

# The Urokinase Receptor-Derived Peptide UPARANT Recovers Dysfunctional Electroretinogram and Blood–Retinal Barrier Leakage in a Rat Model of Diabetes

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**PURPOSE.** The activation of the urokinase-type plasminogen activator and its receptor system is associated with retinal diseases. Among peptide inhibitors of this system, UPARANT acts by preventing the onset of pathologic signs of neovascular ocular diseases. We investigated whether systemic UPARANT may act in a therapeutic regimen by suppressing the retinal damage that characterizes diabetic retinopathy using a rat model of streptozotocin-induced diabetes.

**METHODS.** In healthy rats, plasma, eye, and retina concentrations of UPARANT were evaluated by mass spectrometry. In rat models of streptozotocin-induced diabetes, the appearance of diabetic retinopathy was assessed by electroretinogram (ERG). UPARANT was then administered at different dosages and daily regimens. ERG recording, Evans blue perfusion, and real-time PCR were used to evaluate UPARANT efficacy. UPARANT safety was also determined.

**RESULTS.** UPARANT was found in plasma, eye, and retina soon after its administration and remained detectable after 24 hours. Between the 4th and the 5th week after diabetes onset, UPARANT at 8 mg/kg (daily for 5 days) was effective in recovering dysfunctional ERG. Three-day treatments at 8 mg/kg or a half dose for 5 days were ineffective. ERG recovery lasted approximately 2 weeks. ERG recovery was accompanied by restored blood–retinal barrier integrity and inhibition of inflammatory and angiogenic responses. UPARANT showed a safety profile.

**CONCLUSIONS.** These data suggest that targeting the urokinase-type plasminogen activator and its receptor system by systemic UPARANT is a potential therapeutic approach for the treatment of early diabetic retinopathy, thus providing a potential alternative approach to delay disease progression in humans.

Keywords: inflammatory factors, proangiogenic factors, streptozotocin

Diabetic retinopathy (DR), one of the leading causes for vision loss in the Western world, is a complication caused by high blood sugar that activates several complex interconnecting pathways. Inflammatory and proangiogenic factors play a prominent role in increasing the vascular permeability that characterizes the early phase of DR.<sup>1,2</sup> Vascular endothelial growth factor (VEGF), which is the major growth factor mediating vascular leakage, accumulates very early during DR<sup>3</sup> and its inhibition at later stages is mostly intended to regulate excessive vasopermeability and consequent diabetic macular edema.<sup>4</sup> However, current anti-VEGF therapies, although effective to a certain level, do not always ameliorate vision impairment,<sup>5</sup> have only limited impact on inflammatory processes,<sup>6</sup> require prolonged treatment regimens with frequent intravitreal injections and, consequently, carry some

risks.<sup>7</sup> Current therapeutic strategies have suboptimal efficacy, and disease progression often continues despite pharmacologic interventions.<sup>6,8</sup>

Newer multitarget drugs directed to key mediators of microvascular damage, including a multitude of inflammatory and proangiogenic factors, may be an effective approach to treat DR at an early stage and prevent its progression.

Among the several pathways known to be involved in DR, the system formed by urokinase-type plasminogen activator (uPA) and its receptor (uPAR) is particularly attractive because it is a major player in diabetes-induced blood–retinal barrier (BRB) breakdown.<sup>9–11</sup> Being devoid of intracellular domains, uPAR activates intracellular signaling cascades by lateral interactions with other components of the cellular membrane, including the formyl peptide receptors (FPRs).<sup>12</sup> uPAR is mostly



localized to retinal endothelial cells<sup>9,10</sup> and pigment epithelial cells.<sup>13</sup> Increased levels of uPA/uPAR are associated with proliferative DR in humans<sup>14,15</sup> and early DR in rodent models.<sup>9,10</sup> uPA/uPAR levels increase in retinal endothelial cells in response to both oxidative stress and VEGF increase caused by high glucose, generating a proteolytic cascade that has detrimental effects on cell permeability.<sup>10,11,16–19</sup> In addition, upregulated uPA/uPAR would result in the increased expression of extracellular metalloproteinases<sup>9</sup> and intracellular effectors<sup>20</sup> that concur to BRB breakdown.

Deletion of the uPAR gene or treatment with A6, a compound that affects the interaction of uPA/uPAR with other cell surface proteins, prevents BRB breakdown in rodent models of DR.<sup>10,11</sup> The recently synthesized tetrapeptide UPARANT displays lasting resistance to enzymatic digestion and high stability in blood and plasma.<sup>21</sup> UPARANT has been designed to mimic the sequence <sup>88</sup>Ser-Arg-Ser-Arg-Tyr<sup>92</sup>, through which uPAR interacts with FPRs. Accordingly, UPARANT competes with N-formyl-Met-Leu-Phe, a known FPR ligand, for binding to FPRs. UPARANT has been found to reduce VEGF-induced angiogenesis both in vitro and in vivo.<sup>16,21,22</sup> In particular, in mouse models of neovascular ocular pathologies, intravitreal administration of UPARANT prevents the onset of neovascularization and BRB leakage through the inhibition of proangiogenic and inflammatory factors and also prevents electroretinogram (ERG) dysfunction.<sup>20,22</sup>

In the present study, we investigated the effect of systemic UPARANT in a therapeutic setting on diabetes-induced early DR using streptozotocin-treated rats (STZ-rats). The STZ-rat model recapitulates the initial process of DR in humans, including increased levels of inflammatory and proangiogenic factors and retinal vasopermeability, thus causing BRB breakdown and ERG alterations (for references, see Ref. 23), although different susceptibility to the effects of STZ have been described in different strains of rats.<sup>24–26</sup> In the STZ-model, neither macular edema or proliferative disease ever develop; however, results on the efficacy of therapeutic agents have often been used as the sole preclinical data underlying clinical studies in human with diabetic macular edema.<sup>27</sup> Here, the possibility that systemic UPARANT reaches the retina was first tested in healthy rats. Then ERG recordings served to evaluate the effectiveness of UPARANT in terms of either dosing or treatment duration. BRB integrity was evaluated as a sign of potential efficacy of UPARANT in recovering normal vessel permeability. Inflammatory and proangiogenic factors were also determined to identify the potential targets of the drug. Finally, the safety of subcutaneous UPARANT was also evaluated.

## MATERIALS AND METHODS

UPARANT was synthesized as previously described.<sup>21</sup> The RNeasy Mini and QuantiTect Reverse Transcription kits were purchased from Qiagen (Valencia, CA, USA). The PCR master mix (SoAdvanced Universal SYBR Green Supermix) and the iScript One-Step RT-PCR kit with SYBR Green were from Bio-Rad Laboratories (Hercules, CA, USA). Primers were obtained from Eurofins (Ebersberg, Germany). All other chemicals were obtained from Sigma-Aldrich Corp. (St. Louis, MO, USA).

