

# 1 The Naturalistic Approach to Laughter in Humans and Other 2 Animals: Towards a Unified Theory

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## 13 **ABSTRACT**

14 This opinion piece aims to tackle the biological, psychological, neural and cultural underpinnings of  
15 laughter from a naturalistic and evolutionary perspective. A naturalistic account of laughter requires  
16 the reevaluation of two dogmas of a longstanding philosophical tradition, that is, the quintessential link  
17 between laughter and humour, and the uniquely human nature of this behaviour. In the spirit of  
18 Provine's and Panksepp's seminal studies, who firstly argued against the anti-naturalistic dogmas, here  
19 we review compelling evidence that (a) laughter is first and foremost a social behaviour aimed at  
20 regulating social relationships, easing social tensions and establishing social bonds, and that (b)  
21 homologue and homoplastic behaviours of laughter exist in primates and rodents, who also shares with  
22 humans the same underpinning neural circuitry. We make a case for the hypothesis that the  
23 contagiousness of laughter and its pervasive social infectiousness in everyday social interactions is  
24 mediated by a specific mirror mechanism. Finally, we argue that a naturalistic account of laughter should  
25 not be intended as an outright rejection of classic theories; rather, in the last part of the piece we argue  
26 that our perspective is potentially able to integrate previous viewpoints - including classic philosophical  
27 theories - ultimately providing a unified evolutionary explanation of laughter.

28

## 29 **1. Introduction**

30

31 Laughter is a multifaceted social behaviour that has aroused great interest in many major Western  
32 thinkers. Over the years, the enigma of laughter gained the interest of philosophers [1,2], psychologists  
33 [3,4], neuroscientists [5,6], neurologists [7,8], anthropologists [9], ethologists [10] and even historians  
34 [11,12].

35 Classic philosophical theories of laughter suggested that laughter can be explained as the outcome of  
36 a feeling of dominance (Superiority Theory), the appreciation of something that violates our  
37 expectations (Incongruity Theory), or the release of nervous energy (Relief Theory; see 1). However,  
38 none of these theories can fully explain the phenomenon of laughter, and even if these theories are taken  
39 together, what remains outside of them is much more than what is explained. Most importantly, these

40 theories are theories of humour - not theories of laughter, with its acoustic and visual components - and,  
41 despite the obvious relation between humour and laughter, the two phenomena are not always  
42 associated.

43 A second problem concerning the classical philosophical approach to laughter is the assumption that  
44 laughter is a uniquely human feature, an idea shared by all relevant scholars since the time of Aristotle  
45 [1], and most unambiguously expressed by the German philosopher and sociologist Helmut Plessner:  
46 *“the principle according to which only man disposes of laughter and crying, and not the animal, is not a*  
47 *hypothesis that could one day be refuted, but a certainty”* [13].

48 Starting in the 19th century, the humour- and homo-centric accounts of laughter started to creak,  
49 and the suspicion began to spread that - albeit targeting some interesting aspects of how we use laughter  
50 - classic explanations of laughter were probably scratching the surface of a wider phenomenon, missing  
51 the opportunity to develop a naturalistic, evolutionary, account of laughter. Coherently with Darwin’s  
52 view that *“we may confidently believe that laughter [...] was practiced by our progenitors long before they*  
53 *deserved to be called humans”* [14] - emerging research in the field of psychology, ethology and  
54 neuroscience provided compelling evidence for animal homologues or analogues of laughter,  
55 highlighting the primarily communicative function of this behaviour.

56 Two names that, more than others, contributed to the emergence of such a new view are the late  
57 Estonian-American neuroscientist Jaak Panksepp, and the late American psychologist Robert Provine.  
58 Panksepp firstly discovered a homoplastic behaviour in rats, paving the way for a comparative approach  
59 to laughter focused on the affiliative and playful nature of this behaviour. Provine, in contrast, focused  
60 more on primate laughter, including human laughter, revealing its communicative role, and its  
61 independence from humour. The aim of the present opinion piece opening the special issue on laughter  
62 is not only to recognize the seminal contribution of these two authors, but also to flesh out the direction  
63 indicated by them. Thanks to the legacy they started, classic theories of laughter advanced by  
64 philosophers over the centuries can now be flanked by an additional naturalistic hypothesis, which  
65 argues that the primary function of human laughter - and homologue or analogue behaviour in other  
66 species - is to affiliate, boost social bonding and signal positive intentions during playful interactions.

