

# Platonic polyhedra, periodic orbits and chaotic motions in the $N$ -body problem with non-Newtonian forces.

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## Abstract

We consider the  $N$ -body problem with interaction potential  $U_\alpha = \frac{1}{|x_i - x_j|^\alpha}$  for  $\alpha > 1$ . We assume that the particles have all the same mass and that  $N$  is the order  $|\mathcal{R}|$  of the rotation group  $\mathcal{R}$  of one of the five Platonic polyhedra. We study motions that, up to a relabeling of the  $N$  particles, are invariant under  $\mathcal{R}$ . By variational techniques we prove the existence of periodic and chaotic motions.

## 1 Introduction

In a previous paper with Piero Negrini [10] we focused on the rotation groups  $\mathcal{T}, \mathcal{O}, \mathcal{I}^1$  of the Platonic polyhedra and, for  $\mathcal{R} \in \{\mathcal{T}, \mathcal{O}, \mathcal{I}\}$ , we studied periodic motions of  $N = |\mathcal{R}|$  equal particles  $\mathbf{u} = \{u_R\}_{R \in \mathcal{R}}$  that, at each time  $t$ , satisfy the symmetry condition

$$u_R(t) = Ru_I(t), \quad R \in \mathcal{R}, \quad t \in \mathbb{R}, \quad (1.1)$$

that is, for each  $t \in \mathbb{R}$  the configuration of the system coincides with the orbit  $\{Ru_I(t)\}_{R \in \mathcal{R}}$ , under the group  $\mathcal{R}$ , of the particle  $u_I$  associated to the identity  $I \in \mathcal{R}$ . By condition (1.1)  $u_I$  determines the motion of the whole system, therefore we refer to  $u_I$  as to the motion of the *generating particle*.

From (1.1) we have that

$$u_{R_1}(t) = u_{R_2}(t) \Leftrightarrow u_I(t) = R_1^{-1}R_2u_I(t).$$

Therefore the system has a collision at time  $t$  if and only if  $u_I(t)$  belongs to the rotation axis  $a(R)$  of some  $R \in \mathcal{R} \setminus \{I\}$ . It follows that a motion of the system is free of collisions if and only if

$$u_I(\mathbb{R}) \cap \Gamma = \emptyset, \quad \text{where } \Gamma = \cup_{R \in \mathcal{R} \setminus \{I\}} a(R). \quad (1.2)$$

Each  $u_I$  which is periodic and satisfies (1.2) belongs to a well defined free homotopy class in  $\mathbb{R}^3 \setminus \Gamma$  represented by  $u_I$ . In [10] we proved the existence of periodic motions of  $|\mathcal{R}|$  unit masses subject to Newtonian interaction for  $u_I$  in various homotopy classes of  $\mathbb{R}^3 \setminus \Gamma$ . To state the result proved in [10] we recall how the free homotopy classes of  $\mathbb{R}^3 \setminus \Gamma$  can be coded by periodic sequences of vertexes of an Archimedean polyhedron naturally associated to  $\mathcal{R}$ . We denote by  $\mathbb{S}^2$  the unit sphere in  $\mathbb{R}^3$  and let  $\mathcal{P} = \Gamma \cap \mathbb{S}^2$  be the set of *poles* of  $\mathcal{R}$ .

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<sup>1</sup> $\mathcal{T}$  the rotation group of the Tetrahedron of order 12,  $\mathcal{O}$  the rotation group of Hexahedron and Octahedron of order 24 and  $\mathcal{I}$  the group of Icosahedron and Dodecahedron of order 60.

The poles are the vertices of a tessellation of  $\mathbb{S}^2$  with  $2|\mathcal{R}|$  congruent rectangular spherical triangles (cfr. Fig. 1). Let  $\tau$  be one of these triangles and let  $s$  be the side of  $\tau$  opposite to the vertex  $p \in \mathcal{P}$  of  $\tau$  corresponding to the right angle. There is a choice of  $q \in s$  such that  $q$  and the corresponding points  $q_i$  of the three triangles  $\tau_i$ ,  $i = 1, 2, 3$  having the vertex  $p$  in common with  $\tau$  are the vertices of a square. For this particular choice of  $q \in s$ , a special case of the classical Wythoff construction [7], the convex hull  $\text{co}(\{Rq\}_{R \in \mathcal{R}})$  of the orbit of  $q$  under  $\mathcal{R}$  is an Archimedean polyhedron  $\mathcal{Q}_{\mathcal{R}}$ .  $\mathcal{Q}_{\mathcal{R}}$  has  $|\mathcal{R}|$  vertexes,  $2|\mathcal{R}|$  equal edges,  $\#\mathcal{P} = |\mathcal{R}| + 2$  faces, and the axis of each face is one of the axes  $a(R)$  of some  $R \in \mathcal{R} \setminus \{I\}$ .  $\mathbb{R}^3 \setminus \Gamma$  is homotopically equivalent to  $\mathbb{S}^2 \setminus \mathcal{P}$  and therefore to the union  $\mathcal{L}_{\mathcal{R}}$  of the edges of  $\mathcal{Q}_{\mathcal{R}}$ .

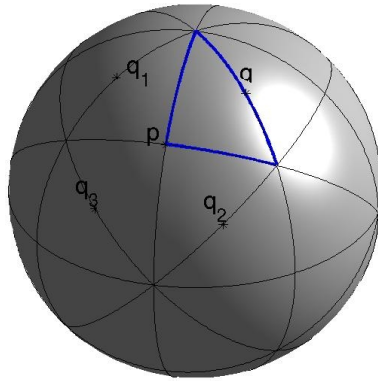


Figure 1: Tessellation of  $\mathbb{S}^2$ .  $\mathcal{R} = \mathcal{O}$ .

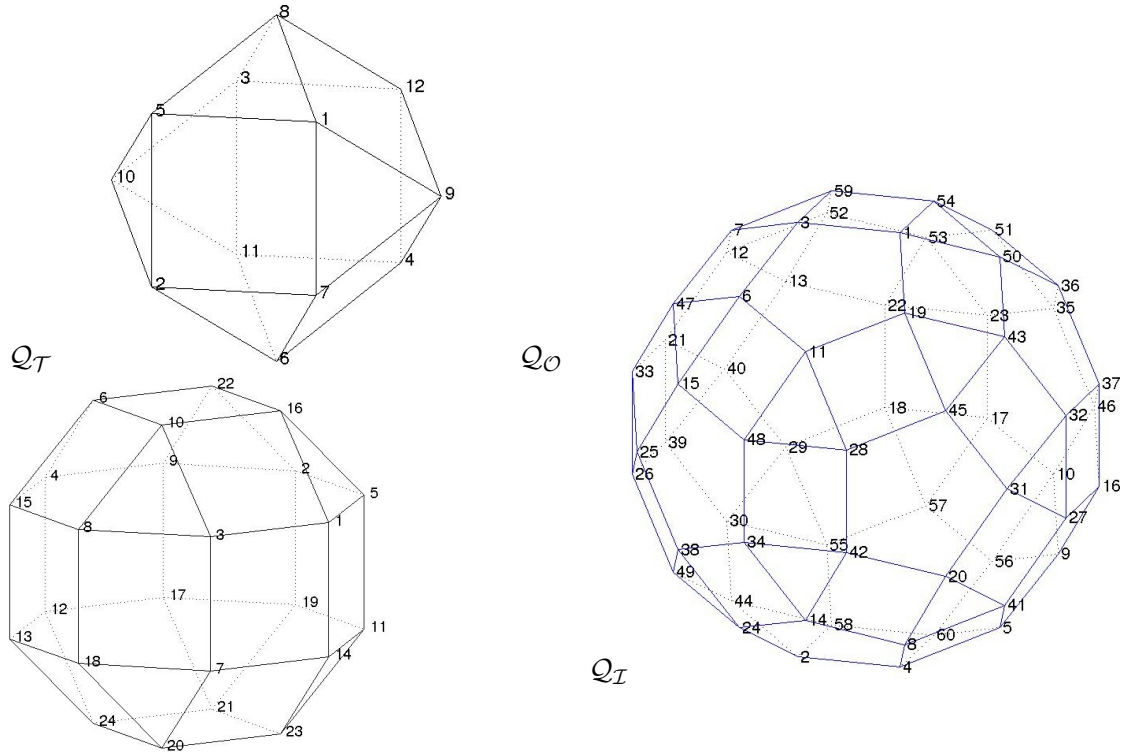


Figure 2: The Archimedean polyhedra  $\mathcal{Q}_{\mathcal{T}}$ ,  $\mathcal{Q}_{\mathcal{O}}$ ,  $\mathcal{Q}_{\mathcal{I}}$ .

Consider the set of sequences  $\nu = \{\nu_k\}_{k=0}^K$  of vertexes of  $\mathcal{Q}_{\mathcal{R}}$  that satisfy the conditions

- (i)  $\nu_K = \nu_0$
- (ii) for each  $k \in \{0, \dots, K-1\}$  the segment  $[\nu_k, \nu_{k+1}]$  coincides with one of the edges of  $\mathcal{Q}_{\mathcal{R}}$ .
- (iii)  $\nu_{k-1} \neq \nu_{k+1}$ , for  $k \in \{0, \dots, K-1\}$ , with  $\nu_{-1} = \nu_{K-1}$ .

Two such sequences  $\nu$  and  $\nu'$  are said to be equivalent if

$$K = K', \quad (1.3)$$

and the periodic extensions  $\tilde{\nu}$ ,  $\tilde{\nu}'$  of  $\nu$ ,  $\nu'$  coincide up to translation. The homotopic equivalence of  $\mathbb{R}^3 \setminus \Gamma$  and  $\mathcal{L}_{\mathcal{R}}$  implies that the free homotopy classes of  $\mathbb{R}^3 \setminus \Gamma$  are in one to one correspondence with the equivalence classes of sequences  $\nu$  that satisfy (i),(ii),(iii). With reference to the numbering of vertexes of  $\mathcal{Q}_{\mathcal{R}}$  in Fig. 2 the result proved in [10] is

**Theorem 1.1.** *For each sequence  $\nu$  listed in Table 1 there exists a  $T$ -periodic solution  $\mathbf{u}_* = \{u_{*R}\}_{R \in \mathcal{R}}$  of the classical Newtonian  $N$ -body problem. Moreover  $\mathbf{u}_*$  satisfies the symmetry condition (1.1) and  $u_{*I}$  is a minimizer of the action integral*

$$\mathcal{A}(u_I) = \frac{1}{2} \int_0^T \left( |\dot{u}_I|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u_I|} \right) dt,$$

in the set of  $T$ -periodic  $H^1$  maps in the homotopy class determined by  $\nu$ .

Table 1: The sequences are given with reference to the enumeration of vertexes of  $\mathcal{Q}_{\mathcal{R}}$  in Figure 2.

