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Bulletin of Earthquake Engineering https://doi.org/10.1007/s10518-024-01999-6

1 ORIGINAL ARTICLE



² Experimental behaviour of ductile diagonal connections ³ for rack supported warehouses

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6 Received: 1 March 2024 / Accepted: 13 August 2024

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8 Abstract

Steel racking systems are widely adopted for storage purposes: they are thin-walled struc-9 tures composed of consecutive trusses, connected with beams on which the palletized 10 goods are stored. Their geometry and structural configuration strongly depend on market 11 and operator necessities, and, in modern applications, racks can also function as the sup-12 porting structure of the warehouse itself in the form of Rack Supported or High-Bay Ware-13 houses. With the increase of the overall geometric dimensions and the global weight of 14 the stored material, the seismic action becomes more relevant for the design. Along these 15 lines, the development and experimental testing of a dedicated seismic design approach 16 for ductile steel racks is here presented, with particular attention to Rack Supported Ware-17 18 houses. This approach exploits the ductility of trusses introduced via the plastic ovalization mechanism of the diagonal-to-upright connections while a tailored capacity design is used 19 to assure the elastic behaviour of the rest of the structure and to keep the brittle failure 20 mechanisms at bay. 21

22 **Keywords** Automated rack supported warehouses · Cold formed elements · Ductile 23 connections · Plastic ovalization · Bearing failure

24 1 Introduction

25 Steel racks are structures dedicated to the storage of goods. Among the many types on 26 the market (Tsarpalis et al, 2022), those designed to carry palletized goods are made of 27 consecutive trusses connected by beams, on which the pallets are placed. Figure 1 shows 28 the typical arrangements of the trusses, which are composed of two columns (so called

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a) X tension-only bracings	b) K, D, Z bracings	c) X tension-compression bracings

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MS Code : 1999

Dispatch : 20-8-2024

Fig. 1 Typical structural schemes for the trusses (CA direction)

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29 "uprights") and diagonal braces. The steel racks comprise highly optimised, properly30 shaped thin-walled members aiming at minimizing the weight of the steel material used as31 well as the production and construction costs.

Racking systems are characterized by two principal structural directions: the transversal Cross Aisle one (CA) and the longitudinal Down Aisle one (DA) (Fig. 2, left). In the CA direction, the structure is made of grouped consecutive trusses separated by aisles. This aisle-spaces must remain free of any bracings to allow for placing the goods in the right stocking position. In the DA direction, the structure is a combination of semi-rigid frames assisted by trussed towers against lateral loads, i.e., designated bays where a bracing system is incorporated.

In their original use (named hereafter "ordinary"), racking systems are placed inside warehouses with the only structural function of carrying the goods. Several standards have been developed for their design in both static and seismic conditions (EN15512 and EN16681, (2016, 2020a)). With the increasing size and complexity of the storage facilities, an upgraded solution has been developed: steel racks are placed side by side and are



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fully integrated into the warehouse, becoming its primary structural system while still carrying the palletized goods. These new structures are called Rack Supported Warehouses
(RSWs) or High-Bay Warehouses. Despite additional structural functions and significantly
increased loads, RSW racks inherit all the structural characteristics and design approaches
developed for ordinary racks, leading to uncertain levels of safety, especially under seismic
loading (Natali et al. 2022a).

Indeed, RSWs, being large structures made of assembled racking systems, should be 50 designed for the safety level valid for comparable buildings, while considering all the 51 structural, geometrical, and typological peculiarities of racks. However, the current stand-52 ards for steel buildings (e.g., the Eurocodes) may be hardly applicable to RSWs due to their 53 vast typological differences (especially for seismic applications (Caprili et al. 2018)), and 54 at the same time, the standards for the ordinary racks may not guarantee achieving the nec-55 essary safety level. These two categories of standards may also be in conflict: for instance, 56 the definitions and provisions for the applicable ductility classes (low, medium, high), as 57 provided by EN16681(2016)-the European design standard for "ordinary" racks in seis-58 mic conditions—are different from the EN-1 provisions (2004, 2019, 2021). 59

The difficulties in the design of these structures are particularly evident in the CA direc-60 tion, where the most common bracing arrangements for the trusses are the K, D, Z shapes 61 (Fig. 1b) with diagonals designed to resist both tension and compression forces, in con-62 trast to building-like X shapes (Fig. 1a) where diagonals in compression are assumed to 63 buckle. Due to the necessity of a quick and easy assembly, diagonals are usually connected 64 to uprights by single bolts, without employing gusset plates (Fig. 3). The trusses provide 65 stiffness and resistance toward gravitational and lateral loads, but the absence of horizontal 66 connections among the trusses and the structural performance of the thin-walled elements 67 and of their connections make the application of EN1998-1 (2004) very difficult, in par-68 ticular in case of dissipative seismic design approach (Caprili et al. 2018). 69

50 Starting from these considerations, this paper presents an experimentally validated 51 design strategy specifically developed for the ductile seismic design of steel frames made



Fig. 3 Typical diagonal-to-upright connection

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up of cold-formed profiles and single-bolted connections, like the typical frames in the CA 72 73 direction of RSWs. The design strategy consists of controlling the ductility of the structure by allowing and tailoring the plastic ovalization in the diagonal-to-upright connections 74 (termed accordingly "Plastic Ovalization Strategy"-POS). The theoretical development 75 of the strategy, introduced in (Tsarpalis 2022), starts from the fact that most rack profiles 76 are thin-walled, which allows the bearing failure to become the leading mechanism of 77 their bolted connections, while brittle failure modes, involving net section, bolt shear, and 78 diagonal tensile-buckling resistances, can be capacity-designed to become over-resistant 79 with respect to bearing failure (Natali et al. 2022b). POS aims at activating the ductility 80 of these connections when the elastic bearing resistance is exceeded. The potentiality of 81 employing the ductility of plastic ovalization has already been investigated by Seleim and 82 LaBoube (1996), Chung and Ip (2001), Lyu et al. (2019), and Cho et al. (2021), who pro-83 vided, both numerically and in part experimentally, evidence on the ductility of this kind of 84 mechanism, especially in the case of components made of the usual mild steel grades (i.e. 85 S275), while it may be less efficient for high strength steels, and vary significantly based 86 on the cooling methods adopted after the thermal treatment. However, these studies do not 87 include considerations or experimental evidence for cold-formed thin-walled elements. In 88 addition, to assure a similar behaviour of the connection when the diagonal is in tension 89 versus in compression, a specific geometrical configuration of the diagonal has been pro-90 posed (Tsarpalis 2022) where an additional boltless hole is placed close to the connection 91 (Fig. 4), creating another important endpoint for checking. 92

The study has been organized in the following three main phases: (i) application of POS to five case-study structures designed for medium/high seismicity; (ii) concept and execution of the experimental campaign in order to evaluate the actual behaviour of the connection after the application of the suggested design rules; (iii) discussion and analysis of the experimental results suggesting possible adjustments to the proposed strategy and setting the basis to define rules that drive structural choices.