67 This hypothesis should not be intended as an outright rejection of classic theories of laughter; rather,  
68 the aim of this contribution is to reach a new inclusive perspective, potentially able to integrate previous  
69 viewpoints, ultimately providing a unified evolutionary explanation of laughter. This naturalistic  
70 approach will be articulated by integrating insights from ethology, psychology and neuroscience.

71

## 72 **2. Toward a Naturalistic Account of Laughter**

73

74 The hallmark of contemporary research on laughter is the continuity between play vocalizations in  
75 animals and human laughter. All research on laughter in humans and other animals risks the traditional  
76 criticism of unjustified anthropomorphism. Why not call the laughter of apes something neutral, such as  
77 vocalized panting? To avoid confusion between humans and animals, some other scholars have spoken  
78 of “laugh-like” behaviour. The problem with such linguistic censorship is that it obscures homologies,  
79 whereas language should respect them. In the same way that we do not, or should not, call the arms and  
80 hands of chimpanzees “front legs,” or call their faces “snouts,” our language needs to respect the  
81 evolutionary connection at the root of similarities. “Homology” is the term used for cross-species  
82 similarities that derive from shared ancestry, which concept is as applicable to anatomy as to behaviour,  
83 such as laughter [15]. From a Darwinian perspective, the simplest assumption is that if related species  
84 show similar behaviour under similar circumstances, the underlying psychology must be similar, too.  
85 This principle, known as evolutionary parsimony [16], urges us to apply a unified language to humans  
86 and their closest relatives.

87       Laughter is one of humanity's most "animalistic" expressions. We go crazy. We become limp, lean on  
88 each other, turn red, and shed tears to the point that the line with crying vanishes. We literally pee in  
89 our pants! After an evening of laughter, we may be totally exhausted. This is partly because intense  
90 laughter is marked by more exhalations (producing sound) than inhalations (needed for oxygen),  
91 making us end up gasping for air. Laughter is one of the great joys of being human, with well-known  
92 health benefits, such as stress reduction, stimulation of heart and lungs, and release of endorphins  
93 [17,18]. Laughter brings body and mind together, fusing them into a single whole [13]. We may  
94 experience this as a loss of control. As one theatre critic put it "To watch inspired laughter register with  
95 an audience is to be present at a great and violent mystery. Faces convulse, tears stream, bodies collapse,  
96 not in agony but in rapture" (19, p.206).

97       Other hominids do not laugh as loudly and as often as humans and use this expression under a more  
98 limited range of circumstances. They do share its repetitive sound, though, which derives from rhythmic  
99 panting. Laughter during play starts with audible panting, which grows more and more vocal the more  
100 intense the encounter becomes. Rapid panting by itself, outside the play context, expresses friendly  
101 intentions and a desire for contact [10]. For example, a female chimpanzee walks up to her best friend  
102 while uttering audible pants before kissing her. This audible panting, which signals good intentions, has  
103 been turned by evolution into a play vocalization, the main function of which is again to signal benign  
104 intentions. Since play interactions (e.g., play fighting) often resemble contests, it is crucial to set the two  
105 apart. Play signals serve as *metacommunication* (i.e. communication that refers to communication) to  
106 clarify the meaning of fight-like behaviour shown for fun [20].

107       The facial expression of laughter is remarkably similar across hominids, with the main variation  
108 being whether the upper teeth show or not, which occurs in humans and bonobos, but less so in other  
109 hominids [10,21]. The laugh expression is set apart from teeth-baring, such as in the grin or smile, which  
110 activates the zygomaticus major and minor muscles, and remains silent. This expression is closer to an  
111 appeasement signal, usually shown outside a relaxed, playful context. In most primates, it is typical of  
112 tense encounters. For this reason, van Hooff (1972), who described the laugh as a "relaxed open-mouth  
113 face" and the grin or smile as the "silent bared-teeth face," viewed their phylogenetic origins as quite  
114 separate. Although recent findings suggest a less clear-cut operational and functional separation  
115 between the two different facial expressions (see 22).