$\mathcal{R}$	$\nu$
$\mathcal{T}$	$\nu^1 = [1, 8, 5, 2, 6, 7, 1]$
	$\nu^2 = [2, 7, 9, 12, 3, 10, 2]$
	$\nu^3 = [1, 8, 5, 10, 2, 6, 7, 9, 1]$
	$\nu^4 = [1, 7, 6, 4, 9, 1, 5, 2, 7, 9, 12, 8, 1]$
	$\nu^5 = [1, 5, 8, 12, 3, 10, 11, 4, 6, 2, 7, 9, 1]$
$\mathcal{O}$	$\nu^1 = [1, 14, 23, 11, 5, 16, 1]$
	$\nu^2 = [1, 16, 10, 3, 7, 20, 23, 14, 1]$
	$\nu^3 = [1, 5, 16, 1, 3, 7, 18, 20, 7, 14, 1]$
	$\nu^4 = [16, 10, 3, 8, 18, 20, 23, 14, 11, 5, 16]$
	$\nu^5 = [1, 16, 10, 8, 18, 7, 3, 10, 6, 15, 8, 3, 1]$
	$\nu^6 = [9, 22, 6, 15, 8, 3, 7, 20, 23, 11, 19, 17, 9]$
	$\nu^7 = [11, 5, 2, 22, 16, 10, 6, 15, 8, 18, 13, 24, 20, 23, 21, 19, 11]$
	$\nu^8 = [4, 15, 8, 10, 3, 7, 18, 20, 23, 14, 11, 19, 21, 17, 9, 2, 22, 6, 4]$
	$\nu^9 = [1, 5, 16, 1, 3, 7, 14, 23, 11, 14, 1, 3, 7, 18, 20, 7, 14, 1, 3, 10, 8, 3, 7, 14, 1]$
$\mathcal{I}$	$\nu^1 = [11, 48, 34, 14, 42, 28, 11]$
	$\nu^2 = [11, 48, 34, 42, 28, 11, 6, 15, 48, 28, 45, 19, 11]$
	$\nu^3 = [11, 19, 43, 50, 1, 3, 7, 47, 6, 11, 28, 45, 19, 1, 54, 59, 3, 6, 15, 48, 11]$
	$\nu^4 = [1, 54, 59, 3, 6, 11, 28, 42, 14, 24, 2, 58, 60, 4, 8, 14, 34, 48, 11, 19, 1]$
	$\nu^5 = [15, 48, 34, 42, 28, 45, 31, 32, 43, 50, 36, 51, 54, 59, 52, 12, 7, 47, 33, 25, 15]$
	$\nu^6 = [26, 49, 38, 34, 14, 8, 20, 31, 27, 16, 37, 36, 35, 23, 53, 52, 13, 40, 21, 33, 26]$
	$\nu^7 = [26, 49, 24, 38, 34, 42, 14, 8, 41, 20, 31, 32, 27, 16, 46, 37, 36, 51, 35, 23, 22, 53, 52, 12, 13, 40, 39, 21, 33, 25, 26]$
	$\nu^8 = [11, 19, 43, 50, 54, 59, 3, 6, 11, 19, 1, 54, 59, 7, 47, 6, 11, 19, 1, 3, 7, 47, 15, 48, 11, 19, 1, 3, 6, 15, 48, 28, 45, 19, 1, 3, 6, 11, 28, 45, 43, 50, 1, 3, 6, 11]$

For animations of some of the motions in Theorem 1.1 click here:<sup>2</sup>

$$\boxed{(\mathcal{T}, \nu_4)}, \quad \boxed{(\mathcal{O}, \nu_7)}, \quad \boxed{(\mathcal{I}, \nu_3)}.$$

<sup>2</sup>see also [11].

Special motions satisfying (1.1) were considered in [8], [12]. Many other interesting motions of the  $N$ -body problem subjected to various kinds of symmetry and topological constraints were discovered in the last ten years. See for instance [3], [4], [6], [9], [13], [15], [16], [17], [14] and the references therein.

In this paper we consider interaction potentials of the form

$$U_\alpha(r) = \frac{1}{r^\alpha}, \quad \alpha > 0, r > 0 \text{ the inter-particle distance,}$$

which includes as a special case the Newtonian potential ( $\alpha = 1$ ).

Here we concentrate on the case  $\alpha > 1$ . Simple computations indicate that the contribution of collisions to the action integral increases with  $\alpha$  and diverges to  $+\infty$  as  $\alpha \nearrow 2$ . For example, if we consider two unit masses moving on a line and colliding in the center of mass 0 at  $t = 0$ , from the conservation of energy we have

$$\dot{x}^2 = \frac{1}{2^\alpha x^\alpha} + h \tag{1.4}$$

where  $x$  is the distance from 0. Since the constant  $h$  has a negligible effect on the behavior of  $x$  near  $x = 0$  we set  $h = 0$  in (1.4), which then yields  $x(t) = \frac{1}{2}[(2 + \alpha)t]^{\frac{2}{2+\alpha}}$ . It follows

$$\int_0^1 2\left(\dot{x}^2 + \frac{1}{2^\alpha x^\alpha}\right)dt = \frac{4}{2 - \alpha}(2 + \alpha)^{\frac{2-\alpha}{2+\alpha}} \rightarrow +\infty \quad \text{as } \alpha \nearrow 2. \tag{1.5}$$

Therefore we can expect that for each free homotopy class of  $\mathbb{R}^3 \setminus \Gamma$  which is *tied* to  $\Gamma$  (see (1.6)) there is a critical value  $\alpha_{cr} < 2$  of  $\alpha$  such that, if  $u_{*I}$  is a minimizer of the action

$$\mathcal{A}_\alpha(u_I) = \frac{1}{2} \int_0^T \left( |\dot{u}_I|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R - I)u_I|^\alpha} \right) dt,$$

then  $u_{*I}$  is smooth for  $\alpha > \alpha_{cr}$ , while has collisions for  $\alpha \leq \alpha_{cr}$ . We prove

**Theorem 1.2.** *For each sequence  $\nu$  that satisfies conditions (i),(ii),(iii) above and*

$$\nu \not\subset \overline{\mathcal{F}}, \quad \text{for all the faces } \mathcal{F} \text{ of } \mathcal{Q}_{\mathcal{R}}, \tag{1.6}$$

*there exists  $\alpha_\nu < 2$  such that for each  $\alpha > \alpha_\nu$  there is a classical solution  $\mathbf{u}_*^\alpha = \{u_{*R}^\alpha\}_{R \in \mathcal{R}}$  of the  $N$ -body problem with interaction potential  $U_\alpha$ . Moreover  $\mathbf{u}_*^\alpha$  satisfies the symmetry condition (1.1) and  $u_{*I}^\alpha$  is a minimizer of the action integral*

$$\mathcal{A}_\alpha(u_I) = \frac{1}{2} \int_0^T \left( |\dot{u}_I|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R - I)u_I|^\alpha} \right) dt,$$

*in the set  $H_T^1(\mathbb{R}; \mathbb{R}^3)$  of  $T$ -periodic maps in the homotopy class determined by  $\nu$ .*

For animations of orbits in Theorem 1.2 for the sequences in Table 2 click here:

$$\boxed{(\mathcal{O}, \nu_1)}, \quad \boxed{(\mathcal{O}, \nu_2)}, \quad \boxed{(\mathcal{I}, \nu_1)}.$$

Condition (1.6) ensures that the orbit of  $u_I \in \mathcal{H}_\nu^1$  is tied to  $\Gamma$  in the sense that does not winds around a single axis of rotation in  $\Gamma$ . This implies the geometric inequality

$$\|u_I\|_\infty \leq c_0 \int_0^T |\dot{u}_I| dt \tag{1.7}$$

Table 2: The sequences refer to the enumeration of vertexes of  $\mathcal{Q}_{\mathcal{R}}$  in Figure 2.

$\mathcal{R}$	$\nu$
$\mathcal{O}$	$\nu_1 = [10, 3, 1, 16, 10, 3, 7, 14, 1, 3, 8, 18, 7, 3, 8, 15, 13, 18, 8, 10, 6, 15, 8, 10, 16, 22, 6, 10]$ $\nu_2 = [1, 16, 10, 3, 1, 16, 10, 3, 1, 14, 7, 3, 1, 14, 7, 20, 23, 14, 7, 20, 23, 14, 7, 3, 1, 14, 7, 3, 1]$
$\mathcal{I}$	$\nu_1 = [1, 54, 50, 1, 3, 7, 59, 3, 6, 15, 47, 6, 11, 28, 48, 11, 19, 43, 45, 19, 1]$

for some constant  $c_0 > 0$  independent of  $\mathcal{R} \in \{\mathcal{T}, \mathcal{O}, \mathcal{I}\}$  and of  $\nu$  satisfying (1.6).

It is natural to investigate the asymptotic behavior for  $\alpha \rightarrow +\infty$  of the motions given in Theorem 1.2. We analyze this question in Section 3. In particular we show that there exists an asymptotic shape of the trajectory of  $u_I$  which is determined by a geometric minimization with unilateral constraints, see Theorem 3.2.

Since if  $\alpha < 2$  collisions give a finite contribution to the action integral, we may conjecture that, for fixed  $\alpha < 2$ , the sequences  $\nu$  corresponding to free homotopy classes that contain a smooth minimizer of  $\mathcal{A}_\alpha$  have a bounded *length*

$$K < K_\alpha,$$

where  $K_\alpha > 0$  is determined by  $\alpha$ . Indeed it can be expected that to minimize  $\mathcal{A}_\alpha$ , if  $K$  is too large, it may be more convenient to *crash* part of the orbit into a collision rather than to keep wandering around the axes of the rotations in  $\mathcal{R}$ .

For  $\alpha \geq 2$ , the so called *strong force* case, there are no collisions and therefore no upper bound for the length  $K$  of  $\nu$  should be expected. This suggests the existence of *aperiodic* orbits of *infinite* length obtained as limit of sequences of periodic orbits corresponding to sequences  $\nu^j$  of length  $K_j$  converging to  $+\infty$ . Our next theorem states that this is indeed the case. To state the theorem we utilize a different way of coding the free homotopy classes of  $\mathbb{R}^3 \setminus \Gamma$ . We have already remarked that  $\mathbb{R}^3 \setminus \Gamma$  is homotopically equivalent to  $\mathbb{S}^2 \setminus \mathcal{P}$  and therefore to the plane  $\Pi_Q$  punctured with  $Q = \#\mathcal{P} - 1$  distinct points.  $\Pi_Q$  is homotopically equivalent to the union  $\Sigma_Q$  of  $Q$  copies  $\mathbb{S}_1^1, \dots, \mathbb{S}_Q^1$  of  $\mathbb{S}^1$  with a common point  $O$ .

Each free homotopy class of  $\Sigma_Q$  determines, up to translation, a periodic sequence  $\omega = \{\omega_i\}_{i \in \mathbb{Z}}$  with  $2Q$  symbols

$$\omega_i \in \{\sigma_1, \dots, \sigma_Q, \sigma_1^{-1}, \dots, \sigma_Q^{-1}\},$$

where  $\sigma_i$  corresponds to traveling  $\mathbb{S}_i^1$  from  $O$  and back to  $O$  in a preassigned positive direction and  $\sigma_i^{-1}$  to the inverse path. We assume that  $\omega$  is reduced in the sense that it does not contain expressions like  $\sigma_i \sigma_i^{-1}$  corresponding to loops homotopic to  $O$ . Beside  $\omega$ , each free homotopy class of  $\Sigma_Q$  uniquely determines a number  $M \in \mathbb{N}$ , which coincides with one of the periods of  $\omega$ . Indeed the same  $\omega$  can be associated to different homotopy classes that can be distinguished by the period attributed to  $\omega$ . For example we can consider a closed loop that coincides with  $\mathbb{S}_1^1$  and a loop that describes  $\mathbb{S}_1^1$  more than once, say  $M > 1$  times before closing up. All these loops have the same  $\omega$  but are topologically distinct and can be classified by the value of  $M$ . In conclusion: each free homotopy class of  $\Sigma_Q$  determines (an equivalence class of)  $\omega$  and a particular period  $M$  of  $\omega$  and viceversa. We let  $\Omega$  be the set of these sequences with the associated period and define a distance in  $\Omega$  by setting

$$d(\omega, \omega') = |f(M) - f(M')| + \min_{h \in \mathbb{Z}} \sum_{i \in \mathbb{Z}} \frac{\delta(\omega_{i+h}, \omega'_i)}{2^{|i|}}, \quad (1.8)$$

where  $f : [0, +\infty) \rightarrow \mathbb{R}$ ,  $f(0) = 0$ , is a strictly increasing bounded function and  $\delta$  is the discrete metric. We let  $\hat{\Omega}$  be the completion of  $\Omega$  with respect to the metric (1.8).

We need to characterize the subset  $\Omega_0 \subset \Omega$  of the sequences corresponding to orbits that *coil* around just one of the axes in  $\Gamma$ . We identify  $\Pi_Q$  with the stereographic projection of  $\mathbb{S}^2 \setminus \mathcal{P}$ , from some  $p_0 \in \mathcal{P}$ , on the plane tangent to  $\mathbb{S}^2$  at  $-p_0$ .

