

Kinetics of release and antibacterial activity of salicylic acid loaded into halloysite nanotubes

L. Ghezzi^a, A. Spepi, M. Agnolucci^b, C. Cristani^b, M. Giovannetti^b, M.R Tinè^a, C. Duce^{a,*}

^aDepartment of Chemistry and Industrial Chemistry, University of Pisa, Via Moruzzi 3, I-56124 Pisa, Italy.

^bDepartment of Agriculture, Food and Environment, University of Pisa, Via del Borghetto 80, I-56124 Pisa, Italy

Corresponding author: celia.duce@unipi.it

Abstract

In this work halloysite (Hal) nanotubes were used as nanocontainers for salicylic acid (SA) in a perspective of its use in active packaging for food industry. The system Hal/SA was investigated for its ability to stabilize Hal suspensions by turbidimetry, its release kinetics in water by UV spectroscopy and its antibacterial activity against *Pseudomonas fluorescens* IMA 19/5 by Isothermal Micro Calorimetry (IMC). IMC is a sensitive and non destructive technique and allows the study of a wide range of relatively slow processes (hours and days) in solutions. The system Hal/SA resulted to stabilize Hal suspension in water and to release SA in a controlled way over 50h. Moreover the SA released by Hal/SA showed an antibacterial activity at lower concentrations than free SA, likely due to the close contact of bacteria and Hal in the reaction vessel.

Keywords: Halloysite nanotubes; Salicylic acid; *Pseudomonas fluorescens*; antibacterial activity Isothermal Micro Calorimetry (IMC); Turbidimetry; UV spectroscopy

1. Introduction

Halloysite (Hal) nanotubes are naturally occurring aluminosilicate that have been massively exploited as nanocontainers in recent years due to their biocompatibility and absent cytotoxicity at concentrations up to 0.2 mg/ml (Lai et al., 2013; Lvov et al., 2014; Massaro et al., 2017; Vergaro et al., 2010). In particular, Hal has a hollow tubular structure of 10-15 bilayers of aluminosilicate layers with SiOH and AlOH groups on the external and internal surfaces, respectively (Abdullayev et al. 2012; Massaro et al., 2017, Yuan et al., 2015). The Hal dimensions depends on the Hal deposit, with a length of 0.2-1.5 μm and inner and outer diameters of 10-30 nm and 40-70 nm, respectively (Abdullayev et al. 2012; Massaro et al., 2017, Yuan et al., 2015). Several works investigated the properties of pristine and modified Hal (Bretti et al., 2016; Duce et al., 2015; Massaro et al., 2017; Presti et al., 2016), its loading and sustained release of active chemical and biochemical molecules (Abdullayev and Lvov., 2016; Aguzzi et al., 2013; Della Porta et al., 2016; Duce et al., 2017; Li et al., 2016; Lun et al., 2014; Lvov et al., 2013; Viseras et al., 2008 Tan et al., 2014; Yuan et al., 2012;) and its possible use in composite and materials with tailored properties (Massaro et al., 2017; Lvov et al., 2013; Zhang et al., 2016).

Salicylic acid (SA) is a natural compound used in a wide range of pharmaceutical formulations and as an additive for food (Baxter et al., 2001) and cosmetics (Coleman and Brody, 1997) due to its bactericidal and antiseptic properties. Moreover it is used to model natural organic matter (NOM) in both experimental and theoretical studies of NOM adsorption on different kinds of mineral surfaces, including clays (Biber and Stumm, 1994; Biddeci et al., 2016; Kubicki et al., 1997; Makaremi et al., 2017; Yost et al., 1990).

The system Hal/SA was previously obtained and characterized (Spepi et al., 2016). Different experimental conditions were tried in order to obtain the maximum loading of SA. The better results were obtained using Hal etched with H_2SO_4 2 M at 25 $^\circ\text{C}$ for 48 h and a solution of sodium salicylate (NaSA) at pH 8. As reported previously (Abdullayev et al. 2012; Yuan et al., 2015), mild acid or alkaline treatments slightly enlarged the Hal lumen, but substantially maintained Hal structure. Indeed, SEM X-ray elemental analysis showed that Hal pre-treated with H_2SO_4 2 M at 25 $^\circ\text{C}$ for 48 h etching slightly reduced the aluminum/silicon ratio from 1, for pristine Hal, to 0.8 for etched Hal, while SEM images revealed that the nanotubes structure was maintained. (Spepi et al., 2016) Nitrogen Adsorption/Desorption Isotherms showed also a similar pore distribution for Hal etched at 25 $^\circ\text{C}$ and pristine Hal, with a slightly higher pore volume and BET surface area (BET area (m^2/g): 70.9 pristine Hal; 113.6 etched Hal; Pore volume (mL/g): 0.1637 pristine Hal; 0.2488 etched Hal).

The pH of the NaSA loading solution was set to 8 in order to maximize the negative charge of salicylate, while remaining within the pH range of 4–8.5 where the inner surface of HNTs was

61 positively charged. (Abdullayev et al., 2012; Spepi et al., 2016; Yuan et al., 2015) Under such
62 conditions, TG and TG-FTIR data showed that the amount of salicylate retained was 10.5% (w/w)
63 and that the NaSA thermal degradation was drastically modified, when NaSA was located inside Hal,
64 suggesting a strong interaction of the salicylate moiety chemisorbed on the aluminum hydroxide
65 surface. Scanning transmission electron microscopy (STEM) revealed that after NaSA loading, the
66 empty lumen of the HNT was no longer visible (Spepi et al., 2016). NaSA inside Hal lumen produced
67 a partial pore blockage and a reduction in the BET area, micropore area, micropore volume
68 parameters, and mesoporosity of the material. ATR-FTIR interfacial spectra revealed that the Ph-
69 OH group seemed to be involved in the interaction between salicylate and aluminum, with a
70 weakening of the hydrogen bond. The splitting of the ν_{as} and ν_s of $-\text{COO}^-$ into two components
71 suggested the presence of different complexes Hal/SA. The experimental spectra seemed to refer to
72 an NaSA molecule in solution and adsorbed in Hal with a large number different configurations and
73 DFT calculations indicated that the salicylate preferred to adsorb in a monodentate and bridging mode
74 rather than a bidentate mode. In fact, the vibrational spectra of the monodentate and bridging
75 adsorbate models also compared better with the experimental one (Spepi et al., 2016).