116       In psychological studies on humans, on the other hand, the laugh and smile are still often conflated,  
117 as if they concern the same signal of different intensities. But whereas the smile is often presented as a  
118 sign of happiness (e.g. 23), this expression's background seems much richer with many meanings other  
119 than cheerfulness. Dependent on the circumstances, human teeth-baring indicates nervousness, a need  
120 to please, reassurance of anxious others, a welcoming attitude, amusement, attraction to others,  
121 embarrassment, and so on [24].

122       The hominid laugh is most easily aroused in a context of physical stimulation, such as during play  
123 fighting. As described by de Waal (2019, p. 47): "*Tickling a juvenile chimpanzee is a lot like tickling a*  
124 *child. The ape has the same sensitive spots: under the armpits, on the side, in the belly. He opens his mouth*  
125 *wide, lips relaxed, panting audibly in the same familiar "huh-huh-huh" rhythm of inhalation and exhalation*  
126 *as human laughter."* This context of physical stimulation must have a long evolutionary history because  
127 the connection between tickling and laugh-like sounds has also been reported by Panksepp in his  
128 pioneering work on rats, which made animal emotions an acceptable topic of discussion.

129

## 130 **2.1. Panksepp and the Comparative and Neuroscientific Study of Laughter**

131

132 A pioneer who more than any other has made laughter an acceptable research field in neuroscience and  
133 animal behaviour is Panksepp [26–28]. Panksepp’s contribution to laughter is based on the discovery of  
134 vocal patterns in rats that may have evolutionary relationships to primates’ laughter and joyful social  
135 interaction. In a 1997 seminal paper - that never saw the light of day in its original form and which was  
136 re-published as a book chapter two years later - Panksepp and Burgdorf [29] described ultrasonic  
137 vocalization patterns (50-kHz chirps) that - similarly to human laughter - are regularly emitted during  
138 juvenile play [30] and rapid manual stimulation (i.e., tickling). Similarly to human laughter, such high-  
139 frequency, ultrasonic, laughter-type chirping responses occurred during positive, playful social  
140 situations, they were more evident in younger animals, modulated as a function of previous social  
141 experience and reduced during stressful conditions (bright light or cat smell). Importantly, laughter-  
142 type chirping responses were distinctly different from a much slower vocalization pattern (22-kHz)  
143 elicited by negative emotional arousal induced by fear and social defeat. Given the many similarities  
144 between 50-kHz chirps and human laughter, Panksepp and Burgdorf [29] concluded that the former  
145 “*may be homologous to, or at least functionally akin*” to the latter, hypothesizing that the discovery of a  
146 primal form of laughter in rats provided a new way to study the neural sources of positive social-  
147 emotional processes (i.e. joyful affect) in other mammals [31]. This research represents a milestone for  
148 both ethological and neuroscientific studies on laughter.

149 On the ethological side, it supports an evolutionary interpretation according to which the common  
150 ancestral roots of human and animal laughter are primarily related to playful social joy and affiliation,  
151 and possibly mediated by common mechanisms based on the recruitment of the dopaminergic and the  
152 opioid systems [31,32]. It can be objected that the evolutionary distance between rodents and primates,  
153 and the less noticeable facial expressions in the former, should invite caution, and to consider rat chirps  
154 as a homoplastic behaviour (i.e., deriving from an evolutionary convergent mechanism), rather than a  
155 homologous one (i.e., having the same evolutionary origin). Although recent findings suggest that during  
156 playful tickling rats perform facial expressions in association with 50-kHz vocalizations [33].

