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LACRIMAL SECRETION VARIATION AND MENACE RESPONSE APPEARANCE IN

HEALTHY STANDARDBRED FOALS FROM BIRTH TO FOUR WEEKS OF AGE

Samanta Nardi, Martina Nuti, Irene Nocera, Micaela Sgorbini, Paola Marmorini, Giovanni Barsotti

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Journal of Equine Veterinary Science LACRIMAL SECRETION VARIATION AND MENACE RESPONSE APPEARANCE IN HEALTHY STANDARDBRED FOALS FROM BIRTH TO FOUR WEEKS OF AGE

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Corresponding Author:	Irene Nocera Universita degli studi di Pisa Dipartimento di Scienze Veterinarie ITALY		
First Author:	Samanta Nardi		
Order of Authors:	Samanta Nardi		
	Martina Nuti		
	Irene Nocera		
	Micaela Sgorbini		
	Paola Marmorini		
	Giovanni Barsotti		
Abstract:	This study assesses lacrimal secretion in healthy foals at birth and evaluates their variations in lacrimal secretion and menace response appearance during the first month of life. A total of 31 Standardbred foals were evaluated. Foals were housed with their dams in 4x4 m boxes throughout the study. A complete ophthalmic examination was performed for each animal enrolled in the study, including the foals' dams which were used as a control group to compare the lacrimal secretion of foals at birth with that of adult horses. In foals, the Schirmer Tear Test I (STT I) and menace response were evaluated within 48 hours after birth (T0) and weekly for a total of four times (T1, T2, T3, and T4). In mares, the STT I was evaluated once, before delivery. The Schirmer Tear Test I results of 11 out of 31 dams were excluded because of signs of ocular adnexa inflammation which might otherwise have influenced lacrimal secretion. At birth STT I values in foals (19.11±2.49 mm/min) were lower than those of their dams (23.20 ± 1.83 mm/min). In addition, the mean STT I in foals decreased during the study period and reached its nadir at T3 (16.84 ± 2.33 mm/min). None of the foals showed a menace response at any observation point.		
Suggested Reviewers:	Francesca Freccero francesca.freccero2@unibo.it DVM, PhD, ECEIM, equine specialist		
	Barbara Lamagna blamagna@unina.it		
Opposed Reviewers:			

Cover Letter

Dear Editor,

Attached is our paper entitled "LACRIMAL SECRETION VARIATION AND MENACE RESPONSE APPEARANCE IN HEALTHY STANDARDBRED FOALS FROM BIRTH TO FOUR WEEKS OF AGE" by Nardi et al. The paper is original and has not been submitted or published elsewhere. All the authors have given their approval for submission to your journal. The paper has also been edited by a native English speaking editing agency (certificate uploaded in the supporting information). We hope you will find the paper suitable for publication in your journal.

Yours sincerely,

Dr Irene Nocera

(Corresponding author)

HIGHLIGHTS

- At birth healthy Standardbred foals show a lower lacrimal secretion than adults
- Tear secretion seems to decrease during the first month of life in foals
- Foals show no evident menace response during the first month of life
- Poor lacrimation and no menace response might predispose foals to corneal ulcers

LACRIMAL SECRETION VARIATION AND MENACE RESPONSE APPEARANCE IN HEALTHY STANDARDBRED FOALS FROM BIRTH TO FOUR WEEKS OF AGE Samanta Nardi^{1°}, Martina Nuti^{1,2°,} Irene Nocera^{1*°,} Micaela Sgorbini¹, Paola Marmorini³ and Giovanni Barsotti¹

¹ Department of Veterinary Sciences, Veterinary Teaching Hospital, via Livornese snc, San Piero a

Grado (PI), Italy;

² Private practitioner, Livorno, Italy;

³ Private practitioner, Pisa, Italy;

[°] Equal first author

* Correspondence: <u>irene.nocera@vet.unipi.it</u>; telephone +39.050.2210151

Running title: Schirmer tear test and menace response in foals

ABSTRACT

This study assesses lacrimal secretion in healthy foals at birth and evaluates their variations in lacrimal secretion and menace response appearance during the first month of life. A total of 31 Standardbred foals were evaluated. Foals were housed with their dams in 4x4 m boxes throughout the study. A complete ophthalmic examination was performed for each animal enrolled in the study, including the foals' dams which were used as a control group to compare the lacrimal secretion of foals at birth with that of adult horses. In foals, the Schirmer Tear Test I (STT I) and menace response were evaluated within 48 hours after birth (T0) and weekly for a total of four times (T1, T2, T3, and T4). In mares, the STT I was evaluated once, before delivery. The Schirmer Tear Test I results of 11 out of 31 dams were excluded because of signs of ocular adnexa inflammation which might otherwise have influenced lacrimal secretion. At birth STT I values in foals (19.11±2.49 mm/min) were lower than those of their dams (23.20 ± 1.83 mm/min). In addition, the mean STT I in foals decreased during the study period and reached its nadir at T3 (16.84 ± 2.33 mm/min). None of the foals showed a menace response at any observation point.

