

DEFORMATIONS OF SEMI-SMOOTH VARIETIES

BARBARA FANTECHI, MARCO FRANCIOSI AND RITA PARDINI

ABSTRACT. For a singular variety X , an essential step to determine its smoothability and study its deformations is the understanding of the tangent sheaf and of the sheaf $\mathcal{T}_X^1 := \mathcal{E}xt^1(\Omega_X, \mathcal{O}_X)$.

A variety is semi-smooth if its singularities are étale locally the product of a double crossing point ($uv = 0$) or a pinch point ($u^2 - v^2w = 0$) with affine space; equivalently, if it can be obtained by gluing a smooth variety along a smooth divisor via an involution with smooth quotient. Our main result is the explicit computation of the tangent sheaf and the sheaf \mathcal{T}_X^1 for a semi-smooth variety X in terms of the gluing data.

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1. INTRODUCTION

For X a singular projective variety, it is natural to ask whether it can be smoothed in a flat proper (or projective) family. A first necessary condition is the nonvanishing of the space of global sections of the sheaf $\mathcal{T}_X^1 := \mathcal{E}xt^1(\Omega_X, \mathcal{O}_X)$; in fact, if $H^0(X, \mathcal{T}_X^1) = 0$, then all infinitesimal deformations of X are locally trivial, and in particular preserve the singularities (see [Sch71]).

Sufficient conditions are more difficult to obtain, especially if we assume that the singularities are non isolated. A classical result of Friedman (cf. [Fr83]) shows smoothability for varieties with simple normal crossings under some very special conditions. Such results has been recently generalized by Felten, Filip and Ruddat (cf. [FFR19]) in the realm of toroidal local models.

In [Tzi10], Tziolas proves that if we assume that X has lci singularities then a formal smoothing exists, provided that \mathcal{T}_X^1 is generated by global sections and that $H^1(X, \mathcal{T}_X^1) = H^2(X, T_X) = 0$ (in this case the deformations are also unobstructed).

As this result shows, it is important to compute explicitly the sheaves T_X and \mathcal{T}_X^1 . In this paper we do so for semi-smooth varieties, a class of singularities that naturally appear on stable surfaces in the boundary of the moduli of surfaces of general type.

A surface is semi-smooth if its only singularities are double crossings and pinch points (see e.g. Definition 4.1 in [KSB]); in Definition 3.8 we call a variety X *semi-smooth* if it is étale locally the product of a semi-smooth surface with affine space.

As a first step we show that a variety X is semi-smooth if and only if it is a push-out scheme

$$(1.1) \quad \begin{array}{ccc} \bar{Y} & \xrightarrow{g} & Y \\ \bar{j} \downarrow & & \downarrow j \\ \bar{X} & \xrightarrow{f} & X \end{array}$$

where \bar{X}, \bar{Y} and Y are smooth varieties, $\bar{Y} \rightarrow \bar{X}$ is a codimension 1 closed embedding, and $\bar{Y} \rightarrow Y$ is a double cover (see Section 3.2). This is consistent with Kollár's philosophy (see [Ko13]) of describing slc varieties in terms of their associated lc pairs (\bar{X}, \bar{Y}) and gluing involution on the normalization of \bar{Y} .

Our first main result is the explicit computation of the sheaf T_X using the above description (see Theorem 5.1):

Theorem 1.1. *In the above setup:*

- (i) *there is a natural injective map $\alpha: T_X \rightarrow f_*T_{\bar{X}}$ which is an isomorphism on the smooth locus of X ;*
- (ii) *set $\mathcal{G} := \text{coker } \alpha$; then α induces an exact sequence*

$$0 \rightarrow (g_*T_{\bar{Y}})^{\text{inv}} \rightarrow g_*T_{\bar{X}}|_{\bar{Y}} \rightarrow \mathcal{G} \rightarrow 0.$$

The double cover $\bar{Y} \rightarrow Y$ is completely determined by an isomorphism $L^{\otimes 2} \simeq \mathcal{O}_Y(D)$, where $D \subset Y$ is the (necessarily smooth) branch divisor and $L \in \text{Pic}(Y)$ (see Section 4.1). The sheaf \mathcal{T}_X^1 is an invertible sheaf on the scheme theoretic singular locus of X ; it is an extension of a line bundle on Y by a line bundle on D :

$$0 \rightarrow \mathcal{T}_X^1 \otimes \mathcal{I}_{Y|X_{\text{sing}}} \rightarrow \mathcal{T}_X^1 \rightarrow \mathcal{T}_X^1|_Y \rightarrow 0.$$

Our second main result is the computation of the first and last term in the above exact sequence (see Theorem 5.5):

Theorem 1.2. *We have the following isomorphisms of line bundles:*

- (i) *on Y , $\mathcal{T}_X^1|_Y \cong L \otimes (\det g_*(N_{\bar{Y}|\bar{X}}^{-1}))^{-1}$;*

- (ii) on \bar{Y} , $g^*(\mathcal{T}_X^1|_Y) \cong g^*(L^{\otimes 2}) \otimes N_{\bar{Y}|\bar{X}} \otimes \iota^* N_{\bar{Y}|\bar{X}}$;
- (iii) on D , $I_{Y|X_{\text{sing}}} \cong r_* \left((N_{\bar{Y}|\bar{X}}^{-1})|_R \right)$, where $r: R \rightarrow D$ is the natural isomorphism from the ramification to the branch divisor of g .

These results are applied in [FFP20] to prove the smoothability of all semi-smooth singular stable Godeaux surfaces, classified in [FPR18]; we expect that the techniques developed here will also apply to other classes of varieties with hypersurface singularities with smooth normalization.

Our methods combine different approaches, leading us to obtain along the way results of independent interest, and in greater generality than strictly needed here.

In Section 2 we prove that the relative version of the sheaf \mathcal{T}^1 commutes with specialization for flat families of lci varieties, by making its relationship with the cotangent complex explicit. In Section 3 we recall basic results on gluing schemes and give the characterization of semi-smooth varieties via gluing. In Section 4 we describe explicitly X as a hypersurface in a rank 2 vector bundle over Y when \bar{X} is the total space of a line bundle on \bar{Y} ; using this we compute explicitly \mathcal{T}_X^1 .

In Section 5 we prove the two main theorems; the sheaf T_X is computed as pushforward from a sheaf on \bar{X} by a mix of global constructions and étale local computations; we reduce the computation of the sheaf \mathcal{T}_X^1 to the special case in Section 4 by deforming to the normal cone the closed embedding of \bar{Y} in \bar{X} and applying the specialization result proved in Section 2.

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Notation and Conventions. All schemes are assumed to be Noetherian and such that $\frac{1}{2} \in \mathcal{O}_X$. Varieties are equidimensional reduced schemes of finite type over an algebraically closed field \mathbb{K} with $\text{char } \mathbb{K} \neq 2$; when talking about points of a variety we restrict our attention to closed points. If needed, we state additional assumptions at the beginning of Sections.

For a vector bundle E on a scheme X , we follow the conventions of [Ha77] and we write $V_X(E) := \text{Spec}(\text{Sym } E)$ and $\mathbb{P}_X(E) := \text{Proj}(\text{Sym } E)$; we will

drop the subscript X when no confusion is likely to arise. We identify invertible sheaves and Cartier divisors and we use the additive and multiplicative notation interchangeably. Linear equivalence is denoted by \sim .

2. THE SHEAF \mathcal{T}^1 FOR LCI VARIETIES AND FLAT LCI MORPHISMS

In the first two Subsections we summarize known material for the reader's convenience. In Subsection 2.3 we prove a specialization result which is crucial for the results of Section 5.

2.1. Definitions and local properties.

Notation 2.1. Let $\pi: Y \rightarrow B$ be a flat morphism: we denote by T_π the sheaf $\mathcal{H}om(\Omega_\pi, \mathcal{O}_Y)$ and by \mathcal{T}_π^1 the sheaf $\mathcal{E}xt^1(\Omega_\pi, \mathcal{O}_Y)$. If $B = \text{Spec } \mathbb{K}$ then we write T_Y, \mathcal{T}_Y^1 instead of T_π, \mathcal{T}_π^1 .

Definition 2.2. We say that a morphism of schemes is *lci* (“locally complete intersection”) if it is of finite type and it factors locally as a (closed) regular embedding followed by a smooth morphism, both of finite type.

Note that this differs slightly from the use in [Fu84] where lci means that there exists a global such factorization.

Remark 2.3. Let $q: Z \rightarrow W$ be an lci morphism of schemes, and assume that it factors as $s \circ i$ where $i: Z \rightarrow M$ is a regular closed embedding and $s: M \rightarrow W$ is a smooth morphism; let \mathcal{I} be the ideal sheaf of Z in M . Then there is an exact sequence of coherent sheaves on Z

$$i^*\mathcal{I} \rightarrow i^*\Omega_s \rightarrow \Omega_q \rightarrow 0$$

with $i^*\mathcal{I}$ and $i^*\Omega_s$ locally free ([Fu84] B.6.1). Over the locus in Z where q is smooth, the sequence is also exact on the left; it follows, if this locus is dense (e.g. if q is flat and the fibers of q are generically smooth), that the sequence is exact on the left, thus providing a locally free resolution of Ω_q . In particular, we get an induced exact sequence

$$0 \rightarrow T_q \rightarrow i^*T_s \rightarrow \mathcal{N}_i \rightarrow \mathcal{T}_q^1 \rightarrow 0,$$

where $\mathcal{N}_i = (i^*\mathcal{I})^\vee$ is the normal bundle of Z in M .

Remark 2.4. Assume moreover that $i: Z \rightarrow M$ is a codimension 1 regular embedding, i.e., Z is an effective Cartier divisor in M . Then \mathcal{T}_q^1 is a quotient of the invertible sheaf $\mathcal{N}_i = i^*\mathcal{O}_M(Z)$ on Z , thus it is a line bundle on a uniquely defined closed subscheme of Z ; this is a natural closed subscheme structure on the locus of points where q is not smooth.

Definition 2.5. We say that a flat lci morphism $q: Z \rightarrow W$ with generically smooth fibers is *locally hypersurface* if it locally admits a factorization as in the previous remark. Again it follows that \mathcal{T}_q^1 is an invertible sheaf on a closed subscheme, the *singular locus* $Z_{q,\text{sing}}$. If $W = \text{Spec } \mathbb{K}$ then we drop q from the notation.

