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3 **In situ Observation of Crystal Growth in a Basalt Melt and the**
4 **Development of Crystal Size Distribution in Igneous Rocks**

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27 **Abstract**

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29 To understand the solidification processes of natural magma and the texture
30 evolution of igneous rocks, we have carried out in situ observation of the
31 crystallization of a high-K basaltic melt cooling from ~1240°C in a moissanite cell.
32 In a series of experiments with different thermal history, olivine or clinopyroxene
33 (cpx) appeared as the liquidus phase before the formation of plagioclase. During
34 cooling at 100°C/hr, the morphology of olivine and cpx transitioned from tabular to
35 hopper habit. To first order approximation, crystal growth rate (2×10^{-9} m/s to 7×10^{-9}
36 m/s for olivine and 6×10^{-9} m/s to 17×10^{-9} m/s for cpx), probably limited by chemical
37 diffusion, is proportional to crystal size. In one experiment dominated by olivine
38 crystallization, the good image quality allows the analysis of texture evolution over an
39 extended period. Nucleation of olivine occurred only in a narrow temperature and
40 time interval below the liquidus. Two-dimensional length- and area-based crystal size
41 distributions (CSDs) show counterclockwise rotation around axes of 8 μm and 100
42 μm^2 , which is consistent with the proportionate crystal growth. Both CSDs and direct
43 observation show the dissolution of small crystals and Ostwald ripening. These data
44 suggest that conventional analyses of crystal size distributions of igneous rocks may
45 be in error – the slope of the CSD cannot be interpreted in terms of a uniform growth
46 rate and the intercept with the vertical axis does not correspond to a nucleation
47 density.

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49 **Keywords:** Crystal growth; crystal size distribution; basalt melt; igneous rocks

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51 Introduction

52 Igneous rocks are formed by the solidification of silicate melts. The advance and
53 disruption of solidification fronts in magmatic systems can have planetary-scale
54 consequences, with the formation of the continental crust being one example (Marsh
55 2006). From a microscopic perspective, melt solidification is known to involve a
56 series of physicochemical processes, including crystal nucleation, crystal growth,
57 Ostwald ripening, and others. However, there is much to learn about the details, and
58 in particular, about the mechanisms by which igneous texture evolves with cooling
59 under the collective operation of these processes (Hersum and Marsh 2007). To
60 tackle the inverse problem of deciphering magma history from rock texture, it is
61 indispensable to obtain a good understanding of the forward solidification process
62 (Hammer 2008).

63 Already Jambon et al. (1992) observed olivine growth in situ by heating basaltic
64 melt inclusions trapped in plagioclase phenocrysts. However, the limited size of the
65 melt inclusions (40-150 μm) precluded texture evolution from being traced.
66 Immediately after its development (Schiavi et al. 2009), the moissanite cell (SiC
67 single crystals being used as the window material) was recognized to be a useful tool
68 for “capturing crystal growth” (Hammer 2009). The moissanite cell achieves better
69 confined sample geometry and more precise temperature control than commonly used
70 heating stages (e.g., Applegarth et al. 2013). In previous experiments carried out in
71 the moissanite cell, quantitative texture analysis had been made on images collected
72 from a supercooled melt at 900°C (Schiavi et al. 2009), but image quality was rather
73 poor for experiments cooling from superliquidus temperatures such as 1250°C
74 (Schiavi et al. 2010).

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75 After technically improving the moissanite cell, we have been able to obtain
76 continuous records of olivine, clinopyroxene and plagioclase crystallization in a high-
77 K basaltic melt cooling from ~1240°C. Especially in one experiment, high-quality
78 time-lapse images of olivine crystallization processes make it possible to trace not
79 only the growth of individual crystals but also the texture evolution as represented by
80 the crystal size distribution (CSD). These data allow current interpretations of the
81 crystal size distribution in igneous rocks to be directly tested.

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83 **Experimental and analytical methods**

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85 **Starting material**

86 The starting material for in situ crystallization experiments was a synthetic basaltic
87 glass with a composition targeted at the golden pumice PST-9 from the Stromboli
88 volcano, Italy (Pichavant et al. 2011). Because the presence of iron would
89 significantly degrade sample visibility in transmission under an optical microscope,
90 FeO was substituted by an equal amount of MnO. Oxides and alkali nitrates were
91 melted twice at 1500°C in a 1-bar chamber furnace, and the obtained glass was
92 ground and melted again in a vacuum furnace to minimize the content of volatiles
93 dissolved in the glass.

94 Schiavi et al. (2010) attributed bubble formation, which caused major problems in
95 optical observation, to incomplete devolatilization of their starting glass. We doubly
96 polished the synthesized basaltic glass to wafers of ~50 µm thickness and analyzed
97 their volatile contents with a Bruker IFS 120HR FTIR spectrometer in conjunction
98 with a Bruker IRscope I. The FTIR spectrum (Online Resource Fig. A1) indicates
99 that the CO₂ and H₂O contents of our basaltic glass cannot be higher than a few µg/g.

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100 The amount of other volatiles (such as N₂) is expected to be even less because they
101 are less soluble than CO₂ and H₂O. Compared with PST-9, our synthetic glass, free
102 of visible bubbles or crystals, is slightly depleted in Ca and enriched in Si and alkalis
103 (Table 1).

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105 Moissanite cell experiments

106 The general design of the moissanite cell closely resembles that of a Bassett-type
107 externally heated diamond anvil cell, adapted for higher temperature and ambient
108 pressure. A detailed description of the first version of the moissanite cell can be
109 found in Schiavi et al. (2009, 2010). Although a moissanite cell using 2 concentric
110 coils of Pt₉₀Rh₁₀ heating wires per anvil in principle allowed heating up to 1250°C
111 (Schiavi et al. 2010), heater breakage often occurred at high temperature. To solve
112 this problem, we added a third Pt₉₀Rh₁₀ heating coil. This 3-coil setup (Online
113 Resource Fig. A2) significantly enhanced the robustness of the heater and extended
114 the lifetime of the moissanite cell. Furthermore, the glassy carbon gasket used in
115 Schiavi et al. (2010), which was prone to oxidation despite flushing with an Ar–H₂
116 mixture, was replaced with an yttrium-stabilized zirconia gasket.

117 A glass chip of ~1.9 mm diameter and ~50 μm thickness was placed between the
118 two moissanite anvils and observed in transmission with an optical microscope (Zeiss
119 Axioscope 40; output to either eyepieces or camera-computer). The glass was first
120 heated to 800°C (700°C for Run# Bas11) at a ramp rate of 1000°C/hr. The cell was
121 then tightened and was allowed to relax at this temperature for several to a few tens of
122 minutes, before further heating. To suppress crystallization and bubble formation
123 during heating, temperature was rapidly increased to ~1240°C at 1×10⁴ °C/hr (Fig. 1).
124 Temperature was maintained at ~1240°C for several minutes, followed by cooling at

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125 ramp rates of 50-2000 °C/hr to foster crystallization. At high temperature, the quality
126 of sample image deteriorated with time. When the image became unsatisfactory, the
127 experiment was quenched to ambient temperature at 1×10^4 °C/hr (2000 °C/hr for
128 Run# Bas11).

129

130 Electron microprobe and SEM/EDS analyses

131 The chemical composition of the starting glass was analyzed by a JEOL JXA-8200

132 electron microprobe with a defocused beam of 15kV and 15 nA and 5 μ m diameter.

133 To examine chemical heterogeneity of the glass and minerals (olivine, clinopyroxene,

134 and plagioclase) in the quenched products, a focused beam with the same acceleration

135 voltage and current was used. Despite the employment of a special routine for Na

136 analysis (by extrapolation to zero time photon counts), Na loss from the glass caused

137 by the focused beam cannot be completely avoided. Backscattered electron (BSE)

138 images of the quenched products were obtained with the electron microprobe and

139 with an FEI scanning electron microscope (SEM), both of which were equipped with

140 an energy-dispersive X-ray spectrometer (EDS) for phase identification.

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142 Image analysis

143 Each sample image of 1600 \times 1200 pixels (recorded under a 10 \times objective in

144 transmission) corresponds to a visual field of 0.36 mm² (0.693 by 0.52 mm). Using

145 the ImageJ64 program developed by the NIH, the length and width of tabular and

146 hopper crystals were measured with an uncertainty of \sim 10% relative. Here we define

147 1D grain size to be the half length of the grain, with the “hopper” part counted for

148 hopper crystals. In determining 2D crystal size distribution, the boundary of each

149 crystal was hand redrawn to a closed polygon, the area of which was taken to be the

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150 crystal size. Due to the overlap and clustering of crystals at different focal depths,
151 known as the volume effect, a small amount of crystals without a well-defined
152 boundary had to be excluded from the statistics.

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154 **Experimental results**

155 In total eighteen crystallization experiments were carried out in the moissanite cell,
156 among which four successful runs are reported here (Run# Bas11, Bas12, Bas14, and
157 Bas21; Movies A1-A4 in Online Resource). Little change was observed in sample
158 image during the first heating step and the dwell period at ~800°C, although we
159 cannot rule out the possibility that some critical crystal clusters might have already
160 developed at 800°C. In spite of the high ramp rate of the prime heating step (from
161 800°C to ~1240°C took only a few minutes), numerous crystals still appeared
162 together with bubbles at ~1000°C, but the nucleated crystals were partly resorbed
163 upon further temperature increase. Owing to the use of nearly volatile-free glass, the
164 problem of bubbles degrading image quality was less severe than that observed in
165 Schiavi et al. (2010).

166 Despite using the same starting glass in all the crystallization experiments,
167 different minerals, as identified by EDS and electron microprobe analyses, formed in
168 the four runs (Table 1). In Run# Bas11 (and Bas12), olivine was the dominant
169 mineral; in Run# Bas14 and Bas21, clinopyroxene±plagioclase prevailed, with only
170 some sporadic olivine crystals being present. Below, we will report the results from
171 different experiments separately.

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173 Olivine crystallization in Run# Bas11

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174 The best visual record of olivine crystallization was obtained from an experiment
175 (Run# Bas11; Movie A1) that encountered some technical problems. In this
176 experiment, first signs of crystallization came into view at ~1048°C during heating
177 (defined as $t = 0$), and there appeared to be a high nucleation density. After ~2 min
178 dwell at ~1240°C, the Pt₉₀Rh₁₀ heater of the upper moissanite anvil failed.
179 Nevertheless, the new 3-coil-heater setup allowed heating to be sustained by the
180 heater of the lower anvil. During the subsequent cooling at 100°C/hr, the temperature
181 reading of the upper thermocouple was below that of the lower one by about 100°C
182 (Fig. 1). In the following, temperature will be referred to as the average of the two
183 thermocouple readings.

184

185 *Crystal morphology*

186 Nucleation of new olivine crystals was observed only during a narrow temperature
187 and time interval of a few minutes at the beginning of the experiment. During the
188 subsequent cooling, only the growth of already existing crystals was observed, but not
189 the appearance of new crystals. A similar confinement of nucleation to short events
190 was also observed in other experiments.

191 At near-liquidus temperatures, olivine crystals moved and occasionally rotated in
192 the melt, which is more close to natural conditions than the “staying in place” crystal
193 growth in basaltic andesite at 900°C (i.e., crystals hardly moved once formed) in
194 Schiavi et al. (2009). The apparent movement of the crystals was caused by the flow
195 of the melt in response to the expansion, contraction or deformation of large gas
196 bubbles, which can be clearly seen towards the end of Movie A1. Therefore, in
197 reality, this was not so much a movement of individual crystals inside the melt, but a
198 slight movement of the melt, which carried the crystals along.

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199 In the first stage of cooling, such as at $\sim 1142^{\circ}\text{C}$, most olivine crystals developed a
200 tabular shape, while a limited number of them already grew “horns” along the long
201 dimension, typically better developed at one end than the other (Fig. 2a). As
202 temperature continued to decrease, a lot more crystals started to show hopper
203 behavior, i.e., they developed an hourglass shape (Fig. 2b; Fig. 3a-e). According to
204 Faure et al. (2003), the long dimension of tabular and hopper olivine crystals (Fig. 3a-
205 o) corresponds to the [100] zone axis, and the short dimension corresponds to [001].
206 Although not particularly obvious in the time-lapse images, a quasi-hexagonal shape
207 was found to be widespread in BSE images of the quenched sample (Fig. 4). The
208 hexagonal shape is actually a sectioning effect of observing a hopper crystal along
209 [100], and the “hollow” center of the hexagon corresponds to the cavity of a hopper
210 crystal filled with melt/glass (Faure et al. 2003).

