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P(CL-b-PLLA) DIBLOCK COPOLYMERS GRAFTING ONTO CELLULOSIC NANOCRYSTALS
 --Manuscript Draft--

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Abstract:	Cellulose nanocrystals (CNCs) have attracted growing interest as a reinforcement agent in polymer matrices thanks to their biodegradability and excellent mechanical properties. However, due to the polar nature and strong interactions among them, CNCs form aggregates which are difficult to disperse in hydrophobic matrices. To improve CNCs' dispersion in apolar matrices, we have studied modifications via surface grafting with biodegradable polymers. In this work, CNCs were successfully modified with the P(CL-b-LLA) copolymer via a "grafting from" method. Firstly, the P(CL-b-LLA) copolymers (Mn molar mass of 6,190 Da and 10,500 Da) were synthesized by sequential copolymerization of E-caprolactone (CL) and L-lactide(LLA) using stannous octoate and 1-butanol as initiator and co-initiator, respectively. Then, the grafted CNCs, P(CL-b-LLA) g CNC, were obtained through the functionalization of copolymers with tolylene 2,4-diisocyanate and subsequent covalent linkage to CNCs' surface in dry toluene. Surface grafting was confirmed using Fourier transform infrared (FTIR), proton nuclear magnetic resonance (¹ H NMR), transmission electron microscopy (TEM), and thermogravimetry (TGA). TEM and TGA analyses indicated that the surface modification of the CNCs occurred to a greater extent with the use of the lower molecular weight copolymer. The resulting modified nanoparticles can find applications as filler and compatibilizer in the field of bionanocomposite materials.
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	<p>We are submitting the manuscript entitled "P(CL-b-PLLA) diblock copolymers grafting onto cellulosic nanocrystals" to be considered for publication as research paper in the Polymer Bulletin.</p> <p>In this work, we described the modification of cellulose nanocrystals with P(CL-b-LLA) copolymer via a "grafting from" method. The grafted cellulose nanocrystals, P(CL-b-LLA)-g-CNC, were obtained through the functionalization of copolymers with tolylene 2,4-diisocyanate and subsequent covalent linkage to cellulose nanocrystals' surface in dry toluene. Surface grafting was confirmed by FTIR, 1H NMR, TEM and TGA. We believe that the results presented here show the possibility of controlling the grafting degree by varying the molecular weight of the copolymer.</p> <p>In this way, we believe that this article is suitable for publication in Polymer Bulletin and can be of interest for those researchers concerned with renewable materials, biodegradable polymers, and bio-based nanocomposites.</p> <p>All authors have seen and approved the submission of this manuscript.</p> <p>With best regards,</p> <p>Prof. Valdir Mano (corresponding author) Departamento de Ciências Naturais Universidade Federal de São João del-Rei Praça Dom Helvécio, 74 CEP: 36301-160 - São João del-Rei, Brazil mano@ufsj.edu.br</p>
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1 P(CL-*b*-PLLA) DIBLOCK COPOLYMERS GRAFTING ONTO CELLULOSIC NANOCRYSTALS

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20 **Abstract** Cellulose nanocrystals (CNCs) have attracted growing interest as a reinforcement agent in polymer
21 matrices thanks to their biodegradability and excellent mechanical properties. However, due to the polar nature
22 and strong interactions among them, CNCs form aggregates which are difficult to disperse in hydrophobic
23 matrices. To improve CNCs' dispersion in apolar matrices, we have studied modifications via surface grafting
24 with biodegradable polymers. In this work, CNCs were successfully modified with the P(CL-*b*-LLA) copolymer
25 via a "grafting from" method. Firstly, the P(CL-*b*-LLA) copolymers (Mn molar mass of 6,190 Da and
26 10,500 Da) were synthesized by sequential copolymerization of ϵ -caprolactone (CL) and L-lactide (LLA) using
27 stannous octoate and 1-butanol as initiator and co-initiator, respectively. Then, the grafted CNCs,
28 P(CL-*b*-LLA)-*g*-CNC, were obtained through the functionalization of copolymers with tolylene-2,4-diisocyanate
29 and subsequent covalent linkage to CNCs' surface in dry toluene. Surface grafting was confirmed using Fourier
30 transform infrared (FTIR), proton nuclear magnetic resonance (¹H NMR), transmission electron microscopy
31 (TEM), and thermogravimetry (TGA). TEM and TGA analyses indicated that the surface modification of the
32 CNCs occurred to a greater extent with the use of the lower molecular weight copolymer. The resulting modified
33 nanoparticles can find applications as filler and compatibilizer in the field of bionanocomposite materials.