### Animals and UPARANT Treatment

Sprague-Dawley rats (107 males, 150–200 g) were obtained from Charles River Laboratories, Italia (Calco, Italy). Of them, 25 rats were used for the pharmacokinetics (PK) study and 6 for the safety study. Of the remaining 76 rats, 70 were treated

with a single intraperitoneal injection of 65 mg/kg STZ to induce diabetes, and 6 received a single intraperitoneal injection of citrate buffer at pH 4.5 (from now on referred to as *controls*). In STZ-treated rats, blood glucose was measured by tail sampling using a One Touch Ultra glucometer (LifeScan, Inc., Mipitas, CA, USA) 3 days after treatment then weekly thereafter. Rats with blood glucose values >250 mg/dL were considered diabetic. UPARANT was dissolved in PBS and the treatment was initiated after 4 weeks when diabetic rats demonstrated significantly dysfunctional ERG. Of the diabetic rats, 6 received UPARANT at 8 mg/kg daily for 3 days, 20 received UPARANT at 8 mg/kg daily for 5 days, 6 received UPARANT at 4 mg/kg daily for 5 days, 20 received PBS and 18 were left untreated. In all experiments, rats were anesthetized with an intraperitoneal injection of pentobarbital (30 mg/kg). Procedures involving animals were carried out in agreement with the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research and in compliance with the Italian guidelines for animal care (DL 116/92) and the European Communities Council Directive (86/609/EEC). Procedures were approved by the Ethical Committee in Animal Experiments of the University of Pisa.

### Pharmacokinetics Study

Rats received a single dose of subcutaneous UPARANT (20 mg/kg in PBS). This dose derives from the finding that in a mouse model of AMD, choroidal neovascularization was inhibited by subcutaneous administration of UPARANT at 40 mg/kg,<sup>20</sup> a dose corresponding here to 20 mg/kg if one considers the body surface area normalization method that allows to appropriately translate the drug dosage from one animal species to another.<sup>28</sup> Of the 25 rats used for the PK study, 5 were used for plasma PK, 10 for eye distribution, and 10 for retina distribution. For plasma PK, blood was collected at different time points (0.25, 0.5, 1, 2, 4, 6, 8, and 24 hours after dose), whereas for tissue distribution eyes and retinas were collected at 2 hours and 24 hours postdosing. Blood was drawn into sodium-heparinized test tubes and centrifuged (5 minutes, 6000g at 4°C), and the resultant plasma stored at –20°C. The plasma (50 µL) was added to 1% formic acid in methanol (300 µL), then vortex mixed for 1 minute, stored at –20°C for 20 minutes, vortex mixed for 30 seconds, and centrifuged at 12,000g for 10 minutes at 4°C. Finally, a 100-µL aliquot of the resultant supernatant was transferred into autosampler vials, and a 3-µL aliquot was analyzed. For tissue distribution, eyes or retinas were homogenized in MilliQ water (Millipore, Billerica, MA, USA) in a 1:5 ratio (tissue weight:volume). Then a 100-µL aliquot was added with 300 µL of 1% formic acid in methanol and processed as described previously. Calibration curve (0.05–2.0 µg/mL) and quality control samples (0.08 and 1.6 µg/mL) were prepared in plasma or homogenized tissues of rats that received PBS. In rats that received UPARANT, drug concentration in the plasma, eyes, or retinas was determined with a U-HPLC instrument (UltiMate 3000; ThermoScientific, Bremen, Germany) coupled to an Orbitrap high-resolution mass spectrometer (Exactive; ThermoScientific). Data acquisition was under the control of Xcalibur software version 2.1 (ThermoScientific). Chromatography was performed using a gradient system consisting of mobile phase solution A (0.3% formic acid in water) and solution B (methanol) with a flow rate of 0.5 mL/min at room temperature. The gradient elution method was as follows: 0 to 2 minutes 90% A, 2 to 4 minutes 10% A, 4 to 6 minutes 10% A, 6 to 7 minutes 90% A, 7 to 10 minutes 90% A. The calibration curves were linear over the concentration range considered with a correlation coefficient > 0.99. The lower limit of quantification (LLOQ) measured with acceptable accuracy and precision (≤20%) was 0.05 µg/

mL. Accuracy of quality control samples was lower than  $-10.5\%$ . The maximum concentration ( $C_{\max}$ ) and the time to reach  $C_{\max}$  ( $t_{\max}$ ) were obtained from data above the LLOQ. The apparent elimination rate constant ( $K_{\text{elim}}$ ) was calculated as the negative slope of the log-linear terminal portion of the plasma concentration-time curve using linear-regression. A minimum of three observations was used to calculate  $K_{\text{elim}}$ . The half-life was calculated as  $0.693/K_{\text{elim}}$ . The area under the curve (AUC) was calculated by linear trapezoidal rule and extrapolated to infinity using the terminal slope and the last plasma concentration.

### Electroretinographic Recording

Retinal function was examined with scotopic full-field ERG. Before ERG testing, rats were dark adapted overnight. In anesthetized rats, pupils were dilated with  $0.5\%$  atropine, the cornea was intermittently irrigated with saline solution to prevent clouding of the ocular media, and a heating pad was used to keep the body temperature at  $38^{\circ}\text{C}$ . The ERG responses were recorded through silver/silver chloride corneal electrodes and a forehead reference electrode, and a ground electrode was placed on the tail. Scotopic ERG, which primarily measures rod function, was evoked by flashes of different light intensities ranging from  $-3.4$  to  $1 \log \text{cd-s/m}^2$  generated through a Ganzfeld stimulator (Biomedica Mangoni, Pisa, Italy). The electrodes were connected to a two-channel amplifier. Signals were amplified at 1,000 gain and bandpass filtered between 0.2 and 500 Hz before being digitized at 5 kHz rate with a data acquisition device (Biomedica Mangoni). The ERG waveforms were examined primarily for amplitude information (i.e., the size of the a- and b-waves), and the data were graphed to determine any gross changes in the intensity-response function for that eye. Data were pooled and reported as mean amplitude  $\pm$  SEM (in  $\mu\text{V}$ ). Intensity-response functions of the b-wave were fit to the following modified Naka-Rushton function<sup>29</sup>:

$$V(I) = V_0 + (V_{\max} I^n) / (I^n + k^n).$$

In this equation,  $V$  is the amplitude of the b-wave (in  $\mu\text{V}$ ),  $I$  is the stimulus intensity (in  $\log \text{cd-s/m}^2$ ),  $V_0$  is the nonzero baseline effect,  $V_{\max}$  is the saturated amplitude of the b-wave (in  $\mu\text{V}$ ), and  $k$  is the stimulus intensity that evokes a b-wave of half-maximum amplitude (in  $\log \text{cd-s/m}^2$ );  $n$ , which was constrained to unity, is a dimensionless constant controlling the slope of the function and represents the degree of heterogeneity of retinal sensitivity.

### Measurement of Retinal Vascular Leakage by Evans Blue Dye

Diabetes-induced leakage from the retina was evaluated by assessment of Evans blue dye extravasation. Briefly, anesthetized rats were injected with Evans blue dye ( $0.5\%$  in PBS) into the left ventricle and allowed to circulate for 10 minutes. For quantitative evaluation of BRB leakage, the animals were euthanized, and the eyes were enucleated and the retinas isolated and weighted. The Evans blue dye was extracted with formamide overnight at  $65^{\circ}\text{C}$ , read at 620 nm (the absorbance maximum for Evans blue) using a plate reader (Microplate Reader 680 XR; Bio-Rad Laboratories). Evans blue leakage was expressed as the percentage of the absorbance in controls. For qualitative evaluation of outer BRB leakage, enucleated eyes were fixed in  $4\%$  paraformaldehyde and the retinas were flat mounted and examined with a fluorescence microscope (Ni-E; Nikon Europe, Amsterdam, The Netherlands), and images were

acquired (DS-Fi1c camera; Nikon Europe). For each experimental condition, six retinas from six different rats were used.

