

1 Binding and mucoadhesion of sulfurated derivatives of quaternary 2 ammonium-chitosans and their nanoaggregates: an NMR 3 investigation

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11 **Abstract**

12 The effect of insertion of SH and S-protected groups on the binding and mucoadhesion properties of
13 quaternary ammonium-chitosans and their nanoparticulate forms has been investigated by NMR
14 spectroscopy. Diclofenac sodium salt has been assumed as low molecular weight probe to detect the different
15 binding behaviour of polymeric materials; mucin from bovine submaxillary glands was selected as the model
16 protein for differentiating their mucoadhesion. NMR proton selective relaxation rates of the probe molecule
17 were remarkably sensitive to the presence of very low amounts of sulfurated moieties. Impact of
18 supramolecular aggregation in nanostructured species was demonstrated as well as the relevance of S-
19 protection.

20 **Keywords**

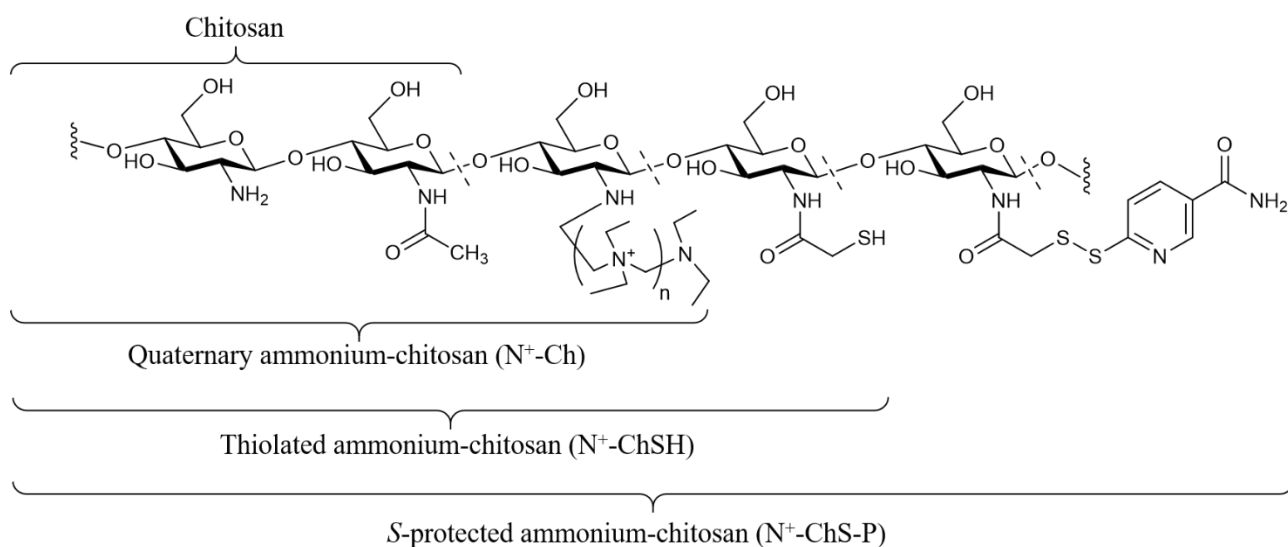
21 Thiolated chitosan, Nanoparticles, Mucoadhesion, Nuclear magnetic resonance, Selective relaxation rate

22

23 **1. Introduction**

24 Among natural polysaccharides, chitosan (Fig. 1) represents a promising semi-synthetic polymer used in
25 diverse fields, spanning from agricultural to biomedical uses. In virtue of its low cost, low toxicity and
26 desirable pharmaceutical properties, such as antimicrobial activity [1] and biocompatibility [2], it has been
27 the subject of ever-increasing interest in the biopharmaceutical industry. Chitosan itself can be used as
28 dietary supplement and in wounds healing, or in combination with specific drugs, exploiting its polymeric
29 scaffold as a carrier [3]. It is easily accessible upon chemical deacetylation (enzymatic deacetylations have
30 also been reported [4]) of the abundant polysaccharide chitin, giving chitosans of different degrees of
31 deacetylation. In addition to varying the molecular weight and degree of deacetylation, several chemical

