

1 **Indices of oxidative damage to phenolic compounds in olive fruits for the**  
2 **implementation of olive paste malaxation optimization charts**

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22

23 **Running title:**

24 Malaxation oxidative damage indices

25 **Abstract**

26 An original kinetic study of the transformation phenomena of phenolic compounds in  
27 olive paste was carried out at different malaxation time-temperature conditions under  
28 exposure to air, using Abencor lab equipment to process olives (Frantoio cv) of a known  
29 degree of ripeness.

30 Empirical kinetic models and the relevant apparent kinetic constants were determined  
31 for the following significant indices: total phenolic compound content in vegetation  
32 water samples using the Folin-Ciocalteu method; verbascoside and  $\beta$ -OH-verbascoside  
33 contents in olive paste samples using HPLC-UV; and 3,4-DHPEA-EDA contents in  
34 olive oil samples using HPLC-UV. Two opposite phenolic compound transformation  
35 phenomena were proposed to explain the kinetic models: (i) enzymatic oxidative  
36 damage of phenolic compounds; (ii) physical and enzymatic release of phenolic  
37 compounds from cellular tissues. It was possible to propose a reference optimization  
38 chart to predict “selective” time-temperature conditions to maximize the apparent  
39 EVOO extraction yield while minimizing the degradation phenomena of phenolic  
40 compounds during malaxation.

41

42 **Keywords:**

43 Kinetics, Malaxation, Modelling, Olive oil, Phenolic compounds, Yield

44

## 45 **1. Introduction**

46 Oxidation is the most frequent degradation behaviour of food after microbial spoilage  
47 phenomena. Oxidative damage to food consists of oxidation reactions in lipids, proteins  
48 and minor compounds, causing a negative effect on food, particularly in terms of  
49 sensory and nutritional qualities. Oxidative reactions involve enzymatic or non-  
50 enzymatic phenomena and they are proportionally related to food temperature (Diplock  
51 et al., 1998).

52 One of food technology's missions is to minimize oxidative damage in food processing  
53 where exposure to oxygen and, in general, operating conditions with high potentials of  
54 redox can occur. Therefore, it is necessary to select effective indices to both monitor  
55 and optimize operating conditions to control oxidative damage in food.

56 Extra virgin olive oil (EVOO) extraction processing can be an interesting example of  
57 how this approach can be applied in consideration of effects on the phenolic compounds  
58 in olive fruits. The phenolic profile has a critical role in the quality of EVOO. The  
59 amount of the different phenolic compounds is positively related to the preservation of  
60 oil quality from oxidation during shelf life, and it is responsible for EVOO's "bitter"  
61 and "pungent" sensory descriptors. Moreover, these compounds prevent ageing  
62 phenomena and several chronic diseases in humans (Clodoveo et al., 2014).  
63 Biochemical, chemical and physical phenomena that affect EVOO's phenolic profile,  
64 including enzymatic oxidative reactions, occur during the ripening of the olive fruits  
65 and the oil extraction process (Zanoni, 2014).

66 An impressive number of phenolic compounds (i.e. particularly oleoside compounds)  
67 are present in *Olea europaea* fruits. Secoiridoids, such as oleuropein,  
68 demethyloleuropein and ligstroside represent the predominant phenolic oleosides,

69 whereas verbascoside is the main hydroxycinnamic derivative of olive oil fruits.  
70 Simpler phenolic compounds such as hydroxytyrosol and tyrosol are also present. The  
71 olive cultivar, geographical area of production, climatic conditions during the crop  
72 season, crop load and olive health conditions affect the phenolic profile of olive oil  
73 fruits (El Riachy et al., 2011). The concentration of oleuropein declines with the  
74 physiological development of the fruit, whilst the concentration of verbascoside and  
75 demethyloleuropein increase with ripening (Ryan et al., 2002). Artajo et al. (2007)  
76 noted a significant decrease in phenolic compounds in the Arbequina cultivar in relation  
77 to the harvest period; in the study by Trapani et al. (2016) oleuropein and oleuropein  
78 aglycone (3,4-DHPEA-EA) contents showed a linear decrease during olive ripening for  
79 the Frantoio and Moraiolo cultivars. Water availability has a considerable effect on  
80 phenolic composition and the literature has supported that fruit moisture negatively  
81 affects the phenolic content of oil (Talhaoui et al., 2016); some studies have also  
82 reported an increase in secoiridoids in water-stressed olive trees (Artajo et al., 2006;  
83 Caruso et al., 2014).

84 However, the phenolic profile of olive oil fruits is not the same as the phenolic profile  
85 of extractable EVOO, since numerous transformation phenomena occur during the oil  
86 extraction process. Phenolic compounds are distributed greatly between the water and  
87 oil phases of olive paste, obtained by crushing the olive fruits. The greater affinity of  
88 phenolic compounds towards the water phase means that only 0.3% - 2% of the phenols  
89 available in the olive fruits are transferred to the oil (Rodis et al., 2002). Secoiridoids  
90 are the compounds with the highest transfer rate from fruits to oil, followed by simple  
91 phenols; due to its structure, no verbascoside is found in EVOO (Klen and Vodopivec,  
92 2012; Talhaoui et al., 2016). Moreover, rupturing of the olive cell tissues activates a

93 series of enzymatic and non-enzymatic phenomena in the phenolic compounds. New  
94 phenolic compounds, which are hydrolytic forms of oleuropein and ligstroside,  
95 appear in the olive paste, whereas some fruit phenols disappear after crushing; therefore,  
96 the dialdehydic form of decarboxymethyl oleuropein aglycone (3,4-DHPEA-EDA) is  
97 often EVOO's most abundant phenolic compound (Zanoni, 2014; Klen et al., 2015a).

