

## **Biodegradable Polymers for Biomedical Additive Manufacturing**

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### **Abstract**

The tremendous interest received by additive manufacturing (AM) within the biomedical community is a consequence of the great versatility offered in terms of processing approach, materials selection, and customization of the resulting device. In particular the unparalleled control over structural and compositional features at the macro- and microscale, as a result of the large design freedom and high reproducibility, is making AM the technology of election for the fabrication of biodegradable medical devices. This article is aimed at providing an update overview of scientific literature on biodegradable polymers for AM application in the biomedical field. The main AM techniques applied so far to biodegradable polymers are outlined by presenting relevant materials processing requirements. The different classes of biodegradable polymers investigated for AM (i.e., proteins, polysaccharides, **aliphatic polyesters of either natural or synthetic origin, polyurethanes, as well as other synthetic polymers under AM implementation**) are described by highlighting their source of extraction, chemical modification, or synthesis route, **and their** physical-chemical and processing properties in relationship to AM. Relevant literature on their AM processing for medical and pharmaceutical applications is accordingly reviewed.

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## List of acronyms

AM: additive manufacturing  
ASCs: adipose-derived stem cells  
ASTM: American society for testing and materials  
BC: bacterial cellulose  
BG: bioactive glass  
BJ: binder jetting  
BMP-2: bone morphogenetic protein-2  
BMP-7: bone morphogenetic protein-7  
BMSCs: bone marrow stromal cells  
Ca-P: calcium phosphate  
CAD: computer-controlled design  
CAM: computer-controlled manufacturing  
CAWS: computer-aided wet-spinning  
CLSM: confocal laser scanning microscopy  
CT: computer tomography  
DEF: diethyl fumarate  
DLP: digital light processing  
DMPA: 2,2-bis(hydroxymethyl) propionic acid  
EC: ethyl cellulose  
ECM: extracellular matrix  
EDA: ethylenediamine  
EFDP: electrofluidodynamic printing  
FDM: fused deposition modeling  
GelMA: gelatin methacryloyl  
Gly: glycine  
Hyp: hydroxyproline  
ME-AM: melt extrusion-additive manufacturing  
HA: hyaluronic acid  
Hap: hydroxyapatite  
HAMA: hyaluronic acid methacryloyl  
HA-pNIPAAm: poly(*N*-isopropylacrylamide)-grafted hyaluronic acid  
HMDI: hexamethylene diisocyanate  
IPDI: isophorone diisocyanate  
MEW: melt-electrospinning writing  
MRI: magnetic resonance imaging  
MSCs: mesenchymal stem cells  
Mw: molecular weight  
NC: numerical control  
NIPS: non-solvent-induced phase separation  
NVP: N-vinyl-2-pyrrolidone  
PA11: polyamide 11  
PA12: polyamide 12  
PC: polycarbonate PC  
PCL: poly( $\epsilon$ -caprolactone)  
\*PCL: star poly( $\epsilon$ -caprolactone)  
PDLLA: poly(d,l-lactide)  
PED: precision extrusion deposition  
PEEK: polyether ether ketone  
PEG: poly(ethylene glycol)  
PEGDA: polyethylene glycol diacrylate

**PEUs: poly(ester urethanes)**

PGA: poly(glycolic acid)

PHA: polyhydroxyalkanoates

PHB: poly(3-hydroxybutyrate)

PHBHHx: poly[3-hydroxybutyrate-*co*-3-hydroxyhexanoate)

PHBV: poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate)

PHV: poly(3-hydroxyvalerate)

P[3HB-*co*-4HB]: poly(3-hydroxybutyrate-*co*-4-hydroxybutyrate)

PLGA: poly(lactide-*co*-glycolide)

PLA: poly(lactide)

PLATMC: poly(1-lactide-*co*-trimethylene carbonate)

PLACL: poly(1-lactide-*co*- $\epsilon$ -caprolactone)

PDLACL: poly(d,1-lactide-*co*- $\epsilon$ -caprolactone) ()

PLLA: poly(1-lactide)

PMMA: poly(methyl methacrylate)

PPF: poly(propylene fumarate)

PPFDA: poly(propylene fumarate)-diacrylate

Pro: proline

PS: polystyrene

**PTMC: poly(trimethylene carbonate)**

**PUs: polyurethanes**

PVA: poly(vinyl alcohol)

PVP: poly(vinyl pyrrolidone)

RGD: arginine-glycine-aspartic acid

rhBMP-2: recombinant human bone morphogenetic protein-2

rhBMP-7: recombinant human bone morphogenetic protein-7

ROP: ring-opening polymerization

SLA: stereolithography

SE-AM: solution extrusion-additive manufacturing

$\mu$ SLA: microstereolithography

SLS: selective laser sintering

SSLS: surface selective laser sintering

S/O/W: solid in oil in water

STL: standard tessellation language

TE: tissue engineering

TCP:  $\beta$ -tricalcium phosphate

TPP: two-photon polymerization

**TPUs: thermoplastic polyurethanes**

T<sub>g</sub>: glass transition temperature

T<sub>m</sub>: melting temperature

T<sub>deg</sub>: degradation temperature

UV: ultraviolet

Vis: visible

2D: two-dimensional

3D: three-dimensional

3HB: 3-hydroxybutyrate

3HHx: 3-hydroxyhexanoate

3HV: 3-hydroxyvalerate

4HB: 4-hydroxybutyrate

## 1 Introduction

Additive manufacturing (AM) was defined in 2012 by the American Society for Testing and Materials (ASTM) as “the process of joining materials to make objects from three-dimensional (3D) model data, usually layer upon layer, as opposed to subtractive manufacturing methodologies” [1]. A diversified terminology has been adopted over time to refer to AM by employing various terms used interchangeably, such as 3D printing, rapid prototyping, solid freeform fabrication, additive fabrication, additive processes, and additive layer manufacturing. Due to the increasing interest on AM industrialization, the ASTM and International Organization for Standardization have been working also on relevant aspects other than terminology, such as measuring the performance and safety of relevant materials, process and equipment, ensuring the quality of the finished parts and end products, and specifying procedures for the calibration of machines [2].

AM techniques are based on computer-controlled design (CAD) and manufacturing (CAM) approaches to process a wide range of materials (i.e., metals, polymers, ceramics, and composites) into final products with geometry and size resembling those of digital models. 3D computer solid models of the object can be directly drawn by means of a CAD or math software. In addition, 3D model data can be derived from medical imaging techniques used for diagnostic purposes, e.g., computer tomography (CT) and magnetic resonance imaging (MRI). The digital model is converted into a standard tessellation language (STL) file containing the information of the object’s surface geometry, and then expressed as a series of cross-sectional layers with predefined thickness originating a slice file. A numerical control (NC) programming language file is finally loaded digitally in the machine to drive the motion of the fabricating parts.

The fabrication process involves starting from the bottom and building layers up through a sequential delivery of energy and/or materials, with each newly formed layer adhering to the previous one. In laser-based AM techniques, a beam or projection of light is selectively directed to i) a photosensitive resin bath to form patterns of photopolymerized/crosslinked material, in the case of vat photopolymerization techniques, e.g., stereolithography (SLA) and microstereolithography ( $\mu$ SLA) [3] or ii) a powder bed to generate local heat and form patterns of sintered/fused material, in the case of selective laser sintering (SLS).[4] Regarding binder jetting (BJ), also referred to as 3D printing, a liquid binder is selectively deposited on a powder bed [5]. In extrusion-based AM techniques, a polymer as melt [6] or dissolved/suspended in a suitable solvent [7] is extruded in a given environment, for instance in air at a controlled temperature or in a coagulating medium, and deposited with a predefined pattern on a fabrication platform.

Overall the main challenging aspects for new developments are related to build speed and resolution, biocompatibility and mechanical properties of fabricated parts, controlled composition of multi-material parts, as well as other peculiar requirements of specific biomedical applications [8]. AM has the potential of fastening the product development process by reducing the time required for design validation and functional prototypes development. However, at the current stage AM processes are still slow in comparison to those based on large scale production technologies, such as injection molding. Even if this limitation is offset in customized medicine applications by AM flexibility, the perspective of broadening and optimizing its applicative spectrum encourages research on the enhancement of fabrication efficiency with a good compromise between the spatial resolution and the time of production. Insufficient resolution can result in a significant dimensional discrepancy between the CAD virtual model and the fabricated object, with influence on its resulting quality, structural and functional properties. Besides dimensional accuracy at the macroscale, different biomedical applications require morphological features structured at the micro/nanoscale, for instance as a means to steer **cell behaviors** in tissue engineering (TE). For these reasons,  $\mu$ SLA and melt-electrospinning writing (MEW) have been attracting great attention for the development of porous polymeric constructs structured at the submicrometric scale [3, 9]. In this optic, combination of AM with other materials processing techniques at different integration levels (i.e., assembly, fabrication or technique level) is a hot topic in the scientific community with the aim of optimizing conflicting scaffold structural requirements, such as high mechanical strength/multi-scale porosity, 3D structure/high resolution, high quality/high fabrication velocity, and structural role/bioactivity [10, 11].

From a mechanical point of view, parts fabricated by AM have often lower properties than those produced by subtractive and formative techniques. Depending on the AM technique employed, such drawback can be the result of a limited choice among suitable materials (e.g., in the case of photosensitive polymers[3]), limited materials engineering freedom (e.g., in the case of composite materials processing by laser- or melt extrusion-based techniques[12]), unwanted porosity and other structural defects (e.g., in the case of uncomplete particles fusion in SLS or marked/insufficient fiber-fiber fusion in extrusion AM [13]). The anisotropic mechanical behavior of additive manufactured parts as a consequence of the layered manufacturing process [14, 15] is particularly pronounced in scaffolds for TE due to the highly porous structure necessary for tissue growth processes. Ongoing research is aimed at developing new software able to analyze the relationship between AM process parameters and material performance [16]. In the next future, machine learning capabilities will allow the selection of the appropriate AM

process parameters given a target mechanical performance, and the analysis in real time of material morphological features and irregularities in order to quantify their relationship with the resulting mechanical performance.

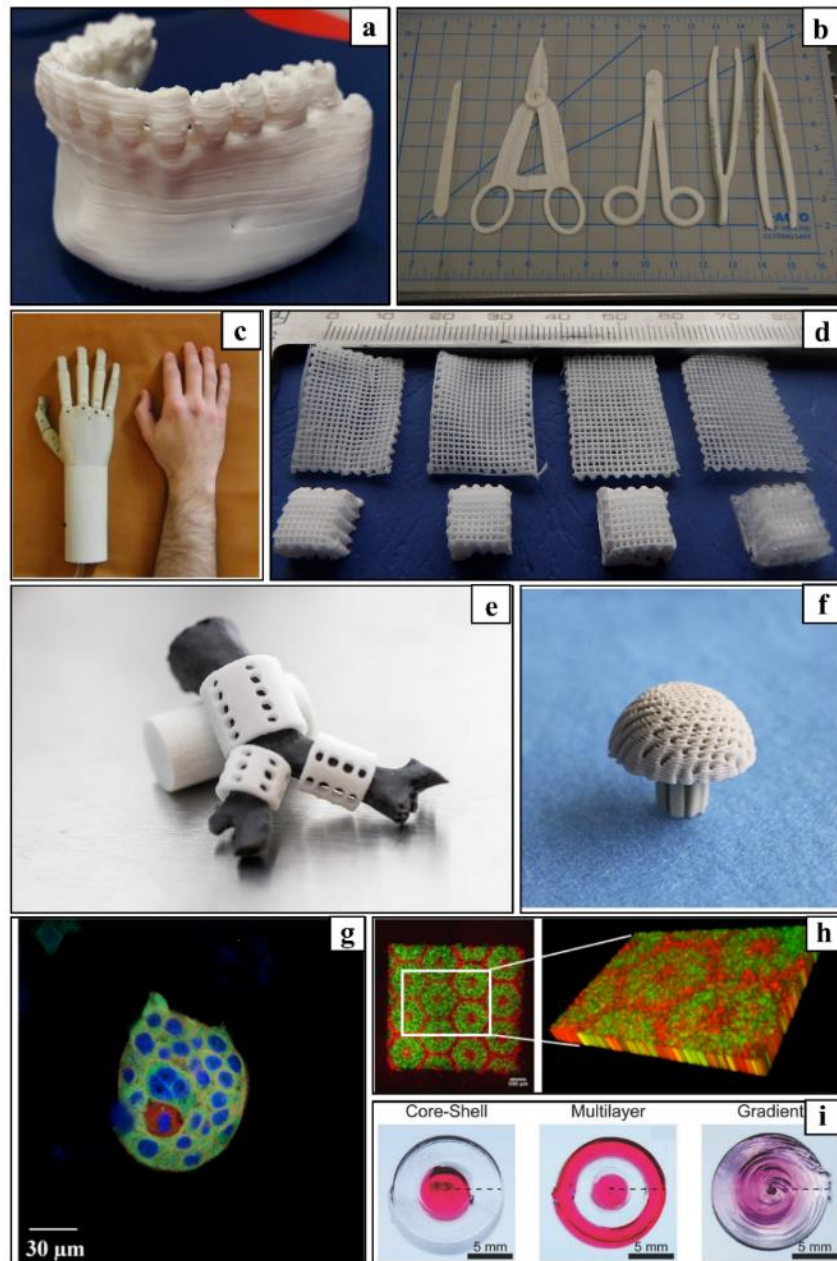
Personalized medicine is emerging as one of the most successful and promising applications for AM. The possibility of using tomographic images from X-ray and MRI scan to manufacture objects customized to anatomical parts of the human body is revolutionizing the approach to surgical planning, building prosthetics, dentistry, and TE. Tens of articles have reported the clinical applications of devices made from metals, polymers or their combination, like in the case of maxillofacial surgery in which AM is used for anatomic models, surgical guides, occlusal splints, implants (e.g., osteosynthesis plates and skeletal reconstruction parts) and facial epithesis [17]. Research on biomedical polymers for AM is resulting in a tremendous impact on clinical treatment of human tissue failure defects, as well as on materials science and technology for polymeric devices development. Recently, a considerable literature has grown up around polymeric materials for AM with the aim of optimize and broaden the range of applications of this class of techniques for biomedical purposes. Significant progress has been achieved on the synthesis of biocompatible and biodegradable photoreactive macromers for SLA to replace conventional lithographic acrylic resins which may cause toxic effects [8, 18, 19]. The decades-long history of clinical employment of polymeric implants made by conventional melt-processing techniques [20] is being exploited to implement in melt-based AM processes both polymers already approved for use in humans and those under development. *In vivo* outcomes on implantation in animals and humans of polymeric devices made by fused deposition modeling (FDM) or SLS stimulate research for their permanent introduction in the interventional surgery routine [21-23]. AM techniques based on polymers in solution or as suspension offer the advantages of processing naturally-derived polymers with inherent bioactive and biomimetic properties to develop production processes with enhanced environmental sustainability. In addition, solution-based AM is the election approach to functionalize materials with drugs and other bioactive agents, such as in the case of hydrogels with controlled porosity and external shape encapsulating cells or growth factors [24, 25], and to endow the polymeric matrix with a nano/microporosity [7, 26]. Great efforts have been also made to develop nanocomposite implants by AM in order to increase the mechanical properties and bioactivity of polymers already in the clinical practice, such as poly(l-lactic acid) (PLLA) and poly( $\epsilon$ -caprolactone) (PCL), as well as other polymeric materials currently under investigation for biomedical purposes [27].

This article aims to provide an updated overview of literature focused on biodegradable polymers tailored to the application of AM in biomedical science and technology. In particular, relevant applicative fields are introduced by highlighting the peculiar advantages and the most challenging issues of AM. The technological and methodological aspects of the different AM techniques applied so far to biodegradable polymers are presented and their specific processing requirements discussed. The different classes of biodegradable polymers under investigation for AM are introduced by highlighting their source of extraction, chemical-physical modification or synthesis route, and their physical-chemical and processing properties in relationship to AM. Relevant literature on their AM processing for medical and pharmaceutical applications is accordingly reviewed. While the range of polymers for AM is being increasing, the article is focused specifically on those that have been more extensively investigated showing promising outcomes for their commercial translation.

## **2 Additive Manufacturing (AM) in Biomedical Science and Technology**

As previously introduced, AM of polymeric materials **has** found application in different biomedical areas, including 3D anatomical models and surgical training, surgical equipment, prosthetics and implants, TE, *in vitro* tissue modelling, and drug discovery (**Figure 1**). The advanced technological level of AM, in terms of automation degree, reproducibility, design freedom of the manufactured details, processing of medical digital images and their accurate reproduction as solid anatomical objects, is fastening its translation to clinical research and practice in different medical branches, including orthopedic, urogenital, cardiovascular, and neurological surgery.





**Figure 1.** Representative examples of AM biomedical applications. (a) 3D anatomical models: picture of poly(d,l-lactide) (PDLLA) mandibular model by FDM [28]. (b) Surgical instruments: picture of customized nylon surgical set by SLS (reproduced with permission [29]). (c) Exoprostheses: multifunctional prosthetic hand prototype made of a fiber-reinforced nylon (Duraform® HST) and fabricated by SLS (reproduced with permission [30]). (d) Surgical implants: picture of porous poly(methyl methacrylate) (PMMA) implants prototypes fabricated by computer-aided wet-spinning (CAWS) and submitted to different post-processing treatments (reproduced with permission [31]). (e) Biodegradable surgical implants: picture of pediatric tracheal splints made of PCL and fabricated by SLS (reproduced with permission [32]). (f) **Scaffold-guided tissue engineering** (TE): picture of a PCL/hydroxyapatite (Hap) **bone** scaffold by FDM modelled on a goat femoral head and equipped with an intramedullary stem (reproduced with permission [33]). (g) 3D tissue modelling: confocal laser scanning microscopy (CLSM) micrograph of a spheroid of human pancreatic cancer cells grown **in vitro** on a 3D microstructured polyelectrolyte complex by CAWS (reproduced with permission [34]). (h) Integrated organ-on-a-chip: CLSM micrograph of a 3D bioprinted hepatic construct with patterns of hepatic cells (green) in gelatin methacryloyl (GelMA) and supporting cells (red) (reproduced with permission [35]). (i) Schematic diagrams of Core-Shell, Multilayer, and Gradient structures (reproduced with permission [36]).

(scale bar, 500  $\mu\text{m}$ ) (reproduced with permission [35]). (i) Drug release systems: picture of polypills with core-shell, multilayer, or concentration gradient configuration of drug loading (reproduced with permission [36]).

**3D models** for anatomical study and surgical training was one the first successful biomedical applications of AM (**Figure 1a**). Different articles have highlighted the potential of physical anatomical models developed by AM for understanding microsurgical anatomy details and acquiring surgical skills without the ethical, legal and infection problems related to cadaver material. Since they are not considered medical devices, anatomical models can be easily manufactured without following any regulation. Patient-specific phantoms and surgical guides have been used increasingly in different surgery disciplines including among others craniofacial surgery [37-40], neurosurgery [41-43], spine surgery [44-46], cardiovascular surgery [47-49], and pelvic surgery [50-52]. Personalized anatomical models are used also as prototypes for designing medical implants and surgical guides, assistive devices when planning and during surgery, as well as means for academic and clinical teaching, communication to patient, and dissemination [53].

**Functional surgical instruments** design and fabrication were recently investigated as potential applications of AM to enhance customization and ease of modification according to a clinician's preference. As an example, Kondor *et al.* [54, 55] developed by FDM a generic surgical set that was functionally tested by laparotomy, splenectomy and suturing on a surgical simulator. A recent study showed that functional surgical instruments fabricated by SLS offer some advantages compared to traditional manufacturing methods, including no cost raising for increased complexity, accelerated design to production times, and surgeon specific modifications (**Figure 1b**) [29].

**Exoskeleton devices and exoprostheses** that can easily fit upper and lower limbs are designed and printed all over the world. AM is bringing different advantages to this therapeutic field including the possibility of making products out of one part, easily personalizing and customizing the device with large design freedom, and producing parts cheaply and quickly [56]. Besides decorative devices, a technologically-advanced field of application in this area is represented by functional prostheses. In particular, a great number of upper limb prostheses with different types of actuation and control of hand kinematics are described in literature and specialized internet websites [57]. Most of these prostheses are available on the market and developed by recurring to different polymers such as acrylonitrile butadiene styrene (ABS), poly(D,L-lactide) (PDLLA), poly(ether ether ketone) (PEEK) and thermoplastic polyurethanes (TPUs) processed by FDM, polyamides 12 and 11 (PA12, PA11) processed by SLS, or

photopolymer resins processed by SLA. For instance, Andrianesis and Tzes [30] recently described a SLS-manufactured anthropomorphic hand chassis made of fiber-reinforced PA12, that integrates a modular actuation system providing the prosthesis with the necessary dexterity, tactile sensing, and controlled digits position (**Figure 1c**).

**Surgical implants** are among the biomedical applications currently benefiting of AM clinical translation. Virtual planning and guided surgery with additive manufactured patient-specific implants are becoming particularly common in mandibular reconstruction.[58] For instance, Tarsitano *et al.* [59] demonstrated that the employment of customized reconstructive titanium plates manufactured by laser sintering technology facilitated restoration of native mandibular contour. The potential of AM for processing biostable polymers already employed in reconstructive surgery has been recently demonstrated, e.g., in an article describing FDM fabrication of customized osteosynthesis plates as well as cranioplasty and maxillofacial implants made of PEEK [60]. Other polymeric devices intended to optimize surgical intervention and planning, such as occlusal splints and surgical guides, are widely employed by clinicians around the globe [17]. The evidence that a porous structure can favor implant integration, as consequence of tissue formation across the material creating a mechanical interlocking that minimizes micromovements and stress shielding effects, is propelling research on direct AM of biostable porous architectures made of biocompatible polymers, such as poly(methyl methacrylate) (PMMA) [31, 61, 62] and PEEK [63] (**Figure 1d**). As in the case of endovascular stents, surgical implants intended for children require to be made of a biodegradable material allowing for the physiological expansion of tissues and organs during child growth (**Figure 1e**). Research on AM application to this aspect recently resulted in the clinical implantation of personalized 3D printed tracheal and cardiovascular splints made of polymers (e.g., PCL) designed to be absorbed into the body [22, 23].

**Tissue engineering** (TE) is by far the most extensively investigated biomedical field of application of AM mainly as a consequence of the strict requirements in terms of scaffold porosity, pore size and anatomical structure not attainable by means of other fabrication approaches [64, 65]. Indeed, TE relies on the implantation of a biodegradable scaffold with an interconnected porous structure, possibly in combination with cells and bioactive molecules, which acts as a temporary template providing mechanical support and cell adhesion substrate to guide the tissue regeneration process (**Figure 1f**) [66]. It is well known that scaffold chemistry, topography, porosity, and mechanical stiffness influence cell shape, cytoskeletal organization, function, protein expression, and differentiation [67-70]. AM techniques customized to TE purposes enable an advanced control over scaffold composition and

architecture at different size scales (from macro- to micrometric scale) in terms of design freedom and resolution, with relevant effects on mechanical and biological properties [21, 65]. Structural control can be pushed down to a sub-micrometer resolution scale by employing hybrid AM approaches and  $\mu$ SLA with the aim of providing nanometric instructive cues to cells [21, 31, 71, 72]. In addition, cutting edge advancements on computer-aided design and manufacturing approaches are leading to the fabrication of clinically-sized, anatomically-shaped scaffolds with a tailored porous structure [73, 74]. Fast progress on novel technological tools for processing a wide array of biomedical polymers and relevant composite materials is resulting in the customization of 3D scaffolds for engineering tissues with different structural and functional features, such as complex solid organs (e.g., liver and bone) and tubular structures (e.g., urethra and blood vessels) [66, 75, 76]. The great efforts spent on this research trend are giving the first clinical results like in the case of PCL devices by FDM implanted in humans for craniofacial and dentistry reconstruction [21, 77].

**3D tissue modelling** for tissue physiology, cancer research and drug evaluation is an emerging field of application of AM that is benefiting of the great knowledge generated by TE research and the resulting powerful technological tools. In particular, the growing interest of the AM community on experimental modelling of healthy and pathological tissues stems from the evidence that 3D scaffolds enable the development of culture microenvironments more closely resembling those found in native tissues in comparison to what achieved with two-dimensional (2D) cell culture systems [78]. Seminal works on polymeric scaffolds for cancer modelling [79-86] showed that tumor cells grown in 3D tend to form proliferative masses or aggregates not detected in monolayer cultures, resulting in different metabolic characteristics and gene expression profiles, as well as in increased tumor proliferation and decreased sensitivity to induced apoptosis. Indeed, 3D scaffolds culture allow more accurate and reproducible information on drug toxicity and tissue chemoresistance for early-stage drug discovery and high-throughput screening of drug candidates [87, 88]. Owing the aforementioned advantages of AM in controlling and customizing scaffold properties, a number of articles have been published on the investigation of additive manufactured systems to develop 3D models of different pathologically-relevant tissues, such as bone metastases [89] and pancreatic cancer [34] (**Figure 1g**). In addition, bioprinting versatility has been exploited to recapitulate certain features of solid tumor tissues, e.g., gradient distribution of chemical and biological factors. In this optic, complex tissue models based on synthetic or natural polymers, cells, growth factors, and well-defined vasculature were developed as systems scalable to mechanistic and high-throughput screening assays related to different biological phenomena, such as wound healing,

vascularization and chondrogenesis [90, 91]. Humanized tumor tissue models in animals were recently developed as alternative to the injection of human cancer cells in order to better mimic species-specific mechanisms occurring in human diseases [92]. This approach involves the ectopic implantation of an additive manufactured scaffold seeded and cultured with human cells in combination with growth or differentiation factors, into an immunodeficient host. Partially humanized organs have been engineered *in vivo* to study prostate or breast metastasis to bone by employing PCL/ $\beta$ -tricalcium phosphate (TCP) composite scaffolds produced by FDM [93] or PCL microfiber tubular scaffolds fabricated by MEW and coated with calcium phosphate (Ca-P) [89, 94, 95].

**Integrated organ-on-a-chip** research is supported by the great progress made by AM in supplying advanced tools for fabricating in a single continuous procedure the four key elements, i.e., a microfluidic chip, live cells/microtissues that will be cultured in this chip, components for stimulus loading to mature the microtissues, and sensors for results readout.[96] In particular, the ability of bioprinting to precisely positioning multiple materials, including hydrogels, cells, growth factors, and even bacteria [97], in a sequential order with a high spatial resolution enables the development of heterogenous and sophisticated microenvironments mimicking those found in native tissues [98] (**Figure 1h**). Fabricating energy actuators (e.g., electrical and mechanical transducers) and sensors on chips to apply stimuli to cells and monitor their activities is an effective way to make micronized organs mature and functional. A few articles described the possibility of integrating on the same chip mechanical strain-inducing actuators and electrochemical sensors, together with complex fluid handling modules [99]. Other microfluidic approaches explored to induce mechanical stimuli to cells on demand involves the employment of magnetic particles [100] or actuators made from memory shape materials [101]. **Drug release** from additive manufactured implants is one of the most challenging aspects that could greatly influence the future trend of AM applied to biomedical polymers [102]. Direct drug loading of AM implantable devices during fabrication has been intensively investigated for hydrogel-forming materials (e.g., crosslinked alginate) and other hydrophilic polymers that can be processed by using aqueous solutions at room temperature [103, 104]. Indeed, most of relevant studies were based on solution extrusion-AM (SE-AM) and BJ, with few examples reporting on drug loading of implants fabricated by FDM, SLA or SLS, mainly by recurring to post-processing loading strategies because of the tough processing conditions required (i.e., high temperatures, high energy laser) that can lead to drug degradation. In particular, SE-AM offers great opportunities for loading either hydrophilic or hydrophobic polymers with a drug by simply adding it as solute or suspension to the solution to be processed at room temperature

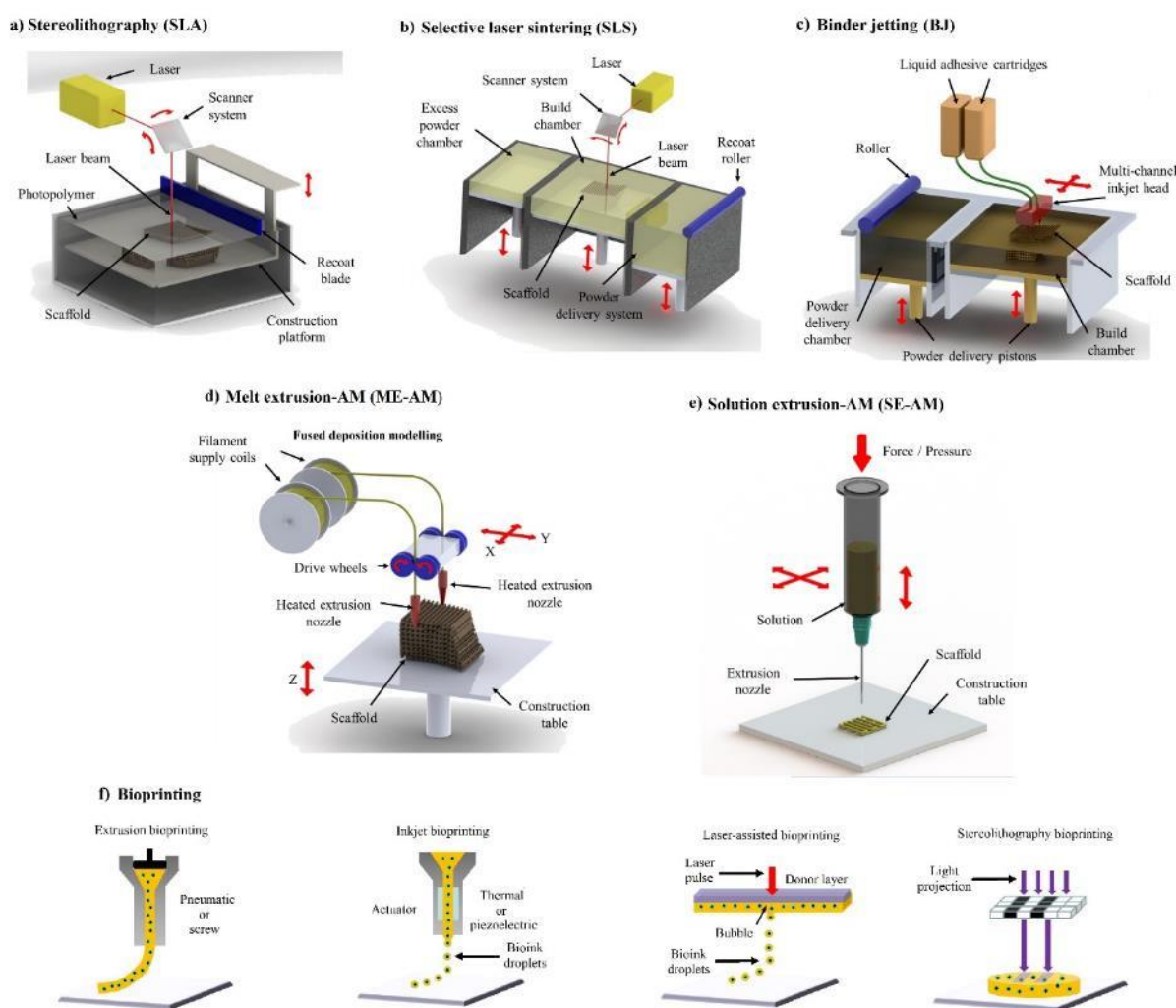
[105, 106]. Advanced pharmaceutical AM technologies can be used to produce controlled drug delivery systems and personalized dosages for the future of personalized medicine [107]. Different oral dosage forms by AM are currently under investigation resulting in the recent approval of the first additive manufactured drug-releasing tablet for oral administration, Spritam®. In particular the versatility of AM has been investigated to tune dosage macroshape [108], drug concentration gradients [109], and complex inner porous structures [110] as a means to control drug release kinetics (**Figure 1i**). The first successful results suggest that AM has the potential to offer high versatility in controlling drug release profiles, personalized customization, complex designs, and multi-drug incorporation, enabling a new paradigm of controlled release and local delivery of drugs. The high technological readiness level of AM techniques will facilitate the maturation of advanced fabrication approaches to drug delivery eventually adhering to GMP-standards and industrial scale-up.

### 3 Polymeric Materials Requirements for AM

In addition to fundamental requirements of polymeric biomaterials (e.g., biocompatibility), biomedical devices should assure structural and functional roles tailored to the specific application they are intended to. As an example, those designed for interfacing with cells and actively supporting a biological regenerative process should provide biochemical and/or physical cues to influence **cell behaviors**. Moreover, each AM technique requires distinct physical-chemical polymer properties in agreement with its processing principles. The employment of polymers in the fabrication of ceramic-based devices (e.g., as binding/reinforcing agents in BJ, sacrificial materials in SLA, or molding systems in indirect AM [111]) further widens the relevant AM requirements window.

This chapter is dedicated to a synthetic description of the fundamental scientific and technological aspects involved in the processing of biodegradable polymers by different AM techniques. Various criteria have been adopted to classify AM techniques on the basis of different aspects, such as the processing principles, the technological solutions, or the physical state of the material. This review specifically deals with techniques applied to biodegradable polymers by following a classification commonly used in the biomedical field in agreement to their working principles, i.e., stereolithography (SLA), selective laser sintering (SLS), binder jetting (BJ), melt extrusion-AM (ME-AM), solution extrusion-AM (SE-AM), and bioprinting (**Figure 2**). Materials processing properties required by each AM technique will be discussed by highlighting advanced strategies for polymer structure design and formulation. The integration of AM with other fabrication methods will be properly presented as an effective

means to develop hybrid polymeric architectures with complementary structural features. The most successful attempts of AM combination with electrospinning [112], salt leaching [113], gas foaming [114], freeze drying [115], and wet-spinning [7] will be described in this and the following chapters, showing how the integration can be achieved at: i) the assembly level by combining substructures fabricated by different technologies into a bi/multiphase construct, ii) at the fabrication level by simultaneously incorporating multiple-length scale networks (e.g., macro-, micro- and nanofeatures) within a single final bi/multimodal scaffold, iii) at the technique level by fusing the working principles of different fabrication techniques within a single, novel hybrid AM technology [10, 11].



**Figure 2.** AM techniques commonly employed for biodegradable polymers processing [65, 98]. (a) Stereolithography (SLA): a light source is irradiated over the surface of a vat filled with a photosensitive resin. (b) Selective laser sintering (SLS): based on selective sintering of a powder bed made of polymer, ceramic or hybrid particles by means of a computer-controlled laser beam. (c) Binder jetting (BJ): a liquid binder is selectively deposited on a bed of polymer, ceramic, metallic or composite powder by means of an inkjet head. (d) Melt extrusion-AM (ME-AM): e.g., fused deposition modelling (FDM), based on the extrusion of a polymeric

filament through a nozzle kept at a temperature higher than the polymer  $T_g$ . (e) Solution extrusion-AM (SE-AM): a polymer, ceramic or composite solution is extruded through a translating nozzle by means of a pneumatic or mechanical-driven dispensing system. (f) Extrusion bioprinting: based on pneumatics or mechanical force to continuously extrude cells suspended in a hydrogel solution; inkjet bioprinting: based on a piezoelectric or thermal actuator to sequentially eject small droplets made of cells and a hydrogel; laser-assisted bioprinting: based on a laser pulse to vaporize a region in the donor layer (top) forming a bubble that propels a suspended bioink to fall onto the substrate; stereolithography bioprinting: based on projected light to selectively crosslink bioinks layer-by-layer.

### 3.1 Stereolithography (SLA)

SLA has been used for years in large-scale industrial processes in the microelectronics sector as well as in the biomedical industry for the production of custom hearing and dentistry devices. One of the main advantages of SLA approaches over other AM techniques is their higher resolution which is limited by the system's optics rather than the extrudate or powder particles size.

SLA, also referred to as vat photopolymerization, is based on the controlled polymerization and/or crosslinking of a photosensitive resin to fabricate an object layer-by-layer by using light, usually in the ultraviolet (UV) and sometimes in the visible (Vis) spectrum [116]. Resin curing can be based on vector scanning SLA, mask projection SLA or two-photon polymerization (TPP). In all cases, a light source is irradiated over the surface of a vat filled with a photosensitive resin. Once a layer is photopolymerized, the build stage is submerged further into the photopolymer vat and the next layer is built directly on top of the previous one (**Figure 2a**). Alternative technological solutions developed for processing polysaccharide derivatives into biocompatible hydrogels, involve pumping PBS after the fabrication of each layer to rinse away partially-reacted resin, and then dispensing fresh monomer to fabricate the next layer [117].