157 It must be noticed that Panksepp’s account of laughter in rats converges with Dunbar’s hypothesis  
158 [34] that, in humans, laughter evolved as an alternative mechanism to social touching, for reinforcing  
159 social bonds in groups beyond those that can be maintained by grooming in primates, and that this  
160 mechanism is mediated by the activation of the opioid system (9,35; see also 36,37). The hypothesis of  
161 laughter as a means to connect subjects “at distance” holds on for non-human primates too. An  
162 elucidating example describing the role of the play face in the communication at distance comes from  
163 gorillas [38]. After being repeatedly invited to play by a juvenile, a gorilla female was observed to conceal  
164 her play face with her hand, apparently to avoid the possibility for the juvenile to see it. The gesture of  
165 hiding the laughing face suggests that the subject is “aware” of the message that the facial expression  
166 can convey (e.g., expressing motivation to play). This anecdotic observation also underlines that laugh  
167 faces are spontaneously produced, unstoppable and, for this reason, difficult to inhibit.

168 On the neuroscientific side, a primal form of laughter in rats points at deep homologies in the  
169 neurological mechanisms underpinning play behaviour and playful vocalizations in rodents and  
170 primates, including humans. His neuroscientific study of rats’ laughter was focused on the role of the  
171 subcortical reward system, and in particular on the nucleus accumbens (NAcc), where microinjections  
172 of amphetamine, a dopamine agonist, increase 50-kHz chirps [39]. More recent studies confirmed the  
173 contribution of the NAcc to 50-kHz chirps [40,41] and - in line with the hypothesis of a continuity  
174 between rats and human laughter - there is now evidence that, in humans, the NAcc is activated by  
175 tickling anticipation [42] and that its electrical stimulation induces mirthful laughter and mood  
176 elevation [43,44].

177 Of note, Panksepp was famously inspired by Paul MacLean's theory that subcortical regions of the  
178 mammalian brain contain a variety of emotional systems that are phylogenetically preserved across  
179 mammals. Recently, however, it has been demonstrated that laughter is not a uniquely subcortical  
180 phenomenon and much work is being done to discover the cortical control of laughter. In rats, it has  
181 been shown that the somatosensory cortex shows intense tickling-evoked activity and that its electrical  
182 stimulation evokes vocalizations [45]. In humans, electrical stimulation studies conducted on surgical  
183 patients revealed that laughter can be elicited by stimulating a limited number of emotional regions -  
184 such as the pregenual Anterior Cingulate Cortex (pACC) [46-52] and the temporal pole [49,53-55] - and  
185 motor regions - such as the pre-supplementary motor area [56-58] and the frontal/Rolandic operculum  
186 [49,59,60].

187 In line with the classic neurological observation that emotional and voluntary laughter are  
188 dissociated in the human brain [5,6,8,61,62], the regions from which laughter can be elicited by  
189 stimulation are arranged along two partially segregated networks [63]. A first network is constituted by  
190 pACC, temporal pole and NAcc, and it is likely involved in the production of emotional laughter and  
191 positive affect. A second network is anchored to the frontal/Rolandic operculum - adjacent to the Broca's  
192 region - and the primary motor cortex, and it is involved in volitional and non-emotional laughter and  
193 in the connection between laughter and speech. The pre-SMA is connected to both pACC and  
194 frontal/Rolandic operculum, connecting the two networks.

195 These two networks may be differently represented in humans and nonhuman primates. A  
196 comparison between human and monkey connectivity reveals that the emotional network - constituted  
197 by pACC, temporal pole and NAcc - is preserved in both species, thus supporting the hypothesis that it  
198 serves an evolutionarily conserved affiliative function [63]. Considering that the NAcc was one of the  
199 regions originally described by Panksepp as crucial for rats' 50-kHz chirps and that he predicted the  
200 possible involvement of the anterior cingulate cortex based on its role in emotional vocalizations [31],  
201 one could argue that current neuroscientific research on the emotional network for human laughter  
202 production follows in the footsteps of Panksepp. The voluntary network, in contrast, might be a peculiar  
203 human circuit, based on connections that are not described in monkeys [63]. Given its proximity to the  
204 Broca's region, it possibly contributes to the strategic use of laughter in conversation, that is, what  
205 Robert Provine [64] dubbed "laughspeak": "*a kind of laugh/speech hybrid that is under more conscious*  
206 *control [ . . . ] and is often used by people to defuse a sensitive point*".

