

1 **A deep dive into the ancestral chromosome number and genome size of flowering plants**

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21 **Summary**

22

- 23 • Chromosome number and genome variation in flowering plants has stimulated a
24 blossoming number of speculations about the ancestral chromosome number of
25 angiosperms, but estimates so far remain equivocal.
- 26 • We used a probabilistic approach to model haploid chromosome number (n) changes
27 along a phylogeny embracing more than 10 thousands taxa, to reconstruct the ancestral
28 chromosome number of the common ancestor of extant angiosperms and the most recent
29 common ancestor for single angiosperm families. Independently, we carried out an
30 analysis of 1C genome size evolution, including over 5 thousands taxa.
- 31 • Our inferences revealed an ancestral haploid chromosome number for angiosperms $n = 7$,
32 a diploid status, and an ancestral 1C = 1.73 pg. For 160 families, inferred ancestral n are
33 provided for the first time.
- 34 • Both descending dysploidy and polyploidy played crucial roles in chromosome number
35 evolution. While descending dysploidy is equally distributed early and late across the
36 phylogeny, polyploidy is detected mainly towards the tips. Similarly, also 1C genome
37 size significantly increases (or decreases) in late-branching lineages. Therefore, no
38 evidence exists for a clear link between ancestral chromosome numbers and ancient
39 polyploidization events, suggesting that further insights are needed to elucidate the
40 organization of genome packaging into chromosomes.

41

42 **Key words:** Angiosperms, chromosome evolution, haploid chromosome number, genome
43 size, dysploidy, polyploidy

44 **Introduction**

45

46 Chromosome rearrangements are a well-known evolutionary feature in eukaryotic organisms
47 (Coghlan *et al.*, 2005), especially in plants. The remarkable diversity of flowering plants
48 (angiosperms) has been attributed, in part, to the tremendous variation in their chromosome
49 number (Stebbins, 1971). This variation has stimulated a blossoming number of speculations
50 about the ancestral chromosome number of angiosperms (Ehrendorfer *et al.*, 1968; Stebbins,
51 1971; Walker 1972; Raven, 1975; Grant, 1981; Soltis *et al.*, 2005), but estimates so far
52 remain equivocal.

53 Each eukaryotic organism has a characteristic chromosome complement, its karyotype, which
54 represents the highest level of structural and functional organization of the nuclear genome
55 (Stace, 2000). Karyotype constancy ensures the transfer of the same genetic material to the
56 next generation, while karyotype variation provides genetic support to ecological
57 differentiation and adaptation (Stebbins, 1971, Stace, 2000). Cytogenetic studies have shown
58 that the tremendous inter- and intra-taxonomic variation of chromosome number documented
59 in flowering plants (Levitzky, 1931; Stebbins, 1971; Raven, 1975) is mostly driven by two
60 major mechanisms: a) increases through polyploidy (which may entail a Whole Genome
61 Duplication [WGD] or an increase by half of the genome, demi-duplication [Mayrose *et al.*,
62 2009]); b) decreases or increases through duplication or deletion of single chromosome pairs
63 or structural chromosomal rearrangements like chromosome fusion, i.e. descending
64 dysploidy, and chromosome fission, i.e. ascending dysploidy.

65 Polyploidy is a common and ongoing phenomenon, especially in plants (Wood *et al.*, 2009),
66 that has played an important role in many lineages, with evidence of several rounds of both
67 ancient and recent polyploidization (Jiao *et al.*, 2011; Li *et al.*, 2015; Leebens-Mack *et al.*,
68 2019), albeit its distribution in time remains contested (Ruprecht *et al.*, 2017). Indeed,
69 although the crucial role of polyploidy in plant diversification on small timescales is widely
70 accepted (Stebbins, 1971; Grant, 1981), the evolutionary significance of polyploidization for
71 the long-term diversity of angiosperms is still controversial (Mayrose *et al.*, 2011; Soltis *et al.*,
72 2014; Han *et al.*, 2020). On the other hand, while dysploidy is more frequent than
73 polyploidy in angiosperms (Grant, 1981), its adaptive consequences have been mostly
74 unexamined (Weiss-Schneeweiss & Schneeweiss, 2013), until recent studies demonstrated its
75 high evolutionary impact (Escudero *et al.*, 2014).

76 In other seed plants, Li *et al.* (2015) detected two WGDs in the crown node of conifer clades,
77 namely Pinaceae and Cupressaceae, contrary to a previous study suggesting a slow and

78 steady rate of genome size increase mainly due to the accumulation of repetitive DNA
79 (Nystedt et al., 2013). Several rounds of polyploidy, followed by diploidization, also occurred
80 in monilophyte ancestors (Clark et al., 2016), albeit these authors managed a limited
81 taxonomic sampling. Diploid chromosome numbers range from $2n = 14$ to 66 in extant
82 gymnosperms, and from $2n = 18$ to 1440 in monilophytes.

83 Chromosome number variation across angiosperm lineages spans two orders of magnitude
84 (Stace, 2000), from $2n = 4$ to $2n = 640$. Previous hypotheses (Ehrendorfer *et al.*, 1968;
85 Stebbins, 1971; Walker 1972; Raven, 1975; Grant, 1981; Soltis *et al.*, 2005) of the ancestral
86 basic (monoploid; see Peruzzi, 2013) chromosome number p in angiosperms suggest low
87 numbers, between $p = 6$ and $p = 9$. Genome size also vary tremendously across the flowering
88 plants (Leitch *et al.*, 2005), and it has been estimated that the ancestral genome size of
89 angiosperms was very small ($1C < 1.4$ pg, Leitch *et al.*, 2005). Early hypotheses to estimate
90 putative ancestral basic chromosome numbers placed particular attention to 'primitive' extant
91 angiosperms (Raven, 1975). More recently, an ancestral chromosome number has been
92 reconstructed using a maximum parsimony approach (Soltis *et al.*, 2005). However, although
93 parsimony has been widely used to infer ancestral chromosome numbers, it carries significant
94 shortcomings (Mayrose *et al.*, 2009), and more rigorous and complex models to infer
95 chromosome number evolution are currently available (Mayrose *et al.*, 2009; Glick &
96 Mayrose, 2014; Freyman & Höhna, 2018; Zenil-Ferguson *et al.*, 2018). Here we use
97 probabilistic models, accounting for various types of chromosome number transitions, to
98 reconstruct the ancestral haploid chromosome number and the occurrence of chromosome
99 change events across the most massive data set ever assembled linking chromosome numbers
100 to a phylogeny, sampling 10,766 taxa from 318 families (73%) and 59 orders (92%) of
101 angiosperms. Chromosome numbers were extracted from the Chromosome Counts DataBase
102 (Rice *et al.*, 2015), and the analyses were conducted using pruned versions of two recently
103 published, dated mega-trees for seed plants (Smith & Brown, 2018). In addition, we explored
104 the sensitivity of our results by conducting all analyses again using a different ultrametric tree
105 of 1,559 taxa extracted from a recently published alternative angiosperm tree (Li *et al.*, 2019).
106 As WGD and post-polyploid genome diploidization (PPD) are widespread across
107 angiosperms (the latter gradually reverting the polyploid genome to one functionally diploid-
108 like through chromosomal rearrangements, see Mandakova & Lysak, 2018), it is critical to
109 consider changes in ploidy level besides chromosome number change dynamics. To this aim,
110 we compared our results about chromosome number evolution with estimated rates of
111 different shifts in ploidy level (including diploidization events, Zenil-Ferguson *et al.*, 2018)

