

1 **Phytoremediation for improving the quality of effluents from a conventional**  
2 **tannery wastewater treatment plants.**

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6 *Short title: Phytoremediation for the tertiary treatment of tannery wastewater.*

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## 21 **Abstract**

22 In the present study the quality of effluents from a conventional wastewater treatment plant in Italy  
23 has been analyzed. Residual level of contamination by 4-n-nonylphenol, mono- and di-ethoxylated  
24 nonylphenols has been recorded in the effluents that resulted to be also phytotoxic and genotoxic.  
25 The possibility to exploit phytoremediation as a sustainable tertiary treatment for the depletion of  
26 the priority pollutants and for the reduction of the residual toxicity has been verified at mesocosm  
27 scale. The phyto-based treatment has been performed by the exploitation of *Phragmites australis*  
28 by either a bacterial-assisted and not assisted approach. In relation to the bacterial-assisted  
29 approach, two new bacterial strains, capable to use the nonylphenols as sole carbon source, have  
30 been isolated. One was identified as a plant growth-promoting rhizobacteria (PGPR) belonging to  
31 the *Stenotrophomonas* species, the second one was classified as a *Sphingobium* species strain. Both  
32 strains were independently bioaugmented in the *Phragmites australis* rhizosphere. In relation to the  
33 not assisted approach, the phyto-based process determined 87%, 70% and 87% for 4-n-  
34 nonylphenol, mono-ethoxylated nonylphenols and di-ethoxylated nonylphenols respectively. The  
35 toxicological assessment of the process evidenced the complete depletion of either the phytotoxicity  
36 and the genotoxicity of the treated effluents. With reference to the bacterial-assisted approach, the  
37 PGPR *Stenotrophomonas* species strain resulted to be capable to significantly increasing the  
38 efficiency of the phyto-based process in nonlyphenols depletion up to 88% for the 4-n-nonylphenol,  
39 84% for the monoethoxylated nonylphenol and 71% for the diethoxylated nonylphenol.

40

## 41 **Keywords**

42 Bacterial-assisted phytoremediation; Genotoxicity; Nonylphenols; Phytotoxicity; Plant growth-  
43 promoting rhizobacteria; *Stenotrophomonas* sp..

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## 45 1. Introduction

46

47 Polyethoxylated nonylphenols (NPnEOs) are non-ionic surfactants that find application, among  
48 many others, as wetting agents and emulsifiers in the tannery industry (Langford and Lester 2002).

49 The NPnEOs are only partially degraded in conventional wastewater treatment plants (WWTPs).

50 The main products of their degradation are a mixture of branched nonylphenols (NPs), comprising  
51 the linear 4-nNP, and their immediate metabolic precursors, the mono- and di-ethoxylated

52 nonylphenols (NP1EO and NP2EO respectively). Due to their physical-chemical characteristics,  
53 these molecules are very recalcitrant to further oxidation (Koh et al. 2005), and accumulate and

54 persist in sewage sludge, river sediments, and several environmental compartments. Recently toxic  
55 effects on aquatic organisms such as plants, invertebrate and vertebrate have been reported.

56 Actually, these effects were not restricted to the known estrogenic activity; noteworthy, they were  
57 related to the alteration of the cell membrane integrity, to the induction of oxidative stress, to the

58 interference with the cell cycle and cell division, to the induction of apoptosis (Kudo et al. 2004;  
59 Yao et al. 2006) and more in general to genotoxic effects (Adam and El-Ashry 2010; Frassinetti et

60 al. 2011).

61 Chemical analyses of effluents from full-scale WWTPs actually demonstrated that NPs, NP1EO  
62 and NP2EO occur quite frequently as stable intermediates at the end of the pipe of the facilities,

63 with a higher incidence in those plants treating industrial wastewaters or civil effluents, deriving  
64 from highly populated urban areas (Langford and Lester 2002). NPs were actually designated as

65 priority pollutants in the Water Framework Directive (Directive 2000/60/EC). The use of NPnEOs  
66 has been banned in Europe for several industrial uses, including tannery processing. An exception is

67 made for industries owing WWTPs able to perform the removal of these contaminants and of the  
68 corresponding metabolites from their effluents (Directive 2003/53/EC). Despite the legislation in

69 force, nonylphenols are still frequently recorded in tannery wastewaters and discharged in receptor  
70 aquifers (Pothitou and Voutsas 2008).

71 Recently the objective of removing recalcitrant priority pollutants from already treated wastewaters  
72 has been tentatively approached by physical-chemical technologies. These treatments resulted to be  
73 barely sustainable in term of costs and tend to produce not characterized and potentially toxic  
74 break-down products. On the other hand, the phyto-based technologies have been recognized as  
75 inexpensive, environmentally friendly remediation methods, worthy of serious consideration in the  
76 context of the sustainability of the intervention for treatments of either civil and industrial waste  
77 flues (Korkusuz 2005). However, the exploitation of phytotechnologies in Europe is limited, as  
78 compared with USA (Van der Lelie et al. 2001) and India (Prasad 2007). Recently many pilot and  
79 field studies on real case of contamination have been approached with success in Italy (Di Gregorio  
80 et al 2013; Marchiol et al 2011) and all over the Europe (Mench et al. 2010; Schröder et al 2007;  
81 Vangronsveld et al. 2009). Results obtained encourage the establishment of the technology also in  
82 the EU. It is worth mentioning that phytotechnologies can find application in the depletion of  
83 heavy metals (Mani et al. 2012 a;b), organics (Di Gregorio et al. 2013), in the case of co-  
84 contamination (Arjoon et al 2013) and in the recovery of the resilience of the treated matrices  
85 (Mani and Kumar 2013). Moreover, phytoremediation can be approached either as bacterial-  
86 assisted and not assisted process. The bacterial-assisted approach is based on the interaction  
87 between plants and specific bacteria, massively bioaugmented in plant rhizosphere, to tentatively  
88 increase the performance of the phytoremediation process (Glick 2011). The bacteria of interest are  
89 mostly classified as Plant Growth Promoting Rhizobacteria (PGPR), capable of facilitating the  
90 growth of plants, even in stress conditions, using a wide range of different mechanisms (Glick  
91 2011). On the other hand, microbial strains capable of transforming specific contaminants have  
92 been reported for their positive effects on the phyto-based processes of corresponding  
93 contaminant's depletion. (Uhlik et al 2009).

94 In this work the evaluation of the quality of the waste-flues at the end of the pipe of a conventional  
95 tannery wastewater treatment plant in Italy showed residual phytotoxicity and genotoxicity and the  
96 presence of the priority pollutants 4-n-nonylphenol, mono- and di-ethoxylated nonylphenols.

97 The possibility to exploit phytoremediation as a sustainable tertiary treatment for the depletion of  
98 the priority pollutants and for the reduction of the residual toxicity has been verified at mesocosm  
99 scale by using *Phragmites australis*, a plant species well adapted to tannery wastewater in terms of  
100 survival and propagation (Calheiros et al 2007). The process has been planned either as a not  
101 assisted and as a bacterial-assisted one. For the bacterial-assisted approach 1) a PGPR strain  
102 deriving from the rhizosphere of *P. australis* plants irrigated with the effluents and 2) a bacterial  
103 strain directly deriving from the contaminated effluents have been isolated. Both strains were  
104 selected for their capacity to grow in the presence of NPs as sole carbon sources, suggesting their  
105 capacity to transform the contaminants and to promote their depletion in the treated effluents. The  
106 two isolates were independently bioaugmented in the *P. australis* rhizosphere to compare the effect  
107 of a PGPR-based and a not PGPR-based bioaugmentation strategy on the process efficiency. The  
108 metabolic activities of the bioaugmented strains have been monitored by RT-DGGE analysis of the  
109 16S rDNA derived from the meta-transcriptome of the bacterial communities characterizing the  
110 treating modules. The chemical assessment of the process was focused on the recording of the  
111 depletion of residual nonylphenols by GC-MS. The toxicological assessment of the phyto-based  
112 process has been performed by the phytotoxicity bioassay on *Lepidium sativum* L. and on *Vicia*  
113 *faba* L. The *V. faba* model plant has also been used for the genotoxicity bioassay.