76 To the best of our knowledge, data on the behaviour of Hal/SA system in suspension in aqueous
77 medium are lacking, although they could be exploited in a perspective of Hal/SA use in active
78 packaging for food industry. The concept of active food packaging was developed in the last decade
79 and entails not only food protection, but also positive effects on its safety. One of the most interesting
80 example was the incorporation of antimicrobial compounds into food packaging materials (Galotto
81 et al, 2015). When antimicrobial substances are incorporated into polymers, such antimicrobial films
82 release active compounds and display continuous antimicrobial effects on the food surface during the
83 exposure time, thus increasing consumer safety as the antimicrobial compounds are included in the
84 packaging structure (instead of being directly added to food) and are released in small amounts on
85 the food surface. According to literature, the packaging industry already focused its attention on
86 polymer-clay nanocomposites (Azeredo, 2009) and, even if montmorillonite was the most studied
87 clay filler, there is increasing research interest in potential applications of Hal as filler for polymer
88 nanocomposites (Pasbakhsh et al, 2016). To this aim, Hal nanotubes were tested very recently as
89 active food-packaging material based on starch-halloysite nanocomposites incorporating
90 antimicrobial peptides (Meira et al, 2017) and on poly-lactic acid(PLA)-halloysite nanocomposites
91 using Hal as nanocontainers to carry antimicrobial ZnO agent within the PLA matrix (De Silva et
92 al, 2015).

93 Concerning future applications, the use of SA loaded Hal in polymer nanocomposites appears very
94 promising. Besides reinforcement of the food packaging materials, the new formed nanostructure

95 could provide an additional antimicrobial activity thanks to the loaded SA (as already observed by
96 De Silva et al.2015).

97 Most of nano-additives (including Hal) were incorporated at 0.1-5% w/w in the packaging material,
98 particularly films. They may be incorporated into polymers by melt- or solvent-compounding.
99 Thermal polymer processing methods, such as extrusion and injection molding, may be used with
100 thermally stable antimicrobials. SA doped Hal can withstand temperatures up to 200-300 °C and
101 therefore could be incorporated as a thin co-extruded layer with processable polymers at such
102 temperature range. The polymer could be selected from the group consisting of polyethylene (PE),
103 poly(vinyl alcohol) (PVA) and bio-based plastics and their blends, that are commonly used in food
104 packaging (Galotto et al. 2015). As organic polymers are not soluble in water or polar solvents, the
105 melt compounding is preferred. Conversely, for polymers like PVA or its blends, the nanocomposite
106 can be also prepared in solution, i.e. by ultrasonicing SA-doped HNTs in the polymer solution.

107 Exfoliated nanocomposites were reported to exhibit the best properties due to the optimal interaction
108 clay/polymer (Azaredo et al, 2009). Exfoliation could be attained via previous chemical modification
109 of the Hal, for example by using organic ammonium ions which help improve the compatibility with
110 organic polymers.

111 Data on the behaviour of Hal/SA system in suspension are useful to understand the mechanism of
112 Hal aggregation in water and to investigate different ways to stabilize Hal dispersions. In fact, the
113 absorption of negatively charged molecules inside Hal lumen enhanced the Hal dispersion stability
114 by increasing the net negative charge and electrostatic repulsion of Hal (Cavallaro et al., 2012).

115 The *in vitro* release studies of encapsulated molecules (drugs, proteins, DNA, corrosion inhibitors
116 etc.) showed a controlled release in aqueous medium that can be further extended by exploiting tube-
117 stoppers, shells and the creation of Hal-polymer nanocomposites (Lvov et al., 2013, 2014). The
118 profile of guest molecules release from Hal lumen was widely described and discussed in terms of
119 Peppas model (Lvov et al., 2013). Differently, the group of Viseras proposed a model based on a
120 combination of first order desorption kinetics that successfully fitted the 5-aminosalicylic acid release
121 from Hal (Aguzzi et al., 2013; Viseras et al., 2008).

122 *In vitro* antibacterial, antimicrobial and anticancer tests of new Hal formulations are generally
123 performed by monitoring selected bacterial or cellular/microbial activity on Petri plates added with
124 Hal complexes and comparing it with their standard growth (Abdullayev et al., 2009; Biddeci et al.,
125 2016; Lvov et al., 2013; Makaremi et al., 2017; Riela et al., 2014). In this work, the antibacterial
126 activity of pure SA and Hal/SA system was tested against *P. fluorescens* by using Isothermal Micro
127 Calorimetry (IMC) in order to have direct information of Hal/SA antimicrobial activity in aqueous
128 suspension. IMC measures the heat involved in biological processes *in vitro* and it is successfully

129 employed for microorganism detection and discrimination, studies of microbial processes and tests
130 of antimicrobial and antibacterial activities of various chemicals (Braissant et al., 2010a, b, 2013;
131 Velazquez et al., 2014; Von Ah et al., 2009). IMC is a sensitive and non-destructive technique and
132 allows the study of a wide range of relatively slow processes (hours and days) in solutions. Microbial
133 cultures in liquid media are placed in the measurement vessel and the heat flow signal is registered.
134 The inoculum of the active agent on the microbial cultures modifies or inhibits the heat evolved. IMC
135 is a promising tool for medical, environmental and food microbiology. (Braissant et al., 2010a, b;
136 Gardikis et al., 2017; Rong et al., 2007; Von Ah et al., 2009)
137 The aim of this work was to characterize the behaviour of Hal/SA in aqueous medium. In particular
138 Hal/SA was here investigated for its capability of stabilizing Hal suspensions by turbidimetry, for its
139 release kinetics in water by UV spectroscopy and for its antibacterial activity against *Pseudomonas*
140 *fluorescens* IMA 19/5, a bacterial species active in food spoilage (Gram et al., 2002), by Isothermal
141 Micro Calorimetry (IMC).

142
143

144 **2. Experimental**

145

146 **2.1 Materials**

147 Pristine halloysite nanotubes, Sodium salicylate (NaSA) (99.5%), NaOH (≥ 97.0 , pellets) were
148 purchased from Sigma Aldrich and used without further purification. SA loaded Hal (Hal/SA) was
149 prepared according to the literature (Duce et al., 2017; Spepi et al., 2016). The suspension of Hal in
150 water was prepared by adding 5 g of etched Hal to a concentrated solution of NaSA in water (1 g/ml)
151 and the pH was adjusted at 8 with NaOH 0.1 M. The suspension was evacuated in a vacuum jar, kept
152 under vacuum for 3 h, and then cycled back to atmospheric pressure. This process was repeated three
153 times. Finally, Hal was separated from the solution by centrifugation, washed with water, dried in an
154 oven at 70°C. The amount of salicylate retained was determined by thermogravimetry resulting in a
155 10.5% (w/w). The characterization of the empty and loaded systems by means of SEM, SEM X-ray Elemental
156 Analysis, STEM, TG, TG-FTIR, Nitrogen Adsorption/Desorption Isotherms was reported in Spepi et al.,
157 2016. *Pseudomonas fluorescens* IMA 19/5 was obtained from the International Microbial Archives
158 of the Microbiology Laboratories of the Department of Agriculture, Food and Environment (DAFE),
159 University of Pisa.