In the case of vector scanning SLA, a laser beam is scanned over the vat surface, while in the case of mask projection SLA, often referred to as digital light processing (DLP), light is reflected off a set of dynamic micromirrors to irradiate simultaneously the entire surface of the photopolymer vat. In both approaches the resin is selectively solidified through photopolymerization reactions wherever the laser is scanned. TPP relies on the simultaneous absorption of two lower energy photons at high wavelength, typically in the range 780–820 nm.[118] In this case, features on the nanometer scale can be achieved, even if the time required to obtain physiologically relevant sizes makes this technique in most of the cases an impractical choice for 3D biomedical implant fabrication [119].



$\mu$ SLA approaches have the potential to create devices with feature sizes in the scale of tens of micrometers and overall dimensions of centimeters [3]. They are based on either high-resolution vector scanning or mask projection systems using UV lamps, LEDs or lasers. A top-down approach in which the light is projected from above the vat, or a bottom-up approach involving light projection from below can be either adopted. A layer of fresh resin with controlled thickness is applied over the solidified layer by means of a process based on dipping, spreading, pumping or gravity.

$\mu$ SLA has been having a great impact on the biomedical market in large-scale production of customized removable teeth aligners (Invisalign®) and hearing aid device parts [120, 121], as well as in different surgery applications such as anatomical models for surgical planning, models and molds for customized implants, custom surgical guides and templates [122]. The tremendous progress made on resolution increasing, and on the variety of photosensitive materials for biodegradable scaffolds fabrication, encourages research on SLA employment for TE [3]. Indeed, as it will be further discussed, the great ability of SLA to control pore size, geometry, porosity and interconnectivity is being exploited to develop advanced materials engineering strategies for regenerative medicine. In addition, the possibility of incorporating cells during the photopolymerization treatment opens new possibilities for the development of living TE constructs and microscale cell patterning strategies [123, 124].

***Materials design and processing requirements.*** SLA resins are composed by reactive monomers, oligomers and/or polymers that polymerize and/or crosslink when exposed to light in the UV or Vis spectrum. The process is carried out in the presence of a suitable photoinitiator and possible additives such as light absorbers, stabilizers, anti-foaming agents, and other functional components (e.g., ceramic particles and polypeptides) [125]. In order to exploit the precise spatial and temporal control achievable by means of SLA techniques, it is necessary to employ photopolymers with minimized shrinkage and distortion upon polymerization. At the same time, the resin should not be thermosetting and its viscosity should be sufficiently low, typically below 5 Pa·s [18, 126], to achieve the necessary flow properties. Low molecular weight (Mw) macromers with relatively low glass transition temperature ( $T_g$ ), and a diluent when needed, are typically employed for this reason.

The diluent can be reactive, like in the case of diethyl fumarate (DEF) [127] or N-vinyl-2-pyrrolidone (NVP) [128] that are employed in the photoreaction of macromers containing fumarate groups. When a non-reactive diluent is employed, for instance in the case of solid or highly viscous macromers functionalized with reactive groups (e.g., acrylates), the cured material can undergo shrinkage upon diluent extraction and drying [129]. Since this shrinkage

is usually isotropic and the designed architecture of the object is preserved, this effect is to be accounted for in the design phase. Various photoinitiators with UV or Vis light absorbance have been tested for applications requiring cell-material interactions, including the UV Irgacure photoinitiators, with Irgacure 2959 showing the least toxicity to different cell lines, and the Vis light initiators lithium phenyl-2,4,6-trimethylbenzoylphosphine and eosin Y disodium salt with increased water solubility [130].

The most employed photopolymer resins for SLA are multifunctional acrylates, methacrylates, and acrylamides submitted to free-radical photopolymerization, as well as epoxides and vinyl ethers submitted to cationic photopolymerization [3, 8]. Photocuring of low-Mw, multifunctional monomers usually results in highly cross-linked networks showing a rigid and brittle behavior. For this reason, a number of articles have focused on the development of macromers with low  $T_g$  and relatively high Mw (1-5 kg/mol) to fabricate flexible and elastomeric porous constructs for biomedical applications [131-133]. Cutting edge advancements in SLA materials science and technology deal with polymers with advanced properties including shape memory [134], ionic conductivity [135], high thermomechanical properties [136], biocompatibility for Class IIA devices [137]. In addition, SLA synthetic materials are often surface-treated by using bioactive coatings, such as calcium phosphates and biomimetic peptides, in order to increase their biocompatibility and favor cell adhesion [138, 139].

Biodegradable SLA materials can be obtained by employing oligomers with hydrolysable ester or carbonate linkages in the main chain, and functionalized with photocurable acrylate, methacrylate, or vinyl functional groups. As it will be discussed in detail later, macromers based on poly(propylene fumarate) (PPF) [140], acrylate-endcapped poly( $\epsilon$ -caprolactone-co-trimethylene carbonate) [141, 142] or poly(trimethylene carbonate) (PTMC) [143, 144], methacrylate [129] or fumaric acid monoethyl ester [145] end-functionalized PDLA oligomers, or divinyl fumarate PCL [146] have been successfully employed to fabricate biodegradable scaffolds. The optimization of physical-chemical, rheological and biological properties of new unsaturated polyester resin formulations is a key aspect for SLA application in the TE field [19]. Research on biostable photocurable resins for biomedical purposes is giving promising results as well, such as in the case of polyethylene glycol diacrylate (PEGDA) hydrogels, which are widely investigated as scaffolds for cells growth [147-149]. Various natural polymers, including gelatin [150], keratin [151], collagen [152], chitosan [153], and hyaluronic acid (HA) [117] have been successfully modified with photopolymerizable pendant groups in order to exploit their biocompatibility and high cellular adhesion for photoreaction-based fabrication approaches. However, although the great progress made on gelatin

methacryloyl (GelMA) to fabricate biocompatible scaffolds [124, 150, 154, 155], functionalized natural polymers for SLA still generally result in either 2D structures or 3D constructs with limited porosity in the direction of printing (Z axis). Indeed, the high-water content and low crosslinking groups density in these resins typically cause high depth of penetration of light, not allowing the fabrication of high resolution features in the print direction even by recurring to UV absorbers [3]. However, the great efforts spent by actors in this research area are leading to significant advances in the development of machines and resins for the next generation of naturally-derived SLA polymers. As an example, soybean oil epoxidized acrylate was recently polymerized by SLA into a highly compatible scaffold with shape memory properties suitable for 4D Printing at physiological conditions [156].

### **3.2 Selective laser sintering (SLS)**

SLS is extensively used across different industrial sectors, including electronics, aerospace, and automotive industries, for prototyping devices, small components, and cost-effective consumer good parts.

SLS is based on the selective sintering of a powder bed made of polymer, ceramic or hybrid particles by means of a computer-controlled laser beam [157]. Once the fabrication of a layer is complete, a new powder bed is mechanically spread over it by means of a roller or a blade system (**Figure 2b**). The powder sintering and spreading process is repeated several times to build up the 3D object layer-by-layer. A high intensity laser beam (e.g., CO<sub>2</sub> laser) capable of raising the bed temperature and bonding the particles within a layer and between adjacent layers is employed. Non-sintered powder serves as support for the subsequent layers, thus allowing the manufacturing of complex geometries without the need of implementing support structures and materials.

Further advantages of SLS over other AM techniques include avoiding the use of solvents, diluents or other reactive agents that can be toxic if not completely removed from the device [158]. On the other hand, post-processing treatments (e.g., air blasting and ultrasonic cleaning) are often required to eliminate non-sintered powder that may remain entrapped in porous architectures. SLS resolution is typically in the range of 100 μm depending on laser parameters. As a consequence of the limited laser intensity applied in order to avoid polymer thermal degradation, a poor control over surface roughness, which is defined by particle size and shape, is generally achieved. For this reason, surface finishing treatments (e.g., milling and coating) are typically applied.

SLS has found application in the biomedical field mainly for manufacturing patient-specific anatomical models, exoprostheses, and biostable implantable devices with complex shapes and

controllable internal architectures [159]. Indeed, the great progress made on SLS in combination with medical imaging techniques is being resulting in fastening and improving the quality of surgical procedures, such as preoperative planning, implant design and surgery operations. In addition, the development of tailored powders made of biodegradable polymers, possibly loaded with osteoconductive ceramics, has widened the application of SLS to TE scaffolds and drug delivery devices. The availability in the market of biomaterial powders for SLS is still scarce and most of the methodologies for their preparation are performed at a laboratory scale. However, the successful implantation in humans of medical devices fabricated by SLS witnessed the tremendous potential of this AM technique for tissue reconstruction and pediatric interventional surgery [21]. Indeed, Oxford Performance Materials has received FDA clearance to manufacture patient-specific PEEK implants (OsteoFab®) for cranial, maxilofacial and spinal surgery [161], while PCL devices are surgically employed to treat infants affected by tracheobronchomalacia [162].

***Materials design and processing requirements.*** SLS is based on laser absorption-induced raising of the local temperature to a level enough to soft and fuse the particles together, typically without causing an actual melting of the polymer. The material processing requirements of SLS concern different aspects including polymer optical, thermal, viscosity, and surface tension properties, as well as particles shape and size distribution [159, 163, 164].

Since the CO<sub>2</sub> laser produces a beam of infrared light, it is necessary that the polymer absorb energy at the laser wave length (10.6 μm). Otherwise an increase of laser energy power can compensate low energy absorption. In the case of semicrystalline polymers, the indicative sintering window can be considered the temperature range between the onset of polymer melting and that of crystallization, as obtained from differential scanning calorimetry [165]. Indeed, it is essential to avoid polymer crystallization that often results in curling or warpage of SLS parts. The fabrication chamber is typically maintained at a temperature just below the melting or softening point of the polymeric powder to speed up and optimize the sintering process by minimizing internal stresses and curl distortions. An inert gas atmosphere in the chamber to hinder oxidative degradation of the polymer during the process is therefore required. In comparison to semicrystalline polymers, amorphous polymers soften gradually over a broader range of temperature between their T<sub>g</sub> and flow temperature. For this reason, they typically present lower internal stresses and distortions upon solidification from melt. However, their melt viscosity tends to be relatively high, resulting in limited coalescence of polymer particles.

Indeed, low values of melt zero-shear viscosity ( $\eta$ ) and surface tension are necessary to achieve an adequate coalescence of polymer particles during sintering [166]. This aspect is of particular importance considering that unlike other melt processing techniques (e.g., injection molding) additional material compacting under pressure is not provided. Temperature effects together with gravity and capillary forces are the only factors providing the driving force for particles consolidation in SLS [13]. A  $\eta$  not low enough is the cause of imperfections and poor surface quality, resulting in brittleness and instability of sintered parts, as often observed in the case of amorphous polymers [164]. For instance, semicrystalline PA12 (nylon-12) ( $\eta=100$  Pas) can be fully densified by SLS but polycarbonate (PC) which is amorphous ( $\eta=5000$  Pas) cannot, even if they show similar surface tension in the melt state [13].

Particles shape, surface and granulometry are crucial parameters since affect powder flowability during spreading to form a bed of a given thickness (usually around  $100\ \mu\text{m}$ ) and with a density suitable for the sintering process. The required powder properties are generally assured by particles shape close to a spherical geometry and a narrow size distribution between  $20$  and  $80\ \mu\text{m}$ , with a low share of fine particles below  $10\ \mu\text{m}$  to avoid their agglomeration [167]. As reviewed by Schmid and Wegener [164], different particle shapes can be obtained by varying the preparation technique. Spherical particles are usually obtained by co-extrusion processes of immiscible mixtures (e.g., oil droplets in water), potato-shaped particles by precipitation processes starting from a polymeric solution, irregularly-shaped particles by cryogenic milling. Polymer/ceramic composite microspheres can be obtained by solid in oil in water (S/O/W) emulsification solvent evaporation methods [168].

Industrial consumption of SLS powder is dominated by PA12 and its blends, with a minor percentage of the market distributed on other polyamides (e.g., PA11) and few other polymers, such as thermoplastic elastomers (i.e., **TPUs** and polyether block amides) and PEEK, not including the so-called commodity plastics, such as poly(ethylene) and poly(vinyl chloride) [169]. The market offer of amorphous polymers for SLS is limited to polystyrene (PS), with few investigations on other polymers such as PMMA [170] and PC [171]. The reason behind this very limited range of materials is that only a successful combination of the aforementioned intrinsic and extrinsic polymer properties allows a new powder to be implemented in SLS.

As previously mentioned, several attempts have been made to process biodegradable polymers by SLS, mainly aliphatic polyesters for TE scaffolds production.[4, 166, 172-174] In particular, SLS of PCL [4, 174-177], PDLLA [166, 172, 178], and poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) [179-181] also in combination with osteoconductive calcium phosphates, has been reported.

### 3.3 Binder jetting (BJ)

Being one of the first AM techniques employed in the biomedical field [182], BJ has been widely investigated for processing biocompatible ceramics and polymers. Although, originally referred to as 3D printing, in this review it will be called BJ in order to avoid misinterpretations related to the frequent use in literature of the term ‘3D Printing’ as synonym of AM.

BJ is based on the selective deposition of a binder, usually in the liquid form, on a powder bed (**Figure 2c**). The binder acts as an adhesive between powder particles to form a solid layer or to bond or fuse adjacent layers together **as well** [8]. A multi-channel inkjet head can be employed to deposit different binders or increase the manufacturing velocity. After the fabrication of each layer, the construction platform moves downward a given distance and a new powder bed is placed on top of the previous one to carry on with the layer-by-layer process until the 3D object building is completed. Like in the case of SLS, the unbound powder acts as a support for the building of the successive layer. Depending of the properties required, post processing treatments, such as depowdering, sintering, infiltration, coating, polishing and machining, are carried out [183]. In particular, post processing sintering and infiltration of a binder are the most common approaches to increase the density and mechanical strength of printed parts.

The liquid binder can be deposited through either drop-on-demand or continuous-jet printheads. In the first case the most common approaches involve ejecting a drop from a nozzle as a consequence of a piezoelectric deformation of the chamber containing the binder or a confined thermal vaporization and subsequent volume expansion of a small liquid volume. Continuous-jet printheads involve pumping the liquid through a gun body equipped with a piezoelectric crystal that creates an acoustic wave generating a constant droplet output.

BJ presents a high versatility in terms of materials selection since it can be applied to powders made of metals, ceramics, polymers, or composites. In addition, the high production rate and the tunable manufacturing scale ranging from millimeter objects that can be scaled up to large-sized models in the meter range, make BJ a flexible technique adaptable to the industrial production [5]. Shape alterations and geometrical defects as consequence of solvent evaporation or post-processing treatments (e.g., dipping in a binder solution [184] and sintering [185]) often required to stabilize the printed structure, are the major peculiar drawbacks of BJ limiting its application. In addition, mechanical properties and surface resolution are lower in comparison to what achieved with other AM techniques, such as SLA and FDM, even after post-processing treatment.

The most investigated biomedical application of BJ is the fabrication of ceramic-based bone implants. Considering the limited ceramic processing versatility of other AM techniques, research on BJ has produced a large body of literature on both *in vitro* and *in vivo* investigation of implantable devices for applications extending from tissue regeneration to bone tumor therapy [111]. In addition, BJ represents the most exploited AM technique in pharmaceutical sciences, as witnessed by the launch on the market of the oral antiepileptic medicine Spritam® [107]. The versatility of BJ in bioactive formulations processing has been also exploited to fabricate polymeric implants made of either a hydrophobic or hydrophilic polymer and loaded with a drug that can be released *in situ* with a controlled kinetics [186].

**Materials design and processing requirements.** In the case of a ceramic or metallic powder, the binder is composed of a solution or dispersion of a polymer or an inorganic material capable to form a film with adhesive properties upon drying or to react with the particle material. For instance, when powders made of a bioceramic or a bioactive glass (BG) are employed, an aqueous solution of a hydrophilic polymer (e.g., hydroxypropyl methylcellulose and dextrin) or an acidic binder able to react with the ceramic (e.g., phosphoric acid and citric acid) is employed [111]. BJ of a polymeric powder is based instead on material swelling under the action of the binder, and the consequent polymer chains interdiffusion and entanglement at the interface between different particles.

Various BJ parameters, including binder composition, powder bed thickness, powder particles size and shape, printing and post-processing parameters, affect the surface morphology and mechanical properties of the fabricated part. Although literature on BJ parameters/device properties relationship is scarce in the case of polymeric powder, general considerations on this topic can be made relying on what experimentally observed for BJ processing of ceramics [183, 187], as well as for polymer powder processing by SLS [163]. Overall, for a given polymer most of the processing requirements concerns the binder characteristics in relationship to the final application.

A polymer binder should have a strong solvating power to achieve effective particles fusion by quickly dissolving them during the time required to print one layer [183]. It typically consists of a good solvent or solvents mixture, e.g., chlorinated solvents for hydrophobic polymers like aliphatic polyesters and aqueous binders for hydrophilic polymers like polysaccharides. The material fusion degree depends also on particles size since by decreasing it the polymer is more easily dissolved. However, particles with a diameter lower than 20  $\mu\text{m}$  are difficult to spread and tend to agglomerate. In general, polymer particles shape, surface and granulometry should

be taken under control to assure suitable flowability of the powder to form a bed of a given thickness and density, as previously considered for SLS.

The binder formulation should possess suitable viscosity and surface tension to properly eject, spread, and infiltrate in the powder bed without wicking out considerably from the impact area, leaving behind a too rough surface. These parameters can be optimized to enhance printing resolution by employing a polymer solution as a binder, as demonstrated by depositing a 10 wt. % PCL solution in chloroform onto a PCL powder bed [188]. Reference values recommended for commercial BJ printers are a viscosity of 20 cPs and a surface tension of 35 dynes/cm. Binder vapor pressure is another key parameter since a too low evaporation rate can worsen the process resolution, while a too fast evaporation rate will likely result in warping of the printed component as a consequence of internal stresses. For instance, warpage effects in polyester parts are avoided by replacing methylene chloride with chloroform as binder, or using a mixture of them. In the case of a binder based on acetone, which has high volatility and a lower solvating power for aliphatic polyesters in comparison to chlorinated solvents, most of the porosity of the original powder bed is likely to be maintained after printing at room temperature. An effective approach to increase the evaporation rate of binders with low vapor pressure is represented by the employment of a warm powder bed.

Besides being the most employed technique for AM of biomedical ceramics, such as osteoconductive calcium phosphates [189-197], BJ has been applied to process also aliphatic polyesters [198] and hydrophilic polymers, such as poly(vinyl alcohol) (PVA), starch, maltodextrin, and cellulose derivatives [8, 199]. In addition to the binding component, the deposited liquid may contain additives such as surfactants, viscosity modifiers, and bioactive agents, to improve the printing process or functionalize the final device. As previously mentioned, this aspect has been widely investigated to develop orally delivered drugs by adding a pharmaceutical active ingredient to the binder formulation. In addition, extensive research has shown that BJ is suitable for the preparation of drug-loaded implants based on different biodegradable polymers including PCL, poly(lactic-*co*-glycolic acid) (PLGA), and their blends [198], PLLA [200], and PDLLA [201]. Advanced approaches allow the combination of multiple drugs within one implant with a relatively long-term release profile [202].

### **3.4 Melt extrusion-AM (ME-AM)**

The Melt-extrusion class of AM techniques (ME-AM) is finding increasing industrial exploitation particularly in the automotive, aerospace, and medical sectors for rapid production of functional prototypes, models, and parts of civil aircrafts or vehicles produced in small number. In particular, they are the most investigated AM techniques for the fabrication of



polymeric scaffolds for TE as a consequence of the good compromise offered in terms of materials selection versatility, design freedom, automation **degree, mechanical properties, and accuracy of deposition details.**

ME-AM comprises a set of techniques based on the controlled extrusion through a nozzle of a polymer kept at a temperature above its  $T_g$  [203-206]. The raw polymeric material can be in the form of a continuous filament, pellets, or powder, depending of the technological solution adopted. The computer-controlled motion of the extrusion head and/or the construction platform allows the deposition of the extruded polymer following a predefined pattern. After the fabrication of each layer, the distance between the extrusion head and the platform increases of a given distance corresponding to that of the designed layer thickness, to obtain a 3D object with a layer-by-layer process.

FDM or fused filament fabrication, the oldest and most diffuse ME-AM technique, is based on the extrusion of a polymeric filament through a heated nozzle (**Figure 2d**). In the case of a complex geometry of the object to be manufactured, two independent extrusion nozzles can be used to simultaneously deposit a support material and fabricate the 3D object. Other AM techniques involving the thermal processing of polymeric materials as powder or pellets are based on different principles of control over molten polymer flow (i.e., pressurized nozzle, force-controlled plunger, or rotating screw), namely 3D fiber deposition [205], precision extrusion deposition (PED ) [204], and bioextrusion [207]. The main advantages brought by these approaches are that they do not require polymer pre-processing into a filament, **as they offer** the possibility of directly developing composite or blend scaffolds by simply feeding a mixture of the components to the machine. On the other hand, the optimization of the fabrication process is often more difficult than in the case of FDM, and a higher temperature and/or a longer residence at high temperature is required to achieve a uniform extrusion.

A number of articles have reported on the integration of ME-AM with electrospinning at a fabrication level in order to develop multi-scale structures by imparting nanoscale features to macroporous scaffolds [112, 207-209]. This approach couples the 3D shape and mechanical stability of macrostructured scaffolds by AM with the enhanced material/cells interaction of micro/nanosized electrospun fibers. In this way, the hierarchically-organized architecture found in native tissues can be recreated to offer greater surface area for proteins absorption and more binding sites to cell membrane receptors [11]. Cutting edge advancements on technological integration of AM with melt electrospinning recently led to the development of a hybrid technique, that is, melt electrospinning in a direct writing way or melt electrospinning writing (MEW) enabling the fabrication of 3D scaffolds with high resolution of the architectural

features [210]. MEW relies on the deposition of a stable electrified molten jet onto a collector translating at a speed ( $\sim 1 \text{ m min}^{-1}$ ) approaching that of the jet [71, 211]. The distance between the spinneret and the collector is typically below 5 cm. Advanced MEW approaches lately resulted in the development of 3D layered polymeric scaffolds composed by axially-aligned submicrometric fibers [212]. In addition, different studies have been carried out to endow a polymeric scaffold with a dual-scale porous structure by a sequential combination of ME-AM and either gas foaming [114, 213] or salt leaching [113].

As it will be described in section 4.2, ME-AM techniques have been extensively investigated for the development of bone scaffolds based on aliphatic polyesters, such as PCL [214], PDLA [215], and PLGA [215, 216] that were thoroughly characterized both *in vitro* and *in vivo*. In particular, successful clinical trials indicate that PCL implants fabricated by means of FDM meet all the requirements needed to receive approval for use in clinical bone reconstruction [20]. Although the majority of scaffolds by ME-AM have been designed for hard TE, a consistent body of literature has been also dedicated to scaffolds for regenerating cartilage and other soft tissues [217, 218].

**Materials design and processing requirements.** ME-AM is generally based on polymer melt/softening and crystallization/solidification to shape the material in the desired form. The polymeric material is processed at a temperature above its  $T_g$ , and above its melting temperature ( $T_m$ ) in the case of semicrystalline polymers. As a direct consequence, polymers intended for this application should meet some basic requirements such as suitable viscoelastic properties and good thermal stability at the processing conditions. For this reason, thermoplastic polymers that hold a long history of industrial melt processing have been rapidly implemented in ME-AM.

The polymer molecular structure and the resulting inter- and intramolecular interactions determine the polymer  $T_g$  and chain stiffness, which together with polymer Mw and polydispersity index affect its rheological properties in the rubbery/melt state. In the case of FDM, filament viscoelastic properties are also fundamental for successful material processing. A too stiff filament will not permit winding onto spools, a brittle filament can snap, and a soft filament can be squeezed between the driving gears, in any case compromising polymer feeding [219]. Most of the commercial FDM machines on the market require a filament diameter of 1.75 or 2.85 mm. In the case of home-made filaments, dimensional consistency (e.g.,  $1.75 \pm 0.05$  mm) should be assured in order to have a constant feed rate during fabrication.

Common feedstock materials for FDM include amorphous thermoplastics, such as ABS. Extending FDM technology to semicrystalline polymers has been more challenging due to

warpage of the deposited part, often occurring as a consequence of crystallization during cooling [220]. Indeed, polymer chains drawing to form more dense, crystalline regions results in shrinkage of the printed object that can warp and become detached from the build platform, reducing its final quality. Anyhow, residual stresses and part warpage can be consequences of thermal gradients within FDM-manufactured objects made of either amorphous or semicrystalline polymers. Manufacturing in a heated chamber often represents a successful means to reduce thermal gradients within the printed material. Loading the polymeric matrix with fillers characterized by high thermal conductivity (e.g., carbon fibers) has been also employed to vary material thermal expansion coefficient. This approach has been found to reduce distortion or warpage effects, die swell, and to influence polymer crystallization rate and crystallinity [220, 221].

The key to achieve high mechanical strength of the final printed part is a successful interdiffusion and re-entanglement of the polymer chains across filament-filament interfaces, as the melt rapidly cools towards its  $T_g$  [222]. Interface polymer welding is affected by the macromolecular structure and morphology (i.e., amorphous or semicrystalline) and by different processing parameters, such as the deposition speed, extrusion temperature and environmental temperature. Indeed, thermally-driven filaments welding is affected by polymer chains alignment under extrusion shear forces as well as by post-extrusion anisotropic stresses acting on polymer melt during its deposition. Thermostatically-controlled printing chamber and deposition platform are technological solutions effective to control the non-isothermal polymer chain diffusive process during FDM. While molecularly-aware models and experimental investigations of this aspect have been reported for amorphous polymers [222-224] there is still a gap in literature addressing tools to predict deformation and welding behavior of semi-crystalline melts in FDM.

PLLA is the most used semicrystalline polymer for FDM filaments thanks to its  $T_m$  low enough to carry out melt extrusion outside of a dedicated facility and, at the same time, high enough for manufacturing objects that retain their shape at average use temperatures. However, PLLA has been found to partially degrade during thermal processing, particularly when a long residence at high temperatures is required like in the case of pneumatic direct extrusion-based systems [225]. Although FDM allows minimizing the thermal residence time in comparison to other melt extrusion techniques, polymer processing for filament preparation can anyhow involve thermal degradation. In addition, commercial PLA filaments may contain inorganic additives that could react during processing causing changes in polymer macromolecular structure and morphology [226]. Careful drying to remove residual water that can induce melt

hydrolytic degradation, purging with inert gas during extrusion, and thermal stabilizing additives are precautions recommended whenever this risk exists [227]. PCL has also received great interest as biomedical polymer for FDM due to its superior rheological and viscoelastic properties over many of its resorbable-polymer counterparts, which render it easy to manufacture and manipulate into complex macroshapes and microstructures [228]. Although this class of AM techniques is not the election one for fabricating drug-loaded devices, a number of polymers of common use in pharmaceutical formulation, including PCL, PLLA, PVA, poly(ethylene glycol) (PEG), cellulose derivatives, ethylene vinyl acetate, and poly(vinyl pyrrolidone) (PVP) have been evaluated for this application [229, 230]. In addition, a range of biostable biomedical polymers including PEEK, poly(ether ketone ketone), poly(ether imide), polysulfone, ABS, and PMMA are commercially available as filaments for FDM.

### 3.5 Solution Extrusion-AM (SE-AM)

SE-AM represents the most versatile and employed processing approach to plot hydrogel scaffolds [231], possibly laden with cells or cells aggregates (see section 3.6). In addition, the possibility of avoiding material thermal treatment as well as developing advanced structural and pharmacological functionalization strategies widens the range of applications of SE-AM by including hydrophobic polyesters processing [7].

This class of AM techniques is based on the controlled extrusion through a nozzle of a polymeric solution or suspension by means of a pneumatic or mechanically-driven dispensing system (**Figure 2e**). The extruded material is generally deposited in the form of a continuous filament following a pattern defined by the motion of the extrusion head and/or the building plane. Polymer solidification/gelation can be achieved by means of different mechanisms, including among others: drying through solvent casting [232], physical coagulation in a non-solvent bath [26], ionotropic gelation [233], chemical cross-linking [234], photocrosslinking under UV light [235], and polyelectrolytes complexation [236].

Mechanically-driven dispensing systems rely either on a piston or a rotating screw. The piston-based approach generally results in better control of material feeding rate in comparison with *i*) pneumatically-driven systems in which a delay related to gas volume compression can occur, *ii*) screw-driven systems which are generally indicated only for viscous materials due to the high shear stresses involved. Technological solutions based on arrays of nozzles that can deposit multiple filaments were recently designed to fabricate thick samples in short time [237].

Typical resolution achievable with these techniques is on the scale of hundreds of microns in the case of hydrogels, and can be pushed down to tens of microns by employing aliphatic polyesters [238, 239]. However, the recent application of the layer-by-layer stacking principle

to solution electrospinning, is fastening the translation of AM resolution to a viable micro/nanoscale [240]. Indeed, advanced technological approaches commonly referred to as electrofluidodynamic printing (EFDP) or near-field electrospinning, comprising tailored processing conditions (e.g., short working distance, high translation speed of the fiber collector, low voltage, and high-precision solution feeding) allows enhanced control over high-resolution polymer patterns whose large surface area can be exploited for instance to provide nano/microstructural instructive cues to cells [241-247]. In addition, in this way it is possible to implement AM with the great versatility of electrospinning in terms of drug loading approach and control over drug release kinetics [248]. Similarly, research on the integration of AM with electrofluidodynamic techniques for particles fabrication is aimed at the controlled deposition of assembled polymer particles structures possibly encapsulating cells [249].

SE-AM avoids the shortcomings associated with high temperature processing, particularly the risk of materials thermal degradation. Other relevant advantages include the possibility of processing natural polymers into 3D hydrogels with a controlled shape and porosity, loading the polymeric device with a bioactive agent through direct blending methods, carrying out proof of concept studies with few amounts of polymer (e.g., less than 1 gram), obtaining a dual-scale porosity through integration with other processing techniques [10]. Indeed, hybrid AM techniques integrated at a fabrication level with either wet-spinning [7] or freeze drying [250] enable the combination of an interconnected network of macropores determined by the designed lay-down pattern, with a microporosity in the polymeric matrix that can be pushed down in some cases to the nanometer scale [31]. In particular, the local microporosity can be obtained by extruding an organic solution of a synthetic [26, 251, 252] or natural [238, 253, 254] aliphatic polyester into an ethanol bath, or through the cryogenic extrusion of a polysaccharide [115, 250], polyester [255, 256] or protein [257] solution followed by lyophilization. The size and concentration of the resulting micropores can be tuned in a certain range, particularly in the case of computer-aided wet-spinning (CAWS) by acting on processing and post-processing parameters, in order to tune specific material properties depending on porosity (e.g., biodegradation rate, material/cell interaction and release of loaded drugs). On the other hand, it should be considered that the generation of a microporosity leads to a lower material stiffness as a consequence of the increased specific void volume.

Major shortcomings of this class of techniques are related to the employment of organic solvents with their inherent environmental and industrial impact. In addition, there are risks associated to residual solvents which, if not completely removed, may compromise the biocompatibility of the device, besides altering bioactive factors loaded in the polymer matrix.

However, proper post-processing protocols based on vacuum treatment, washing and drying are effective in completely eliminating organic solvents from polymeric devices [31, 258].

***Materials design and processing requirements.*** As a consequence of the great variety of physical-chemical approaches included in this class of techniques, peculiar materials processing requirements need to be defined depending on the different solidification/gelation mechanisms adopted.

Different technological designs based on extrusion in air have been developed to plot a broad range of materials including solutions of linear or highly branched polymers, acrylic dispersions, inorganic solutions, and inorganic pastes combined with polymeric binders that are then sacrificed by sintering the green part [8]. Particularly referring to biodegradable polymers, tailored SE-AM approaches were optimized to process synthetic aliphatic polyesters [256, 259-261] and/or polysaccharides [115] through their extrusion and controlled deposition in air. An organic solvent that evaporates upon printing eventually resulting in material solidification is typically employed when a hydrophobic polymer is processed. If the solvent vapor pressure is too high or too low, processing shortcomings, such as needle clogging, layered structure distortion or fusion, and unwanted porosity defects can occur upon extrusion [262]. The polymer concentration should be tuned in order to have solution viscosity and surface tension suitable for maintaining the 3D shape of the polymer strand after its deposition. A too high viscosity can hinder a continuous solution feeding, besides causing a too fast solidification of the polymer [260]. Samples with limited thickness are typically obtained by extrusion in air due to the aforementioned constraints on solvent and polymer concentration selection. In the case of integration of SE-AM with freeze drying, a solvent with a relatively high melting point (e.g., 1,4 dioxane for hydrophobic polymers, and water for hydrophilic polymers) is necessary to optimize the lyophilization process [255]. Once the aforementioned polymer solution variables have been fixed, a set of deposition and environmental parameters, including deposition velocity, solution feed rate, and environment temperature, need to be optimized. In the case of EFDP approaches, the basic electrospinning requirements related to solution, electric field and environment variables, analyzed in depth by a vast literature [263, 264], should be specifically considered in relationship to the peculiar technological solutions adopted. For instance, the reduced jet travelling distance related to the short working gap typically employed in EFDP (e.g., 500  $\mu\text{m}$  to 3 mm to have a stable cone-jet mode) [240] should be taken into account to select a solvent with a suitable volatility.

AM approaches relying on polymer solution physical coagulation in a liquid medium are typically based on a non-solvent-induced phase separation (NIPS). Any hydrophobic or

hydrophilic polymer suitable to be processed by wet-spinning for fibers production can be in theory processed by CAWS [7]. High solvent/non-solvent miscibility is a fundamental requirement for NIPS to take place since it is based on polymer desolvation caused by solvent–non-solvent counter-diffusion. In addition, by employing a polymer concentration higher than a critical threshold, the polymer solution can separate into a polymer-lean phase dispersed in a continuous polymer-rich phase, resulting in the formation of a microporous matrix after polymer solidification. Different variables such as polymer concentration, coagulation bath temperature and composition, and deposition parameters (e.g., deposition velocity and solution feed rate) affect thermodynamics and/or kinetics conditions of the NIPS process and, as consequence, can be exploited to tune the macro- and micromorphology of the resulting construct [7]. Warping effects are often observed both for amorphous (e.g., PMMA) [31] and semicrystalline (e.g., PHBHHx) [238] polymers due to free volume variations during coagulation. Variation of processing parameters (e.g., warming up the coagulation bath) and post-processing treatments (e.g., slow drying) can be effective in minimizing residual coagulation stresses in the layered structure. CAWS has been widely investigated to process a range of polyesters either synthetic [26, 105, 251, 252] or derived from natural resources [238, 239, 253, 254, 258], as well as polyelectrolyte complexes of chitosan and poly( $\gamma$ -glutamic acid) [34, 236].

Besides complexation of polyelectrolytes, various specific crosslinking strategies can be pursued to confer additive manufactured hydrogels with the physical stability necessary to maintain a 3D porous structure and shape. The ability of different natural polymers to undergo physical crosslinking upon extrusion in a liquid medium, through straightforward mechanisms (e.g., temperature-dependent physical gelation of gelatin [265] and ionotropic gelation of alginate in the presence of divalent cations [266]) can be exploited for AM. However, chemical crosslinking is often required to achieve acceptable morphology control and reproducibility. Indeed, as consequence of their poor mechanical properties and hydrophilic behavior, in most of the cases natural hydrogels cannot maintain a filament shape for the required time and eventually collapse under the weight of upper layers during fabrication.

Different chemistries have been proposed for hydrogel crosslinking, such as photoinitiated reactions giving good temporal and spatial control with various naturally-derived macromolecules [124, 267]. Once grafted onto the functional residues of the polymer chain, photoactive moieties can induce the formation of covalent crosslinks when exposed to a specific wavelength of light in the presence of a photoinitiator. In particular, free radical polymerization of acrylate derivatives of proteins and polysaccharides has been widely investigated to design

novel photoreactive inks also suitable for fabricating cell-laden constructs [268, 269]. Synthetic polymers are also investigated for AM of biomedical hydrogels with enhanced processing and mechanical properties. For instance, Liu and Li [270, 271] recently developed a novel strategy based on UV exposure of an extruded pre-gel solution to form a polymeric double network hydrogel composed of covalently crosslinked polyacrylamide and ionically crosslinked k-carrageenan. In any case, concerns about the non-degradability of the backbones resulting from free-radical chain-growth crosslinking as well as the biocompatibility of the preparation process are often raised [272].