112 with inferred ancestral states in genome size sampling over 5,000 taxa from the Plant DNA
113 C-values database (<https://cvalues.science.kew.org/search/angiosperm>). Ancestral genome
114 size inference taken in combination with chromosome number evolution, could allow us to
115 address genome rearrangements associated with genome size duplication across angiosperm
116 history.

117

118 **Materials and Methods**

119

120 Phylogenetic reconstruction

121 We used two recently published (Smith & Brown, 2018) dated megaphylogenies for seed
122 plants, GBMB and GBOTB, as backbones to generate two alternative phylogenies for
123 angiosperms included in the dataset. GBMB and GBOTB were constructed using 79,874 and
124 79,881 taxa, respectively, available in GenBank and in a backbone provided either by
125 Magallón et al. 2015 (GBMB) or by Open Tree of Life, version 9.1 (GBOTB). In addition,
126 we also used a different ultrametric tree provided by a recently published plastid
127 phylogenomic angiosperm (PPA) tree (Li *et al.*, 2019).

128

129 Chromosome numbers collection

130 The haploid chromosome numbers (n) of the species were obtained from the Chromosome
131 Counts Database (CCDB [Rice *et al.*, 2015]; <http://ccdb.tau.ac.il/>; last accessed May 2019)
132 using the R package *chrome* (Pennell *et al.*, 2016). CCDB contains records from original
133 sources that have irregularities of chromosome counts, so that the ca. 150,000 records were
134 curated semiautomatically using the *CCDBcurator* package (Rivero *et al.*, 2019). After a first
135 round of automatic cleaning, we examined results by hand using custom R scripts and
136 corrected records where needed.

137 Species with unknown chromosome counts were pruned from the trees, thus we collected
138 chromosome numbers for 10,766 taxa included in the GBMB and GBOTB phylogenetic
139 trees, and for 1,559 taxa included in the PPA tree. In cases where multiple chromosome
140 numbers were reported for a given taxon, the modal number was used (Mayrose *et al.*, 2009;
141 Salman-Minkov *et al.*, 2016). For taxa with numbers suggesting different ploidy levels, we
142 used the lowest haploid chromosome number available (Márquez-Corro *et al.*, 2019). This
143 coding scheme allowed us to deal with the problem of the existence of different ploidy levels
144 in a taxon and also with the low-density sampling conducted in most taxa (Márquez-Corro *et al.*,
145 *et al.*, 2019). Analyses conducted using the PPA tree encountered less computation limitations,

146 so that we were able to perform them by explicitly considering intraspecific polymorphism,
147 allowing several chromosome numbers, together with their respective frequencies, to be set
148 for each taxon (Glick & Mayrose, 2014).

149

150 Genome size collection

151 Genome size data (1C, prime estimates) for angiosperms were taken from the Plant DNA C-
152 values database (<https://cvalues.science.kew.org/search/angiosperm>, release 7.1, March
153 2020), managed by the Royal Botanic Gardens, Kew (Pellicer & Leitch, 2019). We gathered
154 5,581 and 661 taxa, respectively matching the GBMB and the PPA trees. Genome size data
155 were \log_{10} transformed to ensure the data conformed to Brownian motion evolution (Beaulieu
156 *et al.*, 2012; Carta & Peruzzi, 2016).

157

158 Analyses

159 The evolution of haploid chromosome numbers of angiosperms was inferred using
160 chromEvol software v.2.0 (<http://www.tau.ac.il/~itaymay/cp/chromEvol/index.html>; Glick &
161 Mayrose, 2014). This software determines the likelihood of a model to explain the given data
162 along the phylogeny, based on the combination of two or more of the following parameters:
163 dysploidization (ascending, chromosome gain rate λ ; descending, chromosome loss rate δ),
164 polyploidization (chromosome number duplication with rate ρ , demi-polyploidization or
165 triploidization with rate μ) and incremental changes to the basic number (β) with regard to a
166 rate of multiplication (v) that is different from a regular duplication (Mayrose *et al.*, 2009).
167 Two additional parameters (λ_1 , δ_1) detect linear dependency between the current haploid
168 number and the rate of gain and loss of chromosomes. We tested 10 models based on a
169 different combination of the parameters above. Four of these models consider only constant
170 rates (Mc1, Mc2, Mc3, and Mc0), whereas the other four include two linear rate parameters
171 (MI1, MI2, MI3, and MI0; Table 3). Both sets have a null model (Mc0 and MI0) that assumes
172 no polyploidisation events. Finally, two models (Mb1 and Mb2) consider that the evolution
173 of chromosome number can also be influenced by the basic number and by its transition rates.
174 The minimum chromosome number allowed in the analyses was set to 2, whereas the
175 maximum number was set to 5 units higher than the highest chromosome number found in
176 the empirical data. We removed all counts $n > 43$ from the analysis (5,467 counts [3,001
177 taxa]), because for many lineages the sampling was inadequate to reconstruct such a drastic
178 change in chromosome number (Márquez-Corro *et al.*, 2019; Barrett *et al.*, 2019) and
179 because of computation limitations (Zenil-Ferguson *et al.*, 2018). The branch lengths were

180 scaled according to the software author's instructions. The null hypothesis (no polyploidy)
181 was tested with likelihood ratio tests using the Akaike information criterion (AIC; Burnham
182 & Anderson, 2004). To compute the expected number of changes along each branch, as well
183 as the ancestral haploid chromosome numbers at internal nodes, the best fitted model for both
184 data sets was rerun using 1,000 simulations. The best model was plotted on the trees using the
185 ChromEvol functions v0.9-1 elaborated by N. Cusimano in R.