In conclusion, at birth healthy Standardbred foals show a lower lacrimal secretion than their dams, and tear secretion does not seem to increase during the first month of life. In this period, poor lacrimation associated with the absence of an evident menace response might be predisposing factors for developing corneal ulcers.

Key words: Standardbred, neonatal, foal, Schirmer tear test, menace response, horse

1. INTRODUCTION

The ocular surface is covered by the tear film which, in association with the ocular adnexa, is necessary for optical integrity, maintenance of the cornea, and normal eye function [1]. Tears provide nutrients, oxygen, and leukocytes to the avascular cornea. Inadequate tear production can induce conjunctivitis, superficial keratitis, and corneal ulceration [1]. Tears consist of a triple-layered film: the mucin layer, aqueous tear fluid layer, and oily layer. The mucin layer is the innermost layer, which is adsorbed from the corneal epithelial surface and distributed evenly during normal blinking. The mucin provides a hydrophilic surface over which the aqueous tear fluid spreads evenly. The aqueous tear fluid layer is the middle layer, which guarantees the oxygen necessary for normal corneal metabolism. The oily layer is the superficial layer, which reduces evaporation of the underlying aqueous tears and forms a barrier along the lid margin which prevents the overflow of tears on the face [1,2].

The standard method to assess the aqueous component of the tear film is the Schirmer tear test (STT) [3]. STT I measures trigeminal/facial reflex tearing in addition to basal secretion, and is performed without topical anesthesia. STT II, with topical anesthesia, only measures basal tearing [3,4]. Although there is abundant literature on this issue in adult horses, papers on neonatal foal tear secretion are scarce [5] and, to the best of our knowledge, the variation over time in the first month of life has never been evaluated.

Healthy neonatal foals, as well as sick foals, have been reported to show a lower tear secretion than adults. Sick foals also present a weak corneal sensitivity. It has been speculated that both weak corneal sensitivity and low tear secretion volumes may contribute to an increase in the frequency of ulcerative keratitis in foals [5], and more serious disease such as keratomycosis [6].

The Menace Response (MR) and palpebral reflexes are routinely evaluated during ophthalmic examinations. The MR consists of making an unexpected hand motion near the eye. The response elicited should be quick together with repeated blinking and possibly retraction of the globe or an

avoidance movement of the head [7,8]. The MR is a learned behaviour, which is not present at birth. Studies have also found that in foals, the MR is often not present from the first days of life up to three weeks of age [9-11]. Foals may also occasionally have a partial or an asymmetrical response before showing a complete response [9-11].

The aim of the present study was to evaluate STT I values at birth and lacrimal secretion variation and menace response appearance from birth to 4 weeks of age in a cohort of healthy Standardbred foals. We also compared STT values of foals at birth with those of a control group of adult horses composed of the foals' dams. The null hypothesis was that foals would have a similar lacrimal secretion to findings reported in adult horses.

2. MATERIALS AND METHODS

The present study was approved by the Institutional Animal Care and Use Committee of the University of Pisa (D. Lgs. 116/1992) and the owner's written consent was obtained for the ophthalmic examination and STT I tests for all the animals. The study population consisted of 31 healthy Standardbred neonatal foals and their dams. All the foals were born on the same stud farm in Tuscany (Italy) and were kept under similar management conditions. Foals were housed with their dams in 4x4 m boxes throughout the study. Boxes were provided with one outside window and one main door. Wood shavings were used as bedding.

Foals were considered healthy based on physical examinations, which were performed weekly throughout the study. Mares were considered healthy based on physical examination performed at the time of the ophthalmic evaluation.