32 modifications have been proposed to affect chitosan physicochemical properties. The reactive NH and OH
 33 free groups, present in the sugar ring units, are readily accessible to formation of covalent bonds, hence,
 34 different modified chitosans have been proposed, such as *O*- and *N*-carboxymethyl, *N*-methylene phosphonic
 35 or cyclodextrin-grafted chitosans [5,6]. Taking into account the potentialities of nanoparticles formulations,
 36 chitosans can also be assembled into nanodimensional aggregates using different preparation protocols,
 37 including ionotropic gelation carried out with hyaluronic acid [7]. The functional groups on the polymer
 38 backbone influence the surface characteristics of the relative nanoparticles, which in turn influence their
 39 ability to interact with biological systems, for example promoting their mucoadhesive properties and their
 40 tendency to be internalized by cells. In this regard, the synthesis of an ammonium alkylated chitosan (Fig. 1)
 41 upon reaction with 2-diethylaminoethyl chloride (DEAE-Cl) has been proposed [8]. The resulting derivative
 42 showed improved antibacterial and drug permeation promoting properties, with respect to the chitosan
 43 precursor.
 44 Thiolated polymers or thiomers (Fig. 1) can exert a sustained mucoadhesion by covalent interaction through
 45 disulfide bonds with the cysteine rich domains of mucin [9]. However, SH groups in the polymer backbone
 46 undergo oxidation and their reactivity is strongly pH dependent. This has led to a second generation of
 47 thiomers, with *S*-protected moieties [9,10], where also 6-mercaptanicotinamide was exploited to preserve SH
 48 groups from oxidative processes and to pre-activate the polymer towards mucin (Fig.1).
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50

51 **Fig. 1.** Schematic representation of the different units in chitosan and in its derivatives.

52 Several methods have been developed to study mucoadhesion *in vitro*, which can be divided into methods
 53 based on either mechanical forces determination or particles interaction analysis [11]. Among the latter,
 54 ellipsometry and rheology are two relatively simple techniques, but strongly dependent on experimental
 55 conditions [12]. Nuclear magnetic resonance spectroscopy (NMR) represents a powerful non-invasive
 56 technique with promising perspectives in the field of the investigation of affinity properties of
 57 macromolecules [13]. In particular, proton selective relaxation rates, as remarkably responsive NMR

58 parameters [14], have been successfully applied to the study of mucoadhesion of polysaccharide materials
59 [15–18].

60 Here we report on the results of our NMR investigation on affinity and mucoadhesion properties of chitosan
61 derivatives and their nanoparticulate aggregates, by comparing quaternary ammonium-chitosan conjugates
62 and their thiolated and *S*-protected derivatives (Fig. 1). Chitosan of reduced molecular weight was chosen as
63 the substrate for further derivatization and nanoparticles preparation [19]. Diclofenac sodium salt (DC) was
64 selected as a low molecular weight sensitive probe, the relaxation properties of which were affected by the
65 interaction with the polysaccharide and/or mucin, as detected by comparing its proton selective relaxation
66 rates in binary mixtures, drug/polymer, and ternary systems, drug/polymer/mucin. The effect of polymers
67 assembly into nanoparticulate structures on mucoadhesion has also been taken into consideration. Diffusion
68 coefficients measured by the NMR DOSY (Diffusion Ordered SpectroscopY) technique [20,21] have been
69 employed as additional NMR parameters, responsive to the slowing down of the translational molecular
70 motion of the probe compound (DC) due to the interaction with the polymeric materials and their
71 nanoaggregates.

72 **2. Materials and Methods**

73 *2.1. Materials*

74 Chitosan from shrimp shells (75-85% deacetylated), diclofenac sodium salt (DC), thiourea, 6-
75 chloronicotinamide, reduced glutathione, *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide chloridrate
76 (EDAC), 5,5'-dithiobis-(2-nitrobenzoic) acid (Ellman's reagent), thioglycolic acid, mucin from bovine
77 submaxillary glands (BSM), 2-diethylaminoethyl chloride hydrochloride salt (DEAE-Cl·HCl),
78 tetramethylsilane (TMS), phosphate buffer powder (PB, pH = 7.4) were purchased from Sigma Aldrich (St.
79 Louis, Missouri, US). Deuterated water (D₂O) was purchased from Deutero GmbH (Kastellaun, Germany).
80 Hyaluronic acid (HA) was purchased from Contipro (Dolní Dobrouc, Czech Republic).