186 To test which ancestral haploid chromosome number is most likely at the root of
187 angiosperms, the following haploid chromosome numbers were fixed at the root and the
188 likelihood of the resulting models was compared: $n = 4,5,6,7,8,9$. These numbers were tested
189 either because considered putative ancestral character-states (Ehrendorfer *et al.*, 1968;
190 Stebbins, 1971; Walker 1972; Raven, 1975; Grant, 1981; Soltis *et al.*, 2005), or because they
191 were identified as the chromosome numbers showing the highest PP under our Bayesian
192 analysis.

193 To further support our results, we also modelled haploid chromosome number evolution
194 using the function Q_chromevoM3 in the R package chromploid (Zenil-Ferguson *et al.*,
195 2018). We then modeled ploidy change using the function PloidEvol and a subset of 3,759
196 taxa used in the ChromEvol analysis that have ploidy level information in
197 <https://cvalues.science.kew.org/search/angiosperm>. The PlodiEvol model, implemented in the
198 package chromploid, is a continuous time Markov chain model of ploidy level evolution, that
199 estimates the rates of different shifts in ploidy level, including diploidization, across a
200 phylogenetic tree, by analyzing the transitions of ploidy level among species. Optimizations
201 were performed using a subplex algorithm (nloptr package, Ypma 2014), where the
202 convergence criterion was based on the changes in the precision of the negative log
203 likelihood of no more of 10^{-8} . The procedure was run for at least 1,000 iterations.

204 Ancestral genome sizes were reconstructed using maximum likelihood and visualized on the
205 tree with the contmap function in the R package phytools (Revell, 2012). Models of adaptive
206 evolution at macroevolutionary scales typically rely on the Ornstein-Uhlenbeck (OU) model
207 of trait evolution (Hansen, 1997). This model assumes that an optimum trait value identifies a
208 selective regime acting on a lineage over the course of its history. The rate of adaptive
209 evolution towards an optimum trait value θ is governed by α , while the constant σ^2 describes
210 the rate of stochastic evolution away from the optimum. Here, discrete regime shifts in
211 genome size on the phylogeny were estimated from the data using a Bayesian reversible-
212 jump multi-optima OU model in the R package bayou (Uyeda & Harmon, 2014). Two
213 independent chains were run for at least 200,000 generations, with a thinning interval of 20

214 and the first 60,000 generations discarded as burn-in. To check the convergence of the chains
215 we used the Gelman's R. A half-Cauchy distribution was assigned to α and σ^2 , a normal
216 distribution with mean and standard deviation equivalent to the distribution of values for
217 genome size was assigned to θ , and a uniform distribution was assigned to the probability
218 that a change in optima occurs on a given branch, with the restriction that only one change
219 can occur on any branch. Mean genome size was estimated for each species, while within-
220 species variation to be incorporated into the phylogenetic analysis was not estimated
221 separately for each species, because within-species samples were limited to a few samples.
222 OU models are particularly affected by measurement error. Hence, we estimated the pooled
223 variance across the species and used it, weighted by sample size, to estimate the observation
224 variance of the individual species. All analyses were performed in the high-performance
225 computing cluster at the University of Pisa.

226

227 **Results**

228

229 Regardless of the three alternative phylogenies, $n = 7$ was inferred as the ancestral haploid
230 chromosome number with the highest posterior probability (Table 1) and likelihood (Table
231 2). The ancestral haploid chromosome number $n = 7$ was remarkably stable in the deepest
232 part of the phylogeny (Fig. 1), while slight variations (± 1) in n were inferred at the base of
233 some lineages. Greater variations were shown in the ancestral haploid chromosome number
234 of many angiosperm families (see Supplementary Table S1). Monocots exhibited the largest
235 variation of inferred n among Most Recent Common Ancestors (MRCAs) of plant families
236 (Fig. 2b), paralleled by a considerable variation in current haploid chromosome numbers
237 (Fig. 2a). Over 70% of inferred n in the 158 families for which previous inferences were
238 available are in line with previous proposals. The most interesting disagreements among our
239 inferences and those previously available in literature are reported in Table 3. For the
240 remaining 160 families (50.3%) the first inferences are presented here.

241 For both GBMB and GBOTB phylogenies, the best model considers up to six parameters
242 (Table 4), i.e. chromosome gain, loss, duplication, demi-duplication rates and rates of gain
243 and loss linearly dependent on the current chromosome number. Specifically, descending
244 dysploidy, most likely through chromosome fusion, was the most common cytogenetic
245 mechanism of chromosome number change during the evolution of flowering plants, and this
246 is inferred both on branches leading to major clades and on terminal branches

247 (Supplementary Figs. 1-3). Polyploidization events were also inferred in a significant
248 number, albeit mainly on terminal branches (Supplementary Figs. 1-3). PloidEvol, having the
249 ability to define a wider range of increasing ploidy level change events than chromosome
250 number-based models, detected a small rate of pure duplication for even ploidy levels ($\rho =$
251 0.024). Instead, PloidEvol indicate that other two parameters associated with the formation of
252 even ploidy levels are the largest: the rates of even-to-odd increase ($\alpha = 0.697$) and of
253 diploidization ($\delta = 0.471$) and even-to-even transition ($\epsilon = 0.116$). The stationary distribution
254 under PloidEvol model indicates a diploid status of the root, with highest probability (0.74)
255 followed by a tetraploid status (0.18). Analyses conducted using the PPA tree, include a
256 lower number of sampled taxa but allowed to consider intraspecific chromosome number
257 variation for each taxon. Nevertheless, results were consistent with those obtained using the
258 GBMB and GBOTB phylogenies. We found indeed only minor differences at the root
259 (Tables 1,2) and at some internal nodes (Fig. 1).