A complete ophthalmic examination of the foals was performed within 48 hours (T0) of delivery. All foals were examined on the dam's side in the stall and they were only manually restrained during the procedure. The Schirmer tear test I was performed for both eyes as the first step of the examination using commercially available test strips (Dina strip Schirmer-Plus®, GECIS srl, France) as follows:

the test strip was bent at the notch while the strip was still in its packaging to prevent oil being absorbed by the examiner's skin. The folded end was inserted in the lower conjunctival fornix near the junction of the middle and temporal part of the eyelid for one minute. Tear production was recorded in millimeters of wetting per minute immediately at removal. The Schirmer tear test I was performed in the morning (9:00-11:00 am) in the spring. The test was executed first on the right eye and then on the left eye. Palpebral, corneal, direct, and indirect pupillary light reflexes and the MR were performed. Intraocular pressure was assessed by applanation tonometry (Tonopen-XL, Mentor, Norwell, MA, USA) following the topical administration of 0.4% oxibuprocaine chlorhydrate (Benoxinato chlorhydrate INTES®, Alfa Intes, Industria Terapeutica Splendore S.r.l., Naples, Italy). The adnexa and anterior segments of both eyes were examined with a slit-lamp (Kowa SL-14, Kowa Company, Tokyo, Japan) to exclude any ocular abnormalities that could influence lacrimal secretion. Mydriasis induction was performed in both eyes of each foal with topical 1% tropicamide (Visumidriatic 1%, Visufarma Srl, Italy), and foals were re-examined 30 minutes after the instillation. The ocular fundus was examined using a binocular indirect ophthalmoscope (Omega 180, Heine, Berlin, Germany) with a 20 or 30 D lens. Fluorescein staining was performed after completion of the fundoscopy.

The Schirmer tear test I and MR were also evaluated weekly (7-day intervals) for a total of four times in foals (T1, T2, T3, and T4). Biomicroscopy of the adnexa and anterior segment of the eye was executed at each STT I assessment.

Before the delivery, a complete ophthalmic examination was also performed in mares, following the same procedure described for the foals. The Schirmer tear test I and MR were performed once in both eyes, and during the procedure, the mares were restrained in a stock.

Data distribution was evaluated by the Shapiro-Wilk normality test. Since the data distribution was gaussian, the results were expressed as mean (X) and standard deviation (SD). The 95% confidence intervals (CIs) of the mean for each sampling time were also calculated.

A paired t test was used to compare the STT I value of the right eye with that of the left eye of each foal at each measurement time. The same test was used to compare the STT I value of the right eye with that of the left eye of each mare. Results indicated that the STT I did not differ significantly between the right and left eyes of individual animals. The mean STT I was therefore calculated for the right and left eyes at each measurement time in the foals, and the same was calculated in mares. The mean STT I values were then considered as per-foal and per-adult values, and used for all subsequent analyses, as recommended by others [12].

A two-tailed unpaired t test was applied to compare STT I values obtained in mares vs STT I values obtained in foals at T0.

A two-tailed paired Student t test was used to compare the mean STT I between T0 and each subsequent measurement time (T1, T2, T3, and T4). A two-tailed paired Student t test was also used for pairwise comparison of the mean STT I between T1, T2, T3, and T4. Statistical significance was set at p<0.05. Commercial software was used (GraphPad Prism, 6.0,

USA).

3. RESULTS

In all the 31 foals included in the study (16 fillies and 15 colts), no ocular abnormalities were found at T0 and at the subsequent timeframes. In contrast, 11 out of 31 mares showed signs of ocular adnexa inflammation that might otherwise have influenced lacrimal secretion, thus their STT values were excluded from the study. In the remaining 20 mares (median age 9 years; range 5-13 years), the STT I value was 23.20±1.83 mm/min (22.34-24.06 mm/min 95% CIs). Statistical differences were observed between STT I value in mares vs T0 measurement in foals (p<0.0001), with higher values in adult horses.

Results of STT I values in foals at all measurement times are reported in Table 1.

Table 1

The STT I values obtained in foals at birth (T0), and then at 1 (T1), 2 (T2), 3 (T3) and 4 (T4) weeks of age. The results are reported as mean (X)±standard deviation (SD). The 95% confidence intervals (CIs) are also reported.

STT I (mm/min)	ТО	T1	T2	Т3	T4
X±SD	19.11±2.49	18.53±2.86	17.66±2.82	16.84±2.33	17.65±2.05
95% CIs	18.20-20.02	17.48-19.58	16.63-18.69	15.98-17.69	16.89-18.40

STT I: Schirmer tear test I; T0: time at birth; T1: time at one week; T2: time at two weeks; T3: time at three weeks; T4: time at four weeks; X: mean; SD: standard deviation; CIs: confidence intervals.

