

Proposal of a BEPU-FSAR

Francine Menzel, Gaiane Sabundjian

Instituto de Pesquisas Energéticas e Nucleares (IPEN / CNEN - SP)
Av. Professor Lineu Prestes 2242
05508-000 São Paulo, SP, Brazil
fmenzel@ipen.br, gdjian@ipen.br

Francesco D'Auria

Università degli Studi di Pisa
Gruppo di Ricerca Nucleare San Piero a Grado - GRNSPG
San Piero a Grado
56122, Pisa, Italia
f.dauria@ing.unipi.it

Alzira A. Madeira

Comissão Nacional de Energia Nuclear
Rua General Severiano, 90
22294-900 Rio de Janeiro, RJ, Brazil
alzira@cnen.gov.br

ABSTRACT

The accident analysis performance consists of a fundamental part of the licensing of the Nuclear Power Plants (NPP). There are conservative and best estimated methods to perform this analysis. Although Best Estimated Plus Uncertainty (BEPU) is used for qualified computational tools and methods of the accident analysis, it can be used in other parts of the Final Safety Analysis Report (FSAR), which require Analytical Techniques (AT). The need for uncertainty quantification and harmonization of the approaches to use the computer codes is an important issue constituting the background to perform a BEPU-FSAR. The objective of this paper is to present the BEPU-FSAR concept and discuss how-to and why-to perform it.

1 INTRODUCTION

The Nuclear Reactor Safety (NRS) technology consists of two components, which are the Fundamentals and the Application, as demonstrated in Figure 1. The first one includes the key safety objective and the related safety principles, the safety requirements developed by the International Atomic Energy Agency (IAEA). The Application refers to the application of these principles, and requirements in the design, licensing, construction, operation and decommissioning of any nuclear installation [1].

The accomplishment of safety fundamentals in the Nuclear Power Plant (NPP) design is achievable by suitable safety analysis and assessment. The safety evaluation of the NPP is based on the fulfillment of a set of design acceptance criteria such as maximum peak cladding temperature, maximum pressure in the primary system, among others, to be met under a wide range of plant operating conditions to confirm the preservation of physical barriers [2]. The national regulator normally defines the acceptance criteria, and a comprehensive Safety

Analysis Report (SAR) for individual NPP provides the demonstration that the safety objective is met and, noticeably, that acceptable safety margins exist [1]. The SAR shall be seen as the survey of information concerning the safety of the specific NPP and includes the demonstration of acceptability of the NPP against the rules and related criteria established for the Country. The Safety Analysis is part of the licensing process and is documented in the Final Safety Analysis Report (FSAR) [2].