99 2 The plastic ovalization strategy

The Plastic Ovalization strategy, as the name suggests, is based on the controlled formation 100 of bearing failure mechanism in the diagonal bolt hole and allows driving the plastic defor-101 mations and damages induced by the seismic forces to this ductile part of the connection, 102 only. Simultaneously, a tailored capacity design is applied to assure elastic behaviour of 103 all other parts of the structure and to prevent any brittle failure mechanisms. As explained 104 in detail in (Tsarpalis 2022) and (Natali et al. 2022c), POS aims at moderately increasing 105 the overall ductility of the cross-aisle direction frames while keeping a simplified capacity 106 design approach. The proposed capacity design rules are summarized as follows: 107



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(i) behaviour factor limited to a (provisional) maximum of 1.8. Indeed, not being sure 108 of the actual level of ductility assured by plastic ovalization in cold formed elements, 109 a precautionary value has been chosen for the behaviour factor, with the first aim of 110 developing the strategy, proving the applicability to RSWs and with the will of cali-111 brating the proper and hopefully higher value after the execution of experimental tests. 112 The scheme adopted for the upright frame may be the K, Z or X tension-compression 113 ones (Fig. 1), with diagonals designed to resist both tension and compression forces. 114 In any case, all elements and components are designed ensuring that bearing failure 115 will occur in the diagonal before any other mechanism in the CA plane. 116

(ii) Capacity design of the diagonal-to-upright connections. The bolt shear resistance $F_{v,Rd}$, the upright bearing resistance $F_{b,u,Rd}$, and the net section resistance $F_{n,Rd}$ shall be at least 20% higher than the diagonal bearing resistance $F_{b,d,Rd}$ per Eq. (1)–(3):

 $F_{huRd} \ge 1.20 \cdot F_{hdRd}$

 $F_{\nu,Rd} \ge 1.20 \cdot F_{b,d,Rd} \tag{1}$

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 $\mathbf{v}, \mathbf{Rd} \ge 1.20 \cdot \mathbf{F} \mathbf{b}, \mathbf{d}, \mathbf{Rd} \tag{1}$

124

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(2)

- $F_{n,Rd} \ge 1.20 \cdot F_{b,d,Rd} \tag{3}$
- (iii) Capacity design of the diagonal buckling resistance $N_{b,Rd}$. It shall be at least 20% higher than diagonal bearing resistance per Eq. (4):
- 128 129

$$N_{b,Rd} \ge 1.20 \cdot F_{b,d,Rd} \tag{4}$$

- (iv) Uprights, horizontal beams, and all other elements besides diagonals shall be
 designed to remain in the elastic range for the design seismic action. This is achieved
 by adopting as design forces those due to non-seismic action plus those due to the
 seismic ones properly amplified (all the design rules are properly specified within
 (Tsarpalis 2022)), following the same philosophy adopted for non-dissipative ele ments of steel buildings, i.e. §6.6.3 of EN1998-1 (2004).
- (v) Base connections shall be designed by amplifying the base forces by the adopted
 behaviour factor and also considering additional load combinations for gravitational
 loads, to maximize the uplift demand (Tsarpalis 2022).

The fundamental step of this strategy is the design of the diagonal connection. Accord-139 ing to the previously highlighted hierarchies, it should be assured that the bearing resist-140 ance in the diagonal should be the first mechanism to occur for both tension and com-141 pression in the diagonal. In classical connections with single holes, however, the ultimate 142 bearing resistance may vary depending on the direction of loading and the distance to 143 edges of the connected member. For a profile in tension, the distance of the connection 144 hole from the end section of the diagonal (e_1 parameter, Fig. 4) is the relevant geometri-145 cal parameter affecting the bearing resistance. In compression the distance is usually not 146 relevant, so the bearing resistance may be higher than in tension. To achieve the same 147 ultimate bearing resistance in tension and compression, a second boltless hole is placed 148 close to the inner side of the connection. It controls (i.e., reduces) the bearing resistance in 149

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compression (Fig. 4). The adjustment to match the resistance in tension requires calibrating the distance (p_1 parameter, Fig. 4) between boltless and the connection hole. According to the aforementioned design rules the bearing resistance in compression shall not exceed the one in tension by more than 10%.

154 **3 Design of the case study structures**

Five RSW Case Studies (CS, A to E) were designed according to the POS rules by five 155 rack manufacturers. The design focused on the CA frames, which consist of consecutive 156 upright trusses, as presented in Fig. 3. These selected case study structures were two Multi 157 Depth (MD) and three Double Depth (DD) warehouses, which represent the main struc-158 tural typologies of RSWs: the former is characterized by consecutive and reciprocally connected upright trusses in the CA direction (Fig. 5), while in the latter the upright trusses 160 are grouped and separated by aisles, with a maximum of two "depths" (i.e., able to accom-161 modate two pallets) available from each side of the aisle (Fig. 6). For each structural type, 162 the global geometry, height, and number of load levels were the same, as shown in Fig. 5 163 for the MD ones and in Fig. 6 for the DD ones. Pallet weight, external actions, and load 164 combinations were also defined to be common, and the POS rules were adopted by all the 165 designers in order to have comparable solutions. The design parameters free to select were 166 the structural scheme for the diagonal elements constituting the upright trusses, the cross 167 sections of the main profiles, and the configuration of the connections. 168

Table 1 gathers the main geometrical parameters of the case study structures, Fig. 7 169 shows the terminology for the typical cold-formed sections used in racks, while Table 2 170 gathers the characteristics of the most stressed connections, providing also the resistances 171 relevant to the possible failure mechanisms. The tables do not provide the exact details of 172 the cross-section geometries, as these data constitute sensitive information owned by the 173 producers; indeed, their design philosophies vary significantly, as evidenced by the diverse 174 solutions adopted for the element cross sections. In any case, all the relevant parameters for 175 the comprehension of the applications and data post-process are provided. 176



Fig. 5 Geometry of the CA frame of the MD case studies (dimensions in m—diagonal layout can change). LV is "load level"

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 Table 1 Global geometric characteristics of the Case Study structures

