# HEAVY NEUTRINO SEARCHES FROM MeV TO TeV Proceedings of the NuFact 2016 conference

E. GRAVERINI

Physik Institut der Universität Zürich Winterthurerstrasse 190, 8057 Zürich, Switzerland

The Standard Model (SM) describes particle physics with great precision. However, it does not account for the generation of neutrino masses, whose nature we do not understand. Both a Dirac and a Majorana mass term could intervene, leading to the existence of heavy partners of the SM neutrinos, presumably more massive and nearly sterile. For suitable choices of parameters, heavy neutrinos can also provide dark matter candidates, and generate the observed baryon asymmetry of the universe. Heavy neutrinos can be searched for at beam dump facilities such as the proposed SHiP experiment if their mass is of the order of few GeV, or at high energy lepton colliders, such as the Future  $e^+e^-$  Circular Collider, FCC-ee, presently under study at CERN, for higher masses. This contribution presents a review of the sensitivities for heavy neutrino searches at SHiP and FCC-ee.

#### 1 Introduction

The data from experiments with solar, atmospheric, reactor and accelerator neutrinos provide compelling evidence for the existence of a 3-neutrinos mixing process in vacuum, caused by nonzero neutrino masses, which is in contrast with the Standard Model (SM) predictions. Experiments also show that the three flavours of neutrinos (antineutrinos)  $\nu_{\ell}$ , with  $\ell = e, \mu, \tau$ , are always produced in a left-handed  $\nu_L$  (right-handed  $\bar{\nu}_R$ ) helicity state [1]. At present there is no experimental evidence for the existence of right-handed (left-handed) neutrinos  $\nu_R$  (antineutrinos  $\bar{\nu}_L$ ). Therefore, if such states exist, they must be interacting very weakly with SM particles, and are therefore also called "sterile" neutrinos.

There is not a unique way to extend the Standard Model in order to account for neutrino masses. Both a Dirac mass term, or a Majorana mass term, would imply the existence of right-handed neutrinos; the cohexistence of these two terms would lead to the type I seesaw mechanism. One of the most popular theories accounting for neutrino masses, the  $\nu$ MSM [2], sketched in Figure 1(a), suggests Majorana masses ranging from sub-MeV to TeV, and Dirac masses smaller or similar to the electron mass. Three right-handed neutrino states complement the left-handed SM neutrinos, addressing all the known shortcomings of the SM. Depending on the masses and couplings of the right-handed neutrinos, the lightest one would provide a Dark Matter candidate in the keV region, while the two others, in the MeV-GeV range, would provide mass to the SM neutrinos through the seesaw mechanism, and generate asymmetry between matter and antimatter through leptogenesis.



(a) In the  $\nu$ MSM, three right-handed counterparts  $N_{1,2,3}$  are added to the particle content of the SM [3].



(b) Decay of an heavy neutrino through mixing with a SM neutrino [4].

Figure 1 – Sketch of the particle content of the  $\nu$ MSM, and the decay of heavy neutrinos.

### 2 The $\nu$ MSM

The  $\nu$ MSM complements the particle content of the SM by adding three right-handed neutrinos, also referred to as HNLs (Heavy Neutral Leptons). The SM lagrangian extends to:

$$\mathcal{L} = \mathcal{L}_{SM} + \bar{N}_i i \partial \!\!\!/ N_i - f_{i\alpha} \Phi \bar{N}_i L_\alpha - \frac{M_i}{2} \bar{N}_i^{\ c} N_i + h.c.$$
(1)

where  $\Phi$  and  $L_{\alpha}(\alpha = e, \mu, \tau)$  are respectively the Higgs and lepton doublets, f is a matrix of Yukawa couplings and  $M_i$  is a Majorana mass term. The  $N_i$  fields represent right-handed neutrinos. One of the new states,  $N_1$ , is a very long lived dark matter candidate, with a lifetime possibly exceeding the age of the Universe, and a mass in the  $\mathcal{O}(\text{keV})$  range [5]. The other two states have very similar mass  $m_N$ , being almost degenerate, with  $m_N$  in the MeV-GeV range. They would cause the baryon-antibaryon asymmetry of the Universe through a process of leptogenesis enabled by the lepton number violating Majorana mass term [6]. The two heavier states would also produce the observed neutrino masses through the type I seesaw mechanism, first introduced in the context of Grand Unified Theories [7, 8].