If we consider the constant sequence  $\omega = \{\omega_i\}_{i \in \mathbb{Z}}$ , with  $\omega_i = \tilde{\sigma} \forall i$  for some  $\tilde{\sigma} \in \{\sigma_1, \dots, \sigma_Q, \sigma_1^{-1}, \dots, \sigma_Q^{-1}\}$ , then  $\omega \in \Omega_0$  and corresponds to an orbit winding around the axis  $a_p$  through some  $p \in \mathcal{P} \setminus \{p_0\}$ . The remaining  $\omega \in \Omega_0$  correspond to orbits winding around  $a_{p_0}$ . These are the sequences  $\omega$  which have  $M = nQ$  for some  $n \geq 1$ , and satisfy (up to translations)  $\omega_i = \sigma_i$  for  $i = 1, \dots, Q$ , or  $\omega_i = \sigma_i^{-1}$  for  $i = 1, \dots, Q$  and  $\omega_{i+jQ} = \omega_i$  for  $j = 1, \dots, n-1$ .

For each  $\omega \in \Omega$  we let  $\mathcal{H}_\omega^1$  be the set of  $H_{\text{loc}}^1(\mathbb{R}; \mathbb{R}^3)$  periodic maps in the free homotopy class determined by  $\omega$ . Given  $\alpha > 0$  and  $H \in \mathbb{R}$ , for each  $H_{\text{loc}}^1$  periodic map  $v_I : \mathbb{R} \rightarrow \mathbb{R}^3$  we define

$$\mathcal{A}_{\alpha, H}(v_I) = \int_0^{T_{v_I}} \left( H + \frac{1}{2} |\dot{v}_I|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)v_I|^\alpha} \right) dt. \quad (1.9)$$

Notice that in (1.9) the period  $T_{v_I}$  is not a fixed constant but it is allowed to depend on  $v_I$ . We are now in the position to state our main result.

**Theorem 1.3.** *Let  $\alpha > 2$  and  $H > 0$  be fixed. Then*

- (I) *For each  $\omega \in \Omega \setminus \Omega_0$  there is a periodic solution  $\mathbf{u}_*^{\alpha, H} = \{u_{*R}^{\alpha, H}\}_{R \in \mathcal{R}}$  of the  $N$ -body problem with interaction potential  $U_\alpha$ . Moreover  $\mathbf{u}_*^{\alpha, H}$  satisfies (1.1) and  $u_{*I}^{\alpha, H} \in \mathcal{H}_\omega^1$  lies on the surface of constant energy*

$$|\dot{v}_I|^2 - \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)v_I|^\alpha} = 2H, \quad (1.10)$$

*and minimizes  $\mathcal{A}_{\alpha, H}$  on  $\mathcal{H}_\omega^1$ .*

- (II) *Given a sequence  $\{\omega^j\}_{j \in \mathbb{N}} \subset \Omega \setminus \Omega_0$  and the corresponding sequence  $\{\mathbf{u}_*^{\alpha, H, j}\}_{j \in \mathbb{N}}$  from (I), there exist a subsequence  $\{j_h\}_{h \in \mathbb{N}}$ ,  $\hat{\omega} \in \hat{\Omega}$  and a  $C^\infty$  bounded solution  $\hat{\mathbf{u}}_*^{\alpha, H}$  of the  $N$ -body problem such that*

$$\hat{\mathbf{u}}_*^{\alpha, H}(t) = \lim_{h \rightarrow \infty} \mathbf{u}_*^{\alpha, H, j_h}(t), \quad (1.11)$$

*locally in  $C^{2+\frac{1}{2}}$ , and*

$$\hat{\omega} = \lim_{h \rightarrow \infty} \omega^{j_h}. \quad (1.12)$$

*Moreover  $\hat{\mathbf{u}}_*^{\alpha, H}$  satisfies (1.1) and  $\hat{u}_{*I}^{\alpha, H}$  lies on the surface of constant energy (1.10).*

- (III) *Given  $\hat{\omega} \in \hat{\Omega} \setminus \Omega_0$  there exist a sequence  $\{\omega^j\}_{j \in \mathbb{N}} \subset \Omega \setminus \Omega_0$  and a bounded solution  $\hat{\mathbf{u}}_*^{\alpha, H}$  of the  $N$ -body problem such that  $\omega^j \rightarrow \hat{\omega}$  and  $\mathbf{u}_*^{\alpha, H, j} \rightarrow \hat{\mathbf{u}}_*^{\alpha, H}$ , where  $\mathbf{u}_*^{\alpha, H, j}$  is the map associated to  $\omega^j$  by (I).*

In the following we drop the subscript  $I$  and we write simply  $u, v$  in place of  $u_I, v_I$ .

The paper is organized as follows. In Section 2 we prove Theorem 1.2, in Section 3 we investigate the asymptotic behavior of the motions in Theorem 1.2 for  $\alpha \rightarrow +\infty$ . In Section 4 we prove Theorem 1.3.

## 2 The proof of Theorem 1.2

Given a sequence  $\nu$  that satisfies (i), (ii), (iii), we let  $\mathcal{H}_\nu^1$  be the set of  $T$ -periodic maps  $u \in H_{\text{loc}}^1(\mathbb{R}; \mathbb{R}^3)$  in the free homotopy class of  $\mathbb{R}^3 \setminus \Gamma$  determined by  $\nu$ . We prove Theorem 1.2 by a variational technique: we show that if  $\nu$  satisfies (i), (ii), (iii) and also condition (1.6), then the action integral

$$\mathcal{A}_\alpha(u) = \frac{1}{2} \int_0^T \left( |\dot{u}|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha} \right) dt$$

possesses a minimizer  $u_*^\alpha$  in  $\mathcal{H}_\nu^1$ . We then show that there is  $\alpha_\nu < 2$  such that  $\alpha > \alpha_\nu$  implies  $u_*^\alpha$  is free of collisions and therefore is in the interior of  $\mathcal{H}_\nu^1$  and thus a smooth solution of the Euler-Lagrange equations. The possibility that, when  $\alpha < 2$ , a minimizer of the action presents collisions is one of the main obstructions to the variational approach to the existence of periodic motions for the  $N$ -body problem. We refer to [1], [5] and [9] for various results on the problem of collisions.

**Lemma 2.1.** *Given a sequence  $\nu$  that satisfies (i), (ii), (iii) there is a constant  $A_\nu > 0$  with the property that for each  $\alpha \in (0, +\infty)$  there exists a map  $\bar{u}^\alpha \in \mathcal{H}_\nu^1$  such that*

$$\mathcal{A}_\alpha(\bar{u}^\alpha) \leq A_\nu. \quad (2.1)$$

*Proof.* We define the orbit of  $\bar{u}$  to be the orbit  $O_\nu$  defined by  $\nu$  on  $\mathcal{L}_\mathcal{R}$  (see Section 1) and assume that the generating particle moves on  $O_\nu$  with constant speed. We can choose  $\lambda > 1$  such that  $\lambda|(R-I)\bar{u}| > 1$  for  $R \in \mathcal{R} \setminus \{I\}$ . Set  $\bar{u}^\alpha = \lambda\bar{u}$ . Then the action  $\mathcal{A}_\alpha(\bar{u}^\alpha)$  is well defined and bounded in  $\alpha \in (0, +\infty)$ . □

*Remark.* From (2.1) and Hölder's inequality it follows an  $L^\infty$  bound independent of  $\alpha$ :

$$\|u\|_\infty \leq c_0 T^{1/2} A_\nu^{1/2},$$

which is valid for each  $u \in \mathcal{H}_\nu^1$  satisfying  $\mathcal{A}_\alpha(u) \leq A_\nu$ .

**Lemma 2.2.** *Assume that  $\nu$  satisfies (i), (ii), (iii) and condition (1.6). Then  $\mathcal{A}_\alpha$  is coercive on  $\mathcal{H}_\nu^1$ .*

*Proof.* It follows immediately from (1.7) and Hölder's inequality. □

**Lemma 2.3.** *Assume that  $\nu$  satisfies (i), (ii), (iii) and let  $A_\nu$  be as in Lemma 2.1. Then there exists  $\alpha_\nu < 2$  such that*

$$\alpha > \alpha_\nu, \quad u \in \mathcal{H}_\nu^1, \quad \text{and} \quad \mathcal{A}_\alpha(u) \leq A_\nu \quad \Rightarrow \quad u(\mathbb{R}) \cap \Gamma = \emptyset.$$

*Proof.* Let  $r = \min_{R \in \mathcal{R} \setminus \{I\}} \{|(R-I)u|\}$  and  $\bar{r} = \max_{t \in [0, T]} r(t)$ . Then

$$A_\nu \geq \mathcal{A}_\alpha(u) \geq \frac{1}{2} \int_0^T \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha} dt \geq \int_0^T \frac{1}{2\bar{r}^\alpha} dt \geq \frac{T}{2\bar{r}^\alpha},$$

and therefore

$$\bar{r} \geq \left( \frac{T}{2A_\nu} \right)^{\frac{1}{\alpha}}. \quad (2.2)$$

Moreover we have

$$\mathcal{A}_\alpha(u) \geq \int_0^T \sqrt{\sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}} |\dot{u}| dt = \int_0^L \sqrt{\sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}} ds, \quad (2.3)$$

where  $s$  is arc-length and  $L = \int_0^T |\dot{u}| dt$ . Now we observe that from

$$\begin{aligned} \left| \frac{dr}{ds} \right| &= \left| \frac{d}{ds} \min_{R \in \mathcal{R} \setminus \{I\}} |(R-I)u| \right| \leq \max_{R \in \mathcal{R} \setminus \{I\}} \left| \frac{d}{ds} |(R-I)u| \right|, \quad \text{a.e.} \\ \left| \frac{d}{ds} |(R-I)u| \right| &= \left| \left\langle (R-I) \frac{du}{ds}, \frac{(R-I)u}{|(R-I)u|} \right\rangle \right| \leq \left| (R-I) \frac{du}{ds} \right| \\ &\leq \max_{\substack{R \in \mathcal{R} \setminus \{I\} \\ \eta \in \mathbb{S}^2}} |(R-I)\eta| =: k_{\mathcal{R}}, \quad \text{a.e.} \end{aligned}$$

where  $k_{\mathcal{R}}$  depends on the group  $\mathcal{R}$ . It follows that

$$\left| \frac{dr}{ds} \right| \leq k_{\mathcal{R}}. \quad (2.4)$$

Assume that there is an arc of length  $\bar{s} > 0$  such that  $r(0) = 0$  and  $r(\bar{s}) = \bar{r}$ . Then, from (2.3), the definition of  $r$  and (2.4) we have

$$A_\nu \geq \int_0^{\bar{s}} \sqrt{\sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}} ds \geq \int_0^{\bar{s}} r^{-\frac{\alpha}{2}} ds \geq \frac{1}{k_{\mathcal{R}}} \int_0^{\bar{r}} r^{-\frac{\alpha}{2}} dr.$$

This and (2.2) imply

$$\frac{2^{\frac{3\alpha-2}{2\alpha}} T^{\frac{2-\alpha}{2\alpha}}}{k_{\mathcal{R}}(2-\alpha) A_\nu^{\frac{2+\alpha}{2\alpha}}} \leq 1. \quad (2.5)$$

Inequality (2.5) is violated for  $\alpha > \alpha_\nu$ , for some  $\alpha_\nu < 2$  that can be estimated from (2.5).  $\square$

We can now complete the proof of Theorem 1.2. Lemma 2.1 and Lemma 2.2 and standard arguments of variational calculus show that, provided  $\nu$  satisfies (i), (ii), (iii) and (1.6), there exists  $u_*^\alpha \in \mathcal{H}_\nu^1$  that satisfies

$$\mathcal{A}_\alpha(u_*) = \min_{u \in \mathcal{H}_\nu^1} \mathcal{A}_\alpha(u).$$

Lemma 2.3 implies that there exists  $\alpha_\nu < 2$  such that, for  $\alpha > \alpha_\nu$ ,  $u_*^\alpha$  is collision free and therefore, by elliptic regularity, is a smooth solution of the equation of motion

$$\ddot{u} = \alpha \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{(R-I)u}{|(R-I)u|^{\alpha+2}}. \quad (2.6)$$

The proof of Theorem 1.2 is complete.