260 The results above are also confirmed by those obtained through the chromploidy software,
261 concerning both the ancestral haploid chromosome number marginal probability ($n = 7, 0.54;$
262 $n = 8, 0.43; n = 9, 0.01$) and the rate parameters, with descending dysploidy ($\delta = 0.017$) and
263 chromosome doubling ($\rho = 0.012$) largely exceeding chromosome gain ($\lambda = 0.009$) and
264 demiploidization ($\mu = 0.005$).

265 The reconstruction of genome size variation across the evolutionary history of angiosperms
266 indicates that the ancestral holoploid genome size for angiosperms was small ($1C = 1.73$ pg)
267 and mostly stable in the basal part of the tree, showing instead decreasing or increasing
268 values along terminal branches (Fig. 3 and Figs. S4–S8). In addition, the multi-optima OU
269 model identified several significant shifts in genome size, particularly an increase in genome
270 size at the base of Monocots and a downsizing at base of Rosids (Figs. S6-7).

271 Inferred ancestral $1C$ values for angiosperm families are reported in Table S1. Overall, the
272 majority of nodes (57%) underwent a decrease in genome size with respect to the ancestral
273 value of $1C = 1.73$ pg (0.24 in Fig. 3). In addition, a value 2-fold the ancestral one (0.48 in
274 Fig. 3) was reached for the first time only after more than 30 million years of angiosperm
275 evolutionary history (line in Fig. 3), in the lineage giving rise to Monocots.

276

277 **Discussion**

278

279 The main results presented here were drawn using the largest dated mega-tree currently
280 available for seed plants (but see Janssens *et al.*, 2020). Bayesian inference revealed an

281 ancestral haploid chromosome number for angiosperms $n = 7$, reinforcing previous
282 hypotheses (Ehrendorfer *et al.*, 1968; Stebbins, 1971; Walker 1972; Raven, 1975; Grant,
283 1981; Soltis *et al.*, 2005) that suggested a low ancestral basic number.

284 As a by-product of our study, our analyses allowed to infer n for single families, more than
285 half of which are provided here for the first time, while the others are mostly congruent with
286 previous evaluations. However, were able to highlight a number of discrepancies in ancestral
287 chromosome numbers previously inferred for a number of families (Table 3), possibly due to
288 traditional routine algebraic approaches, instead of phylogenetic models, to infer
289 chromosome number changes (see Cusimano *et al.*, 2012 for further discussion), or to the
290 analysis of more limited datasets.. For example, the inferred n of MRCAs of Brassicaceae,
291 Lamiaceae, and Rosaceae are respectively 7, 8, and 7 in our study, but were previously
292 inferred as 12, 14, and 9, respectively (Raven, 1975). Indeed, even in the presence of a strong
293 phylogenetic signal (e.g., closely related species sharing similar chromosome numbers;
294 Escudero *et al.*, 2012; Carta *et al.*, 2018), algebraic inferences of chromosome numbers
295 become increasingly difficult with increasing phylogenetic depth, as identical chromosome
296 numbers will occur in unrelated lineages (Weiss-Schneeweiss & Schneeweiss, 2013). The
297 dataset analysed here is the most extensive ever used for inferring ancestral haploid number
298 in angiosperms, but it still poses challenges concerning incomplete taxon sampling and
299 phylogenetic resolution at the family level.

300 Our chromosome number evolution results support the conclusion that genome duplication
301 and descending dysploidy were critical events in the evolution of angiosperms (Escudero *et al.*
302 *et al.*, 2014). Whilst polyploidization is the second most frequent transition type, ancient
303 polyploidy events are underrepresented. The absence of polyploidization events at the base of
304 the tree is in agreement with the maintenance of the ancestral haploid chromosome number n
305 $= 7$ inferred in the deepest part of the phylogeny, with the inferred ancestral diploid status and
306 with the very low ancestral genome size. Polyploidization events were instead inferred
307 mainly toward the tips of the tree, partially supporting previous evidence revealing
308 independent genome duplications near the base of several families (Escudero *et al.*, 2014;
309 Leebens-Mack *et al.*, 2019; Cusimano *et al.*, 2012), leading to high haploid chromosome
310 numbers. Indeed, this may be the case of many families in the Magnoliid clade. Our results
311 do not support a link among some of the most extensive plant radiations and ancient
312 polyploidization rounds (Jiao *et al.*, 2011). Nevertheless, the macroevolutionary dynamics of
313 ploidy level changes here presented, emphasize the importance of diploidization events,
314 suggesting that both WGD and PPD are widespread phenomena in angiosperms. Patterns of

315 early WGD were suggested also in gymnosperms (Li *et al.*, 2015), where however polyploidy
316 is rare (Rastogi & Ohri, 2020). On the contrary, repeated WGD events in the ancestors of
317 monilophytes have contributed to their diversity and high chromosome numbers (Clark *et al.*,
318 2016). Inferring ancient polyploidy events from cytological data is a challenging task,
319 because diploidization events (Schubert & Lysak, 2011; Mandakova & Lysak, 2018)
320 following polyploidisation gradually can hide signals of genome duplication over time
321 (Mayrose *et al.*, 2009). Yet, in our analyses, descending dysploidy was indeed the most
322 common cytogenetic mechanism of chromosome number change, and this phenomenon is
323 often regarded as a part of the diploidization process (Mandakova & Lysak, 2018). Our
324 results on chromosome number evolution do not support WGD events at the origin of
325 angiosperms or before it (Ruprecht *et al.*, 2017), but rather highlight the importance of WGD
326 events later in the evolution of angiosperms. This is further corroborated by our results on
327 genome size evolution, which clearly indicate that a) the ancestral genome size was small ($1C$
328 = 1.73 pg, a value slightly higher than the inference by Leitch *et al.*, 2005) and mostly stable
329 in the basal part of the tree; b) WGD likely did not occur in angiosperms for the first 30
330 million years of their evolutionary history (Fig. 3); and c) the majority of angiosperm nodes
331 (57%) underwent a general genome size reduction.