## 114 2. Materials and Methods

115

### 116 2.1 Chemicals, plants and wastewaters

117

118 Chemicals used throughout the experiments were of analytical grade. The technical nonylphenol (t-  
119 NP), and d(deuterium)-4-nNP d(deuterium)NP1EO and d(deuterium)NP2EO were purchased from  
120 Sigma-Aldrich (Milan, Italy). The d(deuterium)-4-nNP d(deuterium)NP1EO and  
121 d(deuterium)NP2EO were used as analytical standards for the quantification of 4-nNP, NP1EO and  
122 NP2EO by GC-MS analysis. The technical nonylphenol (t-NP) has been used as sole carbon source  
123 in the enrichment cultures for the isolation of bacterial candidates. Wastewaters have been collected  
124 at the end of the pipe of an activated sludge treatment plants collecting the waste-flues from  
125 different local tanneries in Tuscany, Italy. The COD (EN ISO 9439:2000) and BOD<sub>5</sub> (EN ISO  
126 9408:1999) of the wastewater after filtration (45 µm) are reported in Table 1. The pH of the  
127 wastewater after filtration was  $7.4 \pm 0,7$ . The expanded clay (Leca®) was purchased by a local  
128 distributor. *Phragmites australis* plants were collected from a local nursery.

129

### 130 2.2 Cultivation media

131

132 Brunner mineral medium (<http://www.dsmz.de/microorganisms/medium/pdf/DSMZ>  
133 Medium457.pdf) was used for strain enrichment, isolation and verification of their capacity to  
134 utilize t-NP as a sole carbon source.

135

### 136 2.3 Isolation and characterization of bacteria

137

138 *P. australis* plants growing in vessels containing soil were irrigated twice a day for three months  
139 with the tannery wastewater collected at the end of the pipe of the WWTP. Enrichment cultures for  
140 rhizobacterial strain were prepared as described in (Penrose and Glick 2003), collecting soil aliquots

141 (1 g) from the soil-fraction tight attached to the root apparatus of *P. australis* plant at the end of the  
142 irrigation period. A total of 25 bacterial isolates obtained were analyzed for the 1-  
143 aminocyclopropane-1-carboxylic acid (ACC) deaminase activity as described in (Penrose and Glick  
144 2003) and for the capacity to produce Indole-3-Acetic acid (IAA) as described in (Brick et al.  
145 1991). The positive strains for both metabolic activities, 7 in total, were clustered in different  
146 Operational Taxonomic Units (OTUs) by amplified ribosomal DNA restriction analysis (ARDRA).  
147 The ARDRA was performed digesting the amplification products with Sau3A, AluI, and HaeIII. All  
148 the analyses were performed twice for each isolate. The gene encoding for the 16S rRNA of one  
149 microorganism for each OTU was amplified, sequenced on both strands, and aligned to the  
150 sequence databases using BLASTN.

151 Strains deriving from the tannery wastewater capable to utilize t-NP as sole carbon source were  
152 isolated by preparing enrichment cultures in Brunner medium supplemented with 1000 ppm t-NP as  
153 sole carbon source. Enrichment cultures were carried out in 250-ml Erlenmeyer flasks containing  
154 100 ml Brunner medium amended with 1000 ppm t-NP and 10 ml of the wastewater collected at the  
155 end of the pipe of the WWTPs. Flasks were incubated at  $28 \pm 1^\circ\text{C}$  on an orbital shaker (250  
156 rev/min). After 1-week of incubation, 1 ml of the suspension was incubated in flasks with 100 ml  
157 fresh Brunner medium for 1 week. The passage was repeated 7 times. Afterwards serial dilutions of  
158 the culture medium were plated on agarized Luria Bertani (LB) broth plates. The plates were  
159 incubated at  $28 \pm 1^\circ\text{C}$  for 5 days. Three colonies were collected and clustered in different OTUs by  
160 ARDRA as previously described. The isolated strains from the rhizosphere of *P. australis* and from  
161 the tannery wastewater were tested for the capacity to use t-NP as sole carbon source in Brunner  
162 medium supplemented with 1000 ppm t-NP. Substrate utilization was verified by determining the  
163 growth of the bacterial isolates on LB plates plated with serial dilution of the liquid cultures. The  
164 evaluation of bacterial growth in presence of t-NP as sole carbon source was performed twice for  
165 each isolate. The capacity of the strains isolated from the WWTPs effluent to produce IAA and to  
166 express ACC-deaminase was also verified as described in Brick et al. (1991) and Penrose and  
167 Glick (2003).

## 168 2.4 Preparation of mesocosms

169

170 A total of 36 experimental replicates (pots), each containing 1 kg of Leca® (substrate for  
171 vegetation) and 2 L of filtered (0.45 µm) tannery wastewater, were prepared in plastic pots and  
172 maintained in a temperature ( $24 \pm 1^\circ\text{C}$ ) and lightening controlled growth chamber (14 hrs light/10  
173 hrs dark) for 48 and 144 hours (2 and 6 days). The 2 L of wastewater were added to the pots at the  
174 beginning of the experimentation. A total of 12 replicates, out of 36, were inoculated with  
175 *Sphingobium* sp. bacterial culture ( $10^6$  CFU/g Leca®) and 6 of them were vegetated with 1 plant of  
176 *P. australis* per pot. Twelve replicates, out of the remaining 24, were inoculated with  
177 *Stenotrophomonas* sp. bacterial culture ( $10^6$  CFU g/Leca®) and six of them were vegetated with 1  
178 plant of *P. australis* per pot. A total of six of the remaining 12 not bioaugmented replicates were  
179 vegetated with one plant of *P. australis* per pot. The remaining six pots were neither vegetated nor  
180 inoculated. Bioaugmentation inocula were prepared by massive cultivations of *Stenotrophomonas*  
181 sp. Phr013 and *Sphingobium* sp. NP001 in Luria Bertani (LB) and triptic soy broth (TSB) media  
182 respectively. In order to reach the expected bacterial inoculum ( $10^6$  CFU/g Leca®), appropriate  
183 volumes (mL) of massive cultures of *Stenotrophomonas* sp. Phr013 and *Sphingobium* sp. NP001  
184 have been collected and gently centrifuged. The bacterial pellets obtained have been washed twice  
185 with a saline solution (NaCl 0.9% wt/vol) and inoculated in the 2L of wastewaters distributed in the  
186 pots.

187

## 188 2.5 Phenolics extraction and GC-MS analysis

189

190 Three pots for each set of condition were separately sacrificed and analyzed for phenolic content at  
191 48 and 144 hours of incubation. 4-nNP, NP1EO and NP2EO were quantified in the treated  
192 wastewater, in plant tissues and as portions adsorbed to Leca®.

193 Phenolic compounds were extracted from wastewaters following the protocol described in Yang et  
194 al. (2011). Samples of 10 ml of treated wastewater per pot were acidified with HCl solution (6M)



195 and extracted three times with an equal volume of dichloromethane (DCM) for 10 min. The DCM  
196 extracts were combined and concentrated to lower volumes with a rotary evaporator, further  
197 concentrated under a gentle flow of dry nitrogen and transferred in capillary tubes for derivatization  
198 with BSTFA [N,O-bis(trimethylsilyl)trifluoroacetamide].

199 A total of 10 g of Leca® were washed three times with one volume of DCM, combing the resulting  
200 washing solution (30 ml) that were concentrated as previously described before derivatization.  
201 Phenolics from plant tissues were extracted as described in (Sjöström et al. 2008).

202 Internal standard were added before each extraction procedures. A total of 25 ng of d-NP1EO and  
203 d-NP2EO, were added as internal standards to account for purification losses. Quantification was  
204 accomplished by GC-MS analysis by a Saturn 2200 quadrupole ion trap mass spectrometer coupled  
205 to a CP-3800 gas chromatograph (Varian Analytical Instruments, Walnut Creek, CA, USA)  
206 equipped with a MEGA 1 MS capillary column (30 m; 0.25 mm i.d., 0.25 µm film thickness,  
207 MEGA s.n.c., Milan, Italia). The carrier gas was helium, which was dried and air free, with a linear  
208 speed of 60 cm/s. The oven temperature was maintained at 80°C for 1 min, increased to 210°C at a  
209 rate of 15°C /min, further increased to 235°C at a rate of 5°C/min, further increased to 300°C at a  
210 rate of 20°C/ min. Full scan mass spectra were obtained in EI<sup>+</sup> mode with an emission current of 10  
211 µA and an axial modulation of 4 V. Data acquisition was from 150 to 600 Da at a speed of 1.4  
212 scan/sec. Final data were the means of three biological replicates.

213

## 214 **2.6 Process efficiency and mass balance**

215

216 The process efficiency (PE) of the different combination of plant and microbial inocula has been  
217 calculated as the ratio of the amount of phenolics (ng) depleted per mesocosm to the unit of dry  
218 weight (g) of the vegetating plant. A mass balance has been calculated for each of the contaminants  
219 and the portion of contaminants that has been metabolized and/or volatilized by plant and/or  
220 microorganisms (transformed fraction) has been calculated as the difference between the depleted  
221 portions of the phenolics and their portions accumulated in the plant and adsorbed onto the Leca®.