### 3 Asymptotic behavior of minimizers for $\alpha \rightarrow +\infty$

In this section we fix a sequence  $\nu$  as in Theorem 1.2. We discuss the asymptotic behavior for  $\alpha \rightarrow +\infty$  of the solution  $u_*^\alpha$  of the  $N$ -body problem determined in that theorem. If  $\alpha \gg 1$  the force of attraction between particles is very small (very large) when the inter-particle distance is larger than 1 (smaller than 1). This observation suggests that

- 1) the limit behavior of minimizers  $u_*^\alpha$  for  $\alpha \rightarrow +\infty$  is constrained to the sub-region  $\mathcal{Y} \subset \mathbb{R}^3$  of the configuration space defined by

$$\mathcal{Y} = \{x \in \mathbb{R}^3 : |(R - I)x| \geq 1 \ \forall R \in \mathcal{R} \setminus \{I\}\} .$$

Indeed violating this condition generates, if  $\alpha \gg 1$ , a large contribution of the potential term to the action integral;

- 2) in the limit  $\alpha \rightarrow +\infty$  the trajectory of the minimizer  $u_*^\alpha$  tends to the shortest path compatible with condition  $|(R - I)x| \geq 1, \forall R \in \mathcal{R} \setminus \{I\}$  and with the topological constraint defined by  $\nu$ .

For  $\alpha \rightarrow +\infty$  the inter-particle attraction should act as a *unilateral holonomic constraint* and the limit motion should be a kind of *geodesic motion* with constant kinetic energy. Then minimizing the action should be equivalent to minimizing the length of the trajectory of the generating particle.

For each  $p \in \mathcal{P}$  let  $Cyl_p \subset \mathbb{R}^3$  be the open cylinder with axis the line through  $O$  and  $P$  and radius  $r_p = \frac{1}{2 \sin(\pi/o_p)}$ , where  $o_p$  is the order of the pole  $p$ .<sup>3</sup> Then we have

$$\mathcal{Y} = \mathbb{R}^3 \setminus \bigcup_{p \in \mathcal{P}} Cyl_p .$$

We first characterize the asymptotic behavior of the action  $\mathcal{A}_\alpha$  in the sense of  $\Gamma$ -convergence, see [2].

**Definition.** Let  $\mathcal{X}$  be a metric space. We say that a sequence  $\{\mathcal{F}_\epsilon\}_{\epsilon > 0}, \mathcal{F}_\epsilon : \mathcal{X} \rightarrow \mathbb{R} \cup \{+\infty\}$   $\Gamma$ -converges in  $\mathcal{X}$  to  $\mathcal{F} : \mathcal{X} \rightarrow \mathbb{R} \cup \{+\infty\}$  if for each  $x \in \mathcal{X}$

(LB) for every sequence  $\{x_\epsilon\}$  that converges to  $x$  as  $\epsilon \rightarrow 0$

$$\liminf_{\epsilon \rightarrow 0} \mathcal{F}_\epsilon(x_\epsilon) \geq \mathcal{F}(x); \tag{3.1}$$

(UB) there exists a sequence  $\{x_\epsilon\}$  converging to  $x$  as  $\epsilon \rightarrow 0$  such that

$$\limsup_{\epsilon \rightarrow 0} \mathcal{F}_\epsilon(x_\epsilon) \leq \mathcal{F}(x). \tag{3.2}$$

The functional  $\mathcal{F}$  is called the  $\Gamma$ -limit of  $\{\mathcal{F}_\epsilon\}$  and we write

$$\Gamma \overset{\mathcal{X}}{\lim}_{\epsilon \rightarrow 0} \mathcal{F}_\epsilon = \mathcal{F} .$$

---

<sup>3</sup> $r_p$  is the radius of the circumcircle of a regular polygon with  $o(p)$  sides of unitary length.

**Theorem 3.1.** Let  $\mathcal{L}_\nu^2$  be the  $L^2$ -closure of  $\mathcal{H}_\nu^1$ , and let  $\mathcal{A}_\alpha : \mathcal{L}_\nu^2 \rightarrow \mathbb{R} \cup \{+\infty\}$ ,  $\mathcal{A}_\infty : \mathcal{L}_\nu^2 \rightarrow \mathbb{R} \cup \{+\infty\}$  be defined by

$$\mathcal{A}_\alpha(u) = \begin{cases} \frac{1}{2} \int_0^T (|\dot{u}|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}) dt, & u \in \mathcal{H}_\nu^1, \\ +\infty, & u \in \mathcal{L}_\nu^2 \setminus \mathcal{H}_\nu^1, \end{cases}$$

$$\mathcal{A}_\infty(u) = \begin{cases} \frac{1}{2} \int_0^T |\dot{u}|^2 dt, & u \in \mathcal{H}_\nu^1, u(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \text{Cyl}_p) = \emptyset \\ +\infty, & u \in \mathcal{L}_\nu^2 \setminus \mathcal{H}_\nu^1 \text{ or } u(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \text{Cyl}_p) \neq \emptyset \end{cases}$$

Then

$$\Gamma \text{-} \lim_{\alpha \rightarrow +\infty}^{L^2} \mathcal{A}_\alpha = \mathcal{A}_\infty$$

*Proof.* We apply Definition 3 with  $\mathcal{X} = \mathcal{L}_\nu^2$  and  $\epsilon = 1/\alpha$ . Fix  $u \in \mathcal{L}_\nu^2$ .

Proof of (LB). Let  $u^\alpha \xrightarrow{L^2} u$ . If  $\liminf_{\alpha \rightarrow +\infty} \mathcal{A}_\alpha(u^\alpha) = +\infty$  there is nothing to prove. Therefore we assume  $\liminf_{\alpha \rightarrow +\infty} \mathcal{A}_\alpha(u^\alpha) = A_\infty < +\infty$ . It follows that there is a sequence  $u^{\alpha_j}$  such that

- i)  $\lim_{\alpha \rightarrow +\infty} \mathcal{A}_\alpha(u^{\alpha_j}) = A_\infty$ ;
- ii)  $u^{\alpha_j}$  converges weakly in  $H^1$  to  $u$ ;
- iii)  $u^{\alpha_j}$  converges to  $u$  also in  $L^\infty$ .

By the lower semicontinuity of the  $L^2$  norm we have

$$A_\infty \geq \liminf_{j \rightarrow \infty} \frac{1}{2} \int_0^T |\dot{u}^{\alpha_j}|^2 dt \geq \frac{1}{2} \int_0^T |\dot{u}|^2 dt = \mathcal{A}_\infty(u).$$

This concludes the proof of (LB).

Proof of (UB). If  $\mathcal{A}_\infty(u) = +\infty$  then there is nothing to prove. Assume  $\mathcal{A}_\infty(u) < +\infty$  and consider the sequence

$$u^\alpha = (1 + \frac{1}{\sqrt{\alpha}})u. \tag{3.3}$$

Then from

$$\lim_{\alpha \rightarrow +\infty} \frac{1}{2} \int_0^T (1 + \frac{1}{\sqrt{\alpha}})^2 |\dot{u}|^2 dt = \mathcal{A}_\infty(u),$$

$$\lim_{\alpha \rightarrow +\infty} \frac{1}{(1 + \frac{1}{\sqrt{\alpha}})^\alpha} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha} dt = 0$$

it follows that

$$\lim_{\alpha \rightarrow +\infty} \mathcal{A}_\alpha(u^\alpha) = \mathcal{A}_\infty(u).$$

This concludes the proofs of (UB) and of the theorem. □

As far as the limit behavior of the minimizers  $u_*^\alpha$  for  $\alpha \rightarrow +\infty$  is concerned we have

**Theorem 3.2.** Let  $\{\alpha_j\}_{j \in \mathbb{N}}$  be a sequence that converges to  $+\infty$ . Then there is a subsequence  $\{u_*^{\alpha_{j_h}}\}_{h \in \mathbb{N}}$  such that

$$\lim_{h \rightarrow \infty} \|u_*^{\alpha_{j_h}} - u_*^\infty\|_{L^\infty(\mathbb{R}; \mathbb{R}^3)} = 0,$$

where  $u_*^\infty$  is a minimizer of  $\mathcal{A}_\infty$  in  $\mathcal{H}_\nu^1$ . Moreover

$$\lim_{h \rightarrow \infty} \mathcal{A}_{\alpha_{j_h}}(u_*^{\alpha_{j_h}}) = \mathcal{A}_\infty(u_*^\infty). \quad (3.4)$$

*Proof.* Let  $\bar{u}_*^\infty \in \mathcal{H}_\nu^1$  be a minimizer of  $\mathcal{A}_\infty$  and set

$$\bar{u}^\alpha = \left(1 + \frac{1}{\sqrt{\alpha}}\right) \bar{u}_*^\infty. \quad (3.5)$$

As in the proof of Theorem 3.1 we have

$$\lim_{\alpha \rightarrow +\infty} \mathcal{A}_\alpha(\bar{u}^\alpha) = \mathcal{A}_\infty(\bar{u}_*^\infty), \quad (3.6)$$

therefore

$$\mathcal{A}_\alpha(\bar{u}^\alpha) \leq \bar{A}$$

for some  $\bar{A}$  independent of  $\alpha \in [2, +\infty)$ . To conclude the proof we need the following lemmas.

**Lemma 3.3.** Given  $\delta \in (0, 1)$  there is  $\alpha_\delta > 0$  such that for  $\alpha > \alpha_\delta$ , given  $R \in \mathcal{R} \setminus \{I\}$  and  $u_I \in \mathcal{H}_\nu^1$  with  $\mathcal{A}_\alpha(u_I) \leq \bar{A}$ , we have

$$|(R - I)u_I(\bar{t})| > 1 - \delta,$$

for some  $\bar{t} \in [0, T)$  depending on  $\alpha, R, u$ .

*Proof.* Assume that  $|(R - I)u_I(t)| \leq 1 - \delta$  for all  $t \in [0, T)$ . Then

$$\frac{T}{(1 - \delta)^\alpha} \leq \int_0^T \frac{1}{|(R - I)u_I|^\alpha} dt \leq \mathcal{A}_\alpha(u_I) \leq \bar{A}$$

which is not true for  $\alpha$  large enough. □

**Lemma 3.4.** Given  $\delta \in (0, 1)$  there is  $\alpha_\delta > 0$  such that for  $\alpha > \alpha_\delta$  we have

$$\min_{t \in [0, T]} |(R - I)u_I(t)| > 1 - \delta \quad (3.7)$$

for each  $R \in \mathcal{R} \setminus \{I\}$  and for each  $u_I \in \mathcal{H}_\nu^1$  such that  $\mathcal{A}_\alpha(u_I) \leq \bar{A}$ .

*Proof.* Fix  $\delta > 0$ . From Lemma 3.3 there exist  $\alpha_{\delta/2} > 0$  and  $\bar{t}$  such that

$$|(R - I)u_I(\bar{t})| > 1 - \delta/2$$

for each  $\alpha > \alpha_{\delta/2}$ . If (3.7) does not hold there are  $t_1, t_2$ ,  $0 < t_2 - t_1 < T$  such that

$$\begin{cases} |(R - I)u_I(t_1)| = 1 - \delta, & |(R - I)u_I(t_2)| = 1 - \frac{\delta}{2}, \\ 1 - \delta \leq |(R - I)u_I(t)| \leq 1 - \frac{\delta}{2}, & \text{for all } t \in [t_1, t_2]. \end{cases}$$

We have

$$\frac{\tau}{(1 - \delta/2)^\alpha} \leq \sum_{R \in \mathcal{R} \setminus \{I\}} \int_0^T \frac{1}{|(R - I)u_I|^\alpha} dt, \quad (3.8)$$

where  $\tau = t_2 - t_1$ . On the other hand

$$\begin{aligned} \frac{\delta}{2} &= |(R - I)u_I(t_2)| - |(R - I)u_I(t_1)| \leq \|R - I\| |u_I(t_2) - u_I(t_1)| \\ &\leq 2|u_I(t_2) - u_I(t_1)| \leq 2 \int_0^\tau |\dot{u}| dt \leq 2 \left( \tau \int_0^T |\dot{u}|^2 dt \right)^{1/2} \end{aligned}$$

From this and (3.8) it follows

$$\frac{1}{2} \frac{\tau}{(1 - \delta/2)^\alpha} + \frac{\delta^2}{32\tau} \leq \mathcal{A}_\alpha(u) \leq \bar{A}. \quad (3.9)$$

Therefore

$$\min_{\tau \in [0, T]} \left( \frac{1}{2} \frac{\tau}{(1 - \delta/2)^\alpha} + \frac{\delta^2}{32\tau} \right) = \frac{\delta}{8(1 - \delta/2)^{\alpha/2}} \leq \bar{A},$$

which is not true for  $\alpha$  large enough. □

For a minimizer  $u_*^\alpha \in \mathcal{H}_\nu^1$  we have

$$\mathcal{A}_\alpha(u_*^\alpha) \leq \mathcal{A}_\alpha(\bar{u}^\alpha) \leq \bar{A} \quad (3.10)$$

From this and the remark after Lemma 2.1 it follows that the family  $\{u_*^\alpha\}_\alpha$  is bounded in  $H_T^1$ . Therefore there exists a sequence  $\alpha_j \rightarrow +\infty$ , and  $v^\infty \in \mathcal{H}_\nu^1$  such that  $\{u_*^{\alpha_j}\}_j$  weakly converges in  $H_T^1$  to  $v^\infty$ . We can also assume that  $\{u_*^{\alpha_j}\}_j$  converges to  $v^\infty$  in  $L^\infty$ .