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43 **Keywords** Cellulose nanocrystals; surface modification; P(CL-*b*-LLA) copolymer; bionanocomposite.

44 45 46 47 48 **Introduction**

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40 [4]. The extraction of cellulose nanocrystals from renewable sources has gained more attention in recent years
41 due to their exceptional mechanical properties (high specific strength and modulus), large specific surface area,
42 high aspect ratio (L/d , L being the length and d the diameter), environmental benefits and low cost [5].

43 The attraction for cellulose nanocrystals as nanofillers in polymer matrices, yielding CNC
44 nanocomposites (also named bio-nanocomposites), has largely increased over the past decade, as indicated by
45 the flourishing literature. CNCs offer many advantages such as high reactivity, renewability, biodegradability,
46 and natural abundance. In addition, the unique combination of their impressive mechanical properties with their
47 nanoscale dimensions and high aspect ratio gives them the qualities required for ideal filler agents used in
48 polymer-based composites [6].

49 Despite its great potential as nanofiller, the hydrophilic nature of native cellulose generally limits the
50 formation of CNC nanocomposites to water-soluble polymers; attractive forces lead to CNCs aggregation and
51 poor cohesion with hydrophobic matrices [7]. Because of the incompatibility with the hydrophobic polymeric
52 matrixes, it has been almost impossible to efficiently incorporate cellulose nanocrystals to most of the classical
53 apolar polymers [8]. To overcome this major drawback, cellulose nanocrystals' surface chemical modification
54 has been studied with the aim of improving their interfacial compatibility with a wider variety of polymer
55 matrices. Efforts to enhance the compatibility are broadly documented in the literature including, among other
56 examples, the use of surfactants [9,10], the acetylation of CNC surface using mixtures of acetic acid and
57 anhydride [11], the acylation by drying aqueous emulsion [12], and superficial polymer grafting, which is
58 achieved by two strategies: grafting "from" [6,13] and grafting "onto" [14,15]. In the "grafting from" approach,
59 the polymer chains are formed by *in situ* surface-initiated polymerization from immobilized initiators on the
60 substrate [16]. Habibi and co-workers [17] described the grafting of polycaprolactone onto the surface of CNCs
61 via ring-opening polymerization (ROP) using stannous octoate [$\text{Sn}(\text{Oct})_2$] as a grafting and polymerization
62 agent. In the "grafting onto" approach, polymeric chains are linked onto the CNC surface via reactions involving
63 hydroxyl groups and coupling agents. By this method, Labet and co-workers [14] described the successful
64 modification of CNC with poly(tetrahydrofuran), poly(caprolactone), and poly(ethylene glycol)monobutyl ether
65 using toluene 2,4-diisocyanate as a linking agent.

66 Our research group has been dedicated to studying CNC in different research fronts such as the
67 production of CNC-grafted polymeric biodegradable matrices (e.g. PDLLA, cassava starch and chitosan)
68 yielding bionanocomposites via casting solution [18,19] and the layer-by-layer technique and bionanocomposite
69 synthesis through covalent linkage with chitosan via the "grafting onto" method. Keeping the track on this same
70 line of study this present work describes the surface modification of CNC with the P(CL-*b*-LLA) copolymer via
71 a "grafting from" method using tolylene-2,4-diisocyanate as a linking agent. This copolymer was chosen due to
72 the intrinsic biodegradability of its constituents and because this grafting method broadens the possibilities of
73 utilization of surface modified CNCs on the preparation of new bionanocomposites based on hydrophobic
74 polymers such as PCL, PLLA and its copolymers.