Photoinitiated thiol-ene chemistry has been recently implemented in AM as an alternative crosslinking strategy for natural polymers [268, 273]. It is based on dimerization of thiols with reactive carbon-carbon double bonds. In this case the polymerization follows a step-growth radical mechanism resulting in high conversion of functional groups and low polymerization shrinkage. In comparison to free radical polymerized diacrylates, a lower radical initiator concentration is required, the reaction kinetics is generally more rapid, and the photoinitiated thiol-ene can form more homogeneous hydrogel networks [274, 275]. In addition, biodegradable and bioactive molecules containing thiols can be straightforwardly conjugated to the network as pendant groups or crosslinking bridges. Examples of hydrogels fabrication based on thiolated natural (e.g., alginate [274] and gelatin [273]) or synthetic polymers, e.g., poly(glycidol) [276], are reported in studies focused on AM combined with UV exposure.

Hydrogel solutions usually behave as a non-Newtonian shear thinning fluid, whereby an increase in shear rate leads to a decrease in shear viscosity as consequence of chains disentanglement related to attenuation of molecular interactions [277]. Functionalization of proteins and polysaccharides through acrylate derivatization of pendant groups can lead to variation in their solution rheological properties. This is often the case of GelMA showing increased viscosity in comparison to the native polypeptide as a consequence of the increase in lysine side chain length, resulting in increased chains entanglement and intermolecular interactions [278]. Such rheological modifications can on one side help in stabilizing the extruded filament and on the other cause experimental issues such as needle blockage or discontinuous extrusion.

An effective strategy to process hydrogels with low elastic modulus and avoid their collapse is printing and embedding the material within a second hydrogel support bath.[279] Indeed, by selecting a medium with a density close to that of the printing material, it is possible to achieve low-gravity conditions in which soft hydrogels can easily maintain the intended 3D geometry.



### 3.6 Bioprinting

Bioprinting, also referred to as organ printing, enables patterning and precisely placing biologics, including living cells, nucleic acids, drug particles, proteins and growth factors, to recapitulate tissue anatomy, biology and physiology. The tremendous scientific, diagnostic and therapeutic potential of bioprinting technologies is witnessed by relevant fast-growing literature, together with the marketing of bioprinters and bioprinted tissues for different applications, ranging from TE and *in vitro* modelling to pharmaceuticals and high-throughput screening [280]. Bioprinting is based on the ejection from a reservoir of a bioink composed by living cells or cells aggregates suspended in a medium, possibly in combination with biomaterials and bioactive molecules [281]. The different bioprinting techniques applied within the scientific community can be classified on the basis of the mechanism adopted to control the deposition. In particular, extrusion, inkjet and laser-assisted bioprinting are the most employed ones (**Figure 2f**). Analogous attempts of photopatterning cells-encapsulating hydrogels by means of SLA have been reported [282]. In this case, Vis light-based systems are also investigated in order to prevent cells damage related to UV light irradiation [124].

Extrusion bioprinting is based on pneumatically- or mechanically-controlled extrusion of the bioink through a nozzle, as described in the previous section. Pneumatic and piston-based dispensing systems are commonly used to achieve high cell viability, rather than screw-driven dispensing that generates larger pressure drops at the nozzle with potential harm to cells [231]. Multiple-nozzle extrusion systems have been also developed to process and combine different hydrogel materials into a sophisticated living construct and exploit advanced crosslinking strategies. For instance, two-nozzle systems were employed to develop double-hydrogel crosslinking strategies (e.g., ionotropic and enzymatic crosslinking) [283-286], and to combine natural and synthetic polymers with different processing requirements into a hybrid scaffold [287-289]. Extrusion bioprinting processes can rely on hydrogel shear-thinning properties, physically/chemically-triggered gelation inside a liquid bath, extrusion inside a pseudoplastic or granular bath, or simultaneous dispensing of a bioink and a crosslinking agent using co-axial needles assemblies [290].

Inkjet bioprinting involves ejecting a bioink from a nozzle in the form of droplets (~10-50  $\mu\text{m}$  in diameter) to a building surface [291]. The bioink is forced through the nozzle under the action of a pressure commonly generated by either a piezoelectric actuator or a thermal element that superheats the ink and creates an expanding vapor bubble. Alternative technological solutions are based on electromagnetic pin actuators, or interdigitated gold rings generating acoustic waves. The bioink is typically composed by a suspension of cells in a solution of a polymer that

is either physically or chemically crosslinked upon deposition. Other strategies involve ejecting cells suspended in a solution of a crosslinking agent (e.g.,  $\text{CaCl}_2$  or thrombin) over a biopaper substrate (e.g., alginate or fibrinogen) which is crosslinked upon bioink deposition [292, 293]. Laser-based bioprinting involves coating a bioink layer with a laser-absorption layer (e.g., titanium oxide or gold) which is fixed to an optically-transparent support (e.g., glass). Laser pulses are focused through the glass side into the absorbing layer which heats either evaporating or decomposing a small portion of the hydrogel in contact with it [294-296]. The generated high-pressure bubble propels a small droplet of bioink towards a building stage through an orifice-free process.

A vast literature is focused on the development of bioinks for the aforementioned techniques, based on either natural polymers and their derivatives (e.g., gelatin [124] and collagen [297]) or synthetic polymers (e.g., PEG [298], pluronic [299], and PVP [300]). They have been combined with a wide array of cells for the engineering and *in vitro* modelling of health and pathological tissues such as bone, cartilage, cardiac tissue, nerves, and lung tissue, as well as ovarian and cervical cancer [280].

The main advantages of these techniques are related to the possibility of directly fabricating customized living tissue engineered constructs with timely and spatially-controlled deposition of cells and other biologics. This allows the development of controlled biomimetic microenvironments by exploiting the self-organizing properties of cells and tissues. Currently, the applicative potential of bioprinting is mainly related to *in vitro* applications rather than surgical approaches. Indeed, before bioprinted organs are ready to be transplanted into humans, several important ethical concerns and regulatory issues need to be addressed [301]. Aspects like risks of significant harm associated with human testing, **lack of a specific regulatory framework, combined with ethical** questions of irreversibility, loss of treatment opportunity, and replicability still represent restrictions to bioprinting for humans.

***Materials design and processing requirements.*** The strict processing requirements related to printing living materials through a mild and cell-friendly process, greatly limit the range of technological solutions and materials for bioprinting [291, 302]. FDM and SLS do not meet bioprinting requirements since high temperature processing conditions are unsuitable for cell viability. As previously mentioned, a few attempts on SLA-based bioprinting have been made. Although Vis light employment allows preventing UV-related cell DNA damages, concerns related to cytotoxic photoinitiators, photoreactive oligomers, and crosslinking agents still exist. For these reasons, extrusion and inkjet bioprinting are the most exploited techniques along with a number of studies showing that laser-assisted bioprinting can be suitably employed to print

cell-laden hydrogels. As it will be discussed in detail throughout the whole paper, various natural polymers, including proteins and polysaccharides from different sources, have been successfully employed in combination with crosslinking agents, cells, growth factors and other proteins to develop bioinks designed for bioprinting.

Bioink properties are generally tailored to meet the peculiar technological requirements and constraints of a selected fabrication technique. In general, a non-Newtonian shear thinning behavior is fundamental for extrusion and inkjet bioprinting of cell-laden hydrogels in order to achieve high print fidelity and cells viability [303, 304]. In any case, bioink viscosity is a crucial parameter that needs to be optimized considering that highly viscous polymer solutions on one side can hold the deposited shape for long time with high print fidelity, on the other side they require higher pressure to be processed resulting in higher stresses acting on cells and obvious limitations on gauge size and print resolution. This issue becomes relevant in the case of inkjet bioprinting that involves relatively high shear rates ( $10^5$ - $10^6$  s<sup>-1</sup>), while in the case of extrusion bioprinting the processing viscosity window is much wider. Reference bioink viscosity ranges are 1-10 mPas for inkjet bioprinting, 30 to  $6 \times 10^7$  mPas for extrusion bioprinting, and 1–300 mPas for laser-assisted bioprinting [291].

Another relevant limitation is on cell density which is typically maintained lower than  $10^6$  cells·mL<sup>-1</sup> to avoid constraints such as cell settling and sedimentation, nozzle clogging, droplet spreading, and worsened resolution [305]. Concerns about the deleterious effects on cells viability of high temperatures ( $\sim 300$  °C) generated in thermal inkjet have been overcome by modern technologies in which thermal heating lasts few microseconds ( $\sim 2$   $\mu$ s) [306]. In laser-assisted bioprinting the volume of the transferred material as consequence of laser pulse absorption is a function of the laser energy and spot size, biomaterial composition, and coating thickness [294]. In general, only the small fraction of the bioink in the immediate vicinity of the absorbent layer is volatilized and the bulk biomaterial undergoes only little to no heating.

The hydrogel molecular design strategies outlined in section 3.5 should take into account constraints related to the use of cells in the case of bioprinting. Many approaches exploit the capability of different natural polymers to form physically-stable hydrogels under mild conditions, such as in the case of ionotropic gelation of alginate or enzymatic crosslinking of fibrinogen. As previously discussed, photoinitiated radical chain polymerization of acrylate derivatives and step-growth thiol-ene reaction are the most exploited strategies to chemical crosslink cell-laden hydrogels during extrusion or after deposition, as well as for direct SLA biopatterning. Advanced approaches rely also on combined photoreactive acrylate and thiol-ene chemistries to develop complex living constructs starting from bioinks with low viscosity

[268]. In any case, a good compromise among physical printability related to bioink rheology, mechanical stability given by polymer crosslinking, and biological functionality as a result of cells viability and migration in the polymer network, is a challenging bioprinting aspect. Representative examples of efforts spent on this topic are studies on bioprinted GelMA optimized through two-step thermo/photo-crosslinking strategies [269] or new Vis light photoinitiating approaches [307].

As it will be described in detail in section 4, various proteins including collagen, gelatin, fibrin and silk fibroin, and polysaccharides including alginate, cellulose, chitosan and agarose, have been widely investigated for bioprinting resulting in the development of a large number of bioink formulations currently under investigation or on the biomaterials market. Multiple polymers bioinks (e.g., alginate with gelatin/fibrin, cellulose with alginate) have been often employed to meet different processing, mechanical, and functional requirements necessary to develop biomimetic tissue-like constructs [308].

#### **4 Biodegradable Polymeric Biomaterials for AM**

The most widely investigated polymeric materials for AM biomedical applications are those susceptible to be degraded in physiological environments. As previously discussed, this is justified by the tremendous advantages of AM over other materials processing techniques in controlling key compositional, structural, and functional parameters of polymeric systems designed for TE and other advanced biomedical applications.

The following sections are dedicated to an overview of the most exploited classes of polymers in this context, obtained from natural sources or through synthetic routes. Critical aspects regarding their extraction or synthesis, purification, and physical-chemical modification to make them processable by means of specific AM techniques are highlighted. Cutting edge advancements on their development into functional biomaterials are outlined by discussing representative articles focused on relevant key aspects.

##### **4.1 Polymers from Natural Sources**

After the oil crisis in the seventies, the use of polymers that could be obtained from renewable resources and eventually susceptible of degradation in the environment was seriously considered as a reliable industrial alternative in agreement with the increasing awareness of ecological issues. The parallel growing interest on regenerative therapeutic approaches, as well as on controlled and targeted pharmacological strategies involving the employment of biodegradable materials has further encouraged research on polymers derived from natural sources. Specifically referring to biomedical applications, natural polymers offer different

advantages over their synthetic counterparts, generally including intrinsic biological signaling, surface chemistry promoting cell adhesion, and cell-responsive degradation and remodeling. In addition, the pendant functional groups present in the repeating units of proteins and polysaccharides provide many options for chemical derivatization tailored to bioactive functionalization [309].

One of the most challenging aspects involved in the biomedical application of naturally-derived polymers regards their shaping into a given geometry and a porous architecture that can be maintained for predefined time under physiological, aqueous conditions. For this reason, a large body of literature has been dedicated to physical-chemical modification of natural materials aimed at their processing into devices with adequate physical properties. An appealing aspect of many natural polymers is the possibility of forming biocompatible hydrogels providing a highly hydrated 3D environment for the cells. Additive manufactured hydrogels made from proteins (e.g., collagen and gelatin) or polysaccharides (e.g., alginate and chitosan) are commonly employed for TE and other biomedical applications. As it will be discussed in depth in the following sections, the huge progress made by biomaterials researchers has enabled the successful application of different AM approaches to naturally-derived polymers, including SLA of photocrosslinkable derivatives of proteins and polysaccharides, BJ of hydrogels using water as a binding agent, and extrusion of hydrogel-forming aqueous solutions. Indirect AM has been also proposed as an effective tool for the production of self-supporting porous hydrogels with a predefined shape. For instance, Van Hoorick *et al.* [310] employed an additive manufactured sacrificial polyester scaffold that was selectively dissolved after UV crosslinking of the infiltrated hydrogel phase.

As previously introduced, natural polymers are more challenging to build into scaffolds by AM in comparison to synthetic polymers, and generally result in poor resolution, particularly along the print (build) direction. However, cutting edge advancements on hydrogels physical-chemical tailoring are showing the possibility of integrating an enhanced fabrication reproducibility and resolution with the superior biocompatibility and bioactivity of natural polymers. In addition, the recent application of AM to microbial polyesters [311] is leading to the development of the next generation of load-bearing scaffolds with physical and mechanical properties comparable to those of synthetic aliphatic polyesters, but complying with the concept of sustainable development.

The most investigated proteins, polysaccharides and microbial polyesters in this field are following described by highlighting the different strategies developed to optimize their AM processing into biocompatible and biofunctional devices (**Table 1**). Although also other

naturally-derived macromolecules are employed as blend components for AM due to their biomechanical (e.g., elastin [312]) and gelation properties (e.g., agarose [313]) this review specifically focuses on those polymers that have been giving the most promising results as main material constituent.

**Table 1.** Naturally-derived biomedical polymers for AM.

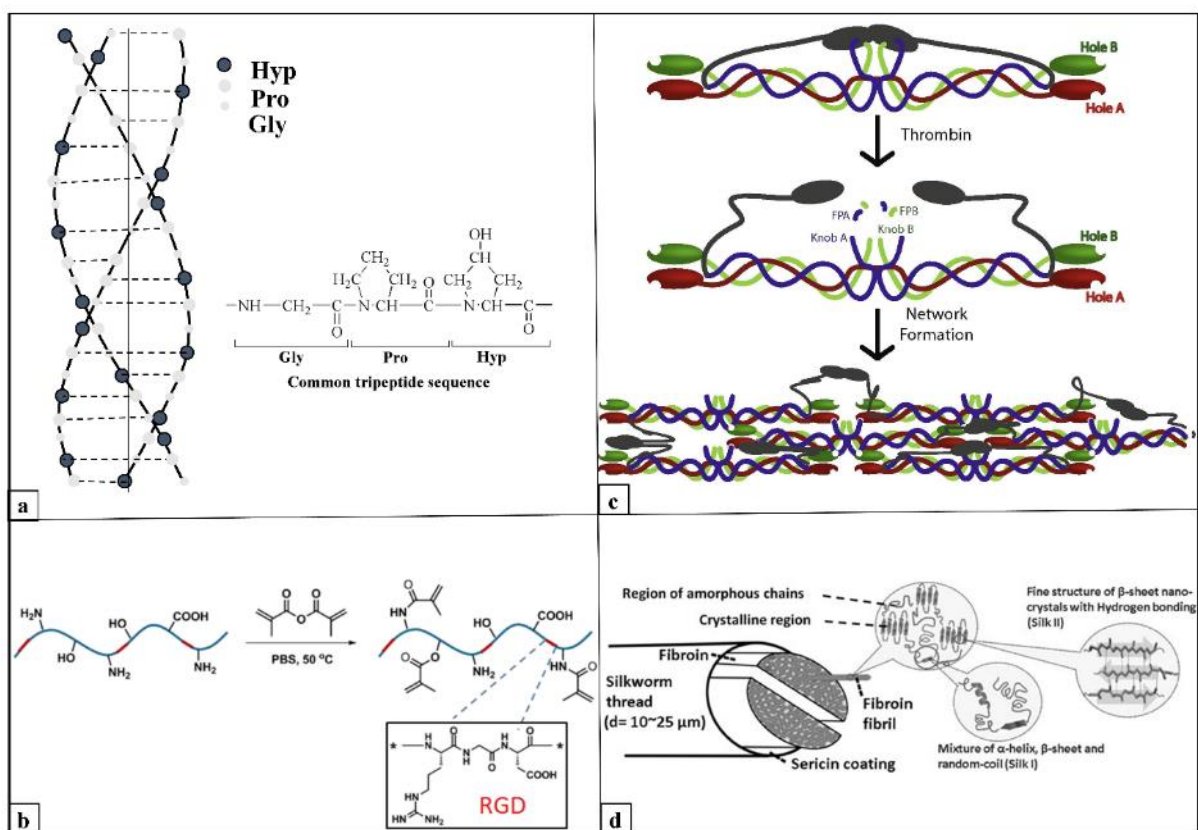
Polymer	AM technique	Processing conditions and material design
Collagen	SE-AM (bioprinting)	-Extrusion and stabilization of collagen solutions through: cryogenic plotting [257, 314], <i>in situ</i> crosslinking [315], hybrid inks (e.g., fibrin/alginate–collagen) [316, 317], high density collagen dispersions at controlled pH [318, 319], heated printing chamber [297].
	BJ	-Collagen dissolved into the binder solution plotted onto a ceramic bed [320].
Gelatin	SE-AM (bioprinting)	-Extrusion of: a warm solution containing gelatin into a cold environment and stabilized through chemical crosslinking [234], enzymatic crosslinking [321] or combination with other natural polymers (e.g., alginate [322, 323], fibrinogen [24], chitosan [324] and HA [325]) suitable to be more stably crosslinked; a solution of GelMA followed by post-processing UV crosslinking [124, 235, 307]; a solution of norbornene-modified gelatin solutions followed by photocrosslinking [273, 326, 327];
	SLA	-Photocrosslinking of: GelMA [19, 31-33]; a thiol–ene clickable derivative (e.g., allylated gelatin) [273].
Fibrin	Bioprinting	-Controlled deposition of a thrombin solution containing cells or growth factors onto a fibrinogen substrate used as a biopaper [293, 328, 329]. -Mixing fibrinogen/thrombin at low temperature in a dual-chamber single nozzle extrusion system just before their deposition [330]. -Controlled deposition of a solution containing fibrinogen and other hydrogel-forming materials (e.g., gelatin and HA) followed by enzymatic crosslinking [331-333].
Silk fibroin	SE-AM (bioprinting)	-Controlled deposition of aqueous solutions of fibroin: stabilization with glycerol [334], blending with other natural polymers acting as (sacrificial) bulking agent amenable to be physically [335-337] or enzymatically [338] crosslinked.
	SLA	-Photocrosslinking of methacrylate derivatives of fibroin [339].
Chitosan	SE-AM	-Acetic acid solution of chitosan: NaOH pipetting on deposited fiber [340]; extrusion into a coagulation bath [341, 342]; optimizing acid solution composition (acetic acid/lactic acid/citric acid) followed by post-processing NaOH immersion [343, 344]; cryogenic deposition followed by lyophilization/gelation [345-347]. -Polyelectrolyte complexation: extrusion of a PEC suspension into ethanol [34, 236]; extrusion of a chitosan suspension in an alginate solution and post-processing pipetting of HCl [348].
	SLA	-Combination with photocrosslinkable polymers (e.g., PEGDA) [153].
Alginate	SE-AM (bioprinting)	-Extrusion of: an (cell laden) aqueous solution of alginate stabilized through submerged crosslinking [233, 349-353] or aerosol spraying [354]; norbornene functionalized alginate and UV-induced thiol-ene crosslinking [274].
	SLA	-Photoreticulation of alginate derivatives with pendant phenolic hydroxyl groups [267].
Cellulose	SE-AM (bioprinting)	-Extrusion of aqueous solutions/suspensions containing cellulose: blending with physically-crosslinkable polymers (e.g., alginate [353, 355-358]), coagulation by means of a non-solvent [359], chemical crosslinking [360, 361], extrusion of cellulose acetate dissolvent in a highly volatile solvent followed by cellulose regeneration [362].
	ME-AM	-Cellulose fillers (e.g., nanocrystals, fibers and wood flour) for thermoplastic polymers (e.g., PLLA, PCL or PVA) [363].
	SLA	-Cellulose nanofillers for stereolithographic resins [364, 365].
Hyaluronic acid	SE-AM (bioprinting)	-Extrusion followed by photoreticulation of: HA solutions containing photocrosslinkable hydrogels (e.g., GelMA [366] and hydroxyethyl-methacrylate-derivatized dextran [367]); HAMA formulations containing other polymers (e.g., Gel-MA [368] and poly(N-isopropylacrylamide)-grafted HA [369]); HAMA modified with moieties giving supramolecular assembly [303, 370]; thiolated HA formulation containing a suitable crosslinker [371-373].
	SLA (bioprinting)	-Photoreticulation of HAMA-based formulations possibly containing cells and other photocrosslinkable polymers [117, 374].
PHA	SLS	-Sintering of: PHB powder [375-377]; Ca-P-loaded PHBV microspheres [179-181].

	ME-AM	-FDM of filaments made of PCL/PHBV blend [378] or PHB/PLA blend containing a plasticizer [379].
	SE-AM	-CAWS extrusion into an ethanol bath of a PHBHHx-based solution in chloroform or THF [238, 239, 253, 258], or a PHBHHx/chloroform/ethanol mixture [254].

#### 4.1.1 Proteins

The rich landscape of proteins as a result of biological evolution offers a variety of genetically encoded structures and properties spanning many length scales. Indeed, the proteins repetitive nature facilitates the formation of long-range ordered molecular secondary structures capable to self-assemble into complex 3D hierarchical architectures characterized by peculiar mechanical, chemical, electrical, electromagnetic, and optical properties [380]. As a consequence of the aforementioned advantages, various proteins (e.g., collagen, gelatin, silk fibroin, and keratin) have been investigated for biomedical applications resulting in commercial products introduced in the surgical routine.

Because of their poor thermal stability, AM of proteins mostly relies on extrusion of aqueous solutions or suspensions. Proteins physical stabilization in physiological environments is achieved by exploiting their inherent gelation properties and/or applying physical-chemical crosslinking. The high cell affinity of proteins is particularly exploited in bioprinting approaches. Indeed, as discussed in Section 3.6, most of the bioinks for AM are based on collagen, gelatin, and/or fibrin (**Figure 3**). Chemical modification of proteins, as witnessed by the large body of literature on gelatin derivatives, has been also investigated as a means to endow protein with photocrosslinkable moieties exploitable for SLA or photocrosslinking of extruded polymers.



**Figure 3.** Structure of proteins commonly employed in biomedical AM. (a) Triple chain structure of collagen fibrils and chemical structure of the most common tripeptide sequence found in collagen, composed of glycine (Gly), proline (Pro) and hydroxyproline (Hyp) sequences (reproduced with permission [66]). (b) Scheme for the preparation of photocrosslinked GelMA through reaction of gelatin and methacrylic anhydride for grafting methacryloyl substitution groups (RGD domains illustrated as red segments, and their chemical structure is depicted within the inset) (reproduced with permission [381]). (c) Fibrin polymerization ( $\alpha$  chains shown in blue,  $\beta$  chains in green, and  $\gamma$  chains in red;  $\alpha$ C domains are in gray) (reproduced with permission [382]). (d) Schematic of silk fibroin structure (insets showing the fibril overall structure and the fine  $\beta$ -sheet antiparallel alignment of polypeptide chains) (reproduced with permission [383]).

#### 4.1.1.1 Collagen

Collagen biodegradability, relatively low antigenicity, cell-binding properties, and peculiar biomechanical behavior have attracted great interest for different biomedical applications ranging from dermal dresses to TE [384].

**Source of extraction.** Collagen is a structural protein of native extracellular matrix (ECM) of various biological tissues, including skin, bone, cartilage, teeth, tendons, and blood vessels [231]. Types I–IV are the most common among over twenty-two types of collagen found in mammals. Collagen for biomedical applications is extracted from bovine or porcine skin, as well as from Achilles tendon of bovine or equine origin using neutral/slightly alkaline salt, acidic, alkaline, or acidic/proteolytic enzymes solutions followed by repeated salt precipitation



[385]. Engineered recombinant bacterial collagen is under investigation as a reliable and cost-effective alternative to avoid immunogenicity, infection transmission, and batch variability [386].

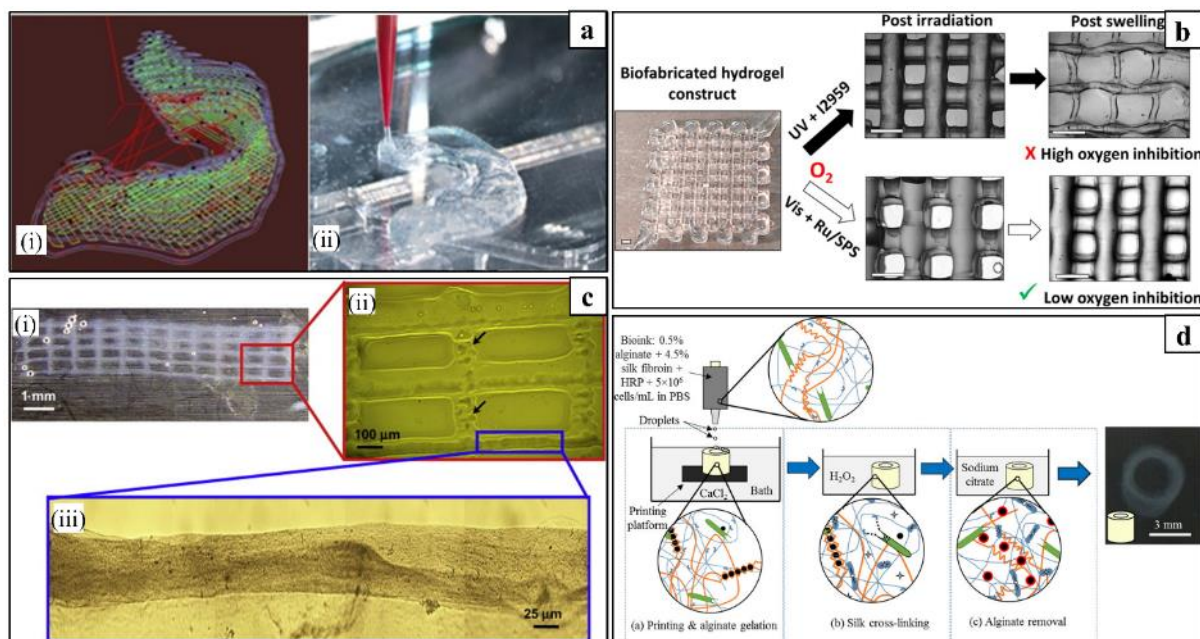
**Physical-chemical modification.** Collagen is composed of three polypeptide chains, with similar compositions at high content of glycine (Gly) (near 33%) and imino acids (near 20%), that wrap around one another with a right-handed twist forming a tightly packed triple helix (**Figure 3a**) [387, 388]. Collagen fibrils are stabilized by covalent crosslinks between amino acid residues of different chains. However, in the human body collagen undergoes degradation via proteolytic enzymes (e.g., collagenases) causing a fast impairment of its structural role as implant biomaterial [389]. Collagen stability in physiological environments can be increased through its combination with mineral crystals [390], or polymers of either natural (e.g., elastin and glycosaminoglycans [391], HA [392]) or synthetic (e.g., acrylates [393, 394]) origin. In addition, various chemical crosslinking strategies have been developed, to covalently bind the amine side groups of polypeptide chains. Concerns raised towards the potential toxicity of the crosslinking agents, e.g., glutaraldehyde [395], have encouraged research on alternative approaches involving chemical modification of collagen side groups to enable crosslinking by free radical polymerization [396] or via enzymatic reaction [397].

**Biomedical use.** Collagen in the form of sponges [398], gels [399, 400], or electrospun ultrafine fibers [401] has been widely investigated for bone and cartilage TE. In addition, a large body of literature has been focused on collagen-based materials for skin repair and blood vessel engineering to exploit its thrombogenic properties. For instance, electrospinning has been widely investigated to fabricate micro/nanostructured collagen in combination with other natural [402] or synthetic polymers [403, 404]. The tremendous progress achieved is witnessed by a number of collagen-based devices currently available in the biomedical market worldwide, such as dermal membranes and sponges for localized delivery of antibiotics (e.g., Sulmycin<sup>®</sup> Implant, Collatamp<sup>®</sup> G, Septocoll E) [405], skin engineered substitutes (e.g., Apligraf<sup>®</sup>) [406, 407], as well as hemostatic sealants made from bovine collagen either in combination or not with thrombin (e.g., Sulzer-Spine<sup>®</sup> Tech, CoStasis<sup>®</sup> Surgical Hemostat, Floseal<sup>®</sup>, Gelfoam<sup>®</sup>, UltraFoam<sup>™</sup>) [408]. Two of the first TE clinical trials involved the implantation of engineered autologous bladder [409] or vaginal organs [410] based on scaffolds made from collagen or a collagen/poly(glycolic acid) (PGA) composite.

**AM application.** The aforementioned advantages in terms of biocompatibility and bioactivity have been widely exploited in AM by employing collagen as main solution extrusion component, in the formulation of inks for bioprinting, and in BJ binders to fabricate collagen-

Ca-P composites [320]. The low viscosity of collagen solutions, gels, or dispersions commonly employed for biomedical purposes, and the slow gelation of collagen, are main disadvantages for direct fabrication of predesigned scaffolds [411]. Different strategies have been adopted to increase the reproducibility, geometrical accuracy, and control over structure of additive manufactured collagen. These include among others extruding directly on a cryogenic stage followed by lyophilization [257, 314], applying a crosslinking agent (e.g., aerosolized or nebulized NaHCO<sub>3</sub>) during extrusion [315], extruding hybrid inks containing polymers more readily crosslinkable (e.g., fibrin and alginate) [316, 317], extruding a high density collagen dispersion at a controlled pH [318, 319], and heating the printing chamber [297] (**Figure 4a**). The plotted structures can be further stabilized by freeze-drying or chemical crosslinking. In this way the scaffold can exhibit high shape and dimensional fidelity with the designed model, being composed by fibrillar collagen strands with diameter of few hundreds of micrometers that are stable after incubation in cell culture medium.

The fast progress on AM of collagen has led to the successful free-form fabrication of complex living architectures. For instance, a proof of concept study on human skin bioprinting involved the use of keratinocytes and fibroblasts as constituent cells to reproduce the epidermis and dermis, and collagen to reproduce the dermal matrix of the skin [315]. Different bioprinting processes and bioinks are currently marketed for drug screening and *in vitro* modelling of soft and hard tissues. Some examples are the exVive3D™ Human Liver Model for drug screening [412], the BioInk™ [413], the Lifeink® 200, and the OsteoInk™ [414]. Living tissue engineered constructs based on collagen were also developed by means of indirect AM. As an example, external ear anatomical constructs with biomechanical properties recapitulating those of native auricular cartilage were fabricated by injecting a chondrocytes-containing collagen hydrogel in an ABS mold fabricated by FDM [416].



**Figure 4.** AM of proteins. (a) Digital model of print path (i) and printed collagen hydrogel (ii) developed starting from a CT scan of sheep meniscus (reproduced with permission [297]). (b) Gel-MA-based hydrogel constructs fabricated by extrusion followed by either UV- or Vis-light photoreticulation (reproduced with permission [307]). (c) Representative images of hydrogels by inkjet printing of a suspension of human microvascular endothelial cells in a thrombin solution onto a fibrinogen substrate, showing a printed grid (i), minor defects (ii) and fiber alignment (iii) (reproduced with permission [328]). (d) Schematic of inkjet bioprinting strategy for 3D silk fibroin cellular constructs using sacrificial alginate (reproduced with permission [337]).

#### 4.1.1.2 Gelatin

Gelatin is widely employed in biomedical applications thanks to its biocompatibility, biodegradability, and versatility in forming physically-crosslinked hydrogels in physiological environments [417].

**Source of extraction and physical-chemical modification.** Gelatin is derived from denaturation of collagen through hydrolysis of amide groups into carboxyl groups through an alkaline or acid process, yielding to a higher and lower conversion, respectively [418]. The proteins resulting from the two processes have different isoelectric points as a consequence of a different carboxyl groups density. They can interact with charged molecules at a physiological pH to form polyion complexes as positively- (Type A) or negatively-charged (Type B) basic gelatin. This aspect has been widely exploited for the controlled release of charged biomolecules including proteins and plasmid DNA, with potential application in different biomedical sectors [419]. Differently to collagen, gelatin from different sources typically show reduced structural variations as a result of the denaturation of the protein tertiary structure. Such molecular and supramolecular modifications usually leads to better solubility and less antigenicity of gelatin

as compared to collagen [420]. However, gelatin retains the polyaminoacid structure of collagen containing arginine-glycine-aspartic acid (RGD) sequences promoting cell attachment, together with the target sequences of enzymes involved in its degradation *in vivo*. Gelatin can be either physically- or chemically-crosslinked to form hydrogels with controlled stability in physiological environments. For instance, in the case of glutaraldehyde crosslinking aldehyde carbonyl groups react with free amino groups of lysine and hydroxylysine aminoacidic residues to form covalent bonds between gelatin molecules at either intramolecular or intermolecular scale. In this case, by varying the crosslinking density it is possible to tailor *in vivo* gelatin degradation by matrix metalloproteinases (e.g., collagenase) [421].

**Biomedical use.** Due to the aforementioned biocompatible and bioactive properties, as well as the demonstrated hemostatic and adhesive behavior, research on gelatin eventually resulted on the marketing and clinical use of various biomedical products, including biological glues, topical hemostatic agents, hemostatic sponges (e.g., Gelfoam®), films used in neurological, thoracic and ocular surgery (e.g., Gelfilm®), and microcarriers for cell culture (e.g., CultiSpher-G®).[422] In addition, a large body of literature has been published on gelatin in the form of disks, 3D hydrogels or microparticles, as carriers for the delivery of growth factors (e.g., transforming growth factor beta-1, bone morphogenetic protein-2 and -7 (BMP-2 and BMP-7), and insulin-like growth factor [423-426]) or for encapsulating different cells including chondrocytes [427, 428], osteoblasts [429], mesenchymal stem cells (MSCs) [430, 431], and preadipocytes [432]. In addition, gelatin has been often used in combination with synthetic polymers to develop hybrid materials with enhanced bioactivity, like in the case of composite polyurethane (PUs)/gelatin constructs with optimized human umbilical vein endothelial cells adhesion and proliferation [433].

**AM application.** At the physiological temperature (37 °C), gelatin dissolves as a colloidal sol and it can reversibly form a gel when cooled (< 29 °C) because of a conformational change from coil to helix that leads to chain association and eventually the formation of a 3D network [265]. This temperature-dependent gelation property represents a noteworthy advantage for SE-AM allowing extrusion of a warm gelatin solution into a cold environment to form a gel with a customizable shape. Low mechanical resistance and structural stability in aqueous environments are major shortcomings of additive manufactured gelatin. Indeed, even if physically crosslinked, gelatin tends to dissolve again when exposed to physiological conditions. Different strategies for gelatin stabilization to form 3D hydrogels by AM has been followed, such as glutaraldehyde crosslinking [234] and combination with other natural polymers suitable to be more stably crosslinked (e.g., alginate [322, 323], fibrinogen [24],

chitosan [324], and HA [325]). Nanoapatite coating represents a further way to increase the mechanical properties of printed gelatin-based interpenetrating polymeric networks [434]. A successful example of native gelatin employment for bioprinting is represented by a recent study on controlled extrusion of a suspension of human corneal epithelial cells in a solution of gelatin/alginate/collagen followed by CaCl<sub>2</sub> crosslinking of the polysaccharide to achieve well-defined porous hydrogels stable in PBS for more than 2 weeks [435]. An alternative AM approach for material patterning, proposed also for other biomedical polymers (e.g., fibrin and alginate) is represented by inkjet printing a crosslinker (e.g., glutaraldehyde) onto a gelatin bed [436].

Gelatin can be made susceptible to **photocrosslinking** into a hydrogel stable in physiological conditions through a simple and straightforward procedure involving its direct reaction with methacrylic anhydride in phosphate buffer (pH 7.4) at 50 °C [437]. In this way methacryloyl substitution groups are introduced on the reactive amine and hydroxyl groups of the aminoacidic residues (**Figure 3b**). **GelMA photocrosslinking can be carried out in aqueous environments under mild conditions with the presence of a photoinitiator. This inherent advantage makes GelMA the most exploited naturally-derived polymer for photoinduced free-radical crosslinking [381].** GelMA formulations enable good control over their rheological properties and chemical reactivity depending on the degree of methacryloylation and polymer concentration. The resulting crosslinked hydrogels retain the intrinsic polypeptide biological properties, such as enzyme-degradability and cell-adhesion promotion. Different microfabrication techniques have been used to microstructure photocrosslinked GelMA hydrogels, including photopatterning, AM approaches, micromolding, self-assembling, microfluidics, and biotextile [381]. Nichol *et al.* [150] were among the first to micropattern functionalized gelatin with a top-down approach involving UV irradiation of preformed arrays of GelMA, resulting in a variety of biocompatible shapes and configurations with tunable hydration and mechanical properties.