From Lemma 3.4 the map  $v^\infty$  satisfies the constraint

$$v^\infty(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \text{Cyl}_p) = \emptyset.$$

From the lower semicontinuity of the  $L^2$ -norm we have

$$\liminf_{j \rightarrow \infty} \mathcal{A}_\alpha(u_*^{\alpha_j}) \geq \liminf_{j \rightarrow \infty} \frac{1}{2} \int_0^T |\dot{u}_*^{\alpha_j}|^2 dt \geq \frac{1}{2} \int_0^T |\dot{v}_T^\infty|^2 dt = \mathcal{A}_\infty(v^\infty). \quad (3.11)$$

On the other hand, from (3.10) and (3.6) it follows that

$$\limsup_{j \rightarrow \infty} \mathcal{A}_\alpha(u_*^{\alpha_j}) \leq \limsup_{j \rightarrow \infty} \mathcal{A}_\alpha(\bar{u}^{\alpha_j}) = \mathcal{A}_\infty(\bar{u}_*^\infty) \quad (3.12)$$

It follows that

$$\mathcal{A}_\infty(v^\infty) \leq \mathcal{A}_\infty(\bar{u}_*^\infty) \quad (3.13)$$

therefore in (3.13) the equality holds and  $v^\infty$  is a minimizer of  $\mathcal{A}_\infty$ . Moreover, from (3.11), (3.12) follows the existence of the limit (3.4). Therefore we take  $u_*^\infty = v^\infty$ . The proof of Theorem 3.2 is concluded. □

For animations of orbits in Theorem 3.2 for the sequences in Table 3 click here:

$$\boxed{(\mathcal{O}, \nu_1)}, \quad \boxed{(\mathcal{O}, \nu_2)}.$$

Table 3: The sequences refer to the enumeration of vertexes of  $\mathcal{Q}_{\mathcal{R}}$  in Figure 2.

$\frac{\mathcal{R}}{\mathcal{O}}$	$\nu$
	$\nu_1 = [3, 10, 8, 3, 1, 5, 16, 1, 14, 23, 11, 14, 7, 18, 20, 7, 3]$
	$\nu_2 = [3, 10, 8, 3, 1, 14, 7, 3, 1, 5, 16, 1, 14, 7, 3, 1, 14, 23, 11, 14, 7, 3, 1, 14, 7, 18, 20, 7, 3, 1, 14, 7, 3]$

## 4 The proof of Theorem 1.3

### 4.1 Minimizing the action in a given homotopy class

In the following lemmas we set  $A_{\alpha, H}^{\omega} = \mathcal{A}_{\alpha, H}(v)$  for a test function  $v \in \mathcal{H}_{\omega}^1$  that satisfies (1.10).

**Lemma 4.1.** *Let  $\alpha > 2, H > 0$  and  $\omega \in \Omega \setminus \Omega_0$  be given. Then there exist  $r_{\alpha}^{\omega} > 0$  and  $T_{\alpha}^{\omega} > \tau_{\alpha}^{\omega} > 0$  such that each  $u \in \mathcal{H}_{\omega}^1$  with  $\mathcal{A}_{\alpha, H}(u) \leq A_{\alpha, H}^{\omega}$  satisfies*

$$d(u(\mathbb{R}), \Gamma) > r_{\alpha}^{\omega}. \quad (4.1)$$

$$\tau_{\alpha}^{\omega} \leq T_u \leq T_{\alpha}^{\omega}. \quad (4.2)$$

*Proof.* Let

$$r = \min_{R \in \mathcal{R} \setminus \{I\}} |(R - I)u|.$$

Then from the fact that the Lagrangian is the sum of two non-negative terms we have

$$\begin{aligned} \mathcal{A}_{\alpha, H}(u) &= \int_0^{T_u} \left[ H + \frac{1}{2} \left( |\dot{u}|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R - I)u|^{\alpha}} \right) \right] dt \\ &\geq \sqrt{2} \int_0^{T_u} \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R - I)u|^{\alpha}}} |\dot{u}| dt \\ &\geq \sqrt{2} \int_0^{T_u} \sqrt{H + \frac{1}{2r^{\alpha}}} |\dot{u}| dt > \int_0^{T_u} r^{-\frac{\alpha}{2}} |\dot{u}| dt. \end{aligned} \quad (4.3)$$

Set

$$\bar{r} = \inf_{[0, T_u]} r.$$

Assume  $\bar{r} = 0$ . Then, for each  $r_0 > 0$  small enough, there is an interval  $[\tau, \tau']$  such that  $\frac{r_0}{2} \leq r \leq r_0$ , for  $t \in [\tau, \tau']$ , with  $r = r_0$  for  $t = \tau$  and  $r = \frac{r_0}{2}$  for  $t = \tau'$ . Therefore, in this case, if we set  $L_0 = \int_{\tau}^{\tau'} |\dot{u}| dt$ , (4.3) implies

$$\mathcal{A}_{\alpha, H}(u) \geq \int_{\tau}^{\tau'} r^{-\frac{\alpha}{2}} |\dot{u}| dt = \int_0^{L_0} r^{-\frac{\alpha}{2}} ds \geq \frac{1}{k_{\mathcal{R}}} \int_{\frac{r_0}{2}}^{r_0} r^{-\frac{\alpha}{2}} dr, \quad (4.4)$$

where  $s$  is arc-length and we have used (2.4).

Since  $\alpha > 2$  the last integral in (4.4) diverges to  $+\infty$  as  $r_0 \rightarrow 0^+$  in contradiction with the bound  $\mathcal{A}_{\alpha, H}(u) \leq A_{\alpha, H}^{\omega}$ . It follows that  $\bar{r} > 0$ . Since  $\omega \in \Omega \setminus \Omega_0$  the length

$L_u = \int_0^{T_u} |\dot{u}| dt$  of the orbit of  $u$  has a lower bound of the form  $L_u \geq c_\omega \bar{r}$  for some  $c_\omega > 0$ . By utilizing this and (4.3) we get

$$A_{\alpha,H}^\omega \geq \int_0^{L_u} r^{-\frac{\alpha}{2}} ds \geq \frac{1}{k_{\mathcal{R}}} \int_{\bar{r}}^{(1+c_\omega)\bar{r}} r^{-\frac{\alpha}{2}} dr = \frac{2}{k_{\mathcal{R}}(\alpha-2)} \frac{[(1+c_\omega)^{\frac{\alpha-2}{2}} - 1]}{(1+c_\omega)^{\frac{\alpha-2}{2}}} \frac{1}{\bar{r}^{\frac{\alpha-2}{2}}}.$$

This inequality shows the existence of a lower bound  $r_\alpha^\omega > 0$  for  $\bar{r}$  and establishes (4.1). To prove (4.2) we observe that, by Hölder's inequality, we obtain

$$A_{\alpha,H}^\omega \geq \int_0^{T_u} \frac{1}{2} |\dot{u}|^2 dt \geq \frac{1}{2} \frac{c_\omega^2 (r_\alpha^\omega)^2}{T_u}, \quad (4.5)$$

that is  $T_u \geq \frac{1}{2} \frac{c_\omega^2 (r_\alpha^\omega)^2}{A_{\alpha,H}^\omega}$ . The other inequality follows from

$$A_{\alpha,H}^\omega \geq HT_u. \quad (4.6)$$

The proof is concluded.  $\square$

**Lemma 4.2.** *Assume  $\alpha > 0$ ,  $H > 0$  and  $\omega \in \Omega \setminus \Omega_0$ . Then  $\mathcal{A}_{\alpha,H}$  is coercive on  $\mathcal{H}_\omega^1$ , that is*

$$\mathcal{A}_{\alpha,H}(u) \geq c_H \|u\|_{L^\infty(\mathbb{R}; \mathbb{R}^3)}, \quad u \in \mathcal{H}_\omega^1 \quad (4.7)$$

for some constant  $c_H > 0$  independent of  $\omega$  and  $u$ .

*Proof.* From (1.7) it follows

$$\frac{\sqrt{2}}{c_0} H^{\frac{1}{2}} \|u\|_{L^\infty} \leq \frac{1}{2c_0^2} \frac{\|u\|_{L^\infty}^2}{T_u} + HT_u \leq \int_0^{T_u} \left[ H + \frac{1}{2} |\dot{u}|^2 \right] dt \leq \mathcal{A}_{\alpha,H}(u).$$

This concludes the proof.  $\square$

**Lemma 4.3.** *Assume  $\alpha > 2$  and  $H > 0$ . Then for each  $\omega \in \Omega \setminus \Omega_0$  there exists  $u_*^{\alpha,H} \in \mathcal{H}_\omega^1$  such that*

$$\mathcal{A}_{\alpha,H}(u_*^{\alpha,H}) = \inf_{u_i \in \mathcal{H}_\omega^1} \mathcal{A}_{\alpha,H}(u). \quad (4.8)$$

*Proof.* Let  $\{u^j\} \subset \mathcal{H}_\omega^1$  be a minimizing sequence and let  $T_j$  be the minimal period of  $u^j$ . We can assume

$$\mathcal{A}_{\alpha,H}(u^j) \leq A_{\alpha,H}^\omega, \quad j \in \mathbb{N} \quad (4.9)$$

and, up to subsequences, we can also assume

(i) The sequence  $\{T_j\}$  is monotone and

$$\tau_\omega \leq \lim_{j \rightarrow \infty} T_j = T \leq T_\omega. \quad (4.10)$$

where we have also made use of Lemma 4.1

(ii) There exists a  $T$ -periodic map  $u_*^{\alpha,H} \in C^{0,\frac{1}{2}}(\mathbb{R}; \mathbb{R}^3)$  such that

$$\lim_{j \rightarrow \infty} u^j(t) = u_*^{\alpha,H}(t), \quad t \in \mathbb{R}$$

uniformly on compact sets. This follows from Ascoli-Arzelà's theorem after observing that (4.9) and (4.7) in Lemma 4.2 imply

$$\|u^j\|_{L^\infty} \leq CA_{\alpha,H}^\omega, \quad \text{for } j \in \mathbb{N}, \quad C = 1/c_H \quad (4.11)$$

while (4.9) and Hölder's inequality yield

$$|u^j(t_1) - u^j(t_2)| \leq |t_1 - t_2|^{\frac{1}{2}} \left| \int_{t_1}^{t_2} |\dot{u}^j|^2 dt \right|^{\frac{1}{2}} \leq |t_1 - t_2|^{\frac{1}{2}} \sqrt{2A_{\alpha,H}^\omega}.$$