211 In some time-lapse images, we observed the presence of “ladder”-shaped hopper
212 olivine crystals, with multiple melt inclusion cavities trapped in a single crystal (Fig.
213 3p-q). Up to eight inclusion cavities were distributed quite regularly along the [100]
214 zone axis and were separated from each other by thin “bridges”, apparently arising
215 from repeated closing and reopening of hopper crystals to surrounding melt. Most
216 cavities closed before the experiment ended, leaving just few, generally small
217 inclusions in the quenched sample. The quenched sample also contains plenty of
218 dendritic crystals, which judging from Movie A1 are probably clinopyroxene
219 nucleated during the dwell at $\sim 900^{\circ}\text{C}$.

220 While most crystals continued to grow over the entire span of the experiment,
221 some crystals with size less than $6\ \mu\text{m}$, with one example shown in Fig. 5, appeared to
222 shrink following a brief stage of growth. Simultaneous growth of big crystals and
223 dissolution of small ones suggests the occurrence of Ostwald ripening.

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225 *Crystal growth rates*

226 The traditional “snapshot” technique used in quench experiments evaluates crystal
227 growth rate from the change of average size of many crystals (Hammer 2008), which
228 relies on statistics and hence involves extra uncertainty. In contrast, our in situ
229 observation permits to trace the growth of individual crystals (Fig. 3a-o; Fig. 6a).
230 These data further allow to directly test whether crystal growth rate is uniform, as
231 often assumed (e.g., Marsh 1988), or whether it depends on crystal size (Eberl et al.
232 2002; Kile and Eberl 2003).

233 From the growth curves of eight olivine crystals in Fig. 6a, $t = 34$ min, at which
234 all the considered crystals still enjoyed free growth (i.e., no impingement by
235 surrounding crystals), was chosen to be an appropriate moment for comparing growth
236 rates, calculated using a central differential algorithm. The growth rates of olivine,
237 with an estimated uncertainty of 20%, fell within 2×10^{-9} m/s to 7×10^{-9} m/s (Fig. 6b).
238 While decreasing temperature did not appear to modify the slope of growth curve
239 significantly even across the tabular-hopper transition (Fig. 6a), there is a general
240 positive correlation between growth rate and crystal size (Fig. 6b) – larger crystals
241 tended to grow more rapidly than smaller ones, in contrast with some previous results
242 (e.g., Applegarth et al. 2013). To first order approximation, olivine growth rate is
243 roughly proportional to crystal size. For a given crystal, the rate of lateral growth
244 (growth along [001]) was smaller than longitudinal growth rate by a factor of ~ 3 , but
245 larger crystals were also found to grow faster laterally than smaller crystals.

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247 *Development of crystal size distribution*

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248 The crystal size distribution (CSD) theory, since developed by Marsh (1988, 1998),
249 has been widely used for quantitative description and interpretation of rock texture
250 (Armienti 2008). With our in situ technique, texture evolution of the melt can be
251 conveniently followed. Two-dimensional olivine CSDs of 5 snapshots taken during
252 cooling were carefully measured using 5 logarithmic size bins (Table 2), according to
253 either half length along [100] (Fig. 7a) or crystal area (Fig. 7b). The plotted CSDs are
254 roughly log-linear except the first one or two logarithmic size bins, similar to the
255 plagioclase CSDs in Schiavi et al. (2009). For both length- and area-based analyses,
256 we observe a counterclockwise rotation of CSD, around axes of 8 μm and 100 μm^2 ,
257 respectively. The population densities of crystals larger than these threshold values
258 increased with time, whereas those of small crystals continued to decrease.

259

260 *Composition of olivine and melt*

261 The average composition of olivine in the quenched product (Table 1) can be given as
262 $(\text{Mg}_{0.838}\text{Mn}_{0.153}\text{Ca}_{0.009})_2\text{SiO}_4$. Due to olivine crystallization, in far-field melt (i.e., melt
263 at some distance away from olivine crystals), the contents of oxide components other
264 than MgO and MnO became higher than in the starting glass (Table 1). A simple
265 mass balance calculation indicates that olivine crystals sum to approximately 12 wt%
266 in the quenched sample, which translates to ~10.7% modal abundance for olivine
267 considering the density difference between olivine and melt/glass. The only
268 mismatch between the bulk composition of the quenched product as (12 wt%
269 olivine+88 wt% glass) and that of the starting glass is in terms of Na₂O content (Table
270 1), which is attributed to Na loss by the focused beam used in microprobe analysis on
271 the quenched glass, although minor Na loss may also have occurred during the
272 experiment.

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274 Clinopyroxene and plagioclase crystallization

275 Unlike in Bas11, clinopyroxene (cpx) was the dominant liquidus phase in Bas14
276 (Movie A3; Fig. 8a) and Bas21 (Movie A4; Fig. 8b-c). In Bas14, during cooling at
277 100°C/hr, cpx first appeared at ~1212°C in the form of tabular crystals. Similar to
278 olivine in Bas11, from ~1189°C cpx started to develop a hopper behavior, which was
279 preserved in the quenched Bas14 sample (Fig. 8a). Furthermore, the growth rate of
280 cpx crystals also depended on crystallographic orientation, and more importantly, on
281 crystal size. Again, the longitudinal growth rate, ranging from 6×10^{-9} m/s to 17×10^{-9}
282 m/s at 1192°C, is approximately proportional to crystal size (Fig. 9). Therefore,
283 proportionate growth appears to be ubiquitous for the crystallization of minerals from
284 magma.

285 In Bas21, nuclei of cpx crystals already existed at 1240°C. They continued to
286 grow during cooling to 1150°C at 2000°C/hr. During the dwell at 1150°C,
287 plagioclase crystals grew rapidly from several nucleation points in a radiating manner,
288 and the mechanical interference with plagioclase broke the earlier formed cpx crystals
289 into pieces (Fig. 10), which was consistent with the texture of the quenched sample
290 (Fig. 8b-c). In agreement with our observations, cooling to 1100°C of a shoshonitic
291 melt (compositionally similar to PST-9) at 900 °C/h produced intergrowths of
292 plagioclase and clinopyroxene with skeletal and hopper shapes (Conte et al. 2006).
293 No dendritic crystals were found in the quenched samples of Bas14 and Bas21 as
294 those in Bas 11 (Fig. 4), which was probably due to the higher quenching rates in the
295 former two runs.

296 The cpx in Bas21 has a higher Mn/Mg ratio than that in Bas14 (Table 1). In both
297 experiments only a small amount of olivine crystals were found in the quenched

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298 samples (shown in Fig. 8a for Bas14). The olivine in Bas14 is compositionally
299 similar to that in Bas11, but the olivine crystals in Bas21 have a much higher Mn/Mg
300 ratio, indicating that the latter formed in a much later stage than cpx (from an Mn-
301 enriched melt). This is coherent with the rapid cooling from 1240°C to 1150°C in
302 Bas21 – according to Donaldson (1979), the delay in olivine nucleation in basaltic
303 liquids increases with the increase of cooling rate.

304 The image quality of Bas14 and Bas21 is inferior to that of Bas11, and hence does
305 not allow a reliable texture analysis to be made.

306

307 Discussion

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309 Mineralogical differences between the experiments

310 For a synthetic melt compositionally similar to PST-9, Pichavant et al. (2009) found

311 that during cooling at ambient pressure, olivine and clinopyroxene appeared at

312 1190°C and plagioclase formed at 1180°C. For our basaltic composition, the MELTS

313 program (Ghiorso and Sack 1995) predicts that olivine ($\text{Mg}_{0.84}\text{Mn}_{0.15}\text{Ca}_{0.01}$)₂SiO₄

314 should appear at 1218°C and 0.1 MPa as the liquidus phase. Because the same

315 starting glass was used in all the crystallization experiments, the different mineralogy,

316 predominantly olivine in Bas11 and Bas12 and cpx±plagioclase in Bas14 and Bas21,

317 must seek an explanation from kinetics considerations. In view of the proximity of

318 crystallization temperatures of the three minerals (Pichavant et al. 2009), we suggest

319 that subtle changes in the initial heating and cooling paths may be sufficient for

320 causing the nucleation of different minerals. During the subsequent cooling, the

321 phase that nucleated first may deplete the melt in Mg and therefore suppress the

322 nucleation of the second phase. For Bas11, we highlight the excellent agreement

1 323 between the olivine composition $[(\text{Mg}_{0.838}\text{Mn}_{0.153}\text{Ca}_{0.009})_2\text{SiO}_4]$ and the prediction of
2 324 MELTS.

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7 326 Mechanisms and rates of olivine growth in Bas11

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10 327 In their dynamic crystallization experiments with a synthetic $\text{CaO-MgO-Al}_2\text{O}_3\text{-SiO}_2$

11 328 basalt, Faure et al. (2003) found that for cooling rates in the range of $50\text{-}200^\circ\text{C/hr}$,

12 329 hopper crystals were the dominant olivine morphology for $50\text{-}200^\circ\text{C}$ undercooling.

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15 330 Our in-situ observations are entirely consistent with their results obtained using the

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17 331 traditional technique, as well as with the in situ observation of melt inclusion by

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22 332 Jambon et al. (1992).

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24 333 Different mechanisms for crystal growth from silicate melts have been proposed

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26 334 by a number of authors (e.g., Kirkpatrick 1975; Sunagawa 2005; Faure et al. 2007).

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29 335 At low degree of undercooling ΔT , spiral growth (by a screw dislocation mechanism)

30 336 is taking place, crystal surfaces are smooth, and crystals typically exhibit a

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33 337 polyhedral/tabular morphology. At intermediate degree of undercooling, layer-by-

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36 338 layer growth (or called two-dimensional nucleation) dominates, crystal surfaces

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39 339 roughen, and hopper crystals are prevalent. At large undercooling, it transits to

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41 340 adhesive-type continuous growth, and dendritic or swallowtail crystals form. With

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44 341 respect to the formation of tabular and hopper olivine crystals in Bas11, both spiral

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46 342 growth and layer-by-layer growth must have been operative.

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49 343 However, this does not mean that the growth rate of olivine is controlled by these

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51 344 interface reaction mechanisms. For olivine growth in the basalt melt, MgO and MnO

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53 345 need to be carried from far-field melt to olivine-melt interfaces, and incompatible

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56 346 components such as Al_2O_3 need to be transported away. Concentration gradients of

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58 347 these oxide components were found in the melt close to olivine-melt interface along

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348 both [100] and [001] (Online Resource Fig. A3), generally consistent with the
349 observations of Faure and Schiano (2005) except for CaO. The flat Na₂O profile is
350 probably due to fast Na diffusion. The presence of concentration gradients strongly
351 suggests that mass transfer played a crucial role in limiting crystal growth rate (Zhang
352 2008). In view of the small change in olivine growth rate across the tabular-hopper
353 transition (Fig. 6a), we suggest that chemical transport, rather than interface reaction,
354 perhaps already controlled olivine growth from early on. This is also consistent with
355 the previous finding of olivine growth rate peaking at ~20 K undercooling (Lasaga
356 1998).

357 MgO was identified by Chen and Zhang (2008) to be the principal equilibrium-
358 determining component for transport-controlled olivine dissolution or growth in
359 basaltic melt. In diffusive crystal dissolution or growth, the dissolution/growth rate
360 may be estimated by $\alpha(\rho^m/\rho^c)(D/t)^{1/2}$, with α being a dimensionless parameter
361 depending on interface composition, ρ^m and ρ^c being the density of the melt and that
362 of the crystal, D being MgO diffusivity and t being time. Here it is difficult to
363 constrain α accurately, but 0.03 may be a reasonable value (e.g., Zhang 2008). For a
364 characteristic t of 900 s (cooling by 50°C) and $D_{\text{MgO}} \sim 10^{-12}$ m²/s at 1150-1050°C
365 (Chen and Zhang 2008), crystal growth rate under our experimental conditions should
366 be around 10⁻⁹ m/s, which is lower than but roughly consistent with the measured
367 grow rates (Fig. 6b). The difference may be attributed to growth aided by the
368 movement of crystals in the melt.