98 Three main steps in the oil extraction process affect the EVOO's phenolic profile: the  
99 crushing of the olive fruits, malaxation of the olive paste, and mechanical separation of  
100 the oil. The crushing step causes the initial physical partition of the phenolic compounds  
101 into the oil and water phases of the olive paste and activates the enzymatic (i.e.  $\beta$ -  
102 glucosidase activity) and non-enzymatic hydrolytic phenomena that transform  
103 oleuropein and ligstroside into their respective aglycones and decarboxymethylated  
104 forms (Clodoveo et al., 2014; Leone et al., 2015). The malaxation step consists of slow  
105 and continuous kneading of the olive paste to induce physical phenomena (i.e. oil  
106 droplet coalescence, rising of oil to the surface) that improve the oil process yield  
107 (Trapani et al., 2017); in general, malaxation is expected to continue the above  
108 hydrolytic phenomena without any enzymatic oxidative degradation (i.e. polyphenol  
109 oxidase and peroxidase activities) of the phenolic compounds (Clodoveo, 2012).

110 Finally, the processing parameters during separation of the oil by centrifugation (i.e. use  
111 of a horizontal centrifuge with screw conveyor, namely "decanter") from the solid and  
112 water phases of olive paste have to be planned and controlled to maximize phenolic  
113 compound dissolution in the extractable EVOO (Altieri et al., 2013; Caponio et al.,  
114 2014).

115 In view of the various possible combinations of operating conditions, such as time,  
116 temperature, oxygen exposure and kneading tools, several studies on the effect of

117 malaxation on the phenolic profile of EVOO can be reported (Angerosa et al., 2001;  
118 Ranalli et al., 2001; Parenti and Spugnoli, 2002; Ranalli et al., 2003; Kalua et al., 2006;  
119 Migliorini et al., 2006; Artajo et al., 2007; Parenti et al., 2008; Servili et al., 2008;  
120 Boselli et al., 2009; Gomez-Rico et al., 2009; Migliorini et al., 2009; Espinola et al.,  
121 2011; Migliorini et al., 2012; Catania et al., 2013; Taticchi et al., 2013; Tamborrino et  
122 al., 2014a; Klen et al., 2015a). The literature data shows that the malaxation behaves in  
123 a more complex way than the one described above. The secoiridoid profile depends on a  
124 combination of the following three kinds of opposite phenomena: (i) enzymatic  
125 oxidative degradation catalyzed by polyphenol oxidases (PPOs) and peroxidases  
126 (PODs), which cause a decrease in the phenolic compound content; (ii) enzymatic (i.e.  
127  $\beta$ -glucosidase activity) and non-enzymatic hydrolytic phenomena that transform  
128 oleuropein and ligstroside into their respective aglycones and decarboxymethylated  
129 forms, especially the 3,4-DHPEA-EDA compound; (iii) physical and enzymatic (i.e.  
130 pectinase and cellulase activities) phenomena which promote the release of phenolic  
131 compounds from cellular tissues and then cause an increase in the phenolic compound  
132 content. Among the cinnamic acids, verbascoside content decreases, whereas its  
133 derivatives, such as the  $\beta$ -OH-verbascoside diastereoisomers, increase during  
134 malaxation.

135 The literature data shows an incomplete and not uniform overview of the overall effect  
136 of the above phenomena on the phenolic profile of EVOO (relevant remarkable data are  
137 presented as supplementary material in Table S1). However, two common behaviours  
138 seemed to be observed: the content of the most representative phenolic compounds  
139 tends to decrease with malaxation time at a constant temperature, while it tends to  
140 increase with malaxation temperature at a constant time. These effects inversely depend

141 on the oxygen exposure of the olive paste during malaxation: the higher the partial  
142 oxygen pressure, the greater the above decrease in phenolic compound content with  
143 time and the smaller the above increase in phenolic compound content with  
144 temperature.

145 No modelling based on pseudo  $n$ -order kinetics has been carried out on either the  
146 phenomena involved or the relationships of relevant rate constants with temperature.  
147 Therefore, the lack of quantitative time-temperature relationships makes it more  
148 difficult to apply the literature data to control olive paste malaxation. A kinetic approach  
149 to phenolic compound transformation phenomena may also link up to our previous  
150 time-temperature kinetic study to predict the potential effect of malaxation on extraction  
151 yield (Trapani et al., 2017), in order to strike a balance between oil yield and oil quality  
152 characteristics.

153 The aim of this work is to apply a kinetic approach to phenolic compound  
154 transformation phenomena in order to select technological indices for the  
155 implementation of olive paste malaxation optimization charts.

156

## 157 **2. Material and methods**

### 158 *2.1. Malaxation trials*

159 The olive fruits were harvested in a high-density (513 trees ha<sup>-1</sup>), fully productive olive  
160 (*Olea europea* L., cv. Frantoio) orchard located at the experimental farm of University  
161 of Pisa (Caruso et al., 2013). A sample of approx. 40 kg of fruits was harvested by hand  
162 from two adjacent trees on 21 October 2015 and quickly transported to the laboratory.

163 ~~The olive oil fruits (*Olea europea* L. Frantoio cv.) were supplied by the Pisa University~~  
164 ~~experimental farm located in Venturina (Livorno, Italy) during the 2015 crop season.~~

~~The ripe olive oil fruits were picked by hand at 08:00 a.m. at the end of October. Approximately 40 kg of olive oil fruits, which presented no infection or physical damage, were quickly transported to the laboratory.~~

The kinetic study was performed using Abencor lab equipment (Abencor analyser, MC2 Ingegneria Y Sistemas S.L., Seville, Spain) following Trapani et al. (2017). With respect to its usual use, the equipment was utilized both for the olive crushing and olive paste malaxation, but not for the olive paste centrifugation. The equipment consisted of an “MM-100” hammer mill (with 5.5 mm-diameter crusher holes) and a thermostated water bath (Thermo-mixer TB-100), with eight work sites; the work sites consisted of eight stainless steel mixing jars (speed of mixing blades: 50 rpm) under exposure to air, so that several olive paste malaxation treatments could be simulated in parallel. It was deliberately decided to perform the malaxation in this manner to make the oxidative degradation phenomena more evident.