160

161 **2.2 Apparatus and methods**

162

163 **2.2.1 UV-VIS spectrometry.** A Cary 50-probe UV-Visible Spectrophotometer (Varian) equipped
164 with Xenon flash lamp was used to determine the SA concentration in aqueous media during the
165 release experiments and for the turbidity measurements. The molar extinction coefficients of SA (both
166 in the acid, SA, and monoprotinated, SA⁻, form) were previously determined by reading the
167 maximum absorbance in the wavelength range from 250 to 350 nm of SA solutions of known
168 concentrations and pH and by taking into account the dissociation constants of SA (Ernst and
169 Menashi, 1962) and the Lambert-Beer law.

170 $\epsilon_{SA} = \text{da } 3608 \text{ a } 3821 \text{ media } 3714$

171 $\epsilon_{SA^-} = \text{da } 3512 \text{ a } 3750 \text{ media } 3631$

172

173 **2.2.2 Evaluation of SA release kinetics**

174 The SA release kinetics was evaluated in water at pH = 6-7 (where a mixture of acid SA and anionic
175 SA⁻ form are present) and at room temperature. 10 mg of Hal/SA were added to 26 ml of deionized
176 water and the suspension was constantly stirred. Samples for analysis were separated from the
177 suspension by centrifugation at 4000 rpm for 4 min. SA concentration in the collected supernatant
178 was measured by UV spectrometry by reading the maximum absorbance in the wavelength range
179 from 250 to 350 nm and taking into account that SA under these pH conditions is mainly in the acidic
180 form. The zero time was taken when Hal was added to water.

181

182 **2.2.3 Turbidity**

183 To determine the kinetics of sedimentation, the Hal was added to water at a concentration of 1 (w/w%)
184 and stirred vigorously for 15 s before starting the experiment. The experiments were performed by
185 UV-VIS spectrometry, by recording the transmittance at 600 nm as a function of time. In all the
186 experiments a quartz cuvette with a 1 cm path length was employed.

187

188 **2.2.4 Bacterial cultures**

189 Pre-cultures of *P. fluorescens* IMA 19/5 were grown overnight in 15 mL tubes with 4 mL of Tryptic
190 Soy Broth (TSB; Fluka) medium incubated at 28 °C on a rotating shaker at 150 rpm. Before each
191 IMC experiment bacterial cultures were prepared from precultures inoculating 2 mL of TSB to a final
192 concentration of 0.03 OD (600 nm), corresponding to $1.04 \pm 0.1 \times 10^7$ CFU/mL.

193

194 **2.2.5 IMC experiments**

195 Isothermal Microcalorimetric (IMC) measurements were performed by a Thermal Activity Monitor
196 2277 (TA Instruments) equipped with a 4 ml sealed glass ampoule at 25°C.

197 A stock solution of NaSA 1M was prepared. For each experiment with free salicylate, aliquots of the
198 cultures (OD = 0.03) prepared as previously described, were added with an aliquot of an NaSA 1M
199 stock solution in order to obtain 2 ml of final solution with salicylate concentration of 5, 10, 20, and
200 30 mM. For each experiment with Hal loaded with SA, 2 ml aliquots of the cultures (OD=0.03) were
201 added with an aliquot (from 13.7 to 82.3 mg) of Hal/SA to give a final salicylate concentration of 5,
202 10, 20 and 30 mM. The heat released by 2 ml cultures in TSB and by 2 ml cultures in TSB added
203 with 82.3 mg of pristine Hal (the maximum of the quantities used in the Hal/SA experiments), was
204 also measured as reference.

205

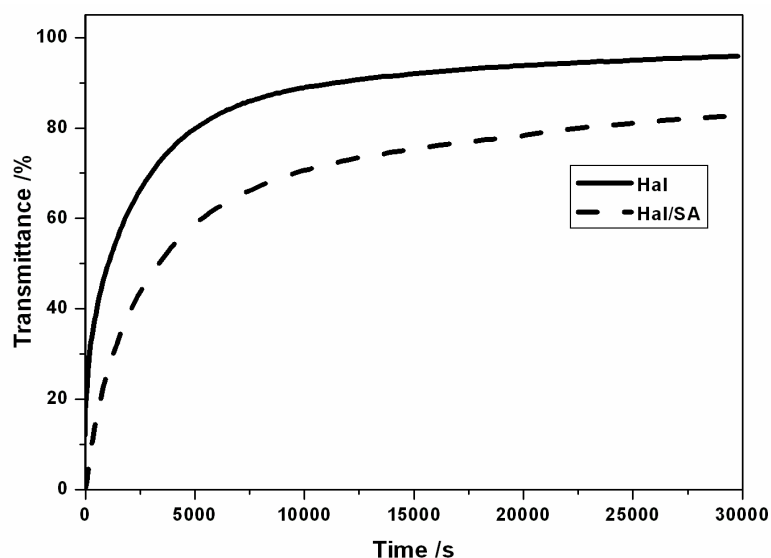
206 **3. Results and discussion**

207 The first part of this work deals with the characterization of Hal/SA dispersion in water and of the
208 kinetics of SA release. The second part evaluates the Hal/SA antibacterial activity against *P.*
209 *fluoresces* IMA 19/5 in comparison with that of NaSA solutions. IMC results obtained by adding
210 different quantities of SA to *P. fluorescens* IMA 19/5 originating from Hal/SA and NaSA solutions
211 are presented and analyzed.

212

213 **3.1 Stability of Hal/SA dispersion in water and Kinetics of SA release**

214 The evaluation of the stability of Hal dispersions in water is important in the framework of their
215 application as delivery systems in liquid media. To this aim the kinetics of sedimentation of Hal/SA
216 in water was studied by means of turbidimetry and compared with that of pristine Hal, as reported in
217 Figure 1. The turbidity value of Hal/SA dispersions after equilibration (3h) was higher than those of
218 pristine Hal indicating that the presence of SA into Hal lumen stabilized Hal dispersions. According
219 to the literature (Bretti et al., 2016; Cavallaro et al., 2012), this behaviour can be explained on the
220 basis of enhancement of repulsive electrostatic forces between Hal/SA. The net negative charge of
221 Hal results increased by the partial neutralization of their internal positive charge for the effect of the
222 SA loading.