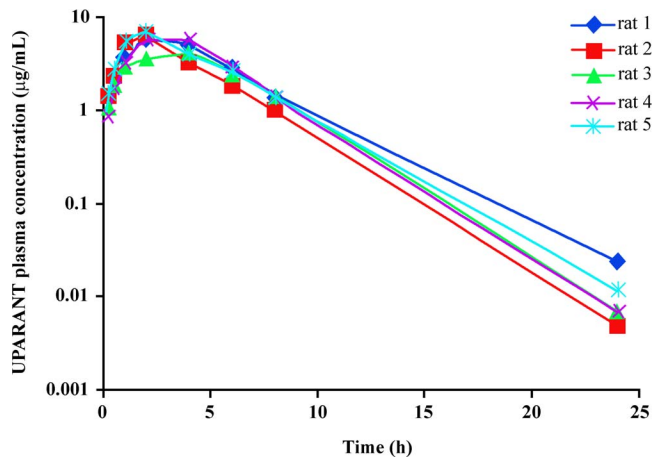
### Measurement of Inflammatory and Proangiogenic Markers by Quantitative Real-Time PCR (qRT-PCR)

**Relative Quantification.** To perform qRT-PCR experiments, six samples, each containing one retina, were used for each experimental condition. Total RNA was extracted using an isolation kit (RNeasy Mini Kit; Qiagen), purified, resuspended in RNase-free water, and quantified using a fluorometer (Qubit; Invitrogen, Carlsbad, CA, USA). First-strand cDNA was generated from  $1 \mu\text{g}$  of total RNA (QuantiTect Reverse Transcription Kit; Qiagen). RT-PCR amplification was performed with a kit (SsoAdvanced Universal SYBR Green Supermix; Bio-Rad Laboratories) on a MiniOpticon Real Time PCR System and software CFX manager (Bio-Rad Laboratories). qRT-PCR primer sets for inflammatory and proangiogenic markers, including interleukin- $1\beta$  (IL- $1\beta$ ), IL-6, the inducible form of nitric oxide synthase (iNOS), intercellular adhesion molecule-1 (ICAM-1), glial fibrillary acidic protein (GFAP), VEGF, insulin-like growth factor-1 (IGF-1), fibroblast growth factor-2 (FGF-2), platelet-derived growth factor-B (PDGF-B) and angiopoietin-2 (Ang-2) were chosen to hybridize to unique regions of the appropriate gene sequence (see Supplementary Table S1 for complete list of assayed genes and primers). Amplification efficiency was close to  $100\%$  for each primer pair (Opticon Monitor 3 software; Bio-Rad Laboratories). Target genes were assayed concurrently with *18S*, a constitutively expressed gene encoding 18S ribosomal RNA, widely used as housekeeping gene in DR studies (for instance, see Ref. 30). Samples were compared using the relative threshold cycle (Ct Method). The increase or decrease (fold change) was determined relative to control rats after normalization to *18S*. All reactions were performed in triplicate. After statistical analysis, the data from the different experiments were plotted and averaged in the same graph.

**Absolute Quantification.** To determine absolute messenger RNA (mRNA) levels of inflammatory and proangiogenic factors, their transcription was examined using absolute qRT-PCR. To quantify gene expression, an absolute standard curve method was used. Briefly, the standard curves were generated using genomic DNA obtained from the retinas of three healthy rats. The extraction of genomic DNA was performed using a QIAamp DNA Mini Kit (Qiagen). Genomic DNA was quantified by a spectrophotometer (BioSpectrometer fluorescence, Eppendorf, Hamburg, Germany), and the DNA copy number was calculated. For standard curve acquisition, seven serial dilutions of genomic DNA from 90,000 to 5.4 copies were prepared and quantified using a kit (iScript One-Step RT-PCR kit with SYBR Green; Bio-Rad Laboratories) and appropriate primers (Supplementary Table S2). The RNA samples (30 ng) were amplified in parallel with genomic DNA standards and their CT values were plotted together with those of the genomic DNA, from which the normalized mRNA copy numbers were determined. The values were expressed as the number of mRNA copies relative to the number of copies of hypoxanthine phosphoribosyl transferase used as a housekeeping gene. All reactions were run in triplicate. After statistical analysis, the data from the different experiments were averaged.

### Safety Study

The study was carried out in rats treated with UPARANT at  $10 \text{ mg/kg}$  ( $n = 3$ ) daily for 7 days, a dose and a treatment duration slightly higher than those used to evaluate the effects of UPARANT on the pathologic signs of STZ-induced DR. Three



**FIGURE 1.** Pharmacokinetics profile of UPARANT. UPARANT was administered subcutaneously as a single shot at 20 mg/kg. Plasma samples were assayed for UPARANT using HPLC-MS.  $C_{max}$  was observed 2 hours after administration. At 24 hours after administration, UPARANT still remained detectable in the plasma. The data represent UPARANT concentration in the plasma of each rat used in the study ( $n = 5$ ). See the Table for full PK parameters.

rats received PBS. Body weight was recorded at the beginning and the end of the study when the rats were fasted overnight to be then anesthetized for blood collection and necropsy. Blood was collected in two different tubes: one tube containing the anticoagulant EDTA for hematologic examination and one tube without anticoagulant for biochemical examination. Blood collected in EDTA-coated vials was analyzed using an automatic hematology analyzer (Vetscan HM5C Analyzer; Abaxis, Dunnington, UK) shortly after its collection. Blood samples collected in tubes lacking anticoagulant were placed at room temperature for at least 90 minutes prior to centrifugation to collect serum that was used to evaluate biochemical parameters with a fully automated random access clinical chemistry analyzer (VetroVet; scil animal care GmbH, Viernheim, Germany). After blood collection, the animals were killed. Vital organs were excised, and their gross morphology was examined for macroscopic features. In addition, we evaluated the potential histopathologic alterations of liver and kidney, the most important organs for detoxification processes. To this aim, the organs were removed, formalin fixed, paraffin embedded, and then cut into 4- $\mu$ m sections and stained with hematoxylin and eosin according to standard protocols. Digital photomicrographs were taken using an imaging system of light microscope equipped with a digital camera.

## Data Analysis

Statistical significance was evaluated using 1-way analysis of variance (ANOVA) followed by Newman-Keuls' multiple comparison posttest or 2-way ANOVA followed by Bonferroni's multiple comparison posttest as appropriate. The results are expressed as mean  $\pm$  SEM of the indicated  $n$  values (Prism 5; GraphPad Software, San Diego, CA, USA). Differences with  $P < 0.05$  were considered significant.