77 **Experimental**

79 *Materials*

80

81 Eucalyptus wood pulp commercial samples were used as a source of CNCs. Sulfuric acid was purchased from
82 Synth (São Paulo, Brazil). All other chemicals were acquired from Sigma Aldrich (St. Louis, USA): L-Lactide
83 (98%) was dried under a vacuum for 5 h, at ambient temperature; ϵ -caprolactone (CL, 98%), 1-butanol (BuOH),
84 and toluene were dried over calcium hydride for 24 h at room temperature, distilled under reduced pressure in a
85 nitrogen atmosphere prior to use and stored under nitrogen and 4 Å molecular sieves; stannous octoate [Sn(Oct)₂,
86 95%], was used as received; N,N-dimethylformamide (DMF) and tolylene-2,4-diisocyanate (2,4-TDI, 95%)
87 were distilled under reduced pressure in a nitrogen atmosphere and stored over 4 Å molecular sieves.

88 89 *Extraction of cellulose nanocrystals*

90
91 Cellulose nanocrystals were extracted from eucalyptus wood pulp through sulfuric acid hydrolysis according to
92 the method previously described by de Paula et al [18]. For our purposes, CNCs aqueous dispersions were
93 freeze-dried and kept in moisture-free environment.

94 95 *Sequential synthesis of the P(CL-*b*-LLA) copolymers*

96
97 P(CL-*b*-LLA) copolymers were synthesized via two-step sequential ring opening polymerization of
98 ϵ -caprolactone and L-lactide in the presence of Sn(Oct)₂ (0.0798 g; 1.97×10^{-4} mol) and BuOH [BuOH/Sn(Oct)₂
99 = 2] as the initiating system to control the molar mass. As an example, the polymerization of CL (0,5 g) was
100 carried out in 15 mL of dry toluene at 110 °C for 24 h, under a nitrogen atmosphere and reflux, producing the
101 PCL prepolymer. Then, L-lactide (0,5 g) was further polymerized in the same reaction vessel for 24 h to achieve
102 P(CL-*b*-LLA). The concentrations of monomers, Sn(Oct)₂ and BuOH were chosen so as to obtain an estimated
103 molar mass in the range of 5 kDa. In all steps, scrupulous attention was paid to reagents' purity and apparatus'
104 dryness, since even trace amounts of moisture or other hydroxyl-containing impurities can influence the molar
105 mass of the final product. Characterization of the block copolymer was carried out by using FT-IR spectroscopy,
106 ¹H nuclear magnetic resonance (¹H NMR), gel permeation chromatography (GPC), and differential scanning
107 calorimetry (DSC).

108 109 *Functionalization of the P(CL-*b*-LLA) copolymers with toluene 2,4-diisocyanate (2,4-TDI) and subsequent* 110 *grafting on CNCs*

111
112 In a typical reaction, 5 g of the P(CL-*b*-LLA) copolymer, Mn = 5 kD, which was synthesized as described in the
113 previous paragraph, were added to 25 mL of anhydrous toluene in a two-neck reaction flask, previously dried
114 under vacuum and heating. This mixture was kept under stirring until complete dissolution of the copolymer and
115 then 2 μL of Sn(Oct)₂ and 120 μL of 2,4-TDI were added. A reflux condenser was adapted to the reaction flask
116 and the system was kept in the temperature range of 80 to 90 °C under stirring and inert nitrogen atmosphere.
117 After 24 h, a dispersion of CNCs in anhydrous toluene (0.25% by mass with respect to the copolymer) was
118 added and the reaction was allowed to continue for another 7 days. To facilitate CNCs dispersion in toluene, the
119 nanocrystals suspension was transferred from water to *tert*-butanol prior to use, which minimizes the aggregation

120 during the freeze drying process according to Petersson et al [20]. Finally, to improve nanocrystals' dispersion in
121 toluene the suspensions were exposed to three sonication intervals (UP400S, Hielscher Ultrasonics GmbH,
122 Germany) of 2 min each in ice bath. During the sonication cycles the suspensions were placed in an ice bath.
123 Reaction progress was monitored by FT-IR analysis. At the end of the reaction, the product, named
124 P(CL-*b*-LLA)-*g*-CNC, was recovered by precipitation in heptane, filtered off and subsequently dried under high
125 vacuum for 6 h. The purification procedure was performed twice. The characterization was carried out by using
126 FT-IR spectroscopy, transmission electron microscopy (TEM), differential scanning calorimetry (DSC), and
127 thermogravimetry (TGA).