369 While chemical diffusion is recognized to be a determinative mechanism in
370 limiting olivine growth, it is certainly not the *only* operative mechanism – otherwise
371 olivine crystals should grow into spheres. The difference between the growth rate
372 along [100] and that along [001] must arise from different interface reaction rates. It

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373 is still not clear which mechanism may account for size-dependent growth rate, but a
374 close link between proportionate growth and transport-controlled growth has already
375 been realized (Eberl et al. 2002).

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377 Closed hopper olivine crystals and melt convection

378 We observed in Bas11 the presence of closed hopper olivine crystals with multiple
379 melt inclusions (Fig. 3p-q). Inside pumice samples from Stromboli volcano, euhedral
380 olivine crystals were found to coexist with melt inclusion-bearing hollow (hopper)
381 crystals and closed skeletal olivines, sometimes exhibiting dendritic overgrowths
382 (Métrich et al. 2010). The correspondence between experimental and natural textures
383 thus highlights that crystal morphologies and quantities of entrapped melts are
384 important parameters carrying information on the cooling history of the magma.

385 Closed hopper olivine crystals in basalts dredged from the Mid-Atlantic Ridge
386 were attributed to turbulent convection beneath the ridge and the experience of
387 several cooling-heating cycles (Faure and Schiano 2004; Welsch et al. 2009; Colin et
388 al. 2012). Since there was a thermal gradient in Bas11, one may expect that the
389 formation of closed hopper crystals in Bas11 also arose from melt convection.

390 However, several lines of evidence suggest the absence of convection during the
391 experiment. Firstly, the movement of crystals was largely horizontal; otherwise a
392 change of focal depth of the crystals would have been observed. In another
393 experiment Bas12 (Movie A2) that did not involve a thermal gradient, melt and
394 crystals moved much more dynamically (the boiling finally caused most melt to be
395 lost). Furthermore, for melt convection to occur would require a high Rayleigh
396 number, which is calculated as $(\rho g \alpha \Delta T L^3) / \eta \kappa$ with ρ being melt density, g being
397 the gravitational acceleration, α being thermal expansivity, ΔT being temperature

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398 difference, L being characteristic length scale, η being melt viscosity, and κ being
399 thermal diffusivity. For the melt at 1373-1473 K in Bas 11, assuming $2.6 \times 10^3 \text{ kg/m}^3$
400 for density and $5 \times 10^{-5} \text{ /K}$ for thermal expansivity (Lange and Carmichael 1987), 100
401 K for the maximum temperature difference over the $5 \times 10^{-5} \text{ m}$ melt thickness, 1×10^3 -
402 $1 \times 10^4 \text{ Pa s}$ for viscosity (Hui and Zhang 2007), and $3 \times 10^{-7} \text{ m}^2/\text{s}$ for thermal
403 diffusivity (Nabelek et al. 2012), the Rayleigh number of the system is estimated to be
404 only 5×10^{-9} to 5×10^{-8} , more than 10 orders of magnitude below the value ($> 10^3$)
405 required for convection. Therefore, repeated cooling and heating may not be
406 necessary for developing the complex morphology of closed hopper crystals.

407

408 Physical processes shaping CSD

409 The volume effect due to crystals aggregating and overlapping inevitably affected the
410 accuracy of texture analysis of Bas11. However, the uncertainty caused by the
411 volume effect is inferred to be insignificant. As shown in Table 2, the modal
412 abundance of olivine increased with time, and reached $\sim 10.5\%$ for the last snapshot.
413 This value is in good agreement with the 10.7% inferred from chemical analysis, and
414 suggests limited counting errors from neglecting crystal clots. Decreasing crystal
415 density (Table 2) was most likely caused by physical processes such as crystal
416 coalescence (e.g., Schiavi et al. 2009) and Ostwald ripening. Ostwald ripening
417 involves negative growth and elimination of small crystals (Fig. 5), consistent with
418 evolution of the population density of the first two logarithmic size bins (Fig. 7).

419 Many applications of the crystal size distribution theory (Marsh 1988, 1998)
420 assumed that (1) Nucleation rate and nucleation density increase exponentially with
421 time and undercooling and (2) the rate of crystal growth is constant and uniform for
422 all crystals. If these two assumptions were correct, CSD should be shifted parallel to

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423 itself upon progressive crystallization. However, neither the assumptions nor the
424 inferred CSD evolution agrees with our observations. Results from this study show
425 conclusively that (1) nucleation of olivine or cpx occurred only in a narrow time and
426 temperature interval at the beginning of the experiment; (2) growth rate was not
427 uniform; rather, growth was roughly proportionate, i.e., the larger the crystal the
428 higher the growth rate (Figs. 6b and 9b); and (3) CSD rotated counterclockwise with
429 time (Fig. 7).

430 Nucleation and crystal growth are two competing mechanisms that both will
431 reduce the supersaturation of the melt (Toramaru 1991). In general, crystal nucleation
432 requires a larger degree of undercooling or supersaturation than crystal growth (e.g.,
433 Zhang 2008), as in a nucleus, the ratio of surface to volume is high so that the higher
434 surface energy will counteract the free energy change of crystallization. Therefore, as
435 soon as some olivine nuclei have formed, the growth of these crystals removes olivine
436 component from the melt and suppresses further nucleation and the crystallization of
437 other minerals such as cpx. Nucleation therefore stops once a first generation of
438 olivine nuclei starts growing. Proportionate growth, with growth rates increasing with
439 crystal size, has been observed in various systems (Kile and Eberl 2003 and
440 references therein). Kile and Eberl (2003) presented evidence that proportionate
441 growth is expected to occur whenever advection supplies material to the surface of
442 crystals. This again is consistent with our experimental results, as crystals moved
443 horizontally in the melt and as the growth rate predicted from diffusion alone is
444 somewhat smaller than measured growth rates.

445 As shown in Fig. 11, proportionate growth will naturally cause the CSD slope to
446 decrease. In the absence of new nucleation, the intercept of CSD with the vertical
447 axis has to decrease, for the total number of crystals to be conserved. The reduction

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448 in both slope and intercept leads to a counterclockwise rotation of the CSD (Fig. 11).

449 On top of that, small crystals may partly or completely dissolve due to their higher

450 surface energies (their negative growth rates are qualitatively consistent with

451 proportionate growth), which cause their population density to fall below the log-

452 linear CSD curve (Fig. 11) and the total number of crystals to decrease (Table 2).

453

454 **Concluding remarks**

455 In this study, we directly observed the transition of olivine and cpx morphology from

456 tabular to hopper habit (Fig. 2; Fig. 3a-e; Movie A1 and A3), the incorporation of

457 melt inclusions in closed hopper olivine crystals (Fig. 3p-q), and the dissolution of

458 small olivine crystals (Fig. 5). Spiral growth and layer-by-layer growth are inferred to

459 be the mechanisms for interface reaction, but the growth rate, varying with crystal

460 size and crystallographic orientation, was primarily limited by chemical diffusion

461 (helped by advection). The formation of closed hopper crystals may not necessarily

462 require a complex thermal history.

463 The most important result of this study, however, is that it provides a new

464 interpretation of the CSDs observed in igneous rocks. Our data suggest that during

465 cooling of a magma, short nucleation events occur that generate an initial, log-linear

466 CSD. The log-linear shape of this curve may indeed reflect an exponential increase of

467 nucleation rate with time and undercooling, as often assumed in crystal size

468 distribution theory. However, these events are short and nucleation does not continue

469 during the growth of crystals. Rather, as soon as some crystals start to grow, they

470 reduce the oversaturation that would be required for further nucleation and thus

471 nucleation essentially stops. The further development of CSD is exclusively due to

472 the growth of the initially established ensemble of nuclei. Proportionate growth in

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473 conjunction with the negative growth of small crystals causes counterclockwise CSD
474 rotation and a decrease in the population density of small crystals and in the total
475 number of crystals.

476 The traditional interpretation of CSDs of igneous rocks may therefore be
477 fundamentally flawed. The slope does not give an average growth rate. Rather, even
478 if growth rate does not depend on time, the CSD rotates and becomes flatter due to the
479 increase of growth rate with crystal size. The slope of the CSD is therefore primarily
480 controlled by time and the *difference* in growth rates between the smallest and largest
481 crystals, rather than by average growth rate. Similarly, the intercept of the CSD with
482 the vertical axis does not give a nucleation density; rather, it gives a *lower limit of the*
483 *initial nucleation density*, because of both proportionate growth and the elimination of
484 small crystals by Ostwald ripening. More generally, the idea behind classical CSD
485 theory that nucleation and crystal growth occur simultaneously over a large
486 temperature and time interval does not capture the reality of nature. In reality,
487 nucleation of each mineral is probably limited to a short event, which is followed by a
488 long period of crystal growth and annealing. When multiple nucleation events occur
489 during magma storage and ascent (e.g., Armienti et al. 1994), these events are likely
490 related to rapid perturbations in pressure and temperature.

491

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501

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592 **FIGURE CAPTIONS**

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594

595 **Fig. 1** Thermal history of four moissanite cell experiments (Run# Bas11, Bas12,
596 Bas14, and Bas21). In Bas11, olivine nucleation appeared at 1048°C (solid
597 circle, defined as $t=0$); failure of the upper heater caused temperature reading of
598 the upper thermocouple (11U) to be smaller than that of the lower thermocouple
599 (11L) by $\sim 100^\circ\text{C}$ during cooling, with their average shown in curve “Bas11”.
600 On this curve, the moments for the two snapshots in Fig. 2 are shown in
601 triangles, and those for the crystal “A” in Fig. 3 are shown in open circles. The
602 curves for other runs are horizontally offset for clarity, and the time or t referred
603 to in the text and other figures is invariably consistent with Fig. 1.

604

605 **Fig. 2** Real-time photomicrographs of olivine crystals in basaltic melt cooling at
606 100°C/hr in Bas11. (a) $T \sim 1142^\circ\text{C}$; most olivine crystals showed a tabular habit
607 with some exceptions (two examples are circled with ellipse); (b) $T \sim 1062^\circ\text{C}$;
608 numerous hopper crystals formed. Crystal “A” (see Fig. 3 and Fig. 6) is
609 enclosed with rectangle in both (a) and (b).

610

611 **Fig. 3** Evolution of individual olivine crystals at size-dependent growth rates in
612 Bas11: (a-e) Crystal “A”, fast growth and transition from tabular shape to
613 hopper shape; (f-j) Crystal “B”, with an intermediate growth rate; (k-o) Crystal
614 “C”, slow growth; (p-q) two closed hopper crystals trapping multiple melt
615 inclusions.

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617

1
2 **618 Fig. 4** (a) Zoom-out and (b) close-up BSE images of quenched Bas11 sample. Zone
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4
5 **619** axes of olivine crystals are indicated in (b). The dendrites are presumably
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7 **620** clinopyroxene crystals formed during the dwell at $\sim 900^{\circ}\text{C}$.

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10 **621**

11 **622 Fig. 5** Dissolution of a small olivine crystal in Bas11, being circled with ellipse in the
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14 **623** top panel (at $t = 34$ min or 12:00:00 in Movie A1).

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17 **624**

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19 **625 Fig. 6** (a) Growth of half length along [100] for eight olivine crystals in Bas11. For
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22 **626** crystals “A”, “D”, and “E”, the crystal shape transition (from tabular to hopper
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24 **627** shape) is indicated by a break in the curve. For crystals “B”, “F”, and “G”, a
25
26 **628** conspicuous slow down is attributed to the impingement by surrounding
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28
29 **629** crystals. (b) Growth rate at $t = 34$ min is roughly proportional to crystal size.
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31
32 **630** Error bars represent $\pm 20\%$ uncertainty.

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34 **631**

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36 **632 Fig. 7** Counterclockwise rotation of two-dimensional crystal size distributions
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39 **633** (CSDs) during cooling in Bas11, according to (a) half length along [100]; and
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41 **634** (b) crystal area.