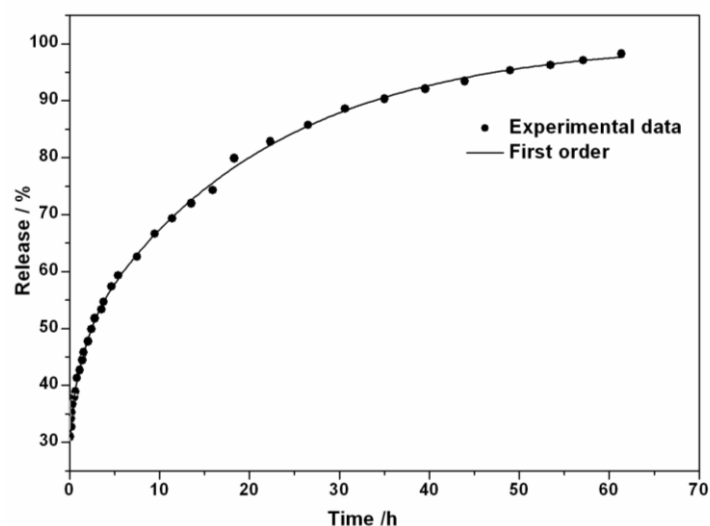
223

224 **Figure 1:** Trasmittance as a function of time for Hal and Hal/SA dispersions (1 w/w%) in water

225

226 Release characteristics of Hal/SA are given in Figure 2. The NaSA dissolution in water was very
 227 fast and can be considered almost instantaneous, but when NaSA was loaded into Hal lumen, the
 228 kinetics of its release took up to 50 h. The 60 % of salicylate was released in the first 10 h and the
 229 remaining 40% in the next 40-50 h. This behavior is typical of several molecules loaded into Hal.
 230 (Abdullayev, et al., 2009, 2011; Lvov et al., 2013; Wenbo et al., 2014). The fast release of the initial
 231 30% of SA was attributed in literature (Abdullayev et al., 2009) to the dissolution of molecules
 232 contained in tube fines and loosely rolled surface clay sheets.

233



234

235 **Figure 2:** Kinetic release of SA loaded into Hal lumen.

236

237 At first, the SA release profile from Hal lumen was fitted following the approach proposed by Viseras
238 group in the paper Aguzzi et al., 2013. The overall release process was seen as a combination of three
239 first order simple desorption processes and the SA release was satisfactory described by the three-
240 exponential reported in Table 1.

241 In the work Aguzzi et al., 2013, the release curve of 5-aminosalicylic acid (5-ASA) from Hal showed
242 a release of almost 70% within 0.2 h and a complete 5-ASA release within 5 h. This behaviour was
243 satisfactory described by the sum of two first order desorption processes: the very fast desorption of
244 5-ASA from the external particle surface/and or inter-particle spaces followed by a slower release of
245 small amount of 5-ASA penetrated in tube fines. The loading procedure adopted by the authors, in
246 fact, simply implied the stirring of Hal in a 5-ASA aqueous solution for 24 h and did not included
247 vacuum treatments, thus resulting in a low loading percent (0.4 % w/w). The 5-ASA- Hal adsorbate
248 was then recovered by filtration without washing and dried in oven at 60°C. Under these experimental
249 conditions the majority of 5-ASA probably resulted adsorbed on the Hal surface and in the first part
250 of Hal lumen.

251 The three cycles of evacuation of the suspension in a vacuum jar and its maintenance under vacuum
252 for 3 h allowed us to obtain a higher and deeper loading. K_1 and K_2 values that we obtained for SA
253 release were similar to those obtained for 5-ASA, thus suggesting that also in our case the SA release
254 started with the desorption from the external particle surface (K_1) followed by the release of SA in
255 tube fines (K_2) and a slower release from the interior of Hal lumen, accounted by K_3 .

256 The equations used, the kinetic constants obtained and the correlation coefficients (R^2) are reported
257 in Table 1.

258 **Table 1:** Fitting equations and parameters of the models combining three sequential first order
259 desorption processes

First order ^a						
$ft = C_1 * (1 - e^{-K_1*t/C_1}) + C_2 * (1 - e^{-K_2*t/C_2}) + C_3 * (1 - e^{-K_3*t/C_3})$						
C_1	K_1	C_2	K_2	C_3	K_3	R^2
$32.2 \pm$	$1380 \pm$	$14.5 \pm$	$9.8 \pm$	$53.7 \pm$	$2.6 \pm$	0.9992
0.5	187	0.9	1.1	0.7	0.1	

260 ^a ft is the fraction of SA released in time t; C_1 , C_2 , C_3 are the equilibrium fraction of SA of the three processes; K_1 , K_2 and
261 K_3 are the co-first order release constants of the three release processes

262

263 The Peppas model (Abdullayev et al., 2009; Aguzzi et al., 2013; Li et al., 2016; Lvov et al., 2013;
264 Peppas, 1985; Tan et al., 2014; Viseras et al., 2008; Wei et al., 2014) was also tested on our data of
265 SA release with comparison purposes. This model, without the original model based on Peppas theory

266 (Peppas, 1985) can be used rigorously to fit the release data up to 60% of release, but it is also used
267 in literature as empirical equation, known as “power law” where K and n are empirical parameters,
268 to fit the controlled release of drugs from various matrices up to 100%. (Abdullayev et al., 2009;
269 Aguzzi et al., 2013; Li et al., 2016; Lvov et al., 2013; Peppas, 1985; Tan et al., 2014; Viseras et al.,
270 2008; Wei et al., 2014) The R^2 of the fitting obtained using Peppas model to fit release data up to 60%
271 was lower than that obtained with first order model ($R^2= 0.9794$) thus confirming that the model
272 following the approach proposed in Aguzzi et al., 2013 allowed a good fitting of the experimental
273 data and a physicochemical explanation of the SA release process from Hal lumen.