222 To the scope wastewater evapotranspiration has been quantified as the portion of volume of  
223 wastewater not recovered at the end of the experimentation. All the described analysis have been  
224 performed after 144 hours of incubation.

225

## 226 **2.7 Molecular techniques**

227

228 Standard procedures were used for nucleic acid manipulation and agarose gel electrophoresis.  
229 Bacterial genomic DNA was extracted using the Nucleospin Tissues Kit (BD Biosciences Clontech,  
230 Milan, Italy) following the manufacturer instructions. Total RNA was extracted by the biofilm  
231 adsorbed on the Leca® of each pots and extracted by washing 10 g of Leca® in 1 volume of sterile  
232 water for three times. The combined volumes of washing-water (30 ml) were filtered under gentle  
233 vacuum on a sterile 0.45 µm filter. The filter was finely chopped and extracted by using the RNA  
234 PowerSoil® Total RNA Isolation kit (Cabru S.A.S., Milan Italy). DNA was manipulated using  
235 enzymes purchased from Sigma-Aldrich (Milan, Italy) and sequenced using a PRISM Ready  
236 Reaction DNA terminator cycle sequencing Kit (Perkin-Elmer, Milan, Italy) running on an ABI  
237 377 instrument. Nucleotide sequence data were assembled using the ABI Fractura and Assembler  
238 computer packages and analyzed using ClustalW and Omega (version 1.1) (Oxford Molecular  
239 Group, UK).

240

## 241 **2.8 Reverse transcriptase denaturing gradient gel electrophoresis analyses**

242

243 The V-3 region (position 341–534, *E. coli* numbering) of bacterial 16S rDNA was amplified by  
244 PCR using primers p3/p2 (Muyzer et al. 1993). The 16S ribosomal copy (rDNA) was obtained by  
245 reverse-transcriptase PCR (RT-PCR) with the Moloney Murine Leukemia Virus Reverse  
246 Transcriptase (M-MLV RT), RNA H Minus, Point Mutant (Promega, Milan, Italy), from 70 ng of  
247 total RNA from the Leca® of the different treatment units by using the p2 primer (primer annealing  
248 at 42 °C for 10 min, extension at 50 °C for 1 h). An appropriate dilution of the obtained product was

249 used as template for PCR reactions with the p3/p2 primer set. The PCR products were separated on  
250 polyacrylamide gels (8% [wt/vol], 37.5:1 acrylamide-bisacrylamide) with a 30–60% linear gradient  
251 of urea. Denaturing gels were run using the Dcode Universal Mutation Detection System (Bio-Rad,  
252 USA).

253 The gels images were acquired using the ChemDoc (Bio-Rad) gel documentation system. The  
254 Denaturing gel electrophoresis (DGGE) profiles, concerning the presence and intensity of the  
255 bands, were analyzed using GelCompar\_II software (VERSION 4.6; Applied Maths, Sint-Martens-  
256 Latem, Belgium). Detected band patterns were transferred to an absence/presence matrix. Band-  
257 matching position tolerance was set at 1%, with an optimization of 0.5%. The binary matrix was  
258 transformed into a similarity matrix using the Bray-Curtis measure. Dendrograms were generated  
259 by unweighted pair group mean average (UPGMA) cluster analysis. DGGE banding data were used  
260 to estimate diversity, H (Shannon and Weaver, 1963) and equitability, E (Pielou, 1975) indexes.

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## 262 **2.9 Genotoxicity and phytotoxicity tests**

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264 Seeds of *Vicia faba*, following the procedure previously described in (Giorgetti et al 2011) were  
265 germinated at  $24 \pm 1^\circ\text{C}$  for 72 hours in different solutions: a) 10 ml of distilled water (control); b)  
266 10 ml of filtered (45  $\mu\text{m}$ ) wastewater at the end of the pipe of the WWTP; c) 10 ml of filtered  
267 wastewater after 144 h incubation with *P. australis* plants; d) and e) 10 ml of filtered wastewater  
268 after 144 h incubation with *P. australis* plants bioaugmented with NP001 or Phr013 strains  
269 respectively.

270 Five fixed and Feulgen stained root tips *per* experimental group were used for preparing slides, and  
271 1,000 nuclei per slide were examined. Micronucleus frequency assay (MNC), mitotic activity  
272 (mitotic index, MI = number of mitosis per 100 nuclei) and mitotic aberrations (aberration index, AI  
273 = number of aberrations per 100 nuclei) were determined.

274 Phytotoxicity test was carried out with garden cress, *L. sativum*, which is recognized as a sensitive  
275 bioassay for phytotoxic compounds (Gehring et al. 2003) and in parallel with *V. faba*. Four

276 replicate of ten seeds for each sample were germinated at  $24 \pm 1^\circ\text{C}$  in dark conditions in the same  
277 a), b), c), d) and e) solutions as described above. As parameters of toxicity both root length (cm)  
278 and seed germination rate (%) were measured; Index of Germination (IG %) was determined  
279 according to the equation:

$$280 \text{ IG \%} = (\text{Gs Ls})/(\text{Gc Lc}) \times 100$$

281 where Gs and Ls are the seed germination and root elongation (mm) for the sample; Gc and Lc the  
282 corresponding values for controls.

283

## 284 **2.10 Statistical analysis**

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286 Data were elaborated with the aid of the two ways ANOVA and means were separated by the  
287 Bonferroni's multiple comparison test ( $P \leq 0.001$ ) using the specific software Statgraphics 5.1  
288 (Statistical Graphics Corp., USA).

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### 303 3. Results and Discussion

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305 The chemical and biological oxygen demand are broad-spectrum parameters that, in the case of  
306 industrial wastewater, can mask a plethora of specific contaminants that can be noxious for the  
307 environment when the already treated wastewaters are regularly discharged. In this study the  
308 effluents of a tannery wastewater plant, showing residual COD and BOD values compatible with  
309 their controlled and authorized discharge, resulted to be contaminated by nonylphenols. The NPs  
310 detected were NP1EO, NP2EO and 4-nNP at concentrations ( $0.066 \pm 0.001$  ng/mL for NP1EO,  
311  $0.152 \pm 0.003$  ng/mL for NP2EO and  $0.332 \pm 0.002$  ng/mL for 4-nNP) already recorded at similar  
312 concentrations in similar effluents (Pothitou and Voutsas 2008). With reference to the toxicity of  
313 nonylphenols and the recurrence of their presence in municipal and industrial wastewaters,  
314 sustainable technologies dedicated to their complete depletion, even as tertiary treatments, are  
315 desirable. The goal of this study was the evaluation of the possibility to exploit a phytobased  
316 approach for the above mentioned scope, either as not bacterial-assisted or as bacterial assisted  
317 process. To the scope, in order to isolate PGPRs resistant to the tannery wastewater, selective  
318 enrichments of the candidates were set up from the rhizosphere of *P. australis* plants irrigated for  
319 three months with the contaminated waste flues. Bacterial selection was focused on candidates  
320 capable of producing IAA and of expressing ACCdeaminase activity, metabolic traits harbored by  
321 PGPRs (Glick 2011). A total of seven isolates capable of producing IAA and of expressing ACC-  
322 deaminase activity were recovered and analyzed by ARDRA. They showed the same ARDRA  
323 profile, and they were grouped in a single OTU. The partial sequencing of the corresponding 16S  
324 rRNA gene indicated that the isolate (Phr013) belonged to the *Stenotrophomonas* sp. [98 %  
325 homology to *Stenotrophomonas* sp. SAP52\_1, accession number JN872547.1 (Alvarez-Pérez et al.  
326 2012)]. In parallel, to isolate bacterial strains capable of using NPs as a sole carbon source  
327 eventually transforming these latter and promoting their depletion, enrichment cultures were set up  
328 from waste flues collected at the end of the pipe of the WWTPs. A total of three strains were  
329 recovered and grouped in a single OTU after ARDRA analysis. The partial sequencing of the