42
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46 **636 Fig. 8** BSE images of quenched samples (a) Bas14; and (b)&(c) Bas21. In Bas14,
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48 **637** Hopper clinopyroxene (cpx) crystals formed the dominant mineral phase, with
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51 **638** some sporadic olivine (ol) crystals. In Bas21, cpx crystals were often broken
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54 **639** into pieces by the later formed plagioclase (plag).

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56 **640**
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641 **Fig. 9** (a) Half length of five clinopyroxene crystals in Bas14. For crystals “C” and
642 “E”, the tabular to hopper transition is indicated by a break in the curve. (b)
643 Size-dependent clinopyroxene growth rate at $t = 48.6$ min. Error bars represent
644 $\pm 20\%$ uncertainty.

645
646 **Fig. 10** Real-time photomicrographs of Bas21 showing the disruption of cpx crystals
647 by plagioclase (dark root and limbs) at 1150°C .

648
649 **Fig. 11** Interpretation of the observed CSD evolution. A short nucleation event
650 produces an initial CSD (thin solid line). Due to proportionate growth
651 (rightward horizontal arrows) and conservation of the number of crystals, CSD
652 evolves into the thick solid line. In addition, Ostwald ripening acts to reduce
653 the population density and size of small crystals.

Figure1
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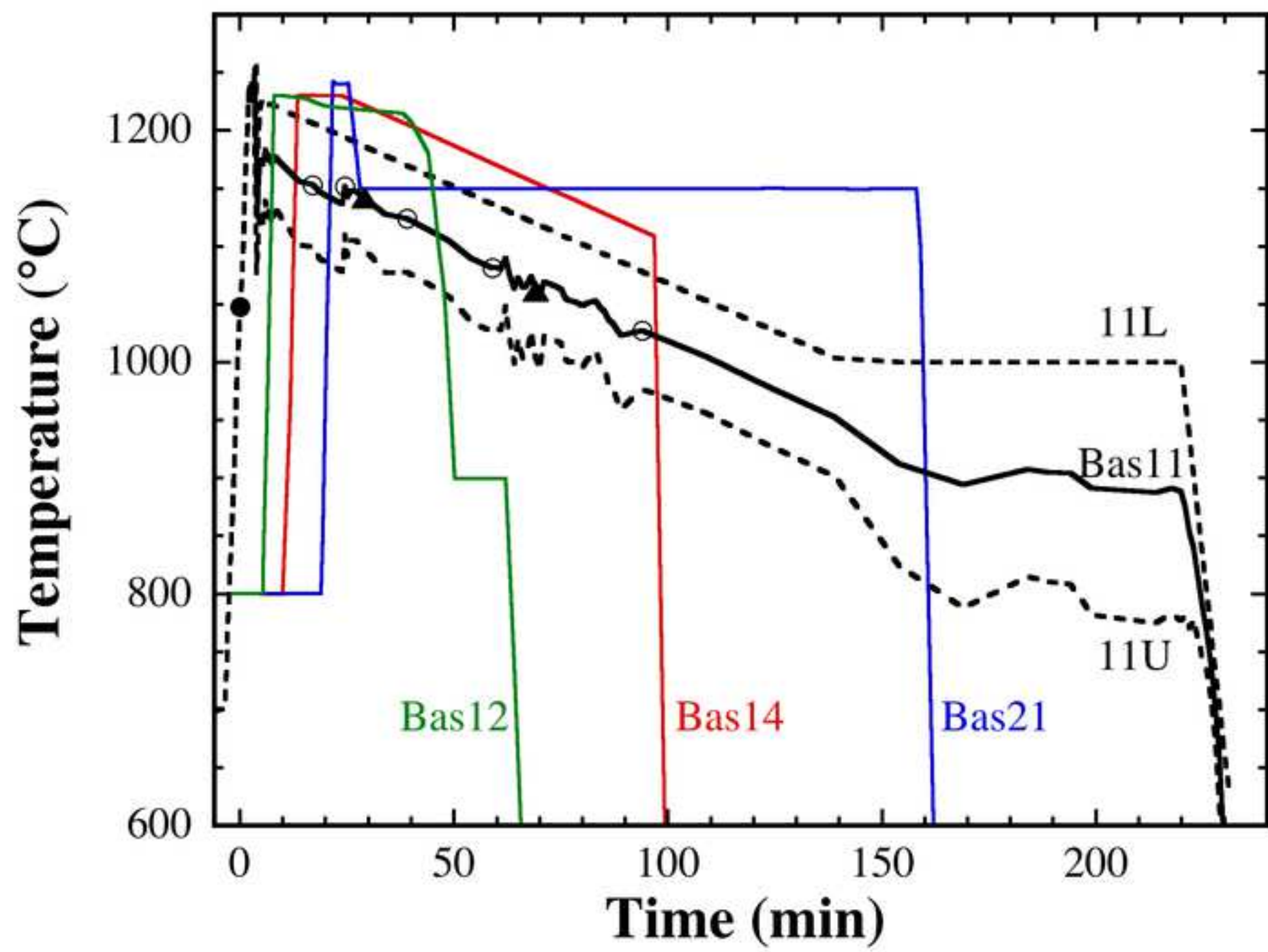


Figure2

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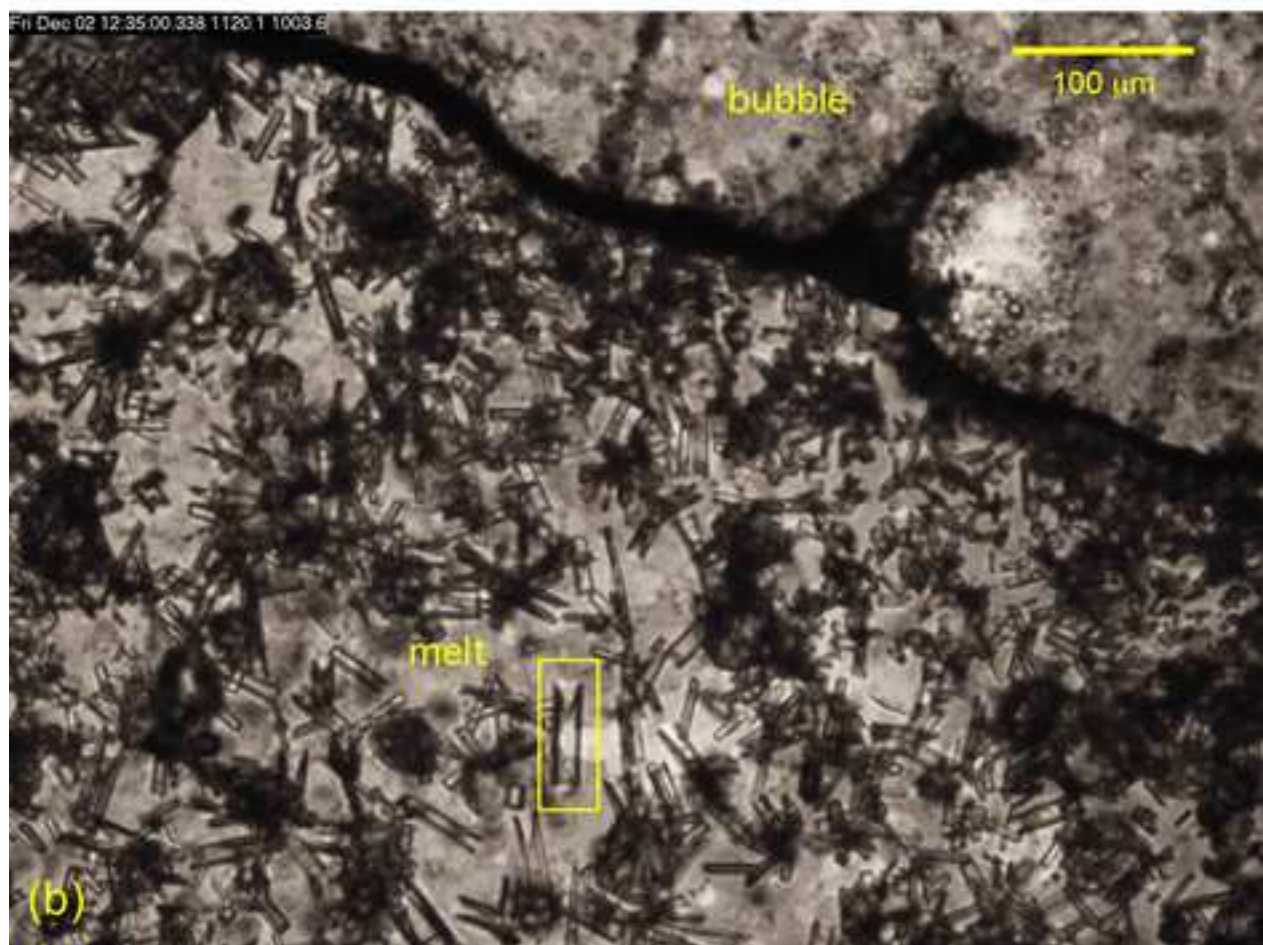
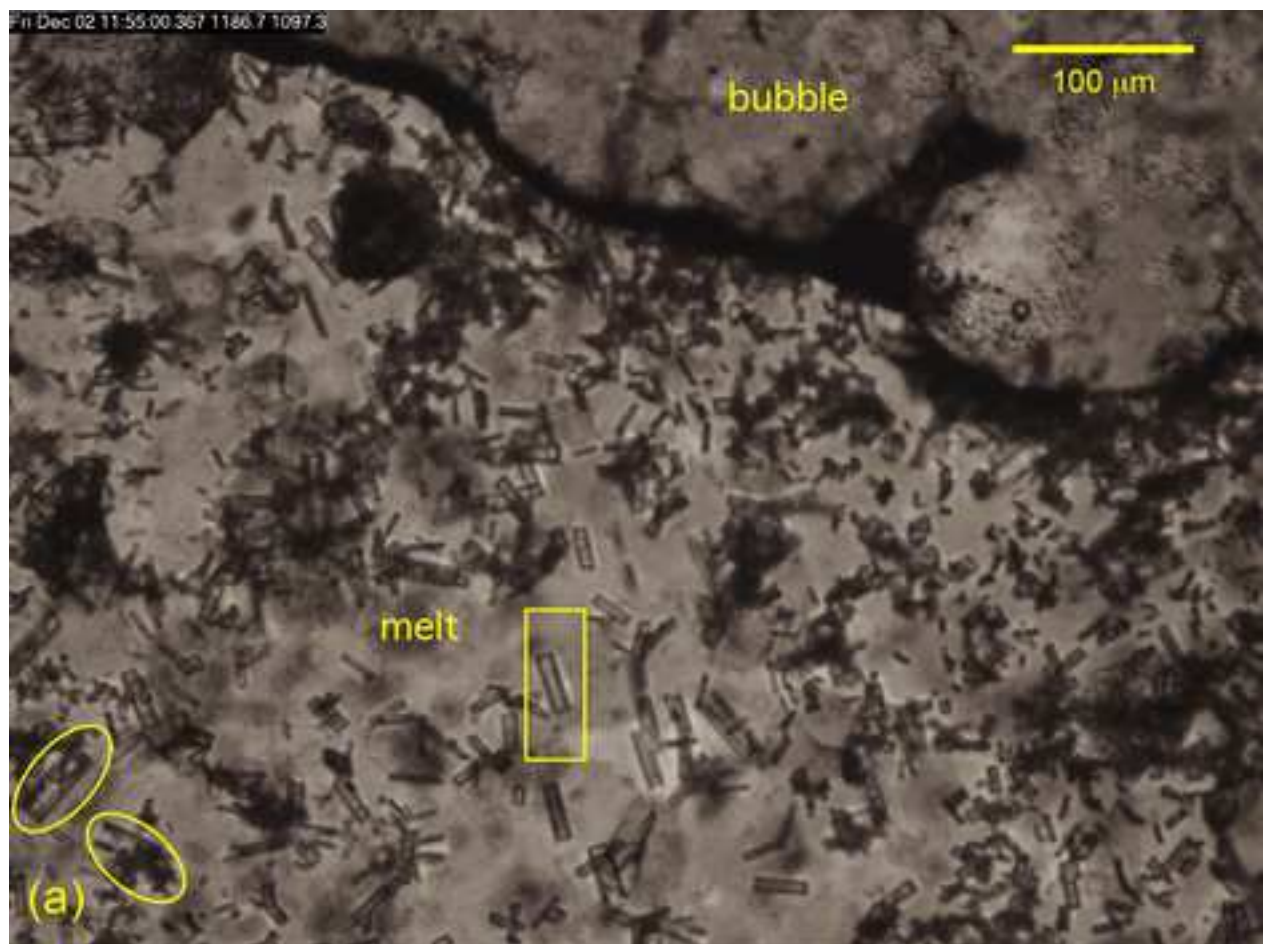