## RESULTS

### Plasma, Eye, and Retina Levels of UPARANT

As shown in Figure 1, subcutaneously administered UPARANT rapidly appeared in the plasma being quantifiable at 0.25 hours, reached the  $C_{max}$  ( $5.9 \pm 1.2 \mu\text{g/mL}$ ) at 2 hours, and

**TABLE.** Pharmacokinetic Parameters of UPARANT

$K_{elim}$ , h	$t_{1/2}$ , h	$C_{max}$ , $\mu\text{g/mL}$	$t_{max}$ , h	$AUC_{last}$ , $\mu\text{g}\cdot\text{h/mL}$	$AUC_{info}$ , $\mu\text{g}\cdot\text{h/mL}$
$0.24 \pm 0.06$	$3.0 \pm 0.90$	$5.9 \pm 1.2$	2.0	$28.5 \pm 3.4$	$34.4 \pm 3.1$

$K_{elim}$ , apparent elimination rate constant was calculated as negative slope of the log-linear terminal portion of the plasma concentration-time curve using linear-regression;  $t_{1/2}$ , terminal half-life;  $C_{max}$ , maximum plasma concentration;  $t_{max}$ , time of  $C_{max}$ ;  $AUC_{last}$ , area under the concentration versus time curve from 0 to  $t_{last}$ ;  $AUC_{inf}$ , area under the concentration versus time curve from 0 to infinity.

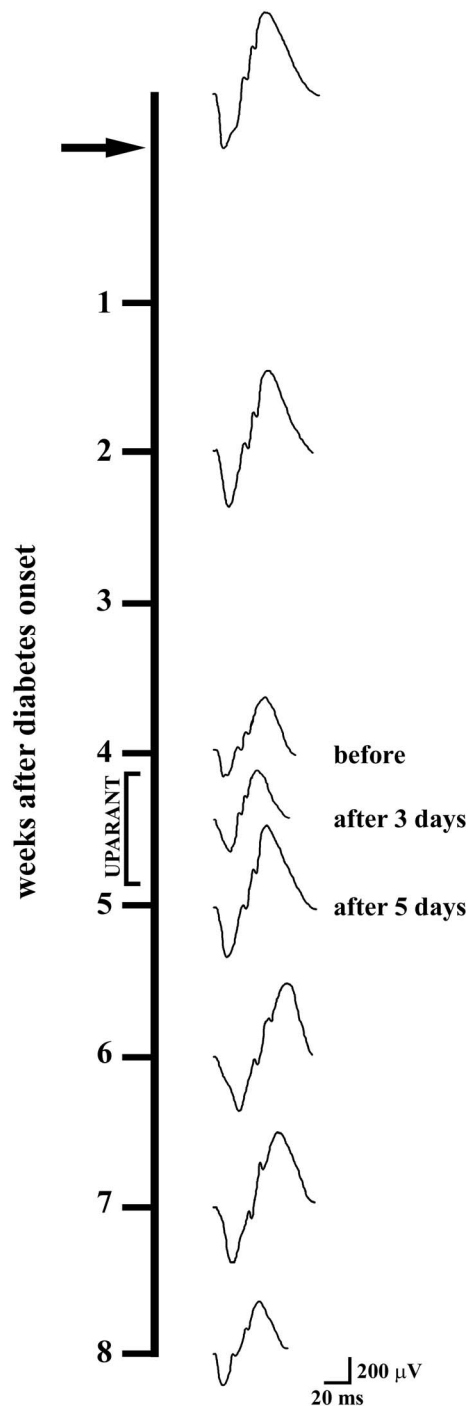
declined following a monophasic profile. UPARANT was still detectable at 24 hours, when its level reached values that, although below the LLOQ, were statistically different from the blank. These values are represented in Figure 1, but were not considered in evaluating PK parameters in agreement with the Food and Drug Administration Guidance on Bioanalytical Method Validation.<sup>31</sup> UPARANT was cleared from the plasma with a  $t_{1/2}$  of 3 hours. Additional pharmacokinetics parameters of plasmatic UPARANT are summarized in the Table.

At 2 hours postdosing, UPARANT concentration in the eye was  $1.10 \pm 0.20 \mu\text{g/g}$ , whereas in the retina it was  $0.16 \pm 0.02 \mu\text{g/g}$ . At 24 hours, UPARANT remained still detectable in the eye ( $0.22 \pm 0.03 \mu\text{g/g}$ , a value that was four times higher than the LLOQ) and in the retina ( $0.04 \pm 0.02 \mu\text{g/g}$ , a value slightly below the LLOQ).

### UPARANT Efficacy on ERG Dysfunction and BRB Leakage

The efficacy of UPARANT on retinal dysfunction that characterizes early DR was investigated in a longitudinal ERG monitoring in which 24 rats, randomly chosen, were recorded at different times after diabetes onset. Representative ERG recordings shown in Figure 2 demonstrate that at 2 weeks, ERG amplitude was similar to that of controls, whereas at the 4th week, ERG became dysfunctional, in line with previous findings (for references, see Ref. 32). ERG dysfunction persisted after 3 days of treatment with 8 mg/kg UPARANT, but recovery was observed after 5 days. Restored ERG persisted for approximately 2 weeks after UPARANT withdrawal, when ERG became again dysfunctional. Rats receiving 4 mg/kg UPARANT for 5 days did not exhibit any recovery (not shown).

ERG analysis was performed after 5 days administration of 8 mg/kg UPARANT. Figure 3A shows representative mixed a- and b-waves recorded at light intensities of 1 log cd-s/m<sup>2</sup>. No differences in a- and b-waves were observed between diabetic untreated and diabetic vehicle-treated rats. An increase in a- and b-wave amplitudes with increasing stimulus intensity was observed. A clear a-wave developed at a light intensity of approximately  $-1.6 \log \text{cd}\cdot\text{s}/\text{m}^2$ . With respect to controls, untreated or vehicle-treated diabetic rats showed a reduction in the amplitude of both the a-wave (at light intensities ranging from  $-0.3$  to 1 log cd-s/m<sup>2</sup>;  $P < 0.01$ ; Fig. 3B) and the b-wave (at light intensities ranging from  $-2.8$  to 1 log cd-s/m<sup>2</sup>;  $P < 0.001$ ; Fig. 3C). UPARANT recovered the amplitude of the a- and b-waves to values that did not significantly differ from those in controls (Fig. 3). When evaluating the b-wave amplitude with a fitted Naka-Rushton function, the response amplitude ( $V_{max}$ ) and the retinal sensitivity ( $k$ ) of untreated or vehicle-treated diabetic rats were significantly lower than in controls with  $V_{max}$  of  $534.9 \pm 30.7 \mu\text{V}$  and  $k$  of  $-0.3 \pm 0.2 \log \text{cd}\cdot\text{s}/\text{m}^2$  with respect to  $V_{max}$  of  $764.2 \pm 19.60 \mu\text{V}$  and  $k$  of



**FIGURE 2.** Schematic representation of longitudinal ERG monitoring either before or at different times after diabetes onset. The arrow indicates the day of diabetes onset. ERG was recorded in each rat at the indicated time points. At 2 weeks after diabetes onset, ERG amplitude was similar to that of controls, whereas at the 4th week ERG became dysfunctional. ERG monitoring performed after UPARANT administration demonstrates that ERG amplitude recovered to normal value after 5 days treatment with subcutaneous UPARANT at 8 mg/kg. At 3 days, treatment did not influence the dysfunctional ERG. Restored ERG persisted for approximately 2 weeks (6th and 7th week after diabetes onset) after which ERG became again dysfunctional (8th week after diabetes onset).