330 corresponding 16S rRNA gene indicated that the isolate selected as representative of the OTU  
331 (NP001) belonged to the *Sphingobium* sp. [97 % homology to *Sphingobium* sp. IT-4, accession  
332 number AB491320.2 (Toyama et al. 2011)]. The capacity of the *Sphingobium* sp. NP001 to produce  
333 IAA and to express ACC-deaminase activity has been verified, and the strain  
334 failed in both metabolic capacities. The capacity of the *Stenotrophomonas* sp. Phr013 and the  
335 *Sphingobium* sp. NP001 to utilize NPs as a sole carbon source was determined by measuring the  
336 growth of the strains in minimal Brunner medium in the presence of t-NP as a sole carbon source.  
337 The corresponding growth curves are reported in Fig. 1. Both strains are capable of growing on  
338 minimal medium added with t-NP as a sole carbon source, reaching significant cell density in only  
339 8 h. The NP001 reached a higher density with respect to Phr013. Thus, a batch experimentation has  
340 been performed by the combination of 36 pots simultaneously assayed after 48 and 144 h of  
341 incubation. Pots treating the NP-contaminated waste flues were set up as follows: (1) not vegetated  
342 and not bioaugmented; (2) vegetated with *P. australis*; (3) bioaugmented with 106  
343 *Stenotrophomonas* sp. Phr013CFU/g Leca\_; (4) bioaugmented with 106 *Stenotrophomonas* sp.  
344 Phr013CFU/g Leca\_ and vegetated with *P. australis*; (5) bioaugmented with 106 *Sphingobium* sp.  
345 NP001; and (6) bioaugmented with 106 *Sphingobium* sp. NP001 and vegetated with *P. australis*.  
346 Results, reported in Fig. 2, show a progressive depletion of the phenolics in the presence of *P.*  
347 *australis* plants, revealing that phytoremediation can be exploited for the depletion of residual NPs  
348 present in the waste flues. Any depletion has been observed in the presence of the sole Phr013 and  
349 NP001 inocula. After 144 h of incubation of the effluents with *P. australis* plants, the reduction of  
350 70 % of the residual content for NP1EO, 61 % for NP2EO and 87 % for 4 nNP has been observed.  
351 At the same time, the massive inoculation of the PGPR *Stenotrophomonas* Phr013 in the  
352 rhizosphere of *P. australis* plants improved the already recorded capacity of the plant to deplete the  
353 contaminants.

354 In fact, the teamwork of Phr013 and *P. australis* determined an increase in the percentages of NP  
355 reduction up to 84 % of the residual treated wastewater content for NP1EO, 71 % for NP2EO and  
356 88 % for 4 nNP with reference to 70 % for NP1EO, 61 % for NP2EO and 87 % for 4-nNP. On the

357 other hand, the same effect has not been observed in the case of massive inoculation with the  
358 *Sphingobium* sp. NP001 (Fig. 2).

359 In order to compare the efficiency of the bacterial-assisted and the not bacterial-assisted phyto-based  
360 approach in contaminant depletion and to eventually evaluate the contribution of the two bacterial  
361 strains, the process efficiencies (PEs) in NP depletion in the different incubation conditions have  
362 been calculated after 144 h of experimentation. Results obtained are shown in Fig. 3. The PE was  
363 here defined as the ratio between the amount of phenolics (ng) depleted per mesocosms and the unit  
364 of dry weight (g) of the vegetating plant. The highest PE for all the three phenolics has been  
365 recorded in pots bioaugmented with Phr013. On the other hand, when compared to not  
366 bioaugmented pots, the NP001 bioaugmentation significantly decreased the PE for either 4-nNP or  
367 NP2EO (Fig. 3). The increase in PE observed with the bioaugmentation of Phr013 can be associated  
368 with the capacity of the strain to express the ACC-deaminase responsible for the lowering of plant  
369 ethylene synthesis, contrasting plant stress symptoms related to the accumulation of xenobiotics,  
370 eventually favoring their accumulation in plants (Di Gregorio et al. 2006; Glick 2011). At the same  
371 time, the decrease in PE induced by NP001 might be related to the fact that plant response to  
372 rhizosphere inoculation with selected bacterial strains is depending on the general trophic  
373 conditions, e.g., massive microbial inoculation can cause competition for macro- and micronutrients  
374 up to the net decrease in contaminant uptake by the plant (Lampis et al. 2009). To study more in  
375 detail the mechanism of NP depletion here observed, the mass balances of the processes after 144 h  
376 of incubation have been evaluated. The present results (Fig. 4) showed the occurrence of a  
377 transformed portion for all the three contaminants. These portions consist of the fraction of  
378 contaminants metabolized and/or volatilized by plant and/or microorganisms in the different  
379 incubation conditions. Essentially, these portions (Tr fractions) were calculated as the difference  
380 between the total amounts of NPs recovered in the effluents at the beginning of the experimentation  
381 and the portions that, at the end of process, are still present in the effluents plus the portions  
382 recovered in the plant biomass and adsorbed on the Leca. The NP1EO and NP2EO transformed  
383 fractions were significantly higher with respect to the 4-nNP transformed one, showing different

384 mechanisms of depletion for the different contaminants. The 4-nNP resulted to be depleted  
385 principally by plant absorption, whereas the NP1EO and NP2EO mechanism of depletion was not  
386 restricted to plant absorption but also to their transformation. Noteworthy, the adsorption of the  
387 different NPs onto the Leca was negligible in all the incubation conditions adopted, suggesting a  
388 nonsignificant contribution of the process to their depletion (Fig. 4). Bioaugmentation of the phyto-  
389 based modules determined an increment in NP1EO and NP2EO reduction. More in detail, NP001  
390 bioaugmentation induced the increment of the depletion of the sole NP1EO. Phr013 induced the  
391 increment of depletion of both NP1EO and NP2EO. The increment in their depletion was consistent  
392 with the increment of the corresponding transformed portions. In this context, it is worth  
393 mentioning that either *Stenotrophomonas* or *Sphingobium* genera have been frequently reported as  
394 responsible for the transformation of NPnEOs, either in activated sludge plants and in engineered  
395 bioremediation process (Di Gioia et al. 2009). Most bacteria previously reported as able to use NPs  
396 as a sole carbon source belong to the *Sphingomonas* group (Fujii et al. 2001). These strains were  
397 principally isolated from activated sludge and waste flues of WWTPs. However, a *Sphingobium* sp.  
398 and a *Stenotrophomonas* sp. strain, both capable of using NPs as a sole carbon source, have been  
399 isolated from the rhizosphere of *P. australis* plant growing on NPs spiked sediments (Toyama et al.  
400 2011). In this context, it should be mentioned that many PGPRs result to belong to the  
401 *Stenotrophomonas* genus (Hayward et al. 2010); however, to our knowledge, this is the first report  
402 describing a PGPR, belonging to the *Stenotrophomonas* genus, that is also capable of using NPs as  
403 a sole carbon source and might be directly involved in its depletion from environmental matrices.

404 On the other hand, it is reasonable to assume that also the plant capacity to transform the two  
405 contaminants can be involved in the process of their depletion. In fact, plants are reported as  
406 capable of transforming low ethoxylated NPs (Dettenmaier and Doucette 2007). Consequently, in  
407 this context, it is reasonable to assume that the increased PE for NP1EO and NP2EO in the case of  
408 Phr013 bioaugmentation can be associated with a reciprocal stimulation in phenolic transformation  
409 between the plant and the bioaugmented strain. Due to the spreading of NPs in the environment, a  
410 widespread capacity of different bacteria to transform the phenolics can be expected and, in relation



411 to NP1EO and NP2EO transformation, the involvement of the whole microbial communities,  
412 characterizing the different experimental sets, cannot be excluded. In fact, the bioaugmentation with  
413 the two strains, Phr013 and NP001, determined a different distribution of the metabolically active  
414 bacterial taxonomic units in the different experimental sets. The profiles of the bacterial strains that  
415 were active in the different experimental conditions have been investigated by RT-DGGE analysis  
416 of the 16S rDNA of the meta-transcriptome of the bacterial communities characterizing the  
417 different pots. Results are shown in Fig. 5. The 16S rDNA of Phr013 and NP001 was exploited as  
418 molecular markers to monitor the presence of bands corresponding to the microbial inocula in the  
419 different profiles. The putative bands indicating the persistence of Phr013 and NP001 as  
420 metabolically active strains after 48 and 144 h of incubation in the different profiles were gel  
421 excised and sequenced in order to verify their identity, resulting to match with Phr013 and NP001.  
422 After 48 and 144 h of incubation, the amplification products of interest were above the detection  
423 limits of DGGE analysis, indicating the persistence of the bioaugmented Phr013 and NP001 in the  
424 systems as metabolically active strains. Moreover, the cluster analysis of the DGGE profiles of the  
425 different bacterial communities in the different experimental conditions indicated that the  
426 bioaugmentation of the two strains induced the speciation of different metabolically active bacterial  
427 populations [similarity of the different profiles 61.2 % (Fig. 5, panel b vs c)]. On the contrary, the  
428 effect of the time span of incubation of the effluents in the phyto-based modules was less  
429 significant, showing similarity of the DGGE profiles spanning approximately from 95.9 to 98.2 %  
430 for bioaugmentation with NP001 (Fig. 5, panel b) and from 93.2 to 94.6 % for bioaugmentation  
431 with Phr013 (Fig. 5c). As a net result, the two bioaugmented strains determined the speciation of  
432 different populations of metabolically active bacterial strains in the rhizosphere of *P. australis* that  
433 reasonably differently contributed to the transformation of NP1EO and NP2EO. Possibly, Phr013  
434 bioaugmentation might have induced the numerical predominance of a bacterial population, more  
435 efficient in the transformation of either NP1EO or NP2EO. However, in addition to the bacterial  
436 intervention, our experimentation indicated that *P. australis* was pivotal for the depletion of the  
437 phenolics. In fact, the intervention of the sole bacterial strains did not determine any depletion of