81

82 *2.2 Instruments*

83 The molecular weights of reduced chitosan and reduced hyaluronic acid were determined by an Ostwald U-
84 tube capillary viscometer Cannon-Fenske series ASTM 75 (State College, PA, US).

85 The lyophilization of both polymers and nanoparticles was conducted by VirTis Advantage (SP industries,
86 Warminster, PA, US). The temperature cycle was set at -35 °C/180 min, -30 °C/360 min under vacuum, -10
87 °C/360 min, 10 °C/240 min and 25 °C/180 min.

88 Light Scattering measurements were recorded using N4 plus DLS (Beckman Coulter, Brea, CA, US) selecting
89 angles of 90° and 62.6°.

90 NMR measurements were performed on Varian INOVA 600 spectrometer operating at 600 MHz for ¹H. The
91 temperature was controlled to 25±0.1 °C. Proton 2D gCOSY (gradient CORrelated SpectroscopY) spectra were
92 recorded with 256 increments of 4 scans and 2k data points. The relaxation delay was 1 s. The 2D NOESY
93 (Nuclear Overhauser Effect SpectroscopY) spectra were acquired with 2k data points using 8 scans for each
94 of the 256 t₁ increments, with a mixing time of 0.6 s and a relaxation delay of 1 s. The spin-lattice selective

95 relaxation times were measured in the initial rate approximation [22] by using the inversion recovery pulse
96 sequence $(180^\circ-\tau-90^\circ-t)_n$ with a selective π -pulse at the selected frequency and a relaxation delay of 15 s.
97 DOSY (Diffusion Ordered SpectroscopY) experiments were carried out by using a stimulated echo sequence
98 with self-compensating gradient schemes and 64k data points. Typically, gradient strength was varied in 20
99 steps (2–32 transients each), delays Δ and δ were optimized in order to obtain an approximately 90–95%
100 decrease in the resonance intensity at the largest gradient amplitude. The baselines of all arrayed spectra were
101 corrected prior to processing the data. After data acquisition, each FID was apodized with 1.0 Hz line
102 broadening and Fourier transformed. Gradient amplitudes in DOSY experiments have been calibrated by using
103 a standard sample of D₂O 99% ($19 \times 10^{-10} \text{ m}^2\text{s}^{-1}$). TMS was used as viscosity reference.

104 *2.3 Modified chitosans preparation*

105 Reduced molecular weight chitosan (Ch) was obtained by NaNO₂ oxidative degradation in acidic media of
106 commercial chitosan (400 kDa, viscosimetric determination) as described by Shu et al. [23]. The mean
107 molecular weight of Ch was determined by using a capillary viscometer (136 kDa), as reported by Khalid et
108 al. [24]. Quaternarized Ch (N⁺-Ch, [8]), thiolated Ch (N⁺-ChSH, [19]) and S-protected Ch (N⁺-ChS-P, [10]),
109 were prepared by previously reported procedures.

110 Degree of acetylation (11.7%), degree of derivatization (47.1%) and charged to neutral nitrogen ratio (2.1) of
111 amino alkyl derivatizing group of the N⁺-Ch precursor were calculated by a previously developed NMR
112 analytical protocol [25].

113 The amount of sulfurated moieties in N⁺-ChSH and N⁺-ChS-P were determined by iodimetric titration [10]
114 and Ellman test [26], respectively. The total free sulfhydryl moieties were quantified in N⁺-ChSH with a
115 NaBH₄ pre-reduction, giving 175 $\mu\text{mol/g}$ (2.4%), while in the non-pretreated N⁺-ChSH sample the free thiols
116 amount was 66.2 $\mu\text{mol/g}$ (0.9%). The content of free thiols in non-pretreated N⁺-ChS-P was lowered down to
117 9 $\mu\text{mol/g}$ (0.3%) by the introduced aromatic groups. Specifically, N⁺-ChS-P was furtherly analysed
118 determining the effective amount of protective group grafted [10]: solution of reduced glutathione was added
119 to N⁺-ChS-P solution to release the 6-mercaptonicotinamide (6-MNA). Measuring the absorbance of the
120 released aromatic ligand and referring to the calibration curve for 6-MNA ($r^2=0.9992$, $n=5$), the ligand was
121 quantified as 53 μmol per gram of N⁺-ChS-P, in good agreement with that determined by comparing free thiols
122 quantification in N⁺-ChSH (66.2 $\mu\text{mol/g}$) and in N⁺-ChS-P (9 $\mu\text{mol/g}$).