Example 2.6. If $M = \text{Spec } R$ and $W = \text{Spec } \mathbb{K}$ is affine with local coordinates x_1, \dots, x_{n+1} and $f \in R$ is an equation for Z , then the ideal of Z_{sing} , the associated Jacobian ideal, is generated by $\partial f / \partial x_1, \dots, \partial f / \partial x_{n+1}$.

2.2. Relationship with the cotangent complex. In order to study the case of an lci (or hypersurface) morphism $q: Z \rightarrow W$ which may not admit a factorization as a regular embedding (or effective Cartier divisor) followed by a smooth morphism, it is useful to relate this notion to that of cotangent complex; this will play a key role in the proof of Theorem 2.11.

Notation 2.7. If X is a scheme, we denote by $D(X)$ the derived category of sheaves of \mathcal{O}_X -modules. If \mathcal{A} is a sheaf of \mathcal{O}_X -modules, we denote by \mathcal{A}^c the complex in $D(X)$ that has the sheaf \mathcal{A} in degree zero, and zero in all other degrees.

For any morphism of schemes $q: Z \rightarrow W$, we denote by $\mathbb{L}_q \in D(Z)$ its *cotangent complex*; it has zero cohomology in every positive degree and $h^0(\mathbb{L}_q)$ is canonically isomorphic to Ω_q (see e.g. [St-Pr, Tag 08UQ]).

Remark 2.8. Let $q: Z \rightarrow W$ be an lci morphism; then the cotangent complex \mathbb{L}_q is perfect of tor amplitude in $[-1, 0]$. In fact, this is a local property ([St-Pr, Tag 08T1]), so we may assume all schemes are affine, and it holds in the affine case by Theorem 5.4 and Corollary 6.14 of [Qu70] (see also [St-Pr, Tag 08SH]).

Remark 2.9. In particular, if q admits a global factorization as a regular embedding i with ideal sheaf \mathcal{I} followed by a smooth morphism s , then there is a canonical isomorphism in $D(Z)$ between \mathbb{L}_q and the complex of locally free sheaves $[i^*\mathcal{I} \rightarrow i^*\Omega_s]$ in degree $[-1, 0]$.

The cohomology sheaf $h^{-1}(\mathbb{L}_q)$ is locally a subsheaf of a free sheaf, hence torsion free. If in addition q is flat and the fibers of q are generically smooth, the locus in Z where q is smooth is dense, but on the smooth locus $h^{-1}(\mathbb{L}_q) = 0$. So the only nonzero cohomology sheaf of \mathbb{L}_q is $h^0(\mathbb{L}_q) = \Omega_q$, hence \mathbb{L}_q is canonically isomorphic to $(\Omega_q)^c$ in $D(Z)$.

Recall that given E and F quasicoherent sheaves on a scheme Z , for every $i \geq 0$ there is a natural isomorphism in $\text{Qcoh}(Z)$

$$h^i R\mathcal{H}om(E^c, F^c) \cong \mathcal{E}xt^i(E, F).$$

Corollary 2.10. *If $q: Z \rightarrow W$ is a flat lci morphism with generically smooth fibers, then \mathcal{T}_q^1 is canonically isomorphic to $h^1 R\mathcal{H}om(\mathbb{L}_q, \mathcal{O}_Z^c)$.*

2.3. Base change for \mathcal{T}^1 . A key step for the computations of §5.2 will be the fact that under suitable assumptions the sheaf \mathcal{T}^1 is stable under base change by a closed embedding.

Theorem 2.11. *Let $\pi: Y \rightarrow B$ be a flat lci morphism of schemes (of finite type over \mathbb{K}) with generically smooth (e.g., reduced) fibers, $g: C \rightarrow B$ a*

closed embedding of schemes; let $X := Y \times_B C$ and denote by $f: X \rightarrow Y$ and $p: X \rightarrow C$ the projection maps, yielding the following cartesian diagram:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p \downarrow & & \downarrow \pi \\ C & \xrightarrow{g} & B. \end{array}$$

Then there is a natural isomorphism

$$f^*(\mathcal{T}_\pi^1) \cong \mathcal{T}_p^1 \text{ in } \text{Coh}(X).$$

The strategy of the proof is to construct the claimed isomorphism globally, then prove that it is an isomorphism locally. In order to do this we make use of the properties of the cotangent complex.

Remark 2.12. Consider a Cartesian diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p \downarrow & & \downarrow \pi \\ C & \xrightarrow{g} & B \end{array}$$

If π is a flat morphism, then there is a natural isomorphism $Lf^*\mathbb{L}_\pi \rightarrow \mathbb{L}_p$ in $D(X)$ by [St-Pr, Tag 09DJ]. Moreover if in addition π is lci, then p is also an lci morphism by [Fu84] Proposition 6.5 (a).

Lemma 2.13. *Let $f: X \rightarrow Y$ be a morphism of schemes, $E \in D(Y)$ and $F \in D(X)$. Then there is a natural, functorial isomorphism in $D(Y)$*

$$Rf_*(R\mathcal{H}om(Lf^*E, F)) \cong R\mathcal{H}om(E, Rf_*F)$$

which commutes with restriction to open subsets.

Proof. See for instance Lemma 2.1 in [Ri15] and references therein. \square

Proposition 2.14. *In the assumptions of Theorem 2.11, there is a natural homomorphism*

$$f^*(\mathcal{T}_\pi^1) \rightarrow \mathcal{T}_p^1.$$

Proof. We will construct a natural homomorphism $\mathcal{T}_\pi^1 \rightarrow f_*(\mathcal{T}_p^1)$; since f^* is left adjoint to f_* , this will prove the claim.

Lemma 2.13 applied to $E = \mathbb{L}_\pi$ and $F = \mathcal{O}_X^c$ yields a natural isomorphism $Rf_*R\mathcal{H}om(Lf^*\mathbb{L}_\pi, \mathcal{O}_X^c) \cong R\mathcal{H}om(\mathbb{L}_\pi, Rf_*(\mathcal{O}_X^c))$ in the derived category $D(Y)$.

Since f is a closed embedding, f_* is exact, hence $Rf_*(\mathcal{O}_X^c) = (f_*\mathcal{O}_X)^c$; so by Remark 2.12 we obtain a natural isomorphism

$$Rf_*R\mathcal{H}om(\mathbb{L}_p, \mathcal{O}_X^c) \cong R\mathcal{H}om(\mathbb{L}_\pi, (f_*\mathcal{O}_X)^c).$$

This induces isomorphisms on cohomology sheaves: in particular,

$$h^1(Rf_*R\mathcal{H}om(\mathbb{L}_p, \mathcal{O}_X^c)) \cong h^1(R\mathcal{H}om(\mathbb{L}_\pi, (f_*\mathcal{O}_X)^c)).$$

Since f_* is exact because f is a closed embedding,

$$h^1(Rf_*R\mathcal{H}om(\mathbb{L}_p, \mathcal{O}_X^c)) = f_*(h^1(R\mathcal{H}om(\mathbb{L}_p, \mathcal{O}_X^c))).$$

By Remark 2.8 we obtain

$$h^1(Rf_*R\mathcal{H}om(\mathbb{L}_p, \mathcal{O}_X^c)) \cong f_*(\mathcal{T}_p^1).$$

Similarly, we have

$$h^1R\mathcal{H}om(\mathbb{L}_\pi, Rf_*(\mathcal{O}_X^c)) \cong h^1R\mathcal{H}om(\mathbb{L}_\pi, (f_*\mathcal{O}_X)^c) \cong \mathcal{E}xt^1(\Omega_\pi, f_*\mathcal{O}_X).$$

Putting everything together we get a natural isomorphism of sheaves

$$\mathcal{E}xt^1(\Omega_\pi, f_*\mathcal{O}_X) \cong f_*(\mathcal{T}_p^1).$$

It is now enough to compose this isomorphism with the homomorphism $\mathcal{T}_\pi^1 \rightarrow \mathcal{E}xt^1(\Omega_\pi, f_*\mathcal{O}_X)$ induced by the structure morphism $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ to obtain the desired morphism

$$\mathcal{T}_\pi^1 \rightarrow f_*(\mathcal{T}_p^1) \in \text{Qcoh}(Y).$$

□

Proof of Theorem 2.11. We need to show that the morphism

$$f^*\mathcal{T}_\pi^1 \rightarrow (\mathcal{T}_p^1)$$

defined in Proposition 2.14 is an isomorphism. This is a local property, so we may assume that Y , B and C (and hence X) are affine. Consider a locally free, finite rank resolution in $D(X)$ of Ω_π , which exists in view of Remark 2.8 because Y is affine:

$$0 \longrightarrow \mathcal{E}^{-1} \xrightarrow{\varphi} \mathcal{E}^0 \longrightarrow \Omega_\pi \longrightarrow 0$$

This implies that $\mathbb{L}_\pi \cong [\mathcal{E}^{-1} \rightarrow \mathcal{E}^0]$ in $D(Y)$. By Remark 2.12 it follows that $Lf^*[\mathcal{E}^{-1} \rightarrow \mathcal{E}^0] \cong \mathbb{L}_p$ in $D(X)$; since \mathcal{E}^i are locally free, they are flat over B , thus $Lf^*[\mathcal{E}^{-1} \rightarrow \mathcal{E}^0] = [f^*\mathcal{E}^{-1} \rightarrow f^*\mathcal{E}^0]$. Hence, again by Remark 2.12 we have an exact sequence

$$0 \longrightarrow f^*\mathcal{E}^{-1} \xrightarrow{f^*\varphi} f^*\mathcal{E}^0 \longrightarrow \Omega_p \longrightarrow 0.$$

Dualizing the above sequences (on Y and X , respectively) we get

$$\begin{aligned} (\mathcal{E}^0)^\vee &\xrightarrow{\varphi^\vee} (\mathcal{E}^{-1})^\vee \longrightarrow \mathcal{T}_\pi^1 \longrightarrow 0 \\ f^*(\mathcal{E}^0)^\vee &\xrightarrow{(f^*\varphi)^\vee} f^*(\mathcal{E}^{-1})^\vee \longrightarrow \mathcal{T}_p^1 \longrightarrow 0 \end{aligned}$$

Applying f^* to the first sequence and comparing yields the isomorphism $f^*(\mathcal{T}_\pi^1) \rightarrow \mathcal{T}_p^1$. This completes the proof.