Figure3

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t (min) / T (°C)

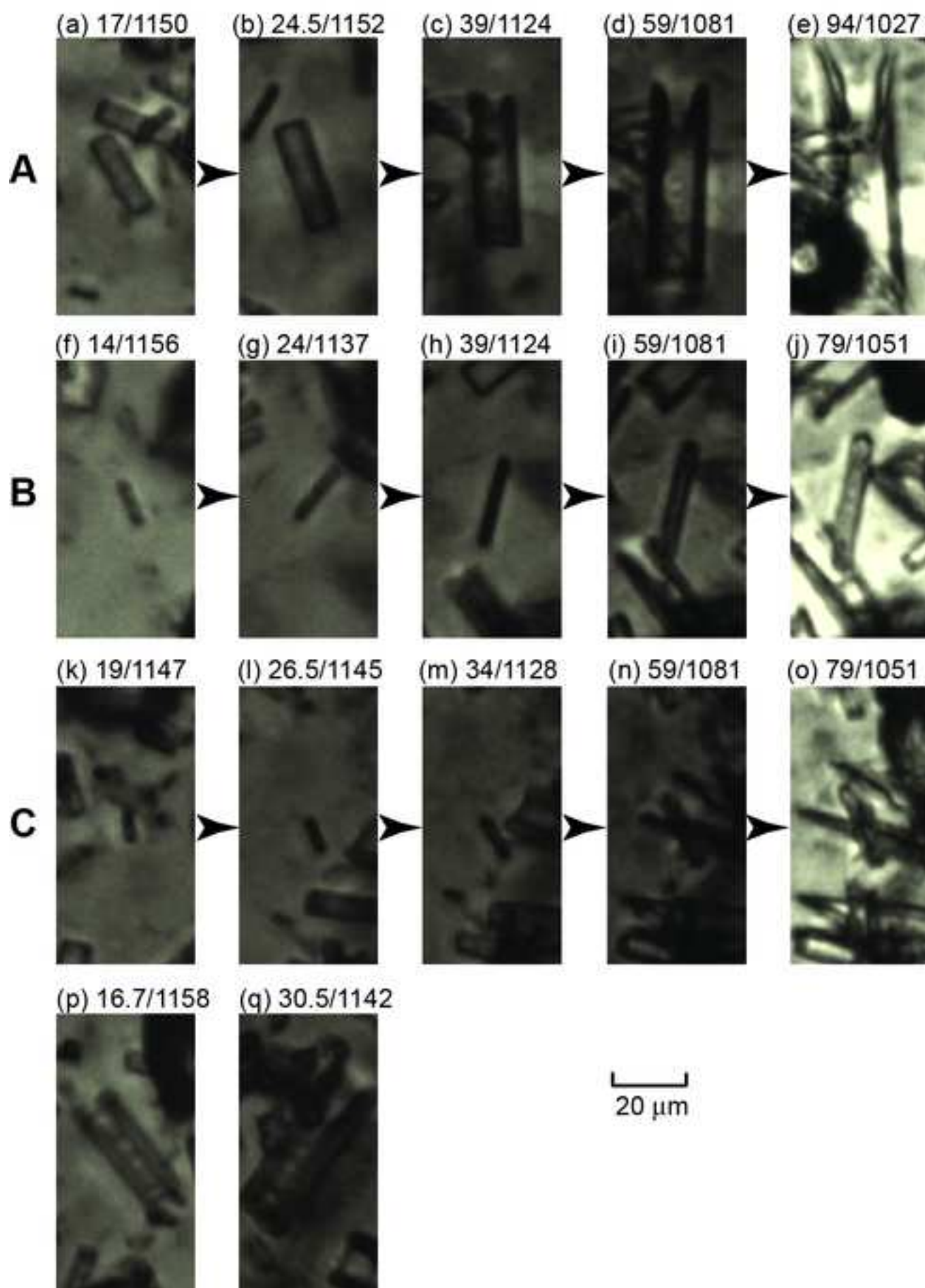


Figure4

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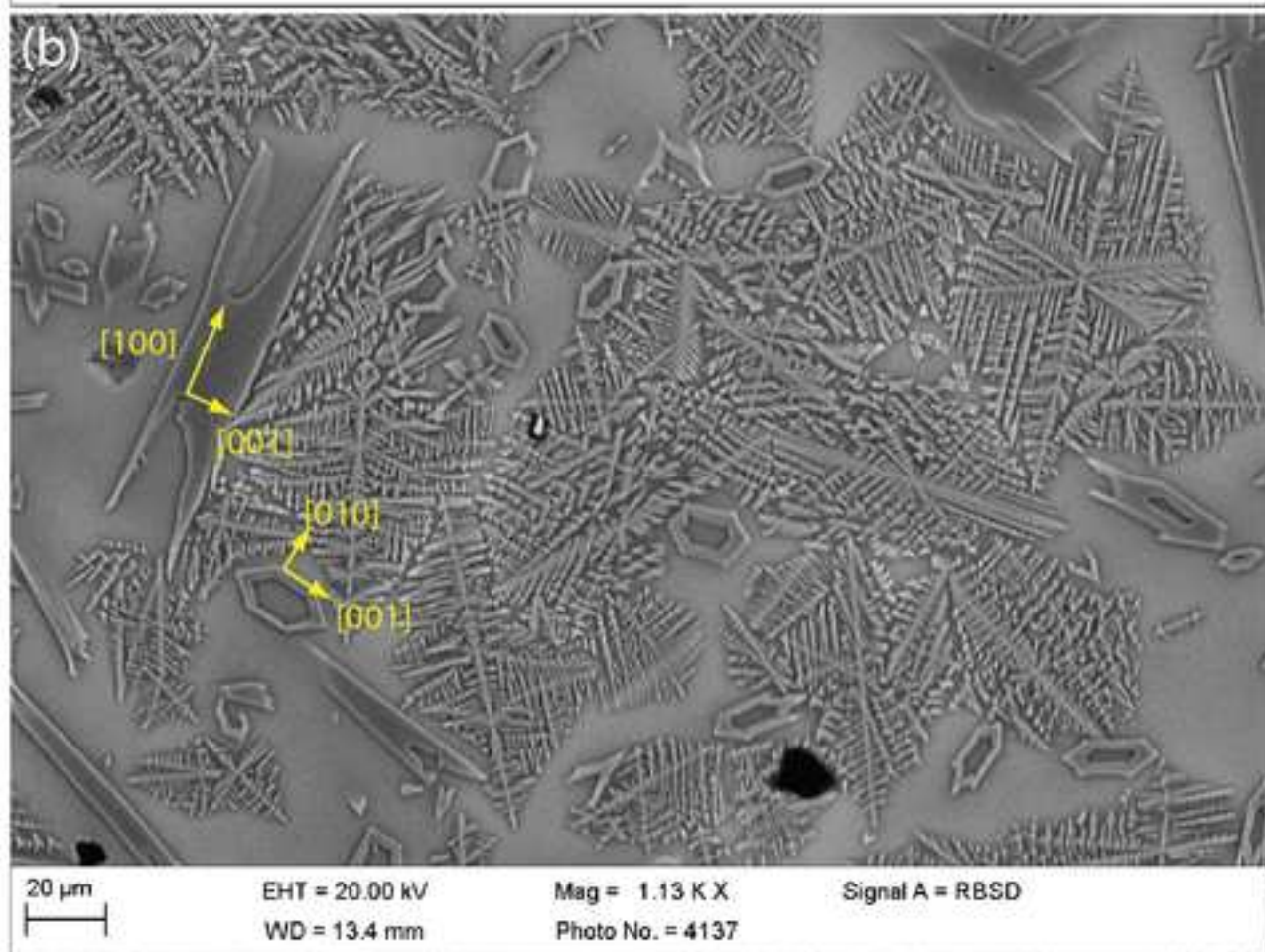
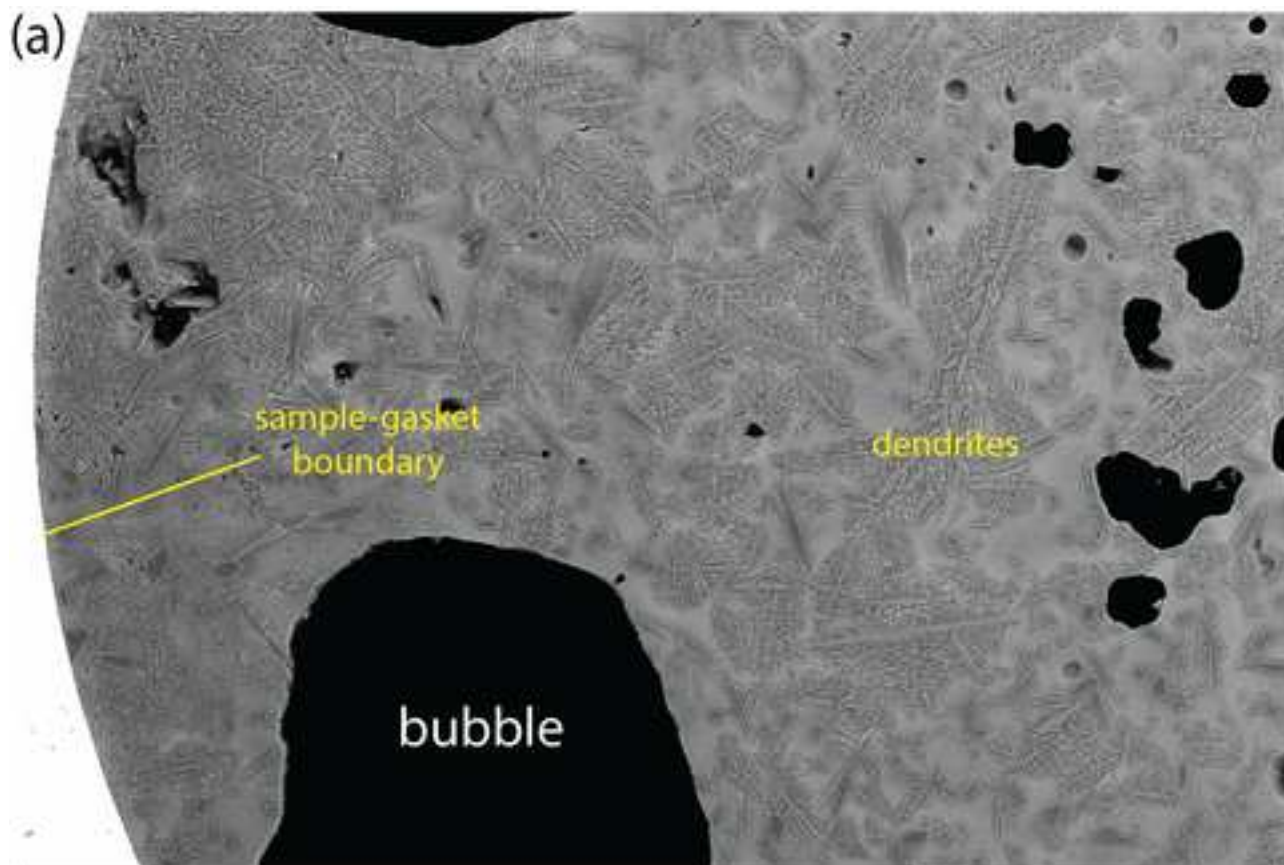
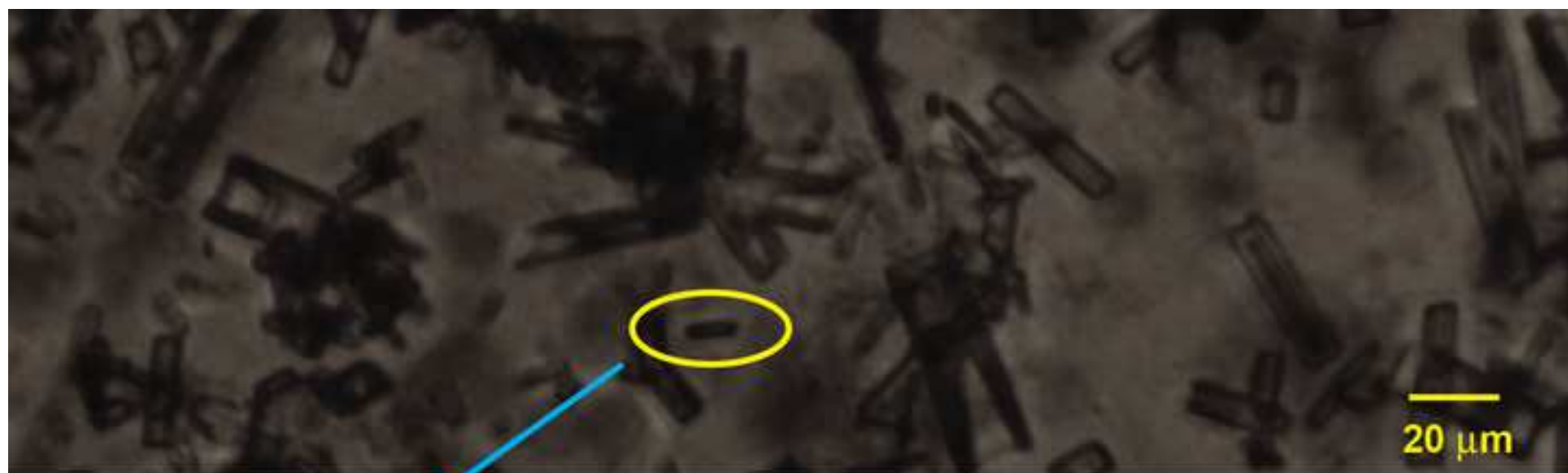