332 Reconstructing the ancestral chromosome number is difficult, because there are no suitable
333 outgroups for direct comparison (Doyle, 2012), and because extant early branching
334 angiosperms (e.g., *Amborella* and Nymphaeales) are not necessarily holding plesiomorphic
335 character-states. With these limitations in mind, we made inferences based on the distribution
336 of n and genome size in extant angiosperms, and using probabilistic models accounting for
337 various types of chromosome number transitions. Our study is not able to address the origin
338 of either the chromosome number or genome size of the first angiosperms. Instead, it
339 provides novel, detailed, and well-supported inference of ancestral haploid chromosome
340 number and genome size of the common ancestor of all extant angiosperms. Interestingly, our
341 inferred ancestral state for the haploid number n coincides with the ancestral basic
342 chromosome number p previously proposed for angiosperms based on empirical counts
343 (Ehrendorfer *et al.*, 1968; Stebbins, 1971; Walker 1972; Raven, 1975; Grant, 1981; Soltis *et al.*,
344 2005) or paleogenomic approaches (Salse *et al.*, 2012). In gymnosperms, the
345 hypothesised ancestral number $n = 12$ (Nystedt *et al.*, 2013), based on the most frequent
346 chromosome number in extant species, is partly supported by the reconstructed ancestral
347 number in *Juniperus*, $n = 11$ (Farhat *et al.*, 2019). Not surprisingly, a higher ancestral
348 chromosome number has been reconstructed for monilophytes, namely $n = 22$ (Clark *et al.*,

349 2016). According to Leitch *et al.* (2005) both gymnosperms and monilophytes showed an
350 "intermediate" ancestral genome size (1C values ranging between 3.5 and 14.0 pg).
351 Altogether, it appears that spermatophytes may have a lower ancestral chromosome number,
352 compared to monilophytes, suggesting differences between the genomic evolutionary
353 trajectories of these groups (Clark *et al.*, 2016).
354 Our study allowed to clarify a long-standing question (Stebbins, 1971), but such
355 reconstruction necessarily comes with limitations. We do believe our results allowed a major
356 step forward in understanding the ancestral chromosome number for angiosperms, and we
357 believe that this issue should be added to the angiosperm macroevolutionary agenda (Sauquet
358 & Magallón, 2018). Further progress in reconstructing the ancestral genome organisation in
359 angiosperms may require the development of models that include heterogeneity in the
360 patterns of chromosome evolution across a phylogenetic tree (Zenil-Ferguson *et al.*, 2018),
361 along with a deeper insight into genome and karyotype evolution.

362

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367

368 **Author contributions**

369 A.C. planned and designed the research, analysed the data and wrote the manuscript. G.B.
370 assisted in chromosome numbers acquisition. L.P. and G.B. contributed to successive
371 versions of the manuscript and in solving theoretical and nomenclatural issues. All authors
372 read and approved the final manuscript.

373

374 **Data Availability**

375 All data analysed during this study are included in this published article and its
376 supplementary information.

377

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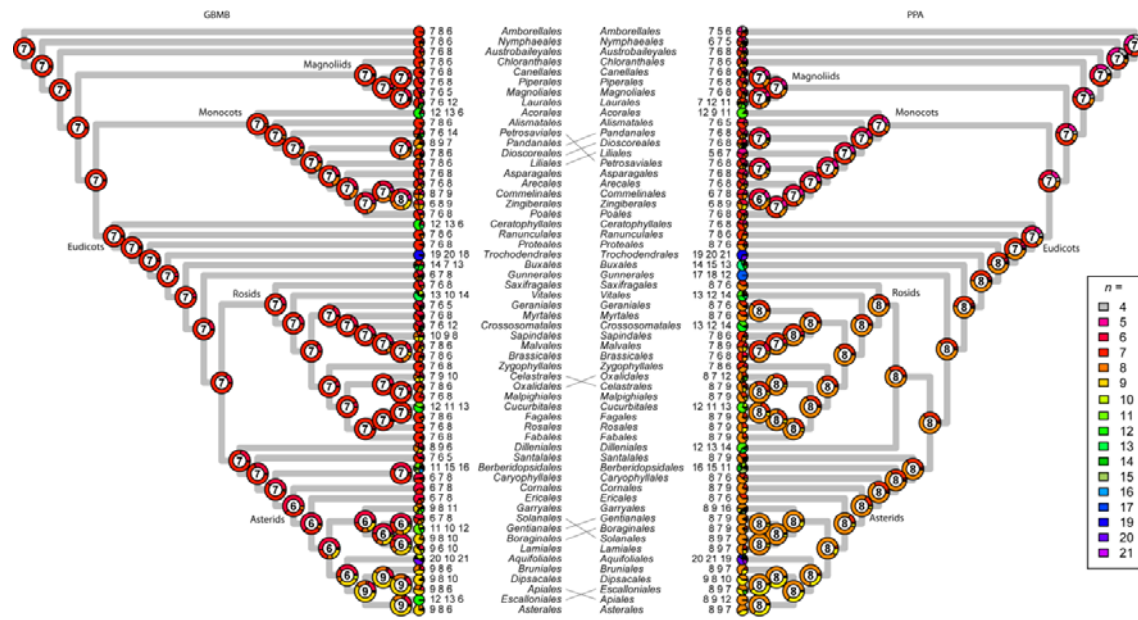
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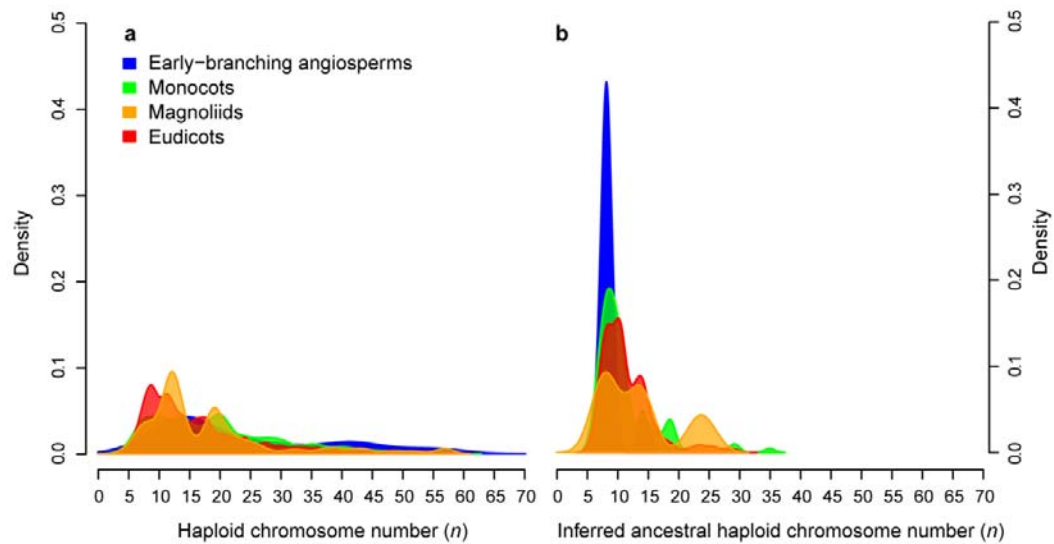