Corollary 2.15. *In the assumption of Theorem 2.11, if π is of hypersurface type, then so is p and the scheme theoretic intersection of X with $Y_{\pi, \text{sing}}$ is $X_{p, \text{sing}}$.*

Proof. The map p is of hypersurface type since the map π is of hypersurface type and flat. The result on the singular locus follows from Theorem 2.11 and Definition 2.5. \square

3. GLUING A SCHEME ALONG A CLOSED SUBSCHEME

In this Section we study in detail the properties of the scheme $X = \bar{X} \sqcup_{\bar{Y}} Y$ obtained by gluing a scheme \bar{X} via a finite morphism $g: \bar{Y} \rightarrow Y$, where $\bar{Y} \subset \bar{X}$ is a closed subscheme.

3.1. Generalities. In this Subsection we recall briefly what it means to glue (pinch) a scheme along a closed subscheme. We mainly follow [Fe03], that works in the category of schemes; more general situations, leading to the construction of algebraic spaces, are considered for instance in [Ko11], [Ko13, Ch. 5 and 9].

Fix a Noetherian scheme S ; in this Section all schemes are S -schemes and maps are maps of S -schemes. We recall from [Fe03] the following:

Definition 3.1. We say that a scheme X satisfies property (AF) (or Chevalley-Kleiman property, cf. [Ko11]) if every finite subset of X is contained in an affine open set. Note that a scheme satisfying (AF) is separated, since $X \times X$ can be covered by open sets of the form $U \times U$ with $U \subseteq X$ open affine.

Remark 3.2. Quasi-projective varieties over an algebraically closed field satisfy property (AF) . Without loss of generality we may assume that $X = Y \setminus Z \subseteq \mathbb{A}^N$, where Y and $Z \subset Y$ and are closed. Let $p_1, \dots, p_k \in X$ be distinct points. Clearly it is enough to prove the claim when the p_i are closed points. Then for every i we can find $\phi_i \in I(Z) \subseteq \mathbb{K}[\mathbb{A}^N]$ such that $\phi_i(p_i) = 1$ and $\phi_i(p_j) = 0$ if $i \neq j$. If we set $\phi := \phi_1 + \dots + \phi_k$ with $a_i \in \mathbb{K}$ general, then p_1, \dots, p_k are contained in the affine open set $Y_\phi \subset X$.

We consider the following setup: \bar{X} and Y are schemes satisfying (AF) and we are given a scheme \bar{Y} with a closed embedding $\bar{j}: \bar{Y} \rightarrow \bar{X}$ and a finite morphism $g: \bar{Y} \rightarrow Y$. Then we have the following:

Theorem 3.3 (Ferrand, Theorem. 5.4 of [Fe03]). *If \bar{X} and Y satisfy property (AF) , then there exists a scheme X also satisfying (AF) fitting in the following cocartesian diagram:*

$$(3.1) \quad \begin{array}{ccc} \bar{Y} & \xrightarrow{g} & Y \\ \bar{j} \downarrow & & \downarrow j \\ \bar{X} & \xrightarrow{f} & X \end{array}$$

such that:

- (a) diagram (3.1) is cartesian
- (b) f is finite and j is a closed embedding
- (c) f restricts to an isomorphism $\bar{X} \setminus \bar{Y} \rightarrow X \setminus Y$.

The scheme X whose existence is given in Theorem 3.3 is called the *push-out scheme obtained from \bar{X} by gluing (pinching) \bar{X} along \bar{Y} via g* ; following [Fe03], we often write $X = \bar{X} \sqcup_{\bar{Y}} Y$.

Remark 3.4. Theorem 3.3 is proven by considering the affine case first and then showing that the construction globalizes. In the affine case $S = \text{Spec } R$, $\bar{X} = \text{Spec } \bar{A}$, $\bar{Y} = \text{Spec } \bar{B}$, where $\bar{B} = \bar{A}/I$ and $Y = \text{Spec } B$, one has $X = \text{Spec } A$, where $A := \bar{A} \times_{\bar{A}/I} B$. By [Ko11, Theorem 41], if \bar{A} is a finitely generated R -algebra, so is A . It follows that if \bar{X} is of finite type over S , so is X .

Remark 3.5. It is well known (cf. [Fe03], Lemme 1.2) that a diagram:

$$(3.2) \quad \begin{array}{ccc} A & \xrightarrow{\psi} & \bar{A} \\ p \downarrow & & \downarrow \bar{p} \\ B & \longrightarrow & \bar{B} \end{array}$$

where p and \bar{p} are surjective is cartesian if and only if ψ maps $I := \ker p$ isomorphically onto $\ker \bar{p}$.

Remark 3.6. By Remark 3.5, if diagram (3.2) is cartesian and we tensor it with a flat A -algebra R the resulting diagram is also cartesian; so by Remark 3.4 if we take base change of a pushout diagram as (3.1) by a flat map $Z \rightarrow X$, the resulting diagram is cocartesian.

The following example describes the local situation we are interested in:

Example 3.7. Let $S = \text{Spec } \mathbb{K}$, $\bar{X} = \mathbb{A}_{x,y}^2$, $\bar{Y} = \{y = 0\} \subset \bar{X}$, $Y = \mathbb{A}_t^1$ and let $g: \bar{Y} \rightarrow Y$ be the map given by $(x, 0) \mapsto x^2$. It is easy to check that $A = \mathbb{K}[x, y] \times_{\mathbb{K}[x]} \mathbb{K}[t]$ is generated as a \mathbb{K} -algebra by $u = (xy, 0)$, $v = (y, 0)$, $w = (x^2, t)$. The generators satisfy the relation $u^2 - v^2w = 0$, so X is isomorphic to the hypersurface $\{u^2 - v^2w = 0\} \subset \mathbb{A}_{u,v,w}^3$. The singular point $(0, 0, 0) \in X$ is called a *pinch point*.

A similar (simpler) situation is the following: $\bar{X} = \{z^2 - 1 = 0\} \subset \mathbb{A}_{x,y,z}^3$, $\bar{Y} = \{z^2 - 1 = y = 0\}$, $Y = \mathbb{A}_t^1$ and $g: \bar{Y} \rightarrow Y$ is defined by $(x, 0, z) \mapsto x$. Arguing as above we see that X is isomorphic to $\{uv = 0\} \subset \mathbb{A}_{u,v,w}^3$, $f: \bar{X} \rightarrow X$ is given by $(x, y, z) \mapsto (y(z-1), y(z+1), x)$ and $j: \mathbb{A}_t^1 \rightarrow X$ is given by $t \mapsto (0, 0, t)$.

3.2. Semi-smooth varieties as push-out schemes.

Definition 3.8. An n -dimensional variety X over \mathbb{K} is called *semi-smooth* if it is locally étale isomorphic¹ to $P_n := \text{Spec } \mathbb{K}[u, v, w]/(u^2 - v^2w) \times \mathbb{A}^{n-2}$.

Points of X corresponding to points of $\{u = v = w = 0\} \subset P_n$ are called *pinch points*; points corresponding to points of $\{u = v = 0, w \neq 0\} \subset P_n$ are *double crossings (dc) points*.

¹A variety X is *locally étale isomorphic* to a variety Y if X can be covered by étale open sets isomorphic to étale open subsets of Y

Remark 3.9. Semi-smooth varieties are locally complete intersections (lci), since the lci condition is local in the étale topology ([St-Pr, Tag 06C3]). In particular, a semi-smooth variety is S_2 and therefore it is demi-normal (cf. [Ko13, Definition 5.1]).

Remark 3.10. Note that, in view of Example 3.7, P_n fits in the following cocartesian diagram:

$$(3.3) \quad \begin{array}{ccc} \mathbb{A}_{x,t_1,\dots,t_{n-2}}^{n-1} & \xrightarrow{g} & \mathbb{A}_{w,t_1,\dots,t_{n-2}}^{n-1} \\ \bar{j} \downarrow & & \downarrow j \\ \mathbb{A}_{x,y,t_1,\dots,t_{n-2}}^n & \xrightarrow{f} & P_n \end{array}$$

where $\bar{j}(x, t_1, \dots, t_{n-2}) = (x, 0, t_1, \dots, t_{n-2})$, $j(w, t_1, \dots, t_{n-2}) = (0, 0, w, t_1, \dots, t_{n-2})$, $g(x, t_1, \dots, t_{n-2}) = (x^2, t_1, \dots, t_{n-2})$. The map f is given by $(x, y, t_1, \dots, t_{n-2}) \mapsto (xy, y, x^2, t_1, \dots, t_{n-2})$.

Remark 3.10 suggests the following characterization of semi-smooth varieties, which we will use systematically in §5 to reduce computations to the situation of diagram (3.3).

Proposition 3.11. *Let X be an n -dimensional variety over \mathbb{K} that satisfies condition (AF). Then the following are equivalent:*

- (i) X is semi-smooth.
- (ii) *There exist a smooth variety \bar{X} , a smooth divisor $\bar{Y} \subset \bar{X}$ and a finite degree 2 map $g: \bar{Y} \rightarrow Y$ with Y smooth such that $X = \bar{X} \sqcup_{\bar{Y}} Y$.*

Remark 3.12. In the situation of Proposition 3.11, the induced map $f: \bar{X} \rightarrow X$ is the normalization and so there is an exact sequence:

$$0 \rightarrow \mathcal{O}_X \rightarrow f_* \mathcal{O}_{\bar{X}} \rightarrow \mathcal{Q} \rightarrow 0.$$

The conductor $\mathcal{I} \subset \mathcal{O}_X$ is by definition the ideal sheaf $\text{Ann}(\mathcal{Q})$. It is the largest ideal sheaf of \mathcal{O}_X of the form $f_* \bar{\mathcal{I}}$ for some ideal sheaf $\bar{\mathcal{I}}$ of $\mathcal{O}_{\bar{X}}$ and its subschemes of zeros in \bar{X} , resp. X , are \bar{Y} , resp. Y .

The branch locus D of g is the set of pinch points of X .

Proposition 3.11 is very likely well known to experts; for lack of a suitable reference we give the proof, which is a bit lengthy, in Appendix A.