Figure5
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t (min) / T (°C)

34/1128

35/1127

36/1131

37/1130

43/1119



20 μm

Figure 6

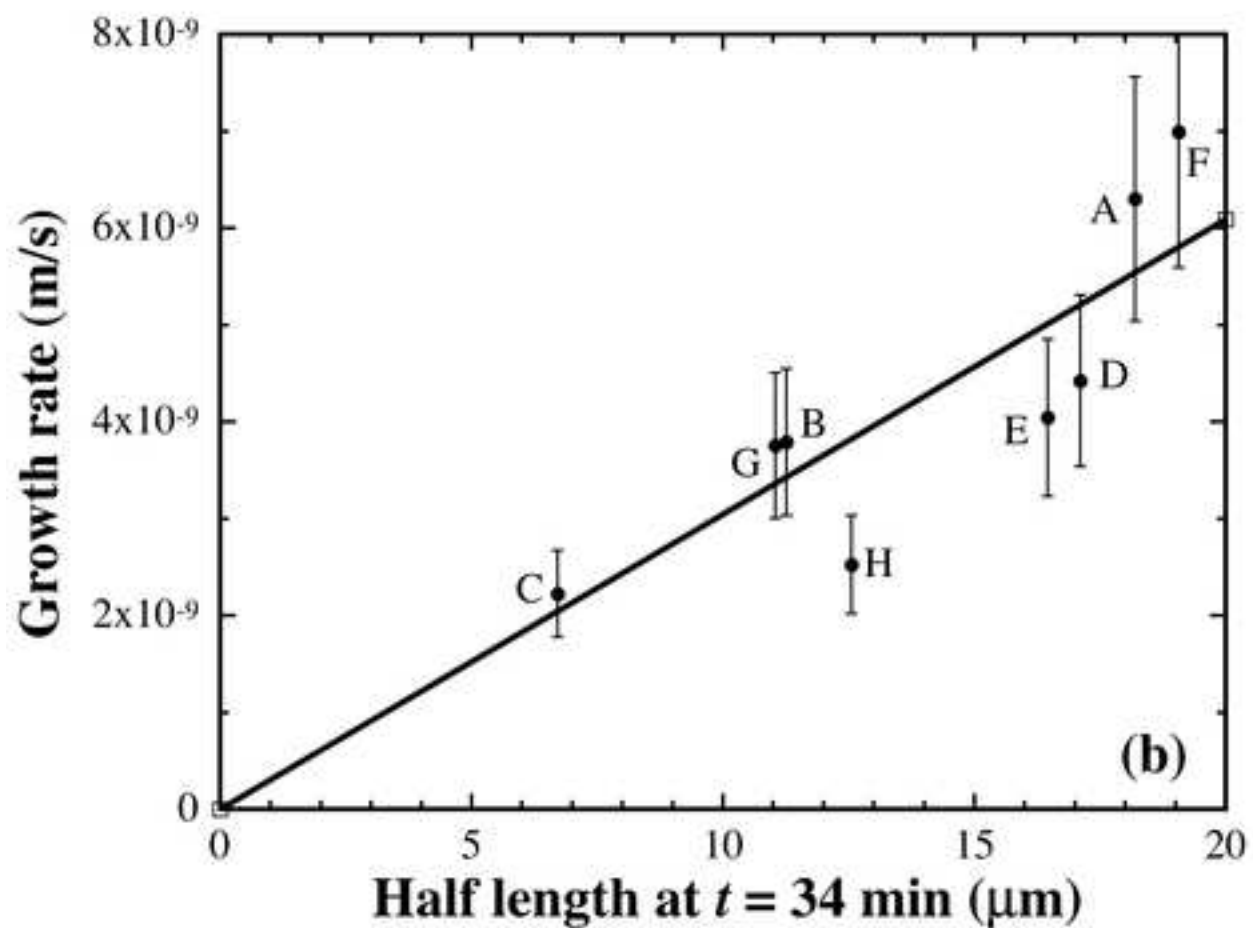
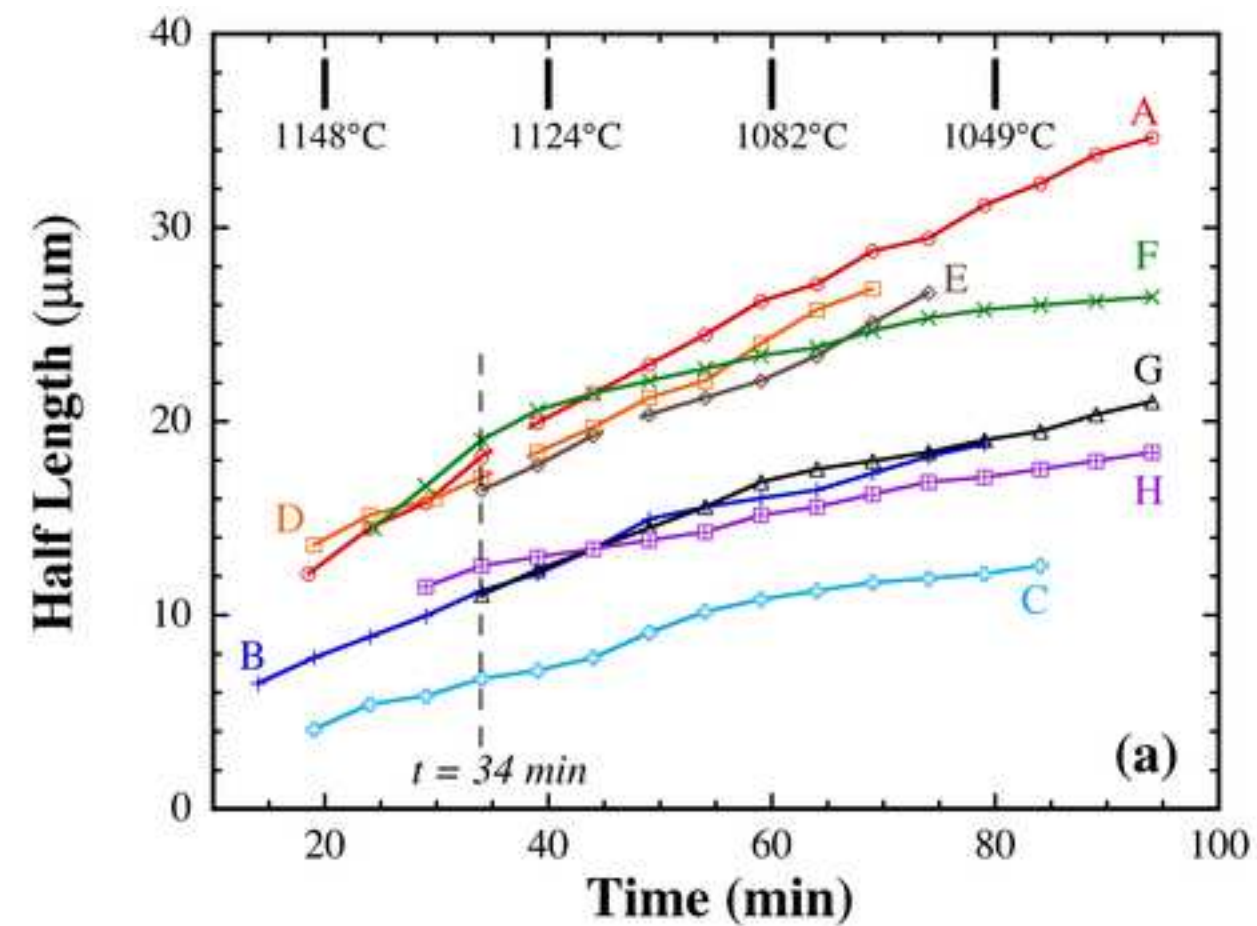
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Figure 7