The first articles on layer-by-layer **SLA** crosslinking of GelMA to fabricate 3D patterned structures were published in 2012 [154, 155]. After that, several studies have reported the SLA processing of resins based on GelMA to fabricate substrates with low toxicity and excellent cell-adhesion properties [124]. For instance, human meniscus cells-seeded GelMA scaffolds by SLA were placed *ex vivo* in surgically created defects in human meniscus tissue resulting in good integration and new bonding tissue at the interface after 3 weeks of culture.[438] The fabrication of patterned hydrogels possibly encapsulating cells was also investigated by means of SE-AM of GelMA followed by post-processing UV crosslinking. As an example, Billiet *et*

*al.* [235] proposed the use of a photoinitiator with enhanced biocompatibility, i.e., 2,2'-Azobis[2-methyl-*N*-(2-hydroxyethyl)propionamide] (VA-086) compared to the conventional Irgacure 2959, and successfully fabricated GelMA scaffolds with fully-interconnected pores networks. This approach was exploited to fabricate mechanically stable hepatocarcinoma cell line-laden GelMA scaffolds with high cell viability (>97%) through the optimization of printing pressure and needle shape. Vis-light-induced photoreticulation was also proposed as a means to crosslink GelMA in order to avoid cells damage risks related to UV irradiation [124, 307]. Wang *et al.* [124] employed a mixture of PEGDA and GelMA with eosin Y as Vis-light photoinitiator to encapsulate NIH 3T3 fibroblast cells in 3D structures with 50 µm resolution, achieving 85% cell viability for at least five days. Analogously, Lim *et al.* [307] described a new Vis-light initiating system based on the water-soluble initiators ruthenium and sodium persulfate, which yielded higher viability of cells encapsulated in Gel-MA in comparison to what achieved with conventional UV systems based on Irgacure 2959 (**Figure 4b**). In any case, extrusion of GelMA requires a strict control of the bioink, nozzle, and deposition platform temperature. Otherwise viscosity modifiers, such as HA[366] and gellan gum [439], should be employed. In particular, the addition of gellan gum was demonstrated to enhance the processing properties of GelMA bioinks by increasing their viscosity, yield stress, and speed of gelation. Optimized formulations (10/0.5% w/v GelMA/gellan gum) resulted in improved filament deposition and increased stiffness of UV-cured hydrogels encapsulating chondrocytes able to produce cartilage matrix *in vitro* [440]. GelMA was also co-deposited with photocrosslinkable methacrylate poloxamer macromers, functioning as reinforcing gel, to fabricate 3D hydrogels with the shape of the femoral condyle or the auricular cartilage [441].

Thiol–ene click chemistry has been also successfully employed to crosslink norbornene-modified gelatin for cells encapsulation [326, 327]. A recent article described the application of allylated gelatin as a thiol–ene clickable bioink for SLA or SE-AM combined with light irradiation to fabricate porous constructs with relatively high shape fidelity to CAD models [273]. An alternative approach to photocuring is represented by enzymatic protein crosslinking as shown by Irvine *et al.* [321] who crosslinked gelatin as a blend with PEG by employing microbial transglutaminase to print cell-laden constructs.

#### 4.1.1.3 Fibrin

Fibrin is a fibrous protein whose clinical relevance is related to its use for developing versatile biomaterials with inherent biocompatibility, biodegradability and injectability, exploitable for advanced applications in TE, drug delivery, cell encapsulation, and surgical adhesives [442].

**Source of extraction and synthesis route.** Fibrin is naturally polymerized during blood clot formation starting from thrombin-catalyzed proteolysis of fibrinogen, a protein present in the blood as soluble component (**Figure 3c**) [443]. Fibrinogen is composed of two sets of three polypeptide chains ( $\alpha$ ,  $\beta$ , and  $\gamma$ ) joined together by six disulfide bridges. Cleavage of fibrinopeptide A from the A $\alpha$  chains and fibrinopeptide B from the B $\beta$  chains leads to fibrinogen conformational changes and exposure of polymerization sites. Fibrin monomer with great tendency to self-associate and to form insoluble fibrin, is thus formed [442, 444]. Once polymerized, the fibrin network is stabilized **by** covalent crosslinking in the presence of the factor XIIIa. The resulting fibrous structure is characterized by extreme extensibility and compressibility, as well as an interconnected porosity suitable for hemostasis, fibrinolysis, and wound healing.

**Biomedical use.** Various clinically-available formulations allow the combination of fibrinogen and thrombin derived from plasma to form fibrin products for different applications, such as hemostats in surgery, adhesives for skin graft attachment, and sealants in colostomy closure [445]. Surgically employed sealants comprise those sourced from human pooled plasma (e.g., Tisseel VH®), autologous plasma (e.g., Cryoseal®), and bovine plasma (e.g., Vitagel™). In addition, thanks to its inherent advantages, including good cell seeding and cell encapsulation efficiency, **combined with** the possibility to be produced from the patient's own blood, fibrin has been widely investigated in the form of 3D gels or microbeads to engineer different tissues (**e.g., cardiac, cartilage, and adipose tissues**), often in combination with growth factors [422, 442].

Fibrin is degraded and remodeled *in vivo* by enzymatic activity. Fibrinolysis is mediated by serine protease plasmin cleavage at specific sites on either fibrinogen or crosslinked fibrin to yield various degradation products [446]. Rapid degradation of fibrin gels can cause losing of the necessary material structural features, especially in the case of TE in which a mechanical role is required before a sufficient formation of the growing tissue is achieved. Many studies have been addressed **to tuning morphological**, rheological, adhesive and other functional properties of fibrin gels by optimizing the crosslinking conditions (i.e., **pH, and concentration** of fibrinogen, thrombin, and calcium ion), using low cell density, or adding specific protease inhibitors [382, 442]. For instance, a challenging task is to develop an optimal fibrous network density through tailoring fibrinogen and thrombin concentration in order to achieve a good balance between mechanical properties and cell infiltration.[447] Other strategies adopted to develop fibrin-based materials with advanced properties include PEGylation of either self-

assembled peptides [448] or fibrinogen [449], genipin crosslinking [450], and combination with synthetic [451] or natural polymers [452].

**AM application.** The use of fibrin in AM has been mainly related to **bioprinting** of cell-laden constructs. One of the first approaches involved the alternate deposition by thermal inkjet printing of two bioinks, one composed of a thrombin solution and the other one composed of a thrombin solution containing NT2 neuronal precursor cells, onto a fibrinogen substrate used as biopaper [293]. Similarly, Cui and Boland [328] developed a process to deposit a thrombin solution containing human microvascular endothelial cells onto a fibrinogen substrate to fabricate 3D channel structures forming a tubular microvasculature stable *in vitro* over 3 weeks (**Figure 4c**). **The high crosslinking versatility represents the main advantage of fibrinogen for AM encapsulation of cells and growth factors, as shown by Lee *et al.* [329]** who printed a thrombin solution onto a printed fibrinogen solution, both containing vascular endothelial growth factors.

Another AM strategy includes the employment of a dual-chamber single nozzle extrusion system that allows blending fibrinogen and thrombin solutions at a low temperature just before their deposition, in order to prevent crosslinking in the needle [330]. Attempts have been also made to combine fibrinogen with other hydrogel-forming materials to improve its processing properties **and** stability once extruded as droplet or filament. Indeed, its fibrous nature **represents a disadvantage for AM, since it often** results in clogging issues and unstable printed geometry [333]. For instance, fibrinogen can be combined with gelatin to endow the resulting bioink with thermoreversible gelation behavior exploitable to print cell-laden hydrogels with predefined shape and porous structure. Fibrinogen/gelatin constructs fabricated by means of pneumatic SE-AM were crosslinked through a dual-enzymatic strategy, involving thrombin and transglutaminase, to support multiple cell types, including human umbilical vein endothelial cells, human neonatal dermal fibroblasts, and human bone marrow-derived MSCs [332]. Laser bioprinting of fibrinogen/HA solutions containing a cells suspension, followed by thrombin crosslinking was also investigated as a suitable method to fabricate 3D multicellular arrays [331].

#### 4.1.1.4 Silk Fibroin

Silk fibroin is widely investigated for different biomedical applications thanks to its processability in aqueous environments at room temperature, biodegradability to amino acids, biocompatible crosslinking processes, high tensile strength, and elasticity [453, 454].

**Source of extraction and chemical structure.** Silks are proteins produced in fiber form by silkworms and spiders. Differences in primary aminoacidic sequence among silks of different



species, as well as within a species, result in different functional properties, which are significantly affected also by the extraction, purification, processing and environmental conditions [455, 456]. Fibers from the filaments of silkworm (*Bombyx mori*) are composed by core structural fibroin proteins held together by sericin, which is a family of glue-like proteins [457]. Adequate fibroin purification from sericin is necessary to avoid immune reaction and elicit acceptable *in vivo* foreign body response [458]. Silk fibers are composed by two major fibroin proteins, light and heavy chains (25 and 325 kDa, respectively), consisting of polypeptides organized in layers of antiparallel  $\beta$  sheets forming crystalline regions (**Figure 3d**) [459, 460].

**Biomedical use.** Silk fibroin materials in the form of hydrogels, fibers, sponges, particles, and membranes have been widely investigated for various biomedical applications, including sutures, wound dressing, hemostatic devices and scaffolds for the engineering of a wide array of tissues (e.g., vascular, neural, cartilage, ligament, and bone tissue) [461]. Fibroin non-woven meshes, porous sponges, and electrospun nanofibers have been employed for *in vitro* growth of different human cell types, such as epithelial cells, keratinocytes, endothelial cells and bone marrow-derived MSCs [455, 462-470]. Various strategies have been pursued to biofunctionalize fibroin materials, including immobilization of growth factors and combination with osteoconductive ceramics [471-475].

**AM application.** The ability of fibroin to form physical crosslinks through intra- and intermolecular hydrogen bonding between hydrophobic domains can be exploited to stabilize materials manufactured by **SE-AM**. Self-assembled fibroin hydrogels have a relatively high mechanical strength, even if low flexibility and brittle behavior can represent major disadvantages depending on the application [476]. Glycerol was investigated as non-toxic additive to stabilize an intermediate conformation of crystallized fibroin with good flexibility [334]. Blending with other natural polymers acting as bulking agents and amenable to be physically crosslinked can be also exploited. For instance, silk-based printing efficacy and resolution were enhanced by using gelatin as bulking agent and glycerol to induce physical crosslinking [335]. Silk fibroin-gelatin blend hydrogels were also recently bioprinted and stabilized in physiological conditions through either enzymatic crosslinking by mushroom tyrosinase or physical crosslinking via sonication [338]. The resulting microstructured hydrogels supported the multilineage differentiation of encapsulated human nasal inferior turbinate tissue-derived mesenchymal stromal cells. A recent study was focused on inkjet printing of a fibroblasts-laden blend of alginate and silk fibroin through a two-step gelation process [337]. First sodium alginate was crosslinked by means of  $\text{CaCl}_2$  during printing, then

fibroin was chemically-crosslinked at tyrosine residues through post-printing horseradish peroxidase catalysis, and finally alginate was sacrificed through its liquefaction as consequence of Ca ions chelation (**Figure 4d**).

A novel strategy for 3D freeform fabrication of silk fibroin relies on a one-step physical gelation process involving the extrusion into a nanoclay colloidal suspension containing low-Mw PEG that acts as physical crosslinker by inducing the formation of the  $\beta$  sheet secondary structure [336]. In addition, Kim *et al.* [339] chemically modified the primary amines of silk fibroin by glycidyl methacrylate. The resulting **photocurable** formulation was processed by means of DLP to fabricate complex structures reproducing the anatomical details of different organs (e.g., heart, trachea, and ear).

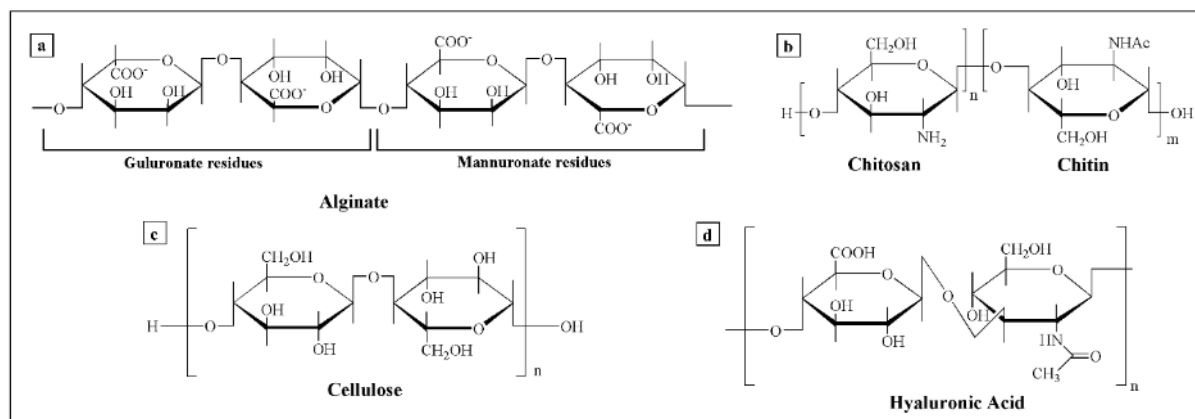
#### 4.1.2 Polysaccharides

Polysaccharides are the first choice of polymers meeting the principles of sustainability, eco-efficiency, and green chemistry for the development of biomedical materials. Various polysaccharides with peculiar chemical nature and physical-chemical properties can be obtained from different sources (i.e., vegetable, animal, and microbial sources) generally with lower impact and production costs in comparison with proteins. **In this optic, great interest is given to novel biotechnologies to recover polysaccharides from bioagro-wastes [477] and algal waste biomasses [478], and to process them into high value added materials.**

Alginate, an anionic polymer typically extracted from brown algae, HA, an anionic polymer of animal source that can be also produced via microbial fermentation, and chitosan, a positively charged polymer obtained from crustacean shell and fungal biomass, can be mentioned among the most investigated biomedical polysaccharides for AM. The well ascertained biocompatibility of polysaccharides and their high chemical versatility conferred by the functional groups present in the structural units, label them as materials of election for various biomedical applications.[309] Indeed, the wide plethora of chemical modification reactions developed for this class of polymers provides powerful tools to tune polymer bioactivity, biodegradability, processing, and physical properties.

The great knowledge acquired by the scientific community on polysaccharides chemical-physical functionalization has been exploited to optimize and widen their AM processing potential, for instance by tailoring solution rheological properties for extrusion-based processing, introducing photoreactive moieties to stabilize extruded hydrogels, or optimizing novel SLA strategies. The increasingly rapid advances made in the past two decades on polysaccharides science and technology applied to AM is summarized in the next paragraphs

by highlighting critical aspects for each of the most investigated polymer in this area (**Figure 5**).



**Figure 5.** Representative chemical structure of the most investigated polysaccharides for AM. (a) Chain portion of alginates, linear copolymers consisting of blocks of continuous mannuronate residues, guluronate residues or alternating guluronate/mannuronate residues. (b) Chemical structure of chitosan, commercially produced by deacetylation of chitin yielding a heteropolymer. (c) Chemical structure of cellulose, a linear polymer of  $\beta$ -1,4-linked glucose residues. (d) Chemical structure of hyaluronic acid (HA), consisting of alternating disaccharide units of  $\alpha$ -1,4-D-glucuronic acid and  $\beta$ -1,3-N-acetyl-D-glucosamine.

#### 4.1.2.1 Alginate

Alginate is a natural polysaccharide widely used in different biomedical fields thanks to its high biocompatibility as carrier for cell encapsulation and drug delivery, scaffold for TE, and anti-adhesion material [479].

**Source of extraction.** Alginate is found as structural polysaccharides in marine brown algae (e.g., *Laminaria hyperborea*, *Ascophyllum nodosum*, and *Macrocystis pyrifera*) and as capsular polysaccharide in some soil bacteria (e.g., *Azotobacter vinelandii*) [480]. Alginate macromolecular structure is constituted by (1-4)-linked  $\beta$ -D-mannuronic acid and  $\alpha$ -L-guluronic acid units (**Figure 5a**), whose sequence changes along the polymer chain. Many authors referred to this polysaccharide as ‘alginates’ in the plural form to highlight an extreme variability in material chemical-physical behavior, as a consequence of batch-to-batch variations in composition, Mw, and extent of the residues sequence, related to environmental conditions of the source and relevant climate changes. Industrial extraction processes are based on soaking acidified seaweeds in a sodium carbonate solution to convert insoluble alginic acid into soluble sodium alginate [481]. Some *in vivo* studies on alginate have reported immunogenicity and inflammatory responses, likely attributed to impurities (heavy metals, endotoxins, proteins, and polyphenolic compounds) [482, 483]. A proper purification is

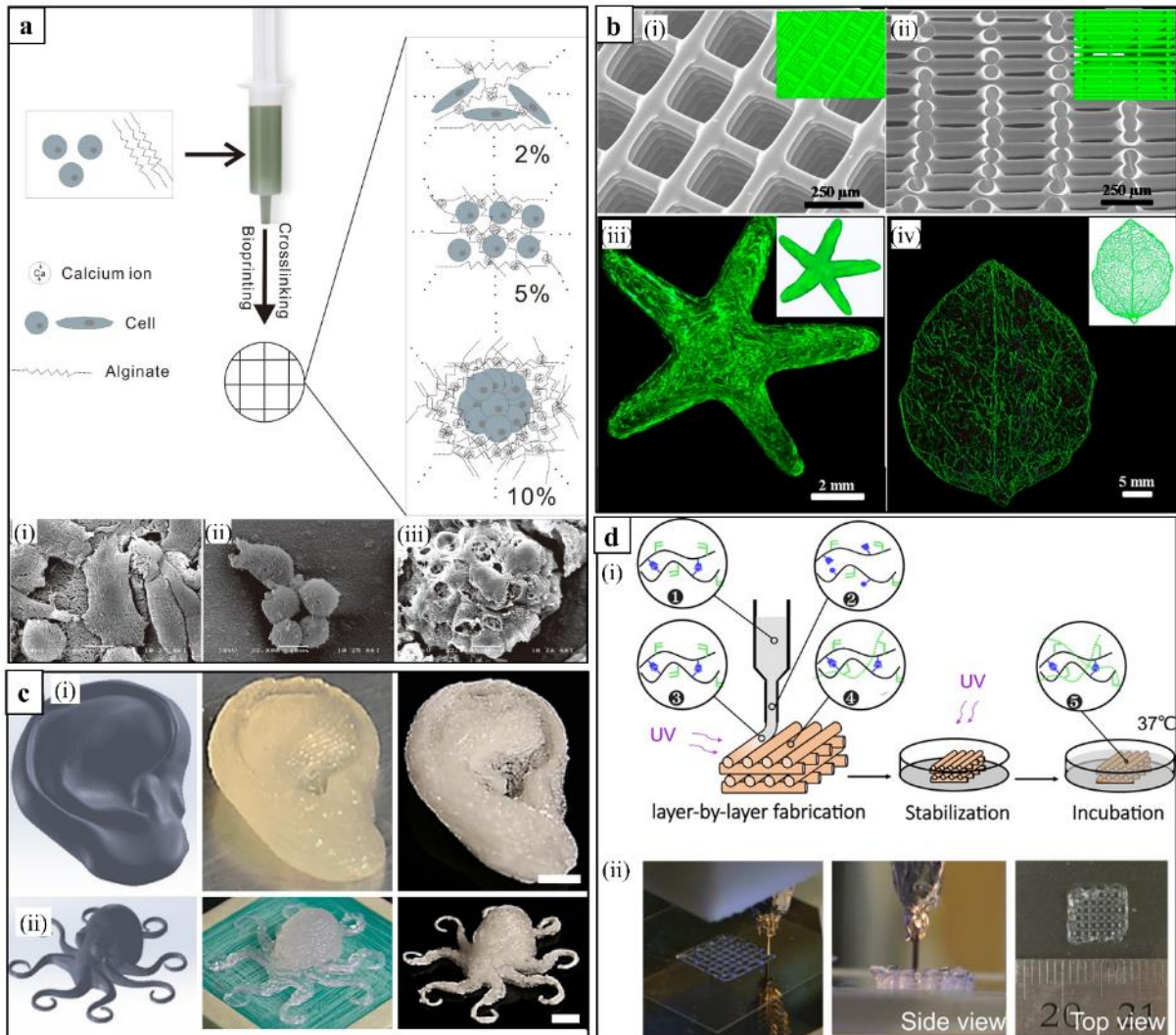
therefore necessary to consider alginate **biocompatible and** to guarantee an acceptable control over its chemical-physical properties, aqueous stability, and biodegradation rate [484].

**Physical-chemical modification and biomedical use.** The marked hydrophilicity of alginate, mainly imparted by its carboxylic groups, does not favor the necessary proteins adsorption allowing for mediated biological phenomena, including immunogenicity, but also cell adhesion [485]. A widely exploited approach to increase alginate cell affinity is based on covalent linking of oligopeptides containing the RGD sequences, through straightforward chemical reactions mediated by carbodiimide-based reagents [486-492]. Although conventionally considered as a biodegradable polymer, bioabsorbability of alginate can be limited due to the lack of specific enzymes in mammals as well as the relatively high Mw of some commercial alginate batches, larger than the renal clearance threshold [493]. Partial oxidation of backbone has been demonstrated as an effective means to make alginate degradable in physiological media [494, 495].

Alginate tends to form insoluble hydrogels in aqueous solutions via ionotropic gelation based on ionic interactions between carboxylic acid groups and chelating cations [496]. In its native form, alginate is mainly present as  $\text{Ca}^{2+}$  crosslinked gel, but it forms physiologically stable hydrogels also in the presence of other multivalent cations (i.e.,  $\text{Sr}^{2+}$  and  $\text{Ba}^{2+}$ ). In general,  $\text{Ca}^{2+}$  crosslinking is preferred because of the milder reaction conditions and less toxicity involved in comparison to the use of other cations [266]. **The biocompatibility and ease of gelation of alginate make it attractive in a wide range of biomedical applications, including wound healing, drug delivery, and tissue engineering. Various alginate-based wound dressings, such as Algicell™ (Derma Sciences), AlgiSite M™ (Smith & Nephew) and Comfeel Plus™ (Coloplast) are commercially available [408, 479]. However, ionotropic gelation suffers poor reproducibility in physiological environments due to monovalent cations that can interact with polymer carboxylic groups [497]. The reversible nature of ionic bridges between adjacent polymer chains represents one of the main shortcomings of alginate, resulting in weak hydrogels with poor physiological stability.** Another approach followed to stabilize alginate **exploited also for AM**, as discussed in the following section relevant to chitosan-based materials (*see section 4.1.2.2*), is based on its complexation with a polycation. **A** number of covalent crosslinking strategies have been successfully pursued to enhance mechanical integrity and reproducibility of alginate hydrogels, even if in many cases they present different drawbacks, including lack of biological inertness and cross-reactivity with encapsulated cells and proteins [498-503].

**AM application.** The most exploited AM strategy for the fabrication of alginate hydrogels, possibly encapsulating cells, involves the **extrusion** of an aqueous polymer solution and then its crosslinking immediately after dispensing, for instance by means of submerged crosslinking [233] or aerosol spraying [354]. By employing low sodium alginate concentrations (1 to 2% w/v), Khalil *et al.* [233] developed endothelial cells-laden hydrogels through extrusion into a calcium-containing bath. Good cell viability was achieved by this approach even if the elastic modulus was low and decreased along time after incubation in a cell culture medium bath. A recent study reported on fibroblasts-laden scaffolds with tunable stiffness by varying sodium alginate concentration in the range 2-10% w/v, with a resulting significant influence on cell migration and morphology (**Figure 6a**) [349]. It was shown that at low concentration the 3D porous structure was compromised, while at too high concentrations cell migration was hindered due to high chain packing density. Other studies have shown decreased viability of various cell lines by increasing alginate concentration, explainable with the increased shear stresses acting on cells during extrusion [350, 351]. Rheological modifiers, such as cellulose nanofibrils, have been investigated as suitable means to enhance viscosity and processing properties of alginate-based bioinks, without compromising cell viability [352, 353].

Although the development of methacrylated alginate has been reported [501], its use for photocrosslinking-based AM has not been extensively investigated mainly because of the high control over hydrogel properties achievable through ionotropic gelation. One of the few exceptions is represented by a recent study on Vis-light-induced **SLA** hydrogelation of an alginate derivative with chemically incorporated phenolic hydroxyl groups, mediated by ruthenium II trisbipyridyl chloride ( $[\text{Ru}(\text{bpy})_3]^{2+}$ ) and sodium persulfate [267]. Thiol-ene chemistry has been investigated as approach alternative to methacryloyl functionalities crosslinking also for alginate-derived AM materials. Ooi *et al.* [274] reported the development of a norbornene functionalized alginate system as a cell-laden bioink for extrusion bioprinting with a rapid UV-induced crosslinking mechanism. The mechanical and swelling properties of the developed hydrogels were tunable by varying the concentration, length, and structure of dithiol PEG crosslinkers. A recent article reported on the development of viscoelastic, bioprintable aldehyde-containing oxidized alginates that could be combined with different imine-type dynamic covalent chemistries (i.e., oxime, semicarbazone, and hydrazone) to tune the rheological, mechanical and self-healing properties of the resulting hydrogels [504].



**Figure 6.** AM of polysaccharides. (a) Schematic representation of influence of alginate concentration (2, 5 and 10% w/v) on cell migration and morphology: after 7 days of *in vitro* culture, (i) in 2% constructs cells could stretch themselves showing a spindle like shape, (ii) in 5% constructs cells had a spherical shape due to the restrictions from the surrounding polymer networks, (iii) in 10% constructs cells spheroids were clearly observed as a consequence of cells tightly packing (reproduced with permission [349]). (b) Chitosan extrusion directly in air and at room temperature: top (i) and side view (ii) SEM images of a chitosan scaffold; fluorescent microscopy image of 3D printed chitosan with starfish (iii) or leaf (iv) shape (inset images show relevant CAD models) (reproduced with permission [344]). (c) Cellulose ear model (i) and octopus structure (ii) by extrusion (direct-ink-write): first column is SolidWorks models, second column is manufactured gel structures, and third column is resultant structures after freeze-drying (displayed scale bars are 1 cm) (reproduced with permission [360]). (d) Schematic of fabrication, stabilization, and incubation processes for dual-crosslinking of methacrylated HA hydrogels (i): (1) supramolecular hydrogel assembly with guest–host bonds within the syringe chamber; (2) guest–host bond disruption when extruded through the narrow needle, due to shear; (3) rapid self-healing of the supramolecular hydrogel and guest–host bonds when shear is removed and the material is deposited; (4) UV treatment to photo-crosslink methacrylates within the printed hydrogels; and (5) stabilization to enforce the polymerized network; (ii) representative images of the printing process and a resulting multilayer hydrogel structure (reproduced with permission [303]).

#### 4.1.2.2 Chitosan

Different inherent properties make chitosan appealing for biomedical applications, such as a good biocompatibility, a hydrophilic surface favoring cell adhesion, and a well-assessed antibacterial activity [505].

**Source of extraction and physical-chemical properties.** Chitosan is the deacetylated form of chitin, a polysaccharide found in the exoskeleton of crustaceans, insects, and some fungi [506].

For this reason, it is often classified as semisynthetic rather than natural polymer. Chitosan is typically obtained by alkaline hydrolysis of the acetated groups of chitin to produce on an industrial scale a linear copolymer of  $\beta$ -(1–4) linked 2-acetamido-2-deoxy- $\beta$ -D-glucopyranose and 2-amino-2-deoxy- $\beta$ -D-glycopyranose (**Figure 5b**). It is commercially available with different N-deacetylation degree, conventionally classified as low (55-70%), medium (70-85%), high (85-95%) and ultra-high (>95%) degree.

The source chitin, existing in three polymorphic crystalline structures ( $\alpha$ ,  $\beta$ ,  $\gamma$ ) [507], the extraction and purification conditions, and the resulting deacetylation degree greatly influence chitosan physical-chemical, morphological and functional properties, including chemical reactivity, degree of crystallinity, solubility, hydrophilicity, solution viscosity, mucoadhesion, and antimicrobial activity [508]. After refinement, at the dry state chitosan macromolecules can organize in a rigid polymorphic, semicrystalline structure through inter- and intra-molecular hydrogen bonding. In general, crystallinity degree is maximum for both chitin (i.e., 0% deacetylated form) and fully deacetylated chitosan [509]. As a consequence of a wide variability in degree of deacetylation and  $M_w$  between different commercially available batches, various chitosan  $T_g$  values within a representative range of 140-200 °C, have been reported.

While chitin is insoluble in aqueous solutions and most of organic solvents, chitosan is readily soluble in acid aqueous solutions at pH below its pKa, as a consequence of amino groups protonation into positively charged groups ( $\text{NH}_3^+$ ). The viscosity of chitosan solutions increases with an increase in polymer concentration or deacetylation degree, while decreases with increasing the shear rate, resulting in a pseudoplastic behavior [508]. From a chemical point of view, chitosan behaves in solution as a polycationic base due to the presence of amino groups along its backbone, differently to other polysaccharides that are neutral (e.g., starch) or acidic (e.g., alginate, ulvan, carrageenan, fucoidan) owing the exclusively presence of hydroxyl and acid groups along their structure [309]. This polycationic structure confers chitosan with a well-documented antimicrobial activity against many Gram-positive and Gram-negative bacteria, whose mechanism is likely related to an electrostatic interaction with the anionic components

of bacterial surface (e.g., peptidoglycan and lipopolysaccharide) leading to cell damage or death [510].

**Biomedical use.** Chitosan has been reported to be highly biocompatible, displaying low immunogenicity when interfaced with living organisms, with acceptable host response upon implantation [511, 512]. *In vivo* it is susceptible to enzymatic biodegradation through hydrolysis of glucosamine–glucosamine, glucosamine–N-acetyl-glucosamine, and N-acetyl-glucosamine–N-acetyl-glucosamine linkages, mainly by lysozyme, an enzyme present in various human body fluids and tissues [513]. Besides affecting the previously mentioned chemical-physical properties, the degree of deacetylation affects chitosan biodegradation rate and biocompatibility since the presence of free amino groups favors the interaction with cells [514, 515].

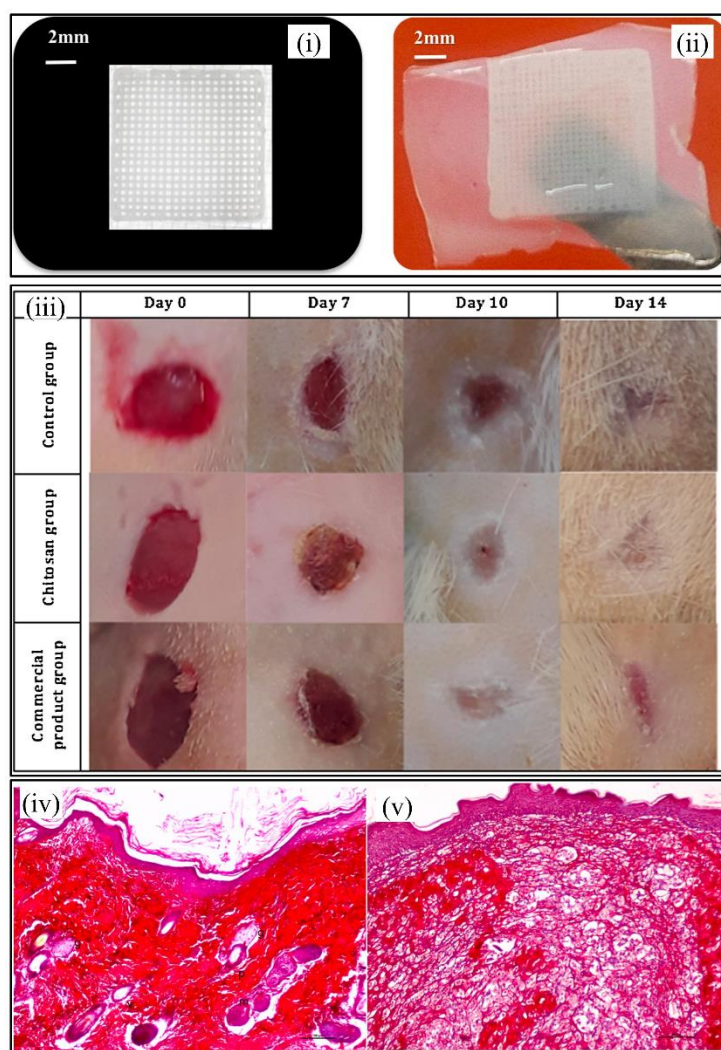
Chitosan has been widely investigated in the form of membrane, gel, or micro/nanoparticles for the controlled release of various bioactive agents, ranging from growth factors, antibiotics and anti-inflammatory drugs [508, 516]. A large and growing body of literature has been dedicated to the development of chitosan-based scaffolds for engineering various human tissues, including bone, cartilage, skin, liver and nerves [517]. In order to enhance its mechanical strength and ability to maintain a predefined shape once processed, chitosan has been combined with numerous polymers of synthetic (e.g., PVA [518] and PLLA [519]) or natural (e.g., alginate [520] and collagen [521]) origin as well as with bioactive ceramics (e.g., Ca-P [522] and natural coralline [523]). In addition, the high chemical reactivity of the amino groups has been exploited to functionalize and tailor chitosan macromolecular structure, as shown by Park *et al.* [524] who developed thermosensitive chitosan–g–pluronic copolymers as injectable hydrogels encapsulating bovine chondrocytes.

**AM application.** **SE-AM** of a diluted acetic acid solution in air or into a coagulation/crosslinking medium represents the most direct approach to AM of chitosan-based hydrogels. One of the first attempts in this context was made in 2002 by Ang *et al.* [341] who extruded an acetic acid solution of chitosan into a bath composed of NaOH and ethanol. By optimizing the composition of the coagulation bath, they were able to fabricate 3D scaffolds that maintained square macropores after washing and freeze drying. The reproducibility of the fabrication process was increased by Geng *et al.* [340] who designed a dual dispensing method to simultaneously extrude a chitosan acid solution and dispense NaOH onto the deposited polymeric strand. Chitosan constructs fabricated through the same processing strategy were more recently investigated in comparison with PDLLA-based constructs to evaluate *in vitro* the inflammatory response elicited by them in terms of cytokine profile of human



monocytes/macrophages [525]. A recent study reported on the extrusion of a silk particles dispersion (up to 300% w/w) into a chitosan solution to fabricate scaffolds with enhanced mechanical properties and printing accuracy, by manually pipetting a NaOH solution onto each printed layer [526]. Extruding a chitosan solution into a bath of isopropyl alcohol is a reliable alternative for manufacturing scaffolds able to induce *in vitro* the chondrogenesis of infrapatellar fat pad adipose-derived stem cells (ASCs) cultured on them [342].

A different AM approach is based on freezing the extruded chitosan solution during its deposition onto a low-temperature platform, followed by its lyophilization [345]. In this way, a defined open porosity along the Z-axis building direction can be achieved. In order to avoid the expensive and time-consuming lyophilization step, the frozen chitosan structure can be directly dipped into a KOH coagulation bath to induce polymer gelation, even if this treatment can diminish the fabrication accuracy [346]. Anyhow, scaffolds fabricated by this method were recently validated both *in vitro* using human fibroblasts/keratinocytes co-culture and *in vivo* in a diabetic rat model resulting in improved wound healing in comparison to what observed with a commercial product (**Figure 7**) [347]. High porous structure regularity was recently achieved through extrusion in air of concentrated chitosan solutions in a solvent mixture (acetic acid/lactic acid/citric acid) with optimized volatility (**Figure 6b**) [343, 344]. The resulting chitosan filaments showed relatively high strength and pronounced extensibility that were further increased after NaOH bath immersion (ultimate strain ~ 400%), as a consequence of polymer physical crosslinking through hydrophobic interactions and hydrogen bonds.