Statistical differences were detected between T0 and T2 (p=0.0062), T3 (p=0.0008) and T4 (p=0.0078), and between T1 and T3 (p=0.0094). The mean STT I decreased over time and reached its observed nadir at T3 (Fig. 1).

None of the foals showed a MR at any observation timeframe in the study.

4. DISCUSSION

Tear production is important for the health of the anterior segment of the globe. Normal tear production values have been well documented in adult horses [3,4,13-15], while the literature is scarce on neonatal foals [5] and variations over time have not yet been evaluated. The main aim of the present study was thus to assess STT I variations and menace response appearance during the first month of life in a cohort of healthy Standardbred foals. In addition, we compared STT values of foals at birth with those of a control group of adult horses composed of the foals' dams. Our mean STT I values in healthy mares $(23.20\pm1.83 \text{ mm/min})$ are similar to studies on adult horses [4,13,14,16]. Some studies have evaluated how age, season [13,14], breed [14], gender, daily

exposure to light [16], and eyelid position [16] influence STT I values in adult horses. According to Beech and colleagues (2003) [13], no statistically significant changes in STT I values were found with age, season, environment, sex, time of day and placement of the strips. In contrast, Piccione and colleagues (2008) [16] reported statistically significant differences comparing the left and right eye, and between genders. They also showed a daily rhythmicity in horse tear production. Trbolova and Ghaffari (2017) [16] reported that the mean STT I value performed with the eye open was significantly lower than the STT I performed with the eye closed.

In our study, all the adult horses evaluated were mares, and their STT I values were assessed in the morning with the eyes open. STT I values did not differ significantly between the right and left eyes. It was therefore not possible to compare genders, and to evaluate the variation in tear production during the day. This could be considered a limitation of our study, although our main aim was to evaluate normal STT I values in healthy foals at birth and during the first month of life and to compare the foals' values at birth with STT I values in healthy adult horses. Despite this possible limitation, the STT I values obtained in mares are in line with those previously reported [4,13,14,16]. To best of our knowledge, this is the first report on the assessment of STT I values in a population of healthy foals homogeneous in terms of breed and age. Our results at T0 (19.11±2.49 mm/min) are not in line with values reported in a previous study [5] in which the lacrimal secretion value was lower (12.8±2.4 mm/min) than ours at all measurement times. This difference might be due to the number (n=5) and non-homogeneous age of the foals enrolled in [5], in which the authors examined foals aged less than 7 days, without stating the exact time of the ophthalmic examination [1]. On the other hand, our results at T0 are in line with another study [17] (mean of 19 mm/min in both eyes), in which the foals enrolled were also non-homogeneous in terms of breed and age (\leq 30 days), and they were affected by systemic, and sometimes ophthalmic diseases [17].

In our study, Schirmer Tear Test I values in foals at T0 were lower than in mares. Moreover, values in foals decreased over time up to the third week of life. This could be explained by an unstable tear

film in the foals at birth, probably due to an imbalance between the components of the triple-layered film [18]. If the tear film is unstable, a higher amount of the aqueous portion may collect at the lower conjunctival sac, resulting in an overestimation of tear production in foals at birth. No specific studies on this have been performed on horses. However, in an experimental study on 5-day-old mouse pups, it was demonstrated that the tear film has no precipitable ocular mucus, thus determining its instability [19].

In our study, the stability of the tear film in foals was not evaluated by the tear film break-up time test (TFBUT) [18], which might represent a limitation. The TFBUT has not been standardized to date in foals and values range from 2-3 seconds [20] to 24.9 seconds [21].

A lower tear production in foals than in adult horses could be a predisposing factor for the development of corneal ulcers in foals as already reported in a previous study [5]. An additional predisposing factor for the development of corneal ulcers in newborn foals might also be tear film instability, however further studies are needed to confirm this correlation.

The menace response (MR) is a learned protective response after a sudden movement towards the eye resulting in closure of the eyelids and retraction of the globe and/or an avoidance movement of the head [8]. Foals learn with experience how to react to the menace [3,7]. The time needed to develop MR is not well defined and with wide intervals ranging from 4 days to three weeks of life [9-11,22]. None of the foals enrolled in our study showed the MR in the first four weeks of life, which seems to be longer than previously found [9,10]. This difference might be due to the type of management of the mares and foals during the study. In particular, foals were housed in a box with the mare throughout the first month of life. It is possible that, as foals may not have been exposed to external stimuli, the MR may have been delayed because it took them a longer time to learn it [7,11]. The housing system used in the present study might have influenced our results and thus represents a limitation for an objective evaluation of the MR. However, our controlled indoor environment might

actually have helped to make the external stimuli uniform and to standardize the results obtained, both for the STT I and MR evaluation.