438 the contaminants from effluents. Similar results have been obtained in t-NP spiked sediments  
439 (Toyama et al. 2011) where the authors actually suggested that *P. australis* was exerting a rhizo-  
440 effect on the sediment, spanning from transporting oxygen in the anoxic substrate, eliciting the  
441 metabolic activity of bacteria that are competent for the aerobic degradation of the contaminants, to  
442 the production of plant exudates, which, as carbonaceous sources, generically favors the metabolic  
443 activity of the same microbial community. In our experimental system, similar effects can be  
444 assumed. However, while in Toyama et al. (2011) the rhizospheric microbial activity was actually  
445 considered as mainly responsible for contaminant depletion, our quantification of phenolics in plant  
446 tissues indicates *P. australis* as an important element in determining their depletion by absorption.  
447 Noteworthy, the phyto-based approach, besides determining the depletion of NPs, determined also  
448 the net decrease in the COD and the BOD5 values (Table 1), and the positive effect of the Phr013  
449 bioaugmentation was still evident, determining a higher depletion of the two parameters with  
450 reference to the sole *P. australis* and to the inoculation with NP001. Positive results on the quality  
451 of the treated effluents, beyond the depletion of NPs, were here expected and were actually assessed  
452 by the performed toxicological assays performed. In this context, it should be mentioned that in  
453 order to evaluate the eventual efficacy of an applied remediation strategy, numerous bioassays have  
454 been already standardized in relation to the different environmental matrices and the assessment of  
455 the ecological impact of water contaminants on plants is considered a fundamental assay since  
456 plants come into direct contact with contaminated water through their root system (Abdel Migid et  
457 al. 2007). As a matter of fact, the large use of plants in phytotoxicity and genotoxicity tests has  
458 already been reported by several authors (Giorgetti et al., 2011). The phytotoxicity of the effluents  
459 before and after the phyto-based approach here described has been evaluated by bioassays carried  
460 out on seeds of *L. sativum* and in *V. faba*. Two end points, seed germination and root elongation,  
461 were evaluated after 72-h exposure of seeds to the different types of collected effluents. To provide  
462 an integrative interpretation, the two end points were combined into an index of germination (IG%)  
463 in which IG% values  $\leq 40$  % are considered very toxic, the range 40–80 % moderately toxic, the  
464 range 80–120 % without toxic effect and  $\geq 120$  % effect of phytostimulation. Results of phytotoxicity

465 test are reported in Fig. 6a, c. Concerning root elongation, the phytotoxicity of the effluents before  
466 the phyto-based treatment was observed in the two plants: both in *L. sativum* and in *V. faba*, the  
467 mean value of root length significantly decreased when compared to their controls. In *L. sativum*,  
468 the mean value of root lengths from seeds germinated in the presence of untreated effluents was  
469 almost halved if compared to the control. The same results were obtained in *V. faba* with greater  
470 inhibitory effects. The not bacterial-assisted treatment of the effluents reduced the phytotoxic  
471 effects both in *L. sativum* and in *V. faba*. However, the difference with the respective controls was  
472 still significant (Fig. 6). After the bacterial-assisted phyto-based treatment of effluents, any  
473 phytotoxic effect was observed in *L. sativum* or in *V. faba* and root elongation was comparable to  
474 that of the controls (Fig. 6). The IG% for the two tested plant species is reported in Fig. 6b, d. Both  
475 *L. sativum* and *V. faba* showed the most affected IG% when germinated in the presence of untreated  
476 effluent. In particular, the phytotoxicity was evident in *L. sativum* (IG% = 6.8 %), less severe but  
477 still evident in *V. faba* (IG% = 36.072 %). After the not bacterial-assisted phytobased treatment, the  
478 IG% accounted for 79.87 % in *L. sativum* and for 50.39 % in *V. faba*; therefore, moderately  
479 phytotoxic effects were evidenced. In the case of the bacterial-assisted approach, any phytotoxic  
480 effect was detectable for *L. sativum* and *V. faba* (IG% values[80 %). In general, bioaugmentation  
481 with Phr013 gave best results when compared with NP001, but the differences between the two  
482 types of inocula resulted not significant when statistically analyzed.

483 The results of genotoxicity analysis obtained on primary root tip apices of *V. faba* L. are  
484 summarized in the histogram of Fig. 7. The MI, AI and MCN were considered. Mitotic activity was  
485 heavily reduced from 12.26 % of the control root tips to 4.26 % of roots grown in untreated  
486 effluents. After the not bacterial-assisted phyto-based treatment, a recovery of mitotic activity was  
487 observed (8.39 % of mitosis), but the effect on MI decrease was still evident and statistically  
488 significant. When effluents were treated by the bacterial-assisted approach, any negative effect on  
489 MI was detectable. Actually, MI values increased (NP001, MI = 13.98 %; Phr013, MI = 15.87 %),  
490 although the increases were not statistically significant. Moreover, a large number of cytogenetic  
491 aberrations and micronuclei were found in *V. faba* root apices exposed to untreated effluents (AI =

492 13 %, MCN = 5.22 %) (Fig. 7b, c). After treatment with *P. australis*, with or without microbial  
493 inocula, both AI and MCN in *V. faba* root meristems were comparable to the control roots grown in  
494 distilled water. Figure 8 illustrates some representative cytological appearance of the detected  
495 anomalies in root meristematic cells of *V. faba*.

496 Our results showed that the effluents at the end of the pipe of the tannery WWTP resulted to be  
497 phyto- and genotoxic. Noteworthy, the phyto-based treatment determined the complete depletion  
498 of either the phyto- or genotoxicity of the treated effluents. In fact, the toxicological assessment  
499 performed after 144 h of the phyto-based treatments showed a complete removal of the  
500 phytotoxicity, with a stronger reduction in the case of bioaugmentation with Phr013. However, our  
501 data demonstrated that the treatment of the effluents with the sole *P. australis* was already able to  
502 reduce the phytotoxic effects. Interestingly, when the effluent treatment was operated by *P.*  
503 *australis* bioaugmented with Phr013, physiological effects similar to phyto-stimulation were  
504 evident in *L. sativum* (IG = 112 %). Concerning cytogenetic investigations, our results showed that  
505 the sole *P. australis* was capable of depleting the genotoxicity of the effluents. Indeed, MI, AI and  
506 MCN, heavily altered in *Vicia* root tips incubated in untreated effluents, recovered values  
507 comparable to the control when treated in the presence of *P. australis*. The same results were  
508 obtained when the effluents were treated with *P. australis* bioaugmented with NP001 or Phr013  
509 strains. In those cases, the highest mitotic index values, especially with Phr013 bioaugmentation,  
510 have been observed. However, the presence of the two strains was not crucial for the genotoxicity  
511 depletion.