**Figure 7.** *In vivo* investigation of additive manufactured chitosan. Representative picture of chitosan scaffold without (i) and with (ii) a film of chitosan at the base; representative images (each photo corresponds to an area of 1 cm<sup>2</sup>) of wound healing in treated and untreated groups (iii); histological staining Hematoxylin + picro-sirius red of tissue excised from wounds treated with chitosan scaffolds (iv) or with a commercial product (v) for 14 days (v: blood vessels, g: sebaceous glands, p: hair follicles, m: arrector pili muscles; scale bar 100 μm) (reproduced with permission [347]).

**Ionic complexation** with a polyanion is another effective way to stabilize extruded chitosan, which represents one of the main advantages of polycations for AM purposes. The first successful example of this strategy applied to AM was represented by CAWS fabrication of hydrogels with defined porous structure and enhanced mechanical properties through the extrusion in an ethanol bath of an aqueous suspension of a chitosan/poly( $\gamma$ -glutamic acid) polyelectrolyte complex (PEC) [236]. The resulting PEC hydrogel scaffolds were successfully investigated in combination with the human cancer cell line BxPC-3 for long-term *in vitro* 3D modelling of the pancreatic ductal carcinoma [34]. Reeds *et al.* [527] used a chitosan-alginate PEC aqueous mixture and fabricated scaffolds through indirect AM by employing negative

molds made by FDM and positive molds by BJ. The method developed by Liu *et al.* [348] involved extruding a suspension of chitosan in an alginate solution and spraying a HCl solution on the deposited fiber in order to protonate the chitosan amino groups. In this case, scaffold structure reproducibility and manufacturing accuracy were enhanced by increasing the molar concentration of chitosan in the mixture.

Chitosan was also recently added to a PEGDA-based resin up to a 1:5 weight ratio for the SLA fabrication of ear-shaped scaffolds with optimized properties by controlling chitosan Mw and photoinitiator concentration [153].

#### 4.1.2.3 Plant and bacterial cellulose

Plant cellulose, particularly in micro- and nanostructured forms, has been widely investigated for different biomedical applications to exploit its surface chemistry, biocompatibility and biodegradability, in particular in the case of skin repair treatment thanks to its suitability in accelerating the process of epithelialization [528].

**Source of extraction and physical-chemical properties.** Cellulose is the most abundant renewable polymer on earth, found primarily in lignocellulosic material in forests. Algae, agriculture residues, bacteria biosynthesis, and chemical synthesis represent other sources [529].

Cellulose is an unbranched polymer of  $\beta$ -1,4-linked glucose residues (**Figure 5c**). In native cellulose (i.e., cellulose I), hydroxyl groups tend to form hydrogen bonds resulting in monoclinic and triclinic crystallites interspersed with amorphous regions [530]. Native cellulose can be converted through physical-chemical and biological treatments to other crystalline forms with different unit cell dimensions, such as in the case of cellophane and rayon.

Like other polysaccharides, cellulose is not thermoplastic and it undergoes degradation when thermally processed. In addition, the high degree of polymerization and the complex pattern of intra- and intermolecular hydrogen bonding result in cellulose insolubility in water and in many organic solvents, making solution processing challenging. However, the possibility of dissolving cellulose in ionic liquid solutions and then regenerating it in a non-solvent after processing has been shown by several studies [531]. This enables the application of different solution-based processing techniques, including casting, molding, wet-spinning, and electrospinning.

Plant cellulose derivatives from various sources and subjected to different treatments are available on the market as biomaterials, including microfibrillated cellulose and nanocrystalline cellulose [532]. The first one is typically in the form of 5-60 nm wide and several microns long nanofibers, obtained by mechanical delamination of wood pulp followed by chemical or

enzymatic treatment. Nanocrystalline cellulose, in the form of nanofibrils with a cross-sectional size as low as 5 nm and hundreds of nm in length, is obtained through wood pulp acid hydrolysis with concentrated sulfuric acid to dissolve the cellulose non-crystalline domains, followed by high pressure treatment.

Bacterial cellulose (BC) possesses peculiar physical-chemical and structural features making it appealing for biomedical applications, including high purity, high crystallinity, high Young's modulus, excellent biodegradability, high water holding capacity, and good biological affinity [533]. BC is synthesized by bacteria such as *Acetobacter xylinum*, and its unique properties are the result of a typical nanostructured fibrous architecture. BC fibril diameter is typically in the range of 30 nanometers, hundred times thinner than that of plant cellulose fibers [534]. This nanosized structure, together with the absence of residual contaminants typical of plant cellulose (e.g., lignin, hemicelluloses, and pectin), are the reasons of the aforementioned advantages.

**Biomedical use.** Many attempts have been made to exploit BC properties for different biomedical applications. In particular, BC porous structure and hydrophilicity have been shown to be suitable for wound dressing by accelerating granulation, ensuring tissue healing, absorbing exudates, and providing a physical barrier from the surrounding environment to prevent microbial colonization [535]. Wound dressings from BC are nowadays available on the market (e.g., Biofill®, Bioprocess®, and XCell®) and applied in patients affected by burns and chronic ulcers showing accelerated healing and pain relief in comparison with other commercial wound patches [536]. BC growing typically results in pellicles rising to the surface of the reactor, and agglomerating to form a membrane with high purity that can be either used as it is, processed into nanofibrils, solubilized, or dispersed in a liquid. BC can be also shaped and molded into 3D structures directly during *in vitro* cultures, depending on the bacterial culture conditions (i.e., static culture, agitated culture, or airlift reactor) that also affect the resulting mechanical properties and micro/macrostructural features [537]. This aspect has accelerated research on BC application for developing implantable scaffolds tailored to different tissues, including blood vessels, cartilage, and bone. For instance, a number of bone engineering devices based on BC in combination with other naturally-derived polymers, e.g., collagen, agarose, PHB, and chitosan, or bioactive nanofillers, e.g., hydroxyapatite (Hap), are available on the market [538].

**AM application.** The possibility of obtaining filaments suitable to be printed by FDM and based on different cellulose derivatives commonly-used for pharmaceutical formulations (i.e., ethylcellulose, hydroxypropyl methylcellulose acetate succinate, and hydrophilic cellulose

derivatives) was recently demonstrated. However, poor thermal stability represents one of the main shortcomings of lignocellulose materials limiting their use in ME-AM as reinforcement components. As recently reviewed by Wang *et al.* [363], cellulose-containing filaments for FDM are typically composed of PLLA, PCL, or PVA reinforced with cellulose-based fillers in different forms, such as nanocrystals, fibers, and wood flour, at weight percentages up to 50%. Few studies also involved cellulose crystals as nanofillers for SLA manufacturing [364, 365]. Raw formulations for **SE-AM** have been optimized through combination of cellulose solutions or suspensions with other hydrogel-forming polymers (e.g., alginate) and proper additives in order to tailor their rheological and processing properties, as well as the stability of the printed hydrogel once in a physiological environment [363]. The resulting nanocellulose formulations show a shear-thinning behavior suitable for extrusion through a nozzle into 3D shapes that can be retained as a result of different gelation/solidification methods (e.g., physical/chemical crosslinking, air dry, and freeze-drying). A number of studies recently focused on the controlled deposition of nanofibrillar cellulose-alginate formulations that were crosslinked by using  $\text{CaCl}_2$  to develop 3D hydrogels possibly encapsulating cells [353, 355-358]. The same crosslinking strategy was followed in the case of methylcellulose-alginate bioinks [539]. A successful AM approach involves printing cellulose dissolved in an ionic liquid (e.g., 1-ethyl-3-methylimidazolium acetate) followed by coagulation in a non-solvent bath to obtain spatially-structured hydrogels [359]. In this case, co-solvents (e.g., 1-butanol and dimethyl sulfoxide) have been investigated as rheological modifiers to ensure consistent printing.[540] An alternative way to stabilize cellulose-based materials after extrusion relies on freeze drying followed by chemical crosslinking (**Figure 6c**) [360]. 3D structures printed adopting this strategy are characterized by a dual-scale porosity, due to the lyophilization process, facilitating cell growth. Free-standing gels can be also obtained by adding xylan conjugated with tyramine to cellulose nanofibrils suspensions to make them susceptible to enzymatic crosslinking [361]. A recent article described an AM process based on the extrusion in air of an acetone solution of cellulose acetate [362]. After solvent evaporation and material solidification, cellulose acetate was reconverted to cellulose through NaOH deacetylation.

#### 4.1.2.4 Hyaluronic acid (HA)

HA is widely exploited as injectable or implantable biomedical polymer thanks to its inherent advantages, such as no immunogenic properties, widespread availability, ease of chain size manipulation, and ability to interact with cell-surface receptors [541].

**Source of extraction.** HA is found in the ECM of different connective tissues as major structural and functional macromolecular element that contributes to the water balance regulation, serves

as lubricant and shock absorber, and at the same time, acts as scavenger for free radicals. Besides functioning as selective and protective coat around the cell membrane, HA interacts with bioactive molecules and is recognized by specific cell receptors that regulate cell behaviors, such as migration and ECM remodeling [541, 542].

HA is a high molecular mass ( $10^3$  to  $10^7$  Da) linear copolymer consisting of alternating disaccharide units of  $\alpha$ -1,4-D-glucuronic acid and  $\beta$ -1,3-N-acetyl-D-glucosamine, linked by  $\beta(1\rightarrow3)$  bonds (**Figure 5d**) [543]. It is often referred to as ‘hyaluronan’, since it exists *in vivo* as polyanion and not in the protonated acid form. It is produced on an industrial scale through extraction from animal tissues (e.g., umbilical cord and rooster comb) [422] or microbial fermentation [544]. Medical grade HA is obtained by means of separation techniques, such as protease digestion, HA ion-pair precipitation, membrane ultrafiltration, non-solvent precipitation, and lyophilization, necessary for its purification from other biopolymers and molecules to which is complexed [545].

**Biomedical use and physical-chemical modification.** HA medical applications include, among others, viscosupplementation by injection into joints to treat arthritis and relieve pain, ophthalmic surgery, tissue augmentation and protection in cosmetic and reconstruction surgery, and advanced applications, such as TE and cells encapsulation [546]. HA combination with other polysaccharides, proteins, and ceramics has been investigated in order to provide artificial ECM environments to enhance cell interaction since the hydrophilic, polyanionic nature of HA does not favor cell adhesion [547].

HA tends to aggregate in aqueous environment as a consequence of hydrophobic interactions and hydrogen bonding between acetamido and carboxylate groups [548]. However, these interactions are fairly weak, thus polymer aggregates tend to dissociate under physiological conditions. For this reason, a large body of literature has been devoted to chemical modification of HA to reduce water solubility and control its biodegradation through, for instance, esterification. Various HA derivatives with different degrees of esterification (e.g., HYAFF® and ACP™) are currently available on the biomedical market. A wide range of other HA chemical modification approaches have been developed, including hydrazide modifications, crosslinking with polyfunctional epoxides, glutaraldehyde crosslinking, reaction with divinyl sulfone, and crosslinking with carbodiimides [547].

**AM application.** Solutions of unmodified HA alone are not suitable for SE-AM owing their high viscosity, slow gelation behavior, and low stability upon printing. These shortcomings justified research on HA combination with other hydrogels for AM, like in the case of blending

with photocrosslinkable hydrogels (e.g., GelMA [366] and hydroxyethyl-methacrylate-derivatized dextran [367]).

Chemical modification represents a powerful tool to widen the possibilities of HA processing by AM and optimize hydrogel stability through crosslinking. Suri *et al.* [117] developed a novel method for the layered photoreticulation of HA methacryloyl (HAMA) into a set of 3D scaffolds with different geometry and porous structure. A similar approach allowed high survival of encapsulated MSCs after HAMA photocrosslinking [374]. HAMA has been also employed in photocrosslinkable multimaterial ink formulations. Skardal *et al.* [368] developed a processing method based on partial photochemical co-crosslinking of GelMA with HAMA giving an extrudable gel-like fluid, that was then printed under irradiation to fabricate tubular constructs encapsulating cells. Kesti *et al.* [369] developed bovine chondrocytes-laden hydrogels with a well-defined porous structure by blending poly(*N*-isopropylacrylamide)-grafted HA (HA-pNIPAAm) with HAMA. HA-pNIPAAm provided fast gelation and immediate post-printing structural fidelity, while HAMA ensured long-term mechanical stability upon photocrosslinking.

Separately coupling adamantane and  $\beta$ -cyclodextrin moieties to HA is an effective means to create two hydrogel-precursors that form a supramolecular assembly upon mixing [370]. By integrating this approach with photocrosslinkable methacrylate groups, Ouyang *et al.* [303] developed a dual-crosslinking strategy enabling the fabrication of layered hydrogels with a predefined porous structure that were stable over a month in physiological conditions with no loss in mechanical properties (**Figure 6d**). Other attempts to optimize ink rheology and stability of the printed hydrogel, were based on thiolated HA crosslinked by either poly(ethylene glycol tetraacrylate) [371] or gold nanoparticles [372]. 3D double printed constructs were recently developed by alternating strands of PCL and a precursor solution composed of thiolated HA, allyl-functionalized poly(glycidol) as cytocompatible cross-linker, and native HA as thickener to optimize ink rheology [373]. By grafting PLGA to HA, Park *et al.* [549] were able to obtain chloroform solutions that could be processed under a heated air stream to fabricate 3D scaffolds encapsulating BMP-2/PEG nanosized complexes. A recent strategy integrated photoreactive acrylate and thiol-ene chemistries by developing HA functionalized with both methacrylate and norbornene, suitable to be processed by AM into cell-laden hydrogels [268].

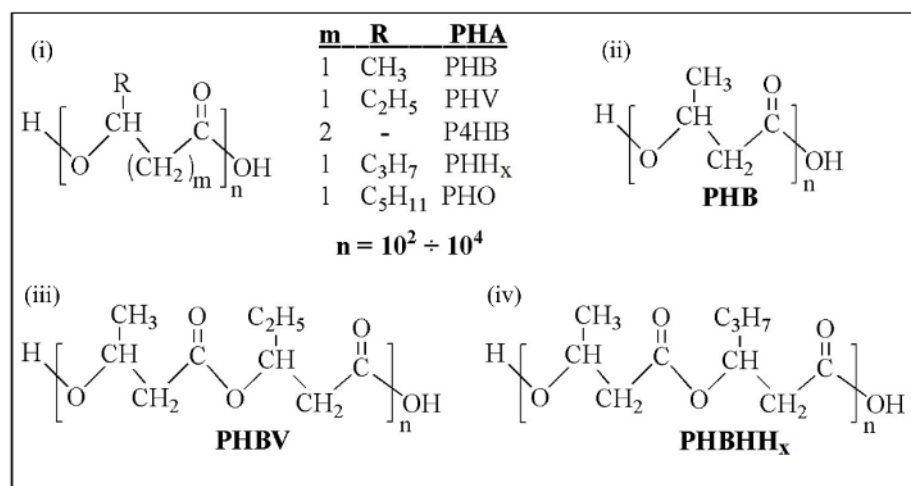
#### 4.1.3 Microbial polyesters

Polyhydroxyalkanoates (PHA) are microbial polyesters widely investigated for biomedical applications thanks to their biocompatibility and *in vivo* degradability into monomeric and

oligomeric products not exerting toxic effects [309]. From an applicative and technological perspective, the great interest raised on PHA is justified by the possibility of combining the advantages of a potentially sustainable production, starting from renewable resources, with a thermoplastic behavior and processing versatility comparable to those of chemically synthesized aliphatic polyesters.

**Synthesis and source of extraction.** PHA are produced as intracellular carbon and energy storage compounds by many Gram-positive and Gram-negative bacteria under unbalanced growth conditions [550, 551]. Extensive market penetration of PHA is hindered by production costs related to the need of maintaining axenic cultures and providing refined carbon substrates [552]. For this reason, several attempts have been made to enhance the competitiveness of PHA large-scale commercialization through novel metabolic engineering, synthetic biology, and bioinformatics approaches [553]. Ongoing research is investigating carbonaceous (agro)industrial waste streams as alternative feedstocks for PHA production, such as waste glycerol from biofuel production, lignocellulose waste from the food industry and forestry, and even petrochemical plastic waste [554]. Waste substrates coupled with mixed microbial consortia in three-stage systems, comprising feedstock fermentation, enrichment of PHA-producing bacteria, and PHA production, have been investigated as means to optimize process commercial potential [555].

**Chemical structure and physical-chemical properties.** PHA class is composed by aliphatic polyesters generally consisting of 1000-10000 monomeric units with different pendant groups, or a hydrogen atom, at the beta-position (**Figure 8**). They can be classified as short-chain-length or medium-chain-length PHA if they consist of 3–5 or 6–14 carbon monomers in the 3-hydroxyalkanoate unit.





**Figure 8.** Chemical structure of polyhydroxyalkanoates (PHA) investigated for biomedical AM. General structure of PHA (i); structure of poly(3-hydroxybutyrate) (PHB) (ii); structure of poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) (iii); structure of poly[3-hydroxybutyrate-co-3-hydroxyhexanoate) (PHBHHx) (iv).

Since the discovery in the Twenties of poly(3-hydroxybutyrate) (PHB) [556], which has a pendant methyl group, an array of PHA macromolecular structures have been identified starting from poly(3-hydroxyvalerate) (PHV), with an ethyl pendant group, and the copolyester poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV). The structural, processing and mechanical properties of PHA depend on both the length of the pendant groups and the distance between ester linkages [311]. PHB has a high degree of crystallization in the range 60-80%, as a consequence of the high stereochemical regularity, resulting in a stiff and brittle mechanical behavior (elongation at break < 10%) (**Table 2**). The different molecular structure of poly(4-hydroxybutyrate) (P4HB) generally results in reduced degree of crystallization (~35%), higher flexibility, and better processing properties in comparison to PHB. Indeed, P4HB has a  $T_g$  (~50°C) and a  $T_m$  (~60°C) much lower than those of PHB ( $T_g$  ~0°C and  $T_m$  ~180°C) [557]. The copolymerization between 3-hydroxybutyrate (3HB) and 4-hydroxybutyrate (4HB) monomers results in poly(3-hydroxybutyrate-co-4-hydroxybutyrate) (P[3HB-co-4HB]) co-polyesters with morphological, thermal and mechanical parameters intermediate between those of the two relevant homopolymers [558]. Since 3HB and 3-hydroxyvalerate (3HV) units co-crystallize in a single crystalline unit cell as a consequence of the small difference in size of the side group, PHBV crystallinity is not much reduced in comparison to PHB, being around 60% throughout a wide range of composition from 0 to 95% 3HV [559]. However, inhibition of secondary crystallization in PHBV results in increased flexibility and ductility (elongation at break up to 50%), as well as a reduced  $T_m$  (down to 130 °C) broadening its melt processing window in comparison to PHB. The longer alkyl side chain confers to poly[3-hydroxybutyrate-co-3-hydroxyhexanoate) (PHBHHx) even a broader processing window and higher elasticity which can be exploited for tailored biomedical applications [560]. Indeed, experimental investigations have demonstrated that by increasing 3-hydroxyhexanoate (3HHx) content, the  $T_m$  and degree of crystallization can be decreased from 177 to 54°C and 60 to 18%, respectively [561, 562]. The tensile strength can be correspondingly reduced from 43 to 20 MPa, while the elongation at break can be increased from 5 to 850%.

*In vivo* PHA biodegradation is the result of two different mechanisms, i.e., nonenzymatic and enzymatic hydrolysis of the ester bond, the latter by the action of nonspecific lipases and esterases being more extensive at the later stages [563, 564]. Besides polymer characteristics like chemical composition, Mw, and crystallinity, PHA biodegradation rate greatly depends on

the specific physiological environment. P4HB sutures complete biodegradation can require from 8 to 52 weeks, while no significant degradation of PHB implants was observed after 9 months in a rat femoral defect [565].

**Biomedical use and physical-chemical modification.** PHA molecular structure similarity with synthetic aliphatic polyesters results in processing and mechanical properties generally superior to the other classes of natural polymers, suggesting their use for the fabrication of devices with high resolution and reproducibility intended to be used in load-bearing applications (e.g., bone TE). In addition, the numerous homopolymers and copolymers belonging to this class of renewable materials, combined with the possibility of tuning their Mw and comonomers percentages by changing microbial fermentation conditions, offer the possibility to tune the resulting material biodegradation, mechanical and processing properties over a wide range. For these reasons, PHA have been widely investigated for the development of various biodegradable devices such as orthopedic screws, skin patches, implantable and injectable systems for drug delivery, scaffolds for the regeneration of different tissues, including bone, cartilage, nerves, and blood vessels [605]. Indeed, various biomedical products mainly based on PHB and P4HB, are commercially available for research and clinical applications, such as sutures (e.g., Tephaflex®, Phantom Fiber™, and MonoMax®), scaffolds (e.g., BioFiber™ scaffold), and surgical meshes (e.g., GalaFLEX and Tornier®) [606].

A large body of literature has been dedicated to combining PHA with other bioactive materials in order to tailor their biodegradation, thermal, mechanical and processing properties, as well as their hydrophilicity and cell interaction. Numerous osteoinductive ceramics in the form of nano/microsized fillers (e.g., HA, BG, TCP, and zirconia) have been loaded into PHA implants designed for bone repair [607]. Multi-block copolymerization with other acids/lactones (e.g., PLLA [608]) or ethers (e.g., PEG [609]) as well as linking of functional proteins [610] have been also investigated to tailor PHA physical-chemical, structural and functional properties. In addition, the microbial production of novel oligomers were recently investigated by co-feeding *Escherichia coli* with diethylene glycol, resulting in the formation of lactate-HB oligomers that can undergo polyaddition reaction with diisocyanate to yield biodegradable PUs [611].

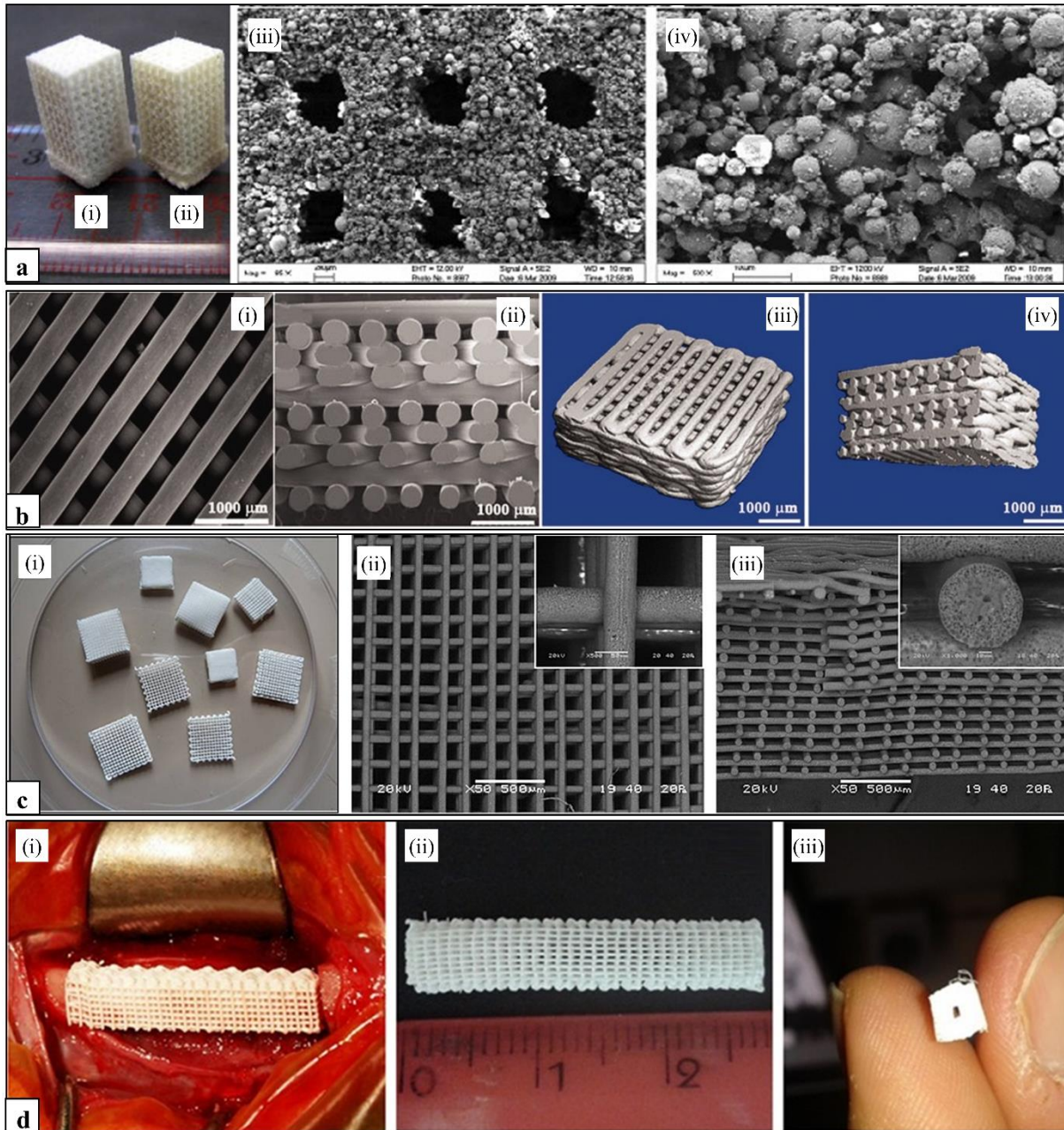
Table 2. Reference data available in literature relevant to thermal transitions, mechanical properties, biodegradation rate, and suitable solvents of high-Mw natural and synthetic aliphatic polyesters investigated for AM [557, 562, 566-578].

Polymer	T <sub>g</sub> (°C)	T <sub>m</sub> (°C)	C (%)	Tensile modulus (GPa)	Tensile strength (MPa)	Elongation at break (%)	<i>In vivo</i> absorption time <sup>f</sup>	Scaffold compressive properties			Solvents
								AM technique (material)	Modulus (MPa)	Strength (MPa)	
PHB	0-5	170-180	60-80	0.9-4.0	15-60	5-20	2-3 years	SLS (PHB) [376]	0.4-24	0.1-1.7	Halogenates (e.g., chloroform and dichloromethane), dimethylformamide
P4HB	-47 to -52	57-69	35	0.05-0.23	35-50	>1000	2-18 months	n/a	n/a	n/a	
PHBV	0-5	130-180	35-70	0.5-3.5	10-40	5-50	> 1 year	SLS (PHBV; PHBV/Ca-P) [179, 181] FDM (PHBV/PCL) [378]	2.4-6.5 n/a	0.2-0.6 6.8-15.1	
PHBHHx	0-2	54-180	15-45	0.1-0.5	4-21	5-850	> 6 months	CAWS (PHBHHx) [238, 258] CAWS (PHBHHx/PCL) [239]	0.7-1.5 0.2-0.4	1.3-2.0 0.4-0.6	
PCL	-60	56-65	> 50	0.2-0.5	4-42	80-1100	> 2 years	FDM (PCL) [579, 580] FDM (PCL/TCP) [581] FDM (PCL/PLGA 1:1) [582] ME-AM (PCL/Hap) [583] CAWS (PCL; PCL/Hap) [26, 252] CAWS (*PCL; *PCL/Hap) [251] SLS (PCL) [4] SLS (PCL/Hap) [175] SLS (PCL/TCP) [584, 585]	20-40 124 50 27-56 0.1-1.3 1.4-7.3 52-67 24-103 0.1-14	2.0-6.8 <sup>b</sup> 4.2-5.2 6.1 1.9-4.5 0.3-5.2 0.5-1.5 <sup>c</sup> 2.0-3.2 <sup>b</sup> 2.5-11.5 n/a	Halogenates, toluene, cyclohexanone, tetrahydrofuran, acetone, dimethylformamide
PLLA	55-80	170-190	37-50	2.7-4.1	15-150	<10	2-5 years	ME-AM (PLLA)[586] SE-AM/freeze drying (PLLA/TCP) [255] SLS (PLLA; PLLA-Hap) [179]	195 60 5.8-6.1	8.3 4.7 0.5-0.6	Chloroform, dichloromethane, furan, dioxane, dioxolane
PDLA	40-50	120-160	30-45	3.4-3.8	58-67	<10	n/a	n/a	n/a	n/a	In addition to PLLA solvents: acetone, ethyl lactate, tetrahydrofuran, ethyl acetate, dimethylsulfoxide, N,N xylene, dimethylformamide
PDLLA <sup>a</sup>	43-60	120-170	15-40	1-3.5	28-50	<10	2-16 months	FDM (PDLLA) [218, 587-589] SE-AM (PDLLA/PEG) [261, 590] SE-AM (PDLLA/PEG/BG) [261, 590] SSLS (PDLLA/TCP) [591] SLA (PDLLA derivative) [592] SLA (PDLLA-co-PCL derivative) [593] SLA (Hap-loaded PDLLA derivative) [594]	3-600 28-92 44-100 2.5-4.0 150-360 0.1 12-31	8.5-22 n/a n/a 6-11 n/a 0.6-1.2	
PGA	34-44	200-231	45-55	6.1-7.2	12-70	<10	6 weeks	n/a	n/a	n/a	Highly fluorinated organics, e.g., hexafluoro isopropanol
PLGA	44-55	--- <sup>d</sup>	--- <sup>d</sup>	1.4-2.8	40-55	<10	1-6 months	FDM (PLGA) [215] ME-AM (PCL/PLGA) [582, 595] ME-AM (PCL/PLGA/TCP) [582] SE-AM/freeze drying (PLGA/TCP) [596] SE-AM/freeze drying (PLGA/pearl) [597] SLS (PLGA/TCP) [598]	2.6 50-52 51 18 23 130	n/a 3.2-6.0 8.4 0.7 0.8 12	PDLLA solvents
PPF	-25-30	--- <sup>d</sup>	--- <sup>d</sup>	0.02-1 <sup>e</sup>	20-70 <sup>e</sup>	<5 <sup>e</sup>	Several months <sup>g</sup>	μSLA (PPF/DEF) [599-601] DLP (PPF/DEF) [602] SE-AM (Hap-loaded PPF/DEF) [603]	8-50 135 50	77-290 n/a n/a	Chloroform, dichloromethane, tetrahydrofuran, acetone, ethyl acetate

n/a: not available data. <sup>a</sup> Amorphous when d-lactide>20%. [604] <sup>b</sup> Values corresponding to yield strength. <sup>c</sup> Values calculated at stresses corresponding to 50% strain. <sup>d</sup> Amorphous. <sup>e</sup> Values measured for crosslinked PPF/DEF samples. <sup>f</sup> Values relevant to full polymer resorption upon implantation either in human or animal, depending on material morphology and site of application. <sup>g</sup> Data on *in vivo* full absorption of PPF not available in literature; based on *in vitro* studies, several months are needed for full absorption depending on crosslinking degree.

The combination of different PHA, including PHB, PHBV and P[3HB-*co*-4HB] copolymers, with other blend polymers such as PLLA, PCL, starch, cellulose and chitosan has been also widely described [612]. However, PHA do not show the same blending versatility of synthetic polyester counterparts, with which they generally show poor miscibility, mainly due to processing issues. Short-chain PHA, particularly PHB, are soluble in very few solvents, i.e., halogenated solvents (e.g., chloroform and dichloromethane) and dimethylformamide, often resulting only in a partial solubilization at concentrations required by specific processing techniques (e.g., solvent casting and electrospinning) [613]. In addition, thermal processing is often challenging due to the narrow window between  $T_m$  and degradation temperature ( $T_{deg}$ ). Indeed, PHA processing at temperatures above 150 °C generally results in the production of trans-crotonic acids [606, 614].

**AM application.** The limited PHA thermal processing properties is a major shortcoming for AM application. A few articles reported on the employment of SLS for PHA scaffolds fabrication. In particular, PHB was successfully processed by SLS without any variation in polymer thermal properties and chemical composition [375-377]. However, although the resulting scaffolds macroscopically showed geometrical fidelity to the virtual model, they were characterized by poor resolution, high surface roughness, and unwanted macropores in the polymeric matrix due to not completely sintered particles. Duan *et al.* [179-181] fabricated nanocomposite scaffolds through SLS of Ca-P-loaded PHBV microspheres ( $\phi \sim 50 \mu\text{m}$ ) prepared by means of a S/O/W emulsion-solvent evaporation method (Figure 9a). They developed PHBV scaffolds with interconnected porous geometries that were biofunctionalized by binding the recombinant human BMP-2 (rhBMP-2) to a heparin-gelatin conjugated coating that was physically entrapped onto the surface. High surface roughness as a result of limited coalescence of composite microspheres was observed also in this case.



**Figure 9.** AM of PHA scaffolds. (a) SLS of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV): picture of PHBV (i) and PHBV-CaP (ii) scaffolds; SEM micrographs 85 x (iii) and 500x (iv) of PHBV-CaP scaffolds (reproduced with permission [179]). (b) FDM of PHBV: representative SEM micrographs and  $\mu$ -CT images of top view (i) and (iii) and cross-section (ii) and (iv) of PHBV/PCL blend scaffolds (50:50 weight ratio) (reproduced with permission [378]). (c) CAWS of poly[3-hydroxybutyrate-*co*-3-hydroxyhexanoate) (PHBHHx): picture of PHBHHx scaffolds with different external size and porosity (i) and SEM micrographs of PHBHHx scaffold top view (ii) and cross-section (iii) (inset high magnification micrographs show polymer microporosity) (reproduced with permission [238]). (d) Anatomical PHBHHx scaffold by CAWS with shape and dimensions resembling those of a critical size segment of the New Zealand rabbit radio: representative pictures showing an implanted scaffold (i), the top view (ii) and the macrochanneled edge (iii) of the scaffold prototypes (reproduced with permission [258]).

Few articles reported on successful application of **FDM** to PHA processing, in all cases through their blending with other polymers and/or plasticizers. In particular, PCL/PHBV blend scaffolds at different weight ratios (75:25, 50:50 and 25:75) were recently fabricated by FDM (**Figure 9b**) [378]. The proliferative capacity and chondrogenic potential of porcine chondrocytes cultured on these scaffolds were enhanced by increasing the PHBV content **and** by treating the surface with low pressure oxygen plasma. A recent study reported on the development of a PHB/PLA blend in the weight ratio 60/25, containing also 15% wt. of an ester of citric acid (Citroflex®) acting as plasticizer, that was processed by FDM into 3D dog bone shapes for tensile mechanical characterization [379]. **Other studies dealt with** the development of composite filaments made of maleic anhydride-grafted PHBV reinforced with either palm fiber [615], wood flour [616], or multi-walled carbon nanotubes [617], even if FDM processability was not tested in any case.

The versatility of **SE-AM** for 3D PHA constructs fabrication has been described by various articles reporting on different CAWS approaches to process polymeric solutions through their coagulation in an ethanol bath. The possibility of obtaining PHBHHx scaffolds with tunable pores size and geometry as well as predefined external shape and dimensions was shown by varying CAWS design and fabrication parameters (**Figure 9c**) [238]. Optimized PHBHHx scaffolds developed through this approach supported the *in vitro* proliferation of MC3T3-E1 murine preosteoblast cells. A peculiar feature of this kind of PHBHHx scaffolds is a homogenous microporosity in the polymer matrix as a result of the NIPS process leading to polymer solidification [7]. A recent study showed the possibility of tuning this local micromorphology in terms of concentration of micropores by processing ternary PHBHHx/solvent/non-solvent mixtures with variable composition [254]. Anatomical PHBHHx scaffolds with dimensions and shape resembling those of a critical size segment of a New Zealand rabbit's radius were endowed with a longitudinal macrochannel designed to support host tissue infiltration from the edges of the resected bone once implanted (**Figure 9d**) [258]. Mechanical characterization highlighted the anisotropic behavior under tension and compression of these scaffolds whose compressive stiffness was increased by the presence of the longitudinal channel. CAWS approach was also successfully **applied to fabricate** scaffolds based on a blend of PHBHHx/PCL with tunable weight ratio between the two polymers.[239] In addition, by employing a rotating mandrel as auxiliary dynamic fiber collector, tubular PHBHHx constructs were recently developed as potential biodegradable intravascular stents for small caliber blood vessels [253].

## 4.2 Synthetic Biodegradable Polymers

Chemically-synthesized biodegradable polymers present many advantages over natural macromolecules favoring their processing, application and industrialization. Indeed, physical-chemical, mechanical, biodegradation, and functional properties of synthetic polymers can be precisely tailored by changing the synthesis/modification strategy and **conditions to** meet specific application-related requirements.

A wide range of synthetic biodegradable polymers have been developed for medical and pharmaceutical applications, including among others, polyesters, polyoxalates, polyanhydrides, **PUs**, polydioxanones, and polyphosphazenes [618]. They are susceptible of hydrolytic and/or enzymatic degradation *in vivo* through hydrolysis of ester, urethane, urea, or anhydride linkage depending on the molecular structure. The biodegradation rate of the polymer is directly related to the backbone linkage stability together with other factors, such as local pH, degree of crystallinity, equilibrium water content, and material porosity.