525

526 **Figure 1** Reconstruction of ancestral haploid chromosome number (n) of angiosperms with
 527 the best-fitting model on the different types of trees used (GBMB and PPA). Please note that
 528 the plotted trees depict ordinal phylogenetic relationships (sub-ordinal topologies were
 529 collapsed to build the figure), and are shown without branch length information. Pie charts at
 530 nodes represent the probability of the ancestral haploid chromosome numbers inferred under
 531 Bayesian estimation; the numbers at nodes are those with the highest probability. Pie charts
 532 and numbers at the tips are the three best inferred ancestral haploid chromosome numbers per
 533 each angiosperm order. Black lines, difference in phylogenetic position between GBMB and
 534 PPA trees.

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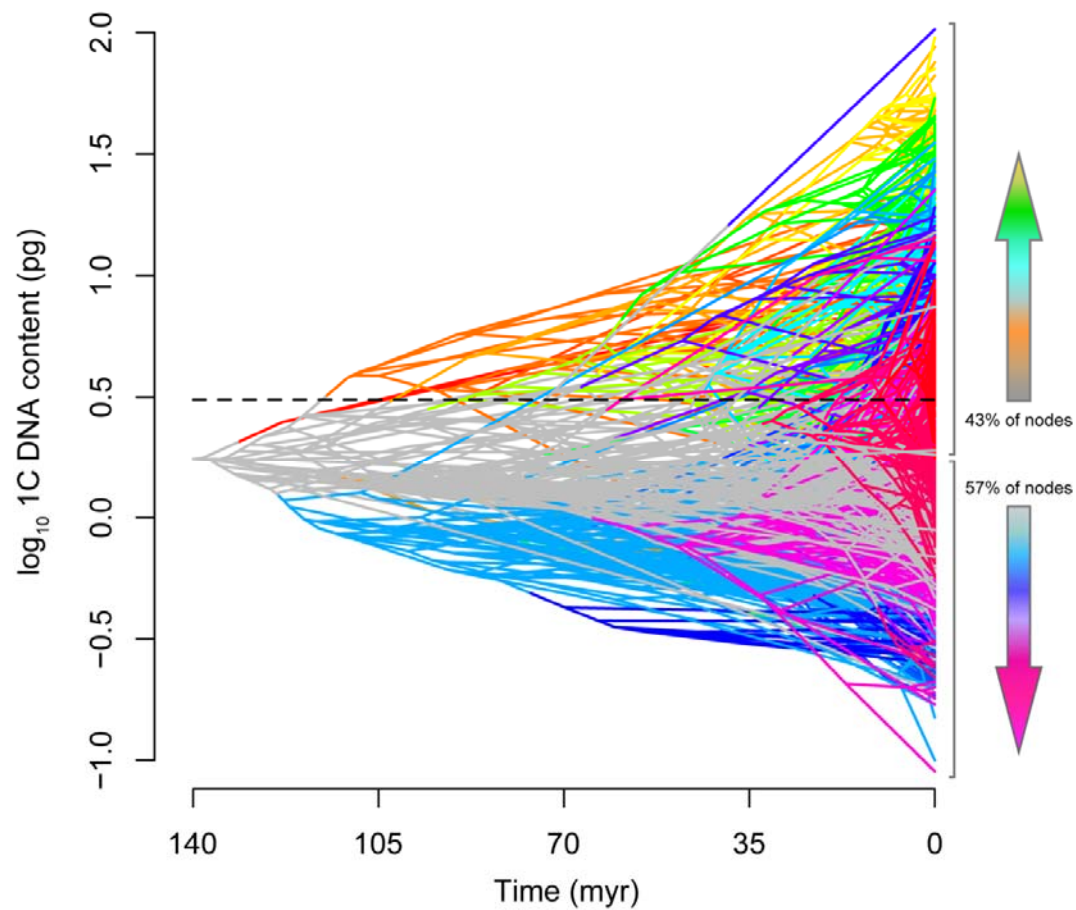
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538 **Figure 2** Density plots of haploid chromosome numbers (n) and of inferred ancestral haploid
539 chromosome number (n) for each angiosperm family in four major angiosperm clades (APG
540 IV). **a**, we identified the number of unique chromosome counts per taxon, i.e. cytotypes, from
541 the original dataset, after excluding counts with $n > 60$, to focus on the most frequent n and
542 their putative relation with inferred p . **b**, density plots were scaled by the Bayesian posterior
543 probability (PP) estimated for each inferred p .

544



545

546 **Figure 3** Projection of the tree into phenotype space (traitgram) for genome size evolution in
547 angiosperms (GBMB tree). Ancestral states for the projection and discrete shifts in genome
548 size on the phylogeny were estimated using a Bayesian reversible-jump multi-optima OU
549 model. Branches are coloured according to their median value of theta, with the larger
550 phenotypic values for optima being indicated by blue, green and orange while smaller optima
551 indicated by pink. The straight dashed line coincides with a 2-fold ancestral genome size.