274

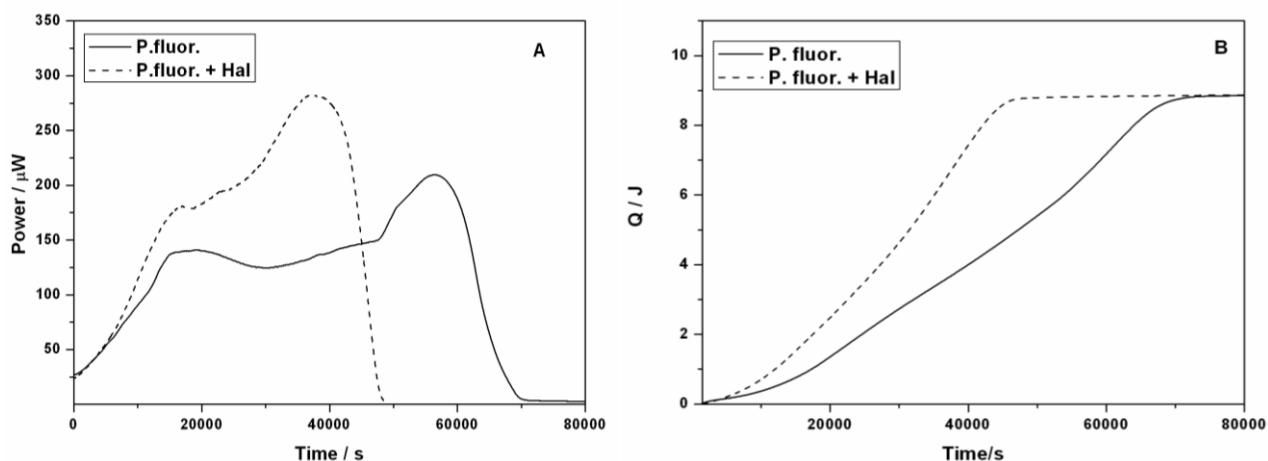
275 **3.2 Hal/SA antibacterial activity**

276 The results obtained by IMC measurements on the effect of SA on the metabolic behaviour of *P.*
277 *fluorescens* IMA 19/5 are reported in this section.

278 The heat flow curves and the curves of the cumulative heat produced over time by the metabolic
279 activity of *P. fluorescens* IMA 19/5 in TSB with and without the addition of pristine Hal are compared
280 in Figure 3. The total heat produced, Q_{max} , can be related to the number of cells produced and the
281 cumulative heat curves (figure 3B and figure S1 in Electronic Supplementary Information, ESI) are
282 analogues to the conventional growth curves of the increase of bacterial number over time (Braissant
283 et al., 2010; Gardikis et al., 2017; Von Ah et al., 2009). The mean slopes (Q/t) of the different portions
284 of the cumulative heat curve represent the rates of heat production and are indicative of the bacterial
285 growth rates.

286 The metabolic profile of *P. fluorescens* IMA 19/5 in TSB showed four phases (Figure 3A): an
287 exponential growth phase in the time range 0-17000 s (with a maximum power reached, P_{max} , of 130
288 μW), a stationary phase from 20000 to 50000 s, a second growth phase from 50000 to 60000 s (up to
289 $P_{max}=230 \mu\text{W}$) and a decline phase from 60000 to 70000 s.

290 When Hal was added, the metabolic profile of *P. fluorescens* IMA 19/5 changed importantly (figure
291 3A). The first growth phase was still centred at 10000 s but the rate of heat production was higher
292 ($P_{max}= 175 \mu\text{W}$), the stationary phase was shortened, the second growth phase resulted anticipated at
293 30000 s with a $P_{max}=270 \mu\text{W}$) and the heat production stopped at lower time (50000 s instead of
294 70000 s). Nevertheless the cumulative heat produced, Q_{max} , remained the same, accounting for
295 almost 9 J. (figure 3B).



296

297 **Figure 3:** Heat flow curve (A) and cumulative heat produced curve over time (B) of the metabolic
 298 activity of *P. fluorescens* IMA 19/5 (continuous line) in TSB and in TSB added with pristine Hal
 299 (dashed line).

300

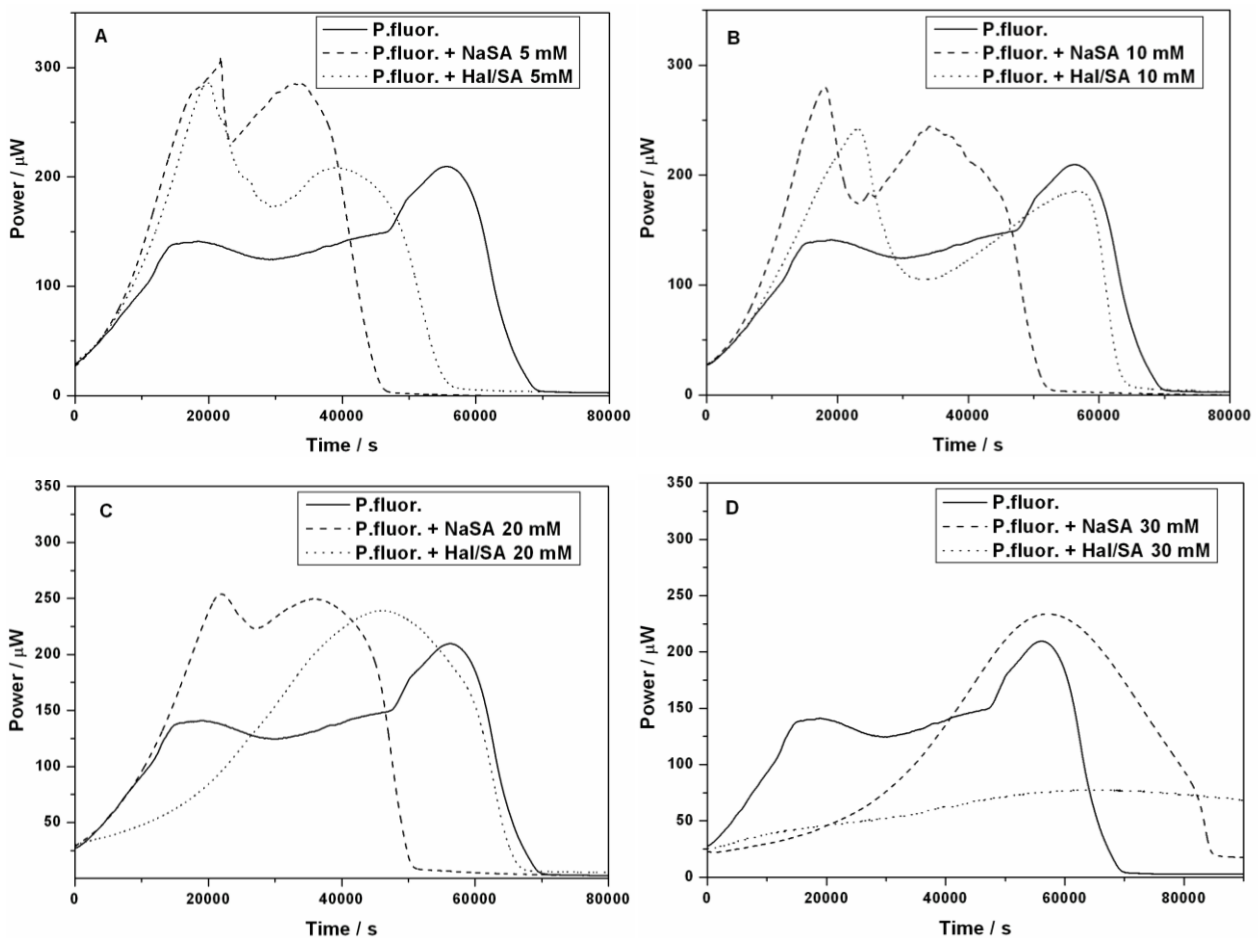
301 The features of the heat curve *P. fluorescens* IMA 19/5 in TSB added with pristine Hal seemed to
 302 confirm the bioinformatic analysis performed by Lai and coworkers (Lai et al., 2013), showing that
 303 halloysite stimulated processes related to cell growth and proliferation, representing one of the
 304 characteristics of an overall adaptive response to exposure.