123 *2.4 Nanoparticles preparation*

124 Depolymerized hyaluronic acid (rHA), which was employed as reticulating agent, was obtained according to
125 the procedure described by Shu et al. [23] starting from commercial hyaluronic acid ($M_w=950$ kDa) in acidic
126 condition ($M_w=87$ kDa, by viscosimetric determination). Nanoparticles were prepared by dropwise adding a
127 solution of rHA (phosphate buffer 0.13 M, pH=7.4, 0.06 mg/mL for N⁺-Ch, and 0.03 mg/mL for N⁺-ChSH and
128 N⁺-ChS-P) to the corresponding 2 mg/mL polymer solution in the same buffer, under magnetic stirring. The
129 nanoparticles could be regenerated from the respective lyophilized products by adding 5 mL of water under
130 gentle stirring. Nanoparticles sizes as determined by Dynamic Light Scattering were less than 500 nm for all

131 of the three polymers [$\text{Np}^{\text{N}+\text{Ch}}$ 434.0 nm - (0.493), $\text{Np}^{\text{N}+\text{ChSH}}$ 479.0 nm - (0.376), $\text{Np}^{\text{N}+\text{ChS-P}}$ 403.9 nm - (0.363)],
132 where polydispersity indexes are reported in round parenthesis.

133 2.5 NMR samples preparation

134 Binary and ternary mixtures employed for NMR studies were prepared by mixing appropriate amounts of stock
135 solutions ($\text{D}_2\text{O}/\text{PB}$) of each component for analysis concentration of 0.4 mg/mL for DC, 1.2 mg/mL for
136 polymer or nanoparticles and/or 3 mg/mL for mucin. Mixtures were analysed after 2 hours of vortexing (500
137 rpm) at 37 °C and an hour of equilibration at room temperature.

139 3. Results and Discussion

140 For the complexation equilibrium (1) between a ligand (L) and a macromolecule (M), a single set of NMR
141 signals for the ligand is detected in the fast exchange condition and the observable NMR parameters (P_{obs})
142 are the weighted average between the bound (P_b) and free (P_f) states (Eq. (2)).



$$P_{obs} = \chi_f P_f + \chi_b P_b \quad (2)$$

143 where χ_f and χ_b are the molar fractions of the ligand in the free and bound states, respectively.

144 As the consequence of the remarkable difference between the molecular weight of the ligand and the
145 macromolecule, very high ligand-to-receptor molar ratios are commonly employed, in order to obtain
146 observable signals of the ligand. Therefore, changes in the parameters are only detected when their values in
147 the bound state are remarkably differentiated from those in the free state.

148 Among NMR parameters, proton mono-selective spin-lattice relaxation rates ($R_i^{ms} = 1/T_i^{ms}$), which are
149 measured by following the recovery of the magnetization of the spin i selectively inverted, are strongly
150 responsive to the slowing down of the molecular motion of the ligand due to its complexation at receptor
151 site. In particular, these parameters undergo a sharp increase in the slow motion region ($\omega^2 \tau_c^2 \gg 0.6$, where ω
152 is the Larmor frequency and τ_c the rotational correlation time), which is typical of small molecules bound to
153 macromolecules. By contrast, the corresponding non-selective relaxation rates ($R_i^{ns} = 1/T_i^{ns}$), which are
154 measured by following the recovery of i magnetization under simultaneous inversion of the complete spins
155 system, are scarcely sensitive to the change of motion regime due to the complexation.

156 In addition, the cross-relaxation term, σ_{ij} , referred to the dipolar interaction between the magnetic moments
157 of the two spins, i and j at r_{ij} distance, represents a very useful NMR parameter in the detection of the
158 interaction between a small molecule and a macromolecule. In particular, in the two limit regions of fast
159 motion ($\omega^2 \tau_c^2 \ll 0.6$) and slow motion ($\omega^2 \tau_c^2 \gg 0.6$), corresponding to the free and bound states of the
160 ligand, σ_{ij} can be approximated to the simple forms reported in Equations (3) and (4), respectively.