	CS A	CS B	CS C	CS D	CS E
Structural type	MD^{\dagger}	DD^\dagger	DD	DD	MD
Diagonal scheme	\mathbf{K}^{\ddagger}	Κ	К	Κ	\mathbf{X}^{\ddagger}
Diagonal-to- upright connec- tion	Under	UPRIGHT	UPRIGHT	UPRIGHT	Interest
	SECTION A-A	SECTION B-B	SECTION C - C	SECTION D - D	SECTION E - E



[‡]For scheme K see Fig. 1b; for scheme X see Fig. 1c



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Characteristics of the connection		CS A	CS B	CS C	CS D	CSE
Diagonal	Thickness [mm]	3.0	2.0	3.0	3.0	1.5
	e_1 [mm]	25	29	25	40	20
	p_1 [mm]	35	39	35	49	30
	Steel grade	S275J0H	S350GD	S235	S355	S280GD
	f_{yk}/f_{uk} [MPa]	275/430	350/420	235/360	355/510	280/360
Upright	Thickness [mm]	5	4	3.5	2.5	4
	e_1 [mm]	-	-	-	38	-
	$p_1[mm]$	-	-	-	-	-
	Steel grade	S500MC	S350GD	S420	S500MC	S235JR
	f_{yk}/f_{uk} [MPa]	500/550	350/420	420/480	500/550	235/360
Number of diagonals per connection		1	1	1	2	1
Number of shear planes		2	2	2	1	1
bolt - diameter and class		M12 8.8				
Holes diameter [mm]		13	13	13	13	13
$F_{b,d,Rd+}[kN]$		43.00	32.48	36.00	36.72	7.20
$F_{b,d,Rd-}[kN]$		44.72	33.60	37.44	36.72	7.56
$F_{b,u,Rd+}[kN]$		132.00	80.64	80.64	33.00	34.56
$F_{b,u,Rd}$ [kN]		132.00	80.64	80.64	33.00	34.56
$F_{b,u,Rd+}/F_{b,d,Rd+}$		3.07	2.48	2.24	0.90	4.80
$F_{b,u,Rd-}/F_{b,d,Rd-}$		2.95	2.40	2.15	0.90	4.57
$F_{v,Rd}[kN]$		65.14	65.14	65.14	32.57	32.57
$F_{v,Rd+}/F_{b,d,Rdmin}$		1.51	2.01	1.81	0.89	4.52
$F_{n,Rd}[kN]$		165.64	82.79	133.05	115.25	44.39
$F_{n,Rd}/F_{b,d,Rd+}$		3.85	2.55	3.70	3.14	6.17

 Table 2
 Main geometric characteristics and resistance properties of the connections in the bottom part of the Case Study structures

Table 2 also provides the resistance values of the possible mechanisms of the connection, calculated by employing the formulas of EN1993-1-3:2019, Table 10.5 (2019), which are given as follows:

$$F_{b,Rd} = \frac{2.5 \cdot \alpha_b \cdot k_t \cdot \mathbf{d} \cdot \mathbf{t} \cdot f_u}{\gamma_{M2}} \tag{5}$$

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$$\alpha_{b} = \begin{cases} \min\left(1.0; \frac{e_{1}}{3 \cdot d}\right) & \text{for tension} \\ \min\left(1.0; \frac{p_{1}}{3 \cdot d} - 0.25\right) & \text{for compression} \end{cases}$$
(5a)

183 184

$$k_t = \begin{cases} \frac{(0.8 \cdot t + 1.5)}{2.5} & \text{for } 0.75 \le t \le 1.25 & \text{mm} \\ 1.0 & \text{for } t > 1.25 & \text{mm} \end{cases}$$
(5b)

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$$F_{n,Rd} = \frac{A_{net} \cdot f_u}{\gamma_{M2}} \cdot \left[1 + 3 \cdot k_{num} \cdot \left(\frac{d_0}{u} - 0.3\right)\right], \quad \text{but} \quad 1 + 3 \cdot k_{num} \cdot \left(\frac{d_0}{u} - 0.3\right) \le 1$$
(6)

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 $k_{num} = \frac{\text{number of bolts at the cross section}}{\text{total bolts in the connection}}$ (6a)

$$u = 2 \cdot e_2, \quad \text{but} \quad u \le p_2 \tag{6b}$$

$$F_{v,Rd} = \frac{0.6 \cdot A_s \cdot f_{ub}}{\gamma_{M2}} \tag{7}$$

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where: *d* is the bolt diameter; *t* is the thickness of the profile; f_u is the ultimate tensile strength of the profile material; γ_{M2} equal to 1.25 is the safety coefficient for connections; e_1 is the end distance from the centre of the bolt to the adjacent end-section of the profile in the direction of load; A_{net} is the net cross-section area of the profile at the connection, d_0 is the diameter of the bolt hole; e_2 the edge distance from the centre of the bolt to the adjacent edge of the profile in the direction perpendicular to the direction of load; A_s is the equivalent area of the bolt; f_{ub} is the ultimate tensile strength of bolts.

Within Table 2, the bearing resistance $F_{b,Rd}$ has been calculated for both diagonal 201 $(F_{b,d,Rd})$ and upright side $(F_{b,u,Rd})$, and in both cases for tension (+) and compression 202 load (-). For most upright profiles, $F_{b,u,Rd}$ was calculated by assuming that the maxi-203 mum bearing resistance can be attained. Indeed, the edge distance parameters e_1 and p_1 204 in these members are typically high enough to result in α_b equal to 1.0 in Eq. (5). It also 205 can be noticed that the capacity design rules (i) to (iv) have been respected (as indicated 206 in the same Table 2 by the resistance ratios being greater than 1.0), except for case study 207 D failure to adhere to the capacity design rules may disrupt the intended behaviour, as 208 was also observed in the experimental campaign of this case study. 209

A preliminary numerical investigation through response history non-linear analyses 210 was carried out to evaluate the global and local performance of the case-study structures 211 designed according to the POS philosophy (Tsarpalis 2022). The numerical models of 212 the case study structures were developed by adopting a simplified approach that allows 213 to reduce the number of elements and degrees of freedom (this approach is described 214 within (Tsarpalis et al. 2021)). In general, the core of the method is substituting all 215 the upright trusses with an equivalent truss made of beam elements, and simulating the 216 expected behaviour of connection trough non-linear springs that connect the diagonals 217 of the truss to the uprights. All the developed models captured the non-linear behaviour 218 of the diagonal-to-upright connections according to the force-deformation curves pro-219 vided by prEN 1993-1-8:2021 (2021) for bearing resistance. As shown by (Tsarpalis 220 2022), the results of the analyses confirm that on average, the re-designed structures 221 guarantee the desired behaviour up to a probability of exceedance of 10% in 50 years, 222 which is beyond the design level selected for these case studies (20% in 50 years). 223 Indeed, even if limited global and local overstrength was assumed by applying the pro-224 posed POS design rules, the redesigned racks demonstrated the desired behaviour at the 225 design level with only few records leading to brittle failure modes. These highly promis-226 ing results necessitate the experimental validation described in the following sections, 227 to verify the validity of the assumed behaviour factor of 1.8 adopted in this prelimi-228 nary definition of the design strategy. While according to (Tsarpalis 2022) higher val-229 ues seem perfectly achievable, a comprehensive validation of a code-level value would 230

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require even further numerical and testing campaigns (Tsarpalis et al. 2020; Vamvatsikos et al. 2020).