129 *Characterizations*

131 ¹H NMR spectra were obtained using a Varian Gemini 300 MHz nuclear magnetic resonance spectrometer. All
132 measurements were carried out at 25 °C and deuterated chloroform (CDCl₃) was used as solvent.

133 Infrared spectra were recorded with a Perkin-Elmer Spectrum One FT-IR spectrophotometer interfaced
134 with the software Spectrum v.3.02 for data acquisition. Samples were analysed on transmission mode in KBr
135 windows as cast films from THF or CHCl₃, for solid samples, or directly as a liquid film from the crude reaction.

136 DSC measurements were performed with a Mettler DSC30 apparatus using 5 mg of sample specimen,
137 according to the following temperature program: from 30 °C to 250 °C at 10 °C min⁻¹; cooling from 250 °C
138 to -100 °C at 10 °C min⁻¹; isotherm for 3 min; and heating from -100 °C to 250 °C at 10 °C min⁻¹.

139 TGA were performed using a TGA Q500 thermogravimetric analyzer from Mettler with a heating ramp
140 of 20 °C min⁻¹ under nitrogen flow (60 cm³ min⁻¹) from room temperature to 600 °C. The samples were
141 previously dried in oven at 40 °C for 24 h.

142 Size exclusion chromatography (SEC) analyses were carried out with a Jasco instrumental setup
143 consisting of a PU-2089 Plus high pressure pump, RI 2031 Plus (refractive index) and UV-2077 Plus (UV)
144 detectors, and a Jasco CO-2063 Plus column oven. Two PLgel 5 μm Mixed-D columns (Polymer Laboratories)
145 mounted in series with a guard column were used for elutions with organic solvents. The instrument was
146 interfaced with a Jasco ChromNav 1.12.01 software for data acquisition and processing. Sample concentration in
147 THF was in the 3-5 mg mL⁻¹ range and injection volume was 20 μL. A DMF/LiBr (1 g L⁻¹) eluent solution
148 (30 °C; 1 mL min⁻¹; 6.1 MPa) and polystyrene standards (Polymer Laboratories) were used for all SEC analyses.

149 TEM images were obtained from a Tecnai G2-Spirit microscope (Netherlands, FEI) operating at 120
150 kV. For the TEM images, a drop of aqueous dispersions was placed onto a carbon-Formvar-coated copper grid
151 and subsequently stained with 2% uranyl acetate solution.

154 **Results and discussions**

156 *Synthesis and characterization of the P(CL-*b*-PLLA) copolymers*

158 The copolymers were synthesized according to Scheme 1. In this method, the polymerization of the LLA block
159 is initiated by the hydroxyl end groups of the PCL pre-polymers synthesized in the first step [21-24]. Kricheldorf

160 et al [25] reported that the transesterification does not occur in the polymerization of D,L-lactide catalyzed by
1 161 stannous octoate at temperatures below 120 °C. Thus, we chose to perform both reaction steps at 110 °C to avoid
2 162 transesterification. The PCL-OH pre-polymer was synthesized in a first step and, in the same reaction vessel, the
3 163 P(CL-*b*-LLA) copolymer was obtained by successive additions of the L-lactide monomer. By this route, the
4 164 P(CL-*b*-LLA) copolymer was prepared by ring-opening polymerization of successively added CL and LLA in
5 165 the presence of Sn(Oct)₂ as initiator and 1-butanol (BuOH) as the co-initiator. Three copolymers with different
6 166 molecular weights, named CopB6, CopB12, and CopB24 were prepared by changing the molar ratio of CL and
7 167 LAA to Sn(Oct)₂, maintaining the ratio BuOH/Sn(Oct)₂ = 2, as showed in Table 1.

169 **Scheme 1** Sequential block copolymerization of CL and LLA

171 **Table 1** about here

172
173 The primary evidence for the formation of the copolymers was provided by ¹H NMR. Fig. 1 shows the
174 NMR spectra of the PCL-OH prepolymer and the CopB6 copolymer. The signals of -CH₂OH, the methylene
175 protons of the hydroxyl end groups of the PCL prepolymers, at 3.6 ppm, disappeared and new signals that arise
176 from the copolymer's -CH(CH₃)OH end group (detail in the Fig. 1b; methine proton F; δ = 4.32 ppm) were
177 observed. This indicated that the PCL-OH reactive chains had initiated the polymerization of LLA. Copolymer
178 compositions were determined from the ¹H NMR spectra by taking the ratio of the peak areas corresponding to
179 the LLA methine protons at δ = 5.12 ppm and the CL methylene protons at 1.36 ppm. The calculated
180 compositions (CL:LLA mol %) for CopB6 and CopB12 were 60:40 and 45:55, respectively.