512

### 513 **Conclusion**

514 This study demonstrates that a sustainable approach in terms of costs such as phytoremediation is  
515 feasible for the depletion of residual priority pollutants, such as 4-nNP, NP1EO and NP2EO, in  
516 effluents of conventional tannery WWTPs. A nearly complete depletion up to 87 % of the initial  
517 wastewater content of 4-nNP and a reduction in NP1EO and NP2EO up to the 70 and 61 %, respectively,  
518 have been obtained. The intervention of plant absorption and plant–bacterial

519 transformation as mechanisms responsible for the depletion of the different phenolics from  
520 wastewater has been described. A new PGPR strain, the *Stenotrophomas* sp. Phr013, capable of  
521 using NPs as a sole carbon source, has been isolated. Its bioaugmentation in the rhizosphere of *P.*  
522 *australis* plants induced the enhancement of depletion for all the three phenolics: from 87 to 88 %  
523 for 4-nNP, from 70 to 84 % for NP1EO and from 61 to 71 % for NP2EO. The Phr013 strain can  
524 improve the effectiveness of the phyto-based approach by increasing the plant absorption of 4-nNP  
525 and the NP1EO and NP2EO transformation. As a net result, our data are in complete accordance  
526 with the assessment that bioaugmentation is mostly a winning strategy to improve the efficiency of  
527 phyto-based approaches when PGPRs are exploited. Moreover, even though the present approach  
528 must be tested on a real scale, the feasibility of the phyto-based tertiary treatment for the  
529 improvement of the quality of tannery effluents was confirmed by the toxicological assessment of  
530 the process that showed a complete depletion of phytotoxicity and genotoxicity of effluents.

531

532

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690 **Figure captions**

691

692 **Figure 1**

693 Growth curves of Phr013 and NP001 cultivated in minimal medium containing t-NP as a sole  
694 carbon source.

695

696 **Figure 2**

697 The total concentrations of phenolics in the wastewater at the beginning of the experimentation  
698 (WW), after 48 (panel a) and after 144 hours (panel b) of incubation in presence of *P. australis* (*P.*  
699 *austr*), in presence of *P. australis* inoculated with Phr013 (Phr013), in presence of *P. australis*  
700 inoculated with NP001 (NP001), in absence of plants and microbial inocula (notVnotI), in presence  
701 of the sole Phr013 (notVPhr013), and in presence of the sole NP001 (notVNP001) (\* significant  
702 differences from samples at  $p < 0.05$ ; all the other values refer to differences from sample at  $p <$   
703  $0.001$ ; bars and error bars represent mean and standard error (+SE), respectively, of three parallel  
704 samples)

705

706 **Figure 3**

707 Phytoremediation performance (PE) calculated as the ratio of the ng of phenolics removed per pots  
708 to the grams of plant dry weight after 144 hours of incubation in presence of the sole *P. australis*  
709 (*P. austr*), in presence of *P. australis* inoculated with Phr013 (Phr013), in presence of *P. australis*  
710 inoculated with NP001 (NP001) (significant differences from samples at  $p < 0.001$ ; bars and error  
711 bars represent mean and standard error (+SE), respectively, of three parallel samples)

712

713 **Figure 4**

714 Mass balances of the different phenolics after 144 hours of incubation in presence of the sole *P.*  
715 *australis* (*P. austr*), in presence of *P. australis* inoculated with Phr013 (Phr013), in presence of *P.*  
716 *australis* inoculated with NP001 (NP001). Re, amount of phenolics removed from the waste water;

717 Pl, amount of phenolics accumulated in the plant; Le, amount of phenolics adsorbed to the Leca®;  
718 Tr, amount of transformed phenolics; T0, amount of phenolics in the raw wastewater (bars with the  
719 same latter, significant differences from samples at  $p < 0.05$ ; all the other values refer to differences  
720 from sample at  $p < 0.001$ ; bars and error bars represent mean and standard error (+SE), respectively,  
721 of three parallel samples)

722

### 723 **Figure 5**

724 PCR amplified V3 regions of: Panel a, Lane 1, rDNA of NP001; Panel b: Lane 2, rcDNA of the  
725 bacterial community colonizing the Leca® vegetated with *P. australis* and bioaugmented with  
726 NP001 at the beginning of the experimentation; Lane 3, after 48 hours of incubation; Lane 4, after  
727 144 hours of incubation; Panel c, Lane 5, rDNA of Phr013; Panel d, Lane 6, rcDNA of the bacterial  
728 community colonizing the Leca® vegetated with *P. australis* and bioaugmented with Phr013 at the  
729 beginning of the experimentation; Lane 7, after 48 hours of incubation; Lane 8, after 144 hours of  
730 incubation.

731

### 732 **Figure 6**

733 Phytotoxicity test on *Lepidium sativum* L. (Panel a, b) and on *Vicia faba* L. (Panel c, d) expressed  
734 as a) root length (cm), and b) germination index (IG %). Control, distilled water; WW, raw  
735 wastewater without any treatment; *P. aust.*, wastewater treated with the sole *P. australis*; NP001  
736 and Phr13 wastewater treated with *P. australis* plants bioaugmented with NP001 or Phr013 strains  
737 respectively. Histogram values represent mean +SE (\* significant differences from Control at  $P <$   
738  $0.05$ ; all the other values refer to differences from Control at  $P < 0.001$ ).

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### 740 **Figure 7**

741 Genotoxicity evaluation in *Vicia faba* L. by Mitotic index (a), aberration index (b) and micronuclei  
742 frequencies (c) in different germination substrates: Control, distilled water; WW, raw wastewater  
743 without any treatment; *P. aust.*, wastewater treated with the sole *P. australis*; NP001 and Phr13,

744 wastewater treated with *P. australis* plants bioaugmented with NP001 or Phr013 strains  
745 respectively. Histogram values represent mean +SE (\* significant differences from Control at P <  
746 0.05; all the other values refer to differences from Control at P < 0.001).

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748 **Figure 8**

749 Different types of aberration induced by the treatment with row wastewater in root tip meristems of  
750 *Vicia faba* L.: (a, b) micronucleus occurrence at metaphase; (c, d) micronucleus occurrence at  
751 different stages of anaphase; e) anaphase with chromosomal bridge, f) anaphase with chromosomal  
752 lagging; g) pro-metaphasic cell with evident vacuoles in the cytoplasm (h) micronucleus in  
753 vacuolated cell; i, j) multiple micronuclei.

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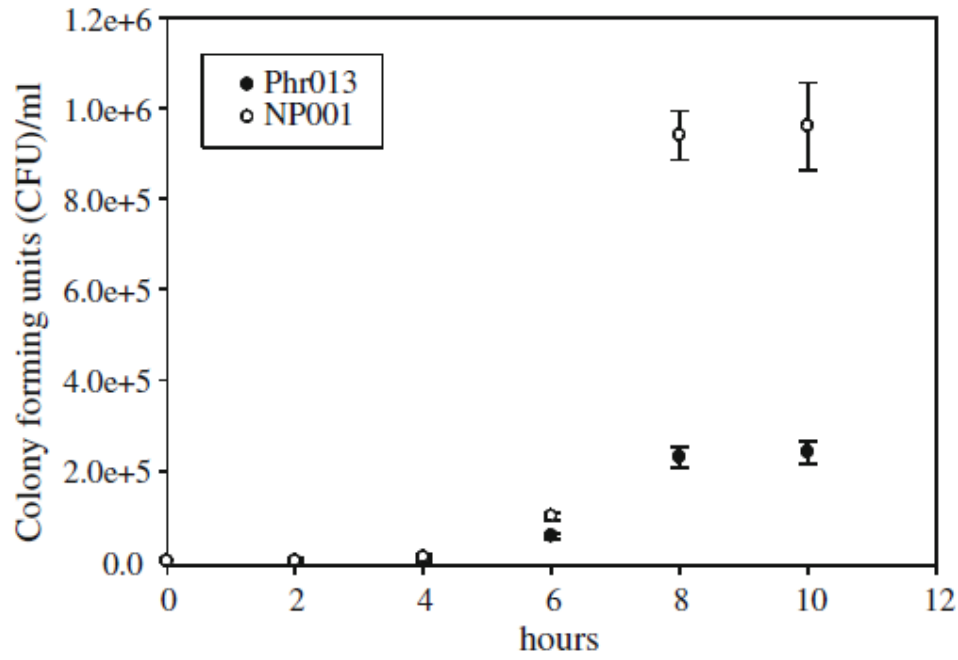
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771 **Fig. 1**