305 The metabolic profile of *P. fluorescens* IMA 19/5 changed again when NaSA was inoculated both
 306 free and loaded inside Hal (Figure 4 and figure S1 in ESI).

307 Up to a concentration of 20 mM of free NaSA and of 10 mM of SA loaded into Hal, the first growth
 308 phase resulted faster and prolonged up to 20000 s with P_{max} in the range 250-300 μW . The first growth
 309 phase was followed by a decline phase, then the second growth phase started between 20000 and
 310 40000 s. The stop of the heat production was anticipated below 65000 s depending on the NaSA
 311 concentration and on its availability. The effect of the presence of NaSA on all the metabolic steps
 312 was enhanced when NaSA was released by Hal.

313 At higher SA concentrations (30 mM for free NaSA, or 20 mM for SA loaded into Hal), a single
 314 exponential growth metabolic phase, starting after 30000 s, was present followed by an exponential
 315 decay (Figure 4 C, D). Above these concentrations a very low heat flow (or no heat flow) was
 316 registered (e.g. Figure 4D for Hal/SA 30 mM).

317



318

319

320 **Figure 4:** Heat flow curve of the metabolic activity of *P. fluorescens* IMA 19/5 in TSB compared to
 321 those of *P. fluorescens* IMA 19/5 in TSB added with: A), SA 5 mM, an aliquot of Hal/SA to give a
 322 final salicylate concentration of 5 mM; B) SA 10 mM, an aliquot of Hal/SA to give a final salicylate
 323 concentration of 10 mM; C) SA 20 mM, an aliquot of Hal/SA to give a final salicylate concentration
 324 of 20 mM; D) 30 mM, an aliquot of Hal/SA to give a final salicylate concentration of 30 mM.

325

326 These results suggested that at low concentrations (5 and 10 mM) SA, both free and loaded inside
 327 Hal, “enhanced” the metabolic activities of *P. fluorescens* IMA 19/5, by accelerating and prolonging
 328 over time the growth phases. Such an effect was more evident with free SA than when SA was
 329 released by Hal. Moreover, when SA concentration ranged from 5 to 10 mM the heat flow curves
 330 showed two peaks, as for a diauxic event (Braissant et al., 2013), suggesting a possible utilization of
 331 SA by *P. fluorescens* IMA 19/5. On the other hand, the ability of strains belonging to *Pseudomonas*
 332 spp, to degrade SA was already reported by other authors (Filonov et al., 2000; Kesseru et al., 2005).
 333 Differently, when SA was added at higher concentrations (in the range 20 - 30 mM for SA loaded
 334 inside Hal and more than 30 mM for free SA), *P. fluorescens* IMA 19/5 metabolic activity was
 335 strongly inhibited (no heat produced by the bacteria), as shown by the flattening of the relative heat

336 flow curves. A tentative explanation of the enhanced antibacterial activity of Hal/SA with respect to
337 free SA could be found in the heterogeneity of the system.

338 Under static conditions, Hal/SA system tends to migrate to the bottom of the reaction vessel, thus
339 creating a gradient of SA concentration from the bottom to the top of the vessel. Under static
340 conditions, the bacteria also lie at the bottom of the reaction vessel, on the surface of Hal very close to
341 the release site, and perceive a local SA concentration that is unknown and likely much more high than
342 the nominal one. By contrast, when SA is in solution, the bacteria perceive the same concentration in
343 all the parts of the reaction vessel.

344

345 **4. Conclusions**

346 In this work the properties of Hal/SA system in aqueous solution and its antibacterial activity against
347 *P. fluorescens* IMA 19/5 were investigated. The Hal/SA system was able:

- 348 • to stabilize Hal suspension in water;
- 349 • to release SA over 50 h with the typical behavior of molecules loaded into Hal. The SA release
350 profile was well described by a simple model proposed by Viseras group which accounted for
351 three sequential first order desorption processes: a first release from the external particle
352 surface, followed by the release from tube fines, and finally by a slower release from the
353 interior of Hal lumen;
- 354 • to show antibacterial activity at lower concentration than free SA.

355 Hal/SA system seems to be a promising system for the controlled release of SA in active packaging.
356 In particular, water-soluble antimicrobials (as SA) have been indicated as the most appropriate when
357 direct contact with food is involved (as in the case of meat). Indeed, when the additive is added to a
358 polymeric formulation to be successively released into the food, it migrates only in the contact area
359 between the food and the packaging material. Moreover, SA remains inside Hal's lumen until the
360 system is anhydrous, and is released only when the Hal/SA system comes into contact with aqueous
361 substrates. In such a system, the antibacterial activity is enhanced compared with free SA and
362 obtained using lower SA concentrations.

363

364 **AKNOWLEDGEMENTS**

365 This work was supported by the project FIRB 2012 (No. RBFR12ETL5), funded by the Italian
366 Ministry of University and Research.

367

368 **REFERENCES**

369 Abdullayev, E., Lvov, Y., 2016. Halloysite for Controllable Loading and Release. In: Yuan, P., Thill, A. (Eds)
370 Nanosized Tubular Clay Minerals. Halloysite and Imogolite, Developments in clay science series Elsevier,
371 554-605 (Chapter22)

372 Abdullayev, E., Lvov, Y., 2011. Halloysite clay nanotubes for controlled release of protective
373 agents. *J. Nanosci. Nanotechnol.* 11, 10007-10026.