**This section is mainly dedicated** to aliphatic polyesters that represent the most widely investigated biodegradable synthetic polymers for AM thanks to their decade-long history of clinical use and processing **as a melt**, solution, or solid (**Table 3**). As nicely reviewed by Coulembier *et al.* [619], polyesters synthesis can be carry out through either homopolycondensation **of a hydroxycarboxylic acid**, hetero polycondensation of a diol with a dicarboxylic acid, or ring-opening polymerization (ROP) of lactones and related cyclic monomers. The latter method has become the most exploited one to obtain high molar mass polyesters thanks to its simplicity and suitability to control Mw distribution. The successful application of aliphatic polyesters in the biomedical field is related to a combination of different factors including biocompatibility of the polymer and relevant degradation products, tunable degradation kinetics in agreement to the envisaged application, high processing versatility, and mechanical properties suitable to a large range of applications [571, 572, 574, 577, 620]. As a consequence, numerous fabrication processes based on different AM techniques, ranging from ME-AM and SLS to SE-AM and BJ, have been developed **to process aliphatic polyesters and poly(ester urethanes) (PEUs)**. Together with PPF, which was the first biodegradable polyester investigated for SLA, methacrylate-functionalized derivatives of PCL, PLA, and other biodegradable polymers, e.g., **PTMC** [143, 144], have been investigated as suitable photoreactive resin components for AM.

**Table 3. Synthetic biodegradable polymers for biomedical AM.**

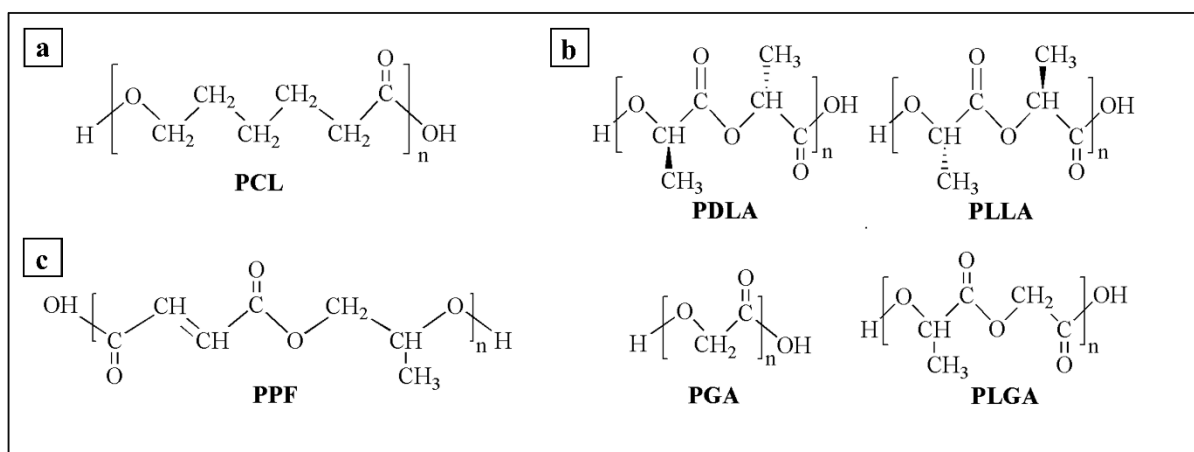
Polymer	AM technique	Processing conditions and material design
PCL	ME-AM	-FDM processing of filaments made of PCL possibly loaded with HA, TCP or a drug [203, 579, 581, 621]. -Melt extrusion of PCL and relevant bioceramic-loaded composites, driven by pneumatic pressure [622, 623], force-controlled plunger [583, 624, 625], or screw extruder [204, 207, 626-630]. -Hybrid AM through: combination of melt-extruded strands with electrospun fibers [112, 207, 631-635], fully integration of FDM and electrospinning at a technique level (i.e., MEW) [210, 211, 636-640], sequential combination of FDM and pore-forming processes (e.g., thermally-induced phase separation [641], salt leaching [113, 642]), MEW of a photocrosslinkable PCL derivative followed by UV exposure [643].
	SE-AM	-Deposition onto a platform of a PCL solution extruded in air [259]. -Hybrid AM through: extrusion and deposition of a PCL solution, possibly containing Hap particles or a drug, into a non-solvent bath (i.e., CAWS) [26, 74, 105, 252, 253, 644], inkjet printing of a mixture of PCL dimethylacrylate and PEGDA followed by UV curing [645].
	SLS	-Processing of a PCL powder [4, 646-650], also in combination with polysaccharides (i.e., starch, dextran, or gellan) [651], Hap [162, 168, 175, 652], or TCP [584, 585], possibly surface-coated with collagen [585] or gelatin [653].
	SLA	-Photocrosslinking of methacrylate [654] or divinyl-fumarate [655] PCL derivatives, or poly(ester amide) copolymers [656].
Poly( $\alpha$ -hydroxy acids)	ME-AM	-FDM processing of filaments made of PLLA [14, 657-659], PDLLA [218, 587, 660-663] or PLGA [664], possibly loaded with TCP, Hap, or drugs. - Pneumatic-driven extrusion of PLLA [586], PLLA/Hap [665], PLGA [215], or PLGA/PCL blends [595]; plunger-driven extrusion of PLGA/PCL blends loaded with TCP [582, 666-668]. -Hybrid AM of PLA through combination of FDM and gas foaming [114, 213].
	SE-AM	-Extrusion of: a solution of PDLLA, containing PEG as plasticizer and possibly a suspension of CaP or BG particles [261, 262, 590], a concentrated PDLLA solution in a volatile solvent (e.g., dichloromethane) [232]; inkjet printing of a PLGA solution to form 2D micropatterns [669]. -Hybrid AM of: PLLA/TCP [255, 670], PLGA [671], PLGA/TCP [596] and PLGA/pearl [597] by sequential combination of SE-AM and freeze drying; PLGA through a CAWS process involving the controlled deposition of a tetraglycol solution into ethanol [672].
	SLS	-Sintering of a powder made of: PLLA [673], PLLA/carbonated Hap [179, 674, 675], PDLLA [676], PDLLA/TCP [166, 173], PLGA/TCP [598], carbon-loaded PDLLA [172, 677, 678], carbon-loaded PDLLA/Hap [178], or TCP/carbon-loaded PDLLA [591].
	BJ	-Hybrid AM through controlled deposition of chloroform, possibly containing Pluronic®, onto a PLGA/NaCl powder bed, followed by salt leaching [679]. -Controlled deposition of an acetone/ethanol mixture, containing a drug, onto a PLLA [200, 680] or PDLLA [201, 202] powder bed.
	SLA	-Photocrosslinking of PDLLA functionalized with fumaric acid [145] or methacrylate [129, 592, 681], possibly loaded with Hap [594, 682, 683].
PPF	SLA	-Photocrosslinking of PPF diluted in its monomer (i.e., DEF) [567, 599-601, 684], possibly functionalized with ceramic and peptide coatings [138, 139, 685, 686] or with BMP-2-loaded PLGA microparticles that were suspended in the photopolymer resin [687, 688].
	SE-AM	-Hybrid AM through extrusion in air of a solution of PPF and DEF, containing a suspension of Hap, followed by UV exposure [603].
PEUs	ME-AM	- FDM processing of PCL-based PEUs [689-692], also blended with PVA [693]
	SE-AM (bioprinting)	- Extrusion of: a dimethylformamide solution of a blend of two aromatic PEUs directly into a water bath [694], a hexafluoro-2-propanol solution containing a poly(ester urethane urea) and paclitaxel [695], an organic PEU solution onto a low T platform followed by freeze drying [696] possibly by means of a dual nozzle [287-289, 697-699], an aqueous dispersion of a thermoresponsive waterborne PEU for bioprinting [700-703]. - Inkjet printing of an acid aqueous medium onto a film of a pH-sensitive PU based on PCL diols [704, 705].
PTMC	SLA	- Photocrosslinking of formulations containing: PTMC functionalized with methacrylate end groups, possibly as copolymer with PCL [141, 142], and either Hap [143, 144, 706] or $\beta$ -TCP [707].
	FDM	- FDM processing of a copolymer with PLLA [708, 709].
PVA	BJ	- Deposition of PVA aqueous binders on a polysaccharide powder bed [8].
	SE-AM	- Extrusion of a drug-containing PVA aqueous solution [106], inkjet printing of PVA aqueous solutions containing additives (e.g., humectant and pigments) [710].
	ME-AM	- FDM processing of PVA filaments loaded with either carbon nanotubes [711] or cellulose nanocrystals [712].



#### 4.2.1 Poly( $\epsilon$ -caprolactone) (PCL)

PCL represents one of the most investigated synthetic polymers for biomedical applications thanks to its biocompatibility, inexpensive production routes, controlled biodegradation and mechanical properties, high permeability to many drugs, and good blend-compatibility.

**Synthesis route and physical-chemical modification.** PCL is a semicrystalline aliphatic polyester with thermoplastic behavior (**Figure 10a**). High-Mw PCL is industrially synthesized by ROP of  $\epsilon$ -caprolactone monomer using tin bis(2-ethylhexanoate) (stannous octoate) as catalyzer in the presence of a higher alcohol initiator (e.g., 1-dodecanol) [619]. PCL has a  $T_g$  of *ca* -60 °C, a  $T_m$  in the range 60-65 °C, and a degree of crystallinity usually exceeding 50% (**Table 2**). At the physiological temperature PCL shows an elastic and ductile behavior since its amorphous domains are in a rubbery state. As a consequence of a highly hydrophobic nature and a high crystallinity degree, PCL has a slow biodegradation (years for complete *in vivo* absorption [713]) suggesting its use for long-term applications (e.g., load-bearing tissue scaffolds). Overall, PCL biodegradation *in vivo* involves first the non-enzymatic hydrolytic cleavage of ester groups, and eventually intracellular degradation once the Mw is decreased to less than 3000 g/mol [228]. The role and efficacy of enzymes, mainly esterases and lipases, in PCL degradation is not clear and difficult to isolate from other contributions. This aspect greatly depends also on the specifics of the local environment, like in the case of subcutaneous and intramuscular *in vivo* PCL degradation in which an absence of enzymatic activity is suggested [714]. Co-polymerization with other co-monomeric units (e.g., d,l-lactide, l-lactide, and ethyl ethylene phosphate) represents an effective means to accelerate the biodegradation rate, **and** to tune other physical-chemical and mechanical properties of PCL [715-717]. The incorporation of bioactive ceramic particles, including HA, TCP, CaCO<sub>3</sub>, and Wollastonite, into PCL matrices has been also investigated to develop osteoconductive bone implants with enhanced mechanical properties, to increase polymer hydrophilicity, as well as to create a pH buffer against the acidic degradation products of the polymer [66].



**Figure 10.** Chemical structure of biodegradable polyesters investigated for biomedical AM. (a) Poly( $\epsilon$ -caprolactone) (PCL). (b) poly( $\alpha$ -hydroxyacids), i.e., poly(D-lactide) (PDLA), poly(L-lactide) (PLLA), poly(glycolide) (PGA), and poly(lactide-*co*-glycolide) (PLGA). (c) poly(propylene fumarate) (PPF).

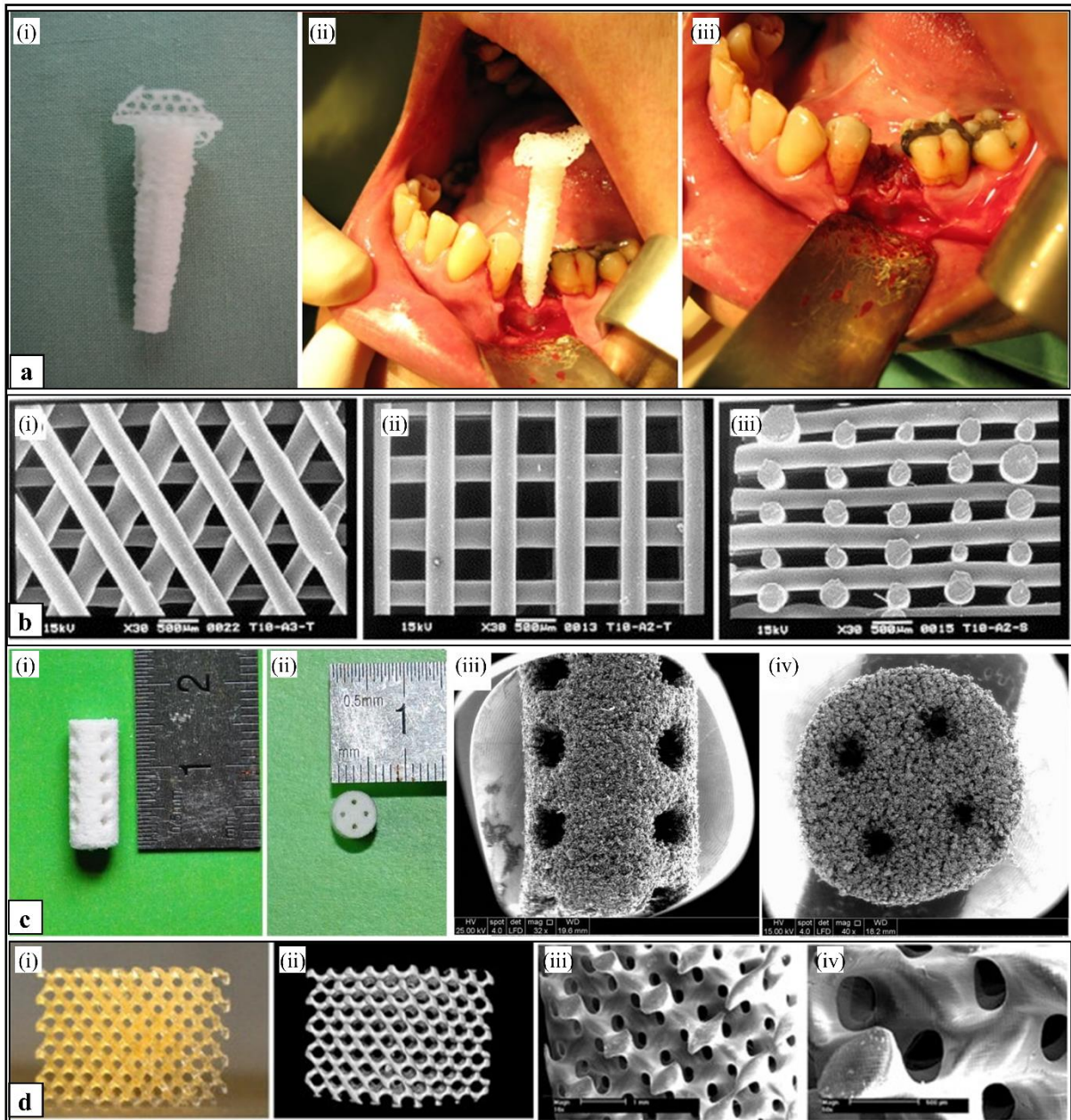
**Processing properties and biomedical use.** Thanks to its low melting temperature, high thermal stability, broad processing window ( $T_{deg} > 300$  °C), and suitable melt viscoelasticity, PCL can be easily processed by conventional melting techniques to develop composites and 3D devices [718]. PCL is soluble in different solvents, including halogenates, toluene, cyclohexanone, tetrahydrofuran, acetone, and dimethylformamide, making it suitable to be processed also as an organic solution [719]. This aspect has motivated a vast literature to investigate electrospinning as a suitable technique for the fabrication of PCL micro/nanofibrous meshes for different biomedical applications [720]. In addition, PCL insolubility in water, ethyl alcohol, diethyl ether, and petroleum ether can be exploited to employ NIPS approaches [721].

The suitable rheological and viscoelastic properties of PCL, both as melt or in solution, have been exploited to fabricate a wide range of medical devices and drug release systems. These include among others polymeric rods, micro- and nanoparticles for drug delivery, sutures, wound dressings, contraceptive implants, fixation devices, dentistry materials, and scaffolds for bone, cartilage, blood vessels, skin, and nerve regeneration [228, 620]. In particular, PCL-based sutures (e.g., Monocryl®) [722] and subcutaneous contraceptive implants (e.g., Capronor®) [723] have been clinically used for years. In addition, PCL is employed in the production of other commercial products, such as tissue repair patches manufactured by Ethicon Inc. and filling agents for non-load bearing bone cavities [606].

**AM application.** PCL is the most widely employed biomedical polymer in ME-AM thanks to its well-assessed melt processing properties, as witnessed by its employment for the fabrication of biodegradable devices used in the clinical practice (Figure 11a). PCL physical properties and thermoplastic behavior meet also the basic requirements of SLS. Its solubility in different

organic solvents and the suitable rheological properties of the resulting PCL solutions have also encouraged research on its processing by means of SE-AM techniques (e.g., CAWS). In addition, the well ascertained melt- and solution-blending compatibility of PCL with a number of commercial polymers offers many possibilities to develop innovative AM materials for biomedical applications.

Hutmacher *et al.* [203] were the first to develop PCL scaffolds by means of ME-AM (Figure 11b). In particular, they employed FDM to fabricate scaffolds made of PCL or PCL/Hap composites and endowed with a tailored porous architecture well supporting the *in vitro* proliferation, differentiation, and ECM synthesis of primary human fibroblasts and periosteal cells [579]. *In vivo* trials showed that this kind of PCL scaffolds promoted the formation of new bone tissue in an orbit defect model surgically created in Yorkshire pigs [724], and in a defect on medial femoral condyle of adult New Zealand White rabbits as well [580]. Afterwards, PCL plug scaffolds by FDM were tested in a clinical pilot study involving five patients needing cranioplasty surgery [725]. Twelve months after implantation, the scaffolds were well integrated in the surrounding calvarial bone with new bony tissue filling the porous space. Clinical trials eventually led to FDA approval of a set of PCL scaffolds by FDM for craniofacial applications. They are currently marketed by Osteopore International in the form of 3D implants (i.e., Osteoplug™) or thin interwoven meshes (i.e., Osteomesh™) [726]. Implantation of Osteoplug™ scaffolds into burr holes of twelve patients treated for a chronic subdural hematoma, resulted in good osteointegration without any adverse events, with a mean follow-up of 16 months [727]. Osteomesh™ implants were recently investigated for orbital floor fracture repair in 20 patients. The study led to good functional and aesthetic outcomes with no signs of inflammation or reduction in limitation of ocular mobility, while neobone formation was evident on CT scan performed 18 months after implantation [728]. A pilot randomized controlled clinical trial involving thirteen patients was aimed at evaluating the feasibility and effectiveness of using a conical shape PCL scaffold, supplied by Osteopore International, in fresh extraction sockets for ridge preservation (Figure 11a) [729]. The implants were used as space fillers and resulted after 6 months in better bone healing and maintenance of ridge height as compared to extraction sockets without the scaffold. PCL scaffolds by FDM were also recently shown to be good candidates for soft tissue regeneration upon *in vitro* culture in combination with human adipose tissue-derived precursor cells [730]. 2 and 4 weeks after implantation in nude mice, angiogenesis and adipose tissue formation were observed throughout the engineered constructs by using a femoral arteriovenous flow-through vessel loop.



**Figure 11.** AM of PCL scaffolds. (a) Clinical application of additive manufactured PCL scaffolds: pictures of PCL conical scaffold by FDM for bone healing (i), scaffold insertion in a fresh extraction socket (ii), scaffold trimming off with a scalpel after insertion (iii) (reproduced with permission [729]). (b) FDM: top-view of 0/60/120° lay-down pattern (i); top view (ii) and cross-section (iii) of 0/90° lay-down pattern (reproduced with permission [731]). (c) SLS: pictures and SEM micrographs of side view (i) and (iii) and top view (ii) and (iv) of PCL/Hap scaffold (reproduced with permission [652]). (d) SLA: photograph (i),  $\mu$ CT visualization (ii) and SEM micrographs (iii) and (iv) of a PCL-based scaffold (reproduced with permission [654]).

A large body of literature has investigated FDM manufacturing of PCL/TCP composite scaffolds with enhanced mechanical strength, osteoconductive potential, and tailored biodegradation kinetics [581, 732-738]. Probst *et al.* [739] implanted in a human patient an anatomical PCL/TCP scaffold shaped on the basis of a CT scan of a complex calvaria defect,

observing bone healing and no palpable defect area after 6 months. Different strategies have been followed to enhance the bioactivity of PCL/TCP scaffolds, such as platelet rich plasma treatment [740], collagen coating [735], NaOH treatment [738], *in vitro* culture with animal [733], or human MSCs [736], and combination with growth factors [741, 742]. For instance, PCL/TCP scaffolds by FDM were cultured *in vitro* with human MSCs and then implanted in nude rat critical-sized femoral defects leading to cell survival for up to 3 weeks post-transplantation, as well as 50% of new bone grown success rate [736]. Biphasic constructs composed by a PCL cartilage scaffold coupled to a PCL/TCP osseous matrix, both fabricated by FDM, were resurfaced with a PCL/collagen electrospun mesh, seeded with MSCs, and implanted into critically-sized osteochondral defects in pigs [737]. Bone ingrowth and remodeling with high mineralization rate, functional cartilage restoration, and low occurrence of fibrous tissue were observed after 6 months. Biphasic scaffolds were also investigated for the regeneration of the goat femoral head by combining an anatomical PCL/Hap scaffold by FDM (**Figure 1f**) with a non-woven scaffold composed by PLA-coated PGA fibers [33]. These scaffolds were seeded with chondrocytes and bone marrow stromal cells (BMSCs) and subcutaneously implanted in nude mice leading after 10 weeks to histological structures and biophysical properties similar to those of native goat femoral head. Chhaya *et al.* [2] described a clinical case in which a 70-year old female patient received a customized scaffold loaded with autologous bone marrow and BMP-7 for the treatment of a non-union tibial defect of 4 cm. After 18 months of follow-up, the scaffold was filled with 75% newly regenerated bone with no reported post-operative discomfort.

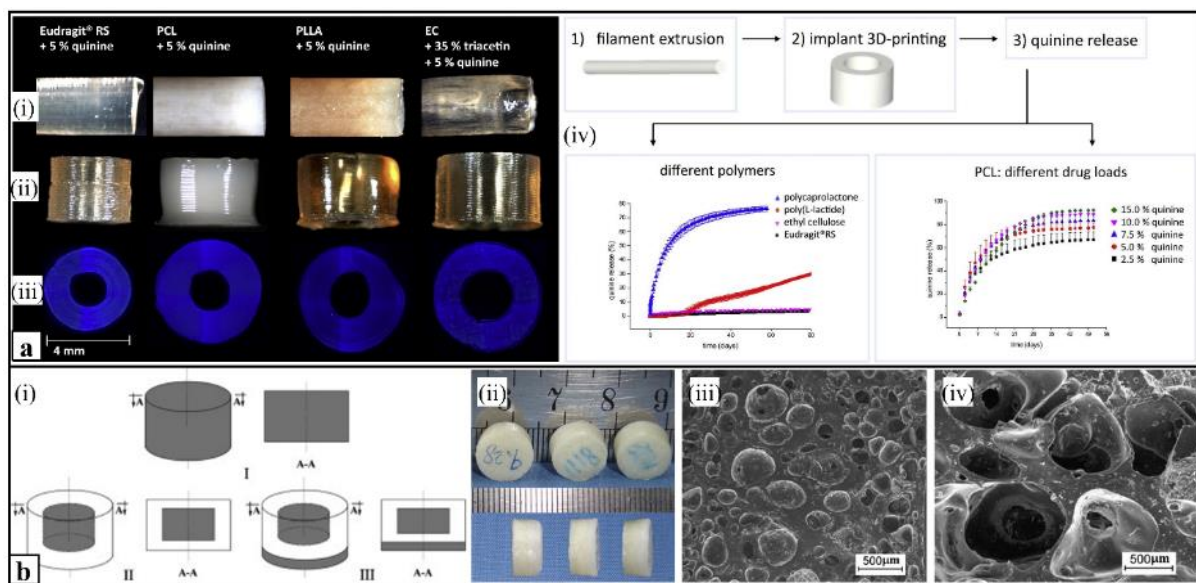
As previously mentioned, FDM has lately come into focus as potential fabrication technique for drug-releasing medical implants and pharmaceutical dosage forms. PCL is often investigated in this field since its low  $T_m$  allows the preparation of drug-loaded filaments by melt extrusion without compromising the molecular structure of the therapeutic agent. By following this approach, Teo *et al.* [621] developed gentamicin sulphate-loaded PCL/TCP meshes with effective antibacterial activity in an infected full thickness wound mouse model. Similarly, T-shaped PCL prototypes of an intrauterine contraceptive device were fabricated by FDM and optimized to release *in vitro* indomethacin under sink conditions over a period of 30 days [743]. Kempin *et al.* [229] developed hollow cylinder model implants made of PCL, PLLA, ethyl cellulose (EC), or the methacrylic polymer Eudragit® RS, by processing quinine-loaded filaments prepared by solvent casting followed by hot melt extrusion (**Figure 12a**). In particular, the PCL-based formulation allowed an advanced control over *in vitro* quinine release kinetics by varying the filament drug loading. A recent case study was focused on custom-prepared PCL

filaments to manufacture disk-shaped model oral dosage forms [230]. In particular, feedstock indomethacin-loaded filaments with optimized mechanical and rheological properties for FDM were developed by tuning the drug loading. PCL was also investigated as a blend component in combination with hydrophilic polymers, i.e., poly(1-vinylpyrrolidone-*co*-vinyl acetate) and PEG, to modulate material processing properties and the release kinetics of the model drug caffeine from relevant FDM-printed tablets [219].

The successful application of FDM to PCL has encouraged research on alternative ME-AM technological solutions based on either pneumatic pressure-, piston- or screw-driven extrusion. As an example, Yilgor *et al.* [622] developed PCL scaffolds with different fibers stacking organization by using a Bioplotter® (Envisiontec GmbH) with a pressurized cartridge unit heated at 140 °C. **Implantation in a rat pelvis model showed that** bone regeneration within the scaffold was enhanced by increasing the pores size and endowing the scaffold with a sequential release of BMP-2 and BMP-7 from polymeric nanoparticles. A multi-head deposition system equipped with pressure-assisted melt and solution syringes was employed to alternatively plotting layers made of either PCL or chondrocytes-encapsulated alginate [623]. A ME-AM system using a steel syringe was employed to fabricate 3D scaffolds made from a composite of PCL, PLGA, and TCP, and ornamented with cell-laid ECM that was shown to enhance bone formation in ectopic and orthotopic rat models [624]. A similar approach was applied to fabricate PCL scaffolds loaded with synthetic [625] or bio-derived Hap [583]. The screw-based PED technology was employed to develop a set of PCL scaffolds with resolution at the microscale [204]. Optimized PCL scaffold prototypes developed by PED were seeded with primary fetal bovine osteoblasts and then subcutaneously implanted in nude mice showing increased osseous ingrowth during a 8 weeks study period [626]. Cell attachment to this kind of scaffolds can be increased by applying a coating made of a polysaccharide (e.g., chitosan) after *in situ* plasma treatment [627]. Bioextruder is another screw-based system investigated to develop PCL scaffolds with tunable pores size and mechanical properties by varying processing parameters, such as screw rotation velocity and nozzle translation velocity [207, 628]. This technology has been optimized to develop PCL scaffolds with high accuracy, possibly loaded with BGs (e.g., FastOs®BG) [629] or surface-modified through plasma treatment during fabrication [630].

PCL processing versatility has been greatly exploited to develop **hybrid AM** techniques designed to combine hierarchical structural features. A number of articles have reported on the alternating deposition of PCL strand layers by ME-AM and ultrafine fibrous layers by electrospinning (**Figure 13a**). Indeed, the integration of PCL-based additive manufactured

structures with electrospun fibers made of PCL [631], PCL/silk fibroin blend [632], PCL/collagen blend [112], or PLGA [207] has been reported to significantly influence cell adhesion, proliferation, morphology, and migration. In addition, intercalating collagen nanofibrous layers into a PCL scaffold by ME-AM was shown to enhance the mechanical properties of the resulting construct [633]. A more sophisticated fabrication process was developed by alternating PCL strand layers with electrospun PCL and osteoblast-like cells-laden alginate struts in order to achieve homogeneous cell proliferation throughout the scaffold [634]. A multiscale hybrid AM approach was also investigated by Yoon and Kim [635] who integrated an electrospun mesh made of rhodamine-B-loaded core/shell PEG fibers into a PCL scaffold fabricated by ME-AM. In this case, drug release could be tailored by sandwiching the hydrophilic mesh between two electrospun PCL meshes with adjustable thickness.



**Figure 12.** AM of drug-loaded biodegradable implants. (a) FDM manufacturing of quinine-loaded implants made of different polymers, i.e., the methacrylic polymer Eudragit® RS, PCL, PLLA or EC: reflected light microscopic images of side view of the filaments employed (i) and the resulting implants (ii), fluorescence microscopic images of the implants top view (iii); *in vitro* cumulative drug release profiles of implants made from different polymers, and of PCL implants loaded with different quinine percentages (iv) (reproduced with permission [229]). (b) Levofloxacin-loaded PLLA implants by BJ: schematics of implant structures (i.e., matrix, capsule, and double-layer/capsule structures) (i), picture of fabricated implants (ii), SEM micrographs of the manufactured implants before (iii) and after *in vitro* release for 40 days (iv) (reproduced with permission [200]).

**MEW** represents a successful example of AM and electrospinning integration at a technique level to fabricate PCL structures up to 10 mm in height over relatively large build areas, approaching single micron filament resolution and 20 µm placement resolution (**Figure 13b**) [210, 211]. PCL has been processed by MEW into scaffolds with cuboid [211, 636] or tubular

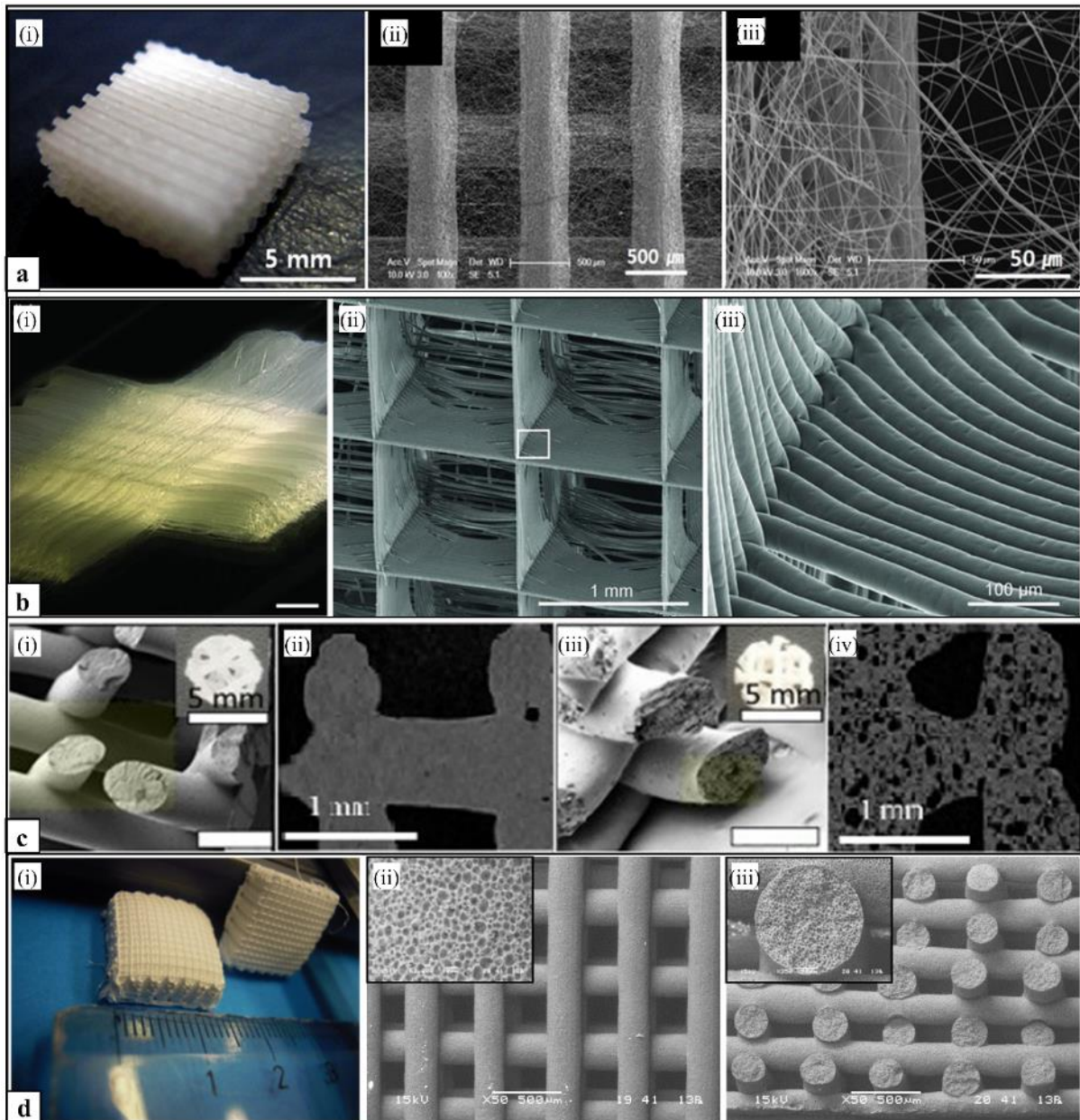
geometry [637, 638], and as fibers integrated in a hydrogel as pore-forming sacrificial material [639] or reinforcing network [640]. Bicompartamental scaffolds were also developed by combining different PCL constructs fabricated by FDM and MEW for periodontal TE [744] or by combining a PCL construct by MEW with an alginate gel for osteochondral TE [745]. *In vitro* studies have demonstrated that PCL scaffolds developed via MEW can support cell attachment, proliferation, ECM formation, and cell infiltration throughout the thickness of the scaffold, facilitated by the large pores and the high pores interconnectivity [746]. Different articles have reported animal experiments involving the subcutaneous implantation in immunocompromised rodents of this kind of PCL scaffolds, often functionalized with recombinant human BMP-7 and CaP coatings. In particular, as previously mentioned, PCL tubular constructs by MEW were investigated to develop humanized bone organ models in mice for studying metastatic sites of prostate and breast cancer cells [89, 94, 95].

The sequential combination of FDM with a pore-forming processing technique is an effective strategy to develop PCL scaffolds with a dual-scale porosity. For instance, Jensen *et al.* [641] incorporated a surface microporous structure into a FDM-manufactured PCL scaffold by infusing it with a ternary mixture of PCL, 1,4-dioxane, and water that underwent thermally-induced phase separation followed by lyophilization. In order to fasten and optimize drug release from PCL scaffolds by FDM, Visscher *et al.* [113] introduced a tunable microporosity in the polymeric matrix through salt leaching, and then applied a Gel-MA coating achieving a sustain release of cefazolin for up to 3 days (Figure 13c). The microporosity was also shown to facilitate the scaffold degradation during an accelerated test in NaOH. The combined FDM-salt leaching approach was also recently employed to control the *in vitro* release of different drug models (i.e., doxorubicin, paclitaxel, and cefazolin) from PCL scaffolds [642].

PCL scaffolds with a dual-scale porosity can be also fabricated through a one-step CAWS process involving the extrusion of an acetone polymeric solution into an ethanol bath (Figure 13d) [26]. The scaffold can be functionalized by adding to the PCL solution an osteoconductive ceramic [252] or a drug [105] that is then incorporated in the polymeric matrix upon coagulation. PCL scaffolds by CAWS were shown to support the adhesion, proliferation, differentiation, and mineralized matrix deposition of murine preosteoblast cells [26] and human ASCs [644]. Combining these PCL scaffolds with an integrated chitosan-based hydrogel is an effective means to endow them with antimicrobial properties [252]. PCL tubular constructs can be fabricated by using a rotating mandrel into the coagulation bath as an auxiliary fiber collector [253]. In addition, CAWS was employed to process a three-arm star PCL (\*PCL) into dual-scale porous scaffolds with enhanced mechanical properties comparing to analogous constructs



made from PCL with a linear molecular structure [251]. This kind of \*PCL scaffolds was endowed with an anatomical geometry, and implanted in critical size defects created in a rabbit radius model, showing good interaction with the host tissue without inflammatory response [74]. After 3 months, the defects were bridged with new bone tissue covering the scaffolds and penetrating into the first fibrous layers. AM of PCL-based solutions through their extrusion and deposition in air was also investigated to fabricate porous structures, achieving a limited development along building direction (Z axis) [259]. Overall, PCL scaffolds fabricated by CAWS show less accurate geometrical and dimensional fidelity to the CAD model in comparison to what observed when ME-AM is applied to PCL because of different factors, such as volumetric shrinkage and geometrical distortion during coagulation, as well as fluid dragging forces acting on the depositing filament. On the other hand, the aforementioned advantages of CAWS in terms of versatility in microstructural and drug loading functionalization should be also taken into account.