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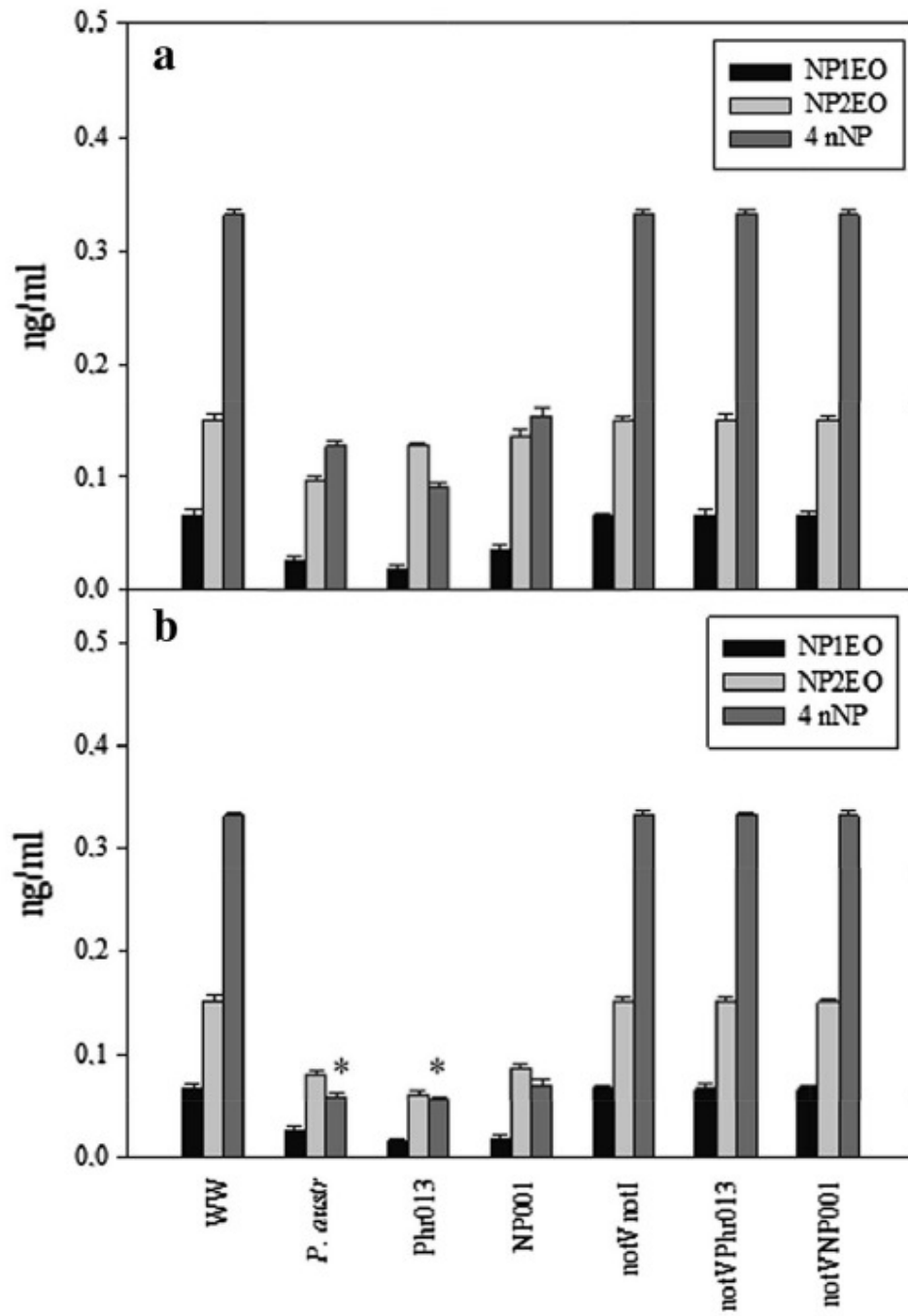
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825 **Fig. 3**

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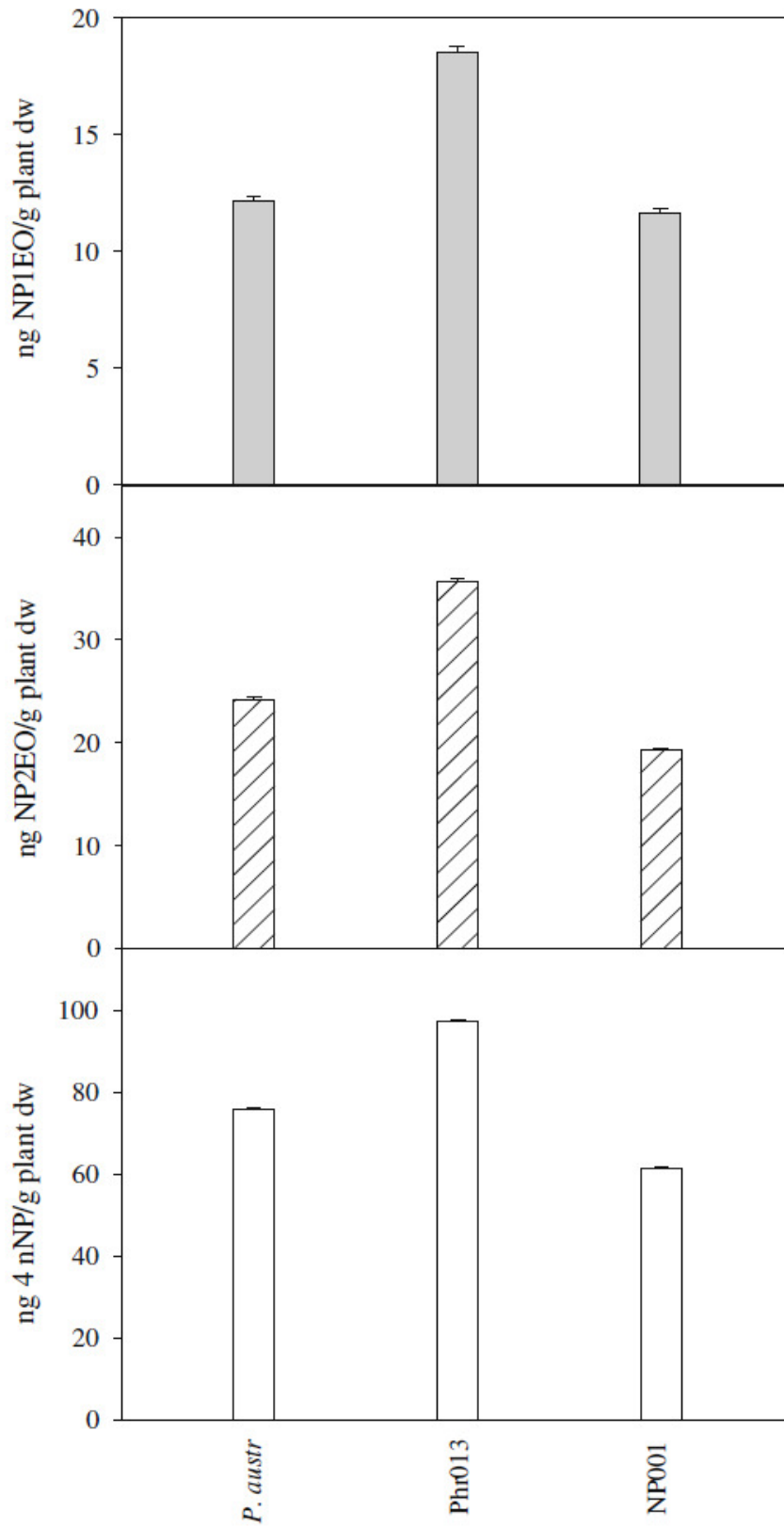
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852 **Fig. 4**