233 4 Concept and execution of the experimental campaign

According to the POS, a limited energy dissipation and ductility demand is expected in the diagonal-to-upright connection after exceeding the bearing resistance of the diagonal. To avoid a resistance reduction of the vertical loading resisting system, the upright is designed to exclude any damage, including plastic ovalization. To have a full characterization of both the ductility-providing element—the diagonal—and the upright-to-diagonal connection assembly, two sets of tests are performed, namely the *Local tests* and the *Assembly tests*.

The *Local tests* aim at assessing the actual bearing resistance of the connection without any interference from other failure modes. To this purpose, short diagonals are tested under monotonic tensile and compressive loads for different positions of the boltless and bolted holes, as defined by dimensions e_1 and p_1 within Fig. 8a. For each case study structure, one diagonal is selected from the bottom part of the upright trusses, where the diagonals are subjected to the highest forces under horizonal actions. The geometrical characteristics and resistance values of the tested elements and connections are gathered in Table 2.

In the Assembly tests, the same diagonal profiles are tested in their actual configuration, 248 i.e., each diagonal has the full length and are connected to the upright trusses as designed 249 in the case-study structures (Fig. 8b and Table 1). Each configuration is tested four times: 250 one under monotonic tensile load, one under monotonic compression load, and two under 251 cyclic load. All the tests are displacement controlled, for a total of 20 tests (5 configura-252 tions \times 4 tests/configuration). The purpose is to observe the response of the whole assem-253 bly and assess whether the safety factor for the design of the over-resistant components is 254 sufficient to guarantee the desired behaviour: bearing strength of the diagonal connection 255 (diagonal side) should be reached first, while other failure modes should be prevented (this 256



Fig. 8 a Local tests: varying parameters for the different configurations of the tested components; \mathbf{b} Assembly tests: component assembly and configuration

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Fig 9 a Schematic view of the test set-up for the universal machine local tests, and the universal devices at the laboratories of the **b** University of Pisa and **c** RWTH Aachen

Case study structure		A	В	С	D	Е
Diagonal cross-section sh	ape	SHS	С	SHS	С	С
Thickness [mm]		3.0	2.0	3.0	3.0	1.5
Hole diameter		Φ13	Φ13	Φ13	Φ13	Φ13
Bolt diameter and class		M12 8.8				
e_1 / p_1^{\dagger}	1-tensile load	25 /35	29 /39	25 /35	40 /49	20 /30
	2-compression load	25/ 35	29/ 39	25/35	40/ 49	20/ 30

 Table 3 Configuration of the diagonals for the local tests

[†] Bold font indicates the dimension that governs bearing strength in each specimen

includes shear failure of bolts, damage in upright due to bearing, failure of diagonal netsection, and buckling of diagonal in compression).

259 4.1 Local tests: set-up, components and loading protocol

The Local tests are executed by adopting the test set-up shown in Fig. 9a; the two different universal testing machines employed appear in Fig. 9b,c. Each diagonal is 500 mm long and connected at each end by a single bolt to an over-resistant element to confine damage only in the diagonal. Displacement sensors are placed to measure the relative displacements of the end sections of the diagonal. The load is directly measured through the universal machine.

Table 3 gathers the characteristics of the tested components. In all tests the load is increased until failure of the component. Dimensions e_1 and p_1 are selected to satisfy the requirements set by the POS design strategy, as listed in Sect. 2. The tests also allow verifying the capability of controlling the bearing resistance in compression with the addition of the boltless hole: this is assessed by evaluating the ratio between experimental bearing

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resistances in compression and tension, which, according to the POS rules, shall not be higher than 1.10.

273 4.2 Assembly tests: set-up, components and loading protocols

Figure. 10 shows the test set-up adopted for the Assembly tests: the load is applied through 274 a hydraulic actuator, fixed at one end and connected to a pinned column at the other end. 275 Looking at Fig. 10, the profile representing the left upright is connected to the pinned col-276 umn, and the right upright is connected to a base anchorage that is fixed to the basement 277 of the set-up. The pieces used to connect the specimens to the set-up are adjustable to fit 278 the geometries of the different specimens. For each test, load is measured by a loading cell, 279 and a set of displacement sensors are placed to monitor the deformation of the diagonal. In 280 particular, one displacement sensor directly measures the global deformation of the diago-281 nal between the two connection bolts (Fig. 10). Additionally, two sensors are positioned 282 to directly measure the deformations related to plastic ovalization at the upper and lower 283 connections, respectively. Further displacement sensors are placed to indirectly control the 284 previous values and to ensure that all components of the set-up are functioning properly 285 during the tests. 286

Tables 4 and5 gather the characteristics of the specimens (respectively diagonal and upright pieces). The length of the diagonals, their inclination and connections are the same, as extracted from the upright trusses designed in the case studies. The uprights-sections are 500 mm long, which is the necessary length to allow the connection of the assembly to the test set-up. The geometry of the test set-up has been set to meet the different geometries of the assembly and of the upright profiles.