## 2.1 Heavy neutrino phenomenology

The phenomenology of sterile neutrino production is thoroughly described in [9, 10, 11]. HNLs can be produced in decays where a SM neutrino is replaced by an HNL through kinetic mixing. They then decay to SM particles by mixing again with a SM neutrino. These now massive neutrino states can decay to a variety of final states through the emission of a  $W^{\pm}$  or  $Z^{0}$  boson (see Figure 1(b)). Branching ratios for the production and decay of HNLs can be obtained from those for the light neutrino channels as shown in [10]. In particular, heavy neutrinos with mass up to a few GeV can be produced in semileptonic decays of  $\pi, K, D$  and B mesons (Figure 2(a)). Heavier right-handed neutrinos can emerge in decays of the  $W^{\pm}$  and Z bosons where one neutrino is replaced by a HNL (Figure 2(b)).

HNLs up to a few GeV in mass can decay to a meson-lepton pair, or to three leptons  $(h\ell, h^0\nu, \ell\ell'\nu, 3\nu$  final states). If the HNL mass is substantially larger than the QCD scale  $\Lambda_{QCD}$ , however, the two emitted quarks will tend to hadronize separately, producing two jets in the detector, accompanied by either a charged lepton or a neutrino  $(jj\ell, jj\nu)$ . The three-lepton final state remains as likely as for low mass HNLs.

The parameter space of the  $\nu$ MSM is bound on all sides (Figure 4). Low mass HNLs would participate in the Big Bang Nucleosynthesis (BBN) and modify the observed relative abundances of light nuclei. Very low couplings, depending on the HNL mass, cannot explain the observed



(a) Production in charm meson decays.

(b) Production in  $W^{\pm}, Z$  decays.

Figure 2 – Production of HNLs the decays of mesons and gauge bosons.

SM neutrino mass differences with the seesaw mechanism. Finally, the necessity to justify the baryon asymmetry of the Universe (BAU) limits the parameter space from above: if the mixing is too large, HNLs would be in thermal equilibrium during the expansion of the Universe, and thus fail to produce baryogenesis through oscillation. For HNL masses approaching  $m_W$ , the rate of interactions is enhanced due to the now kinematically allowed decay channel  $N_{2,3} \rightarrow \ell W$ , leading to stronger constraints on the mixing parameter [12, 13].

# 3 Prospects for low mass searches

SHiP is a newly proposed general-purpose fixed target facility at CERN, with the aim of looking for very weakly interacting long living particles. The 400 GeV/c SPS proton beam will be stopped in a heavy target, designed to maximize the production of heavy mesons while reducing that of neutrinos and muons to a minimum. An iron hadron stopper will absorb secondary hadrons and residual non-interacting protons. Muons emerging from the beam dump will be deflected from the detector area by a system of magnets of alternate polarity. The hidden sector detector will search for evidence of hidden particles decaying in a large fiducial volume, contained in a 62 m long vacuum vessel with elliptical cross-section of  $5 \times 10$  m<sup>2</sup>. A straw-tubes magnetic spectrometer is placed at the end of the vacuum tank. The particle identification system comprises hadron and electromagnetic calorimeters, and a muon detector. Conservative studies show that a level of vacuum of  $10^{-6}$  atm reduces the background due to SM neutrino interactions to less than 0.1 events in 5 years. In addition, the decay volume is preceded by two background taggers, surrounded by a layer of liquid scintillator for the whole length of the vessel, and followed by a high precision timing detector. This allows to control backgrounds due to random combination of tracks and to upstream interactions, effectively making SHiP a "zero-background" experiment [14]. The SHiP experimental layout is shown in Figure 3(a).

Past experiments have set important constraints on the parameter space for HNLs. The most significant limits below the charm mass were obtained in the fixed target experiments PS191 [15, 16], CHARM [17] and NuTeV [18]. Table 1 lists the relevant parameters of the above three experiments, in comparison with those planned for SHiP [14]. For each one of these experiments, no signal candidates were found. Regions of the HNL parameter space were excluded, down to couplings  $U^2$  as low as  $10^{-6}$  (CHARM, NuTeV) or even  $10^{-8}$  (PS191), limited to masses below 450 MeV due to the low energy of the PS beam.

As shown in Figure 4(a), SHiP will greatly improve the sensitivity of the previous experiments using the production of heavy hadrons at the SPS. In particular,  $8 \times 10^{17} D$  mesons and  $3 \times 10^{15} \tau$  leptons are expected in about 5 years of nominal SPS operation. Beauty hadrons will

Experiment	PS191	NuTeV	CHARM	SHiP
Proton energy (GeV)	19.2	800	400	400
Protons on target $(\times 10^{19})$	0.86	0.25	0.24	20
Decay volume $(m^3)$	360	1100	315	1780
Decay volume pressure (bar)	1 (He)	1 (He)	1 (air)	$10^{-6}$ (air)
Distance to target (m)	128	1400	480	80-90
Off beam axis (mrad)	40	0	10	0

Table 1: Comparison of the experimental conditions for HNL search experiments [14].

also contribute to the physics sensitivity. Below the beauty hadron mass, the SHiP experiment will be able to exceed the sensitivity of previous experiments for HNLs by several orders of magnitude. HNL couplings could be probed close to the ultimate seesaw limit.