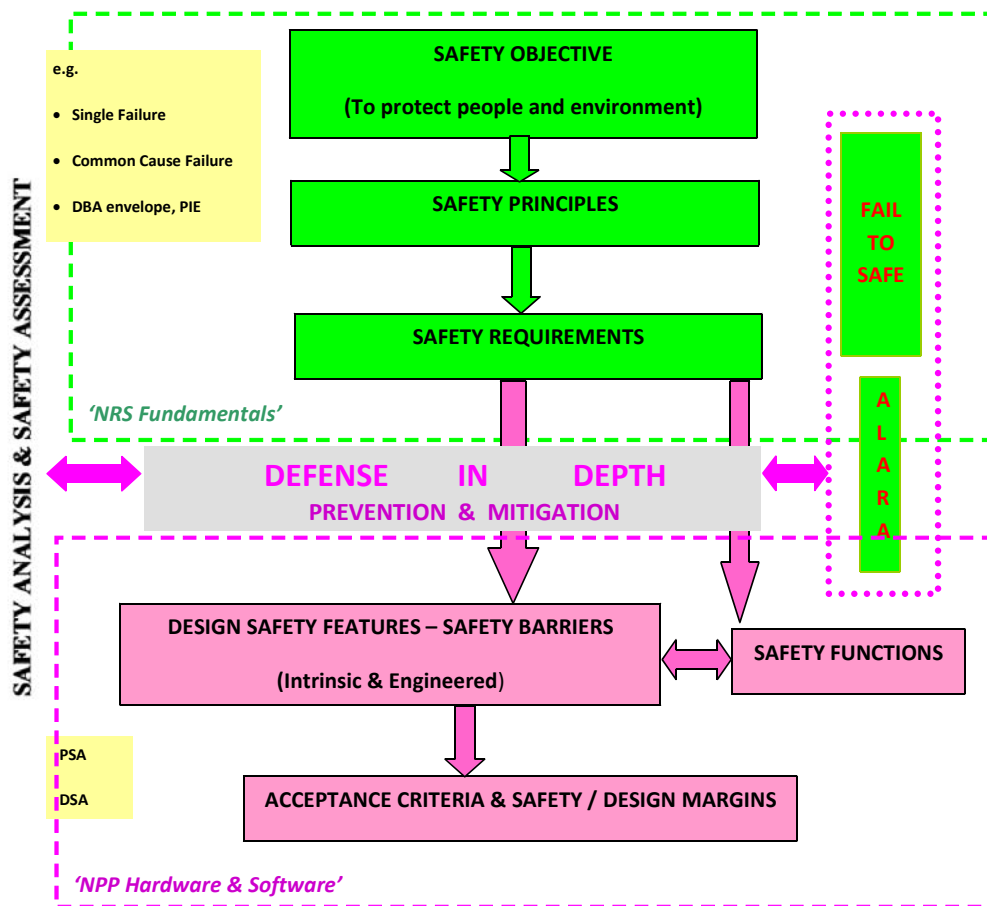


Figure 1: Simplified sketch for Nuclear Reactor Safety Technology

The FSAR is a compendium for the Nuclear Safety Reactor, and should be made and delivered to the appropriate regulatory body. Accident Analysis consists in a fundamental part of the licensing of the NPP, and should be documented in Chapter 15, on FSAR.

There is variety of codes that allows predicting the response of the NPP during accident conditions. In the last decades, several complex system codes have been developed with proven capabilities for simulating the main thermal-hydraulic phenomena that occurs during transient conditions. Originally, system thermal-hydraulic codes were used to support the design of safety systems, but since the publication of the 10 CFR 50.46, in 1978, they start to be applied widely in the licensing process. In parallel, especially after the TMI-2 accident, several “realistic” or so-called “Best-Estimate” (BE) codes started being developed in order to switch from the previously-used conservative assumptions to more realistic description of the processes. Since then, BE system codes are used to perform safety analysis of the NPP during accident scenarios, uncertainty quantification, Probabilistic Safety Assessment (PSA), reactor design, etc. Some examples of BE codes are RELAP5, TRAC, TRACE, CATHARE, ATHLET, and others [3].

There are different options on accidents analysis area by combining the use of computer codes and input data for licensing purposes. Four options can be identified [4]:

1. Very conservative approach, shown in Appendix K of 10 Code of Federal Regulations (CFR) 50.46 (USNRC, 1974), for examination in case of Loss of Coolant Accident (LOCA);
2. Realistic conservative approach, which is similar to the first, except for the fact that best estimate computer codes instead of conservative codes are applied;
3. Initial and boundary conditions taken as realistic considering its uncertainties. In some countries like USA this option would be to Best Estimate Plus Uncertainty (BEPU); and
4. Realistic approach considering the actual installation conditions of the operation and the use of best estimate codes.

This work aims at showing how and why the BEPU approach can be applied to other areas of the FSAR. The overview of a BEPU methodology is presented below.

2 BEPU

BEPU approach is characterized by applying the best estimate code with BE initial and boundary conditions to simulate the considered event. When performing the licensing calculations it is expected that the availability of safety and control components and systems be defined in a conservative way, including the assumption of the single failure and loss of off-site power. However, uncertainty of the best estimate calculation has to be quantified and considered when comparing the calculated results with the applicable acceptance criteria [2]. The BEPU-flow diagram is represented in the Figure 2, where CA means Component Analysis, SA means System Analysis and RA, Radiological Consequences Analysis [5].