Monotonic tension and compression tests are performed by increasing the applied load till failure of the diagonal is observed. Two quasi-static cyclic tests are executed for each specimen, with two different displacement protocols. The first is the one defined by ECCS (1986). The *ECCS protocol* is based on the e_{y+} and e_{y-} values determined from the monotonic tension and compression tests. These values are derived from the obtained



Fig. 10 Test set-up adopted by the University of Pisa for the Assembly tests

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	Cross-sec- tion shape	Thickness [mm]	Hole diam- eter [mm]	<i>e</i> ₁ [mm]	<i>p</i> ₁ [mm]	Bolt diameter and class	Length [mm]
A	SHS	3.0	13	25	35	M12 8.8	1252
В	С	2.0	13	29	39	M12 8.8	1428
С	SHS	3.0	13	25	35	M12 8.8	1324
D	С	3.0	13	40	49	M12 8.8	830
Е	С	1.5	13	20	30	M12 8.8	1198

 Table 4
 Configuration of the POS diagonals for the Assembly tests

Table 5 Configuration of the POS uprights for the Assembly Tests. For the cross-section shape refer to Fig. 7

	Cross-section shape	Thickness [mm]	Hole diameter [mm]	Bolt diameter and class	Length [mm]
A	Ω	5.0	13	M12 8.8	500
В	$\Omega + U$	4.0+5.0	13	M12 8.8	500
С	Ω	3.5	13	M12 8.8	500
D	$\Omega + \Omega$	4.0+2.5	13	M12 8.8	500
E	$\Omega + U^*$	2.0+4.0	13	M12 8.8	500



force-displacement curves, as the displacement corresponding to the intersection of line A (tangent to the origin of the curve) and line B (line with a slope which is one tenth of the slope of line A) as shown in Fig. 11. Figure. 12a shows the definition of the (unitless) protocol as a multiple of e_{y+} and e_{y-} .

The second protocol is designed to be *damage-consistent*, replicating the observed statistics of cycles that lead to ovalization (i.e., damage) of the bolt hole. It is derived by conducting statistical analysis on the seismic response of a realistic double-depth RSW





Fig. 12 Unitless a ECCS and b damage-consistent displacement protocols for the Assembly cyclic tests

designed according to the POS. To this end, the results of the 30 response history analyses 305 carried out by Tsarpalis et al. (Tsarpalis 2022) are used, and for each analysis the force-306 displacement diagram of a zero-length element representing the upright-to-diagonal con-307 nection is post-processed to count the number of inelastic cycles, i.e., the number of times 308 the bolt hole further ovalizes due to the bearing failure. These results are statistically ana-309 lyzed to derive the loading protocol shown in Fig. 12b. The overall goal of this protocol is 310 to avoid the generic repetition of a given number of cycles until failure, enforcing higher 311 312 cyclic degradation before ultimate failure, and instead replicate the expected number and amplitude of cycles enforced in a realistic seismic loading situation, as also employed for 313 the collapse assessment of steel structures (Suzuki and Lignos 2019). 314

315 5 Experimental results

In this section, the results obtained from both Local and Assembly tests are shown and discussed for each profile. Table 6 provides the material characterization from the execution of tensile coupon tests, which are also used to compare the design and experimental values of bearing resistance. The data for the type D profile are not available; therefore, all further analyses and comparisons described in the following for type D are carried out considering the nominal values of the resistance. The material property that is relevant for the evaluation of the bearing resistance is the nominal tensile strength f_u associated to the material

tion shape (design) [mm]	JULUI BIAUL	all on mon			Erom coupon te	neila taete		D /f	D //
inni (ngrean) advise non			S					NeH ^{/1} yk	№ ″1u
		$f_{yk} \left[N/mm^2 \right]$	$f_u [N/mm^2]$	A_{min} [%]	ReH [N/mm ²]	$R_m [N/mm^2]$	A [%]		
SHS 3.0	S275J0H	275	430	20	340	423	30	1.24	0.98
C 2.0	S350GD	350	420	16	480	501	25	1.37	1.19
SHS 3.0	S235	235	360	19	383	457	29	1.63	1.27
C 3.0	S355	355	510	26	Ι	Ι	I	I	I
C 1.5	S280GD	280	360	18	530	541	10	1.89	1.50

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classification and the corresponding experimental value R_m as derived from the coupon 323 tests. The values of f_{μ} reported in the table are the minimum ones associated to the respec-324 tive steel grade. The R_m ones resulting from the coupon tests are generally higher than f_w . 325 except for the type A diagonal, where R_m is slightly lower (-1.7%). The type E diagonal is 326 characterized by high and quite close values of both the experimental upper yielding, $R_{\rho H}$, 327 as defined by EN ISO 6892 (2020b), and tensile, R_m , strength, meaning that the material 328 may have already experienced plastic deformations (e.g. due to the cold-forming shaping 329 process). This is suggested also by the reduced value of elongation percentage after frac-330 ture, A, if compared to the other materials and to the minimum requested from the steel 331 classification, Amin. 332

333 5.1 Local tests

The force-displacement curves and the final state of the specimens are given in Fig. 13 for 334 the tensile tests and Fig. 14 for the compression tests. In these figures, "displacement" cor-335 responds to the total lengthening of the diagonal as measured by the displacement sensors 336 (Fig. 9). To allow for a useful comparison with the resistances calculated for design assess-337 ments, the figures also report the values of the diagonal bearing resistance in tension and in 338 compression, respectively named $F_{b,d,Rd}$ + and $F_{b,d,Rd-}$, calculated according to prEN1993-339 1-3:2019 (2019) (Eq. 5) by adopting the characteristic value of the ultimate tensile strength 340 $(f_u = f_{uk})$ but not taking into account the safety factor γ_{M2} ($\gamma_{M2} = 1.00$). 341

All profiles demonstrated behaviours with similar traits, even if having differences in the 342 shapes/thicknesses and in the final configurations based on the distances of the connection 343 hole from the free edge (for the tensile tests) or from the boltless hole (for the compression 344 tests). For the tensile tests, the force-displacement curves and the final state of the speci-345 mens after the tests (Figure 13a, b, respectively) show that all the connections experience 346 plastic deformations. After large deformation of the holes, different failure modes can be 347 observed: in the case of A and C elements, tearing of the end section occurs; in the case of 348 B and D profiles, the large deformation of the hole is accompanied by local buckling of the 349 plate. In the case of E profile, both types of failure can be clearly observed. 350

A similar global behaviour can also be observed among the compression tests (Fig. 14b), comprising plastic ovalization of the hole and local buckling of the plate in compression due to contact with the bolt. In cases A, C, and D tearing of the web occurs after buckling, while in the case B and E large plastic deformations are accompanied by buckling only.