207

## 208 **2.2. Provine and the communicative and contagious nature of laughter**

209

210 Panksepp's hypothesis that laughter is primarily related to social interaction and affiliation, rather than  
211 simply humour appreciation, was an assumption also shared by the American psychologist Robert  
212 Provine, who argued that the philosophical literature on laughter "*is long on casual theorizing and short*  
213 *of empirical data, a fatal flaw that has impeded progress for more than 2000 years [...] The most readily*  
214 *apparent feature of all this theorizing is that most of it is really about humour or comedy, not laughter in*  
215 *itself. This laughterless study of laughter continues to the present day [...] Philosophical inquiries also fail*  
216 *because they are too far removed from the phenomenal world they seek to explain*" [3].

217 Provine's seminal studies, conducted both through ethological observations in public places and  
218 through self-annotations from college students, demonstrated that only 10-20% of statements eliciting  
219 laughter are related to humour, that laughter is 30 times more frequent in social than solitary situations,  
220 and that it is more frequently produced by the speaker than the listener [65,66]. This evidence led

221 Provine to develop an innovative interpretation of laughter as a social tool shaping verbal and nonverbal  
222 conversations.

223 Provine's forays into laughter range from the report of a "punctuation effect" - which describes the  
224 placement of laughter in conversation and indicates the dominance of speech over laughter [67] - to the  
225 demonstration of a similar effect in deaf individuals [68]. His contribution to cracking the laugh code  
226 also includes a detailed description of the social grammar that regulates laughter production based on  
227 social hierarchies and gender [3]. All these insights have paved the way for a rich series of investigations  
228 based on conversation analysis in the ethnomethodological tradition, investigating laughter in  
229 interaction and turn-taking in a variety of contexts including broadcast news interviews, employment  
230 interviews, medical examinations and everyday talks (see 69).

231 Coherently with his naturalistic approach, Provine studied the evolutionary trajectory of laughter in  
232 primates, comparing the acoustic structure of laughter in humans and great apes [70], and he capitalized  
233 on these results to develop a "bipedal theory" of speech evolution, i.e. the theory that bipedal locomotion  
234 freed the respiration system of its support function during running, permitting greater breath control -  
235 as revealed by human-type laughter (a parsed exhalation) compared to the characteristic panting  
236 chimpanzee laugh (one sound per inward or outward breath; [70]).

237 What is probably the major contribution of Provine to the study of laughter is the emphasis on its  
238 pervasive social infectiousness in everyday social interactions, and the focus on the idea that the most  
239 effective stimulus for inducing laughter is another person laughing ([64,71] see also [72]). Provine  
240 predicted that "*the efficacy of laughter to elicit laughter suggests that humans may have a "feature  
241 detector" for laughter, a neural circuit that responds exclusively to this vocalization and triggers the motor  
242 pattern of laughter in listeners*", complaining that "*contagious laughter [...] has obvious mirror-like  
243 properties, but are seldom mentioned in the literature about mirror neurons*". ([67] p.1537; see also [71])  
244 This issue has been tackled by neuroscientists only recently.

245 Perceiving others' laughter activates a wide network of occipito-temporal (middle occipital gyrus,  
246 basal temporal, and auditory regions of the supratemporal plane), parietal (supramarginal gyrus),  
247 limbic (insula, amygdala), and frontal (pregenual anterior cingulate [pACC], pre-supplementary motor  
248 area [pre-SMA], anterior medial prefrontal cortex [amPFC], orbitofrontal [OFC] and inferior frontal  
249 gyrus) regions ([49,73-79], see also [80]). Such a distributed processing - encompassing sensory, motor,  
250 and cognitive areas - is telling of the complexity and richness of information conveyed by this stimulus.