(a) The SHiP proposed experiment [14]

(b) The FCC proposal [19]

Figure 3 – Proposed facilities that could probe for heavy neutrinos in the near or medium term future.

#### 4 Prospects for collider searches

Heavy right-handed neutrinos can also be searched for at high luminosity lepton colliders, such as the Future  $e^+e^-$  Circular Collider (FCC-ee), sketched in Figure 3(b), currently being studied at CERN [19]. The machine would fit in a 100 km tunnel and would be able to operate at centre-of-mass energies in the 90-350 GeV range. Luminosity studies show that FCC-ee, operated at the Z resonance, could produce  $10^{12}$  to  $10^{13} Z$  bosons per year, and therefore allow to investigate extremely rare decays [20, 21, 22].

A review of possible methods to perform HNL searches at future  $e^+e^-$  colliders is given in [13]. Hints of the existence of sterile neutrinos can be found in the discrepancy between the measured number of neutrino families – the ratio of the Z invisible width to its leptonic decay width – and that of the SM lepton flavours. The former,  $N_{\nu} = 2.9840 \pm 0.0082$  [23], appears to be about two standard deviations lower than 3, and such decifit could be compatible with the presence of sterile neutrinos. However, for small mixing angles between sterile and active neutrinos, as those predicted by all models trying to explain the matter-antimatter asymmetry, the most efficient way to look for sterile neutrinos at a high-energy lepton collider is to operate it as a Z factory [13].

HNLs can be produced in  $Z \rightarrow \nu \bar{\nu}$  decays with a SM neutrino kinematically mixing to an



Figure 4 – Physics reach in the HNL parameter space for SHiP and two realistic FCC-ee configurations and for two  $\nu$ MSM scenarios: normal hierarchy of SM neutrino masses and coupling to the muon flavour dominating, and inverted hierarchy with coupling to the electron flavour dominating, respectively. Previous searches are shown as dotted lines. Greyed-out areas represent the cosmological boundaries of the scenario [4, 13].

HNL, therefore producing  $Z \to \nu N$ . At very small couplings, the lifetime of the HNL becomes substantial, giving the possibility to suppress background arising from  $W^*W^*$ ,  $Z^*Z^*$  and  $Z^*\gamma^*$ processes with the requirement of a displaced secondary vertex [13].

Figure 4 analyses the sensitivities of SHiP and of an hypotetical FCC-ee experiment in the parameter space of the  $\nu$ MSM, for two realistic FCC-ee configurations. The minimum and maximum displacements of the secondary vertex in FCC-ee depend on the characteristics of the tracking detectors of the experiment. For the first (second) FCC-ee configuration, an inner tracker with resolutions of 100  $\mu$ m (1 cm) and an outer tracker with diameter of 1 m (5 m) were considered. The production of  $10^{12}$  ( $10^{13}$ ) Z bosons is assumed.

The SHiP experiment will be able to scan a large part of the parameter space below the B meson mass. In addition, the results shown in Figure 4 show that heavier HNLs can be searched for at a future Z factory. The synergy between a large fixed-target experiment at the SPS and a future Z factory would allow to explore most of the  $\nu$ MSM parameter space.

### References

- K. A. Olive et al. "Review of Particle Physics". In: Chin. Phys. C38 (2014), p. 090001.
  DOI: 10.1088/1674-1137/38/9/090001.
- [2] Takehiko Asaka, Steve Blanchet, and Mikhail Shaposhnikov. "The nuMSM, dark matter and neutrino masses". In: *Phys. Lett.* B631 (2005), pp. 151–156. DOI: 10.1016/j. physletb.2005.09.070. arXiv:hep-ph/0503065 [hep-ph].
- [3] Sergey Alekhin et al. "A facility to Search for Hidden Particles at the CERN SPS: the SHiP physics case". In: (2015). arXiv:1504.04855 [hep-ph].
- [4] Elena Graverini. "SHiP sensitivity to Heavy Neutral Leptons". In: (2016). URL: https: //cds.cern.ch/record/2214085.
- [5] Alexey Boyarsky, Oleg Ruchayskiy, and Mikhail Shaposhnikov. "The Role of sterile neutrinos in cosmology and astrophysics". In: *Ann.Rev.Nucl.Part.Sci.* 59 (2009), pp. 191–214. DOI: 10.1146/annurev.nucl.010909.083654. arXiv:0901.0011 [hep-ph].
- [6] Takehiko Asaka and Mikhail Shaposhnikov. "The nuMSM, dark matter and baryon asymmetry of the universe". In: *Phys. Lett.* B620 (2005), pp. 17–26. DOI: 10.1016/j.physletb. 2005.06.020. arXiv:hep-ph/0505013 [hep-ph].
- [7] Peter Minkowski. " $\mu \rightarrow e\gamma$  at a Rate of One Out of 1-Billion Muon Decays?" In: *Phys.Lett.* B67 (1977), p. 421. DOI: 10.1016/0370-2693(77)90435-X.