The analysis of results highlights that the bearing resistance evaluated through the 355 approach proposed by the current Eurocodes are not fully in line with the experimental 356 outcomes. This difference can be observed for both the tensile and compression tests, 357 with a more evident difference in the latter case. To better clarify this aspect, Table 7 358 reports the experimental bearing resistances in tension and compression ($F_{b,exp}$, lines 5 359 and 6 of the table) and the design ones, the latter evaluated considering both the nom-360 inal f_{uk} and experimental R_m values of the tensile strength ($F_{b,d,Rd}$, lines 7–10 of the 361 table). A graphical rapresentation of these values is also provided within Fig. 15, so 362 to have their immediate comparison. In particular, the values of $F_{b,d,Rd}$ evaluated using 363 the experimental R_m values (line 9–10 of the table) allow a design estimation net of 364 the influence of material over-resistance. In this way, comparing these last values with 365 the experimental ones $(F_{b,exp} / F_{b,d,Rd}$ ratios, see line 13 of the table), it is possible to 366 observe the influence of only the connection geometry on the bearing resistance (in the 367 design values, these effects are considered through the α_b parameter (Eq. 5)) without 368

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Fig. 13 *Local tests:* **a** Force-displacement curves (solid lines) versus code predictions accounting for actual material strength (dashed lines), and **b** damaged configuration under tensile load (T)

369 taking into account the influence of the material properties. For both for compression 370 and tension, these ratios are always major than one, highlighting the design formula 371 may not consider possible additional resisting mechanisms related to the geometrical 372 configuration of the connection and of the element. In particular:

In the case of tension, this can be due to the geometrical configuration of the cross
section and related "border effects" due to the limited distance of the connection
hole to the lateral borders of the profile.

• In the case of compression, where the ratios are significantly higher than the tension ones, in addition to the "border effects", it should be pointed out that the formula for the α_b parameter included in the Eurocodes may not be well calibrated for the "boltless" status of the internal hole. This can be observed also by the ratios between tension and compression experimental values and the same ratios with the design ones (line 14 of the table): from the design previsions, the values are quite close, while

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Fig. 14 Local tests: a Force-displacement curves (solid lines) versus code predictions accounting for actual material strength (dashed lines), and b damaged configuration under compression C load

the experimental evidence proves the relevant under-estimation of the bearing resist-ance in compression by adopting the design formula.

With a wider sample, it could be possible to extend these considerations and to calibrate the value of α_b more accurately, also by correlating the failure modes with the e_1 and p_1 distances. A better calibration of α_b could also lead to a better evaluation of the effective bearing resistance of bolted thin-walled elements, especially under compression load and bolt-less inner holes, that should be useful especially in case of capacity design applications (as in this case) (Fig. 15).

390 5.2 Assembly tests

The *Assembly Tests* are performed on the sub-system consisting of a diagonal element connected to two upright segments, whose configuration respects the actual geometry of the

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Fig.15 Graphical comparison of the bearing resistance from tests and from applying the formula of prEN1993-1-3:2019 (2019) (Eq. (5))

upright trusses (Fig. 8b). The outcomes of the monotonic (tension and compression) and
cyclic load tests are presented, compared, and discussed in the following in terms of forcedisplacement curves and observations on the failure modes.

396 5.2.1 Monotonic tests

The force-displacement curves obtained for all assemblies and for both tensile and com-397 pression monotonic load are shown in Fig. 16, where they are also compared with the 398 monotonic curves from the *local tests* in order to highlight the influence of the full length 399 400 of the diagonal and of the upright. Displacements are measured between the bolts of the diagonal connection (Fig. 10), thus representing the deformations only occurring in the 401 diagonal member. Only for CS B, due to the lack of local measurements, the displacements 402 are derived from an indirect measure (movement of the hydraulic jack), resulting in an 403 apparent difference in terms of stiffness, see Fig. 16b. 404

In general, for both the tensile and compression assembly tests there is a good correla-405 tion with the behaviour observed in the local tests in terms of stiffness and resistance. This 406 highlights that respecting the proposed POS rules and properly proportioning the structural 407 elements and connections, the influence of the other components of the assembly can be 408 limited and the desired failure mechanism can be developed, thus concentrating damage, 409 plasticity, and dissipation only in the connection. The only relevant non-compliance can 410 be observed in CS D, which is characterized by a design mistake already previously high-411 lighted (see Table 2, ratios $F_{b,u,Rd+}/F_{b,d,Rd+}$ and $F_{b,u,Rd-}/F_{b,d,Rd-}$, where it can be noticed 412 that the over-resistance of upright bearing resistance $F_{b,u,Rd}$ with respect to diagonal bear-413 ing resistance $F_{h,d,Rd}$ has not been guaranteed, being their ratio minor than 1.00, while the 414 415 POS design rules require this ratio to be major than 1.20).

This led to a quite similar bearing resistance for the diagonal and for the upright in the design phase, which obviously influenced the behaviour observed in the Assembly tests, where plastic ovalization is placed both diagonal and upright sides. For this reason, CS D is analysed separately afterwards.

		Parameter	CS A	CS B	CS C	CS D	CSE
_			ъс	00	30	90	00
-		<u>د</u> ا	C7	67	C7	1 0	70
7		$\alpha_{b}(e_{1})$	0.69	0.81	0.69	1.00	0.56
3		P1	35	39	35	49.00	30
4		$\alpha_b(p_1)$	0.72	0.83	0.72	1.00	0.58
5		F _{been} + [kN]	73.85	51.31	64.37	57.99	16.77
9		F _{b,exp} -[kN]	104.59	51.79	80.13	71.31	20.74
7		$F_{b,d,Rd+}$ [kN] (EN1993-1-3) $[\gamma_{M2} = 1.00, f_u = f_{uk}]$	53.75	40.60	45.00	45.90	9.00
8		$F_{b,d,Rd-}$ [kN] (EN1993-1-3) [$\gamma_{M2} = 1.00, f_u = f_{uk}$]	55.90	42.00	46.80	45.90	9.45
6		$F_{b,d,Rd+}$ [kN] (EN1993-1-3) [$\gamma_{M2} = 1.00, f_u = R_m$]	52.68	48.31	57.15	I	13.50
10		$F_{b,d,Rd-}$ [kN] (EN1993-1-3) [$\gamma_{M2} = 1.00, f_u = R_m$]	54.78	49.98	59.44	I	14.18
11	Design values $f_u = f_{uk} \operatorname{vs} f_u = R_m$	$F_{b,d,Rd+} \left[\gamma_{M2} = 1.00, f_u = R_m \right] / F_{b,d,Rd+} \left[\gamma_{M2} = 1.00, f_u = f_{uk} \right]$	0.98	1.19	1.27	I	1.50
		$F_{b,d,Rd-}$ [$\gamma_{M2} = 1.00, f_u = R_m$] / $F_{b,d,Rd-}$ [$\gamma_{M2} = 1.00, f_u = f_{uk}$]	0.98	1.19	1.27	I	1.50
12	Test vs design values with $f_u = f_{uk}$	$F_{b,exp+}/F_{b,d,Rd+}$	1.37	1.26	1.43	1.26	1.86
		F _{b,exp} -/F _{b,d,Rd} -	1.87	1.23	1.71	1.55	2.19
13	Test vs design values with $f_u = R_m$	Fb.exp+/Fb.d.Rd+	1.40	1.06	1.13	I	1.24
		F _{b.exp} -/F _{b.d.Rd} -	1.91	1.04	1.35	I	1.46
14	Test values: tensile vs compression	F _{b,exp} -/F _{b,exp+}	1.42	1.01	1.24	1.23	1.24
	bearing resistance	$F_{b,d,Rd-}/F_{b,d,Rd+}$	1.04	1.03	1.04	1.00	1.05