5. CONCLUSIONS

In conclusion, to the best of our knowledge this is the first study to assess STT I values and MR during the first month of life in a population of healthy Standardbred foals. The results showed that lacrimal secretion in newborn foals is lower than in adult horses, and no menace response was evident in the first four weeks of life. These features might be predisposing factors for the development of corneal ulcers. Further studies are needed to determine the stability of the precorneal tear film in foals.

FIGURE CAPTIONS

Figure 1

Mean±SD of STT I values over time obtained for 31 foals. Statistical differences were observed between T0 and T2 (p=0.0355), T0 and T3 (p=0.0004) and T0 and T4 (p=0.0139) and between T1 and T3 (p=0.0131). The different letters above the bar charts denote a significant difference over the observational time (a \neq b,c,d; a,c \neq b,d: p < 0.05).

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DATA ACCESSIBILITY STATEMENT, INCLUDING A LINK TO THE REPOSITORY USED

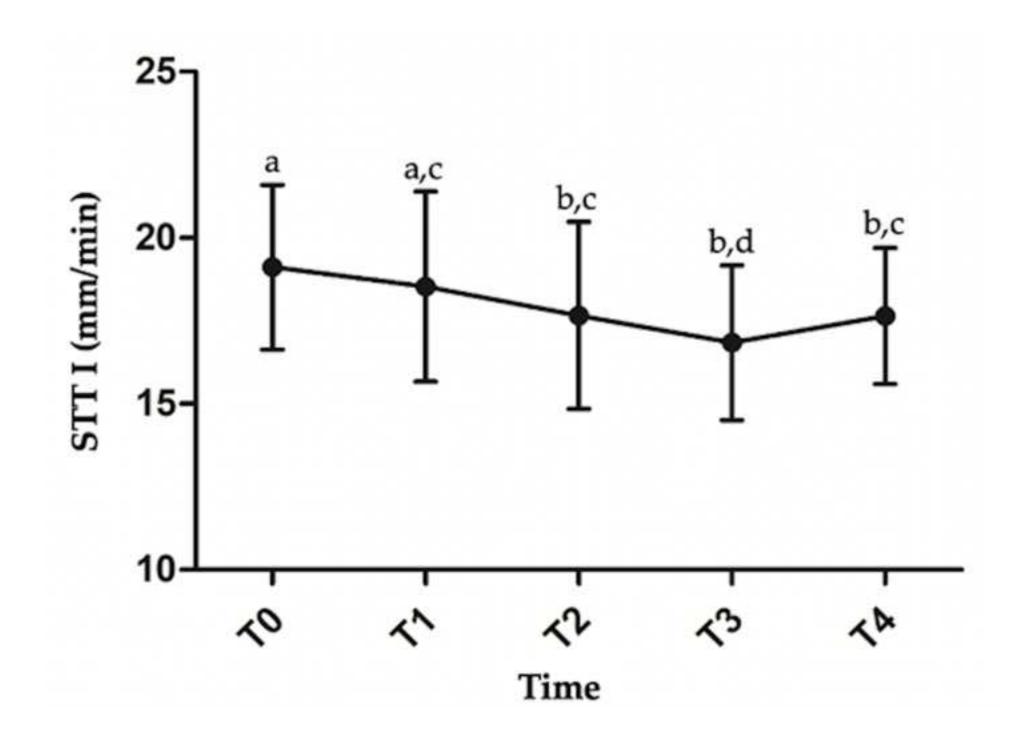
The data that support the findings of this study are available from the corresponding author upon reasonable request.

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1 DECLARATIONS OF INTEREST

¹ ² 2 Declarations of interest: none.

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ETHICAL ANIMAL RESEARCH

The present study was authorized by the Institutional Animal Care and Use Committee of the

University of Pisa (D. Lgs. 116/1992).

OWNER INFORMED CONSENT

Owners gave consent for their animals' inclusion in the study.

1 AUTHOR CONTRIBUTIONS

- 2 MS and SC conceived, designed and supervised the project.
- 3 IN, BA and LD executed the experiment. IN, BA and LG analysed the data.
- 4 GC executed the formal analysis.
- 5 All the authors interpreted the data, wrote and critically revised the manuscript for intellectual
- 6 content and approved the final version.
- 7 IN and MS had full access to all the data in the study and take responsibility for the integrity of the
- 8 data and the accuracy of the data analysis.

English Manuscript declaration

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