The BEPU approach has been adopted as the methodology for accident analyses covering the established spectrum of Postulated Initial Events (PIE). Procedures have been applied to identify the list of PIE and applicable acceptance criteria. Finally, the application of computational tools including nodalizations required suitable boundary and initial conditions and produced results related to the Atucha II transient scenarios originated by the PIE. The proposed BEPU approach follows current practices on deterministic accident analyses, but includes some key features to address particular needs of the application. The approach takes credit of the concept of evaluation models (EMs), and comprises three separate possible modules depending on the application purposes [5]:

- For the performance of safety system countermeasures (EM/CSA);
- For the evaluation of radiological consequences (EM/RCA);
- For the review of components structural design loadings (EM/CBA), where the acronyms CSA, RCA and CBA stand for ‘Core Safety Analysis’, ‘Radiological Consequence Analysis’ and ‘Component behaviour Analysis’.

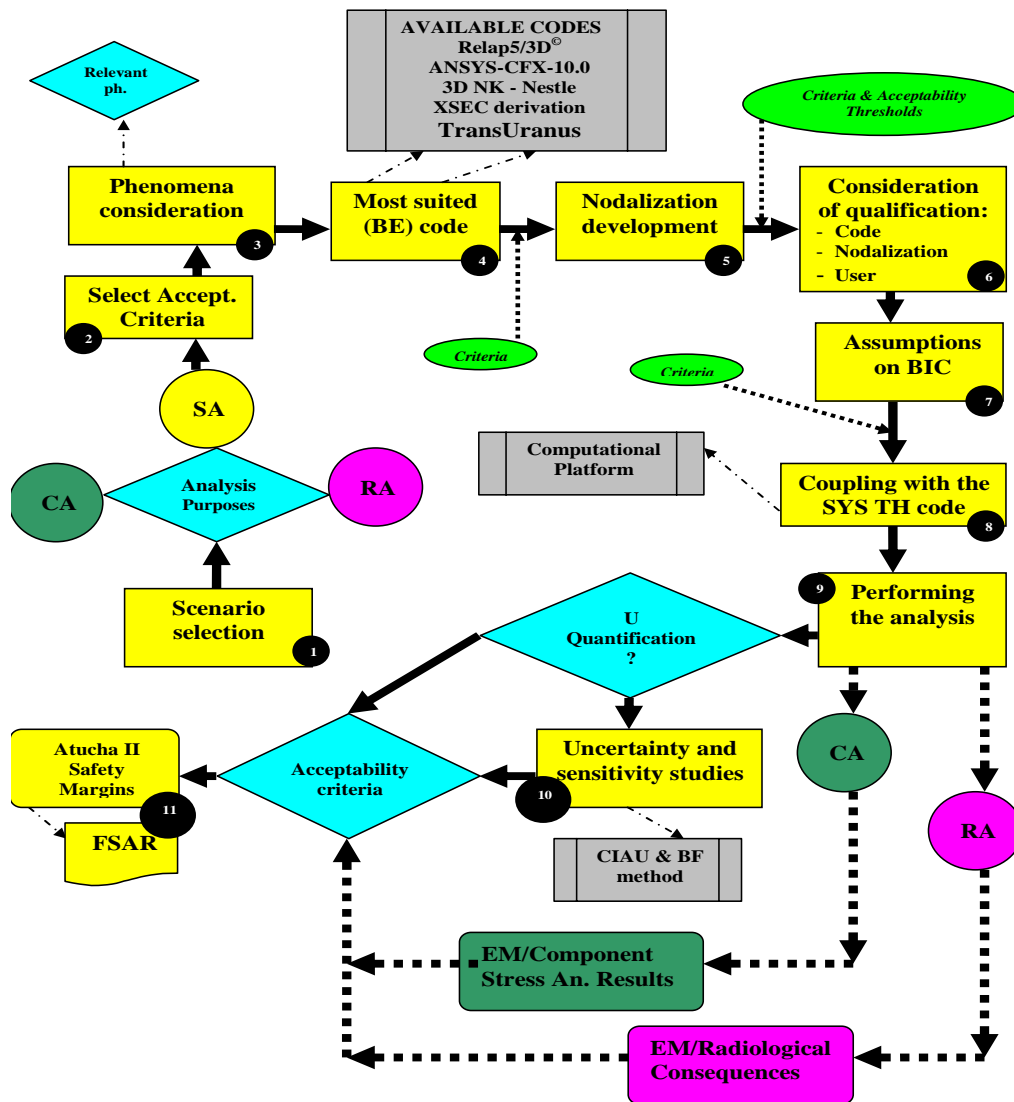


Figure 2: BEPU flow-diagram

There are several methods for the BEPU application and all of them have the identification and characterization of the relevant uncertainty parameters in common as well as the quantification of the global influence of the combination of these uncertainties on calculated results [2].

BE analysis with evaluation of uncertainties is the only way to quantify the existing safety margins. Uncertainty quantification has been used mainly in two different areas, generally aiming at investigation of the effect of various input uncertainties on the results calculated with the complex thermal-hydraulic codes, and of performing uncertainty analyses for licensing purposes [6].

2.1 Use of BEPU for Licensing

Licensing is motivated by the need to protect humans and the environment from ionizing radiation and, at the same time, sets out the basis for the design and determining the acceptability of nuclear installations. The licensing is the process that guides the life of the NPP from the conceptual design to decommissioning. The licensing objective is to demonstrate the capability of safety systems to maintain fundamental safety functions and it is supported by the IAEA General Nuclear Safety Objective, which is “to protect individuals,

society and the environment from harm by establishing and maintaining in nuclear installations effective defenses against radiological hazards” [7].