$-1.57 \pm 0.15 \log \text{ cd-s/m}^2$  ( $P < 0.001$ ). After UPARANT, the values of  $V_{\text{max}}$  and  $k$  were not significantly different from those in controls, with  $V_{\text{max}}$  of  $736.1 \pm 19.06 \mu\text{V}$  and  $k -1.38 \pm 0.14 \log \text{ cd-s/m}^2$ .

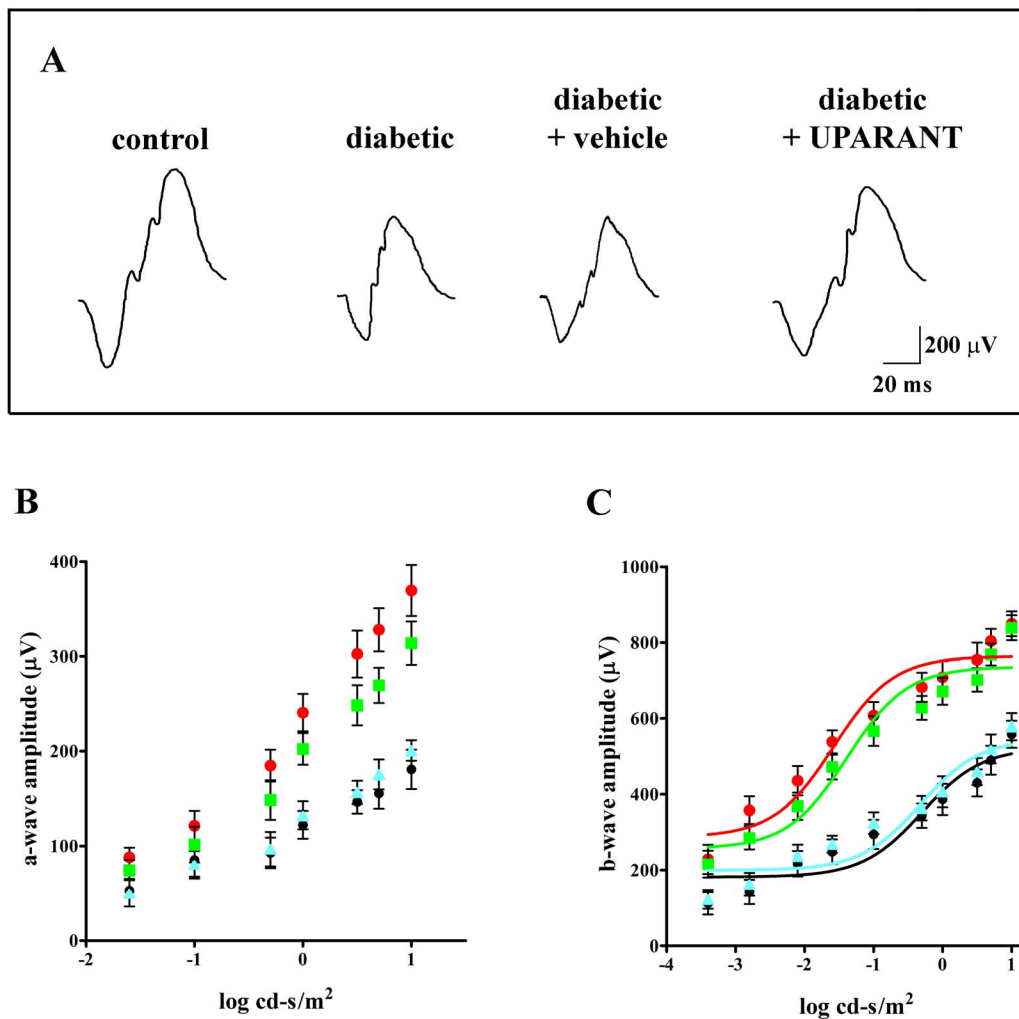
To investigate whether ameliorated ERG may depend on restored BRB integrity, we evaluated BRB leakage by measuring the extravasation of Evans blue, a dye that binds to plasma proteins. In diabetic rats either untreated or vehicle treated, Evans blue dye leakage was increased by approximately 2.3-fold with respect to controls ( $P < 0.001$ ; Fig. 4A). UPARANT recovered this increase to a level close to controls. Qualitative evaluation of the BRB integrity demonstrated that, when compared with controls (Fig. 4B), untreated (Fig. 4C) or vehicle-treated (Fig. 4D) diabetic rats showed a clear extravasation of Evans blue bound to circulating proteins. BRB breakdown was reduced by UPARANT as evidenced by the decrease of dye leakage into the retinal parenchyma (Fig. 4E).

### UPARANT Efficacy on Inflammatory and Proangiogenic Markers

Untreated diabetic rats displayed mRNA levels of IL-1 $\beta$  (Fig. 5A), IL-6 (Fig. 5B), iNOS (Fig. 5C), ICAM-1 (Fig. 5D), and GFAP (Fig. 5E) that were higher than in controls (approximately 4.2-, 2.8-, 2.2-, 3.6-, and 3.0-fold, respectively;  $P < 0.001$ ). In addition, mRNA levels of VEGF (Fig. 6A), IGF-1 (Fig. 6B), FGF-2 (Fig. 6C), PDGF-B (Fig. 6D), and Ang-2 (Fig. 6E) were increased by approximately 2.2-, 2.3-, 5.8-, 2.3-, and 2.3-fold, respectively ( $P < 0.001$ ). A similar increase was also found in vehicle-treated diabetic rats. UPARANT reduced inflammatory and proangiogenic transcripts with a trend toward recovering the levels found in controls. IL-1 $\beta$ , IL-6, iNOS, and GFAP diminished by approximately 1.5- ( $P < 0.001$ ), 1.3- ( $P < 0.05$ ), 1.4- ( $P < 0.001$ ), and 1.4-fold ( $P < 0.001$ ) with respect to untreated or vehicle-treated diabetic rats, whereas VEGF, IGF-1, FGF-2, and PDGF-B diminished by approximately 1.5-, 1.4-, 1.7-, and 1.4-fold with respect to untreated or vehicle-treated diabetic rats, respectively ( $P < 0.001$ ). After UPARANT, levels of ICAM-1 and Ang-2 did not significantly differ from those in controls. These patterns of gene expression were also confirmed using absolute quantification with qRT-PCR (Supplementary Table S3). Absolute mRNA levels of the analyzed factors were increased between 2.0- and 5.0-fold in untreated diabetic rats. UPARANT reduced these levels with a trend toward recovering their control values.

### Safety Assessments of Systemic UPARANT

Body weight did not differ between vehicle- and UPARANT-treated rats ( $180 \pm 5.61 \text{ g}$  and  $192 \pm 2.96 \text{ g}$ , respectively). Hematologic and biochemical parameters of vehicle- and UPARANT-treated rats are shown in Supplementary Tables S4 and S5. UPARANT did not affect significantly hematologic and biochemical parameters. In particular, the markers of liver function (aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase, and total bilirubin) and those of kidney function (creatinine and blood urea nitrogen) were not influenced by UPARANT. In addition, UPARANT did not affect the gross morphology of adrenal glands, aorta, brain, cerebellum, eyes, esophagus, heart, intestine, kidneys, liver, lymph nodes (cervical), lungs, mesenteric tissue, pancreas, pituitary, spleen, stomach, submaxillary glands, skin, thymus, thyroid gland, tibial bone marrow, trachea, and urinary bladder (not shown). No histopathologic alterations were found by analyzing hematoxylin/eosin stained sections of liver and kidney (Supplementary Fig. S1).