552 **Table 1.** Summary of chromosome number evolutionary models and inferred ancestral haploid chromosome number (n) in Angiosperms under
 553 the best-fitting model.

tree	Best model	LogLik	AIC	Rates						Events inferred with PP > 0.5				Chromosome no. at root node		
				λ	δ	ρ	μ	λ_l	δ_l	Gain	Losses	Duplications	Demi	Bayes (PP): Best p	Bayes(PP): 2nd best p	ML
GBMB (10,766 taxa)	M13	-18120.0	36250.0	0.0081	0.0113	0.0131	0.0051	0.0051	0.0007	509.7	1627.5	1438.1	376.1	7 (0.97)	8 (0.02)	5
GBOTB (10,766 taxa)	M13	-18110.0	36240.0	0.0106	0.0096	0.0130	0.0049	0.0001	0.0008	589.5	1625.3	1435.3	363.3	7 (0.98)	8 (0.01)	5
PPA (1559 taxa)	M12	-3788.0	7586.0	0.0101	0.0044	0.0078	-	-0.0002	0.0012	145.0	528.1	244.6	191.9	7 (0.24)	5 (0.21)	2

Only the best-fitting models are shown. Tree refers to the three alternative phylogenies used. Best model, M13 (linear rate model with duplication rate ρ and demi-duplication rate μ) M12 (linear rate model with equal duplication and demi-duplication rates); Logarithmic likelihood (LogLik) and AIC scores; rate parameters (λ = chromosome gain rate, δ = chromosome loss rate, ρ = duplication rate, μ = demi-duplication rate, λ_l = linear chromosome gain, δ_l = linear chromosome loss); frequency of the four possible event types with a posterior probability (PP) > 0.5; haploid chromosome number inferred at the root node under Bayesian optimization with the respective PP, and under maximum likelihood (ML).

554

555 **Table 2.** Testing hypotheses about root ancestral haploid chromosome number (n).

Tree	GBMB		GBOTB		PPA	556
	LogLik	AIC	LogLik	AIC	LogLik	557 AIC
Root fixed at $n = 4$	-18127.1	36266.2	-18129.9	36271.7	-3788.8	558 7587.6
Root fixed at $n = 5$	-18128.1	36268.2	-18142.6	36297.2	-3787.2	559 7584.5
Root fixed at $n = 6$	-18123.6	36259.2	-18124.2	36260.4	-3786.7	560 7583.5
Root fixed at $n = 7$	-18117.1	36246.3	-18115.5	36243.0	-3786.2	561 7582.4
Root fixed at $n = 8$	-18119.4	36250.9	-18127.8	36267.6	-3787.6	562 7585.1
Root fixed at $n = 9$	-18122.2	36256.4	-18121.3	36254.7	-3788.4	563 7586.9
AIC and LogLik values obtained by fixing the root with given ancestral haploid chromosome number.						564
The lowest AIC and LogLik values are shown in bold.						565

567

568 **Table 3.** Inferred ancestral n chromosome numbers of most recent common ancestors (MRCAs) for selected angiosperm families. For each
 569 family, the three most supported numbers are given (the first most supported in boldface). Only those 52 out of 318 families for which previous -
 570 and different - hypotheses were postulated in literature are reported. For further details see Table S1.

family	n inferred in this study	n (literature)	notes
Acanthaceae	9=0.991 , 10=0.007, 8=0.002	7	Raven (1975) hypothesized $n = 7$.
Amborellaceae	7=0.974 , 8=0.024, 6=0.002	13	Raven (1975) hypothesized $n = 13$, which coincides with the current x typical of <i>Amborella trichopoda</i> , the sole species belonging to this family.
Anacardiaceae	15=0.602 , 16=0.138, 14=0.106	7	Raven (1975) hypothesized $n = 7$.
Araliaceae	8=0.809 , 6=0.119, 9=0.031	12	Raven (1975) hypothesized $n = 12$.
Arecaceae	9=0.779 , 8=0.109, 10=0.101	18, 16	Raven (1975) hypothesized $n = 18$. This number, together with $n = 16$, is also inferred by Cusimano <i>et al.</i> (2012). The relevant difference with the latter authors may be due to differences in the number of outgroup taxa, much more limited in Cusimano <i>et al.</i> (2012).
Austrobaileyaceae	7=0.726 , 6=0.145, 8=0.099	22	Raven (1975) hypothesized $n = 22$.
Bignoniaceae	9=0.974 , 10=0.023, 18=0.002	20	Raven (1975) hypothesized $n = 20$.
Brassicaceae	7=0.590 , 8=0.340, 6=0.035	12	Raven (1975) hypothesized $n = 12$.
Cabombaceae	7=0.378 , 8=0.189, 6=0.179	13	Ørgaard (1991) hypothesized $n = 13$.
Campanulaceae	8=0.773 , 9=0.146, 7=0.073	7, 9	Raven (1975) hypothesized $n = 7$ (our third most likely inferred n), while more recently Crowl <i>et al.</i> (2016) hypothesized $n = 9$ (our second most likely inferred n).
Canellaceae	7=0.806 , 6=0.108, 8=0.049	14, 13	Raven (1975) hypothesized $n = 14, 13$.
Caricaceae	7=0.479 , 8=0.187, 6=0.114	9	Both Raven (1975) and Lysak (2018) hypothesized $n = 9$.
Cercidiphyllaceae	9=0.159 , 10=0.138, 8=0.120	19	Raven (1975) hypothesized $n = 19$.
Circaeasteraceae	7=0.477 , 8=0.217, 6=0.146	15	Raven (1975) hypothesized $n = 15$.
Cyrtillaceae	6=0.368 , 7=0.247, 8=0.157	10	Raven (1975) hypothesized $n = 10$.
Degeneriaceae	7=0.331 , 6=0.231, 5=0.111	12	Raven (1975) hypothesized $n = 12$.
Dioncophyllaceae	6=0.544 , 7=0.292, 5=0.099	18	Raven (1975) hypothesized $n = 18$.
Escalloniaceae	12=0.991 , 13=0.005, 6=0.002	8, 9	Raven (1975) hypothesized $n = 8, 9$.
Eucommiaceae	9=0.270 , 8=0.263, 11=0.164	17	Raven (1975) hypothesized $n = 17$.
Fouquieriaceae	6=0.538 , 7=0.159, 8=0.125	12	Raven (1975) hypothesized $n = 12$.
Gomortegaceae	11=0.250 , 12=0.177, 22=0.136	21	Raven (1975) hypothesized $n = 21$.