Nowadays, in most countries the national regulators allow the use of best-estimate codes to be applied in the licensing process. Some examples of such countries are United States (US), France, Brazil and Argentina. Initially BEPU methods were applied mainly to Large Break Loss-of-Coolant Accident (LB-LOCA). However, later these methods start also to be used for analysis of Small Break LOCA (SB-LOCA), as well as for operational transients [8].

The US Westinghouse developed and licensed a best-estimate LB-LOCA methodology for three- and four-loop designs in 1996 and, later, extended the methodology to two-loop upper plenum injection plants [9].

In France, an accident analysis method was developed based on the use of realistic computer codes called Deterministic Realistic Method (DRM), found on qualification of the calculation uncertainty, which is taken into account deterministically when the results are compared to the acceptance criteria. The DRM was first applied in 1997 to LB-LOCA for a French three-loop pressurized water reactor [10].

In Brazil, the uncertainty analysis of SB-LOCA scenario in Angra-1 NPP was an exercise for the application of an uncertainty methodology. For Angra-2, a LB-LOCA analysis was performed and the treatment of uncertainties was carried out separately in three basic categories: code uncertainty (statistical quantification of the difference between calculated and measured parameters); plant parameters uncertainties (statistical variations); and fuel uncertainty parameters (statistical variations) [11] [12].

For the licensing process of the Atucha-II NPP in Argentina, the BEPU approach was selected and applied to the Chapter 15 of FSAR “Transient and Accident Analysis” in 2008 [5]. Thus, the BEPU methodology has been adopted covering the established spectrum of Postulated Initial Events (PIE), wherein procedures have been applied to identify the list of PIE and applicable acceptance criteria, and the application of computational tools produced results related to the Atucha II transient scenarios originated by the PIE [5].

Considering all successive applications of the BEPU methodology for licensing purposes, it is proposed therefore to extend the implementation area of BEPU covering possibly all the FSAR, principally the chapters and the topics where the Analytical Techniques are needed.

3 BEPU-FSAR

BEPU approach includes the use of the most recent analytical techniques, the existence of validated computational tools, and the characterization of expected errors or the evaluation of uncertainty affecting the results of application.

To perform a BEPU-FSAR, a homogenization of the analyses is proposed, including calculation processes, that are not limited to accident analyses but cover selected topics that are connected with the design and the operation of the NPP.