**Figure 13.** Hybrid AM of PCL. (a) Dual-scale fibers scaffolds by FDM and electrospinning integrated at a fabrication level: representative picture (i) and SEM micrographs 100x (ii) and 1000x (iii) of scaffold composed of PCL macrostrands and PCL nanofibers (reproduced with permission [112]). (b) Microfiber scaffolds by MEW: representative picture (i) and SEM micrographs (ii) and (iii) of scaffold composed by PCL microfibers (reproduced with permission [211]). (c) Dual-scale porous scaffolds by sequential FDM and salt-leaching: representative cross-section SEM and  $\mu$ -CT micrographs of dense PCL scaffold by FDM (i and ii) and microporous PCL scaffold by FDM/salt leaching (iii) and (iv) (reproduced with permission [113]). (d) Dual-scale porous scaffolds by CAWS: representative picture (i) and SEM micrographs of top view (ii) and cross-section (iii) of PCL scaffolds (inset high magnification micrographs show polymer microporosity) (reproduced with permission [26]).

**SLS** was first applied to PCL in 2005 for the fabrication of scaffolds that were subcutaneously implanted in an immunocompromised mice model to study their suitability to support bone in-growth [4]. After that, several studies investigated SLS to process PCL also in combination

with polysaccharides (i.e., starch, dextran, or gellan) [651], Hap [168, 175, 652], or TCP [584], possibly surface-coated with collagen [585] or gelatin [653] for bone and cartilage TE. In general, **these kinds of scaffold** are characterized by a lower resolution and a higher surface roughness than PCL scaffolds obtained by other AM techniques (**Figure 11b**). Smith *et al.* [646] computationally designed and fabricated a condylar ramus unit PCL scaffold for temporomandibular joint reconstruction in a Yucatan minipig animal model. Once implanted and fixed in the mandible using mini-plates, the scaffold could support masticatory function as well as both osseous and cartilage regeneration. Nano-Hap inclusion into PCL scaffolds by SLS led to enhanced bone formation and increased biodegradation velocity after seeding with human MSCs and implantation in a critical femoral bone defect in rabbits [652]. Composite microspheres made by S/O/W methods can be employed, in place of mechanically mixing PCL and Hap particles, in order to obtain more uniform granulometry and particles shape, even if mechanical properties worsening can occur [168]. A recent study reported on the development of PCL/TCP scaffolds by loading the ceramic phase up to 30% wt. with a resulting significant increase in the compressive modulus [585]. The *in vitro* osteogenic differentiation of porcine ASCs seeded on these scaffolds was enhanced by applying a collagen type I coating that also favored *in vivo* bone formation and vascularization after intramuscular implantation of the construct in nude mice. Analogously, collagen type II coating favored **the *in vitro* and *in vivo* proliferation** of porcine chondrocytes seeded on PCL scaffolds.[653] Zopf *et al.* [22] described for the first time in 2013 the implantation of a PCL device in an infant suffering from tracheobronchomalacia to prevent airway collapsibility (**Figure 1e**). From 2012 to 2018, 15 subjects with a median age of 8 months received 29 splints on their trachea, right and/or left mainstem bronchi [747]. The tracheobronchial splints were developed on the base of a 3D model of the patient's airway and fabricated by SLS starting from a powder made of PCL [647] or a blend of PCL with Hap (4 wt.%), added as a flowing agent during the sintering process [162]. The same technology was investigated to develop patient-specific PCL scaffolds for craniofacial soft tissue reconstruction that were tested *in vitro* [648, 649]. As an example, auricular scaffolds seeded with primary porcine auricular chondrocytes and cultured in a pro-chondrogenic medium were subcutaneously implanted in rats [650]. After 4 weeks, diffuse chondrogenic tissue formation was observed in both the peripheral and central aspects of the explants.

Attempts to fabricate PCL-based constructs by **photoreculation AM** were also reported. For instance, three-arm \*PCL oligomers of various Mw were end-functionalized with methacrylic anhydride, and processed by SLA to fabricate 3D biodegradable scaffolds with a predefined

porous structure (**Figure 11c**) [654]. BG incorporation up to 20 wt. % into the polymer matrix, through its dispersion in the photoreactive resin, allowed enhancement of fibroblasts proliferation. An alternative fabrication approach is based on inkjet printing of a mixture of PCL dimethylacrylate and PEGDA followed by UV curing to manufacture mesh structures with different patterns [645]. Divinyl-fumarate PCL was also recently investigated as an alternative photocurable polymer to overcome problems related to substances leached out from acrylic resins, such as inflammation and allergic reactions [655]. The polymer was successfully employed in combination with NVP, as a reactive diluent, to fabricate by  $\mu$ SLA porous scaffolds with gyroid and diamond architectures supporting the adhesion and spreading of human MSCs. SLA fabrication of 3D scaffolds through photocrosslinking of poly(ester amide) copolymers based on  $\epsilon$ -caprolactone and l-alanine was also reported [656].

#### 4.2.2 Poly( $\alpha$ -hydroxy acids)

Poly( $\alpha$ -hydroxy acids), including PLA, PGA, and their copolymers (**Figure 10b**), are widely investigated in the biomedical area, and clinically employed for various applications thanks to their biocompatibility, controlled biodegradability into safe byproducts that can be resorbed through normal metabolic pathways, and mechanical properties suitable for different applications.

**Poly(lactide) (PLA)**. As a consequence of the chirality of lactic acid (2-hydroxy propionic acid) that can exist in optically active d- or l- isomers, different forms of PLA exist as poly(l-lactide) (PLLA), poly(d-lactide) (PDLA), poly(d,l-lactide) (PDLLA), and poly(l-lactide-co-d,l-lactide) (PLDLLA). The polymer stereochemical structure can be easily modified by polymerizing a controlled mixture of l- and d-isomers to yield semicrystalline or amorphous polymers. On an industrial scale, high-Mw PLAs are commonly synthesized by ring-opening polymerization of dilactide (3,6-dimethyl-p-dioxane-2,5-dione), the cyclic dimer of lactic acid. The nomenclature adopted for PLAs is often confused and contradictory. As a rule of thumb polymers obtained by polycondensation of lactic acid should be referred to as poly(lactic acid), while those synthesized by ROP of lactide as poly(lactide).

Considering that lactic acid is generally obtained by microbial fermentation and that the resulting macromolecule in turn can degrade down back to lactic acid, PLA is generally considered as an environmentally friendly material [309]. Dilactide is synthesized with high yield and selectivity by using weakly basic catalysts (e.g., tin and zinc oxides, organostannates and -titanates) [748]. The l-isomer is the preferred feedstock for dilactide since the majority of lactic acid from microbial fermentation exists in this form and it provides high dilactide yield

for the synthesis of high-Mw PLAs with high crystallinity and mechanical strength [604]. A large range of properties can be obtained by copolymerization with the d-isomer of lactic acid as well as with other oxygenated functional monomers, such as glycolide,  $\epsilon$ -caprolactone, and polyether polyols. A l-lactide content above 90% typically results in a semicrystalline structure with enough toughness and high tensile strength. When the l-lactide percentage is below 80%, an amorphous morphology is obtained. Unfortunately, some companies do not clearly specify the composition and stereoisomeric form of the marketed PLA batches. In some cases, commercially available PLAs are blends of PLLA and PDLLA. Relevant information can be however deduced from polymer  $T_m$ , crystallinity, and macroscopic appearance (i.e., translucent or opaque).

PLLA has been reported to have a  $T_g$  in the range 55-80 °C, a  $T_m$  in the range 170-180 °C, and a crystallinity degree in the range 40-50% (**Table 2**) [566, 569]. Since the amorphous domains of PLLA are in a glassy state at the physiological temperature, the polymer behaves as a brittle material when employed for clinical use. PDLA has typically lower  $T_g$  and  $T_m$  values than PLLA [568]. In addition, by increasing the d-stereoisomer content in PDLLA the  $T_g$  and  $T_m$  are significantly decreased [749]. In general, commercial PLAs are soluble in different solvents, such as dioxane, acetonitrile, chloroform, methylene chloride, 1,1,2-trichloroethane, and dichloroacetic acid, and partly soluble in ethyl benzene, toluene, acetone and tetrahydrofuran [570]. However, in the case of highly crystalline PLLA the list of suitable solvents is restricted. Water, alcohols (e.g., methanol, ethanol, and propylene glycol) and unsubstituted hydrocarbons (e.g., hexane and heptane) are non-solvents exploited for PLA precipitation procedures.

The development of new routes for the production of high-Mw polymer batches together with the growing environmental awareness in the general public have expanded the PLAs use for consumer products and packaging applications [750]. In the biomedical field PLLA has been investigated for different applications requiring a mechanical role, leading to various biodegradable products on the market and used in the clinical practice, including long lasting sutures, suture reinforcements, suture anchors, meniscal darts, and a wide range of devices for guided tissue regeneration barrier, osteosynthesis, and orthopedic fixation (e.g., Atrisorb® Freeflow™, Bio Interference Screw®, BioScrew®, Biotrak®, and Bio-Anchor®) [573, 576, 606, 751, 752]. In addition, PLLA is considered as the reference biodegradable material for intravascular biodegradable stents thanks to its relatively low  $T_g$  above the physiological temperature, which can be exploited for balloon expansion implantation procedures [753]. As a consequence of its more brittle behavior, lower mechanical properties, and higher hydrophilicity, amorphous PDLLA is mainly investigated for drug release applications [408].

Poly( $\alpha$ -hydroxy acids) are degraded in the human body mainly through hydrolysis into oligomers and monomeric acids, which can enter the tricarboxylic acid cycle and be excreted in the form of carbon dioxide and water via respiratory route and renal filtration [754]. Depending on the application, the complete resorption of PLLA devices takes long time, such as in the case of interference orthopedic screws, which persist *in vivo* for up to 5 years and require 7 to 10 years for complete resorption [755, 756]. Amorphous PDLLA is in general subjected to a faster biodegradation than semicrystalline PLLA [757]. In addition, the methyl pending group confers to PLAs repeating unit a more hydrophobic character, generally causing a slower biodegradation in comparison to PGA. The main biodegradation stage of poly( $\alpha$ -hydroxy acids) involves a non-specific, bulk hydrolytic scission of ester bonds. After that, the cleavage of the chain end groups (chain unzipping) takes place together with the solubilization of the degradation products [620]. The carboxylic acid end groups and the carboxylic degradation by-products can catalyze the hydrolytic process causing sometimes undesired effects, such as premature fail of the implant, tissue inflammation due to local acidification, denaturation of loaded drugs and/or poor control over their release kinetics [758, 759]. Inclusion of bioceramics (e.g., Hap nanopowder) into polymeric matrices, besides enhancing material osteoconductivity and mechanical strength [760, 761], can represent a strategy to counteract the acidic degradation by stabilizing the pH of the local environment [762, 763].

**Poly(glycolide) (PGA).** It is highly crystalline (45-55%), with a  $T_g$  between 35 °C and 40 °C, relatively high  $T_m$  (> 200 °C) and tensile strength (12.5 GPa) [575]. High-Mw PGA is typically synthesized by ROP of glycolide, the cyclic dimer of glycolic acid, commonly using as catalysts organo-tin, antimony, or zinc.[578] Although PGA was used in the manufacturing of the first synthetic absorbable suture (DEXON®) in the sixties [764] and in the production of internal bone pins (Biofix®) until 1996 [765], its rapid degradation in aqueous environment and insolubility in common solvents have limited its investigation as main component of load-bearing devices and drug release systems. Besides a quick decrease of scaffold mechanical properties, PGA fast biodegradation can lead to local glycolic acid concentration and subsequent inflammation [766]. Anyhow, a few studies investigated PGA as a filler material in combination with other biodegradable polymers, or in the form of non-woven fabrics to support the regeneration of different tissues, including bone [767, 768], cartilage [769, 770], and tendon [771].

**PLGA copolymers.** They have been extensively employed in the biomedical field to exploit the possibility of tailoring their biodegradation, mechanical, and processing properties by varying the lactide/glycolide molar ratio, the end groups, and the lactide isomeric form (i.e., l- or d,l).

For instance, the degradation time can be decreased from 5-6 months to 1-2 months by varying the d,l-lactide/glycolide molar ratio from 85:15 to 50:50 [772]. Indeed, as a consequence of their higher hydrophobicity, the lactic acid moieties are hydrolyzed slower than the glycolic acid ones, resulting in a variation of their ratio during biodegradation. The processing technique and the resulting macromolecular morphology also play a key role in the resulting biodegradation kinetics, as reported by an article demonstrating a significantly slower biodegradation of PLGA electrospun fibers in comparison to dense films made from the same raw copolymer [773]. This finding was explained with the high packing density and axial orientation of polymer chains during electrospinning, slowing down water diffusion in spite of the much higher surface area of electrospun fibers in comparison to monolithic films. PLGA copolymers have been particularly investigated for different biomedical applications, such as electrospun fibers [773] or micro/nanoparticles [774] for drug release, and 3D porous scaffolds for TE [775]. Various PLGA-based products are available on the medical market, including injectable formulations for the treatment of prostate cancer and endometriosis (LUPRON DEPOT®), opioid and alcohol dependence (Vivitrol®), human growth hormone deficiency (Nutropin Depot®), or macular edema and non-infectious uveitis (OZURDEX®). Other commercial PLGA devices are absorbable sutures (Vicryl®, Vicryl Rapide®, Polysorb® and Purasorb®), patches for wound treatment and skin regeneration (Vicryl® mesh, Dermagraft®, CYTOPLAST Resorb®), bioresorbable fixation plates, screws, and pins for bone fracture stabilization and craniofacial reconstruction (Rapidsorb® plates, LactoSorb®, RFS™ Screw and Pin System) [752, 776].

**AM application.** Highly crystalline PLAs (e.g., **PLLA**) are among the most employed materials in **FDM** thanks to their relatively low  $T_m$  (<180 °C) enabling their processing outside of a dedicated facility without the use of a heated construction platform.[777] However, as previously mentioned, PLAs can be susceptible to degradation during thermal processing [227]. In addition, drawbacks related to low melt elasticity and strength, often resulting in melt rupture during processing, have been connected to PLLA high chain stiffness and low entanglement density [778, 779]. As discussed before, small percentages of d-lactide are enough to significantly decrease the crystallinity degree and change polymer melt rheology. Macromolecular chain stiffness and morphological properties can be also modulated through copolymerization with other co-monomeric units. For instance, poly(l-lactide-co- $\epsilon$ -caprolactone) (PLACL) and poly(l-lactide-co-trimethylene carbonate) (PLATMC) can be engineered in order to be endowed with a chain flexibility optimized for melt processing [708,

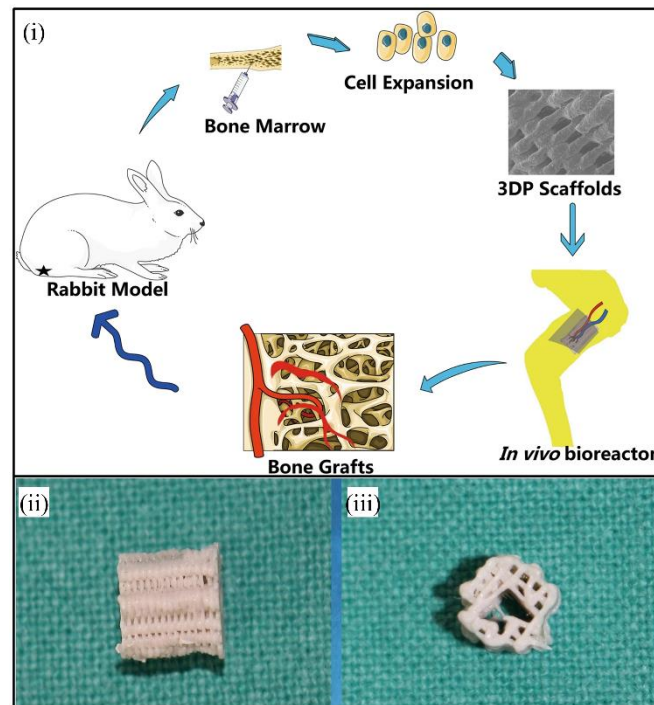
709]. Indeed, commercial PLACL and PLATMC are characterized by a significant lower  $T_g$  (e.g., 13 and 32 °C, respectively) in comparison to PLAs [709].

The fabrication of PLLA scaffolds by means of ME-AM was reported for the first time in 2001 by employing a pneumatic system designed to process polymeric grains [586]. The possibility to extrude PLLA filaments for FDM, possibly loaded with TCP, was subsequently shown [657]. Different studies demonstrated that FDM-manufactured dog bone shaped samples made of different commercial PLAs have tensile modulus and strength comparable to those reported for PLLA-based films prepared by means of solvent casting or compression molding [14, 657, 658]. In particular, Chacón *et al.* [15] showed that PLA samples fabricated by FDM exhibited anisotropic mechanical behavior that could be changed by acting on build orientation, layer thickness, and feed rate. Chhaya *et al.* [218] employed FDM to fabricate PDLA scaffolds with a geometry modelled *in silico* via a laser scanning data set from a patient who underwent breast reconstruction surgery. These breast-shaped scaffolds were employed for *in vivo* modelling of angiogenesis and adipose tissue formation in athymic nude rats. FDM was also recently employed to fabricate a porous PLLA screw-like scaffold that was coated with Hap and loaded with MSCs [659]. *In vivo* assessment in a rabbit anterior cruciate ligament model showed that PLLA screw constructs supported significant bone ingrowth and bone-graft interface formation. A recent article reported on FDM fabrication of scaffolds composed of semicrystalline PLA strands (~115 µm) and with different pore size (150, 200, 250 µm), achieving high reproducibility and good dimensional fidelity to the CAD model [660]. However, thermal processing caused a significant reduction of polymer Mw and  $T_{deg}$ , even if the scaffolds well-supported the proliferation of human BMSCs. Low porosity PLA scaffolds with enhanced mechanical properties were recently fabricated by FDM and investigated for bone TE [588]. Another study showed that by optimizing pore size (i.e., 750 µm) of PLA scaffolds fabricated by FDM, osteoblasts proliferation, metabolic activity, and osteogenic matrix protein production were enhanced [587].

Lately, PLLA/Hap scaffolds fabricated by a piston ME-AM system were seeded with BMSCs and implanted in a rabbit model used as *in vivo* bioreactor to generate a large vascularized tissue engineered bone (Figure 14) [665]. In particular, the scaffold was designed with a central channel and crossed with the saphenous vessel bundle. Other attempts to biofunctionalize PLA scaffolds fabricated by FDM involved coating them with gelatin/polylysine interpenetrating polymer networks, designed to release different growth factors with a spatiotemporal controlled mechanism [589], or incorporating in the polymer matrix RGD-conjugated gold nanoparticles.[780] In addition, PLAs are among the pharmaceutical grade polymers that can



be extruded into filaments loaded with drugs to produce custom-made oral dosage forms or implantable drug-releasing systems. For example, printing PDLLA model disk geometries incorporating the antimicrobial nitrofurantoin was described in details by different articles [661-663].

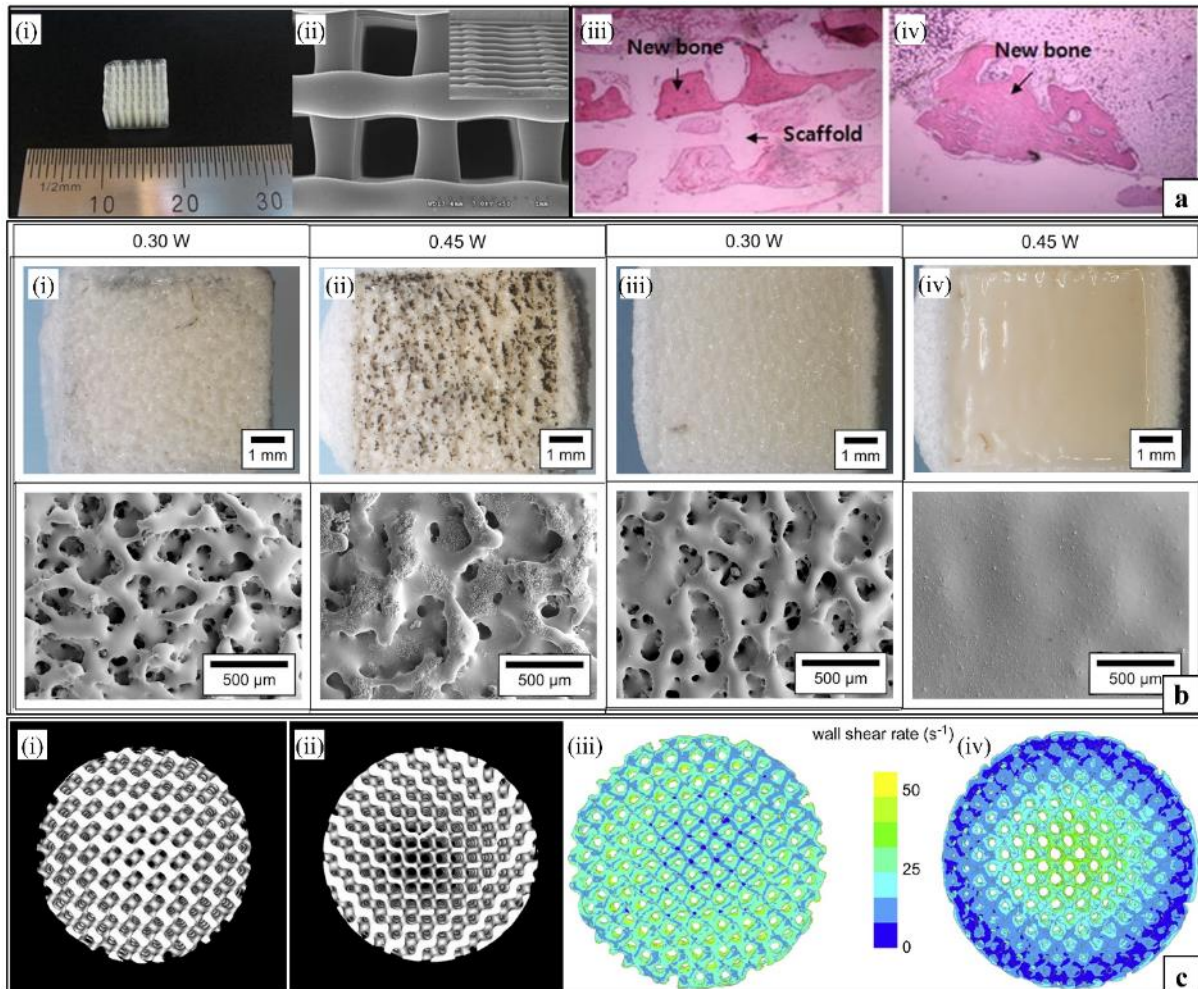


**Figure 14.** Bone development research on poly( $\alpha$ -hydroxy acids) scaffolds by FDM: simplified diagram of vascularized tissue engineered bone development through *in vivo* bioreactor culturing of scaffolds seeded with BMSCs (i), representative pictures of side view and top view of a channeled PLLA/Hap composite scaffold for *in vivo* cell culturing (reproduced with permission from [665]).

Nanocomposite scaffolds by **FDM** were recently developed through solvent casting blending of **PLGA** (lactide/glycolide ratio: 65/35) and TCP in a 75:25 weight ratio before melt extrusion into a continuous filament.[664] The resulting scaffolds were coated with Hap and implanted in a rabbit femoral defect model showing good osteointegration, bone regeneration throughout the construct, and marked biodegradation after 12 weeks. Another study reported almost complete degradation of PLGA (lactide/glycolide ratio: 50:50) scaffolds fabricated by pneumatic ME-AM after 8 weeks of their implantation in a rabbit tibia model (**Figure 15a**) [215]. Since this degradation rate is too fast for bone TE, a few articles described the processing of PLGA blended with PCL (typically in a 1:1 weight ratio) by means of pneumatic ME-AM. For instance, Hong *et al.* [595] showed that the surface-functionalization of PCL/PLGA scaffolds by means of RGD fused on a coating of mussel adhesive proteins significantly enhanced the attachment, proliferation, and osteogenic differentiation of human ASCs. Another study demonstrated that by including TCP into PCL/PLGA scaffolds fabricated by mechanical

pressure ME-AM, the resulting compressive strength was increased and bone regeneration was enhanced after implantation in a rabbit calvarial defect model.[582] The same approach was used to manufacture PCL/PLGA/TCP membranes for guided bone regeneration in beagle implant models [666, 667]. Song *et al.* [668] dispensed an alginate hydrogel, containing PLGA microspheres loaded with the immunosuppressive drug cyclosporin, between strands made of a PLGA/PCL blend that were fabricated by ME-AM. The resulting 3 layers-integrated construct was seeded with xenogeneic cells (i.e., human lung fibroblasts) and subcutaneously implanted into the BALB/c mouse, resulting in significant suppression of T-cell-mediated rejection for 4 weeks.

Zhou *et al.* [114] were the first to report the possibility of obtaining PLA scaffolds endowed with a dual-scale porosity by a sequential application of **FDM and gas foaming**. They successfully integrated macropores (100–800  $\mu\text{m}$ ) determined by the designed lay-down pattern, with interconnected micropores (1–10  $\mu\text{m}$ ) in the polymer matrix formed by submitting the printed scaffold to  $\text{CO}_2$  saturation and foaming. Marascio *et al.* [213] further developed this approach by submitting PLA-based filaments to  $\text{CO}_2$  saturation and then achieving polymer gas foaming during FDM due to the high processing temperature (**Figure 16a**). This method was validated by developing PLLA/TCP and PLACL scaffolds with macropore size of tens of microns and composed by strands with an interconnected microporosity in their cross-section.



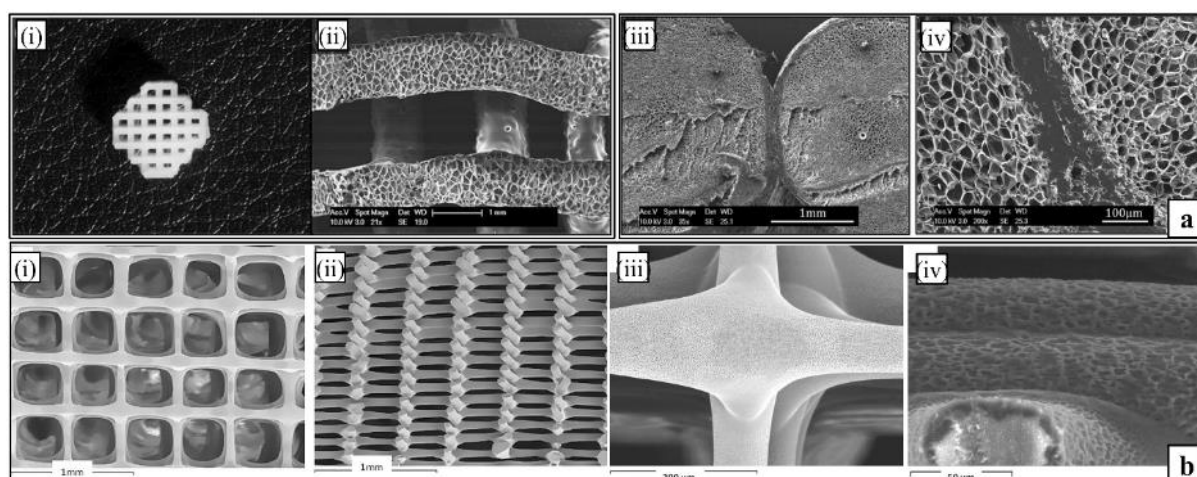
**Figure 15.** AM of poly( $\alpha$ -hydroxy acids). (a) PLGA scaffolds by FDM: picture (i) and SEM micrograph of top view (inset high magnification micrograph shows scaffold lateral view) (ii) of 3D scaffolds; histological specimens stained with hematoxylin and eosin ( $\times 40$ ) of PCL (iii) and PLGA (iv) constructs at 8 weeks after implantation (reproduced with permission [215]). (b) PDLLA/TCP composites (50:50 weight ratio) by SLS: light microscope and SEM images of the top surfaces of test specimens ( $6 \times 6 \times 6$  mm) for different laser power settings; batch 1 with higher Mw, higher polymeric particles size, and lower ceramic particle size (i) and (ii) showed signs of carbonization (black dots) and a more porous surface than batch 2 (iii) and (iv) (reproduced with permission [166]). (c) PDLLA derivative scaffolds by SLA: top-view  $\mu$ CT-visualization and thresholded z-stacks of confocal microscopy images ( $500 \mu\text{m}$  thickness) showing the distribution of cells densities and wall shear rates in isotropic (i) and (iii) and gradient (ii) and (iv) scaffolds (reproduced with permission [681]).

Xiong *et al.* [255] extruded a dioxane solution of PLLA in which TCP was suspended by using a low-temperature deposition **SE-AM** system. The subsequent sublimation of dioxane created a microporosity in the polymeric matrix forming a dual-scale porosity. Similar technological approaches were adopted to fabricate PLGA [671], PLGA/TCP [596], and PLGA/pearl composite scaffolds [597]. A multi-nozzle low-temperature deposition system was employed to obtain gradient compositions and morphologies in PLLA scaffolds, as well as to load them with BMP-2 [670]. 12 weeks after their implantation, the developed scaffolds were effective in

repairing a 15-mm segment defect created in a rabbit radius model. However, the described SE-AM approaches did not allow an accurate control over deposition details and the resulting scaffold porous structure. Serra *et al.* [261] achieved enhanced resolution of the deposition parts by employing PEG as a plasticizer to facilitate PDLA solution extrusion in air without any post-processing treatment (Figure 16b). The scaffolds could be loaded with CaP particles after their suspension in the starting chloroform solution, leading to increase in surface roughness, hydrophilicity, and adhesion of primary MSCs. The variation of PEG percentage in the solution could be exploited too to vary scaffold surface roughness, wettability, mechanical properties, and biodegradation rate [262]. This approach was further developed to fabricate a biphasic osteochondral construct, composed of a PDLA scaffold coupled to a PDLA/BG composite scaffold, that was subcutaneously-implanted in a mice model for a preliminary *in vivo* evaluation [590]. The potential of SE-AM for novel drug delivery systems development was shown by developing a multilayer system composed by a dexamethasone disodium salt-loaded PVA layer sandwiched between PLGA layers [106]. Mironov *et al.* [672] recently described a novel CAWS method for scaffold fabrication based on the controlled deposition of a tetraglycol solution of PLGA directly into an ethanol bath. A solvent-cast AM approach was followed to fabricate different kinds of 3D self-supporting microstructures (e.g., square spiral, circular spiral, micro-cup, and layered scaffolds) by extruding a concentrated PDLA solution in a highly volatile solvent (i.e., dichloromethane) [232]. In addition, an inkjet printing process was lately employed to deposit a N,N-dimethylformamide solution of PLGA on a PS substrate for patterning human ASCs adhesion [669].

Various efforts have been spent on applying SLS to PLAs for the fabrication of porous scaffolds, possibly in combination with bioceramics [781]. In particular, 3D scaffolds made of PLLA [673], also loaded with carbonated Hap [179, 674, 675], or PLGA/TCP [598] were successfully fabricated. However, limited polymer particles fusion generally resulted in marked porous defects that worsened the mechanical answer of this kind of scaffolds (compression strength < 3MPa) [675, 782]. A high-density polymer matrix could be manufactured when PDLA was completely melted [676]. In general, with increasing laser power unwanted porosity decreased until a fully coalesced structure was obtained, with the risk, however, of local carbonization. PDLA/TCP composites (50:50 weight ratio) with a close and dense surface morphology can be obtained by melting the polymer powder in order to glue the ceramic particles together [173]. In this case, a small polymer particle size, a large filler particle size, as well as a low polymer Mw can allow melt viscosity and sintering rate suitable to fabricate dense parts (Figure 15b) [166]. In 2004 Antonov *et al.* [172] reported on an AM method called surface-SLS (SSLS)

based on near-infrared ( $\lambda=0.97 \mu\text{m}$ ) laser radiation of PDLLA particles that were loaded on the surface with carbon microparticles to enhance localized heating. The authors demonstrated that it was possible to load the scaffold with Hap or the ribonuclease A enzyme without compromising its activity, as well as to change scaffold topography by varying polymeric particles size and carbon content [178]. The use of carbon does raise issues of concern regarding safety and biocompatibility. However, optimized PDLLA scaffolds fabricated by SLS sustained human fetal femur-derived cells viability, growth, and osteogenesis *in vitro*, and favored bone formation when implanted into murine critical-sized femur segmental defects [677]. Moreover, these scaffolds were seeded with human bone marrow MSCs and subcutaneously implanted in rats maintaining cells adhesion for 90 days without cytotoxicity signs [678]. SLS approach was also suitable to fabricate scaffolds made of composites with TCP/PDLLA weight ratio up to 80:20, showing compressive properties comparable to those of trabecular bone [591].



**Figure 16.** PLA scaffolds with dual-scale porosity. (a) PLLA/TCP foamed scaffold structure by sequential gas foaming and FDM: picture (i) and SEM micrographs of top-view (ii) and fiber-fiber contact points (iii) and (iv) (reproduced with permission [213]). PDLLA scaffolds by SE-AM: SEM micrographs at different magnifications of top-view (i), transversal cross-section (ii), fiber-fiber contact point (iii) and fiber surface microporosity (reproduced with permission [261]).

One of the earliest attempts to apply BJ to poly( $\alpha$ -hydroxyacids) involved the controlled deposition of chloroform to pattern PLGA powder surfaces and to fabricate 3D porous scaffolds by combination with salt leaching.[679] In addition, several efforts have been spent on the use of BJ for fabricating drug-eluting PLA implants for the treatment of infected bone [783]. Notably, PLLA implant prototypes loaded with a drug (e.g., antibiotic and antituberculosis agents) that was either dispersed in the polymeric matrix or contained in an inner reservoir were developed [200, 784]. For instance, Huang *et al.* [200] added the antibiotic levofloxacin to a

binder solution composed of a mixture of acetone and ethanol, in order to fabricate PLLA implants with different loading design, drug distribution, and *in vitro* release kinetics (**Figure 12b**). By following similar approaches, a set of implants with complex layered structures were developed in order to achieve a sequential release of different antibiotics [201, 202, 680]. As an example, levofloxacin and tobramycin were recently incorporated into multi-layered concentric cylinders made of PDLLA to achieve a sustained and programmed multi-step release kinetics optimized for chronic osteomyelitis treatment [202].

One of the first published studies on **SLA** application to PLA derivatives involved photocrosslinking fumaric acid monoethyl ester-functionalized three-armed PDLLA oligomers using NVP as diluent and comonomer [145]. Other studies were based on methacrylate end-functionalized PDLLA and its copolymers. In particular, PDLLA oligomers with 2, 3, or 6 arms were synthesized, end-functionalized with methacryloyl chloride, and photo-crosslinked in the presence of ethyl lactate as a non-reactive diluent, to fabricate high-resolution 3D scaffolds supporting *in vitro* preosteoblast cells proliferation [129, 592]. These photo-polymerizable PDLLA macromers were investigated for the fabrication of scaffolds with isotropic or gradient pore size to control the distribution of cells seeded under perfusion (**Figure 15c**) [681]. Porous scaffolds built by employing a poly(d,l-lactide-*co*- $\epsilon$ -caprolactone) (PDLACL)-based resin showed excellent fidelity to the CAD design and controlled compressive properties ranging from rigid and strong to highly flexible and elastic [593]. Composite scaffolds with different pore sizes were also fabricated by mixing Hap particles to photocurable methacrylate end-functionalized PDLLA oligomers [594, 682, 683]. Hap nanoparticles inclusion increased the scaffold mechanical properties and osteoconductive potential without affecting the morphology of the structure [594, 683]. Ultrafine fibers scaffolds for soft TE with high resistance to tensile dynamic loading were recently developed by processing poly(l-lactide-*co*- $\epsilon$ -caprolactone-*co*-acryloyl carbonate) by means of MEW followed by UV photocrosslinking [643].

#### 4.2.3 Polypropylene fumarate (PPF)

Owing the presence of a double carbon-carbon bond in its repeating unit, which allows crosslinking into a covalent polymer network, PPF is the most investigated synthetic biodegradable polymer for photoreactive AM strategies.