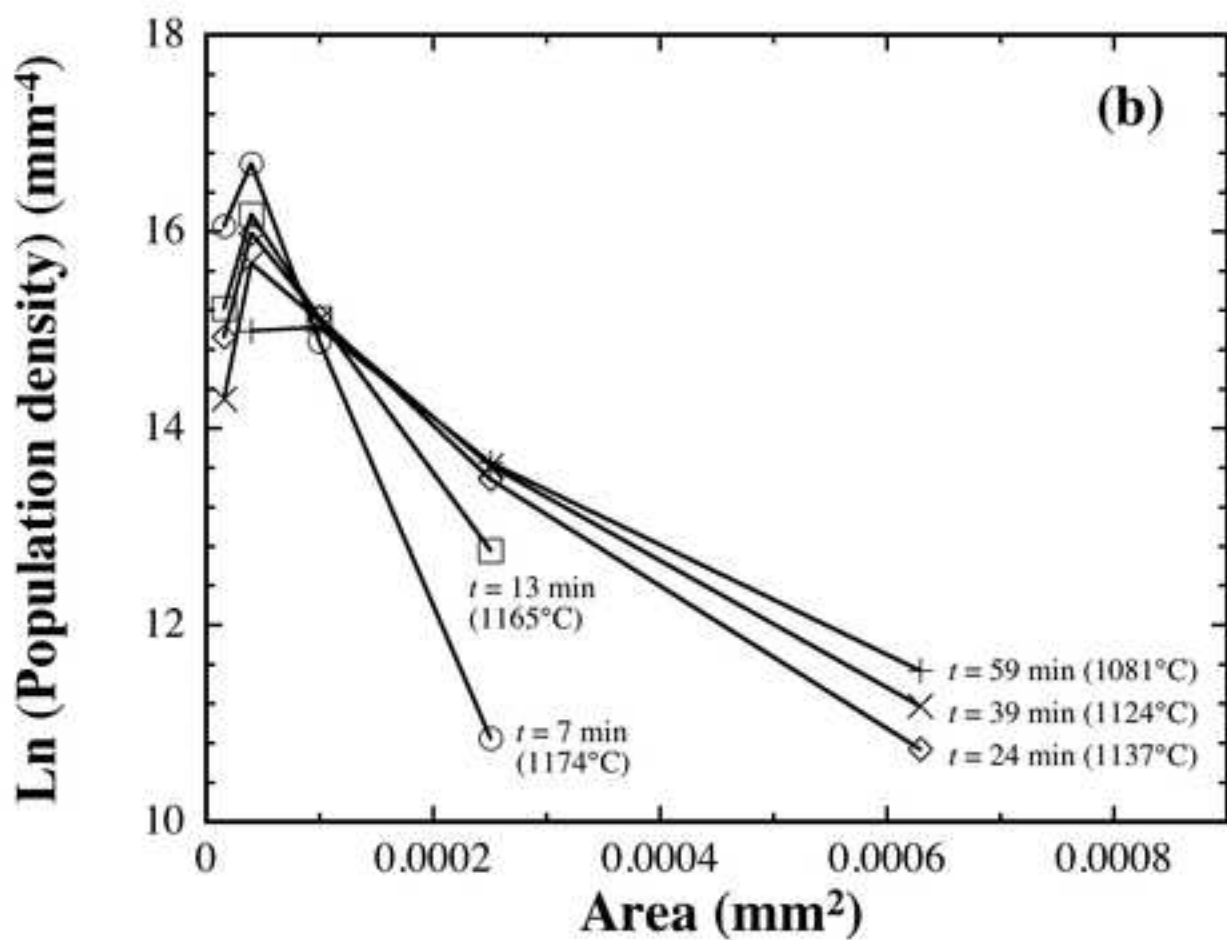
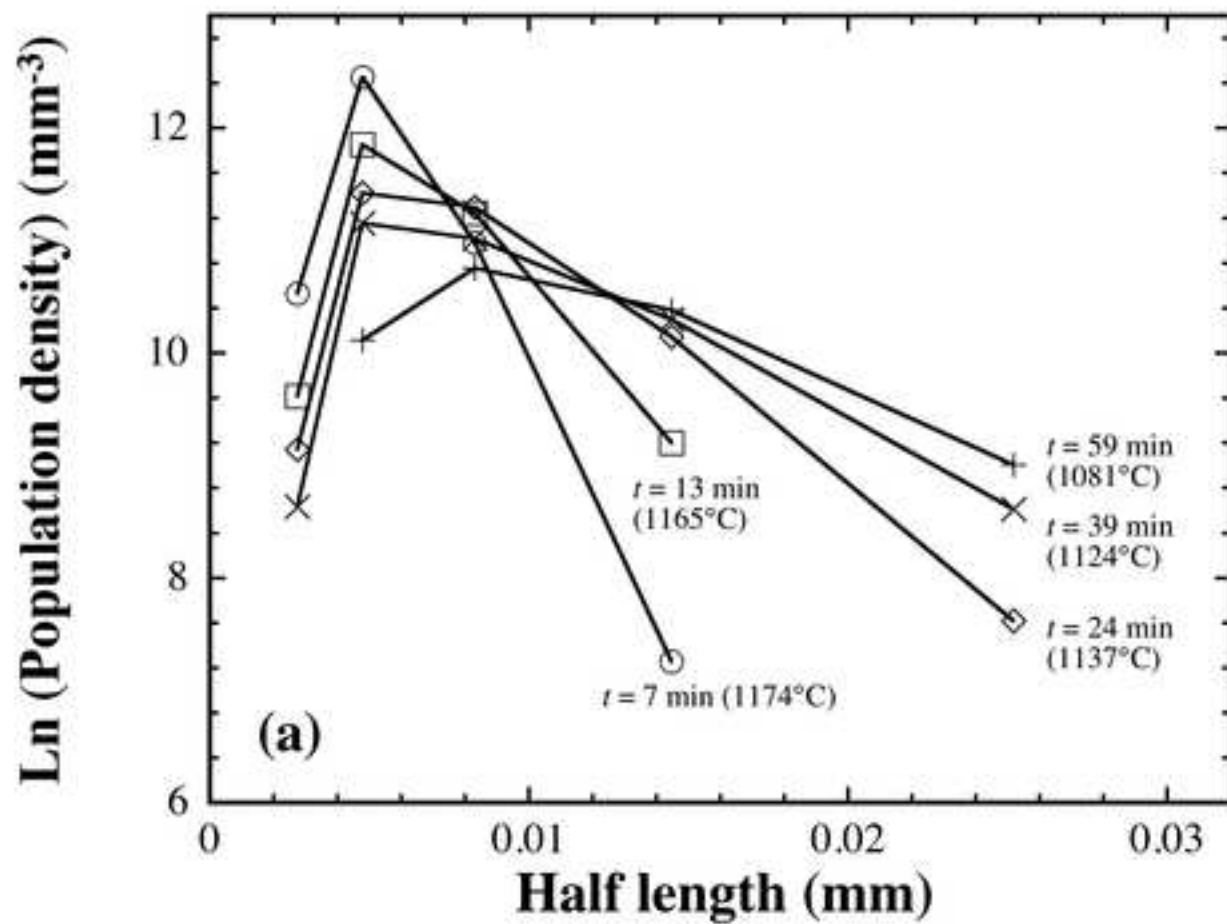
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Figure8
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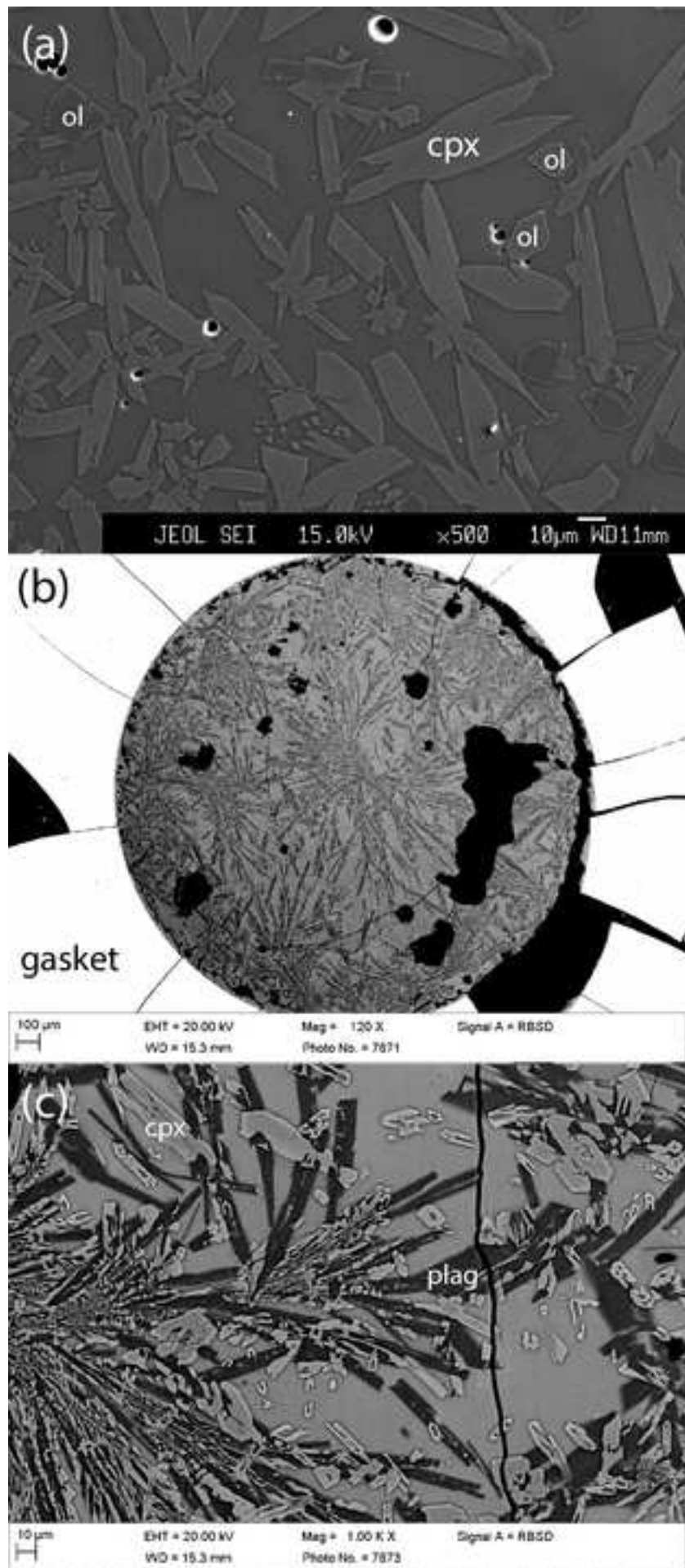