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Fig. 16 Assembly Tests series—monotonic load: For the case studies A (**a**), B (**b**), C (**c**), D (**d**), E (**e**), forcedisplacement curves for monotonic tensile (MT) and compression (MC) loads and comparison with tensile (Local test—T) and compression (Local test—C) curves obtained from the local tests; failure modes for MT and MC tests

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In all cases, the final configuration of the diagonals is characterised by significant plastic ovalization in both the upper and the lower bolted connection. Web tearing in the diagonals is observed in some cases for tensile loading, while local buckling of the inner plate between the bolted and the empty hole in others for compression loading. Global buckling of the diagonal, when observed, occurs only after excessive damage in the connection (CS B, Fig. 16b).

In some cases, noteworthy differences can be observed between the Local and 426 Assembly curves and thus need to be analysed from case to case due to the unique 427 designer choices and peculiar geometrical characteristics of the selected components. 428 Regarding the CS C (Fig. 16c), the assembly test demonstrates higher global resistance 429 in compression. This can be attributed to the closure of the wings of the Ω upright sec-430 tion (see Table 1) during the application of the load and the consequent development 431 432 of friction forces with the diagonal, as indicated by the scratches that were left from 433 the contact of the diagonal with the upright. A similar over-resistance of the assembly compression curve can be noticed in CS E (Figs. 16e and 17). Looking at the global 434 behaviour and the failure mode, this over-resistance is mainly due to the contact of the 435 diagonal free end with the flanges of the upright (see Table 1). 436

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Fig. 17 Assembly Tests series—monotonic load, case study D. Focus on the deformation and damage of upright under (**a**) tension and (**b**) compression load



Fig. 18 Assembly Tests series—monotonic load, case study E: damage under tension load (a) and deformation of the diagonal and contact with the upright under compression load (b)

Finally, regarding the CS D (Fig. 16d and 18), the influence of the upright on the global 437 behaviour of the assembly is clearly evident, both for tensile and compression load. In 438 tension, bearing resistance is exceeded both in the diagonal and in the upright, (Fig. 18). 439 440 In compression there is a clear distortion of the upright visible. Looking at the local and assembly force-deformation curves (Fig. 16d), while their resistances in tension are quite 441 comparable, their stiffnesses are not: deformations are higher in the assembly test due 442 to the ovalization of the upright's hole and the bolt bending. In compression, the assem-443 bly's resistance is lower than from the local test due to the strong local deformation of the 444 upright profile. 445

446 5.2.2 Cyclic tests

The cyclic tests further confirm the behaviour observed in the monotonic tests, especially referring to the failure modes and to the localization of the damage. In case studies A, B, and C a very good correspondence among the backbones of the cyclic force-displacement curves and the monotonic ones can be noticed, with negligible loss of strength. For all case studies, pinching behaviour can be observed, due to the increasing looseness of the

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452 connection with the increase of plastic ovalization of the diagonal connection hole. In addi-453 tion, for CS E a good correspondence between the monotonic and cyclic behaviour can still 454 be observed, but with a higher variability of the cyclic response probably due to the high 455 friction developed between the diagonal and the upright, testified by the lower pronounced 456 pinching and the higher energy dissipated in each cycle (Fig. 19).

In the case of CS D, which was affected by a design mistake, strength degradation is 457 quite relevant, and the developed failure mechanism involves not only the diagonal ends, 458 but also (i) the upright hole, which experiences large plastic ovalization and (ii) the bolt, 459 which is strongly bent. Bending of the bolt is amplified by the arrangement of the diago-460 nals in this particular case study configuration: there are two C-section diagonal members 461 in parallel, connected to the upright wings via a bolt that passes through the web plate of 462 the profiles (Fig. 20a and Table 1). The initial looseness among the pieces and the progres-463 sive damage in both the diagonal and upright connections, caused the individual diagonal 464 members to dislocate from their original position and move towards one another (Fig. 20b). 465 Thus, the bolt started to be subjected to a bending moment, which resulted in a signifi-466 cantly poor behaviour that deviated from the intended design of the assembly (Fig. 20c). 467 These effects were amplified by the limited transversal and torsional stiffness of the upright 468 profile which led to very extensive distortion increasing 2nd order effects. 469

470 5.2.3 Considerations regarding the capacity design of the mechanisms

471 Considering the target capacity design associated with the POS strategy, it can be observed
472 that all case study structures behaved as intended, with the only exception of CS D, which
473 is in any case affected by a design mistake previously highlighted (red values in Table 2).
474 Table 8 gathers the values of:

- The diagonal bearing resistances $F_{b,d,Rd}$ for tension and compression;
- The upright bearing resistance $F_{b,u,Rd}$ for tension and compression;
- The bolt shear resistance $F_{v,Rd}$;
- The diagonal net section resistance $F_{n,Rd}$;

479

Calculated according to prEN1993-1-3:2019, Table 10.5 (2019) by considering a safety 480 factor equal to 1.0. Moreover, the table contains the maximum load values obtained by the 481 local and assembly tests. Excluding CS D, one can again notice the generally good cor-482 respondence between the maximum forces obtained by the local and the assembly tests, 483 highlighting that the governing failure mechanism is the plastic ovalization of the diagonal. 484 Despite the aforementioned considerations around the under-estimation of the bear-485 ing resistance of the diagonal calculated according to the prEN1993-1-3:2019 formulas 486 (Table 7), especially in compression, it seems that the POS capacity design rules still man-487 age to achieve the desired hierarchy among the possible mechanisms of the connection and 488 of the diagonal element. The minor ovalization of the upright hole that was observed in 489 some cases could suggest to moderately increase the over-strength coefficient for the bear-490 491 ing resistance of the upright with respect to the one of the diagonal, which is presently set at 1.20 per Eq. (2). Moreover, constraining internally the bolt (e.g., by- diminishing the 492 unconstrained length of the bolt appearing in Fig. 21) results in a more compact behav-493 iour of the connection, stabilising the shape of the connected open profiles (diagonal and 494 upright) and allowing the bolt to mainly work in shear (CS B). In case of not having this 495

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Fig. 19 Assembly Tests series – cyclic load: For the case studies A (a), B (b), C (c), D (d), E (e), force-displacement curves for cyclic test 1 (C1) and 2 (C2) loads and comparison with the monotonic load curves; failure modes for C1 and C2 tests

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internal constraint, diagonals working in parallel and connected through the web (CS D)
should be avoided, as they can potentially lead to a poor, not symmetric, and unpredicted
behaviour with increased damage accumulation.