For a semi-smooth variety $X = \bar{X} \sqcup_{\bar{Y}} Y$ the support of the singular locus X_{sing} (cf. Definition 2.5) is equal to Y and X_{sing} is non reduced at the pinch points of X ; more precisely one has:

Lemma 3.13. *Let $X = \bar{X} \sqcup_{\bar{Y}} Y$ be a semi-smooth variety and let $D \subset Y$ be the closed subset of pinch points of X ; then the ideal $I_{Y|X_{\text{sing}}}$ is an invertible sheaf on D .*

Proof. It is enough to prove the claim for the pinch point P_n . In this case the ideal of Y in $\mathbb{A}_{u,v,w,t_1,\dots,t_{n-2}}^{n+1}$ is $I_Y = (u, v)$ while the ideal of X_{sing} is $I_{X_{\text{sing}}} = (u, v^2, vw)$ and it is immediate to check that $I_{Y|X_{\text{sing}}} = I_Y / I_{X_{\text{sing}}}$

is supported on $D = \text{Spec } \mathbb{K}[t_1, \dots, t_{n-2}]$ and it is generated by the class of $v \in \mathbb{K}[t_1, \dots, t_{n-2}]$. \square

3.3. Gluing in families. We show that the gluing construction of Theorem 3.3 commutes with specialization under mild hypotheses:

Proposition 3.14. *Notation and assumptions as in §3.1.*

Assume that \bar{Y} and Y are flat over S and let $s \in S$ be a point. Then the diagram:

$$\begin{array}{ccc} \bar{Y}_s & \xrightarrow{g|_{\bar{Y}_s}} & Y_s \\ \bar{j}|_{Y_s} \downarrow & & \downarrow j|_{Y_s} \\ \bar{X}_s & \xrightarrow{f|_{\bar{X}_s}} & X_s \end{array}$$

is cocartesian.

Proof. Since the construction of the pushout scheme X is local, we may assume that all the schemes involved are affine, namely $S = \text{Spec } R$, $\bar{X} = \text{Spec } \bar{A}$, $\bar{Y} = \text{Spec } \bar{B}$, $Y = \text{Spec } B$, $X = \text{Spec } A$. We have a cartesian diagram

$$\begin{array}{ccc} A & \xrightarrow{\psi} & \bar{A} \\ p \downarrow & & \downarrow \bar{p} \\ B & \longrightarrow & \bar{B} \end{array}$$

where \bar{p} and p are surjective and ψ gives an isomorphism $I := \ker p \rightarrow J := \ker \bar{p}$ (cf. Remark 3.5). Tensoring with R/\mathfrak{m}_s , where $\mathfrak{m}_s \subset R$ is the ideal of s , we obtain a commutative diagram:

$$(3.4) \quad \begin{array}{ccc} A \otimes_R R/\mathfrak{m}_s & \xrightarrow{\psi \otimes 1_R} & \bar{A} \otimes_R R/\mathfrak{m}_s \\ p \otimes 1_R \downarrow & & \downarrow \bar{p} \otimes 1_R \\ B \otimes_R R/\mathfrak{m}_s & \longrightarrow & \bar{B} \otimes_R R/\mathfrak{m}_s \end{array}$$

where the vertical arrows are surjective. The claim is equivalent to diagram (3.4) being cartesian. By Remark 3.5, this is in turn equivalent to the fact that ψ gives an isomorphism $\ker(p \otimes 1_R) \rightarrow \ker(\bar{p} \otimes 1_R)$. Since B is flat over R , we have an exact sequence:

$$0 = \text{Tor}_1(B, R/\mathfrak{m}_s) \rightarrow I \otimes_R R/\mathfrak{m}_s \rightarrow A \otimes_R R/\mathfrak{m}_s \rightarrow B \otimes_R R/\mathfrak{m}_s \rightarrow 0,$$

so $\ker(p \otimes 1_R) = I \otimes_R R/\mathfrak{m}_s$. Analogously, we have $\ker(\bar{p} \otimes 1_R) = J \otimes_R R/\mathfrak{m}_s$ because \bar{B} is also flat over R , and we conclude by noting that $\psi \otimes 1_R$ gives an isomorphism $I \otimes_R R/\mathfrak{m}_s \cong J \otimes_R R/\mathfrak{m}_s$. \square

4. DOUBLE COVERS

In this Section we consider quasi-projective varieties and we consider double covers in this category.

Throughout all the Section we fix quasi-projective varieties Y and \bar{Y} over the algebraically closed field \mathbb{K} of characteristic $\neq 2$ and a finite flat degree 2 morphism $g: \bar{Y} \rightarrow Y$. The sheaf $g_*\mathcal{O}_{\bar{Y}}$ is locally free of rank 2 and there is a short exact sequence

$$0 \rightarrow \mathcal{O}_Y \rightarrow g_*\mathcal{O}_{\bar{Y}} \rightarrow \mathcal{Q} \rightarrow 0.$$

4.1. Definitions and notation. In this Subsection we recall quickly the main facts about (flat) double covers and set the notation. More details can be found in [Pa91] (for the normal case) and [AP12].

Denote by $\text{Tr}: g_*\mathcal{O}_{\bar{Y}} \rightarrow \mathcal{O}_Y$ the trace map: then $\frac{1}{2}\text{Tr}$ splits the above sequence and gives a canonical decomposition $g_*\mathcal{O}_{\bar{Y}} = \mathcal{O}_Y \oplus \mathcal{Q}$. So the rank 1 sheaf \mathcal{Q} is projective, and therefore free; it is traditional to write $\mathcal{Q} = L^{-1}$ for a suitable line bundle L . A local computation shows that if $\text{Tr}(z) = 0$ then $z^2 \in \mathcal{O}_Y$, so we can define an algebra involution of $g_*\mathcal{O}_{\bar{Y}}$ by defining it as the identity on \mathcal{O}_Y and multiplication by -1 on L^{-1} ; we denote by ι the corresponding involution of \bar{Y} and we remark that $g: \bar{Y} \rightarrow Y$ is the corresponding quotient map.

The \mathcal{O}_Y -algebra structure of $g_*\mathcal{O}_{\bar{Y}}$ is determined by the multiplication map $s: L^{-1} \otimes L^{-1} \rightarrow \mathcal{O}_Y$. The surjection $\text{Sym}(L^{-1}) \rightarrow \mathcal{O}_Y \oplus L^{-1}$ induced by s gives a closed embedding $\bar{Y} \hookrightarrow V_Y(L^{-1})$. In other words, over an open subset $U \subset Y$ such that $L|_U$ is trivial \bar{Y} is given by $\{z^2 - b = 0\} \subset U \times \mathbb{A}_z^1$, where b is the local expression of s , and g is induced by the projection $U \times \mathbb{A}_z^1 \rightarrow U$. The branch divisor $D \subset Y$ of g is the divisor of zeros of s and the ramification locus $R \subset \bar{Y}$ is defined locally by $z = 0$, so that $g^*D = 2R$ and $\mathcal{O}_{\bar{Y}}(R) \cong g^*L$. The cover is unbranched (étale) if $D = 0$.

Remark 4.1. If Y is smooth and \bar{Y} is S_2 (e.g., it is normal) then a finite degree 2 morphism $\bar{Y} \rightarrow Y$ is automatically flat.

Remark 4.2. Two sections $s, s' \in H^0(Y, L^2)$ determine isomorphic double covers of Y iff there is $\lambda \in H^0(Y, \mathcal{O}_Y^*)$ such that $s' = \lambda^2 s$. So if $H^0(Y, \mathcal{O}_Y^*) = \mathbb{K}^*$ (e.g., Y is projective) the double cover is determined up to isomorphism by the line bundle L and by the choice of an effective divisor $D \in |2L|$. Sometimes we say that g is the double cover given by the relation $2L \sim D$.

4.2. Embedding double covers in \mathbb{P}^1 -bundles. Composing the natural embedding $\bar{Y} \hookrightarrow V(L^{-1})$ with the inclusion $V(L^{-1}) \subset \mathbb{P}(\mathcal{O}_Y \oplus L^{-1})$ one gets a closed embedding of \bar{Y} as a bisection of $\mathbb{P}(\mathcal{O}_Y \oplus L^{-1})$. In this Subsection we show that \bar{Y} has a closed embedding as a bisection of the \mathbb{P}^1 -bundle $\mathbb{P}_Y(g_*M)$ for any line bundle M on \bar{Y} .

Fix a line bundle $M \in \text{Pic}(\bar{Y})$ and set $E := g_*M$. We denote by $p: \mathbb{P}_Y(E) \rightarrow Y$ the projection and by h the class of $\mathcal{O}_{\mathbb{P}_Y(E)}(1)$ in the Picard group. Then one has:

Proposition 4.3. *In the above setup:*

- (i) *The natural map $g^*E \rightarrow M$ is a surjection that induces a morphism $\tilde{g}: \bar{Y} \rightarrow \mathbb{P}_Y(E)$*
- (ii) *\tilde{g} is a closed embedding and $\tilde{g}(\bar{Y})$ is a Cartier divisor*
- (iii) *$\tilde{g}(\bar{Y})$ is linearly equivalent to $2h + p^*(L - \det E)$.*

Before proving Proposition 4.3 we note the following elementary fact.

Lemma 4.4. *Let M be a line bundle on \bar{Y} . Then there is an affine open cover $\{U_i\}_{i \in I}$ of Y such that $M|_{g^{-1}U_i}$ is trivial for all $i \in I$.*

Proof. Pick $y \in \bar{Y}$ and choose a very ample effective divisor D such that $y, \iota(y) \notin D$. For $d \gg 0$ the line bundle $M' := M(dD)$ is globally generated. In particular, M' has a section that is non-zero at the points y and $\iota(y)$; since M' and M are isomorphic on $\bar{Y} \setminus D$, it follows that M can be trivialized on some affine open subset V containing y and $\iota(y)$. Setting $U := g(V \cap \iota V)$ we have $g^{-1}(U) = V \cap \iota V$ and $M|_{g^{-1}U}$ is trivial. \square

Proof of Proposition 4.3. (i) Let $y \in Y$ be a point. Since g is flat and finite, by cohomology and base change we have a canonical isomorphism $E \otimes_{\mathcal{O}_Y} \mathbb{K}(y) \cong H^0(g^{-1}(y), M|_{g^{-1}(y)})$. So, given $x \in g^{-1}(y)$ the map $g^*E \otimes_{\mathcal{O}_{\bar{Y}}} \mathbb{K}(x) \rightarrow M \otimes_{\mathcal{O}_{\bar{Y}}} \mathbb{K}(x)$ coincides with the restriction map $H^0(g^{-1}(y), M|_{g^{-1}(y)}) \rightarrow H^0(\{x\}, M|_{\{x\}})$ and is therefore surjective. It follows that $g^*E \rightarrow M$ is surjective.