251 More closely in line with the hypothesis advanced by Provine, some of these regions are directly  
252 involved in the control of the emotional and motor aspects of laughter production, such as the pACC  
253 [49,78,81] and the pre-SMA [58,77,82] - suggesting the existence of a mirror mechanism specific for  
254 laughter of the kind foreseen by Provine [83,84]. According to the perception-action model [85-87],  
255 such a mechanism could be part of the neural machinery implementing laughter contagion. Considering  
256 the complexity of emotional contagion and its context-based modulation, however, it is likely that such  
257 mechanism is controlled by a variety of systems operating both upstream - at the level of the high-order  
258 visual and auditory systems - and downstream - top-down modulated by prefrontal regions involved in  
259 the cognitive and affective evaluation of others' laughter, as the amPFC; [73-76]) and the orbitofrontal  
260 cortex [88,89].

261

### 262 3. Bridging the Naturalistic and Classic Theories

263

264 In the Introduction, we argued that classic philosophical theories of laughter, such as the Superiority  
265 Theory or Incongruity Theory, were typically associated with two problematic key predictions, namely,  
266 the quintessential link between laughter and humour, and the putative uniqueness of human laughter.  
267 In the previous sections, we demonstrated the intrinsic weakness of both assumptions, and the heuristic  
268 power of an alternative, naturalistic, approach to laughter. Here we argue that such a naturalistic  
269 account is in the position to integrate previous viewpoints within an evolutionary framework. Indeed,  
270 while studies on the sense of humour in animals are still lacking, in the present section we argue that  
271 some core elements of the Superiority and Incongruence theories can already be traced in some  
272 ethological findings related to animal laughter and social-bonding.

273

### 274 **3.1. From the Social Bonding to the Superiority Theory**

275

276 Although most instances of laughter can be classified as affiliative social signals, a philosophical  
277 tradition started by Plato thought that the joy conveyed by laughter is always due to a feeling of  
278 superiority over other people, or over our own former position and that ultimately laughter is always  
279 related to scorn and aggression. The Superiority Theory, which made laughter ethically suspect, has  
280 been predominant for nearly two thousand years, and supported by thinkers such as Plato, Aristotle,  
281 [90] and Hobbes [1]. Since Hobbes notably suggested that humans are in constant struggle with each  
282 other, it follows that the failure of other individuals is equivalent to our success, and recognizing others'  
283 failure induces in us a sudden glory exemplified by a burst of laughter. While Descartes firstly recognized  
284 that there are other causes of laughter besides hatred, only from Kant onwards philosophers started to  
285 consider alternative accounts of laughter and humour.

286 A strong argument against the Superiority Theory is that laughter arises first in a context where  
287 superiority does not matter. Laughter is common in the early mother-infant playful interactions in both  
288 human [91] and non-human primates [90]. Mother-infant play is an everyday occurrence not related to  
289 scorn and aggression but rather to teasing and tickling. Later, the laugh expression is most reliably seen  
290 and heard in relaxed play among juveniles. These situations are far removed from the expression of  
291 strife and hostility postulated by Hobbes and others. In other words, the Superiority Theory is out of  
292 touch with the way laugh expressions arise during ontogeny.

293 A possible link between the Superiority Theory and the use of laughter as a tool for social bonding  
294 can be traced back to the work of the French philosopher Henri Bergson, who recognized the  
295 intrinsically social nature of laughter. Bergson [92] argued that laughter always occurs exclusively in  
296 social contexts, as a form of punishment for out-group members that are unable to conform to social  
297 standards. Starting from the observation that we rarely mock someone in the absence of an audience  
298 we want approval from, it has been suggested that the emphasis on the individual's inadequacy to social  
299 norms can be interpreted as a strategy to reinforce fellowship and cohesiveness in the group, at the  
300 expense of the out-group member [93–95]. According to this approach, scorn laughter turns into a  
301 specific case of affiliative laughter: the typical dyadic interaction of laughter turns into a triadic one, but  
302 the third element - the out-group member to be laughed at - is functional to reinforce cohesiveness with  
303 the in-group members. This hypothesis would lead to the prediction that scorn laughter does not differ  
304 from affiliative laughter, either from the bioacoustic point of view or from that of neural control - since  
305 the difference between scorn laughter and affiliative laughter would rather be in the eyes of those who  
306 perceives it, and mainly derived from the social context in which it is produced. However, considering  
307 that listeners are able to appraise different types of laughter sounds (joy, tickling, taunting,  
308 Schadenfreude) from the acoustical laughter sounds [96,97], an alternative hypothesis is that the switch  
309 from a dyadic to a triadic interaction may also affect the motor pattern of laughter production.