$$\sigma_{ij} = 0.5 \gamma^4 \hbar^2 r_{ij}^{-6} \tau_c \quad (\omega^2 \tau_c^2 \ll 0.6) \quad (3)$$

$$\sigma_{ij} = -0.1 \gamma^4 \hbar^2 r_{ij}^{-6} \tau_c \quad (\omega^2 \tau_c^2 \gg 0.6) \quad (4)$$

161 The cross-relaxation term varies from positive values, in the fast motion regime of the free ligand, to
 162 negative ones, characteristic of slow motion regime of the ligand induced by macromolecule binding.
 163 σ_{ij} can be straightforwardly calculated as the difference between the bi-selective relaxation rate and the
 164 mono-selective relaxation rate of the spin i (Eq. (5)), where bi-selective relaxation rates ($R_{i,j}^{bs} = 1/T_{i,j}^{bs}$) are
 165 determined by following the recovery of the magnetization of the spin i under simultaneous inversion of the
 166 proton pair H_i/H_j .

$$\sigma_{ij} = R_{i,j}^{bs} - R_i^{ms} \quad (5)$$

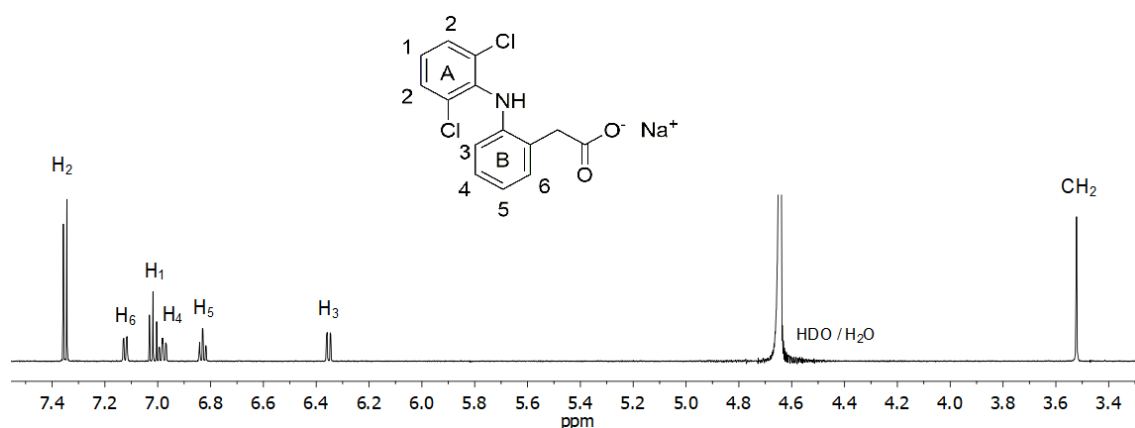
167 The diffusion coefficient D ($m^2 s^{-1}$), which describes the molecular translational motion, represents another
 168 parameter that can be usefully exploited to detect the interaction between a small molecule and a
 169 macromolecule. The dependence of this parameter from the hydrodynamic radius (r_H) and viscosity (η) can
 170 be expressed by means of the Stokes–Einstein equation (Eq. (6)), strictly holding for spherical molecules

$$D = k_b T / (6 \pi \eta r_H) \quad (6)$$

171 where k_b is the Boltzmann constant, and T is the absolute temperature. When a molecule interacts with a
 172 macromolecule, an increase of its hydrodynamic radius is expected and, hence, a decrease of the diffusion
 173 coefficient, which can be detected by using the NMR DOSY technique. In order to exclude effects arising
 174 from viscosity increment of the medium upon addition of high molecular weight polymer, an internal
 175 viscosity standard must be selected [27].

176 Diclofenac sodium salt (DC) has a simple chemical structure, very good water solubility and its aromatic
 177 resonances (Fig. 2) are well separated in a spectral region with no interferences by signals arising from the
 178 polymeric materials. DC resonances of ring A have been simply assigned on the basis of the integrated areas
 179 and multiplicity. Regarding ring B, proton H_6 has been assigned due its dipolar interaction with methylene
 180 chain protons detected in the NOESY map (Fig. S1, Supplementary material). Scalar correlations detected in
 181 the COSY map (Fig. S2, Supplementary material) starting from H_6 allowed us to assign all the spins system
 182 of ring B, as indicated in Figure 2.

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184

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Fig. 2. 1H NMR (600 MHz, D_2O/PB , $pH = 7.4$, $25\text{ }^\circ C$) spectrum of DC (0.4 mg/mL).