(ii) The claim is local on Y . By Lemma 4.4, up to replacing Y by a suitable open subset we may assume that M is the trivial bundle. So \tilde{g} coincides with the usual closed embedding of \bar{Y} as a Cartier divisor of $\mathbb{P}_Y(\mathcal{O}_Y \oplus L^{-1})$.

(iii) Clearly, it is enough to prove the claim for each connected component of Y , hence we may assume that Y is connected. The Picard group of $\mathbb{P}_Y(E)$ is generated by h and $p^*(\text{Pic}(Y))$; since $\tilde{g}(\bar{Y})$ is a Cartier divisor that is a bisection of p , we may write $\tilde{g}(\bar{Y}) = 2h + p^*(\Delta)$ for some $\Delta \in \text{Pic}(Y)$.

Consider the restriction sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}_Y(E)}(-2h - p^*\Delta) \rightarrow \mathcal{O}_{\mathbb{P}_Y(E)} \rightarrow \mathcal{O}_{\tilde{g}(\bar{Y})} \rightarrow 0;$$

pushing forward to Y we obtain the exact sequence

$$(4.1) \quad 0 \rightarrow \mathcal{O}_Y \rightarrow g_*\mathcal{O}_{\bar{Y}} \rightarrow R^1p_*\mathcal{O}_{\mathbb{P}_Y(E)}(-2h - p^*\Delta) \rightarrow 0.$$

We have

$$R^1p_*\mathcal{O}_{\mathbb{P}_Y(E)}(-2h - p^*\Delta) \cong R^1p_*\mathcal{O}_{\mathbb{P}_Y(E)}(-2h) \otimes \mathcal{O}_Y(-\Delta) \cong (\det E)^{-1} \otimes \mathcal{O}_Y(-\Delta),$$

where the first isomorphism is given by the projection formula and the second one by [Ha77, Ex. III.8.4]. Since $g_*\mathcal{O}_{\bar{Y}} = \mathcal{O}_Y \oplus L^{-1}$, the claim follows by taking determinants in (4.1). \square

4.3. An explicit gluing construction. We keep the notation of the previous Section.

Set $\bar{X} := V_{\bar{Y}}(M)$ and embed \bar{Y} into \bar{X} as the zero section; since quasi-projective varieties satisfy condition (AF) (cf. Remark 3.2), by Theorem 3.3 the pushout scheme $X := \bar{X} \sqcup_{\bar{Y}} Y$ exists. In this Section we prove the following result, which may be seen as a global version of Example 3.7:

Theorem 4.5. *Denote by $q: V_Y(E) \rightarrow Y$ the natural projection; then there is a closed embedding $X \hookrightarrow V_Y(E)$ such that*

$$\mathcal{O}_{V_Y(E)}(X) = q^*(L \otimes (\det E)^{-1}).$$

We note the following consequence of the above theorem, which is useful in computations:

Corollary 4.6.

$$g^*\mathcal{O}_{V_Y(E)}(X) = \mathcal{O}_{\bar{Y}}(g^*D) \otimes M^{-1} \otimes \iota^*M^{-1}.$$

Proof. Theorem 4.5 gives $g^*\mathcal{O}_{V_Y(E)}(X) = g^*(L \otimes (\det E)^{-1})$, so the claim follows by Lemma 4.7 below, recalling that D is linearly equivalent to $2L$. \square

Lemma 4.7. *One has:*

$$g^*(\det E) = M \otimes \iota^*M \otimes g^*L^{-1}.$$

Proof. Since $g \circ \iota = g$ and $\iota^2 = 1$, there is natural isomorphism

$$E = g_*M \cong g_*(\iota_*M) = g_*(\iota^*M).$$

So we have a short sequence

$$(4.2) \quad 0 \rightarrow g^*E \xrightarrow{\alpha} M \oplus \iota^*M \xrightarrow{\beta} M|_R \rightarrow 0$$

where R is the ramification divisor of g , the components of α are the natural maps $E \rightarrow M$ and $E \rightarrow \iota^*M$ and $\beta(x, y) = x|_R - y|_R$. A local computation (cf. Lemma 4.4) shows that (4.2) is actually exact. Since $\mathcal{O}_{\bar{Y}}(R) = g^*L$ (see §4.1), we have $g^*(\det E) = \det g^*(E) = M \otimes \iota^*M \otimes \det(M|_R)^{-1} = M \otimes \iota^*M \otimes g^*L^{-1}$. \square

Proof of Theorem 4.5. Let $\pi: V_Y(E) \setminus Y \rightarrow \mathbb{P}_Y(E)$ be the projection. Let $\tilde{g}: \bar{Y} \rightarrow \mathbb{P}_Y(E)$ be the closed embedding defined in Proposition 4.3 and let $Z \subset V_Y(E)$ be the closure of $\pi^*(\tilde{g}(\bar{Y}))$ (in other words, Z is the relative affine cone over $\tilde{g}(\bar{Y}) \subset \mathbb{P}(E)$). By Proposition 4.3, (iii), the hypersurface Z is in $|q^*(L - \det E)|$.

We wish to show that there is an isomorphism $X \rightarrow Z$. As a first step we define a map $\Psi: X \rightarrow Z$ using the universal property of pushout schemes, as follows.

The inclusion $i_Y: Y \rightarrow V_Y(E)$ induces a closed embedding $j: Y \rightarrow Z$. The surjection $g^*E \rightarrow M$ (cf. Proposition 4.3 (i)) induces a morphism $\Phi: \bar{X} = V_{\bar{Y}}(M) \rightarrow V_Y(E)$ whose restriction to the fibers of $V_{\bar{Y}}(M)$ is linear

and injective; restricting Φ to $\bar{X} \setminus \bar{Y} \rightarrow V_Y(E) \setminus Y$ we obtain a commutative diagram

$$\begin{array}{ccc} \bar{X} \setminus \bar{Y} & \xrightarrow{\Phi|_{\bar{X} \setminus \bar{Y}}} & V_Y(E) \setminus Y \\ \downarrow & & \downarrow \pi \\ \bar{Y} & \xrightarrow{\tilde{g}} & \mathbb{P}_Y(E) \end{array}$$

that shows that $\Phi(\bar{X}) = Z$. Since $\Phi|_{\bar{Y}} = j \circ g$, by the universal property of X there is a unique map $\Psi: X \rightarrow Z$ induced by (Φ, i_Y) . Since the underlying set of X is the pushout in the category of sets (cf. [Fe03, Scolie 4.3]), it is immediate to check that Ψ is a bijection.

We prove that Ψ is an isomorphism by using a local argument.

By Lemma 4.4 we may replace Y by an affine open subset U such that $M|_U$ and $L|_U$ are trivial, \bar{Y} by $\bar{U} := g^{-1}(U)$, and \bar{X} by $\bar{U} \times \mathbb{A}^1$. We have $U = \text{Spec } B$, $\bar{U} = \text{Spec } \bar{B}$, where $\bar{B} = B \oplus Bz$ is a free B -module of rank two and $z^2 = b \in B$. The map Φ defined above restricts to the map $\bar{U} \times \mathbb{A}^1_t \rightarrow U \times \mathbb{A}^2_{u,v}$ defined by $(x, t) \mapsto (g(x), zt, t)$ with image $W := \{u^2 - bv^2 = 0\} \subset U \times \mathbb{A}^2_{u,v}$. The affine variety T obtained by pinching $\bar{U} \times \mathbb{A}^1$ along $\bar{U} \times \{0\}$ via $g|_{\bar{U}}$ is an open subvariety of X ; to prove that Φ induces an isomorphism $T \rightarrow W$, it suffices to show that the following diagram is cocartesian:

$$\begin{array}{ccc} \bar{U} & \longrightarrow & U \\ \downarrow & & \downarrow \\ \bar{U} \times \mathbb{A}^1 & \longrightarrow & W \end{array}$$

In turn, this is the same as showing that the dual diagram

$$(4.3) \quad \begin{array}{ccc} B[u, v]/(u^2 - bv^2) & \xrightarrow{\psi} & \bar{B}[t] \\ p \downarrow & & \downarrow \bar{p} \\ B & \longrightarrow & \bar{B} \end{array}$$

is cartesian. The maps p and \bar{p} are surjective, so by [Fe03, Lemme 1.2] diagram (4.3) is cartesian iff ψ induces an isomorphism $\ker p \rightarrow \ker \bar{p}$. It is easy to see that ψ is injective. Since $\ker \bar{p}$ is generated as a B -module by the monomials t^i and zt^i with $i \geq 1$, the map $\ker p \rightarrow \ker \bar{p}$ is also surjective. \square

Assume now that Y and \bar{Y} are smooth. By Theorem 3.11 the pushout scheme X is a semi-smooth variety and the set of pinch points of X coincides with the branch locus $D \subset Y$ of the flat double cover $g: \bar{Y} \rightarrow Y$. We have seen (Lemma 3.13) that for a semi-smooth variety the ideal $I_{Y|X_{\text{sing}}}$ is an invertible sheaf on D . In the situation we are considering it is possible to determine $I_{Y|X_{\text{sing}}}$ explicitly:

Proposition 4.8. *In the above setup, assume that Y and \bar{Y} are smooth and denote by $r: R \rightarrow D$ the isomorphism induced by g . Then:*

$$I_{Y|X_{\text{sing}}} \cong r_*(M|_R).$$

Proof. The first step of the Koszul resolution for the ideal of I_Y of Y in $V_Y(E)$ is a surjection $q^*E \twoheadrightarrow I_Y$. Since $I_{Y|X_{\text{sing}}}$ is supported on D , restriction to D gives a surjection $\psi: E|_D \twoheadrightarrow I_{Y|X_{\text{sing}}}$. On the other hand, restricting (4.2) to R and pushing down to D we obtain an exact sequence:

$$E|_D \rightarrow r_*(M|_R) \oplus r_*(M|_R) \xrightarrow{\beta} r_*(M|_R) \rightarrow 0$$

where $\beta(s_1, s_2) = s_1 - s_2$. So we have a surjection $\phi: E|_D \twoheadrightarrow \ker \beta = r_*(M|_R)$. Since $I_{Y|X_{\text{sing}}}$ is an invertible sheaf on D by Lemma 3.13, to prove our claim it is enough to show that $\ker \phi \subseteq \ker \psi$.