The malaxation trials were carried out in triplicate at 22, 27, 32 and 37°C for 0, 20, 40, 60, 80 and 100 minutes; the water and paste temperatures were monitored using a type T thermocouple thermometer (Testo 926, Milan, Italy). Approximately 2.1 kg of olive paste, separated into six mixing jars each containing 350 g of olive paste, were used for each malaxation trial.

The olive paste samples were partly used to measure the phenolic compound content and partly to measure the apparent oil extraction yield, as reported below in the description of the analysis methods.

## 2.2. Analysis methods on olive oil fruits



188 The olive samples were analysed for weight, pulp/stone ratio and Maturity Index  
189 (Anonymous, 2011). The Maturity Index was based on the evaluation of the olive skin  
190 and pulp colours. The values ranged from 0 (deep green skin colour) to 7 (black skin  
191 colour with all the flesh purple to the stone).

192 A homogeneous batch of olives (i.e. approx. 300 g) were crushed in a laboratory crusher  
193 (Zeutec, Rendsburg, Germany), and the olive paste was used to make chemical analyses  
194 of the water and oil contents. The water content of the olive paste was measured by  
195 heating 60 g of the sample in an oven at 105°C until a constant weight was reached. The  
196 total oil content was determined on 5 g of dried olive paste (see the above oven  
197 method). Samples were extracted using hexane in an automatic extractor (Randall  
198 mod.148, VELP Scientifica, Milan, Italy), following the method of Cherubini et al.  
199 (2009). The characteristics of the processed olive oil fruits are given as supplementary  
200 material in Table S2.

201

### 202 *2.3. Chemical analysis methods on the olive paste, olive oil and vegetation water*

203 The phenolic compound content was extracted and determined on olive paste,  
204 vegetation water and olive oil samples. The olive oil and vegetation water samples were  
205 obtained by centrifugation (type 4239R, Alc Int. s.r.l, Milan, Italy); the olive paste  
206 samples, in 50 mL screw-cap tubes, were centrifuged at 4000 rpm (1800 G) for 15 min  
207 followed by a second centrifugation at 7000 rpm (5400 G) for 10 min. The oil and water  
208 phases were collected separately using a Pasteur pipette and then put into 15 mL test  
209 tubes for the following chemical analyses.

210 Phenolic compound content by Folin-Ciocalteu (Singleton and Rossi, 1965)

211 *Olive paste.* A 4.0 g olive paste sample was weighed in a 100 mL screw-cap tube and 80  
212 mL of MeOH/H<sub>2</sub>O solution (60/40, v/v) was added. The tube was shaken for 30 min  
213 and then was centrifuged at 4000 rpm for 15 min; the MeOH/H<sub>2</sub>O phase was collected.  
214 The above extraction method was repeated and the collected MeOH/H<sub>2</sub>O phases were  
215 brought to volume with MeOH/H<sub>2</sub>O solution (60/40, v/v) in a 200 mL flask, which was  
216 stored in a freezer at least for 2 hours; then, the above solution was filtered (FN 7  
217 Munktell, Ahlstrom Falun AB, Falun, Sweden). 1.0 mL of the filtered phenolic extract  
218 was added to 5 mL of Folin-Ciocalteu reagent and 20 mL of Na<sub>2</sub>CO<sub>3</sub> solution (20%  
219 w/v); the solution was brought to volume with purified water in a 100 mL flask and was  
220 stored for 1 hour at room temperature. The total phenolic compound content was  
221 detected at 765 nm (Lambda 35 UV/Vis Spectrometer, Perkin Elmer, Waltham, MA)  
222 and quantified using a gallic acid calibration curve ( $r^2 = 0.997$ ) as mg gallic acid kg<sup>-1</sup> of  
223 olive paste.

224 *Olive oil.* A 5.0 g olive oil sample was weighed in a 100 mL screw-cap tube and 10 mL  
225 of MeOH/H<sub>2</sub>O solution (80/20, v/v) was added. The tube was shaken for 30 min and  
226 then was centrifuged at 4000 rpm for 10 min; the MeOH/H<sub>2</sub>O phase was collected. The  
227 above extraction method was repeated and the collected MeOH/H<sub>2</sub>O phases were  
228 brought to volume with MeOH/H<sub>2</sub>O solution (80/20, v/v) in a 25 mL flask, which was  
229 stored in a freezer at least for 5 hours; then, the above solution was filtered. 1.0 mL of  
230 the filtered phenolic extract was added to 10 mL of Folin-Ciocalteu reagent (1/10  
231 diluted) and the solution was brought to volume with Na<sub>2</sub>CO<sub>3</sub> solution (7.5% w/v) in a  
232 20 mL flask; it was stored for 2 hours at room temperature. The total phenolic  
233 compound content was detected at 765 nm and quantified using a gallic acid calibration  
234 curve ( $r^2 = 0.997$ ) as mg gallic acid kg<sup>-1</sup> of olive oil.

235 *Vegetation water.* The vegetation water sample was filtered and 1.0 g of the filtered  
236 vegetation water sample was weighed and then it was brought to volume with purified  
237 water in a 20 mL flask. 1.0 mL of the phenolic extract was added to 50 ml of purified  
238 water, 5 mL of Folin-Ciocalteu reagent and 20 mL of Na<sub>2</sub>CO<sub>3</sub> solution (20% w/v); the  
239 solution was brought to volume with purified water in a 100 mL flask and was stored  
240 for 1 hour at room temperature. The total phenolic compound content was detected at  
241 765 nm and quantified using a gallic acid calibration curve ( $r^2 = 0.997$ ) as mg gallic acid  
242 kg<sup>-1</sup> of vegetation water.