**FIGURE 3.** UPARANT restores ERG responses. (A) Representative ERG waveforms recorded at a light intensity of 1 log cd-s/m<sup>2</sup> in controls and in diabetic rats untreated, vehicle-treated, or treated with subcutaneous UPARANT at 8 mg/kg for 5 days. (B, C) Scotopic a-wave (B) and b-wave amplitudes (C) plotted as a function of increasing light intensity in controls (red circles and red line) and in diabetic rats untreated (black circles and black line), vehicle-treated (light blue triangles and light blue line), or treated with subcutaneous UPARANT (green squares and green line). In diabetic rats untreated or vehicle-treated, the amplitudes of a- and b-waves were lower than those in controls. UPARANT restored a- and b-waves to levels that were not significantly different from those in controls. Each point represents the mean  $\pm$  SEM of data from 6 rats.

## DISCUSSION

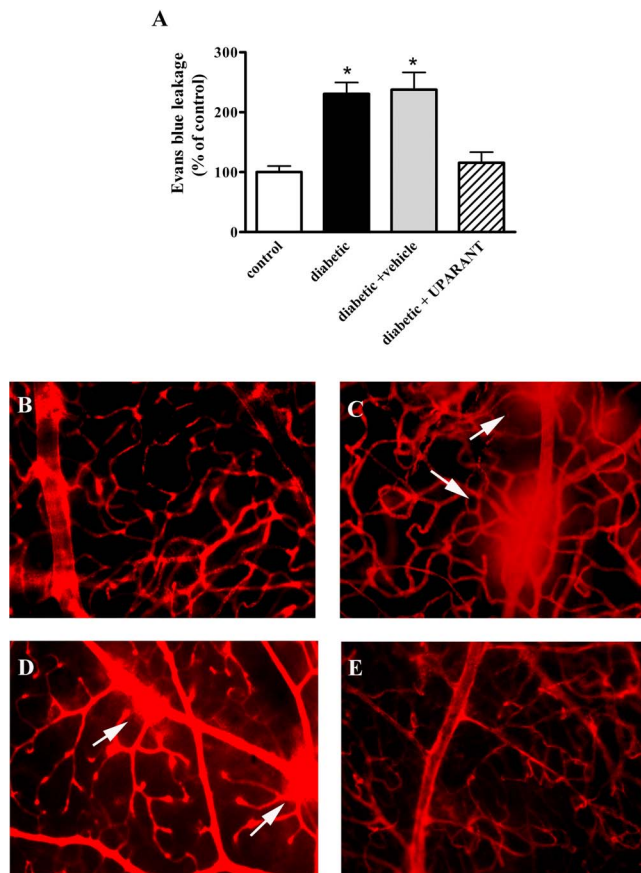
The present study characterizes for the first time the therapeutic effects of UPARANT in a rat model of DR. At variance with the currently used anti-VEGF treatments that are given when the disease has become vision threatening, here UPARANT is found to counteract the early phase of DR, suggesting that the drug might help prevent DR progression. The UPARANT therapeutic effect demonstrated here supports the effectiveness of the systemic route as a convenient and less invasive delivery method.

### UPARANT Delivery to the Eye and the Retina

As shown by the present results, subcutaneous UPARANT rapidly reaches the blood systemic circulation and remains detectable in the plasma at 24 hours, suggesting a slow elimination rate of the compound in line with its high plasma stability.<sup>21</sup> After 2 hours, UPARANT is well detected in the eye, indicating a significant drug transport presumably facilitated by

influx peptide transporters widely distributed in eye tissues.<sup>33</sup> After 24 hours, the UPARANT level in the eye is approximately 20% of that at 2 hours, indicating a half-life of approximately 10 to 11 hours and suggesting a potential long duration of the intraocular pharmacologic effect. The present results also demonstrate an efficient delivery of the drug to the retina with UPARANT there being approximately 15% of that measured in the eye, suggesting that the drug penetrates the BRB.

Although more information is needed on UPARANT metabolism, the apparent ability of the drug to cross the BRB makes its subcutaneous delivery potentially effective in the treatment of ocular diseases. UPARANT delivery to the diabetic eye may be further facilitated by BRB breakdown that increases vascular permeability by 2- or 3-fold.<sup>34</sup> On the other hand, it should be considered that uPAR is expressed mainly by vascular endothelial cells,<sup>35</sup> therefore UPARANT could directly act on these cells to counteract leak and reduce inflammation without the need of crossing the BRB. In this respect, there is evidence that UPARANT acts on human retinal endothelial cells by preventing VEGF-induced membrane permeability.<sup>16</sup>



**FIGURE 4.** UPARANT reduces BRB breakdown. (A) Diabetes-induced leakage from the retina evaluated by the quantitative assessment of Evans blue dye extravasation. In diabetic rats untreated or vehicle-treated, the Evans blue leakage was higher than in controls. Daily dosing of UPARANT at 8 mg/kg for 5 days recovered this increase to a level close to that in controls ( $^*P < 0.001$  versus controls; ANOVA). Each column represents the mean  $\pm$  SEM of data from six independent samples, each containing one retina. (B–E) Blood retinal vascular leakage as qualitatively evaluated with the Evans blue method in controls (B) and diabetic rats untreated (C), vehicle-treated (D), or treated with subcutaneous UPARANT (E). Arrows: vascular leakage. Six rats were used for each experimental condition. Scale bar: 200  $\mu$ m.

### UPARANT Effects on Pathologic Signs of DR

In the present study, UPARANT was administered at a dosage that was reduced by more than 50% with respect to the dose used in the PK study. This treatment was repeated daily, considering that repeated treatments are generally necessary to systemically deliver an adequate amount of a drug to the eye due to the presence of blood-ocular barriers.

ERG recording at different times after diabetes onset allows a longitudinal evaluation of retinal function during DR progression as well as the effects of therapeutic regimens. As shown by the present results, STZ causes reduction in a- and b-wave amplitude in agreement with previous findings in albino rats (for references, see Ref. 32). In contrast, no effects of STZ have been reported in pigmented rats,<sup>24,25</sup> suggesting that there may be different susceptibility to the effects of STZ in different strains and that albino rats may be more susceptible to retinal damage. We found that STZ induces a 50% reduction in both the photoreceptor and the inner retina response, although DR is generally thought to be an inner retinal disease

and the b-wave seems to be involved earlier and more extensively than the a-wave.<sup>26</sup> On the other hand, recent findings suggest an early involvement of photoreceptors in DR<sup>36</sup> in line with our finding of a-wave reduction following STZ.