- [8] Murray Gell-Mann, Pierre Ramond, and Richard Slansky. "Complex Spinors and Unified Theories". In: Conf.Proc. C790927 (1979), pp. 315–321. arXiv:1306.4669 [hep-th].
- [9] Michael Gronau, Chung Ngoc Leung, and Jonathan L. Rosner. "Extending Limits on Neutral Heavy Leptons". In: *Phys.Rev.* D29 (1984), p. 2539. DOI: 10.1103/PhysRevD.29. 2539.
- [10] Dmitry Gorbunov and Mikhail Shaposhnikov. "How to find neutral leptons of the νMSM?" In: JHEP 10 (2007). [Erratum: JHEP11,101(2013)], p. 015. DOI: 10.1007/JHEP11(2013) 101,10.1088/1126-6708/2007/10/015. arXiv:0705.1729 [hep-ph].
- [11] Anupama Atre et al. "The Search for Heavy Majorana Neutrinos". In: *JHEP* 0905 (2009),
  p. 030. DOI: 10.1088/1126-6708/2009/05/030. arXiv:0901.3589 [hep-ph].
- [12] Mikhail Shaposhnikov. "The  $\nu$ MSM, leptonic asymmetries, and properties of singlet fermions". In: *JHEP* 0808 (2008), p. 008. DOI: 10.1088/1126-6708/2008/08/008. arXiv:0804.4542 [hep-ph].
- [13] Alain Blondel et al. "Search for Heavy Right Handed Neutrinos at the FCC-ee". In: 37th International Conference on High Energy Physics. Valencia, Spain, 2014. arXiv:1411.5230 [hep-ex]. URL: http://inspirehep.net/record/1328783/files/arXiv:1411.5230. pdf.
- [14] M. Anelli et al. "A facility to Search for Hidden Particles (SHiP) at the CERN SPS". In: (2015). arXiv:1504.04956 [physics.ins-det].
- [15] G. Bernardi et al. "FURTHER LIMITS ON HEAVY NEUTRINO COUPLINGS". In: Phys. Lett. B203 (1988), p. 332. DOI: 10.1016/0370-2693(88)90563-1.
- [16] F. Vannucci. "Sterile neutrinos: From cosmology to the LHC". In: J. Phys. Conf. Ser. 136 (2008), p. 022030. DOI: 10.1088/1742-6596/136/2/022030.
- [17] F. Bergsma et al. "A Search for Decays of Heavy Neutrinos in the Mass Range 0.5-GeV to 2.8-GeV". In: *Phys. Lett.* B166 (1986), p. 473. DOI: 10.1016/0370-2693(86)91601-1.
- [18] A. Vaitaitis et al. "Search for neutral heavy leptons in a high-energy neutrino beam". In: *Phys. Rev. Lett.* 83 (1999), pp. 4943–4946. DOI: 10.1103/PhysRevLett.83.4943. arXiv:hep-ex/9908011 [hep-ex].
- [19] The FCC design study. http://cern.ch/fcc.
- [20] A. Bogomyagkov, E. Levichev, and D. Shatilov. "Beam-beam effects investigation and parameters optimization for a circular e<sup>+</sup>e<sup>-</sup> collider at very high energies". In: *Phys. Rev.* ST Accel. Beams 17 (4 2014), p. 041004. DOI: 10.1103/PhysRevSTAB.17.041004.
- [21] M. Koratzinos et al. "TLEP: A High-Performance Circular e+ e- Collider to Study the Higgs Boson". 2013.
- [22] M. Bicer et al. "First Look at the Physics Case of TLEP". In: JHEP 1401 (2014), p. 164.
  DOI: 10.1007/JHEP01(2014)164. arXiv:1308.6176 [hep-ex].
- [23] S. Schael et al. "Precision electroweak measurements on the Z resonance". In: Phys.Rept. 427 (2006), pp. 257–454. DOI: 10.1016/j.physrep.2005.12.006. arXiv:hep-ex/0509008 [hep-ex].