#### 243 Phenolic compound content by HPLC-UV

244 *Olive paste.* The phenolic compounds were extracted from the olive paste using the  
245 Cecchi *et al.* (2013) method. An 8.0 g olive paste sample was added to a test tube  
246 together with 0.500 mL of an internal standard (i.e. syringic acid, 1.5 mg mL<sup>-1</sup> in a  
247 MeOH/H<sub>2</sub>O 80/20, v/v solution) and 30 mL of EtOH/H<sub>2</sub>O solution (80/20, v/v). The  
248 mixture was homogenized with ULTRA-TURRAX at 11,000 rpm in an ice bath for 3  
249 min and centrifuged (type PK121R, Alc Int. s.r.l, Milan, Italy) at 4,000 rpm (2000 G) at  
250 0°C for 10 min. Then the supernatant was added to a 100 mL flask and it was stored in a  
251 freezer. The extraction procedure was repeated with 30 mL of EtOH/H<sub>2</sub>O solution  
252 (80/20, v/v), and the obtained supernatant was added to the flask.

253 The obtained solution was concentrated in a vacuum at approx. 35°C, added to 2.5 mL  
254 of Milli-Q-Water (Millipore SA, Molsheim, France), washed twice with 25 mL of  
255 hexane in a separating funnel to remove lipid component, centrifuged at 14,000 rpm  
256 (24540 G) at 0°C for 5 min, and poured into a 10 mL flask. Five mL of methanol was  
257 added to the solution, which was brought to volume with Milli-Q-Water. The

258 MetOH/H<sub>2</sub>O solution of the phenolic extract was immediately used for the  
259 chromatographic analysis.

260 Chromatographic analyses were carried out using an HP1200L Liquid Chromatograph  
261 (Agilent Technologies, Palo Alto, CA), equipped with an autosampler, a column heater  
262 module, a quaternary pump, and coupled with DAD and MS detectors.

263 A Poroshell 120 EC-C18 column (3.0 mm, internal diameter; 150 mm, length; 2.7 μm,  
264 particle size) (Agilent Technologies, Palo Alto, CA) was used. It was equipped with a  
265 pre-column of the same phase. Elution was performed at a flow rate of 0.4 mL min<sup>-1</sup>  
266 with a multistep linear gradient, using H<sub>2</sub>O brought to pH 3.2 by formic acid (solvent A)  
267 and acetonitrile (solvent B). The three-step linear gradient of both solvents A and B  
268 changed as follows: from 95% A/5% B to 60% A/40% B in 40 min, with isocratic  
269 elution for 5 min, to 0% A/100% B in 5 min, with isocratic elution for 3 min, then to  
270 95% A/5% B in 2 min. The total time of analysis was 55 min. All the solvents used  
271 were of HPLC grade. Syringic acid was chosen as the internal standard. The phenolic  
272 compounds were quantified at 280 nm; syringic acid and tyrosol were chosen as  
273 external calibration standards to evaluate the relative response factor (i.e. RRF = 4.74)  
274 and phenolic compound content values were expressed as mg<sub>tyr</sub> kg<sup>-1</sup> of olive paste.

275 Verbascoside and β-OH-verbascoside diastereoisomers were also quantified at 330 nm;  
276 syringic acid and verbascoside were chosen as external calibration standards to evaluate  
277 the relative response factor (i.e. RRF = 3.04) and verbascoside and β-OH-verbascoside  
278 diastereoisomers content values were expressed as mg<sub>verb</sub> kg<sup>-1</sup> of olive paste.

279 *Olive oil.* The extraction, identification and determination of phenolic compounds were  
280 performed on the olive oil samples in agreement with the official IOC method  
281 (Anonymous, 2009). The hydrophilic phenolic compound was extracted from the oil

282 using a MeOH/H<sub>2</sub>O (80/20, v/v) solution. The phenolic compounds in the mixture were  
283 separated and determined by an HPLC series 200 LC (Perkin Elmer Inc., Waltham,  
284 MA) consisting of a Perkin Elmer series 200 autosampler and a quaternary pump,  
285 coupled with a 9050 UV-Vis detector (Varian Inc, Palo Alto, CA). The analytical  
286 conditions were: pre-column: LiChroCART® 4-4 Purospher® STAR RP-18E, 5 µm  
287 (Merck KGaA, Darmstadt, Germany); HPLC column: LiChroCART® 250-4.6  
288 Purospher® STAR RP-18E, 5 µm (Merck KGaA, Darmstadt, Germany); injection  
289 volume: 20 µl; solvent: acid H<sub>2</sub>O (0.2% H<sub>3</sub>PO<sub>4</sub>)/acetonitrile/methanol gradient as  
290 described in the official method; wavelength: 280 nm.

291 Syringic acid was used as the internal standard; syringic acid and tyrosol were chosen as  
292 the external calibration standards to evaluate the relative response factor (i.e. RRF =  
293 5.40). The phenolic compound content values were expressed as mg<sub>tyr</sub> kg<sup>-1</sup> of olive oil.

294

#### 295 *2.4. Physical analysis methods on the olive paste, olive oil and vegetation water*

##### 296 Partition coefficient

297 Partition coefficients (*P*) were determined in order to compare the difference in  
298 solubility of the phenolic compound content in the different phases during malaxation.  
299 The ratio between the total phenolic compound content in olive oil and vegetation water  
300 (*P<sub>o/w</sub>*) and the ratio between the total phenolic compound content in olive oil and olive  
301 paste (*P<sub>o/p</sub>*) were determined using analytical data from the Folin-Ciocalteu and HPLC-  
302 DAD methods, respectively.