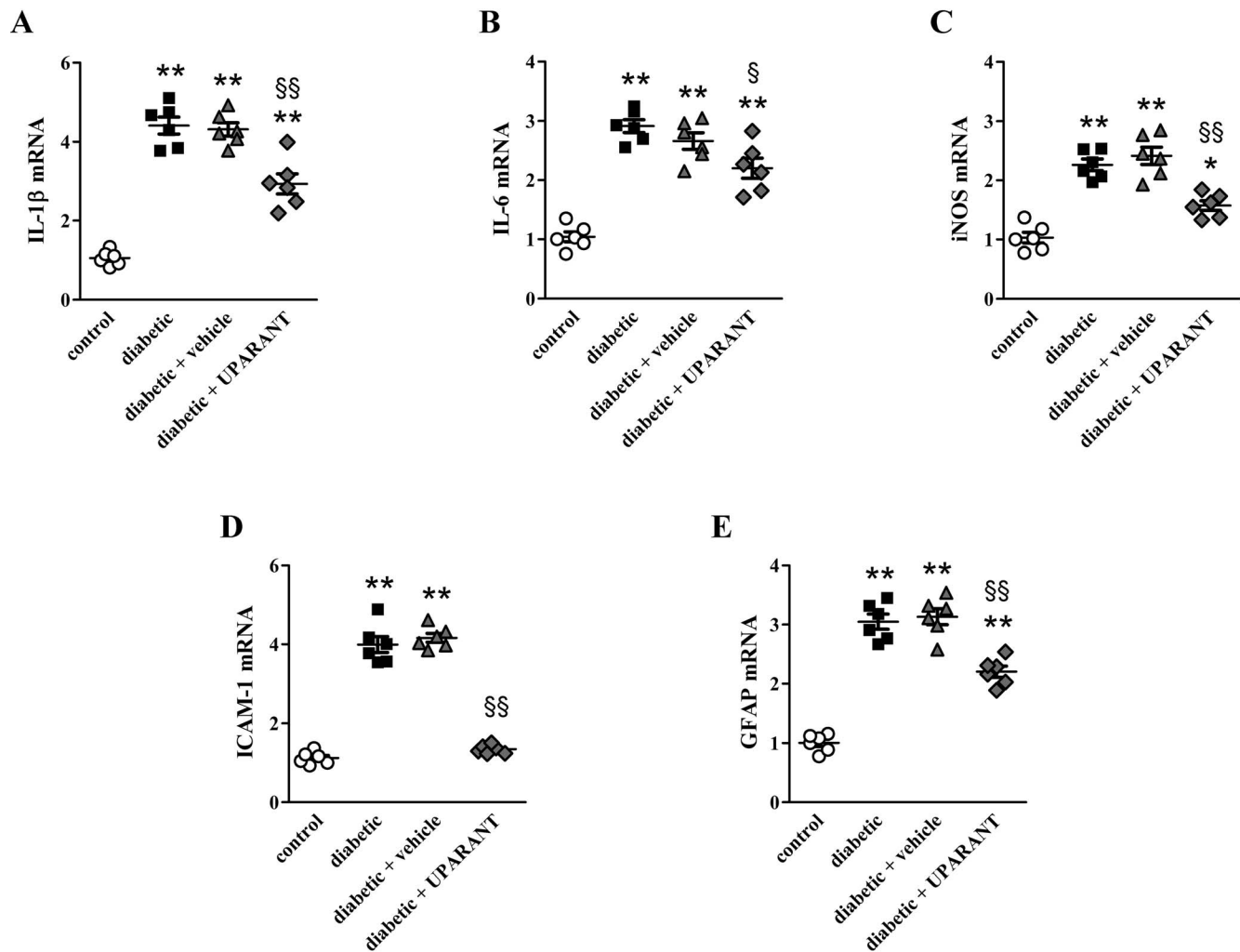
Here, UPARANT acts in a therapeutic regimen to counteract visual dysfunction, and its repeated administration is required to inhibit efficiently the production of those factors contributing to ERG dysfunction. In this line, repeated administration of a given compound is necessary to improve visual function after systemic drug delivery.<sup>37–39</sup> In STZ-rats, repeated administration of a traditional medicinal herb used in Chinese medicine recovers the amplitude of b-wave after 8 weeks, but not after 4 weeks.<sup>40</sup> After UPARANT withdrawal, ERG recovery lasts approximately 2 weeks, suggesting that following drug discontinuation, the ongoing hyperglycemia may reinstate the noxious environment causing the pathologic state of the retina. Similarly, in STZ-rats, ERG recovery after E4a, an analogue of the glucagon-like peptide 1 receptor, lasts 1 month after its withdrawal, but is lost after 3 months.<sup>41</sup>

Because any vascular change leading to reduced barrier properties can be detrimental for visual function,<sup>27</sup> therapeutic interventions aimed at restoring BRB integrity may have high impact in recovering ERG dysfunction as observed here after UPARANT. Indeed, we have found ameliorated BRB leakage after UPARANT inhibition of the uPA/uPAR system, which is in line with the fact that uPA/uPAR upregulation participates to BRB breakdown in DR.<sup>9–11</sup> In addition, UPARANT ameliorates BRB leakage by restoring altered levels of tight junction proteins in mouse models of either oxygen-induced retinopathy or AMD<sup>20,22</sup> and prevents the VEGF-induced permeability in a monolayer of human retinal endothelial cells.<sup>16</sup>

### UPARANT Effects on Inflammatory and Vascular Responses

As demonstrated by the present results, UPARANT limits the production of inflammatory and proangiogenic factors, which codependently regulate processes playing a key role in the pathogenesis of DR.<sup>42,43</sup> Codependency of these factors is testified by a variety of studies showing, for instance, VEGF-dependent ICAM-1 expression leading to activation of leukocytes and the release of inflammatory cytokines<sup>44</sup> as well as cytokine production by Müller cells,<sup>45</sup> in which gliotic activation, with increased GFAP expression, is likely to contribute to BRB breakdown and vessel leakage.<sup>46</sup> In addition, IL-1 $\beta$  activates IL-6 production<sup>47</sup> and acts upstream of iNOS that participates to ICAM-1 upregulation and consequent diabetes-associated leukostasis.<sup>48</sup> Moreover, VEGF, IGF-1, FGF-2, and PDGF-B act synergistically on endothelial cells to induce vascular damage,<sup>49</sup> whereas VEGF expression and activity is known to be modulated by both IGF-1 and FGF-2.<sup>50,51</sup> Finally, together with VEGF, Ang-2 is recognized as a proangiogenic factor, but it also plays a role in inflammation,<sup>6</sup> being upregulated in response to hyperglycemia and leading to BRB breakdown through an action in combination with VEGF.<sup>52</sup>

The fact that the current therapeutic strategies in the management of DR have suboptimal efficacy may depend on their limited impact on inflammatory processes.<sup>6</sup> The present findings that UPARANT reduces the levels of inflammatory and proangiogenic factors are consistent with previous results in mouse models of neovascular diseases of the retina and the choroid,<sup>20,22</sup> suggesting UPARANT as a possible multitarget drug. This is in line with the finding that UPARANT counteracts the inflammatory and proangiogenic effects of the vitreous fluid from patients with proliferative DR, which contains high levels of several inflammatory and angiogenic factors.<sup>22,53</sup> In