374 Abdullayev, E.; Joshi, A.; Wei, W., Zhao, Y., Lvov, Y., 2012. Enlargement of halloysite clay
375 nanotube lumen by selective etching of aluminum oxide. *ACS Nano* 6, 7216–7226.

376 Abdullayev, E., Price, R., Shchukin, D., Lvov, Y., 2009. Halloysite Tubes as Nanocontainers for
377 Anticorrosion Coating with Benzotriazole. *ACS Appl. Mater. Interfaces.* 1(7), 1437-1443.

378 Aguzzi, C., Viseras, C., Cerezo, P., Valenzuela, C., 2013. Release kinetics of 5-aminosalicylic acid
379 from halloysite. *Colloids Surf. B Biointerface.* 105, 75-80.

380 Baxter, G. J., Graham, A. B., Laerence, J. R., Wile,s D., Paterson, J. R., 2001. Salicylic acid in soups
381 prepared from organically and non-organically grown vegetables. *Eur. J. Nutr.* 40, 289-292.

382 Biber, M. V., Stumm, W. 1994. An in-situ ATR-FTIR study: the surface coordination on aluminum
383 and iron (III) oxides. *Environ. Sci. Technol.* 28, 763-768.

384 Biddeci, G., Cavallaro, G., Di Blasi, F., Lazzara, G., Massaro, M., Milioto, S., Parisi, F., RIELA, S.,
385 Spinelli, G., 2016. Halloysite nanotubes loaded with peppermint essential oil as filler for
386 functional biopolymer film. *Carbohydr. Polym.* 152, 548-557.

387 Braissant, O., Wirz, D., Gopfert, B., Daniels,, A.U. 2010a. Use of isothermal microcalorimetry to
388 monitor microbial activities. *FEMS Microbiol. Lett.* 303, 1-8.

389 Braissant, O., Wirz, D., Gopfert, B., Daniels, A.U., 2010b. Biomedical Use of Isothermal
390 Microcalorimeters. *Sensor.* 10, 9369-9383. doi:10.3390/s101009369

391 Braissant, O., Bonkat, G., Wirz, D., Bachmann, A., 2013. Microbial growth and isothermal
392 microcalorimetry: Growth models and their application to microcalorimetric data. *Thermochim.*
393 *Acta* 555, 64–71.

394 Bretti, C., Cataldo, S., Gianguzza, A., Lando, G., Lazzara, G. Pettignano, A. Sammartano, S., 2016.
395 Thermodynamics of Proton Binding of Halloysite Nanotubes. *J. Phys. Chem. C.* 120, 7849-7859.

396 Cavallaro, G., Lazzara, G., Milioto, S. 2012. Exploiting the colloidal stability and solubilization
397 ability of clay nanotubes/ionic surfactant hybrid nanomaterials. *J. Phys. Chem. C.* 116, 21932-
398 21938.

399 Coleman, W. P. 3rd, Brody, H.J., 1997. Advances in chemical Peeling. *Dermatol. Clin.* 15, 19-26.

400 De Azeredo, H. M. C., 2009. Nanocomposites for food packaging application. *Food Research International,*
401 42, 1240-1253.

402 Della Porta, V., Bramanti, E., Campanella, B., Tiné, M.R., Duce, C., 2016. Conformational analysis
403 of bovine serum albumin adsorbed on halloysite nanotubes and kaolinite: a Fourier transform
404 infrared spectroscopy study. *RSC Adv.* 6, 72386-72398

405 Duce, C., Vecchio Cipriotti, S., Ghezzi, L., Ierard,i V., Tinè, M.R., 2015. Thermal behavior study of
406 pristine and modified halloysite nanotubes. A modern kinetic study. *J. Therm. Anal. Calorim.* 121,
407 1011-1019. DOI 10.1007/s10973-015-4741-7

408 Duce, C., Della Porta, V., Bramanti, E., Campanella, B., Spepi, A., Tiné, M.R., 2017. Loading of
409 Halloysite Nanotubes with BSA, α -Lac and β -Lg: a Fourier Transform Infrared Spectroscopic and
410 thermogravimetric study. *Nanotech.* 28, 055706-055718. doi:10.1088/1361-6528/28/5/055706

411 Ernst, Z.L., Menashi, J., 1963. Spectrophotometric determination of the dissociation constants of
412 some substituted salicylic acids. Part 2. *Trans. Faraday Soc.* 59, 230-240.

413 Filonov, A.E., Karpov, A., Kosheleva, I.A., Boronin, A.M., 2000. The efficiency of salicylate
414 utilization by *Pseudomonas putida* strains catabolizing naphthalene via different biochemical
415 pathways process. *Biochem.* 35, 983–987. doi: 10.1016/S0032-9592(00)00130-8

416 Galotto, M. J., Guarda, A.; Lopez de Dicastillo, C., 2015. Antimicrobial Active Polymers in Food Packaging.
417 In Cirillo, G.; Spizzirri, U. G., Iemma, F. (Eds) *Functional Polymers in Food Science: From Technology*
418 *to Biology (Set)*, Wiley, 323-353. (Chapter10)

419 Gardikis, K., Signorelli, M., Ferrario, C., Schiraldi, A., Forina, M.G., Hatziantoniou, S., Demetzos, C.,
420 Fessas, D. 2017. Microbial biosensors to monitor the encapsulation effectiveness of Doxorubicin
421 in chimeric advanced Drug Delivery Nano Systems: A calorimetric approach. *Int. J. Pharm.* 516,
422 178-184.

423 Gram, L., Ravn, L., Rasch, M., Bruhn, J.B., Christensen, A.B., Givskov, M., 2002. Food spoilage-
424 interactions between food spoilage bacteria. *Int. J. Food Microbiol.* 78, 79- 97.

425 Kesseru, P., Kiss, I., Bihari, Z., Pál, K., Portoro, P., Polyák, B., 2005. Nitrate-dependent salicylate
426 degradation by *Pseudomonas butanovora* under anaerobic conditions. *Bioresour. Technol.* 96,
427 779–784. <https://doi.org/10.1016/j.biortech.2004.08.009>

428 Kubicki, J. D., Itoh, M. J., Schroeter, L. M., Apitz, S. E. 1997. Bonding mechanism of salicylic acid
429 adsorbed onto illite clay: an ATR-FTIR and molecular orbital study. *Environ. Sci. Technol.* 31,
430 1151-1156.