##### 303 Apparent oil extraction yield

304 An apparent Extractability Index (*EI<sub>app</sub>*) of oil during malaxation was measured  
305 following Trapani et al. (2017). This method permitted a quick measurement of the

306 potential extraction performance by centrifugation of an olive paste malaxation  
307 treatment; hence, at increasing values this index would increase the effect of the  
308 malaxation on the olive paste, thus making the oil easier to extract industrially by way  
309 of centrifugation using a “decanter”.

310 The apparent Extractability Index ( $EI_{app}$ ) was calculated using the following ratio:

$$311 \quad EI_{app}(\%) = \frac{EY(\%)}{EY_{max}(\%)} \cdot 100 \quad [1]$$

312 where the extraction yields are expressed as percentage ratios of the mass of extracted  
313 oil and the mass of centrifuged olive paste;  $EY(\%)$  is the percentage extraction yield  
314 and  $EY_{max}(\%)$  is the percentage maximum oil extraction yield (Table S2).

315

### 316 *2.5. Data processing*

317 The analytical data were statistically processed according to a multifactor ANOVA  
318 using Statgraphics Centurion software (ver. XV, Statpoint Technologies, Warrenton,  
319 VA). Type III sums of squares were chosen and the contribution of each factor (i.e.  
320 time, temperature and replication) was measured after removing the effects of all of the  
321 other factors. The P-value test measured the statistical significance of each of the  
322 factors.

323 Time-temperature models were set up following the common kinetic approach to  
324 express the relationships between data and time as pseudo-chemical kinetics and then to  
325 correlate the relevant rate constant of the reactions with temperature. The kinetic data  
326 were processed using Table Curve 2D Version 4 software (Systos Software Inc.,  
327 Richmond, CA).

328

## 329 **3. Results and discussion**

330 In our study the choice of which phenolic compounds to measure was based on criteria  
331 of both analytical effort and the relevance of the compounds in the literature in order to  
332 study the effect of malaxation on EVOO quality (Klen et al., 2015a). Therefore,  
333 measurements using the Folin-Ciocalteu method were carried out on olive paste, olive  
334 oil and vegetation water samples to determine the total phenolic compound content in a  
335 simple way; measurements using HPLC-UV methods were carried out both to  
336 determine the total phenolic compound content, as well as the verbascoside and  $\beta$ -OH-  
337 verbascoside diastereoisomer contents in the olive paste samples, and to determine the  
338 total contents of phenolic compounds and oleuropein and derivatives in the olive oil  
339 samples.

340 In order to determine the kinetic models a prior assessment was performed of the  
341 statistic significance of the time-temperature variations of the measured indices (Table  
342 1). Significant chemical indices were highlighted for every type of sample; among these  
343 were indices of known importance (i.e. total phenolic compounds by Folin-Ciocalteu  
344 and 3,4-DHPEA-EDA) and indices about which less is known (i.e. verbascoside and  $\beta$ -  
345 OH-verbascoside diastereoisomers). Of the physical indices, the apparent Extractability  
346 Index proved to be significant, confirming what was reported by Trapani et al. (2017).  
347 Instead, the partition coefficients did not prove to be significant. These indices assumed  
348 values on average between 4 and 5%, similarly to the studies by Artajo et al. (2007).  
349 The fact that there were no variations suggests that the transformations of the phenolic  
350 compounds during malaxation did not display significant mass transfer phenomena  
351 between the water and oil phases of olive paste. The mean values of all the above  
352 significant indices are presented as supplementary material in Table S3.

353

354 3.1 Kinetic models of phenolic compound transformation phenomena

355 Table 2 shows the kinetic models of the phenolic compound transformation phenomena,  
356 which were produced by normalizing the data in Table S3, that is, by processing the  
357 data to determine their relative variation in relation to the data measured at time  $t = 0$   
358 ( $\Delta_{rel}$ ). In the case of the  $\beta$ -OH-verbascoside diastereoisomers it was preferred to  
359 determine the kinetic model relating to the sum of their contents. As they are complex  
360 phenomena all the kinetic models are empirical and the kinetic constants are apparent.

361 In the vegetation water samples the normalized total phenolic compound content by  
362 Folin-Ciocalteu decreased linearly with time at the different tested temperatures (Fig.  
363 1); a maximum decrease of approx. 40% occurred at 27°C after 100 min of malaxation.  
364 The apparent decreasing rates ( $K_{f(\vartheta)}$ ) showed an irregular trend with temperature: they  
365 increased from 22 to 27°C, then they decreased, at 37°C reaching a similar value to  
366 what was seen at 22°C. A polynomial model with a maximum point was suitable to  
367 describe this relationship (Table 2). Figure 1 shows an agreement between the  
368 experimental and predicted data.

369 The normalized verbascoside content in the olive paste samples strongly decreased with  
370 time; verbascoside disappeared almost completely at 27°C after 100 min of malaxation  
371 (Fig. 2). Nevertheless, this decrease assumed a different trend as a function of  
372 temperature, with a clear concave curve at 22 and 37°C, but an exponential curve at  
373 27°C. The general trend modelled by kinetics combined an apparent lag phase of  
374 verbascoside decrease with an apparent decreasing exponential phase (Table 2). The  
375 relationships of the apparent kinetic constants of the above phases ( $t_{lag f(\vartheta)}$ ,  $K_{f(\vartheta)}$ ) with  
376 temperature were described by polynomial models with a minimum point (Table 2).  
377 Figure 2 shows an agreement between the experimental and predicted data.



378 A similar behaviour compared with verbascoside content was evidenced for normalized  
379 3,4 DHPEA-EDA content in the olive oil samples (Fig. 3). As a result, the relevant  
380 kinetics were comparably modelled (Table 2). Figure 3 shows an agreement between  
381 the experimental and predicted data.