Hamamelidaceae	6=0.483 , 7=0.323, 8=0.140	12, 16	Raven (1975) hypothesized $n = 12, 16$.
Hernandiaceae	7=0.299 , 6=0.240, 12=0.154	20, 15	Raven (1975) hypothesized $n = 20, 15$.
Himantandraceae	7=0.331 , 6=0.231, 5=0.111	12	Raven (1975) hypothesized $n = 12$.
Hydrangeaceae	12=0.418 , 9=0.256, 13=0.200	7	Raven (1975) hypothesized $n = 7$.
Hypericaceae	9=0.411 , 10=0.146, 7=0.138	12	Raven (1975) hypothesized $n = 12$.
Juncaginaceae	12=0.273 , 8=0.235, 11=0.165	6, 10	Raven (1975) hypothesized $n = 6$, while Cusimano <i>et al.</i> (2012) inferred $n = 10$.
Lamiaceae	8=0.765 , 9=0.234, 7=0.000	14	Raven (1975) hypothesized $n = 14$.
Lardizabalaceae	8=0.345 , 11=0.157, 7=0.153	16, 15, 14	Raven (1975) hypothesized $n = 16, 15, 14$.
Loasaceae	12=0.599 , 13=0.180, 11=0.121	7	Raven (1975) hypothesized $n = 7$.
Loranthaceae	6=0.822 , 7=0.083, 8=0.064	12	Raven (1975) hypothesized $n = 12$.
Melanthiaceae	7=0.335 , 8=0.297, 6=0.229	9	Pellicer <i>et al.</i> (2014) hypothesized $n = 9$.
Moringaceae	7=0.479 , 8=0.187, 6=0.114	14	Lysak (2018) hypothesized $n = 14$.
Myricaceae	8=0.981 , 9=0.014, 7=0.005	16	Raven (1975) hypothesized $n = 16$.
Nymphaeaceae	7=0.536 , 8=0.184, 6=0.128	12	While Raven (1975) hypothesized $n = 12$, more recently Pellicer <i>et al.</i> (2013) inferred $n = 17$.
Ochnaceae	14=0.676 , 13=0.222, 7=0.045	7	Raven (1975) hypothesized $n = 7$.
Onagraceae	10=0.216 , 8=0.210, 7=0.202	11	Raven (1975) hypothesized $n = 11$.
Orobanchaceae	9=0.918 , 8=0.074, 10=0.006	7, 12	Raven (1975) hypothesized $n = 7, 12$.
Pandanaceae	30=0.441 , 16=0.126, 18=0.123	30	Raven (1975) hypothesized $n = 30$.
Papaveraceae	7=0.552 , 8=0.313, 6=0.086	9, 10	Raven (1975) hypothesized $n = 9, 10$.
Polygalaceae	12=0.477 , 11=0.284, 13=0.077	6	Raven (1975) hypothesized $n = 6$.
Portulacaceae	12=0.920 , 13=0.038, 11=0.020	9	Oliveira Marinho <i>et al.</i> (2019) inferred $n = 9$.
Potamogetonaceae	13=0.506 , 14=0.379, 12=0.070	10	Cusimano <i>et al.</i> (2012) inferred $n = 10$.
Rosaceae	7=0.935 , 8=0.034, 6=0.028	9	Raven (1975) hypothesized $n = 9$.
Salicaceae	10=0.640 , 11=0.133, 5=0.072	19	Raven (1975) hypothesized $n = 19$.
Sapindaceae	10=0.584 , 8=0.183, 9=0.148	7	Raven (1975) hypothesized $n = 7$.
Scheuchzeriaceae	7=0.394 , 6=0.219, 8=0.135	12	Cusimano <i>et al.</i> (2012) inferred $n = 12$.
Schisandraceae	7=0.816 , 8=0.103, 6=0.049	14, 13	Raven (1975) hypothesized $n = 14, 13$.
Simaroubaceae	8=0.488 , 9=0.369, 7=0.031	16	Raven (1975) hypothesized $n = 16$.
Tofieldiaceae	15=0.360 , 16=0.265, 14=0.109	8	Cusimano <i>et al.</i> (2012) inferred $n = 8$.
Urticaceae	7=0.923 , 8=0.023, 6=0.019	14	Raven (1975) hypothesized $n = 14$.
Vitaceae	13=0.551 , 10=0.192, 14=0.077	12	Raven (1975) hypothesized $n = 12$.

Model	GBMB			GBOTB			PPA			Parameters
	LogLik	AIC	AIC _w	LogLik	AIC	AIC _w	LogLik	AIC	AIC _w	
Mc1	-19870	39750	0.0000000000	-19900	39800	0.0000000000	-4032	8070	0.0000000000	$\lambda; \delta; \rho$
Mc2	-18280	36560	0.0000000000	-18270	36550	0.0000000000	-3804	7613	0.0000013710	$\lambda; \delta; \rho=\mu$
Mc3	-18140	36290	0.0000000021	-18140	36280	0.0000000021	-3804	7616	0.0000003059	$\lambda; \delta; \rho; \mu$
Mc0	-47870	95740	0.0000000000	-49060	98120	0.0000000000	-5135	10270	0.0000000000	$\lambda; \delta$
MI1	-19670	39350	0.0000000000	-19660	39320	0.0000000000	-3999	8008	0.0000000000	$\lambda; \delta; \rho; \lambda_1; \delta_1$
MI2	-18260	36520	0.0000000000	-18250	36510	0.0000000000	-3788	7586	0.9999999979	$\lambda; \delta; \rho=\mu; \lambda_1; \delta_1$
MI3	-18120	36250	0.9999999979	-18110	36240	0.9999999979	-3787	7587	0.6065306585	$\lambda; \delta; \rho; \mu; \lambda_1; \delta_1$
MI0	-45260	90530	0.0000000000	-44910	89820	0.0000000000	-4918	9843	0.0000000000	$\lambda; \delta; \lambda_1; \delta_1$
Mb1	-18650	37310	0.0000000000	-18470	36950	0.0000000000	-4001	8011	0.0000000000	$\lambda; \delta; \beta; \nu$
Mb2	-21790	43580	0.0000000000	-21830	43670	0.0000000000	-4287	8581	0.0000000000	$\lambda; \delta; \rho; \beta; \nu$

572 **Table 4.** Goodness of fit of the 10 different models of chromosome number evolution applied to the three alternative phylogenies used.

Mc indicate models with constant rates, Ml models that include linear rate parameters and Mb models that include base number (not the chromosome number at the root of the phylogeny) parameters. Logarithmic likelihood (LogLik), AIC and relative weights scores (AICw). In bold, the lowest AIC value for each phylogeny indicates the best model. The last column indicates the parameter estimates included in each model (see Methods for details).

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