181
182 **Fig. 1** ¹H NMR spectra of (a) PCL-OH prepolymer and (b) CopB6 (in detail the absorption of the terminal
183 methine group - proton F)

184
185 Furthermore, FT-IR analysis provided additional evidence for the formation of a block copolymer
186 instead of the random one. For CopB6, which is typical for the other compositions, two absorption peaks related
187 to the ester carbonyl groups, one at 1759 cm⁻¹ due to the PLLA block and another at 1737 cm⁻¹ belonging to the
188 PCL block, are observed in Fig. 2. In contrast, as reported by Qian et al [26], it should be observed only one
189 ester carbonyl absorption centered at 1756 cm⁻¹ for a random copolymer.

190
191 **Fig. 2** FT-IR spectrum of the copolymer CopB6

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193 In addition, the SEC (Fig. 3) results also indicated the successful initiation of LLA polymerization by
194 PCL-OH pre-polymer. Had not the PCL-OH pre-polymer initiated the polymerization, there should be two peaks
195 on the SEC curve belonging to the PCL and PLLA homopolymers. All of the copolymers gave similar unimodal
196 SEC molecular weight distributions, an example of which is shown in Fig. 3 for CopB6. The elution volumes are
197 smaller than that of PCL-OH, indicating that there is no pre-polymer in the final product.

198
199 **Fig. 3** SEC curves of the block copolymer CopB6 and the PCL-OH

200

201 The melting and crystallization behaviors of the block copolymers were investigated by DSC. The
202 copolymer CopB12 exhibited two melting endothermic peaks (T_m) during heating and two crystallization
203 exothermal peaks (T_c) during cooling. On the other hand, for CopB6 the crystallizations were observed only in
204 the second heating run. Table 2 summarizes the main information obtained by DSC. The results indicated that
205 both PCL and PLLA blocks crystallize and form two different crystal domains. In other words, the microphase
206 separation took place in these block copolymers.

207
208 **Table 2 about here**

209
210 *Functionalization of P(CL-b-LLA) copolymer with 2,4-TDI and grafting on CNCs*

211
212 In order to graft the P(CL-b-LLA) copolymer onto the CNCs surface we used toluene 2,4-diisocyanate as linking
213 agent as proposed by Labet et al [14]. The cellulose nanocrystals were functionalized by grafting the CopB6 (Mn
214 6 kDa) and CopB12 (Mn 12 kDa) copolymers to its surface, resulting in the modified CNCs named Bionano6
215 and Bionano12, respectively (Scheme 2).

216
217 **Scheme 2** Functionalization of P(CL-b-LLA) and grafting on CNCs

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219 Taking into account the average length of the extended chains, CopB6 and CopB12 grafting resulted in
220 surface functionalization with an expected layer thickness ranging between 10 and 20 times the thickness of
221 nanoparticles. These chain lengths were expected to be high enough to allow the formation of a continuous film
222 by hot-pressing the functionalized CNCs [14]. On the other hand, long chains result in low concentration of
223 urethane carbonyls compared to the carbonyls of the PCL and PLLA segments, which hampers its
224 characterization by conventional methods such as FT-IR. In our studies, the surface functionalization of CNCs
225 was characterized by observing the ^1H NMR absorptions of the benzene ring of 2,4-TDI between 7 and 7.2 ppm
226 (Fig. 4). In addition and as expected, the FT-IR band at 2250 cm^{-1} corresponding to the isocyanate groups was
227 not observed after 7 days of reaction time (Fig. 5).