**FIGURE 5.** UPARANT reduces levels of inflammatory factors. Transcript levels of IL-1 $\beta$  (A), IL-6 (B), iNOS (C), ICAM-1 (D), and GFAP (E) were evaluated by relative quantification with qRT-PCR in controls and diabetic rats untreated, vehicle-treated, or treated with subcutaneous UPARANT at 8 mg/kg for 5 days. Data were analyzed by the formula  $2^{-\Delta\Delta CT}$  using 18S as the internal standard. In diabetic rats untreated or vehicle-treated, the levels of inflammatory factors were higher than in controls. Daily dosing of UPARANT reduced these levels, with a trend toward recovering those in controls (\* $P < 0.01$  and \*\* $P < 0.001$  versus controls; § $P < 0.05$  and §§ $P < 0.001$  versus vehicle-treated diabetic rats; ANOVA). Data are presented as scatter plots. Each plot represents the mean  $\pm$  SEM of data from six independent samples, each containing one retina.

this respect, UPARANT inhibition of inflammation may have vascular benefits in DR much greater than most anti-VEGF therapies intended to regulate the vascular changes mediated by VEGF action without substantially intervening on inflammatory events.<sup>54</sup> Consistently, an important role of anti-inflammatory agents against the development and progression of DR lesions has been recently acknowledged.<sup>55</sup>

### Efficacy of Subcutaneous Administration

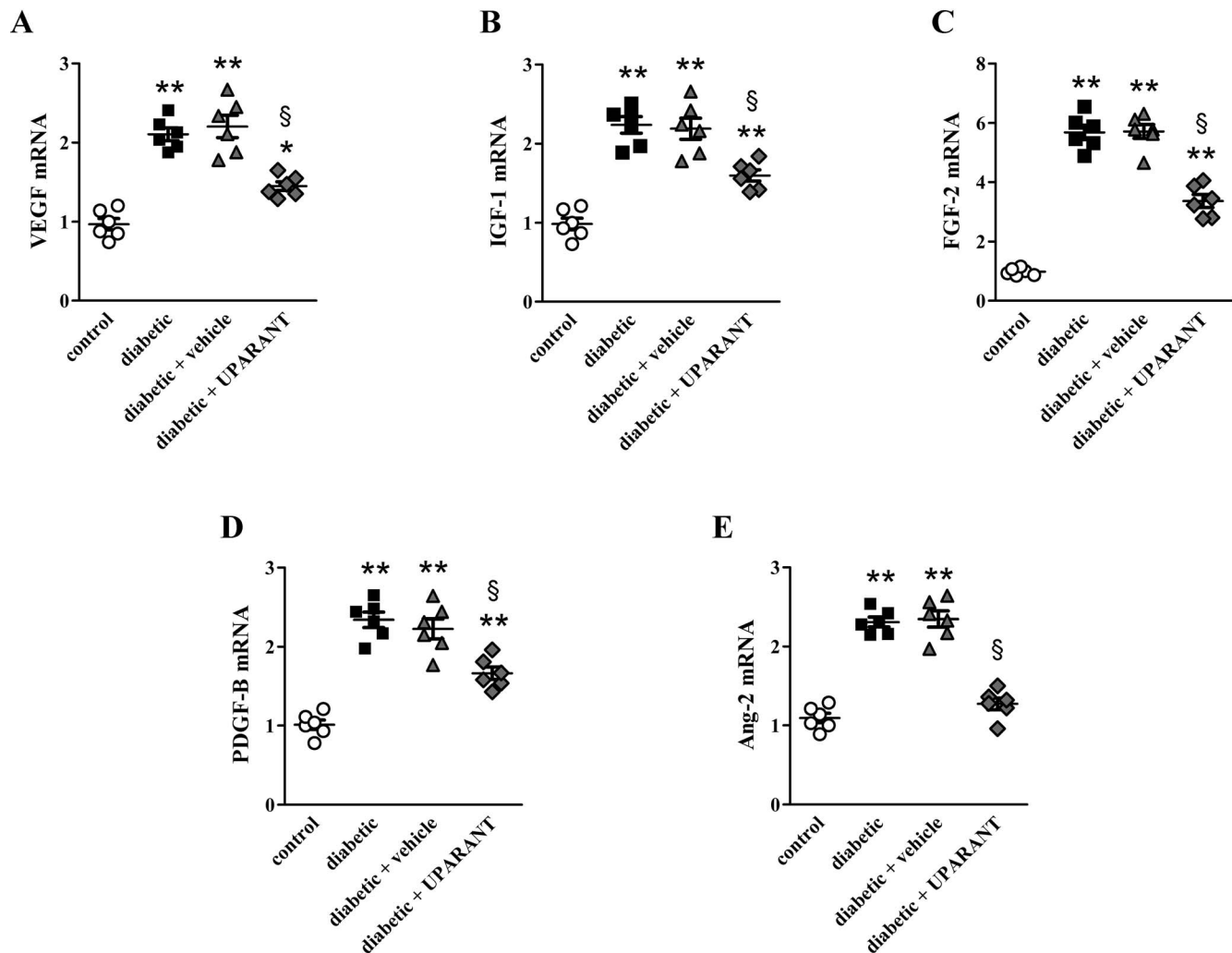
As demonstrated here, subcutaneous UPARANT is effective in recovering diabetes-induced retinal injuries without producing significant damage to blood cells and systemic organs, thus supporting the concept that systemic treatment with UPARANT may be both effective and safe in the rat. With the exception of subcutaneous infusion of insulin, which is effective in slowing down DR in type 1 diabetic patients,<sup>56</sup> no subcutaneous drugs have been approved for treating retinopathies. On the other hand, in animal models, there is a considerable amount of data indicating that subcutaneous

drug delivery is a promising route to access the posterior chamber of the eye, although little is known in DR. For instance, after 4 weeks from STZ injection, 5 days treatment with a bradykinin receptor B1 antagonist reduces early retinal alterations, including BRB breakdown.<sup>57</sup>

### CONCLUSIONS

Together, the present findings support the possibility that uPAR activation participates with the mechanisms causing vascular damage in response to high glucose and provide evidence that the uPA/uPAR system is a promising target for the development of novel multitarget drugs in the treatment of early DR, therefore delaying the occurrence of late complications. The extrapolation of these experimental findings to the clinic is not straightforward, as animal models of DR may not faithfully recapitulate all the pathologic signs seen in human DR. In this respect, neither macular edema nor proliferative disease ever develop in STZ-rats, indicating that they are a





**FIGURE 6.** UPARANT reduces levels of proangiogenic factors. Transcript levels of VEGF (A), IGF-1 (B), FGF-2 (C), PDGF-B (D), and Ang-2 (E) were evaluated by relative quantification with qRT-PCR in controls and diabetic rats untreated, vehicle-treated, or treated with subcutaneous UPARANT at 8 mg/kg for 5 days. Data were analyzed by the formula  $2^{-\Delta\Delta CT}$  using *18S* as the internal standard. In diabetic rats untreated or vehicle-treated, the levels of proangiogenic factors were higher than in controls. Daily dosing of UPARANT reduced these levels, with a trend toward recovering those in controls (\* $P < 0.01$  and \*\* $P < 0.001$  versus controls; § $P < 0.001$  versus vehicle-treated diabetic rats; ANOVA). Data are presented as scatter plots. Each plot represents the mean  $\pm$  SEM of data from six independent samples, each containing one retina.

suitable model for the early phase of human DR, which is, however, often asymptomatic.

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