Due to historical reasons, an accident analysis received considerable attention from the side of NRS actors. However, a sort of accidents can happen in either peripheral areas or following precursory events which may bring the NPP in conditions outside those considered for accident analysis. It may be easily observed by the root-causes of the major nuclear accidents, like Fukushima. Therefore, the homogenization of NRS topics is required: it implies systematic identification of topics and their consideration for the analysis [1].

Key disciplines and key topics, as well as some important sub-topics have been defined by areas of knowledge based on the FSAR chapters, the Regulatory Guide divisions, and the IAEA Safety Standard Series. The list of key disciplines and related key topics that was derived from the FSAR content is provided in Table 1.

Table 1: Key disciplines and Key topics in the licensing process of a NPP

Key Disciplines	Key Topics
Legal Licensing Structure	FSAR writing and assessment Knowledge of, IAEA, US NRC, ASME, ANS, IEEE Defense in Depth application
Siting & Environmental	Climatology Seismology Earthquake and Tsunami Geology including stability of slopes Hydrology and Floods Meteorology Catastrophic (including natural and man-originated) events Atmospheric diffusion Loadings Population Distribution
Mechanical Engineering: Design of Structures, Systems and Components	Structural Mechanics Thermodynamic Machinery <ul style="list-style-type: none"> - Turbine - Pump - Condenser - Steam Generator Control Rod mechanisms
Nuclear Fuel	Nuclear Fuel performance Fuel movement <ul style="list-style-type: none"> - Loading and unloading machines - Spent fuel cask
Materials	Corrosion Mechanical resistance Radiation damage Creep Analysis Fatigue Analysis Erosion
Neutron Physics	Cross Section Derivation Monte Carlo
Chemical Engineering	Chemistry of nuclear fluids Metal Steam production Zircaloy reactions Boron control
Electronic Engineering	Instrumentation and Control (I & C) Nuclear Instrumentation (in-core) Ex-core instrumentation Digital systems Analog systems
Electrical Engineering	Transformers Alternators

Civil Engineering	Containment Foundation
Deterministic Safety Analysis	Accident Analysis Computational tools <ul style="list-style-type: none"> - Thermal-Hydraulic - Computational Fluid Dynamics Uncertainty Analysis Severe Accident Consequences
Probabilistic Safety Analysis	Reliability Cost-Benefit Analysis Severe Accident Probability
Human Factors Engineering	Man-Machine interface Simulator Human failure
Occupational Health and Radioprotection	Radiological Protection <ul style="list-style-type: none"> - Doses - Impact of Doses Accessibility to remote Radioactive Zones Shielding
Physical Security	Fire protection Hazards
Plant Operation and Procedures	Emergency Preparedness Emergency Operating Procedures Plant procedures for normal operation In-service Inspection Maintenance Power production Financing outcome Administrative Procedures Inspections, Tests, Analyses and Acceptance Criteria
Quality Assurance ¹	Management Procedures Standards
Computational Science ¹	Information Technology Software

4 CONCLUSION

The application of BEPU methods were carried out in several countries; however, the framework to introduce the BE analysis, as well as BEPU methodology, into the licensing process is still an open issue. Notwithstanding, over the years, more and more applications have proven to be satisfactory, since the BE analysis with the evaluation of uncertainties is the only way to quantify existing safety margins, even uncertainty evaluations being considered as a need to improve practicability of methods.

Some problems can be associated and addressed within the historical licensing process as high cost, reluctance to innovation and lack of homogeneity. Nowadays, the licensing process is based on a non-homogeneous interpretation of licensing requirements, engaging

different groups of experts without coordination, resulting in a lack of homogeneity. Assembling the top level competence in relation to each of the listed topics and disciplines, on the one hand there is an obligation and importance to demonstrate the safety of any nuclear installation and, on the other hand, there is difficulty to address the safety in a holistic way. Therefore, the idea of a BEPU-FSAR proposal is to fill this lack by providing the homogenization of analytical techniques and thus to increase the safety of the plant.