228
229 **Fig. 4** ^1H NMR spectrum of Bionano6 (expanded region related to the absorption of benzene protons)

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231 **Fig. 5** FT-IR spectrum of the Bionano6

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233 Fig. 6 shows transmission electron micrographs of unmodified CNCs, Bionano6, and Bionano12. Using
234 several TEM images, the mean values for the length (L) and diameter (D) of the unmodified rod-like
235 nanocrystals were estimated to be $145 \pm 25\text{ nm}$ and $6 \pm 1.5\text{ nm}$, respectively. In recently published studies,
236 Dufresne [27] and Eichhorn [2] agreed that the morphology of nanocrystals plays a key role in the properties of
237 nanocomposites, so it is important to ensure that CNCs' morphology has not been significantly altered because
238 of chemical modification. Bionano12 TEM image (Fig. 6b) shows that the morphology of the individual CNCs
239 did not change after grafting with CopB12. The nanocrystals maintained their elongated morphology with the

240 same length and aspect ratio as that of the unmodified CNCs; however, they kept the typical behavior of
1 241 aggregate formation which is typical for the unmodified nanocrystals. Morphological changes were more
2 242 noticeable in the aggregate for Bionano6 (Fig. 6c), in which the crystals appeared smaller in relation to the
3 243 unmodified CNCs. On the other hand, formation of aggregates was avoided and the crystals were more disperse
4 244 in the polymeric matrix. This behavior can be explained by the lower molar mass of CopB6, which led to a
5 245 higher degree of grafting and, consequently, to a decrease in the hydrophilicity and strong surface interactions
6 246 among the nanocrystals, improving their dispersion.
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12 **Fig. 6** TEM images of the unmodified CNCs (a), Bionano12 (b), and Bionano6 (c)
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15 250 Many biomaterials suffer from inferior thermal properties when compared to inorganic fillers,
16 251 especially when they are used as reinforcement for polymers melt processed at elevated temperatures. Therefore,
17 252 thermal stability is a crucial factor and it is important that the grafting will not significantly change the
18 253 copolymer thermal behavior. Thermogravimetric curves of P(CL-*b*-LLA), Bionano6, and Bionano12 are shown
19 254 in Fig. 7. As reported by Rosa et al [28], for the CNCs, the thermal degradation occurs in a single step with
20 255 T_{max} at about 277 °C. The shoulder at 300 °C and the final residue correspond to remaining lignin which is not
21 256 removed on the process of CNCs extraction. On the TGA curves of both Bionano6 and Bionano12 (Fig. 7.b), it
22 257 was not possible to observe any event that could be attributed to the nanocrystals due to their low concentration
23 258 (0.25% by mass with respect to the copolymer). On these curves we could also observe a degradation event due
24 259 to the PLLA block, at a lower temperature, and another related to the PCL block, at a higher temperature. Also,
25 260 the degradation profile was very similar to the starting copolymer (Fig. 7.a), indicating that the grafting process
26 261 did not result in a decrease in thermal stability of the PLLA and PCL blocks. However, for the Bionano6, it is
27 262 possible to notice a significant decrease on the degradation rate on the step relative to PLLA when compared to
28 263 the initial P(CL-*b*-LLA) copolymer. If we consider the mechanism of synthesis, a reduction on the degradation
29 264 rate by effect of the incorporation of nanocrystals is in agreement with the hypothesis of its grafting onto the end
30 265 of the PLLA block. This effect would not be observed if the nanocrystals were agglomerates within the matrix.
31 266 On the other hand, the progress of thermal degradation for Bionano12 didn't show any significant differences
32 267 from the starting copolymer so, and considering the results of TEM, it is not possible to conclude that the
33 268 copolymer CopB12 was grafted onto the CNCs' surface.
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45 270 **Fig. 7** TGA curves of P(CL-*b*-LLA) (a) and Bionano6 and Bionano12 (b)
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50 273 **Conclusions**