310 Albeit theoretically sound, this hypothesis remains largely speculative. But there is evidence that  
311 primates use affiliative behaviours such as grooming to establish social bonding *with* an individual  
312 *against* a third one [98], suggesting that, at least in some cases, affiliative behaviours can be used to  
313 mark closeness towards specific subjects and distance towards others, once the dyadic interaction is  
314 turned into a triadic one. An example comes from the two sister species of the *Pan* genus. Chimpanzees  
315 (*Pan troglodytes*), which are notably less tolerant and more neophobic than bonobos (*Pan paniscus*),  
316 engage in more dyadic than polyadic grooming compared to the sister species [99].

317

### 318 **3.2. From the Social Bonding to the Incongruence theory**

319

320 At first sight, to link the social bonding theory with the incongruence theory - a theory of humour arguing  
321 that laughter emerges when something violates our expectations - seems to be an even more challenging  
322 endeavour. In 1998, however, Ramachandran theorized that laughter can be a means through which  
323 humans respond to a false alarm. When an individual suddenly ("*in a flash of insight*", [100] p. 351)  
324 understands that a potentially dangerous situation shifts into a trivial one, the subject reacts with  
325 laughter. The higher the latency of this shifting, the higher the motivation to laugh. This is because the  
326 high latency between the *spannung* (a figure of speech indicating the climax of the narrative tension)  
327 and the final punch line intensifies in the subject the expectation mood.

328 At a first glance, the false alarm theory seems to be formulated to explain the proximate factors at the  
329 basis of laughter in a typically human context. Instead, if we take a step back, we can easily realize that  
330 the theory can be interpreted from a more naturalistic perspective, with the consequence of a much  
331 larger application. During free social play, children and nonhuman animals engage in a large variety of  
332 actions of multiple nature. Offensive, defensive and surprising behavioural patterns (e.g., peek-a-boo,  
333 ambush) are all recruited in a completely random way to create unexpected situations that seem to be  
334 highly pleasurable and rewarding for the subject.

335 Hence, free social play is an activity specifically built by natural selection to increase unpredictability  
336 providing motor and cognitive challenges to the players that experience positive emotions [101]. The  
337 linkage between the spontaneity of laugh faces and playful social reward is evident from the data coming  
338 both from primates and social carnivores. There is empirical evidence on non-human animals  
339 demonstrating that the duration of a playful session is affected by the presence of laugh faces performed  
340 by the players [102–104]: the longer the session, the higher the number of laugh faces. Although these  
341 studies are correlational and it is, therefore, difficult to establish the cause-effect relations between the  
342 two variables, what appears clear is that laugh faces increase the reciprocity of the playful patterns  
343 performed by the players thus suggesting they are experiencing a relaxed and positive mood [105,106].

344 However, due to its physical involvement, social play can also imply a certain degree of risk that  
345 seems to be managed by children and animals thanks to different tactics, including the so-called play  
346 face often accompanied by play-specific vocalizations [107,108]. This multimodal signal can function  
347 not only at a dyadic (between the interacting subjects) but also at a triadic level (between playing  
348 subjects and potential bystander). There is evidence that silent play faces are frequently produced when  
349 the playmate is in front of the emitter to increase the probability to detect the signal (wild spotted  
350 hyenas, *Crocuta crocuta*, and bonobos, *Pan paniscus*, [109,110]). Moreover, during the play fighting  
351 sessions involving juvenile and infant chimpanzees, the older subject tends to perform play faces more  
352 often when the mother of the infant is in proximity and can easily follow the entire scene [111]. Such  
353 play faces performed by the exuberant youngsters inform the mother of the infant that everything is  
354 under control and that's only play. This finding shows that play faces and laughter in chimpanzees



355 convey a “false alarm” message highly similar to that suggested for the evolution of laughter in humans.  
356 As a matter of fact, we could hypothesize that the false alarm theory proposed by Ramachandran has its  
357 biological roots in the free physical play during which offensive and surprising behavioural elements  
358 are recruited to increase the surprise effect that can flow into a burst of laughter in the end.