(iii) From (4.9) and (4.11) it follows that the sequence  $\{u^j\}$  is uniformly bounded in  $H_{\text{loc}}^1(\mathbb{R}; \mathbb{R}^3)$ . Therefore we can assume that  $u_*^{\alpha,H}$  is the weak  $H^1$ -limit of  $u^j$  as  $j \rightarrow \infty$  and conclude that  $u_*^{\alpha,H}$  is a  $H^1$  map:

$$u_*^{\alpha,H} \in \mathcal{H}_\omega^1.$$

To prove (4.8) we distinguish two cases:

a)  $T_{j+1} \leq T_j$ . In this case it results

$$\mathcal{A}_{\alpha,H}(u^j) \geq \int_0^T \left[ H + \frac{1}{2} \left( |\dot{u}^j|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u^j|^\alpha} \right) \right] dt \quad (4.12)$$

and we have

$$\lim_{j \rightarrow \infty} \int_0^T \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u^j|^\alpha} dt = \int_0^T \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u_*^{\alpha,H}|^\alpha} dt \quad (4.13)$$

since  $u^j \rightarrow u_*^{\alpha,H}$  uniformly in compacts and

$$\liminf_{j \rightarrow \infty} \int_0^T |\dot{u}^j|^2 dt \geq \int_0^T |\dot{u}_*^{\alpha,H}|^2 dt \quad (4.14)$$

by lower semi-continuity of the  $L^2$  norm with respect to weak convergence. This concludes the proof in case a).

b)  $T_{j+1} \geq T_j$ . Define

$$v^j(t) = \begin{cases} u^j(t), & \text{for } t \in [0, T_j], \\ u^j(T_j), & \text{for } t \in (T_j, T]. \end{cases}$$

Since the sequence  $\{v^j\}$  is bounded in  $H^1([0, T]; \mathbb{R}^3)$  we can assume that  $v^j \rightharpoonup u_*^{\alpha,H}$  weakly in  $H^1([0, T]; \mathbb{R}^3)$ . This and the uniform convergence of  $v^j$  to  $u_*^{\alpha,H}$  imply

$$\begin{aligned} \liminf_{j \rightarrow \infty} \mathcal{A}_{\alpha,H}(v^j) &= \liminf_{j \rightarrow \infty} \int_0^T \left[ H + \frac{1}{2} \left( |\dot{v}^j|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)v^j|^\alpha} \right) \right] dt \\ &\geq \mathcal{A}_{\alpha,H}(u_*^{\alpha,H}). \end{aligned}$$

This and the identity

$$\begin{aligned}\mathcal{A}_{\alpha,H}(v^j) &= \int_0^T \frac{1}{2} \left[ H + \left( |\dot{v}^j|^2 + \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)v^j|^\alpha} \right) \right] dt \\ &= \mathcal{A}_{\alpha,H}(w^j) + \left[ H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)w^j(T_j)|^\alpha} \right] (T - T_j)\end{aligned}$$

conclude the proof.  $\square$

**Lemma 4.4.** *Assume  $\alpha > 2$ ,  $H > 0$  and  $\omega \in \Omega \setminus \Omega_0$  and let  $u_*^{\alpha,H} \in \mathcal{H}_\omega^1$  be the minimizer of  $\mathcal{A}_{\alpha,H}|_{\mathcal{H}_\omega^1}$  in Lemma 4.3. Then  $u_*^{\alpha,H} \in C^\infty(\mathbb{R}; \mathbb{R}^3)$  and  $u_*^{\alpha,H}$  satisfies (1.10).*

*Proof.* From (4.1) in Lemma 4.1  $u_*^{\alpha,H}(\mathbb{R})$  has a positive distance from the singular set  $\Gamma$ . This implies that we can regard the potential

$$\mathcal{U}_\alpha(z) = \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)z|^\alpha}$$

as a  $C^\infty$  bounded function. Using this and the fact that, being  $u_*^{\alpha,H}$  a minimizer of  $\mathcal{A}_{\alpha,H}|_{\mathcal{H}_\omega^1}$ , the first variation of  $\mathcal{A}_{\alpha,H}$  at  $u_*^{\alpha,H}$  in the class of  $\mathcal{H}_\omega^1$  maps of period  $T = T_{u_*^{\alpha,H}}$  vanishes we deduce that  $u_*^{\alpha,H} \in C^\infty(\mathbb{R}, \mathbb{R}^3)$  by elliptic regularity. Then it follows that  $u_*^{\alpha,H}$  satisfies the equation of motion and therefore also (1.10) for some value  $H_*$  of the constant  $H$ . We now show that  $H_*$  is exactly the constant  $H$  in the definition of  $\mathcal{A}_{\alpha,H}$ . We temporarily write  $u$  for  $u_*^{\alpha,H}$  and let  $v : \mathbb{R} \times (-s_0, s_0) \rightarrow \mathbb{R}^3$ ,  $T : (-s_0, s_0) \rightarrow \mathbb{R}$  be smooth maps defined in a neighborhood  $(-s_0, s_0)$  of  $s = 0$ . We assume that

1. For each  $s \in (-s_0, s_0)$ ,  $v(\cdot, s)$  is periodic with period  $T(s)$ .
2.  $T(0) = T$  and  $v(\cdot, 0) = u$ .

Set

$$\mathcal{A}(s) = \mathcal{A}_{\alpha,H}(v(\cdot, s)) = \int_0^{T(s)} \left( H + \frac{1}{2} [|\dot{v}(t, s)|^2 + \mathcal{U}_\alpha(v(t, s))] \right) dt.$$

Then  $\mathcal{A}(s)$  is a smooth function in  $(-s_0, s_0)$  and the minimality of  $v$  implies that  $\frac{d}{ds} \mathcal{A}(s)|_{s=0} = 0$ . Differentiating the identity

$$v(t + T(s), s) = v(t, s), \quad t \in \mathbb{R}, \quad s \in (-s_0, s_0)$$

with respect to  $s$  yields

$$\dot{v}(t + T(s), s)T_s(s) + v_s(t + T(s), s) = v_s(t, s).$$

If we set  $s = 0$  in this identity we obtain

$$\dot{u}(t + T)T_s(0) + v_s(t + T, 0) = v_s(t, 0). \quad (4.15)$$

Differentiating  $\mathcal{A}(s)$  yields

$$\begin{aligned}\frac{d}{ds} \mathcal{A}(s) &= \int_0^{T(s)} \left( \langle \dot{v}, \dot{v}_s \rangle + \frac{1}{2} \langle \mathcal{U}_{\alpha,z}(v), v_s \rangle \right) dt \\ &\quad + \left( H + \frac{1}{2} [|\dot{v}(T(s), s)|^2 + \mathcal{U}_\alpha(v(T(s), s))] \right) T_s(s),\end{aligned}$$



which for  $s = 0$  becomes

$$\begin{aligned}
\frac{d}{ds}\mathcal{A}(s)|_{s=0} &= \int_0^T (\langle \dot{u}, \dot{v}_s \rangle + \frac{1}{2} \langle \mathcal{U}_{\alpha,z}(u), v_s \rangle) dt \\
&\quad + \left( H + \frac{1}{2} [|\dot{u}(T)|^2 + \mathcal{U}_\alpha(u(T))] \right) T_s(0) \\
&= \int_0^T \langle -\ddot{u} + \frac{1}{2} \mathcal{U}_{\alpha,z}(u), v_s \rangle dt + \langle \dot{u}, v_s \rangle|_{t=0}^{t=T} \\
&\quad + \left( H + \frac{1}{2} [|\dot{u}(T)|^2 + \mathcal{U}_\alpha(u(T))] \right) T_s(0). \tag{4.16}
\end{aligned}$$

Note that

$$\begin{aligned}
\langle \dot{u}, v_s \rangle|_{t=0}^{t=T} &= \langle \dot{u}(T), v_s(T, 0) \rangle - \langle \dot{u}(0), v_s(0, 0) \rangle \\
&= \langle \dot{u}(T), v_s(T, 0) - v_s(0, 0) \rangle = -|\dot{u}(T)|^2 T_s(0),
\end{aligned}$$

where we have used (4.15) for  $t = 0$ . From this and (4.16) it follows

$$\begin{aligned}
\frac{d}{ds}\mathcal{A}(s)|_{s=0} &= \int_0^T \langle -\ddot{u} + \frac{1}{2} \mathcal{U}_{\alpha,z}(u), v_s \rangle dt \\
&\quad + \left( H + \frac{1}{2} [ -|\dot{u}(T)|^2 + \mathcal{U}_\alpha(u(T)) ] \right) T_s(0). \tag{4.17}
\end{aligned}$$

Since the minimality of  $u$  implies  $\frac{d}{ds}\mathcal{A}(s)|_{s=0} = 0$  for any choice of the map  $v$  introduced above, we can regard  $v_s$  and  $T_s(0)$  as arbitrary quantities and deduce from (4.17) that

$$\frac{1}{2} |\dot{u}(T)|^2 = H + \frac{1}{2} \mathcal{U}_\alpha(u(T))$$

which, since the choice of the initial time  $t = 0$  is arbitrary, concludes the proof.  $\square$

## 4.2 $L^\infty$ bounds independent of $\omega \in \Omega \setminus \Omega_0$ .

From Lemma 4.4 we have that, provided  $\alpha > 2$  and  $H > 0$ , for each  $\omega \in \Omega \setminus \Omega_0$  the minimizer  $u_*^{\alpha,H} \in \mathcal{H}_\omega^1$  given by Lemma 4.3 is a smooth map that satisfies (1.10). Since  $u_*^{\alpha,H}$  minimizes  $\mathcal{A}_{\alpha,H}$  on the whole of  $\mathcal{H}_\omega^1$ ,  $u_*^{\alpha,H}$  is obviously a minimizer of  $\mathcal{A}_{\alpha,H}$  in the subset  $\mathcal{H}_{\omega,H}^1$  of  $\mathcal{H}_\omega^1$  of the maps that satisfy (1.10). On the basis of Lemma 4.1 we can also assume that maps in  $\mathcal{H}_{\omega,H}^1$  satisfy (4.1). For  $u \in \mathcal{H}_{\omega,H}^1$ , using (1.10) we can write  $\mathcal{A}_{\alpha,H}$  in Jacobi form:

$$\begin{aligned}
\mathcal{A}(u) &= \sqrt{2} \int_0^{T_u} \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}} |\dot{u}| dt \\
&= \sqrt{2} \int_0^{L_u} \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha}} ds
\end{aligned}$$

where we have simply written  $\mathcal{A}$  instead of  $\mathcal{A}_{\alpha,H}$  and set  $L_u = \int_0^{T_u} |\dot{u}| dt$ .

Given a pole  $p \in \mathcal{P}$  and  $z \in \mathbb{R}^3$  we set  $z = z^p + z^{p^\perp}$  where  $z^p = \langle z, p \rangle p$  and  $z^{p^\perp} = z - \langle z, p \rangle p$ .

**Proposition 4.5.** *Assume  $\alpha > 2$ ,  $H > 0$  and  $\omega \in \Omega \setminus \Omega_0$ . Then there exist  $\bar{r} > 0$  and  $\bar{\rho} > 0$  independent of  $\omega \in \Omega \setminus \Omega_0$  such that if  $u \in \mathcal{H}_{\omega, H}^1$  satisfies*

$$u(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p^\perp}| < \bar{\rho}\} \cup B_{\bar{r}}) \neq \emptyset,$$

*then there exists  $v \in \mathcal{H}_{\omega, H}^1$  such that*

$$\begin{cases} v(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p^\perp}| < \bar{\rho}\} \cup B_{\bar{r}}) = \emptyset, \\ \mathcal{A}(v) < \mathcal{A}(u). \end{cases} \quad (4.18)$$

*Proof.* For each  $z \in \mathbb{S}^2$  the map  $r \mapsto r \sqrt{H + \frac{1}{2r^\alpha} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)z|^\alpha}}$  is strictly decreasing in  $(0, r(z))$ , and strictly increasing in  $(r(z), +\infty)$ , where

$$r(z) = \left( \frac{\alpha - 2}{4H} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)z|^\alpha} \right)^{\frac{1}{\alpha}}. \quad (4.19)$$

Let  $\bar{r} = \min_{z \in \mathbb{S}^2} r(z)$  and define  $w \in \mathcal{H}_{\omega, H}^1$  by setting

$$w(s) = \begin{cases} u(s), & \text{if } |u(s)| \geq \bar{r}, \\ \bar{r} \frac{u(s)}{|u(s)|}, & \text{if } |u(s)| < \bar{r}, \end{cases} \quad (4.20)$$

where  $s$  is arc-length along the orbit of  $u$ . Note that, for  $|u(s)| < \bar{r}$ ,

$$\left| \frac{dw}{ds}(s) \right| = \frac{\bar{r}}{|u(s)|} \left| \frac{du}{ds}(s) - \left\langle \frac{du}{ds}(s), \frac{u(s)}{|u(s)|} \right\rangle \frac{u(s)}{|u(s)|} \right| \leq \frac{\bar{r}}{|u(s)|},$$

where we have used  $|\frac{du}{ds}(s)| = 1$ . Therefore, if  $s \in \mathbb{R}$  is such that  $|u(s)| < \bar{r}$  we have

$$\begin{aligned} & \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)w(s)|^\alpha}} \left| \frac{dw}{ds}(s) \right| \leq \sqrt{H + \frac{1}{2\bar{r}^\alpha} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)\frac{u(s)}{|u(s)|} |u(s)|^\alpha}} \bar{r} \\ & < \sqrt{H + \frac{1}{2|u(s)|^\alpha} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)\frac{u(s)}{|u(s)|} |u(s)|^\alpha}} = \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u(s)|^\alpha}}. \end{aligned}$$

These inequalities and (4.20) imply

$$\mathcal{A}(w) < \mathcal{A}(u).$$

To complete the proof of Proposition 4.5 we need the following Lemmas.