We show this inclusion by means a local computation, arguing as in the last part of the proof of Theorem 4.5 and using the same notation. We work in a neighbourhood $U = \text{Spec } B$ of a point $p \in D \subset Y$, so that $u(p) = v(p) = b(p) = 0$ and b is a coordinate on U . We assume that M is trivial on $\bar{U} := g^{-1}(U) = \text{Spec } \bar{B}$, where $\bar{B} = B \oplus Bz$, with z an antiinvariant function such that $z^2 = b$. If we take as $e_1 := z$ and $e_2 := 1$ as a local basis of E and 1 as a local generator for M , then the map $g^*E \rightarrow M \oplus \iota_*M$ is given locally by $e_1 \mapsto (z, -z)$ and $e_2 \mapsto (1, 1)$, so the kernel of ϕ is spanned by e_1 .

We let u, v be the coordinates on q^*E dual to the local basis e_1, e_2 : on $U \times \mathbb{A}_{u,v}^2$ the map $q^*E \twoheadrightarrow I_Y \subset B[u, v]$ can be written locally as $B[u, v]e_1 \oplus B[u, v]e_2 \xrightarrow{(u,v)} B[u, v]$. As in the proof of Theorem 4.5 the pushout scheme X is defined inside $U \times \mathbb{A}_{u,v}^2$ and $X_{\text{sing}} = \text{Spec } B[v, u]/(u, v^2, bv)$, so the above map, when restricted to X_{sing} , sends e_1 to zero. A fortiori e_1 is in the kernel of ψ , as required. \square

5. COMPUTING T_X AND \mathcal{T}_X^1 OF A SEMI-SMOOTH VARIETY

In this Section X is a semi-smooth variety over \mathbb{K} (cf. §3.2). We use freely the notation of §3.2 and §4.1; given a sheaf \mathcal{F} on \bar{Y} and a linearization of \mathcal{F} with respect to ι , we denote by $(g_*\mathcal{F})^{\text{inv}}$ the invariant subsheaf of $g_*\mathcal{F}$.

5.1. The tangent sheaf of a semi-smooth variety. Here we describe the tangent sheaf T_X in terms of the normalization map $f: \bar{X} \rightarrow X$. Our results are summarized in the following:

Theorem 5.1. *Let X be a semi-smooth variety, let $f: \bar{X} \rightarrow X$ be the normalization map, let $\bar{Y} \subset \bar{X}$ and $Y \subset X$ the subschemes defined by the conductor (cf. §A.2) and let $g: \bar{Y} \rightarrow Y$ the degree 2 map induced by f . Then:*

- (i) *there is a natural injective map $\alpha: T_X \rightarrow f_*T_{\bar{X}}$ which is an isomorphism on the smooth locus of X ;*

(ii) set $\mathcal{G} := \text{coker } \alpha$; then α induces an exact sequence

$$0 \rightarrow (g_* T_{\bar{Y}})^{\text{inv}} \rightarrow g_* T_{\bar{X}}|_{\bar{Y}} \rightarrow \mathcal{G} \rightarrow 0.$$

The rest of the Section is devoted to proving Theorem 5.1. To simplify the notation, we write down the proof in the two-dimensional case; the arguments in the higher dimensional case are exactly the same.

Dualizing the natural map $f^* \Omega_X \rightarrow \Omega_{\bar{X}}$ we obtain a natural injective map $j: T_{\bar{X}} \rightarrow (f^* \Omega_X)^\vee$.

Lemma 5.2. *The map $j: T_{\bar{X}} \rightarrow (f^* \Omega_X)^\vee$ is an isomorphism.*

Proof. Set $\mathcal{F} := (f^* \Omega_X)^\vee$. If locally in the étale topology X is given by $\{h(u, v, w) = 0\} \subset \mathbb{A}_{u,v,w}^3$, the exact sequence of differentials

$$(5.1) \quad 0 \rightarrow \mathcal{O}_X(-X) = \mathcal{O}_X \xrightarrow{t \left(\frac{\partial h}{\partial u}, \frac{\partial h}{\partial v}, \frac{\partial h}{\partial w} \right)} \Omega_{\mathbb{A}^3|X} = \mathcal{O}_X^{\oplus 3} \rightarrow \Omega_X \rightarrow 0$$

is exact. Pulling back to \bar{X} and dualizing we obtain the following exact sequence on \bar{X} :

$$(5.2) \quad 0 \rightarrow \mathcal{F} \rightarrow \mathcal{O}_{\bar{X}}^{\oplus 3} \xrightarrow{\left(\frac{\partial h}{\partial u}, \frac{\partial h}{\partial v}, \frac{\partial h}{\partial w} \right)} \mathcal{O}_{\bar{X}}.$$

that shows that \mathcal{F} is S_2 .

Denote by $R \subset \bar{X}$ the preimage of the set of the pinch points of X , which is a codimension 2 closed subset by assumption, and set $U := \bar{X} \setminus R$. We are going to show that j restricts to an isomorphism on U . This will finish the proof, since a map of S_2 sheaves that is an isomorphism in codimension 1 is an isomorphism.

Locally in the étale topology near a double crossings point of X we may assume $\bar{X} = \{z^2 - 1 = 0\} \subset \mathbb{A}_{x,y,z}^3$, $X = \{uv = 0\} \subset \mathbb{A}_{u,v,w}^3$ and $(x, y, t) \xrightarrow{f} ((z-1)y, (z+1)y, x)$. The map $\mathcal{O}_{\bar{X}}^{\oplus 3} \rightarrow \mathcal{O}_{\bar{X}}$ of (5.2) is given by $(v, u, 0) = ((z+1)y, (z-1)y, 0)$, hence \mathcal{F} is locally generated by $(0, 0, 1)$ and $(z-1, z+1, 0)$. Finally, j maps $\frac{\partial}{\partial y}$ to $(z-1, z+1, 0)$ and $\frac{\partial}{\partial x}$ to $(0, 0, 1)$. \square

Remark 5.3. The proof of Lemma 5.2 works more generally for X locally hypersurface and demi-normal.

Proof of Theorem 5.1. (i) Consider the natural map

$$f^* T_X = f^*(\mathcal{H}om_{\mathcal{O}_X}(\Omega_X, \mathcal{O}_X)) \rightarrow \mathcal{H}om_{\mathcal{O}_{\bar{X}}}(f^* \Omega_X, \mathcal{O}_{\bar{X}}) = (f^* \Omega_X)^\vee,$$

which is an isomorphism on $\bar{X} \setminus \bar{Y}$. Composing this map with the isomorphism $j^{-1}: (f^* \Omega_X)^\vee \rightarrow T_{\bar{X}}$ (cf. Lemma 5.2) we get a map $f^* T_X \rightarrow T_{\bar{X}}$. Pushing down to X and composing with the natural map $T_X \rightarrow f_*(f^* T_X)$ gives the map $\alpha: T_X \rightarrow f_* T_{\bar{X}}$, which is an isomorphism on $X \setminus Y$, and therefore is injective, since T_X is torsion free. So we have an exact sequence:

$$(5.3) \quad 0 \rightarrow T_X \rightarrow f_* T_{\bar{X}} \rightarrow \mathcal{G} \rightarrow 0,$$

where \mathcal{G} is supported on Y .

(ii) Follows from Lemma 5.4 below. \square

- Lemma 5.4.** (i) *The morphism $f_*T_{\bar{X}} \rightarrow \mathcal{G}$ factors via $g_*T_{\bar{X}}|_{\bar{Y}}$;*
(ii) *The kernel of the induced map $g_*T_{\bar{X}}|_{\bar{Y}} \rightarrow \mathcal{G}$ is $g_*(T_{\bar{Y}})^{inv}$, the invariant part of $g_*(T_{\bar{Y}})$.*

Proof. Since the map f is finite, we have a short exact sequence:

$$0 \rightarrow f_*T_{\bar{X}}(-\bar{Y}) \rightarrow f_*T_{\bar{X}} \rightarrow f_*T_{\bar{X}}|_{\bar{Y}} = g_*T_{\bar{X}}|_{\bar{Y}} \rightarrow 0,$$

so to prove (i) it is enough to show that the composition $f_*T_{\bar{X}}(-\bar{Y}) \rightarrow f_*T_{\bar{X}} \rightarrow \mathcal{G}$ is 0; then to prove (ii) one needs to show that the sequence $0 \rightarrow g_*(T_{\bar{Y}})^{inv} \rightarrow g_*T_{\bar{X}}|_{\bar{Y}} \rightarrow \mathcal{G} \rightarrow 0$ is exact. Since X is semi-smooth, it is enough to prove both statements in the situation of Example 3.7.

It is enough to consider the case $\bar{X} = \mathbb{A}_{x,y}^2$, $X = \{u^2 - v^2w = 0\} \subset \mathbb{A}_{u,v,w}^3$ and $f: \bar{X} \rightarrow X$ defined by $(x, y) \mapsto (xy, y, x^2)$. So we have $Y = \{u = v = 0\}$, $\bar{Y} = \{y = 0\}$ and the tangent sheaf T_X is the kernel of $T_{\mathbb{A}^3}|_X = \mathcal{O}_X^{\oplus 3} \xrightarrow{(2u, -2vw, -v^2)} \mathcal{O}_X = \mathcal{O}_X(X)$.