382 The normalized sum of the  $\beta$ -OH-verbascoside diastereoisomer content increased  
383 linearly with time at the different tested temperatures (Fig. 4); an increase of four times  
384 occurred at 37°C after 100 min of malaxation. It was possible to significantly describe  
385 the experimental data using a pseudo zero-order kinetics with a rate constant ( $K_{f(\vartheta)}$ ) that  
386 was temperature dependent through the Arrhenius equation (Table 2). Figure 4 shows  
387 an agreement between the experimental and predicted data.

388 The overall vision of the above kinetics can coherently suggest that the phenolic  
389 compound transformation phenomena were caused by two opposing phenomena during  
390 olive paste malaxation, in line with the literature data (Boselli et al., 2009; Clodoveo,  
391 2012; Taticchi et al., 2013; Clodoveo et al., 2014; Klen et al., 2015a): (i) a decreasing  
392 phenomenon probably due to enzymatic oxidative damage of the phenolic compounds;  
393 (ii) an increasing phenomenon probably due to a physical and enzymatic release of  
394 phenolic compounds from the cellular tissues. The effects of the above combination of  
395 phenomena were time-temperature dependent. In relation to the decreasing phenomenon  
396 it can be assumed that, after an activation phase, the speed increases as the temperature  
397 increases. In relation to the increasing phenomenon it can be assumed that it was absent  
398 or limited to 22 and 27°C, to then become present, at an increasing speed, at 32 and  
399 37°C, so much so that at 37°C it cancelled out the effects of the decreasing  
400 phenomenon; this phenomenon tends to die out in time, seeing as at 37°C, even after a  
401 long period of malaxation, the effects of the decreasing phenomenon were seen. Hence,

402 the irregular variation of the apparent decreasing rates with the temperature of the total  
403 phenolic compounds by Folin-Ciocalteu reflected the combination of the different  
404 speeds of the two aforesaid phenomena (Fig. 1). Similarly, the apparent lag phases of  
405 verbascoside and 3,4 DHPEA-EDA kinetics reflected either a slow decreasing  
406 phenomenon or an increasing phenomenon which disguised the effects of the decreasing  
407 phenomenon (Fig.e 2 and 3). The upshot is also that the different kinetics between the  
408 verbascoside and its  $\beta$ -OH diastereoisomers must be related to the aforesaid  
409 transformation phenomena resulting from the verbascoside (Figs. 2 and 4). The linear  
410 and exponentially temperature-dependent increase in  $\beta$ -OH verbascoside  
411 diastereoisomers could just be the expression of the decreasing phenomenon; that is,  
412 these diastereoisomers could be considered products of the verbascoside degradation  
413 due to a hydroxylation reaction, probably of an enzymatic nature. This consideration  
414 can be added to what was reported by Klen et al. (2015b).

415

### 416 *3.2 Apparent oil extraction yield kinetic models*

417 According to Trapani et al. (2017), the modelling of the evolution of the oil extraction  
418 yield, expressed as an apparent Extractability Index ( $EI_{app}$ ), by pseudo first-order  
419 kinetics was statistically significant at every malaxation temperature (Table 2). It was  
420 reasonably assumed that for  $t = 0$ ,  $EI_{app} = 0$  and that  $EI_{app}$  tends in time to  
421 asymptotically reach a maximum value of 100% ( $EI_{app,max}$ ). The rate constant ( $K_{f(\vartheta)}$ )  
422 was also significantly temperature dependent through the Arrhenius equation (Table 2).  
423 Figure 5 shows an agreement between the experimental and predicted data.  
424 Compared to the data of Trapani et al. (2017), the kinetic models were characterized by  
425 lower values of Arrhenius constants:  $K_0 = 3500 \text{ min}^{-1}$  vs.  $K_0 = 7.50 \cdot 10^7 \text{ min}^{-1}$  and  $Ea =$

426 28064 J mol<sup>-1</sup> vs.  $E_a = 54512$  J mol<sup>-1</sup>. As a result, there was a faster increase in the  
427 apparent extraction yield during malaxation. It is thought that this was possible thanks  
428 to the greater oil content (24%) and the greater Maturation Index (3.2) of the olive oil  
429 fruits (Table S2) compared to those referring (i.e. oil content = 20%; Maturation Index  
430 = 1.1) to the olives used in the experiment by Trapani et al. (2017). The data of Espinola  
431 et al. (2011) tend to confirm this hypothesis.

432

### 433 *3.3. A malaxation time-temperature optimization chart*

434 The direct application of the above kinetics enabled the construction of a synoptic chart  
435 to predict the potential effect of malaxation on phenolic compound content in isothermal  
436 conditions.

437 The chart was outlined with a logarithmic scale on the y-axis showing the malaxation  
438 time, and a linear scale on the x-axis showing the malaxation temperature (Fig. 6). On  
439 the chart it was possible to plot different relationships between the times and  
440 temperatures of malaxation, corresponding to defined quantitative levels of apparent  
441 phenolic compound oxidative damage, represented by the above selected indices. As the  
442 objective was to choose just one representative index for the vegetation water, the olive  
443 paste and the olive oil samples, it was opted to show the following defined medium-low  
444 levels of apparent oxidative damage by way of example in the synoptic chart: 10% and  
445 20% apparent decrease in the total phenolic compound content using the Folin-  
446 Ciocalteu method in the vegetation water, 10% and 20% apparent increase in the sum of  
447  $\beta$ -OH verbascoside diastereoisomers in the olive paste and 10%, and 20% apparent  
448 decrease in 3,4 DHPEA-EDA in the olive oil.