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53 275 CNCs were grafted with the P(CL-*b*-LLA) copolymers, yielding the P(CL-*b*-LLA)-*g*-CNC functionalized
54 276 nanocrystals. The first part of this work was dedicated to the sequential copolymerization of CL and LLA using
55 277 Sn(Oct)₂ and BuOH as initiator and co-initiator, respectively. The P(CL-*b*-LLA) copolymers with Mn molar
56 278 mass of 6,190 Da (CopB6) and 10,500 Da (CopB12) were fully characterized by ¹H NMR, FT-IR, GPC, and
57 279 DSC. On the second part, the grafted CNCs were obtained through the functionalization of CopB6 and CopB12
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280 copolymers with 2,4-TDI and subsequent covalent linkage to cellulose nanocrystals' surface in dry toluene,
1 281 yielding the grafted cellulose nanocrystals P(CL-*b*-LLA)-*g*-CNC, named Bionano6 and Bionano12. The grafting
2 282 efficiency was evidenced by characterization with ¹H NMR, FT-IR, TEM, and TGA. TEM analysis indicated
3 283 that the surface modification of the CNCs occurred to a greater extent with the use of the lower molecular weight
4 284 copolymer (CopB6), which led to a higher grafting degree and consequent decrease in hydrophilicity and
5 285 aggregation. By TGA results, we had an another evidence that the grafting was more effective for Bionano6 than
6 286 for Bionano12, indicating that the control of the molecular weight is essential for the successful modification of
7 287 CNCs. The approach used in this work proved to be successful towards CNC grafting and can be extended to
8 288 other polymers and copolymers to produce functionalized CNCs with new properties and potential applications.
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11 291 **Acknowledgments**

12 292

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18 298 **References**

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368 **FIGURE CAPTIONS**

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3 370 **Scheme 1** Sequential block copolymerization of CL and LLA

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6 372 **Scheme 2** Functionalization of P(CL-*b*-LLA) and grafting on CNCs

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9 374 **Fig. 1** ¹H NMR spectra of (a) PCL-OH prepolymer and (b) CopB6 (in detail the absorption of the terminal
10 375 methine group - proton F)

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13 377 **Fig. 2** FT-IR spectrum of the copolymer CopB6

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16 379 **Fig. 3** SEC curves of the block copolymer CopB6 and the PCL-OH

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19 381 **Fig. 4** ¹H NMR spectrum of Bionano6 (expanded region related to the absorption of benzene protons)

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22 383 **Fig. 5** FT-IR spectrum of the Bionano6

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25 385 **Fig. 6** TEM images of the unmodified CNCs (a), Bionano12 (b), and Bionano6 (c)

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30 387 **Fig. 7** TGA curves of P(CL-*b*-LLA) (a) and Bionano6 and Bionano12 (b)

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Table 1 Sequential copolymerization of CL and LLA in dry toluene at 110 °C using Sn(Oct)₂ and BuOH as initiator and co-initiator, respectively. The molar ratio BuOH/Sn(Oct)₂ was kept constant and equal to 2

Sample ^a	LLA (mmol)	CL (mmol)	Sn(Oct) ₂ (mmol)	BuOH (mmol)	Mn _{exp.} ^b (Da)	Mn _{GPC} ^c (Da)	PD (Mw/Mn)
CopB6	151	187	7.19	14.4	5,988	6,190	1.2
CopB12	146	187	3.47	6.95	12,204	10,500	1.3
CopB24	7.0	8.76	0.0841	0.168	23,808	14,900	1.5

^a The abbreviations CopB6, CopB12 and CopB24 mean block copolymers *P(CL-*b*-LLA)* with molecular weights expected of 6, 12 and 24 kDa, respectively.

^b Expected number-average molecular weights were calculated considering 100% conversion and monomers/Sn(Oct)₂ ratio.

^c Number-average molecular weights determined by GPC measurements using the universal calibration technique.

Table 2 Melting and crystallization behaviors of CopB6 and CopB12 block copolymers

Co-polymer	T _{g,PCL} (°C)	T _{g,PLLA} ^a (°C)	T _{m,PCL} (°C)	T _{m,PLLA} (°C)	ΔH _{m,PCL} (J g ⁻¹)	ΔH _{m,PLLA} (J g ⁻¹)	X _c (%) ^b	
							X _{c,PCL}	X _{c,PLLA}
CopB6	-70	87	43	120	16	7	11.8	7.5
CopB12	-69	---	49	145	12	25	8.8	26.7

^b It was not possible to determine the T_g of CopB12.

^b X_c = degree of crystallinity = ΔH_m/ΔH⁰_m; ΔH⁰_{m,PCL} is 136.1 J g⁻¹; ΔH⁰_{m,PLLA} is 93.6 J g⁻¹ (Ren et al. 2010).

