**Lemma 4.6.** *Let  $H > 0$  and  $\bar{\zeta} > 0$  be fixed. Given  $p \in \mathcal{P}$ ,  $\zeta \geq \bar{\zeta}$  and a unit vector  $n$  orthogonal to  $p$ , let  $\varphi_{p, n, \zeta}$  be the map defined by*

$$\rho \xrightarrow{\varphi_{p, n, \zeta}} \rho \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)(\zeta p + \rho n)|^\alpha}}.$$

*Then there is  $\bar{\rho} > 0$  such that  $\varphi_{p, n, \zeta}$  is strictly decreasing in  $(0, \bar{\rho}]$ . Moreover  $\bar{\rho}$  can be chosen independent of  $p \in \mathcal{P}$ ,  $\zeta$  and  $n$ .*

*Proof.* let  $C_p \subset \mathcal{R}$  be the cyclic subgroup of the rotations that leave  $p$  fixed. For  $R \in C_p$  it results

$$(R - I)(\zeta p + \rho n) = \rho(R - I)n, \Rightarrow \sum_{R \in C_p \setminus \{I\}} \frac{1}{|(R - I)(\zeta p + \rho n)|^\alpha} = \frac{c_p}{\rho^\alpha},$$

where, denoting by  $o_p$  the order of  $C_p$ , we have

$$c_p = \sum_{R \in C_p \setminus \{I\}} \frac{1}{|(R - I)n|^\alpha} = \sum_{j=1}^{o_p-1} \frac{1}{|2 \sin(\frac{j\pi}{o_p})|^\alpha}.$$

The condition  $\varphi'_{p,n,\zeta}(\rho) < 0$  is equivalent to

$$\frac{\alpha - 2}{4} \frac{c_p}{\rho^\alpha} > H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus C_p} \frac{1}{|(R - I)(\zeta p + \rho n)|^\alpha} - \frac{\alpha \rho}{4} \sum_{R \in \mathcal{R} \setminus C_p} \frac{\langle (R - I)n, (R - I)(\zeta p + \rho n) \rangle}{|(R - I)(\zeta p + \rho n)|^{\alpha+2}}. \quad (4.21)$$

Let

$$d = \min_{\substack{p,q \in \mathcal{P} \\ p \neq q}} |p - q|$$

and let  $\bar{\rho}' > 0$  be a fixed number which is small with respect to  $d\bar{\zeta}$ . Set

$$K = \max_{p,n,\zeta \geq \bar{\zeta}, \rho \leq \bar{\rho}'} \left| \frac{1}{2} \sum_{R \in \mathcal{R} \setminus C_p} \frac{1}{|(R - I)(\zeta p + \rho n)|^\alpha} - \frac{\alpha \rho}{4} \sum_{R \in \mathcal{R} \setminus C_p} \frac{\langle (R - I)n, (R - I)(\zeta p + \rho n) \rangle}{|(R - I)(\zeta p + \rho n)|^{\alpha+2}} \right|. \quad (4.22)$$

Let  $c = \min_{p \in \mathcal{P}} c_p$  and let  $\bar{\rho} > 0$  be a number smaller than  $\min\{\bar{\rho}', \rho_0\}$  where  $\rho_0$  is the root of the equation

$$\frac{(\alpha - 2)}{4} \frac{c}{\rho^\alpha} = H + K. \quad (4.23)$$

Then  $\rho \in (0, \bar{\rho}]$  is a sufficient condition in order that (4.21) holds and therefore in order that  $\varphi'_{p,n,\zeta}(\rho) < 0$ .  $\square$

**Lemma 4.7.** *Let  $\bar{r}$  be as before and  $\bar{\rho}$  be the number corresponding to  $\bar{\zeta} = \bar{r}/2$  defined in Lemma 4.6. Assume that  $u \in \mathcal{H}_{\omega,H}^1$  satisfies*

$$\begin{cases} u(\mathbb{R}) \cap B_{\bar{r}} = \emptyset, \\ u(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p^\perp}| < \bar{\rho}\}) \neq \emptyset. \end{cases} \quad (4.24)$$

Then there exists  $v \in \mathcal{H}_{\omega,H}^1$  such that

$$\begin{cases} v(\mathbb{R}) \cap (\cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p^\perp}| < \bar{\rho}\} \cup B_{\bar{r}}) = \emptyset \\ \mathcal{A}(v) < \mathcal{A}(u). \end{cases} \quad (4.25)$$

*Proof.* Define  $v \in \mathcal{H}_{\omega,H}^1$  by

$$v(s) = \begin{cases} u(s), & \text{if } u(s) \notin \cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p^\perp}| < \bar{\rho}\} \\ u^p(s) + \bar{\rho} \frac{u^{p^\perp}(s)}{|u^{p^\perp}(s)|}, & \text{for } |u^{p^\perp}(s)| < \bar{\rho}. \end{cases}$$

For  $|u^{p\perp}(s)| < \bar{\rho}$  we have

$$\begin{aligned} \frac{dv^{p\perp}}{ds} &= \frac{\bar{\rho}}{|u^{p\perp}|} \left( \frac{du^{p\perp}}{ds} - \left\langle \frac{du^{p\perp}}{ds}, \frac{u^{p\perp}}{|u^{p\perp}|} \right\rangle \frac{u^{p\perp}}{|u^{p\perp}|} \right) \\ &\Rightarrow \left| \frac{dv^{p\perp}}{ds} \right| \leq \frac{\bar{\rho}}{|u^{p\perp}|} \left| \frac{du^{p\perp}}{ds} \right|. \end{aligned} \quad (4.26)$$

Set

$$\phi_p(x) = \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)(u^p+x)|^\alpha}}$$

where  $x \in \mathbb{R}^3$  satisfies  $\langle x, p \rangle = 0$ . From (4.26) and Lemma 4.6 it follows, for  $|u^{p\perp}(s)| < \bar{\rho}$ ,

$$\begin{aligned} \phi_p(u^{p\perp}) \left| \frac{du^{p\perp}}{ds} \right| &\geq \phi_p(u^{p\perp}) \frac{|u^{p\perp}|}{\bar{\rho}} \left| \frac{dv^{p\perp}}{ds} \right| \\ &> \phi_p\left(\bar{\rho} \frac{u^{p\perp}}{|u^{p\perp}|}\right) \left| \frac{dv^{p\perp}}{ds} \right| = \phi_p(v^{p\perp}) \left| \frac{dv^{p\perp}}{ds} \right|. \end{aligned} \quad (4.27)$$

We also have, for  $|u^{p\perp}(s)| < \bar{\rho}$ ,

$$\phi_p(u^{p\perp}) \left| \frac{du^p}{ds} \right| > \phi_p\left(\bar{\rho} \frac{u^{p\perp}}{|u^{p\perp}|}\right) \left| \frac{du^p}{ds} \right| = \phi_p(v^{p\perp}) \left| \frac{dv^p}{ds} \right|, \quad (4.28)$$

where we have used that  $|x| < \bar{\rho} \Rightarrow \phi_p(\bar{\rho} \frac{x}{|x|}) < \phi_p(x)$ .

Inequalities (4.27) and (4.28) imply that, for each  $s$  such that  $u(s) \in \cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : |z^{p\perp}| < \bar{\rho}\}$ , we have

$$\sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)u|^\alpha} \left| \frac{du}{ds} \right|} > \sqrt{H + \frac{1}{2} \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R-I)v|^\alpha} \left| \frac{dv}{ds} \right|}.$$

This concludes the proof of the lemma.  $\square$

The map  $w \in \mathcal{H}_{\omega, H}^1$ , constructed in the first part of the proof, satisfies  $w(\mathbb{R}) \cap B_{\bar{r}} = \emptyset$ . Therefore Lemma 4.7 with  $u = w$  yields a map  $v$  such that (4.18) holds. The proof of Proposition 4.5 is complete.  $\square$

We now show that minimizing orbits are contained in a fixed ball.

**Proposition 4.8.** *Assume  $\alpha > 2$  and  $H > 0$ . Then there exists  $r_0 > 0$  independent of  $\omega \in \Omega \setminus \Omega_0$  such that*

$$u \in \mathcal{H}_{\omega, H}^1 \text{ and } u(\mathbb{R}) \setminus \overline{B_{r_0}} \neq \emptyset \quad (4.29)$$

imply the existence of  $v \in \mathcal{H}_{\omega, H}^1$  which satisfies

$$v(\mathbb{R}) \subset \overline{B_{r_0}} \quad \text{and} \quad \mathcal{A}(v) < \mathcal{A}(u).$$

*Proof.* Let  $\bar{r}$  and  $\bar{\rho}$  be as in Proposition 4.5. Define

$$\Sigma = \{z \in \mathbb{R}^3 : |z| \geq r\left(\frac{z}{|z|}\right)\},$$

with  $r(z)$  as in (4.19), and let

$$\mathcal{D}_{r,\rho} = \mathbb{R}^3 \setminus [(\cup_{p \in \mathcal{P}} \{z : |z^{p\perp}| < \rho\}) \cup B_r].$$

Fix  $\bar{\rho}_1 \in (0, \bar{\rho})$  and let

$$D_r = \mathcal{D}_{r,\bar{\rho}_1}$$

for  $r \geq \bar{r}$ . From (4.19) it follows that there exists  $\bar{r}_1 > \bar{r}$  such that

$$D_r \subset \Sigma \quad \text{for all } r \geq \bar{r}_1.$$

From Proposition 4.5 we can assume

$$u(\mathbb{R}) \subset \bar{D}, \tag{4.30}$$

where

$$\bar{D} = \mathcal{D}_{\bar{r},\bar{\rho}}. \tag{4.31}$$

Define  $\varphi_0 > 0$  by

$$\sin \varphi_0 = \frac{\bar{\rho}_1}{\bar{r}_1}.$$

For  $0 < \varphi \leq \varphi_0$  let

$$\mathcal{C}_\varphi = \cup_{p \in \mathcal{P}} \{z \in \mathbb{R}^3 : \frac{|z^{p\perp}|}{|z|} < \sin \varphi\}$$

and let

$$\phi(u) = \sup\{\varphi > 0 : u(\mathbb{R}) \cap (\mathcal{C}_\varphi \cup B_{\bar{r}}) = \emptyset\}.$$

From (4.30) it follows that  $\phi(u) > 0$ . For  $0 < \varphi \leq \varphi_0$  let

$$r_\varphi = \frac{\bar{\rho}_1}{\sin \varphi}$$

and define (see Figure 3)

$$\begin{aligned} E_\varphi &= \mathbb{R}^3 \setminus (\mathcal{C}_\varphi \cup B_{r_\varphi}), \\ F_\varphi &= E_\varphi \cup (\bar{D} \setminus D_{r_\varphi}). \end{aligned}$$

Observe that it results

$$E_\varphi \subset \Sigma, \quad \text{for all } \varphi \leq \varphi_0. \tag{4.32}$$