A BEPU-FSAR is connected with the use of BEPU methodology for qualified computational tools and methods as well as for the Analytical Techniques that are presented in FSAR.

The qualified analytical techniques shall be adopted together with the latest qualified findings from technology research, thus homogenizing what is in the concern to the NRS: the analysis including calculation process, but not only limited to accident, and the analysis that encompass any FSAR topic. For this purpose is necessary to create a connection between safety analysis and the hardware of the NPP, starting from the connections between the chapters and the disciplines presented in the FSAR.

In the table with the key topics and disciplines that are dedicated to the licensing process, one can recognize areas which need specific expertise knowledge (e.g. Climatology, Instrumentation and Control). The future steps of this work will concentrate on propagation of this expertise into the remaining areas thus building a BEPU-FSAR in the most gradual and integrated manner, adding new knowledge and improving plant safety.

ACKNOWLEDGMENTS

The authors gratefully acknowledge the contributions of the Gruppo di Ricerca Nucleare San Piero a Grado (GRNSPG) from the Università degli Studi di Pisa and the scholarship from the *Coordenação de Aperfeiçoamento de Pessoal de Nível Superior* (CAPES) given to the PhD student Francine Menzel.

REFERENCES

- [1] F. D'Auria, H. Glaeser, M. Kim, "A Vision for Nuclear Reactor Safety". Jahrestagung Kerntechnik - Annual Meeting on Nuclear Technology, Berlin, Germany, May 5-7, 2015.
- [2] C. Sollima, "Framework and Strategies for the Introduction of Best Estimate Models into the Licensing Process". Phd Thesis, 2008.
- [3] V.M. Quiroga, "Scaling-up methodology, a systematical procedure for qualifying NPP nodalizations. Application to the OECD/NEA ROSA-2 and PKL-2 Counterpart test". PhD Thesis, 2014.
- [4] F. Fiori, "Application of Best Estimated Plus Uncertainty methods in licensing of Water Cooled Reactors", University of Pisa. Master thesis, 2009.
- [5] F. D'Auria, C. Camargo, O. Mazzantini, "The Best Estimate Plus Uncertainty (BEPU) Approach in Licensing of Current Nuclear Reactors", Nuclear Engineering and Design, 248, 2012, pp. 317– 328.
- [6] IAEA. "Best Estimate Safety Analysis for Nuclear Power Plants: Uncertainty Evaluation". Safety Reports Series no. 52. Vienna, 2008.

- [7] IAEA, “The Safety of Nuclear Installations”, Safety Series No. 110, IAEA, Vienna (1993).
- [8] A. Prosek, B. Mavko, “Review of Best Estimate Plus Uncertainty Methods of Thermal-Hydraulic Safety Analysis”. Proc. Int. Conf. Nuclear Energy in Central Europe, Portorož, Slovenia, September 8-11, Nuclear Society of Slovenia, 2003.
- [9] M.Y. Young et al., “Application of code scaling applicability and uncertainty methodology to the large break loss of coolant”, Nuclear Engineering and Design, 186, 1997, pp. 39-52 .
- [10] J.Y. Sauvage, S. Laroche, “Validation of the Deterministic Realistic Method applied to Cathare on LB LOCA experiments”, ICONE-10, Arlington, Virginia, ASME, 2002
- [11] M.R.S. Galetti, “Regulatory Scenario for the acceptance of uncertainty analysis methodologies for the LB-LOCA and the Brazilian approach”. Science and Technology of Nuclear Installations, v. 2008, 2008.
- [12] R. C. Borges, A.A. Madeira, M.R.S. Galetti, “A Brazilian National Program for User and Plant Nodalization Qualification on Accident Analysis with RELAP5 Code (I, II and III JONATER)”. In: The IAEA Specialist Meeting on User Qualification for and User Effect on Accident Analysis for Nuclear Power Plants, International Atomic Energy Agency (IAEA), Vienna, Austria, Aug. 01 – Sep. 04, 1998.