449 The malaxation time ( $t$ ) to reach the above set of apparent oxidative damage levels as a  
 450 function of the olive paste malaxation temperature ( $\vartheta$ ) was calculated according to the  
 451 relevant kinetics models in Table 2 as follows:

- 452 • for the total phenolic compound content using the Folin-Ciocalteu method:

$$453 \quad t = (1 - \Delta_{rel,ref}) \cdot \frac{1}{K_{f(\vartheta)}} \quad [2]$$

454 where  $\Delta_{rel,ref}$  is the chosen normalized value of reference (i.e. in our case 0.9 or 0.8  
 455 corresponding respectively to a 10% and 20% apparent decrease value);

- 456 • for the sum of  $\beta$ -OH verbascoside diastereoisomer content:

$$457 \quad t = (\Delta_{rel,ref} - 1) \cdot \frac{1}{K_{f(\vartheta)}} \quad [3]$$

458 where  $\Delta_{rel,ref}$  is the chosen normalized value of reference (i.e. in our case 1.1 or 1.2  
 459 corresponding respectively to a 10% and 20% apparent increase value);

- 460 • for 3,4 DHPEA-EDA content:

$$461 \quad t = \frac{\ln\left(\frac{1 + \exp(-K_{f(\vartheta)} \cdot t_{lag,f(\vartheta)}) - \Delta_{rel,ref}}{\Delta_{rel,ref}}\right) + K_{f(\vartheta)} \cdot t_{lag,f(\vartheta)}}{K_{f(\vartheta)}} \quad [4]$$

462 where  $\Delta_{rel,ref}$  is the chosen normalized value of reference (i.e. in our case 0.9 or 0.8  
 463 corresponding respectively to a 10% and 20% apparent decrease value);

464 The synoptic chart (Fig. 6) shows how together the three chosen indices give an overall  
 465 vision of the effects of the malaxation time-temperature conditions on the  
 466 transformation phenomena of phenolic compounds.

467 The sum of  $\beta$ -OH verbascoside diastereoisomer content proved to be the most sensitive  
 468 index among those chosen at the malaxation time-temperature conditions (i.e. levels of  
 469 damage reached in lower malaxation times at the same temperature). Forming a straight

470 line, it can be considered the index that expresses the substantially oxidative damage of  
471 the phenolic compounds only.

472 The total phenolic compound content using the Folin-Ciocalteu method proved to be the  
473 least sensitive index among those chosen to the malaxation time-temperature conditions  
474 (i.e. levels of damage reached in longer malaxation times at the same temperature).

475 Forming a convex curve, it can be considered the overall and simple measurement index  
476 that expresses the combination of phenolic compound damage and release phenomena.

477 The trends of time as a function of malaxation temperature to reach set levels of  
478 apparent degradation of 3,4 DPHEA-EDA took on the appearance of highly convex  
479 curves; this is due to the kinetics dealt with in the previous paragraph which, thanks to  
480 measuring a specific compound (and not a set of compounds like in the case of total  
481 phenolic compound content by Folin-Ciocalteu) made it easier to separate the phenolic  
482 compound degradation and release phenomena. As such, the 3,4 DPHEA-EDA content  
483 proved to be the index that best represents the effect of the malaxation time-temperature  
484 conditions on the phenolic compounds in the extractable oil.

485 The synoptic chart can also be used for optimization purposes, for example, if it plots,  
486 using straight lines, the different relationships between the times and temperatures of  
487 malaxation, corresponding to values of 60% and 80% (i.e. expression of insufficient and  
488 satisfactory oil process yields, respectively) of the apparent Extractability Index (Fig. 7).

489 Please see Trapani et al. (2017) for the equation that expresses the malaxation time to  
490 reach the above set of apparent extraction levels as a function of the olive paste  
491 malaxation temperature, according to the relevant kinetic model in Table 2.

492 It is evident how in the adopted strong oxidative impact experimental conditions an  
493 acceptable apparent yield is not compatible with a lower degradation of the sum of  $\beta$ -

494 OH verbascoside diastereoisomer content; from the synoptic chart it can be deduced that  
495 a lower degradation is compatible with an apparent yield of less than 50% or that an  
496 80% apparent yield determines degradation of around 50% of the sum of  $\beta$ -OH  
497 verbascoside diastereoisomer content.

498 However, should the combination between phenolic compound degradation and release  
499 phenomena be considered, an apparent acceptable yield appears compatible with lower  
500 apparent degradation of the total phenolic compound content by Folin-Ciocalteu.  
501 Instead, an acceptable apparent yield only seems compatible with a lower apparent  
502 degradation of the 3,4-DHPEA-EDA content for some time-temperature combinations.  
503 For example, by moving along the straight line corresponding to 80% of the apparent  
504 yield, three zones can be seen with reference to the adopted experimental conditions: (i)  
505 a zone with an approximate temperature of  $< 23^{\circ}\text{C}$  for time = 40 min compatible with a  
506 reduced apparent degradation of 3,4 DHPEA-EDA; (ii) a zone with an approximate  
507 temperature of  $> 23^{\circ}\text{C}$  for times between 40 and 30 min responsible for a high apparent  
508 degradation of 3,4 DHPEA-EDA; (iii) a zone with an approximate temperature of  $>$   
509  $33^{\circ}\text{C}$  for time  $< 30$  min compatible with a lower apparent degradation of 3,4 DHPEA-  
510 EDA.

511

#### 512 **4. Conclusions**

513 This research is based on an original kinetic approach which enabled the prediction of  
514 the effects of time-temperature conditions of malaxation treatment under exposure to air  
515 on the transformation phenomena of phenolic compounds in olive paste.