499 6 Conclusions

This paper presents and discusses the experimental validation of the Plastic Ovalization 500 Strategy (POS), a design strategy dedicated to the seismic design of racking structures, 501 such as Rack Supported Warehouses (RSWs). This design approach is proposed for the one 502 of the two main directions of the RSWs, which is made of consecutive adjacent trusses, 503 each composed of two uprights connected by diagonals through bolts. The POS capacity 504 design rules have been structured to concentrate damage and dissipation in the diagonal-to-505 506 upright connections through the plastic ovalization of the diagonal ends, while all the other failure mechanisms in the connection are prevented and all other elements remain in the 507 elastic field. An additional bolt-less hole has been inserted in the inner-side of the diagonal, 508 with the goal of controlling the bearing resistance in compression and make it comparable 509

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Fig. 20 Case Study D: progressive damage and movement of the diagonals during the test

to the one in tension. In this study, five sub-assemblies of diagonal-to-upright connections have been extracted from case study structures designed according to POS, to be tested according to two sets of tests: the *Local Tests*, to assess the actual bearing resistance of the diagonal connection with no other interferences; and the *Assembly Tests*, to evaluate the sessembly behaviour and the over-resistance of the other mechanisms and elements.

In the Local Tests, both monotonic tensile and compressive loads are applied. In both cases, looking at the final configuration of the diagonals (Figs. 13b and 14b), it is clear that the connections fail in a ductile manner, exceeding the bearing resistance with relevant ovalization of the hole. By comparing the maximum force of the test with the

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Unconstrained zone

Characteristics of the connection		CS A	CS B	CS C	CS D	CS E
Resistance values from Eurocode formula	$F_{h,d,Rd+}$ [kN]	53.75	40.60	45.00	45.90	9.00
with $\gamma_{m2} = 1.00$	$F_{b,d,Rd-}$ [kN]	55.90	42.00	46.80	45.90	9.45
	$F_{b,u,Rd+}$ [kN]	165.00	100.80	100.80	41.25	43.20
	$F_{b,u,Rd}$ [kN]	165.00	100.80	100.80	41.25	43.20
	$F_{v,Rd}[kN]$	81.43	81.43	81.43	40.72	40.72
	$F_{n,Rd}$ [kN]	207.05	103.49	166.31	144.06	55.49
Bearing resistance values from Local tests	$F_{b,d,LT+}[kN]$	73.85	51.31	64.37	57.99	16.77
	$F_{b,d,LT}$ [kN]	104.59	51.79	80.13	71.31	20.74
Maximum forces from Assembly tests mono-	$F_{max,AT_M+}[kN]$	74.96	55.15	63.88	59.90	17.27
tonic load	F_{max,AT_M} [kN]	111.17	52.06	102.55	42.83	26.39
Maximum forces from Assembly tests mono-	$F_{max,AT_C1+}[kN]$	74.22	52.45	59.85	46.50	17.37
tonic load	$F_{max,AT_C1_}[kN]$	101.32	52.63	109.92	34.90	26.37
	$F_{max,AT_C2+}[kN]$	73.89	56.22	61.95	45.32	17.00
	F _{max,AT C2} -[kN]	101.16	52.05	109.18	35.55	25.49

bolt hole

boltless hole

 Table 8
 Main geometrical characteristics and resistance properties of the connections in the bottom part of the CS structures

Fig. 21 Unconstrained zone of the bolt in the diagonal-toupright connection



Regarding the Assembly tests, monotonic tensile and compression load, followed by 528 529 with cyclic ones are applied. Excluding the one with an evident design mistake, the desired behaviour is generally observed, with the main damage localized in the diag-530 onal-to-upright connection due to plastic ovalization of the diagonal, and little-to-no 531 damage in the non-dissipative elements. Besides the generally observed behaviour, 532 some case-to-case observations shall be done to limit the interference of the other com-533 ponents on the activation of the desired mechanism, as, for instance, constraining the 534 internal zone of the connection to limit the out-of-plane deformation of the upright 535 wings and avoiding the bolt bending, or avoiding unintended contact between elements 536 that can increase the resistance in compression and lead to local damage. 537

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The results of this experimental campaign highlight the capability of the POS capacity 538 rules to guarantee the desired chain of failures in the diagonal-upright assembly, but also 539 set the necessity for further investigations: (i) developing local structural models to better 540 comprehend the local behaviour of the diagonal connection, and executing parametric anal-541 yses to appreciate how the geometrical parameters e_1 , p_1 and the profile thickness affect 542 the bearing resistance of the element and the observed damage; (ii) developing simpli-543 fied models, starting from the local ones, to be inserted in the global case study structures 544 models to check the global collapse mechanism for the final calibration of the POS design 545 rules. In any case, the experimental campaign confirms the results numerically obtained 546 within (Tsarpalis 2022), and together with the further investigations here listed highlights 547 that POS can provide a new way of designing RSWs in seismic areas, founded on perfor-548 mance-based earthquake design, to be included in design codes as a fruitful easy-to-be-549 adopted alternative to design structurally safe RSWs, also under seismic action. 550 551

Author contributions Conceptualization: Dimitrios Vamvatsikos, Dimitrios Tsarpalis, Francesco Morelli, Agnese Natali; Methodology: Francesco Morelli, Agnese Natali, Dimitrios Vamvatsikos, Dimitrios Tsarpalis; Formal analysis and investigation: Francesco Morelli, Agnese Natali, Cristian Vulcu; Writing - original draft preparation: Agnese Natali; Writing - review and editing: Francesco Morelli, Cristian Vulcu, Dimitrios Tsarpalis, Dimitrios Vamvatsikos, Walter Salvatore, Benno Hoffmeister; Funding acquisition: Walter Salvatore, Ioannis Vayas; Supervision: Walter Salvatore, Dimitrios Vamvatsikos, Benno Hoffmeister, Ioannis Vayas.

Funding The authors are grateful for the funding provided by the EU Research Fund for Coal and Steel via the project "STEELWAR: Advanced structural solutions for automated STEELrack supported WARehouses," Grant Agreement No. 754102.

562 **Data availability** The datasets generated during and/or analyzed during the current study are available from 563 the corresponding author on reasonable request.

564 Declaration

565 Conflict of interests The authors have no relevant financial or non-financial interests to disclose.

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