186

187 Firstly, we measured proton selective relaxation rates in D₂O solution of DC (0.4 mg/mL), focusing on
188 proton H₂ on A ring and protons H₆, H₅ and H₃, belonging to B ring (Table 1). All of these protons produce
189 well separated resonances in the ¹H NMR spectrum and, hence, can be selectively inverted. The
190 corresponding non-selective relaxation parameters R_i^{ns} are collected in Table S1 (Supplementary material).
191 By measuring the bi-selective relaxation rate of proton H₃ under simultaneous inversion of proton H₄, the
192 cross-relaxation term σ_{34} of 0.05 s⁻¹ was calculated. Both relaxation values and cross relaxation term are as
193 expected for a small molecule in the fast motion region. As a matter of fact, on the basis of approximate
194 Equation 3, the rotational correlation time of 0.04 ns was calculated for the vector connecting protons H₃ and
195 H₄ (assuming $r_{34} = 2.49 \text{ \AA}$ as the typical distance of two vicinal aromatic protons [28]). The presence of
196 phosphate buffer (0.1 M) did not produce changes of NMR relaxation parameters of DC with respect to the
197 D₂O solution.

198 The solutions containing DC and the modified chitosans (for ¹H NMR spectra of the modified polymers see
199 Figure S3, Supplementary material), were analysed after vortexing of the mixtures for 2 hours at 37 °C and
200 stabilization of the solutions at 25 °C for 1 hour. Due to the presence of the ammonium-chitosan of reduced
201 molecular weight (N⁺-Ch), a marked increase of proton selective relaxation rates was detected: as an
202 example, the relaxation rate of proton H₃, which was 0.23 s⁻¹ in pure DC, increased to 2.22 s⁻¹ in the mixture
203 containing 1.2 mg/mL of polymer. Similar increases of the selective relaxation rates of protons H₆, H₅ and H₂
204 were detected (Table 1). Interestingly, the cross-relaxation term σ_{34} underwent a sign change to the value of -
205 0.77 s⁻¹, which was indicative of a clear slowing down of the molecular motion of DC due to its interaction
206 with the polymer, accordingly approximate Equation 4 gave the corresponding τ_c value of 3.22 ns. DC
207 diffusion coefficient underwent a significant decrease in the presence of the polymer from $5.4 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ in
208 pure DC to $4.4 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ in the binary mixture DC/ N⁺-Ch. Possible effects of viscosity changes both on
209 relaxation rates and diffusion coefficients were ruled out by selecting tetramethylsilane as the internal
210 standard, the diffusion coefficient and relaxation rate of which remained relatively unchanged in the
211 solutions containing pure DC and its mixture with the polymer (Table S2, Supplementary material). On the
212 contrary, proton non-selective relaxation rates were scarcely responsive to the presence of the polymer
213 (Table S1, Supplementary material).

214

215 Table 1. Mono-selective relaxation rates (R_I^{ms} , s^{-1}) of H₂, H₃, H₅ and H₆ protons of DC (600 MHz, D₂O/PB,
 216 pH = 7.4, 25 °C, 0.4 mg/mL) and cross-relaxation parameter (σ_{34} , s^{-1}) in different mixtures, after 2 hours of
 217 continuous stirring at 37 °C and 1 hour of equilibration at room temperature.

218

	δ (ppm)	R_I^{ms} (s^{-1})			
		DC	DC/N ⁺ -Ch	DC/N ⁺ -ChSH	DC/N ⁺ -ChS-P
H ₂	7.35	0.18	1.57	1.06	1.07
H ₃	6.34	0.23	2.22	1.62	1.51
H ₅	6.84	0.32	2.70	1.95	1.85
H ₆	7.12	0.36	2.74	2.02	1.99
		σ_{34} (s^{-1})			
H ₃ /H ₄		0.05	-0.77	-0.55	-0.52

219

220 Measurements were repeated after 24 hours at room temperature and no significant changes of relaxation
 221 rates were detected, to indicate that NMR parameters were not affected by conformational stabilization of the
 222 polymeric materials over time (Table S3, Supplementary material).