A set of generators of T_X is given by:

$$\begin{aligned} e_1 &:= vw \frac{\partial}{\partial u} + u \frac{\partial}{\partial v}, & e_2 &:= u \frac{\partial}{\partial u} + v \frac{\partial}{\partial v}, \\ e_3 &:= v^2 \frac{\partial}{\partial u} + 2u \frac{\partial}{\partial w}, & e_4 &:= v \frac{\partial}{\partial v} - 2w \frac{\partial}{\partial w}. \end{aligned}$$

Since $f_*\mathcal{O}_{\bar{X}}$ is generated by $1, x$ as an \mathcal{O}_X -module, the sheaf $f_*T_{\bar{X}}$ is generated as an \mathcal{O}_X -module by

$$\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial x}, x \frac{\partial}{\partial y}.$$

The chain rule gives relations:

$$\frac{\partial}{\partial x} = y \frac{\partial}{\partial u} + 2x \frac{\partial}{\partial w}, \quad \frac{\partial}{\partial y} = x \frac{\partial}{\partial u} + \frac{\partial}{\partial v}.$$

Therefore we have:

$$\begin{aligned} \alpha(e_1) &= xy \frac{\partial}{\partial y}, & \alpha(e_2) &= y \frac{\partial}{\partial y}, \\ \alpha(e_3) &= y \frac{\partial}{\partial x}, & \alpha(e_4) &= y \frac{\partial}{\partial y} - x \frac{\partial}{\partial x} \end{aligned}$$

and $\alpha(T_X)$ is the subsheaf generated by $u \frac{\partial}{\partial y}, v \frac{\partial}{\partial y}, v \frac{\partial}{\partial x}, x \frac{\partial}{\partial x}$. The sheaf $f_*T_{\bar{X}}(-\bar{Y})$ is generated by $u \frac{\partial}{\partial y}, v \frac{\partial}{\partial y}, v \frac{\partial}{\partial x}, u \frac{\partial}{\partial x} = vx \frac{\partial}{\partial x}$, so we see that $f_*T_{\bar{X}}(-\bar{Y}) \subset \alpha(T_X)$ and that the quotient sheaf $\alpha(T_X)/f_*T_{\bar{X}}(-\bar{Y})$ is generated by $x \frac{\partial}{\partial x}$, i.e., (i) and (ii) hold in this case. \square

5.2. The sheaf $\mathcal{T}_{\bar{X}}^1$ for a semi-smooth variety. As in the previous Section we assume that X is a semi-smooth variety with reduced singular locus Y , $f: \bar{X} \rightarrow X$ is the normalization, $\bar{Y} \subset \bar{X}$ and $Y \subset X$ are the subschemes defined by the conductor (cf. §A.2).

Observe (see Definition 2.5) that there is an exact sequence:

$$(5.4) \quad 0 \rightarrow \mathcal{T}_{\bar{X}}^1 \otimes \mathcal{I}_{Y|X_{\text{sing}}} \rightarrow \mathcal{T}_{\bar{X}}^1 \rightarrow \mathcal{T}_{\bar{X}}^1|_Y \rightarrow 0.$$

Let $N_{\bar{Y}|\bar{X}} = \mathcal{O}_{\bar{Y}}(\bar{Y})$ be the normal bundle of \bar{Y} in \bar{X} ; the main result of this Section is the explicit computation of the first and last term in (5.4):

Theorem 5.5. *In the above set up let D be the branch locus of g and let L^{-1} be the anti-invariant summand of $g_*\mathcal{O}_{\bar{Y}}$.*

Then we have the following isomorphisms of line bundles:

- (i) on Y , $\mathcal{T}_{\bar{X}}^1|_Y \cong L \otimes (\det g_*(N_{\bar{Y}|\bar{X}}^{-1}))^{-1}$;
- (ii) on \bar{Y} , $g^*(\mathcal{T}_{\bar{X}}^1|_Y) \cong g^*(L^{\otimes 2}) \otimes N_{\bar{Y}|\bar{X}} \otimes \iota^* N_{\bar{Y}|\bar{X}}$;
- (iii) on D , $I_{Y|X_{\text{sing}}} \cong r_* \left((N_{\bar{Y}|\bar{X}}^{-1})|_R \right)$.

This is one of the key technical points of this paper: its proof combines the results of §2.3 and §4.3 with the degeneration to the normal cone (cf. Proposition 5.6).

Let \bar{X}_0 be the total space of the normal bundle of \bar{Y} in \bar{X} ; let X_0 be the semi-smooth variety obtained by pinching \bar{X}_0 along \bar{Y} via $g: \bar{Y} \rightarrow Y$.

Proposition 5.6. *We can construct a degeneration of X to X_0 , i.e. a cartesian diagram*

$$(5.5) \quad \begin{array}{ccccc} Y & \longrightarrow & Y \times \mathbb{A}^1 & \longleftarrow & Y \times U \\ \downarrow & & \downarrow & & \downarrow \\ X_0 & \longrightarrow & \mathcal{X} & \longleftarrow & X \times U \\ q_0 \downarrow & & q \downarrow & & \downarrow q_U \\ 0 & \longrightarrow & \mathbb{A}^1 & \longleftarrow & U \end{array}$$

where $U := \mathbb{A}^1 \setminus \{0\}$, \mathcal{X} is a semi-smooth variety, $Y \times \mathbb{A}^1$ is its reduced singular locus, and the morphism $q: \mathcal{X} \rightarrow \mathbb{A}^1$ is flat and lci.

Proof. Let $\bar{\mathcal{X}}$ be the degeneration to the normal cone (in fact, bundle) of the embedding $\bar{Y} \rightarrow \bar{X}$; it is a nonsingular variety with a smooth morphism $\bar{q}: \bar{\mathcal{X}} \rightarrow \mathbb{A}^1$ and a natural closed embedding of $\bar{\mathcal{Y}} := \bar{Y} \times \mathbb{A}^1$ into $\bar{\mathcal{X}}$ such that the normal bundle $N_{\bar{\mathcal{Y}}|\bar{\mathcal{X}}}$ is the pullback from \bar{Y} of $N_{\bar{Y}|\bar{X}}$. This can be easily checked by hand, since $\bar{\mathcal{X}}$ is the blow up of $\bar{X} \times \mathbb{A}^1$ along $\bar{Y} \times \{0\}$ minus the strict transform of $\bar{X} \times \{0\}$, which is isomorphic to \bar{X} ; details can be checked in [Fu84, §5.1], in particular Example 5.1.2.

We define \mathcal{X} to be the variety obtained by pinching $\bar{\mathcal{X}}$ along $\bar{\mathcal{Y}} \times \mathbb{A}^1$ via $(g, \text{Id}_{\mathbb{A}^1}): \bar{Y} \times \mathbb{A}^1 \rightarrow Y \times \mathbb{A}^1$. By Prop 3.11, the variety \mathcal{X} is semi-smooth,

and the conductor ideal defines a closed embedding $Y \times \mathbb{A}^1 \rightarrow \mathcal{X}$. The map $q: \mathcal{X} \rightarrow \mathbb{A}^1$ is induced by the projection $\bar{\mathcal{X}} \rightarrow \bar{X} \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$ and by the pushout property; it is flat since every component of \mathcal{X} dominates \mathbb{A}^1 .

The cartesian diagrams on the right hand side of the diagram 5.5 follow immediately because the pushout construction commutes with product with U . The cartesian diagram on the left follows from Prop 3.14.

Away from $Y \times \mathbb{A}^1$, q is smooth because \bar{q} is. The morphism $\bar{q}: \bar{\mathcal{X}} \rightarrow \mathbb{A}^1$ is smooth, and so are the projections of $Y \times \mathbb{A}^1$ and $\bar{Y} \times \mathbb{A}^1$ to \mathbb{A}^1 . Hence in the argument in §A.1 we can always assume that one of the local coordinates is the parameter t of \mathbb{A}^1 ; thus, étale locally, \mathcal{X} is the product of a semi-smooth variety with \mathbb{A}^1 and q is the projection, which is locally hypersurface, hence lci.

□

Corollary 5.7. *There is an isomorphism of invertible sheaves on Y between $\mathcal{T}_{X_0}^1|_Y$ and $\mathcal{T}_X^1|_Y$.*

Proof. By Theorem 2.11 we have canonical isomorphisms $\mathcal{T}_q^1|_{X_0} \cong \mathcal{T}_{X_0}^1$ and, for every $t \neq 0$, $\mathcal{T}_q^1|_{\mathcal{X}_t} \cong \mathcal{T}_{\mathcal{X}_t}^1$. Let \mathcal{L}_q be the restriction of \mathcal{T}_q^1 to $Y \times \mathbb{A}^1$; it is a coherent sheaf whose restriction to each fiber $Y \times \{t\}$ is an invertible sheaf, hence it is itself an invertible sheaf. Thus it defines a morphism from \mathbb{A}^1 to the Picard variety of Y , which is constant on U since all fibers \mathcal{X}_t for $t \neq 0$ are isomorphic to X . Since the Picard variety is separated, this morphism is constant. □

As in §4.1, we write $g_*\mathcal{O}_{\bar{Y}} = \mathcal{O}_Y \oplus L^{-1}$ and we denote by D the branch locus of g (so, in particular, $2L \sim D$); recall (Remark 3.12) that D is the subset of pinch points of X . We set $E := g_*(N_{\bar{Y}|\bar{X}}^{-1})$.

Proof of Theorem 5.5. (i) By Prop 5.6 we can construct a degeneration of X to the semi-smooth variety X_0 obtained by pinching the total space of the normal bundle of \bar{Y} in \bar{X} along the zero section via the map $g: \bar{Y} \rightarrow Y$. By Corollary 5.7 we have that $\mathcal{T}_X^1|_Y$ is isomorphic to $\mathcal{T}_{X_0}^1|_Y$.

By Theorem 4.5, we can construct a closed embedding of X_0 as a hypersurface in the total space $V_Y(E) = \text{Spec Sym}(E)$. By Remark 2.4, we have that $\mathcal{T}_X^1|_Y$ is isomorphic to $\mathcal{O}_{V_Y(E)}(X_0)|_Y$, which again by Theorem 4.5 gives the result.

(ii) follows immediately from (i) and Corollary 4.6.

(iii) By Corollary 2.15 we have that $\mathcal{X}_{\text{sing}} \cap X_0 = (X_0)_{\text{sing}}$, while $\mathcal{X}_{\text{sing}} \cap (X \times U) = X_{\text{sing}} \times U$ follows from the definition. Since \mathcal{X} is semi-smooth, it follows from Lemma 3.13 that $I_{Y \times \mathbb{A}^1|\mathcal{X}}$ is a line bundle on $D \times \mathbb{A}^1$; for every $t \in \mathbb{A}^1$, its restriction to $D \times \{t\}$ surjects to $I_{Y|(\mathcal{X}_t)_{\text{sing}}}$; since both are line bundles, the restriction is an isomorphism. It follows, by separatedness of the Picard variety of D , that $I_{Y|X_{\text{sing}}}$ is isomorphic to $I_{Y|(X_0)_{\text{sing}}}$ and we conclude by Proposition 4.8.

□

APPENDIX A. PROOF OF PROPOSITION 3.11

We use freely the notation of §3.

A.1. Proof of (ii) \Rightarrow (i). As usual we denote by $f: \bar{X} \rightarrow X$ the gluing map and by ι the involution of \bar{Y} associated with $g: \bar{Y} \rightarrow Y$.