Assume that  $\varphi \in (0, \varphi_0)$  and  $w \in \mathcal{H}_{\omega,H}^1$ , with  $w(\mathbb{R}) \subset \bar{D}$ , be such that

$$\begin{aligned} w(\mathbb{R}) &\subset F_\varphi, \\ w(\mathbb{R}) \setminus \bar{B}_{r_\varphi} &\neq \emptyset. \end{aligned}$$

Let  $\hat{w} \in \mathcal{H}_{\omega,H}^1$  be the map defined by

$$\hat{w}(s) = \begin{cases} w(s), & \text{if } |w(s)| \leq r_\varphi, \\ r_\varphi \frac{w(s)}{|w(s)|}, & \text{if } |w(s)| > r_\varphi. \end{cases} \tag{4.33}$$

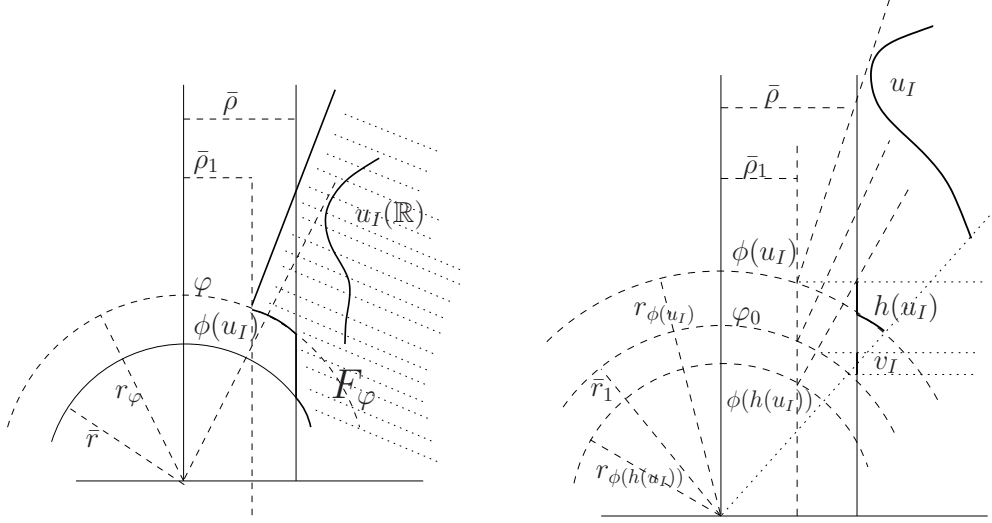


Figure 3: Left: the set  $F_\varphi$  and the angles  $\varphi$ ,  $\phi(u)$ . Right: the map  $h$ .

From this definition and the inclusion (4.32) it follows

$$\mathcal{A}(\hat{w}) < \mathcal{A}(w).$$

Finally define  $\tilde{w} \in \mathcal{H}_{\omega, H}^1$  by setting

$$\tilde{w}(s) = \begin{cases} \hat{w}(s), & \text{if } |\hat{w}^{p\perp}(s)| \geq \bar{\rho}, \\ \hat{w}^p(s) + \bar{\rho} \frac{\hat{w}^{p\perp}(s)}{|\hat{w}^{p\perp}(s)|}, & \text{if } |\hat{w}^{p\perp}(s)| < \bar{\rho}. \end{cases} \quad (4.34)$$

From this definition and Proposition 4.5 it follows

$$\mathcal{A}(\tilde{w}) < \mathcal{A}(\hat{w}) < \mathcal{A}(w).$$

Note also that from (4.33) and (4.34) it follows

$$\begin{aligned} \sin \phi(\tilde{w}) &> \frac{\bar{\rho}}{r_\varphi^*}, \\ \tilde{w}(\mathbb{R}) &\subset \overline{B_{r_\varphi^*}}. \end{aligned}$$

where

$$r_\varphi^* = \sqrt{r_\varphi^2 - \bar{\rho}_1^2 + \bar{\rho}^2}.$$

In conclusion the map  $w \xrightarrow{h} \tilde{w}$  constructed by means of (4.33), (4.34) has the following properties

$$\left. \begin{aligned} w(\mathbb{R}) &\subset F_\varphi, \\ w(\mathbb{R}) \setminus \overline{B_{r_\varphi}} &\neq \emptyset, \end{aligned} \right\} \Rightarrow \begin{cases} \sin \phi(h(w)) > \frac{\bar{\rho}}{r_\varphi^*}, \\ h(w)(\mathbb{R}) \subset \overline{D} \cap \overline{B_{r_\varphi^*}}, \\ \mathcal{A}(h(w)) < \mathcal{A}(w), \end{cases} \quad (4.35)$$

where we have used (4.30). Consider first the case  $\phi(u) \geq \varphi_0$ . Then we have  $u(\mathbb{R}) \subset F_{\varphi_0}$  and assumption (4.29) implies  $u(\mathbb{R}) \setminus \overline{B_{\bar{r}_1}} \neq \emptyset$ . Take  $\phi = \phi_0$ . Then (4.35) implies that in this case to prove the proposition we can take

$$v = h(u) \quad \text{and} \quad r_0 = r_{\varphi_0}^*.$$

Assume now that  $\phi(u) < \varphi_0$ . In this case we define  $w^1 = h(u)$ ,  $w^k = h(w^{k-1})$ ,  $k = 2, \dots$ ;  $\varphi_1 = \phi(u)$ ,  $\varphi_k = \phi(h(w^{k-1}))$ ,  $k = 2, \dots$ . From the inequality for  $\phi(h(w))$  in (4.35) it follows that there is a first value  $k_0$  of  $k$  such that  $\phi(w^{k_0}) \geq \varphi_0$  and we are back in the previous case and we can take

$$\begin{aligned} v &= h^{k_0+1}(u), \\ r_0 &= r_{\varphi_0}^*. \end{aligned} \tag{4.36}$$

This concludes the proof.  $\square$

### 4.3 Convergence of sequences of orbits and conclusion of the proof of Theorem 1.3

From each  $\omega \in \Omega \setminus \Omega_0$ , Lemma 4.3 yields a minimizer  $u_*^{\alpha, H}$  of  $\mathcal{A}_{\alpha, H}|_{\mathcal{H}_\omega^1}$  and, by Lemma 4.4,  $u_*^{\alpha, H}$  satisfies (1.10). This proves (I).

From Proposition 4.5, Lemma 4.7 and Proposition 4.8 the minimizer  $u_*^{\alpha, H} \in \mathcal{H}_\omega^1$  satisfies

$$u_*^{\alpha, H}(\mathbb{R}) \subset \overline{B}_{R_0} \cap \overline{D}, \tag{4.37}$$

with  $\overline{D}$  defined as in (4.31). This implies that there are constants  $c, C > 0$ , independent of  $\omega \in \Omega \setminus \Omega_0$  such that

$$c \leq \sum_{R \in \mathcal{R} \setminus \{I\}} \frac{1}{|(R - I)u_*^{\alpha, H}|^\alpha} \leq C.$$

Therefore Lemma 4.4 and (1.10) imply that, independently of  $\omega \in \Omega \setminus \Omega_0$ , we have

$$c' \leq |\dot{u}_*^{\alpha, H}| \leq C' \tag{4.38}$$

for some constants  $c', C' > 0$ . By differentiating the equation of motion (2.6) and using (4.38) and (4.37) we obtain that the third derivative of  $u_*^{\alpha, H}$  is bounded by a constant  $\overline{C} > 0$ , which is again independent of  $\omega \in \Omega \setminus \Omega_0$ . Given a sequence  $\{\omega^j\} \subset \Omega \setminus \Omega_0$ , Lemma 4.3 yields a minimizer  $u_*^{\alpha, H, j} \in \mathcal{H}_{\omega^j}^1$  of  $\mathcal{A}_{\alpha, H}|_{\mathcal{H}_{\omega^j}^1}$ . The bound on the third derivative of  $u_*^{\alpha, H, j}$  established above implies the existence of a subsequence  $\{j_h\}$  such that  $u_*^{\alpha, H, j_h}$  converges locally in the  $C^{2+\frac{1}{2}}$  sense to a map  $\hat{u}_*^{\alpha, H}$  which, via (1.1), defines a solution  $\hat{u}_*^{\alpha, H}$  of the equation of motion. To complete the proof of (II) let  $M^j$  be the period associated to  $\omega^j$ . We can assume that  $\{M^{j_h}\}$  converges:

$$\lim_{h \rightarrow \infty} M^{j_h} = M^\infty \in \mathbb{N} \cup \{+\infty\}.$$

If  $M^\infty < +\infty$ , since the number of periodic sequences with period  $M^\infty$  is finite, by passing to a subsequence if necessary, we can assume that there is  $\omega \in \Omega \setminus \Omega_0$  with period  $M^\infty$  such that

$$\omega^{j_h} = \omega, \quad \text{for all } h \in \mathbb{N}$$

and therefore

$$\lim_{h \rightarrow \infty} \omega^{j_h} = \omega.$$

Now suppose that  $M^\infty = +\infty$ . Then, arguing as before, we see that we can associate to each  $n \in \mathbb{N}$  a subsequence  $\{j_h^n\}_{h \in \mathbb{N}}$  such that

$$\{j_h^{n+1}\}_{h \in \mathbb{N}} \subset \{j_h^n\}_{h \in \mathbb{N}}, \quad \text{for } n \in \mathbb{N},$$

$$M^{j_h^n} \geq n, \quad \text{for } h \in \mathbb{N},$$

$$\text{for } h \in \mathbb{N} : \omega_i^{j_h^n} = \tilde{\sigma}_i, \quad i \in \{-n, \dots, 0, \dots, n\}$$

for some  $\tilde{\sigma}_i \in \{\sigma_1, \dots, \sigma_Q, \sigma_1^{-1}, \dots, \sigma_Q^{-1}\}$ . We claim that  $\hat{\omega}^n = \omega^{j_n}$ ,  $n \in \mathbb{N}$ , is a Cauchy sequence. Indeed assuming  $n \geq m$  we have

$$d(\hat{\omega}^m, \hat{\omega}^n) = |f(M^{j_m^m}) - f(M^{j_n^n})| + \sum_{i \in \mathbb{Z}} \frac{\delta(\hat{\omega}_i^m, \hat{\omega}_i^n)}{2^{|i|}} \leq |f(m) - f(+\infty)| + \sum_{|i| > m} \frac{1}{2^{|i|}}, \quad (4.39)$$

where we have used the implication

$$m \leq M^{j_m^m}, \quad M^{j_n^n} < +\infty \quad \Rightarrow \quad |f(M^{j_m^m}) - f(M^{j_n^n})| \leq |f(m) - f(+\infty)|.$$

From (4.39) it follows that there exists  $\hat{\omega} \in \hat{\Omega}$  such that

$$\lim_{n \rightarrow \infty} \hat{\omega}^n = \hat{\omega}.$$

This shows that the subsequence  $\{j_h\}$  can be chosen so that (1.12) holds and concludes the proof of (II).

To prove (III) let  $\hat{\omega} \in \hat{\Omega} \setminus \Omega_0$  be given. If  $\hat{\omega}$  is periodic, then (III) holds trivially with the constant sequence  $\omega^j = \hat{\omega}$ ,  $j \in \mathbb{N}$ , and  $\hat{u}_*^{\alpha, H}$  the map defined by (1.1) via the minimizer  $\hat{u}_*^{\alpha, H} \in \mathcal{H}_\omega^1$  given by Lemma 4.3. If  $\hat{\omega}$  is not periodic we associate to  $\hat{\omega}$  the sequence  $\{\omega^j\} \subset \Omega \setminus \Omega_0$  where  $\omega^j$  is the periodic extension of period  $M^j = 2j + 1$  of the sequence  $\{\hat{\omega}_i\}_{|i| \leq j}$ . The sequence  $\{\omega^j\}$  converges to  $\hat{\omega}$  and, by passing to a subsequence if necessary, we can also assume that the sequence of minimizers  $u_*^{\alpha, H, j} \in \mathcal{H}_{\omega^j}^1$  given by Lemma 4.3 converges to a map  $\hat{u}_*^{\alpha, H}$  that via (1.1) determines a solution  $\hat{u}_*^{\alpha, H}$  of the equation of motion. This completes the proof of Theorem 1.3.

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