223 In this regard it is noteworthy that very different results were obtained in the mixtures containing non-
 224 reduced molecular weight ammonium-chitosan: lower relaxation rates with respect to reduced molecular
 225 weight system were measured after 2 hours of vortexing and 1 hour of stabilization, but these values
 226 remarkably increased after 24 hours, as the consequence of slow conformational stabilization phenomena
 227 occurring over time (Table S3, Supplementary material).

228 In the above said standardized conditions for reduced molecular weight polymers, selective relaxation rates
 229 of DC protons in binary mixtures containing thiolated ammonium-chitosan (N⁺-ChSH) and its S-protected
 230 derivative (N⁺-ChS-P) were analysed (Table 1). Despite the very low content of SH (0.9 %) or S-protected
 231 groups (0.6%), a significant change of selective relaxation rates was detected with respect to the parent
 232 ammonium-chitosan derivative. As an example, relaxation parameter of proton H₃ decreased from 2.22 s^{-1}
 233 for DC/N⁺-Ch to 1.62 s^{-1} for DC/N⁺-ChSH and 1.51 s^{-1} for DC/N⁺-ChS-P. A significant effect was also
 234 detected in the cross-relaxation term σ_{34} , which changed from -0.77 s^{-1} (DC/N⁺-Ch) to -0.55 s^{-1} (DC/N⁺-
 235 ChSH) or to -0.52 (DC/N⁺-ChS-P). These data reflect the diminished capability of thiolated polymers to bind
 236 DC, which must reasonably be ascribed to conformational changes triggered by the modification of thiol
 237 groups rather than by their mere presence.

238 Supramolecular assembly of polymers in the form of nanoparticles (Np^{N⁺-Ch}, Np^{N⁺-ChSH} and Np^{N⁺-ChS-P})
 239 remarkably affected binding ability of the three polymers, since relaxation rates of DC protons underwent
 240 remarkable increases in the binary mixtures containing empty nanoparticles with respect to the polymers
 241 (Tables 1, 2). To make a reliable comparison, the polymer concentration in the nanoparticles sample was
 242 kept constant to the binary mixture containing the same polymer. A reproducible decreasing trend was
 243 observed for proton selective relaxation rates from Np^{N⁺-Ch} to Np^{N⁺-ChS-P} (Table 2). Interestingly,

244 supramolecular assembly into nanoparticulate form allowed us to differentiate the thiolated system (5.10 s^{-1}
 245 for H_3) from the *S*-protected one (2.57 s^{-1} for H_3). On considering that interaction with empty nanoparticles
 246 occurs at their external surface, a lower binding ability of empty $\text{Np}^{\text{N}^+-\text{ChS-P}}$ means an enhanced availability of
 247 the drug after the release for targeted interactions with biological matrices.

249 Table 2. Mono-selective relaxation rates (R_I^{ms} , s^{-1}) of H_2 , H_3 , H_5 and H_6 protons of DC (600 MHz,
 250 $\text{D}_2\text{O/PB}$, $\text{pH} = 7.4$, $25 \text{ }^\circ\text{C}$, 0.4 mg/mL) and cross-relaxation parameter (σ_{34} , s^{-1}) in different
 251 DC/nanoparticles mixtures, after 2 hours of continuous stirring at $37 \text{ }^\circ\text{C}$ and 1 hour of equilibration at
 252 room temperature.

	δ (ppm)	R_I^{ms} (s^{-1})			
		DC	DC/ $\text{Np}^{\text{N}^+-\text{Ch}}$	DC/ $\text{Np}^{\text{N}^+-\text{ChSH}}$	DC/ $\text{Np}^{\text{N}^+-\text{ChS-P}}$
H_2	7.35	0.18	4.20	3.25	1.57
H_3	6.34	0.23	6.68	5.10	2.57
H_5	6.84	0.32	6.78	5.67	3.07
H_6	7.12	0.36	7.78	5.78	3.18
		σ_{34} (s^{-1})			
H_3/H_4		0.05	-3.57	-2.34	-0.99