359 Similarly to the false alarm theory, the Darwin-Hecker hypothesis - albeit speculative - is worth to be  
360 mentioned because it represents an intriguing attempt to explain humour from a naturalistic and  
361 evolutionarily sound perspective, rather than as a uniquely human cognitive trait. Here we argue that  
362 this theory also applies to non-human animals. This theory, originally proposed by Darwin [14] and  
363 elaborated one year later by Hecker (1873; see also [113]), predicts the presence of a connection  
364 between humorous laughter and tickling and affirms that two different kinds of tickling can evoke a  
365 laughing response in humans. The first one is the direct solicitation operated intermittently on the body  
366 of the playmate and the second one is the psychological titillation of the mind due to a comical idea. In  
367 sum, according to the Darwin-Hecker hypothesis, human laughter finds its original point in tickling.

368 Can this theory also apply to non-human animals or does it remains a prerogative of our species?  
369 Tickling is an important part of physical social play, extremely frequent during rough and tumbles play,  
370 both in human and non-human animals. When tickling is provided by a playmate, is soft and  
371 concentrated in areas known to be sensitive to the subject, it often induces a reflex laughter in the  
372 receiver. However, in many cases both children and great apes start laughing well before the hands or  
373 the mouth of the tickler can reach the body target. Coherently with the Darwin-Hecker hypothesis, in  
374 children, the first year of life is characterized by a development of the elicitors of laughter, with intrusive  
375 tactile stimulation characterizing the first months, followed by a trend in the second half-year toward  
376 laughter at social and subtler visual stimulus situations, including provocative social events, visual  
377 incongruities and in anticipation to physical contact [114,115]. Altogether, these observations can be  
378 explained by what Darwin ([14] p. 201) defined as “tickling of the mind”. Both humans and great apes  
379 can anticipate what is going to happen and their laughing response in absence of any type of body  
380 contact suggests they can “mentally” experience the tickling sensation without being physically tickled.  
381 If these anecdotic observations will be confirmed in great apes by more rigorous and quantitative  
382 approaches, we would have the possibility to understand if the neural circuitry responsible for laughter  
383 during physical tickling is the same at the basis of laughter induced by a mental representation of  
384 pleasurable situations.

385

## 386 **4. Conclusion**

387

388 Laughter has puzzled philosophers for more than two millennia, but only today we are in a position to  
389 unravel its psychological, ethological and neural mechanisms in humans and other animals. There is a  
390 growing consensus, emerging from different fields of research, that laughter is a multifaceted behaviour  
391 not exclusively related to the expression of humour or happiness. Whereas the philosophical tradition  
392 links laughter to the sense of humour, not all laughter is about jokes, and we should not overlook this  
393 behaviour’s social functions in relation to bonding and play. A new naturalistic account of laughter  
394 places less emphasis on humour, and more on the social context of this communicative behaviour. A  
395 further conceptual element breaking with the philosophical inheritance concerns the evolutionary  
396 continuity of laughter in human and non-human primates, as well as the existence of homoplastic (if not  
397 homologue) behavioural traits in rodents. This more naturalistic vision is not an alternative to  
398 philosophical accounts. Rather, it can provide the biological scaffold to understand the cultural vision of  
399 the phenomenon. We hope that the naturalistic account of laughter sketched in the present opinion  
400 piece will boost new research on the multiple contexts in which laughter emerges, the diverse social

401 functions laughter can perform, and the variety of taxa other than primates and rats showing a  
402 homologue/homoplastic play signal. Moreover, our attempt to interpret classic theories of humour  
403 through the lens of a naturalistic and social account of laughter wants to raise awareness on an  
404 understudied phenomenon, that is, the sense of humour of non-human animals, as animals do seem to  
405 like and generate surprises, and to show play faces or signals under incongruent situations. Finally, such  
406 a “unity in diversity” framework places laughter in an ideal position to investigate multiple social and  
407 cognitive phenomena such as emotional contagion, motor mirroring, facial mimicry, and empathy.

408

## 409 **References**

410

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