praekatayamae* (FO 9.5 Ma, LO 8.5  
658 Ma) and by means of  $^{40}\text{Ar}/^{39}\text{Ar}$  dating (CC-T1 =  $9.10 \pm 0.04$  Ma).
- 659 - Due to the presence of *Thalassiosira antiqua* the CC Upper Allomember is correlated with  
660 the section exposed above 29 m abs at CLQ.
- 661 - The portion of CLQ section from 213.4 m to 277.4 m abs has been referred to the late  
662 Miocene *Nitzschia miocenica* zone (Pacific low latitude zonation, Barron 1985) because of  
663 the co-occurrence of *Nitzschia porteri* and *Fragilariopsis miocenica* (7.3 Ma - 7.1 Ma).
- 664 -  $^{40}\text{Ar}/^{39}\text{Ar}$  ages obtained from CLQ tephra indicate a late Miocene age for the >29 m abs  
665 section at this site, spanning from  $7.55 \pm 0.05$  Ma (CLQ-T49) to  $t \geq 6.71 \pm 0.02$  Ma (CLQ-  
666 T9b).

667

668 As a result, two very different age models for the site of CLQ are proposed here, which agree in  
669 estimating that the overall average sedimentation rate during the deposition of this section was ca.  
670 19 cm/ka to at most ca. 33 cm/ka, in line with other high productivity basins.

671 As a consequence, it is possible to state that during its deposition the Pisco Fm. was  
672 characterised by sedimentation rates that are comparable to those of modern environments. This  
673 result leads to important conclusions:

- 674 a) although high sedimentation rates may have played a role, the exceptional preservation of  
675 fossils of the Pisco Fm. is likely to be due to some additional cause;

676 b) Contrary to statements in the literature, the sedimentation rates and environmental  
677 conditions during the accumulation of the sediments of the Pisco Fm. were similar to those  
678 of modern environments. As such, it is through the observation of the latter that a better  
679 understanding of the sedimentary and geochemical processes that led to the formation of the  
680 Pisco Lagerstätte can be reached.

681 The results stemming from this work do not only provide a high-resolution chronostratigraphic  
682 framework for the fossil vertebrate fauna occurring at CC and CLQ. They also represent a first step  
683 of a wider research in progress that endeavours to reconstruct, with the same approach, a complete  
684 framework of the Pisco Fm. and subsequently of the entire marine stratigraphic succession  
685 deposited in the Pisco Basin from the middle Eocene to the late Pliocene. This broad final result  
686 will be fundamental to reconstruct in detail the evolutionary patterns of the marine vertebrates of  
687 the Pisco Basin and to correlate them with those on a global scale.

688

## 689 **7. Acknowledgments**

690 We would like to thank Andrey Gladenkov and John Barron for fruitful discussion and suggestions  
691 on Tropical Pacific Diatom Biostratigraphy. Roberto Albani and Franco Colarieti for lab assistance  
692 and, above all, for their kindness and helpfulness. We also would like to thank Rafael Varas-Malca  
693 and Walter Aguirre for their assistance during our visits to the Museo de Historia Natural,  
694 Universidad Nacional Mayor de San Marco (Lima, Peru). This research was supported by a grant of  
695 the Italian Ministero dell'Istruzione dell'Università e della Ricerca (PRIN Project 2012YJSBMK)  
696 and by a National Geographic Society Committee for Research Exploration grant (9410–13) to G.  
697 Bianucci. We also thank the Reviewers for their precious suggestions to improve the paper.

698

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