431 Lai, X., Agarwal, M., Lvov, Y. M., Pachpande, C., Varahramyan, K., Witzmann, F. A., 2013.
432 Proteomic profiling of halloysite clay nanotube exposure in intestinal cell co-culture. *J. Appl.*
433 *Toxicol.* 33, 1316–1329. doi:10.1002/jat.2858

434 Li, X., Ouyang, J., Yang, H., Chnag, S., 2016. Chitosan modified halloysite nanotubes as emerging
435 porous microspheres for drug carrier. *Appl. Clay Sci.* 126, 306-312.

436 Lun, H., Ouyang, J., Yang, H., 2014. Natural halloysite nanotubes modified as an aspirin carrier.
437 *RSC Adv.* 4, 44197- 44202. doi:10.1039/C4RA09006C

438 Lvov, Y., Abdullayev, E., 2013. Functional polymer-clay nanotube composites with sustained release
439 of chemical agents. *Prog. Polym. Sci.* 38, 1690-1719.

440 Lvov, Y., Aerov, A., Fakhrullin, A., 2014. Clay nanotube encapsulation for functional biocomposites.
441 *Adv. Colloid Interface Sci.* 207, 189-198

442 Lvov, Y. M., DeVilliers, M. M., Fakhrullin, R., 2016, The application of halloysite tubule nanoclay
443 in drug delivery. *Expert Opin. Drug Delivery*, 13, 977.

444 Makaremi, M., Pasbakhsh, P., Cavallaro, G., Lazzara, G., Kit Aw, Y., Mae S.L., Milioto, S., 2017.
445 *ACS Appl. Mater. Interfaces.* 9 (20), 17476-17488. doi: 10.1021/acsami.7b04297

446 Massaro, M., Lazzara, G., Milioto, S., Noto, R., Riela, S., 2017. Covalently modified halloysite clay
447 nanotubes: synthesis, properties, biological and medical applications. *J. Mat. Chem. B.* 5, 2867-
448 2882. doi.10.1039/C7TB90071F

449 Meister Meira, S. M., Zehetmeyer, G., Orlandini Werner, J., Brandelli, A., 2017. A novel active packaging
450 material based on starch-halloysite nanocomposites incorporating antimicrobial peptides. *Food*
451 *Hydrocolloids*, 63, 561-570

452 Pasbakhsh, P., De Silva, R., Vahedi, V., Jock Churchman G., 2016. Halloysite nanotubes: prospects and
453 challenges of their use as additives and carriers—A focused review. *Clay Minerals* 51 (3), 479-487

454 Peppas, N.A. 1985. Analysis of Fickian and non-Fickian drug release from polymers. *Pharm. Acta*
455 *Helv.* 60, 110-111.

456 Presti, A., Pedone, A., Mancini G., Duce, C., Tiné M.R., Barone, V., 2016. Insights on structural
457 and dynamical features of water at halloysite interfaces probed by DFT and classical Molecular
458 Dynamics simulations. *Phys. Chem. Chem. Phys.* 18, 2164-2174.

459 Riela, S., Massaro, M., Colletti, C.G., Bommarito, A., Giordano, C., Milioto, S., Noto, R., Poma, P.,
460 Lazzara, G., 2014. Development and characterization of co-loaded curcumin/triazolehalloysite
461 systems and evaluation of their potential anticancer activity. *Int. J. Pharm.* 475, 613-623.
462 <https://doi.org/10.1016/j.ijpharm.2014.09.019>

463 Rong, X.M., Huang, Q.Y., Jiang, D.H., Cai, P., Liang, W., 2007. Isothermal microcalorimetry: a
464 review of applications in soil and environmental sciences. *Pedosphere* 17, 137–145.

465 Spepi, A., Duce, C., Pedone, A., Presti, D., Gonzalez-Rivera, J., Ierardi, V., Tiné, M.R., 2016.
466 Experimental and DFT Characterization of Halloysite Nanotubes Loaded with Salicylic Acid. *J.*
467 *Phys. Chem. C.* 120, 26759–26769.

468 Tan, D., Yuan, P., Annabi-Bergaya, F., He, H., 2014. Loading and in vitro release of ibuprofen in
469 tubular halloysite. *Appl. Clay Sci.* 96, 50-55 doi: 10.1016/j.clay.2014.01.018

470 Vazquez, C., Lago, N., Mato, M.M., Casas, L.M., Esarte, L., Legido, J.L., Arias, I., 2014.
471 Microcalorimetric performance of growth in culture of *Escherichia coli*, *Proteus mirabilis* and
472 their mixtures in different proportions. *J. Therm. Anal. Calorim.* 116, 107-112.

473 Vergaro, V., Abdullayev, E., Lvov, Y., Zeitoun, A., Cingolani, R., Rinaldi, R., Leporatti, S., 2010.
474 Cytocompatibility and uptake of halloysite clay nanotubes. *Biomacromolecules.* 11, 820-826. doi:
475 10.1021/bm9014446

476 Viseras, M.T., Aguzzi, C., Cerezo, P., Valenzuela, C., 2008. Equilibrium and kinetics of 5-
477 aminosalicylic acid adsorption by halloysite. *Microporous and Mesoporous Mater.* 108, 112-116

478 Von Ah U., Wirz D., Daniels A.U. 2009. Isothermal micro calorimetry – a new method for MIC
479 determinations: results for 12 antibiotics and reference strains of *E. coli* and *S. aureus*. *BMC*
480 *Microbiol.* 9, 106. doi: 10.1186/1471-2180-9-106.

481 Wei, W., Minullina, R., Abdullayev, E., Fakhrullin, R., Mills, D., Lvov, Y., 2014. Enhanced
482 efficiency of antiseptics with sustained release from clay nanotubes. *RSC Adv.* 4, 488 – 494. doi:
483 10.1039/C3RA45011B

484 Yost, E. C., Tejedor-Tejedor, M. I., Anderson M. A., 1990. In situ CIR-FTIR characterization of
485 salicylate complexes at the goethite/aqueous solution interface. *Environ. Sci. Technol.* 24, 822-
486 828.

487 Yuan, P., Tan, D., Annabi-Bergaya, F., 2015. Properties and applications of halloysite nanotubes:
488 recent research advances and future prospects." *Applied Clay Science* 112-113, 75-93.

489 Yuan, P., Southon, P. D., Liu, Z., Kepert, C. J., 2012. Organosilane functionalization of halloysite
490 nanotubes for enhanced loading and controlled release. *Nanotechnology* 37, 375705.

491 Zhang, Y., Tang, A., Yang, H., Ouyang, J., 2016. Applications and interfaces of halloysite
492 nanocomposites. *Appl. Clay Sci.* 119, 8-17. <https://doi.org/10.1016/j.clay.2015.06.034>
493