Given a point $P \in X$ we are going to show that P has an affine neighbourhood U_P with an étale map $\phi_P: U_P \rightarrow P_n = \text{Spec } \mathbb{K}[u, v, w]/(u^2 - v^2w) \times \mathbb{A}^{n-2}$. To define ϕ_P we consider the preimage $\bar{U}_P \subset \bar{X}$ of U_P and define an étale map $\bar{\phi}_P: \bar{U}_P \rightarrow \mathbb{A}_{x, y, t_1, \dots, t_{n-2}}^n$ such that $\bar{Y}_P := \bar{Y} \cap \bar{U}_P$ is mapped to $\mathbb{A}_{x, t_1, \dots, t_{n-2}}^{n-1}$ and there is a commutative diagram:

$$(A.1) \quad \begin{array}{ccccc} Y_P & \xleftarrow{g} & \bar{Y}_P & \longrightarrow & \bar{U}_P \\ \downarrow & & \downarrow & & \downarrow \bar{\phi}_P \\ \mathbb{A}_{w, t_1, \dots, t_{n-2}}^{n-1} & \xleftarrow{h} & \mathbb{A}_{x, t_1, \dots, t_{n-2}}^{n-1} & \longrightarrow & \mathbb{A}_{x, y, t_1, \dots, t_{n-2}}^n \end{array}$$

where $Y_P := Y \cap U_P$, the horizontal arrows to the right are inclusions and $h(x, t_1, \dots, t_{n-2}) = (x^2, t_1, \dots, t_{n-2})$. By the universal property of pushout schemes there is an induced map $\phi_P: U_P \rightarrow P_n$. Finally, by [Ko11, Remark 44] the map ϕ_P is étale if in diagram (A.1) the vertical maps are étale and both squares are cartesian.

We may of course assume that $P \in Y$; we have two cases according to whether (a) $g^{-1}(P)$ is a single point Q , or (b) $g^{-1}(P)$ consists of two points Q_1, Q_2 . Case (a) will give a pinch point and case (b) a double crossings point.

Since our arguments often involve passing to smaller affine neighbourhoods, we find it useful to note the following elementary result:

Lemma A.1. *In the above setup, if $V \subset \bar{X}$ is an open subset containing $f^{-1}(P)$, then there exists an open affine neighbourhood U_P of $P \in X$ such that $f^{-1}(U_P) \subseteq V$.*

Proof. Set $Z := \bar{X} \setminus V$; then $X \setminus f(Z)$ is an open neighbourhood of P , so it contains an affine open neighbourhood U_P of P and we have $f^{-1}(U_P) \subseteq V$ by construction. \square

Since the question is local on X we may assume the following (cf. Remark 3.4):

- (1) \bar{X} is affine and equal to $\text{Spec } \bar{A}$;
- (2) $\bar{Y} = \text{Spec } \bar{B}$ where $\bar{B} = \bar{A}/I$ for I a principal ideal with generator y ;
- (3) $Y = \text{Spec } B$ and there is an anti-invariant element $\bar{x} \in \bar{B}$ such that $\bar{B} = B \oplus \bar{x}B$.

To see why condition (2) holds, first note that in case (a) the ideal I is principal in a neighbourhood of P , since by assumption the variety \bar{X} is smooth and \bar{Y} is a divisor. In case (b) we may find $y_1, y_2 \in I$ such that y_i

has nonzero differential at Q_i , $i = 1, 2$. So at least one among y_1 , y_2 and $y_1 + y_2$ has nonzero differential at both Q_1 and Q_2 and therefore generates I in an open set containing Q_1 and Q_2 . In both cases by Lemma A.1 we can then shrink X in such a way that condition (2) holds.

We can assume that condition (3) holds by the discussion of Section 4.1.

Consider case (a) first: the function \bar{x} defines the ramification divisor $R \subset \bar{Y}$ of the double cover $g: \bar{Y} \rightarrow Y$, which is smooth since \bar{Y} and Y are smooth by assumption. So we can find $\bar{t}_1, \dots, \bar{t}_{n-2} \in B \subset \bar{B}$ such that $\bar{x}, \bar{t}_1, \dots, \bar{t}_{n-2}$ are local parameters on \bar{Y} near Q . Lifting all these elements to \bar{A} , we obtain local parameters $x, y, t_1, \dots, t_{n-2}$ defining a map to \mathbb{A}^n that is étale near Q . By Lemma A.1, we may find an open affine neighbourhood U_P of P such that this map restricts to an étale map $\phi_P: U_P \rightarrow \mathbb{A}^n$. It is immediate to check that diagram (A.1) is commutative, consists of two cartesian diagrams and the vertical arrows are étale.

Next consider case (b). In this case \bar{x} does not vanish at Q_1, Q_2 . We claim that we may assume that \bar{x} has nonzero differential at Q_1 and Q_2 . Indeed, if this is not the case then the differential of \bar{x} vanishes at both Q_1 and Q_2 , because \bar{x} is antiinvariant under the the involution ι induced by g and ι switches Q_1 and Q_2 . So it is enough to multiply \bar{x} by a nonzero element $u \in B \subset \bar{B}$ that does not vanish and has nonzero differential at P , and possibly shrink X again, so that u is a unit of B . Finally we choose $\bar{t}_1, \dots, \bar{t}_{n-2}$ in B such that $\bar{x}^2, \bar{t}_1, \dots, \bar{t}_{n-2}$ are local parameters on Y at P . It follows that $\bar{x}, \bar{t}_1, \dots, \bar{t}_{n-2}$ are local parameters on \bar{Y} at Q_1 and Q_2 . One can now conclude the proof as in case (a).

A.2. Proof of (i) \Rightarrow (ii). Let X be a variety over the algebraically closed field \mathbb{K} of characteristic $\neq 2$. We denote by $f: \bar{X} \rightarrow X$ the normalization morphism. Consider the exact sequence:

$$0 \rightarrow \mathcal{O}_X \rightarrow f_*\mathcal{O}_{\bar{X}} \rightarrow \mathcal{Q} \rightarrow 0;$$

the conductor $\mathcal{I} \subset \mathcal{O}_X$ is the ideal sheaf $\text{Ann}(\mathcal{Q})$ and is the largest ideal sheaf of \mathcal{O}_X of the form $f_*\bar{\mathcal{I}}$ for some ideal sheaf $\bar{\mathcal{I}}$ of $\mathcal{O}_{\bar{X}}$. We denote by Y, \bar{Y} the zero scheme of $\mathcal{I}, \bar{\mathcal{I}}$ respectively. By definition, Y_{red} is precisely the set of non-normal points of X .

Lemma A.2. *Let U, V be \mathbb{K} -varieties, let $f_U: \bar{U} \rightarrow U$ and $f_V: \bar{V} \rightarrow V$ be the normalization maps and let $\mathcal{I}_U, \mathcal{I}_V$ be the conductors of U and V . If $\phi: U \rightarrow V$ is an étale morphism, then:*

(i) *the following diagram is cartesian:*

$$(A.2) \quad \begin{array}{ccc} \bar{U} & \xrightarrow{f_U} & U \\ \bar{\phi} \downarrow & & \phi \downarrow \\ \bar{V} & \xrightarrow{f_V} & V \end{array}$$

(ii) $\phi^*\mathcal{I}_V = \mathcal{I}_U$.

Proof. (i) Consider the cartesian diagram:

$$\begin{array}{ccc} U' & \longrightarrow & U \\ \downarrow & & \downarrow \phi \\ \bar{V} & \xrightarrow{f_V} & V \end{array}$$

The morphism $U' \rightarrow \bar{V}$ is étale, so U' is normal, since \bar{V} is ([St-Pr, Tag 025P]). The morphism $U' \rightarrow U$ is finite and birational, since f_V is, so there is a unique isomorphism $U' \cong \bar{U}$ over U , and via this identification the diagram above coincides with (A.2).

(ii) Since cohomology commutes with flat base extension ([Ha77, Prop. III.9.3]), the cartesian diagram (A.2) gives a natural isomorphism $\phi^* f_{V*} \mathcal{O}_{\bar{V}} \rightarrow f_{U*} \bar{\phi}^* \mathcal{O}_{\bar{V}} = f_{U*} \mathcal{O}_{\bar{U}}$. So we have a natural map $\phi^* \mathcal{I}_V \rightarrow I_U$; the fact that this map is an isomorphism can be checked by localizing and passing to completions, so it follows from the fact that the étale map ϕ induces an isomorphism on the completions of the local rings. □

Lemma A.3. *If X is a semi-smooth \mathbb{K} -variety, then:*

- (i) \bar{X} is smooth;
- (ii) $Y \subset X$ and $\bar{Y} \subset \bar{X}$ are smooth divisors;
- (iii) f induces a finite degree 2 map $g: \bar{Y} \rightarrow Y$;
- (iv) X is seminormal.

Proof. Claims (i),(ii), (iii) are local in the étale topology by Lemma A.2 and are easily seen to hold for $P_n = \text{Spec } \mathbb{K}[u, v, w]/(u^2 - v^2w) \times \mathbb{A}^{n-2}$.

Claim (iv) follows from [Ko96, Prop. I.7.2.5] because of (ii). □

Conclusion of proof of (i) \Rightarrow (ii). Let $f: \bar{X} \rightarrow X$ be the normalization and let $\bar{Y} \subset \bar{X}$ and $Y \subset X$ be the subschemes defined by the conductor ideal. By Lemma A.3, \bar{X} is smooth and \bar{Y} and Y are smooth of codimension 1. In addition, \bar{X} and Y satisfy condition (AF), since X does, so we can consider the pushout scheme $X' := \bar{X} \sqcup_{\bar{Y}} Y$. By the universal property of the pushout, there is a birational morphism $X' \rightarrow X$, which is the weak normalization of X (cf. [Ko11, Example 5]). Since X is semi-normal by Lemma A.3 and X' is also semi-normal ([Ko96, Prop. 7.2.3]), the map $X' \rightarrow X$ is an isomorphism. □

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Barbara Fantechi
 SISSA
 Via Bonomea 265, I-34136 Trieste (Italy)
 fantechi@sissa.it

Marco Franciosi
 Dipartimento di Matematica, Università di Pisa
 Largo B. Pontecorvo 5, I-56127 Pisa (Italy)
 marco.franciosi@unipi.it

Rita Pardini
 Dipartimento di Matematica, Università di Pisa
 Largo B. Pontecorvo 5, I-56127 Pisa (Italy)
 rita.pardini@unipi.it