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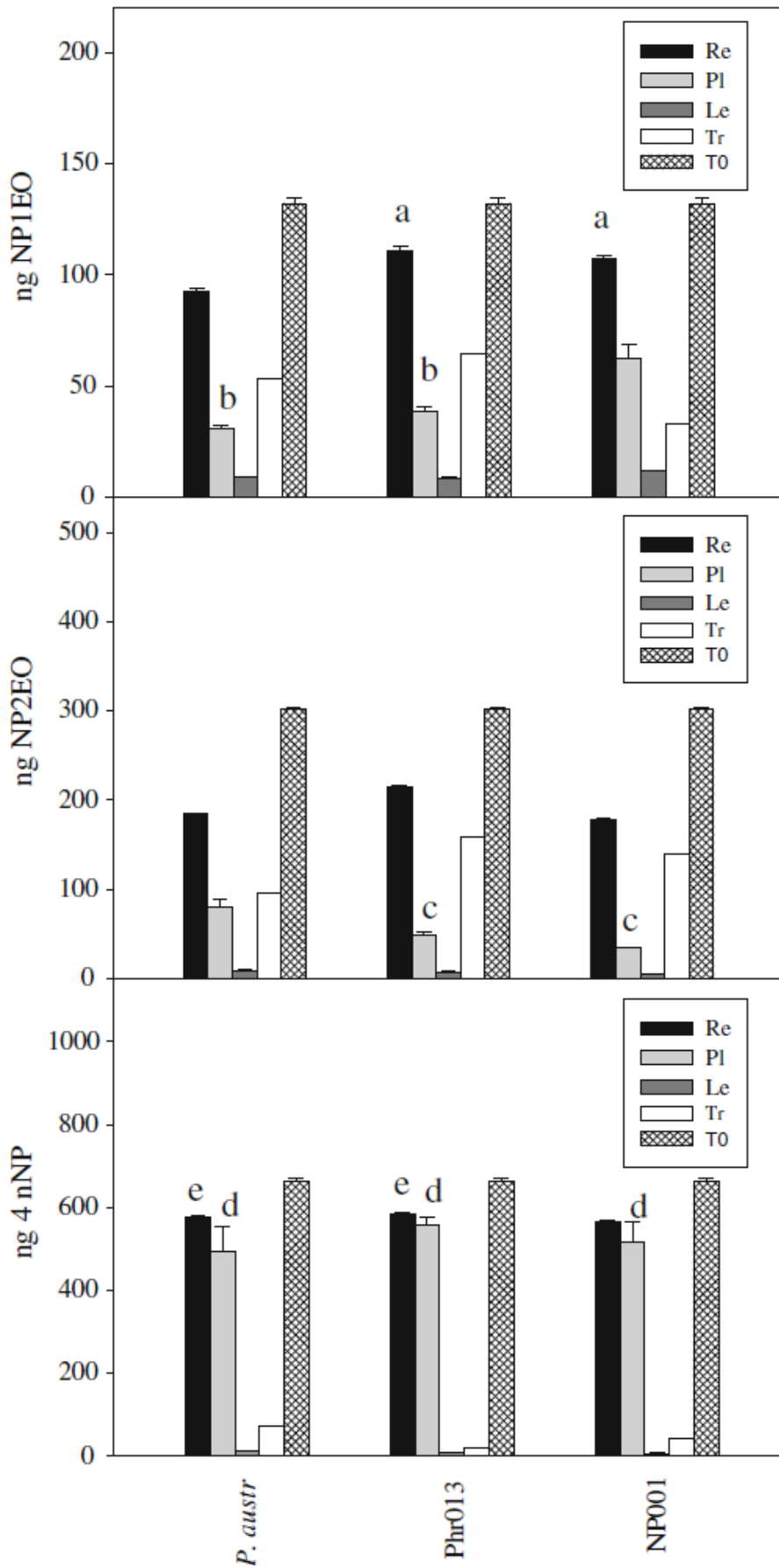
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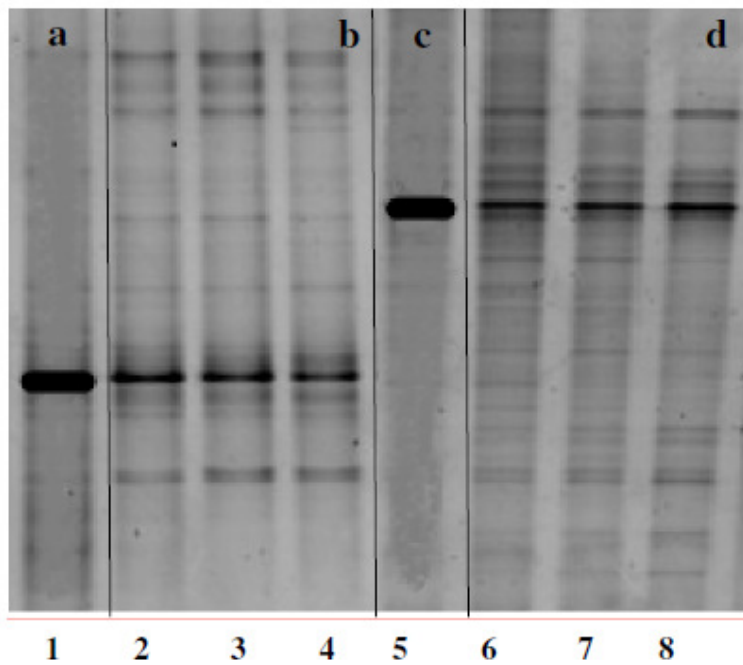
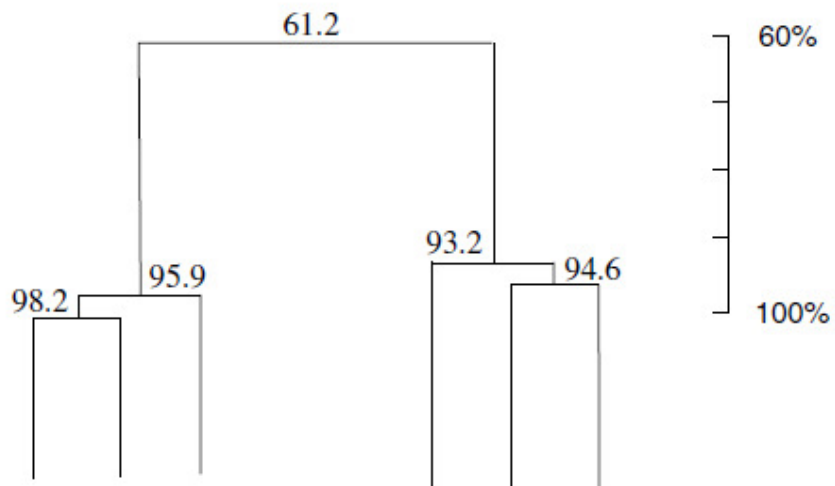
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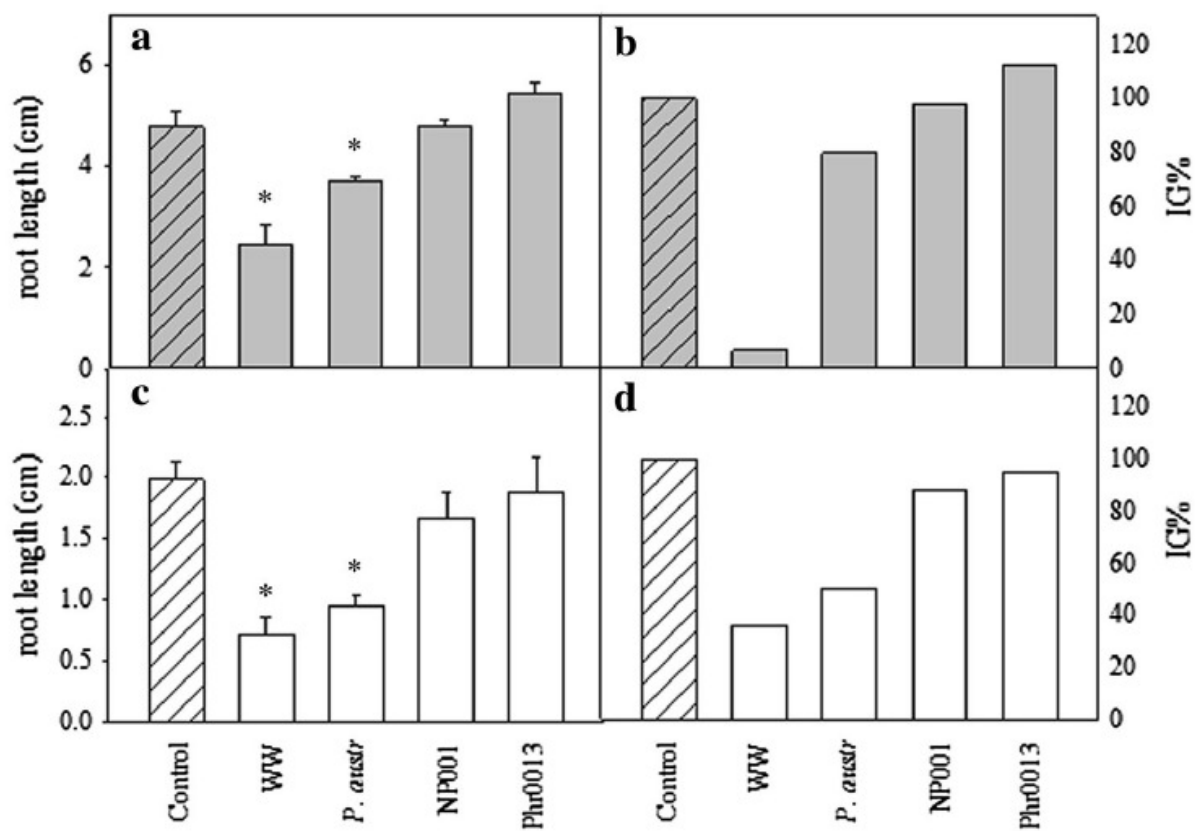
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891 **Fig. 6**

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904 **Fig. 7**

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