**Synthesis route.** PPF is a linear polyester consisting of repeating units containing one unsaturated bond in trans configuration (**Figure 10c**). Optimized protocols to synthesize PPF on a lab scale are based on a two-step reaction of DEF and propylene glycol through a bis(hydroxypropyl) fumarate diester intermediate [785]. Since its development in the late

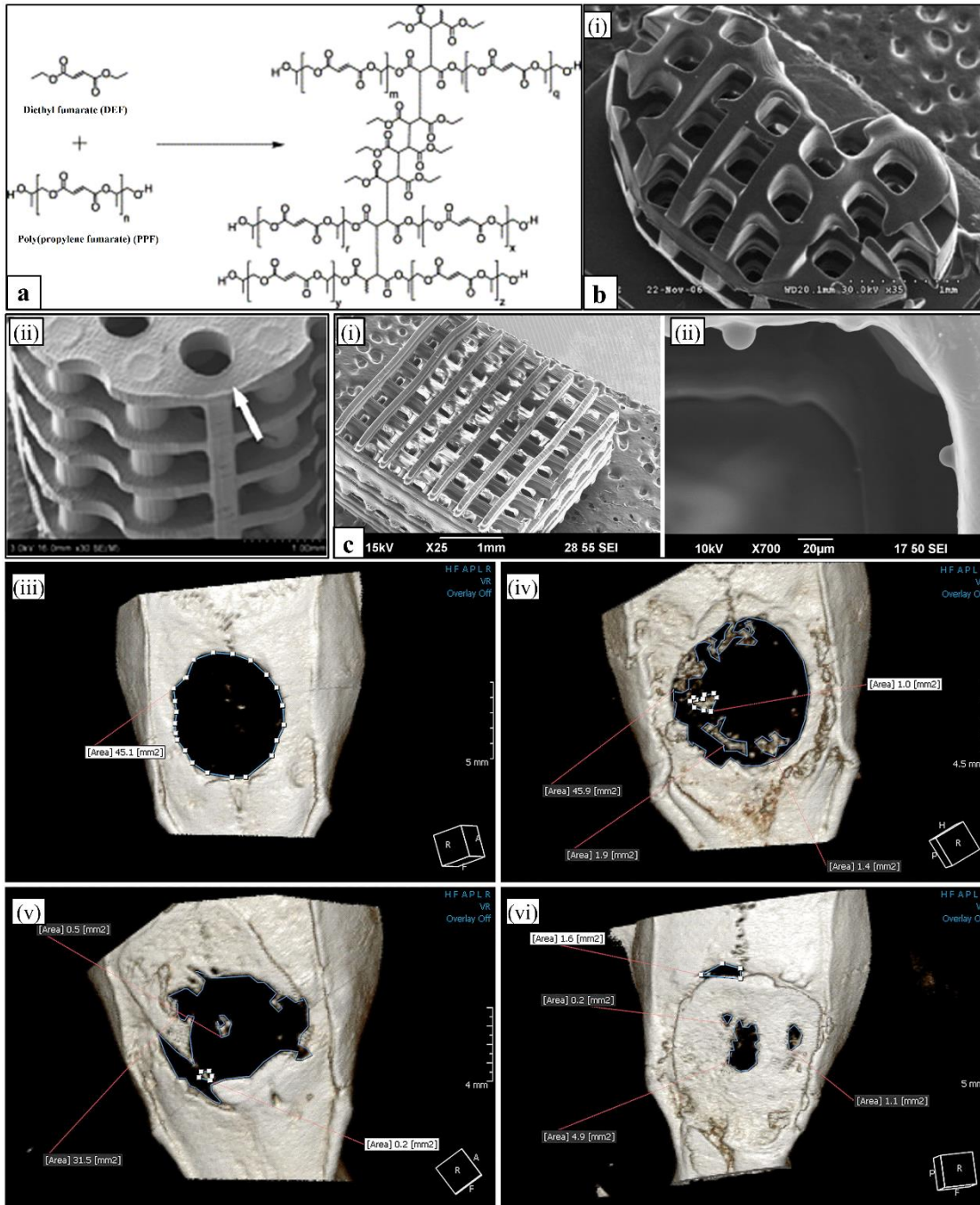
1980's [786, 787], various lab procedures for the synthesis of PPF for biomedical applications have been developed. However, many shortcomings related to the step-growth polymerization of PPF are hindering its moving into clinical materials market. Indeed, issues influencing the final material properties, such as low conversion, poor control over molecular mass distribution, conjugate-addition side reactions, and unwanted crosslinking, are reported in literature [788].

**Photoreticulation and biomedical use.** Photochemical crosslinking of PPF has been broadly explored to obtain biomedical materials with suitable mechanical and degradation properties. The crosslinked network can be tailored by varying the molecular properties of the starting macromers, such as the  $M_w$ , which is typically in the range 500-4000 Da [789, 790]. PPF photoreaction can be achieved in the presence of different crosslinking agents, such as NVP [789], PPF-diacrylate (PPFDA) [791], and methyl methacrylate [792]. Since PPF oligomers are in a liquid state before crosslinking, custom-shaped implants can be easily obtained by molding before crosslinking.

Given its relatively high stiffness (i.e., compressive modulus up to 200 MPa and tensile modulus up to 1 GPa [793, 794]), crosslinked PPF has been considered for hard tissue repair. In addition, its copolymerization with other biodegradable monomeric units (e.g., l-lactide) was investigated as **an** effective route to tune the processing, morphological, thermal, and mechanical properties of the resulting biodegradable construct [795]. PPF biocompatibility to bone-forming cells was demonstrated both *in vitro* and *in vivo* [796, 797]. PPF undergoes *in vivo* bulk degradation through hydrolysis of the ester linkages into propylene glycol and fumaric acid, which are readily removed from the body, even if the acidic degradation products can elicit inflammatory response [790]. Factors affecting crosslinked PPF biodegradation are, among others, the  $M_w$  of PPF macromer, the crosslinking agent, the crosslinking density, the **scaffold** porosity and pore size, the environmental pH, and the presence of additives. For instance, the biodegradation rate of crosslinked PPF-diacrylate was shown to decrease by increasing the crosslinking density [798]. Like in the case of PLAs, ceramic fillers inclusion can act as a pH buffer inhibiting polymer autocatalytic degradation [799]. Composites based on PPF loaded with bioactive ceramics (e.g., Hap and TCP) were investigated as scaffolding materials with improved osteoconductivity, mechanical properties, and hydrophilicity [792, 799-802]. Different studies have investigated both *in vitro* and *in vivo* the combination of PPF matrices with PLGA microspheres loaded with the osteogenic peptide TP508 [790, 803-805]. In particular, composite PPF scaffolds with optimized TP508 release kinetics resulted in 80% of defect length bridging after 12 weeks of implantation in a rabbit radius model [804].

**AM application.** Most of the first attempts to fabricate biodegradable devices by **SLA** focused on processing PPF diluted in its monomer (i.e., DEF) to reduce resin viscosity (**Figure 17a**) [684]. Both vector scanning and DLP techniques have been investigated for PPF reticulation under selective UV irradiation by employing a free radical photoinitiator (e.g., Irgacure 819), typically without including a light absorber. Cooke *et al.* [567] **were** the first to employ SLA for processing PPF into implants with a predefined shape. **The employment of  $\mu$ SLA vector or projection scanning allowed the fabrication of high resolution PPF structures (**Figure 17b**) [599, 793].** Lee *et al.* [599] systematically investigated the effect of  $\mu$ SLA vector scanning speed and working temperature on solidification depth of PPF/DEF mixtures with 75:25 or 50:50 weight ratio. They eventually fabricated 3D scaffolds with different pore size characterized by a compressive ultimate strength (20-40 MPa) and an elastic modulus (200-300 MPa) comparable to those of trabecular bone. Various studies investigated **PPF scaffold porous structure tuning to affect cell behaviors.** For instance, the possibility of controlling the proliferation of pre-osteoblast cells by varying PPF scaffold pores size and architecture was demonstrated [600]. Another experimental investigation showed that changes in DEF concentration and pore size significantly affected scaffold mechanical stiffness **and** osteogenic signal expression of rat BMSCs [601].





**Figure 17.** (a) Schematics of polyaddition reactions that occur between PPF and DEF, including the direct addition between two PPF chains **and** the crosslinking of two PPF chains by polymerized DEF (reproduced with permission [793]). (b) Customized PPF structures by SLA techniques: SEM micrographs of kidney scaffold (i) (reproduced with permission [684]), cylindrical scaffold with continuous channel geometry (ii) (reproduced with permission [601]). (c) Biofunctionalized PPF scaffold by SLA: SEM micrographs at different magnifications of PPF scaffold incorporating BMP-2-loaded PLGA microparticles (i) and (ii) (protrusion in (ii) are microparticles embedded in the PPF matrix); micro-CT images of rat cranial bone at 11 weeks after scaffold implantation: negative control (iii), PPF scaffold by a combined particulate leaching/gas foaming method (iv), PPF scaffold by SLA (v), and BMP-2-loaded PPF scaffold by SLA (vi) (reproduced with permission [687]).

PPF scaffolds with a 3D porous architecture obtained by alternating layers composed of either lattices or columns were dip coated with biomimetic apatite and RGD to biofunctionalize the surface [138]. *In vitro* investigations showed that preosteoblast cells proliferation in these biofunctionalized scaffolds was improved compared to non-coated samples. Similarly, Shin *et al.* [139] submitted PPF/DEF scaffolds to three different peptide coating modifications that altered the material hydrophobic surface properties and promoted cell adhesion. This kind of scaffolds were also functionalized by suspending BMP-2-loaded PLGA microspheres in the PPF/DEF resin (**Figure 17c**) [687]. The effective release of BMP-2 was assessed both *in vitro* and *in vivo* in a rat calvarial defect model, leading after 11 weeks to significantly increased bone formation in the growth factor-loaded group compared to the non-loaded one. BMP-2-loaded PPF scaffolds were also seeded with human ASCs and implanted in rat crania showing that the synergistic effect of growth factor release and cell differentiation led to enhanced bone formation [688]. Dadsetan *et al.* [685] evaluated the role of a coating made of a calcium phosphate (i.e., either TCP, carbonate HA, or biphasic CaP) and the simultaneous surface loading with rhBMP-2 on the *in vivo* bone regeneration capacity of porous PPF scaffolds. After implantation in a critical-size calvarial defect model, enhanced bone healing was observed in the case of rhBMP-2-loaded scaffolds. By using a different approach, PPF scaffolds by SLA were either spray-coated or dip-coated with a PLGA solution containing a dispersion of Hap or TCP. The hybrid coating enabled new bone ingrowth within 4 weeks after implantation of scaffolds in a canine femoral multi-defect model [686].

PPF was also recently employed to validate a continuous DLP system for the fabrication of scaffolds through the use of a dye-initiator package, i.e., TiO<sub>2</sub> and bis (2,4,6-trimethylbenzoyl)phenylphosphine oxide, facilitating high accuracy along the Z dimension [602]. In this way, PPF/DEF scaffolds with high resolution, accurate pore geometries, and high compressive modulus (i.e., 135 MPa) were fabricated. In addition, Dilla *et al.*[806] developed diblock and triblock copolymers of PEG-PPF that were processed by DLP into hydrogels with a 10-fold increase in elongation at break (>150%) compared to those fabricated by traditional DEF-based AM. A novel approach was developed to fabricate PPF scaffolds loaded with a layered gradient of Hap by means of **SE-AM** coupled with photoreticulation [603]. For this purpose, Hap was suspended in the presence of a surfactant in a solution of PPF, DEF, and Irgacure, and UV crosslinking was carried out after extrusion of each layer.

#### 4.2.4 Polyurethanes (PUs)

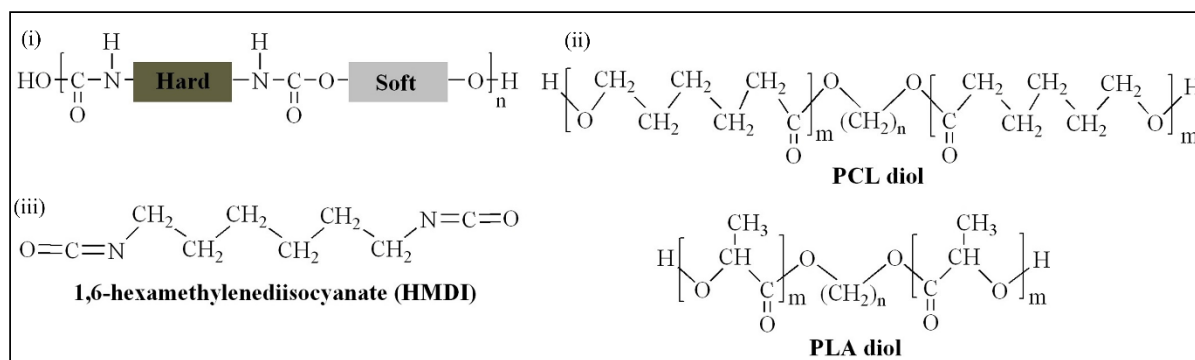
PUs have been used over the past 50 years in the biomedical industry as flexible and rigid materials for different applications, including cardiovascular devices, spinal grafts, breast implants, and more recently, biodegradable tissue scaffolds.

**Synthesis and chemical structure.** PUs are a class of polymers characterized by urethane groups ( $\text{—NHCOO—}$ ) in their chemical structure, obtained by reaction of an aliphatic or aromatic diisocyanate, a macrodiol (i.e., a polyether, polyester, or polycarbonate, with  $1000 < M_w < 5000$  Da), and a chain extender which can be a diol or a diamine with a low  $M_w$  (typically  $< 400$  Da). Poly(ether urethanes), e.g., those obtained from PEG or poly(tetramethylene ether) glycol, show high flexibility, hydrolytic resistance, low oxidative and thermal stability [807]. Poly(ester urethanes) (PEUs), e.g., those synthesized from poly(ethylene adipate) diols or poly(butylene adipate) diols, show higher mechanical strength, and are susceptible to hydrolysis of the ester groups [808]. Poly(carbonate urethanes), e.g., those obtained from reaction of poly(hexamethylene carbonate) diols, have good mechanical properties and hydrolytic resistance, even if they can undergo long-term *in vivo* enzymatic and oxidative degradation [809].

PUs can be synthesized through either a one-step or a two-step method. In the first one, a diisocyanate, a macrodiol, and a chain extender are mixed to react together. The second method, which is most often used thanks to the possibility of achieving a better control on the resulting macromolecular structure, involves first reacting a macrodiol with a slight excess of a diisocyanate to obtain a prepolymer, which is then reacted with a chain extender. In this way, a linear copolymer with alternating blocks of soft and hard segments is eventually synthesized [810].

In the case of biodegradable PUs, the soft segment is typically represented by an aliphatic polyester, (e.g., PCL, PGA, or PDLA), a copolymer between two aliphatic polyesters, or a poly(ester-ether) copolymer (e.g., PCL-*co*-PEG), while a linear diisocyanate, e.g., 1,6 hexamethylene diisocyanate (HMDI), is employed as the hard segment to avoid carcinogenic products from degradation of aromatic units (**Figure 18**). The resulting copolymers are also referred to as thermoplastic PUs (TPUs), since they can soften, melt, and be processed under heat application, in addition to the possibility of being processed upon dissolution in a proper organic solvent. So-called waterborne PUs are synthesized by incorporating in the chemical structure hydrophilic monomers containing ionic functionality, including quaternary ammonium, carboxylate, or sulfonate groups, in order to achieve stable dispersions of copolymer particles in a continuous aqueous phase, also without recurring to emulsifiers [811].

Thermosetting PUs have been also synthesized by using a multifunctional chain extender, or introducing a crosslinking agent [812].



**Figure 18.** General chemical structure of biodegradable poly(ester urethanes) (PEUs) (i); chemical structure of representative macrodiols and a linear diisocyanate commonly used for PEUs synthesis.

Soft segments are composed by reacted macrodiols with a low  $T_g$ , in a rubbery state at room temperature, while hard segments are composed by diisocyanates reacted with the chain extender, organized in semicrystalline or highly ordered domains with high  $T_g$ . Microphase separation due to incompatibility between soft and hard segments occurs as a consequence of their different polarity and free energy [813]. Hard segments form hydrogen bonds and act as dispersed rigid fillers and physical crosslinks, conferring the material with high material elastic recovery. By varying different factors, such as the length and chemical structure of macrodiols, the nature of the chain extender, and the NHCOO/OH ratio, material mechanical response can be tuned from low-modulus elastomeric to rigid behavior [814]. Other polymer properties, such as thermal stability, hydrophilicity, biodegradation rate, and solvent solubility, can be varied accordingly.

**Biomedical use.** The tunable elastomeric properties of PUs have attracted great interest in different biomedical areas requiring advanced mechanical performance, such as high flexibility and fatigue resistance. For instance, PUs are used for the manufacturing of elastics and cavity liners for dentistry, cardiovascular catheters and cardiac valves, breast implants, wound dressing membranes, bone adhesives, and condoms [815]. Cardiothane, a silicone-urethane copolymer invented by Kontron Inc., Chronoflex®, a class of poly(carbonate urethanes) marketed by AdvanSource Biomaterials, Tecoflex®, aliphatic polyether-based TPUs from The Lubrizol Corporation, are only few examples of the wide variety of biomedical PUs available in the market. Biomedical applications of biodegradable PUs are mainly related to tissue engineering and drug release systems [816]. As a consequence, their chemistry has been optimized to meet relevant requirements in terms of biocompatibility, degradation kinetics

tailored to specific tissue formation rate or drug release profile, nontoxicity of absorbable degradation products, and tissue-mimicking mechanical properties.

The overall *in vivo* degradation rate of PEUs is governed mainly by the hydrolysis of the ester groups, yielding  $\alpha$ -hydroxy acids degradation products [817]. Hydrolysis of the urethane groups in the hard segments, resulting in urethane and urea fragments with terminal acid groups, occurs at a slower rate. The concentration of acidic degradation products related to bulk hydrolysis can cause autocatalyzed degradation and adverse tissue reaction [818].

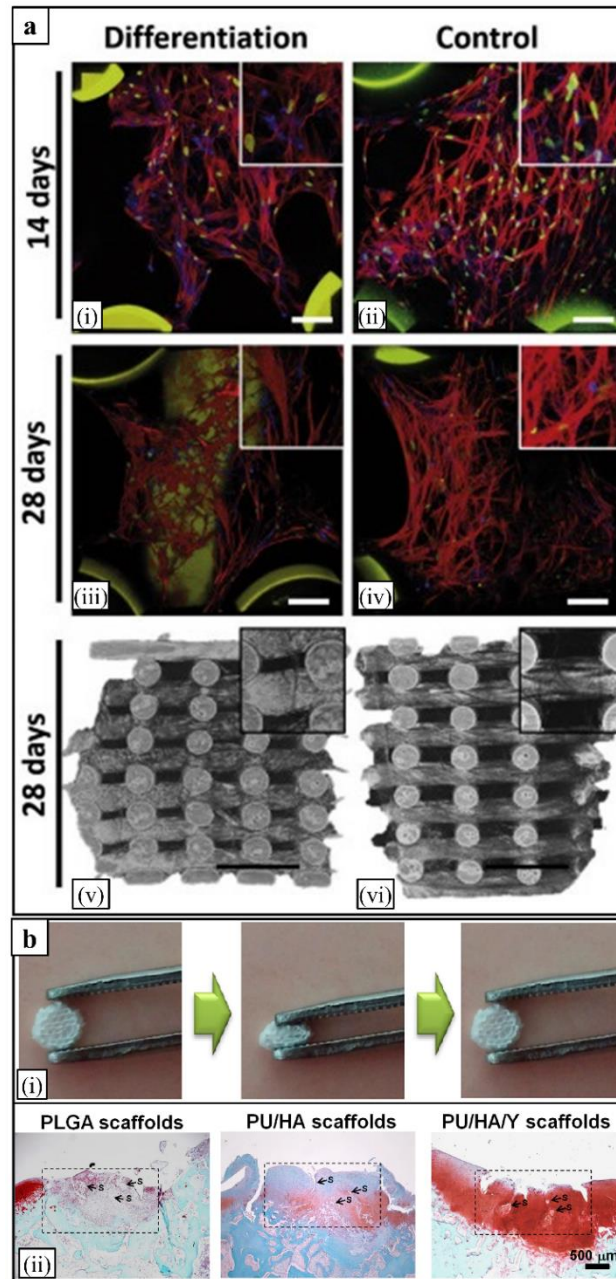
**AM application.** Different AM technologies have been investigated to process biodegradable PUs and their composites with synthetic polymers, polysaccharides, or proteins [819, 820]. One of the first attempts to apply AM to PUs was made in 2004 and relied on **FDM** to process PCL-based PEUs into porous scaffolds [689]. However, the preparation of continuous PU filaments with a consistent diameter suitable for reproducible FDM processing is still a challenge nowadays [690]. This is due to some inherent shortcomings related to thermal extrusion of PUs, including pirohydrolysis of polyester segments, low thermal and oxidative polyether stability, as well as the elastomeric behavior of PEUs, which can hinder a steady extrusion process for producing and processing an FDM filament (see section 3.4). Anyway, Güney *et al.* [691] recently developed a TPU based on a PCL-*b*-PEG-*b*-PCL triblock copolymer and HMDI, that behaved like a tough hydrogel and could be processed by FDM. Blending with a more rigid polymer is an effective way to achieve filament viscoelastic properties suitable for FDM. By adopting this strategy, Castro *et al.* [693] processed filaments made from a PEU blended with PVA, the latter leached out from the scaffolds by water immersion, leaving behind a porous microfilamentous surface topography exploitable for directional cell migration. FDM was also recently applied to process a PEU based on a PCL-*co*-PDLLA soft segment and a block urethane hard segment, composed by 1,4-butanediisocyanate and 1,4-butanediol, to fabricate scaffolds with two different structures (500  $\mu\text{m}$  pore size and 90° or 60° deposition angle) [692]. The scaffolds were shown to support the dynamic compression-relaxation loads physiologically applied onto the knee, and to promote the chondrogenic differentiation of human BMSCs (**Figure 19a**).

**SE-AM** represents the most exploited approach in this context. Agrawal *et al.* [694] employed a CAWS technique to extrude a dimethylformamide solution of a blend of two aromatic PEUs directly into a water bath. The fabricated 3D porous scaffold was then impregnated with an epoxy-based hydrogel to form a fiber-reinforced gel with optimized mechanical strength, modulus, and toughness. Another article described the layer-by-layer deposition on metallic substrates of a hexafluoro-2-propanol solution containing a poly(ester urethane urea) and

paclitaxel, to develop novel drug-releasing coatings for surgical implant devices [695]. Xu *et al.* [696] deposited at a low temperature (-28 °C) a dioxane solution of a PU based on PCL, PEG, and HMDI, to fabricate 3D macrochanneled and porous scaffolds. The fabricated structures were then submitted to freeze drying for solvent removal, resulting in the formation of a microporous matrix morphology. This approach was further developed through the use of a double nozzle AM system allowing the simultaneous deposition of the PU organic solution and an aqueous solution of gelatin, to fabricate a hybrid construct engineered as a model for bioartificial liver manufacturing [287]. The double nozzle system was also exploited for double-layer nerve conduits fabrication through a sequential deposition of an acetic acid solution of collagen and a dioxane solution of PU, then stabilized by means of chemical crosslinking and freeze-drying, respectively [697, 698]. Another application of this dual-deposition strategy was the fabrication of tubular PU constructs sandwiching a gelatin/alginate/fibrinogen hydrogel laden with ASCs [699]. In this case, a cell-compatible organic solvent (i.e., tetraglycol) was employed for PU, while a cryoprotectant (i.e., DMSO or glycerol) was incorporated in the hydrogel phase to guarantee cell survival during fabrication and freeze-thawing steps. More complex sandwiched geometries endowed with an intrinsic grid network and branched channels for oxygen and cell nutrients supply were also developed [288, 289]. Merceron *et al.* [821] used a four cartridges system to fabricate a single integrated muscle–tendon unit by co-printing a TPU with a C2C12 myoblasts-laden hydrogel, and PCL with a hydrogel encapsulating NIH3T3 fibroblasts.

The employment of aqueous dispersions of waterborne PUs enables direct cell-compatible **bioprinting** approaches. Some studies focused on the copolymerization of polyester diols with isophorone diisocyanate (IPDI), as a hard segment, and two chain extenders, i.e., ethylenediamine (EDA), and 2,2-bis(hydroxymethyl) propionic acid (DMPA), to synthesize thermoresponsive PUs that could be dispersed in water as nanoparticles and form a gel when printed at physiological temperature [700-702]. By varying the composition of the soft segment (i.e., PCL, PCL-*co*-PLLA, PCL-*co*-PDLLA, PLLA-*co*-PEG, or PDLLA-*co*-PEG) it was possible to tune nanoparticles size and zeta potential, the rheological properties of the dispersion, and the mechanical properties of the printed cell-laden hydrogel. Stacked gel fibers encapsulating either murine neural stem cells [701] or human umbilical cord derived MSCs [702] were developed by following this approach. Blending soy protein with this kind of thermoresponsive PU dispersions was proved to be an effective strategy for fastening gel formation process and enhancing structural integrity during the layer-by-layer deposition [703]. The liquid-frozen deposition manufacturing previously described was applied also to

waterborne PUs. For instance, a PCL diol and a polyethylene butylene adipate diol (2:3 molar ratio) were reacted as soft segment with the previously described IPDI/EDA/DMPA hard segment chemistry to obtain a water dispersion that was printed on a platform maintained at -30 °C. The addition of PEG as a water-leachable viscosity enhancer [822], or HA as a thickener and constitutive blending material [823], enabled the optimization of PU dispersion rheological properties. In this way, the porous structure of the resulting scaffolds, possibly loaded with growth factors, was tailored to chondrogenic tissue engineering (**Figure 19b**).



**Figure 19.** AM of biodegradable PUs. (a) CLSM micrographs of human BMSCs cultured *in vitro* for 14 and 28 days in basal (control) (i) and (ii) and chondrogenic medium (iii) and (iv) on FDM-fabricated PEU scaffolds, BMSCs were immunostained for F-actin (Phalloidin, red), nucleus (Hoechst, yellow), collagen I (green) and collagen II (blue), scale bar is 100 μm; μCT images of PEU scaffolds after 28 days of culture in differentiation (v) and basal medium (vi),

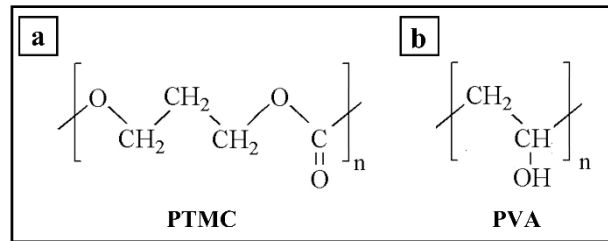
scale bar is 1 mm and insets are 700x700  $\mu\text{m}$  (reproduced with permission [692]). (b) Representative pictures showing the high flexibility and excellent shape recovery after compression of waterborne PU/HA scaffolds fabricated by SE-AM (i); histological images based on safranin O/fast green stained sections from the regenerated cartilage in New Zealand white rabbit MSCs-seeded scaffolds 1 month after their implantation into an osteochondral defect created in the rabbit knee joints: PU/HA scaffold loaded with the chondrogenic induction factor Y27632 in comparison with PU/HA and PLGA scaffolds, the box indicates the repair region, S: scaffold debris (reproduced with permission [823]).

An **Inkjet printing** approach to pattern pH-sensitive PUs based on PCL diols was developed by employing an ionizable chain extender. It involves the controlled deposition of an acid aqueous medium onto a film of an alkaline solution of the neutralized polymer that precipitates due to a local pH change. 2D patterns were fabricated with this method by employing HMDI as a hard segment and bicine as an ionizable chain extender [704], or methylene di-*p*-phenyl-diisocyanate and N,N-bis (2-hydroxyethyl)-2-aminoethane-sulfonic acid as a hard segment and an ionizable chain extender, respectively [705].

#### 4.2.5 Other synthetic polymers

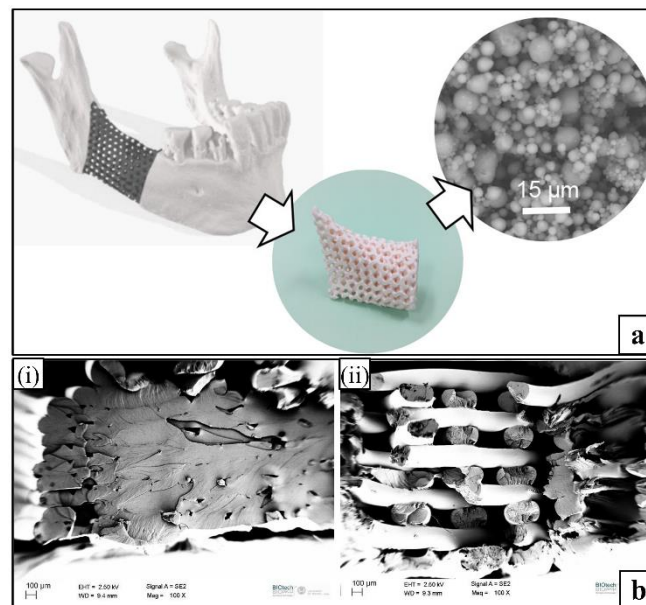
**Poly(trimethylene carbonate) (PTMC)** (Figure 20a) has been recently employed as a macromer functionalized with methacrylate end groups to enable SLA photocrosslinking. In addition, as previously mentioned, PTMC has been also investigated as a copolymer either with PCL [141, 142] or trimethylolpropane [142] to develop acrylate-encapped macromers for SLA, as well as with PLA to achieve optimized melt rheological properties for FDM [708, 709]. Biocompatible PTMC is typically synthesized by ring-opening polymerization of trimethylene carbonate [824]. PTMC undergoes slow enzyme-mediated surface erosion enabling the maintenance of mechanical properties for long time, without sudden implant failure related to bulk degradation [825]. In addition, unlike poly( $\alpha$ -hydroxy acids), acidic moieties responsible of autocatalytic degradation and tissue necrosis are not produced upon PTMC degradation. PTMC is an amorphous polymer with a  $T_g \sim -17\text{ }^\circ\text{C}$ , low Young's modulus ( $\sim 3\text{ MPa}$ ) and relatively high strain at break ( $\sim 160\%$ ) [826]. As a consequence of its low stiffness, PTMC has been mainly employed in the biomedical area for soft tissue engineering, and in the development of copolymers, generally with glycolides, for applications as flexible sutures (Biosyn<sup>TM</sup>) tissue fixation devices (Suretac<sup>TM</sup>) and orthopedic screws (Acufex<sup>®</sup>) [827].





**Figure 20.** Chemical structure of potential biodegradable polymers under biomedical AM implementation. (a) Poly(trimethylene carbonate) (PTMC). (b) Poly(vinyl alcohol) (PVA).

Genev *et al.* [143] synthesized three-armed PTMC macromers by ring-opening polymerization and then reacted them with methacrylic anhydride to prepare a photocrosslinkable resin. They processed by SLA reactive formulations, composed of PTMC macromers with methacrylate end-groups (PTMC-MA), Hap particles, and propylene carbonate as a non-reactive diluent, to fabricate customized orbital floor implants. The variation of PTMC-MA/Hap ratio in this kind of formulations allowed the fabrication of porous scaffolds with tunable surface roughness, wettability, stiffness, *in vitro* cell adhesion, and *in vivo* bone healing in rabbits calvarial defects [144, 706]. By following the same approach, PTMC-based composite scaffolds loaded with up to 51 wt.%  $\beta$ -TCP were endowed with a patient-specific shape for the regeneration of large mandibular defects (**Figure 21a**) [707]. PTMC porous constructs by SLA were also investigated *in vitro* as scaffolds for hASCs differentiation towards annulus fibrosus cells when exposed to growth factors under optimized cell seeding [828].



**Figure 21.** AM of PTMC: (a) engineering route from imaging data of a large mandibular defect to SLA manufacturing of a porous individualized implant made of PTMC/ $\beta$ -TCP [707]. AM of PVA: (b) representative SEM micrographs of fracture surface after tensile test of FDM-printed samples made of neat PVA (i) or PVA loaded with 5 wt.% nanocellulose (ii) [712].

**Poly(vinyl alcohol) (PVA) (Figure 21b)** is a hydrophilic vinyl polymer, typically manufactured on an industrial scale by free-radical polymerization of vinyl acetate, followed by alkaline hydrolysis (alcoholysis) of poly(vinyl acetate) [829]. PVA commercial batches can have a different deacetylation degree, in the range 70 to 99%, which significantly affects polymer water solubility, chemical properties, and crystallinity. Besides being employed in different industrial sectors, such as food packaging, cleaning and detergent products, and water treatment, PVA has FDA approval for clinical uses in humans [830]. It can be enzymatically degraded in aerobic and anaerobic conditions under the action of specific microorganisms [829, 831]. Although its biodegradability and/or elimination in animals is still under debate [832], PVA has been extensively investigated as a potential biodegradable polymer to develop biomedical hydrogels, often in combination with a wide range of natural or synthetic polymers [833].

As previously discussed, PVA has been used in aqueous binders for **BJ** of polysaccharides [8], as a hydrophilic matrix in multilayer drug-loaded systems by **SE-AM** [106], or as a water-leachable blend component in PEU filaments for **FDM** [693]. In addition, few recent studies focused on PVA as the main polymer matrix component for AM. Two recent articles by the same research group described the development of PVA filaments loaded with either carbon nanotubes [711] or cellulose nanocrystals [712], through blending in aqueous solution followed by drying and single screw extrusion. In both cases, a variation of the organic nanofiller content resulted in significant changes of  $T_g$  and mechanical properties of nanocomposites samples fabricated by **FDM (Figure 21b)**. Another study dealt with the optimization of PVA-based aqueous inks formulated with the addition of additives (e.g., humectant and pigments), for **inkjet printing** of multilayer structures [710].

## 5 Conclusions

AM is revolutionizing the approach to a wide range of biomedical applications, including, among others, exoprostheses, surgical implants, tissue engineering, *in vitro* tissue modelling, and controlled drug release. The interest of the scientific and industrial communities is justified by the great versatility and tremendous technological potential of advanced AM strategies to customization of biodegradable systems composition, micro/nanostructure, macroshape, mechanical behavior, and functional properties.

Recent progress in the design and development of biodegradable polymers and relevant processing approaches is providing unique means for AM development of biomedical devices. Preclinical and clinical trials are giving promising results for the next generation of implantable

medical devices that will likely improve and save the life of thousands of adults and pediatric patients all around the world. In parallel, AM is supplying always new *in vitro* technological tools needed in the modern healthcare ecosystem for advanced diagnostics, pharmaceutical development, and tissue physiology studies. AM impact is also consolidating in the pharmaceutical industry, as witnessed by the presence in the market of relevant drug formulations and the growing scientific literature on this topic.

To date, many studies have reported the successful application of experimental protocols for processing natural polymers and their functional derivatives by means of different AM techniques. Industrial processing methods, as well as consolidated AM approaches, have been adapted and extended for fabricating 3D constructs based on proteins and/or polysaccharides, possibly encapsulating cells and other biologics. Various SLA and SE-AM technologies, together with relevant ad-hoc processing protocols are currently under industrial implementation to this purpose, and some of them are likely to be integrated in the next future in approved diagnostic and therapeutic procedures.

AM application to PHA is solving the great challenge of coupling the sustainable development concept, peculiar of natural polymers, with the advanced control over the fabrication accuracy and reproducibility achievable with aliphatic polyesters. In addition, the wide experience gained on synthetic polymers processing by conventional techniques is fastening the translation to clinic of additive manufactured devices made of thermoplastic polyesters. In particular, decades-long history of clinical exploitation of polymer thermal processing is likely to be further implemented in AM. FDM, MEW, and SLS would consequently find increasing industrial application to consolidate their ongoing biomedical translation for the fabrication, among others, of surgical devices and exoprostheses. Biostable polymers, such as those engineered for advanced applications (e.g., PEEK), are also fully involved in this technological revolution. In this context, SE-AM will offer advanced technological tools for polymer structuring at multi-scale levels, and for device biofunctionalization through drug loading strategies.

Cutting-edge advancements on 4D printing, nano/microfabrication, and smart drug release are leading to the next frontiers of biomedical AM. These, together with the development of advanced materials engineering tools, the always increasing automation and digital control of the design and fabrication processes, in relationship to the resulting properties of the fabricated items, are expected in the near future to tremendously impact the biomedical industry.

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