Figure9

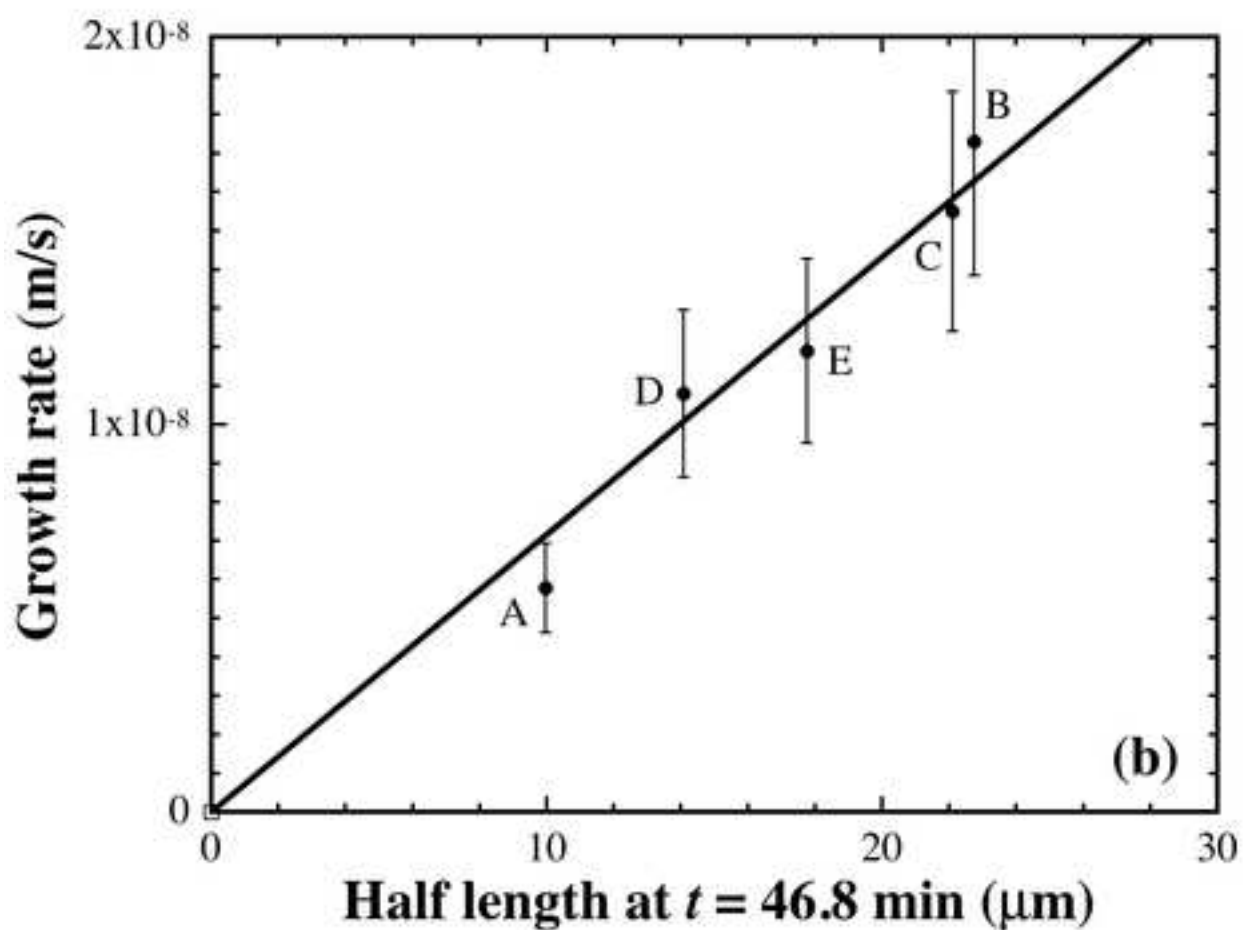
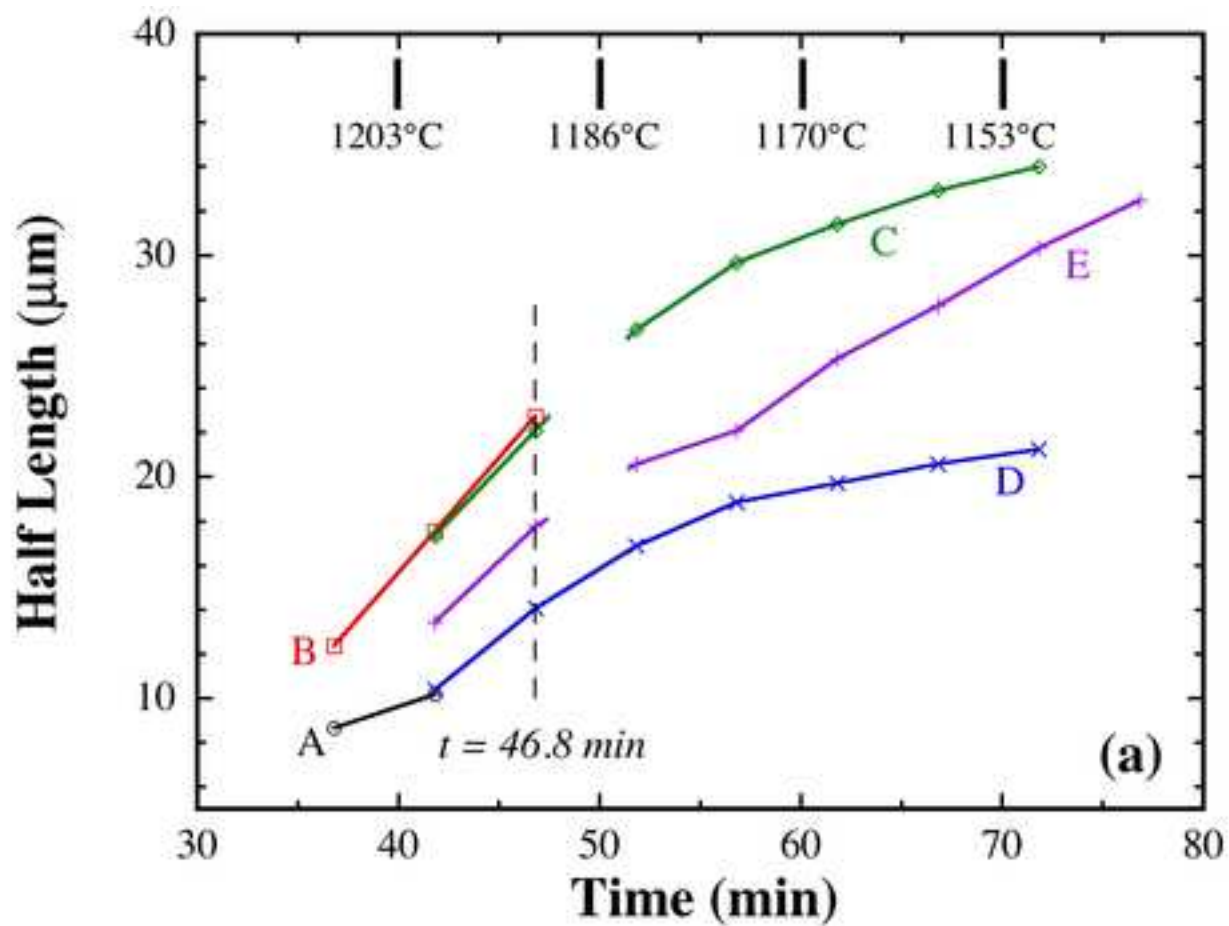
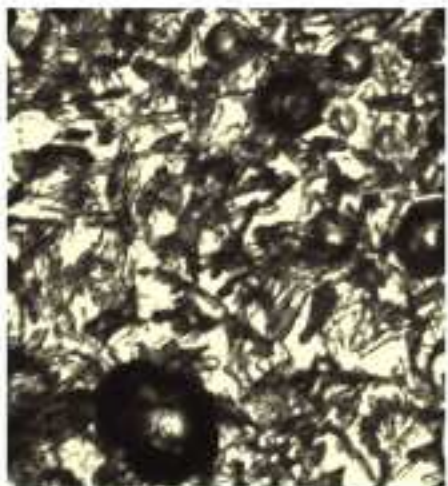
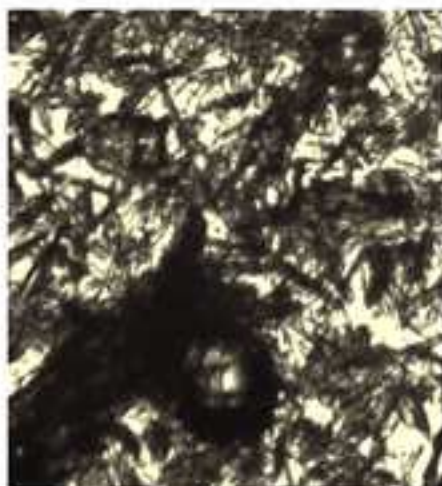
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Figure10
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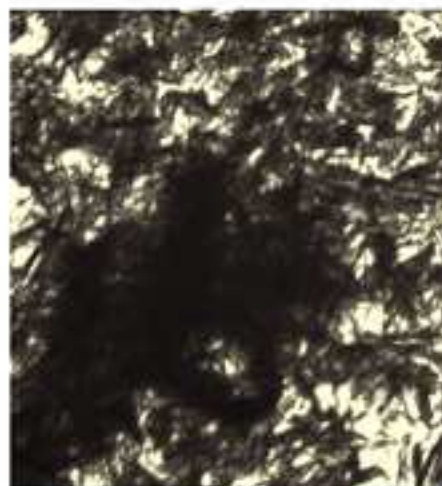
t = 55.5 min



65.5 min



75.5 min



89.5 min



100 μm

Figure 11
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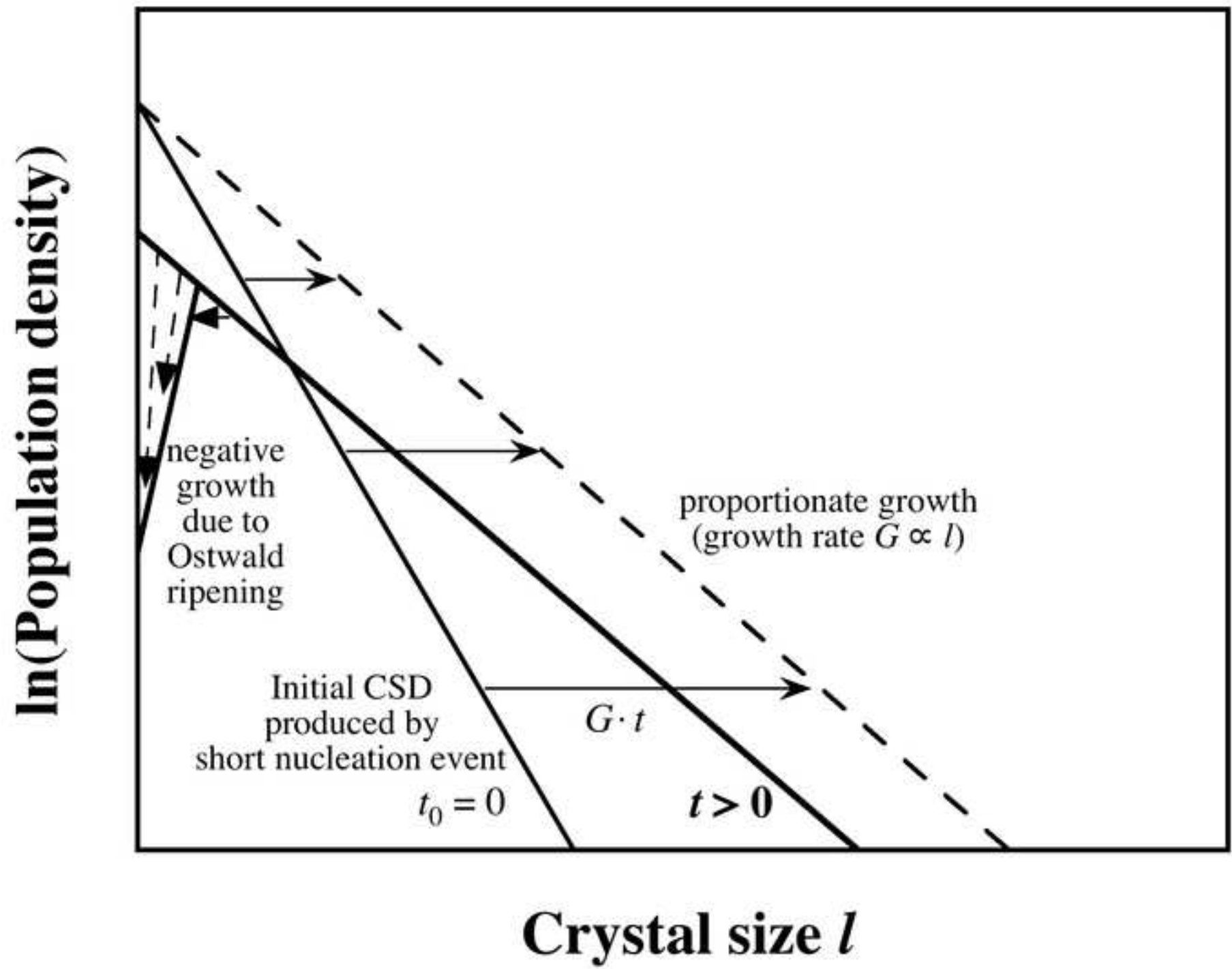


Table 1 Composition of glasses and minerals in wt%

	SiO ₂	TiO ₂	Al ₂ O ₃	FeO	MnO	MgO	CaO	Na ₂ O	K ₂ O	total
PST-9 ¹	49.40	0.79	15.75	7.67	0.15	7.96	12.73	2.27	1.85	98.57
Bas ²	52.29	0.85	16.29		7.81	7.53	10.24	3.15	2.21	100.37
<i>Run# Bas11</i>										
Olivine ³	40.19				14.51	45.11	0.65			100.46
Melt ⁴	53.94	1.00	18.56		6.72	2.39	11.94	3.03	2.51	100.09
Ol+Melt ⁵	52.29	0.88	16.33		7.65	7.52	10.59	2.67	2.21	
<i>Run# Bas14</i>										
Cpx	49.59	1.36	8.23	0.02	8.07	16.11	17.19	0.22	0.04	100.83
Olivine	40.45				13.06	46.75	0.55			100.81
Melt	55.77	0.77	19.92	0.05	7.17	2.11	8.12	3.14	2.80	99.85
<i>Run# Bas21</i>										
Cpx	49.60	1.43	8.22	0.04	8.85	14.71	17.67	0.27	0.10	100.89
Plagioclase	53.48	0.17	28.16	0.01	1.31	0.52	11.95	3.92	1.06	100.58
Olivine	40.30				24.04	35.21	0.92			100.47
Melt	54.20	1.06	17.76	0.05	9.53	3.32	6.98	3.27	4.06	100.23

¹A golden pumice from Stromboli volcano, containing also 0.43 wt% P₂O₅ (Pichavant et al. 2011), served as the target composition for glass synthesis.

²The starting synthetic glass for crystallization experiments.

³Olivine composition corresponds to (Mg_{0.838}Mn_{0.153}Ca_{0.009})₂SiO₄.

⁴Far-field melt (i.e., melt at some distance away from olivine crystals).

⁵Composition corresponding to 12 wt% olivine and 88 wt% far-field melt.

Table 2 Texture analyses of 5 snapshots in Run# Bas11

Time ¹ (min)	<i>T</i> (°C)	Crystal density (counts/mm ²)	Max. crystal area (μm ²)	Max. crystal length (μm)	Crystal abundance (vol.%)
7	1174	993	221	12	4.7
13	1165	807	359	17	5.7
24	1137	841	609	27	8.6
39	1124	771	893	31	9.9
59	1081	638	865	32	10.5

¹Elapsed time since a short nucleation event occurring at ~1048°C during heating.

Electronic supplementary material

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Movie A1

[Click here to download Video: Movie_A1\(Bas11\).mpg](#)

Movie A2

[Click here to download Video: Movie_A2\(Bas12\).mpg](#)

Movie A3

[Click here to download Video: Movie_A3\(Bas14\).mpg](#)

Movie A4

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