253
 254 As a model of the interactions responsible for mucoadhesion, bovine submaxillary mucin (BSM) was chosen
 255 and its interaction with DC in the presence or absence of polymeric material was compared. Binding ability
 256 of mucin towards DC was remarkably higher as revealed by the values of proton selective relaxation rates
 257 (8.28 s^{-1} and 8.56 s^{-1} for H_2 and H_3 respectively in the mixture containing 3 mg/mL of BSM, Table 3). In
 258 these mixtures only H_2 and H_3 relaxation parameters were evaluated, the resonances of which did not suffer
 259 from superimposition due to mucin signals. The copresence of chitosans and mucin in the ternary mixtures
 260 strongly affected proton selective relaxation rates of DC (Table 3), with changes always higher than the
 261 simple sum of the values measured in the corresponding binary mixtures (Tables 1-3). This indicates a
 262 synergistic interaction between modified chitosans and mucin. As an example, the value of 14.25 s^{-1} was
 263 measured for the proton H_2 in the ternary mixture DC/ $\text{N}^+-\text{Ch}/\text{BSM}$ (Table 3) to be compared to 9.85 s^{-1} ,
 264 corresponding to the sum of the values measured for the binary systems DC/BSM (8.28 s^{-1} , Table 3) and
 265 DC/ N^+-Ch (1.57 s^{-1} , Table 1). The relaxation rates measured in the ternary mixture DC/ $\text{N}^+-\text{ChSH}/\text{BSM}$ and
 266 DC/ $\text{N}^+-\text{ChS-P}/\text{BSM}$ were both lower (11.21 s^{-1} and 13.28 s^{-1} , respectively) than the value obtained for the
 267 ternary mixture containing the parent ammonium-chitosan (Table 3). However, the real cooperation between
 268 the two polymeric materials has to be evaluated from the difference between relaxation rate in ternary system
 269 and in the binary one, normalized by the binary mixture ($\Delta_{BSM} = [R_I^{ms}(T) - R_I^{ms}(B)]/R_I^{ms}(B)$), which are
 270 reported in Table 3). The above said values are remarkably higher for the sulfurated chitosans than for the

271 ammonium-chitosan. Between the two sulfurated systems, the protected polymer showed the major
 272 cooperation (Table 3).

273

274 Table 3. Mono-selective relaxation rates (R_1^{ms} , s^{-1}) of H₂ and H₃ proton of DC (600 MHz, D₂O, pH =
 275 7.4, 0.4 mg/mL), relaxation rates increment (Δ_{BSM}) in different BSM mixtures, after 2 hours of
 276 continuous stirring at 37 °C and equilibration at room temperature.

<i>Sample</i>	H ₂		H ₃	
	R_1^{ms}	Δ_{BSM}	R_1^{ms}	Δ_{BSM}
DC/BSM	8.28	-	8.56	-
DC/N ⁺ -Ch/BSM	14.25	8.08	16.15	6.27
DC/N ⁺ -ChSH/BSM	11.21	9.57	11.58	6.16
DC/N ⁺ -ChS-P/BSM	13.28	11.41	15.50	9.26
DC/Np ^{N+-Ch} /BSM	10.44	1.49	12.77	0.92
DC/Np ^{N+-ChSH} /BSM	13.63	3.19	13.70	1.69
DC/ Np ^{N+-ChS-P} /BSM	9.34	4.95	12.70	3.94

277

278 The same trend was found in the case of ternary mixtures containing the nanoparticles, where Δ_{BSM} was
 279 higher for the S-protected system Np^{N+-ChS-P}, and lower for the nanoparticles Np^{N+-Ch} formed from the parent
 280 quaternary ammonium-chitosan (Table 3). Therefore, protection of SH moieties is beneficial also for the
 281 nanostructured systems with respect to its unprotected precursor. It is noteworthy that nanoparticles
 282 perturbed the interaction of DC with mucin to a lesser extent than the corresponding polymers. This could be
 283 attributed to the available contact surface being smaller with the nanoparticulate systems than with their
 284 parent polymers.

285

286 4. Conclusions

287 Proton selective relaxation rate measurements constitute a powerful non-invasive investigation tool to detect
 288 drug to polymer binding, in virtue of their remarkable responsivity to the changes of motion regime of small
 289 molecules as the consequence of their interaction with macromolecular systems. By exploiting this important
 290 property, significant differences were detected in the binding ability of diclofenac to quaternary ammonium-
 291 chitosans containing free SH groups or S-protected ones, in spite of the very low amounts of sulfurated
 292 moieties. NMR parameters allowed us to differentiate the binding and mucoadhesion properties of
 293 nanoparticulated forms of chitosans with respect to the corresponding polymers, highlighting the relevance of
 294 S-protection on the mucoadhesion of sulfurated chitosans.

295

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