516 It was possible to identify and quantify two contrasting phenolic compound  
517 transformation phenomena, which were measured on samples both of olive paste and its

518 vegetation water and oil components: (i) a decreasing phenomenon probably due to  
519 enzymatic oxidative damage of phenolic compounds; (ii) an increasing phenomenon  
520 probably due to a physical and enzymatic release of phenolic compounds from the  
521 cellular tissues. These phenomena could be significantly monitored by three different  
522 but complementary technological indices. The sum of  $\beta$ -OH verbascoside  
523 diastereoisomer content in the olive paste samples proved to be a very sensitive index in  
524 expressing the degradation phenomena. The total phenolic compound content by Folin-  
525 Ciocalteu and the 3,4 DHPEA-EDA proved to express the combination of the two  
526 aforesaid transformation phenomena in the vegetation water and oil samples,  
527 respectively; of the two, the second appeared of particular interest as it specifically  
528 refers to the most important phenolic component present in EVOO.

529 With regard to the experimental conditions adopted in this work, it was possible to  
530 propose a reference optimization chart in order to predict “selective” time-temperature  
531 conditions to maximize the apparent EVOO extraction yield while minimizing the  
532 degradation phenomena of phenolic compounds during malaxation treatment when the  
533 olive paste is exposed to oxygen. The chart shows how an acceptable apparent yield is  
534 not compatible with a lower degradation of the phenolic compounds. Nevertheless, in  
535 consideration of the presence of phenolic compound release phenomena too, time-  
536 temperature combinations can be seen that are compatible for example with a  
537 minimization of the apparent degradation of the 3,4 DHPEA-EDA content.

538 Our kinetic approach could be also a useful reference to understand and quantify the  
539 potential efficacy on the optimization of malaxation treatment of several production  
540 elements. The effects of cultivar and the degree of ripeness of the olive oil fruits  
541 (Espinola et al., 2011, Caruso et al., 2013, Caruso et al., 2014<sup>[GC11]</sup>), of technological

542 innovations in the pre-treatment of olive paste prior to malaxation based on ultrasound  
543 or microwave techniques (Clodoveo et al., 2013; Tamborrino et al., 2014) and of  
544 malaxation treatment under no or controlled exposure of the olive paste to oxygen  
545 (Servili et al., 2008; Leone et al., 2014; Catania et al., 2016) could be compared with the  
546 kinetic model devised in this work.

547 Lastly, the proposed approach to quickly heat the olive paste to a particular temperature  
548 using a tubular heat exchanger, leave the paste in the malaxer for the desired time and  
549 then send it for extraction in a “decanter” seems a good idea in order to best exploit  
550 what is shown in this work (Veneziani et al., 2015; Leone et al., 2016). Such an  
551 approach would pave the way towards real control of malaxation treatments in order to  
552 achieve the desired phenolic profiles in EVOO.

553

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713

714 **Nomenclature**

715	$a, b, c$	regression coefficients ( $\text{min}^{-1}$ or $\text{min}$ )
716	$E_a$	activation energy ( $\text{J mol}^{-1}$ )
717	$EI_{app}$	apparent Extractability Index (%)
718	$EI_{app, max}$	maximum apparent Extractability Index (%)
719	$EY$	extraction yield (%)
720	$EY_{max}$	maximum extraction yield (%)
721	$K_{f(\vartheta)}$	apparent kinetic constants as a function of malaxation temperature
722		( $\text{min}^{-1}$ )
723	$k_0$	frequency factor ( $\text{min}^{-1}$ )
724	$R$	gas constant ( $\text{J mol}^{-1} \text{K}^{-1}$ )
725	$T$	malaxation absolute temperature (K)
726	$t$	malaxation time (min)
727	$t_{lag}$	apparent lag phase (min)
728	$\Delta_{rel}$	relative variation with time at different temperatures
729	$\Delta_{rel, ref}$	relative variation chosen as reference
730	$\vartheta$	malaxation temperature ( $^{\circ}\text{C}$ )



731 FIGURE CAPTIONS

732

733 FIGURE 1. Kinetics of normalized total phenolic compound content using the Folin-  
734 Ciocalteu method in vegetation water samples at 22°C (a), 27°C (b), 32°C (c) and 37°C  
735 (d). The symbols ■ and — are for experimental and predicted data, respectively.

736

737 FIGURE 2. Kinetics of normalized verbascoside content in olive paste samples at 22°C  
738 (a), 27°C (b), 32°C (c) and 37°C (d). The symbols ■ and — are for experimental  
739 and predicted data, respectively.

740

741 FIGURE 3. Kinetics of normalized 3,4 DHPEA-EDA content in olive oil samples at  
742 22°C (a), 27°C (b), 32°C (c) and 37°C (d). The symbols ■ and — are for  
743 experimental and predicted data, respectively.

744

745 FIGURE 4. Kinetics of the normalized sum of  $\beta$ -OH-verbascoside diastereoisomer  
746 content in olive paste samples at 22°C (a), 27°C (b), 32°C (c) and 37°C (d). The  
747 symbols ■ and — are for experimental and predicted data, respectively.

748

749 FIGURE 5. Kinetics of the apparent Extractability Index ( $EI_{app}$ ) at 22°C (a), 27°C (b),  
750 32°C (c) and 37°C (d). The symbols ■ and — are for experimental and predicted  
751 data, respectively.

752

753 FIGURE 6. Time-temperature synoptic chart of olive past malaxation in relation to  
754 phenolic compounds: in green the curves referring to the total phenolic compounds, in

755 red the curves referring to 3,4 DHPEA-EDA, in blue the curves referring to the sum of  
756  $\beta$ -OH verbascoside diastereoisomers. The unbroken curves show a variation of 20%, and  
757 the dashed curves 10%.

758

759 FIGURE 7. Olive paste malaxation time-temperature optimization chart obtained by  
760 overlapping the synoptic chart shown in Figure 6 with the black straight lines of  
761 apparent yield, unbroken to indicate an 80% yield and dashed for a 60% yield.