# 1 A comparison of fast and reactive pyrolysis with in-situ derivatisation of fructose,

## 2 inulin and Jerusalem Artichoke (Helianthus tuberosus)

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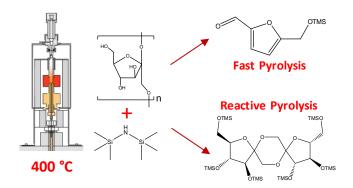
## **ABSTRACT**

Reactive pyrolysis is a technique that provides mechanistic information by performing pyrolysis of the substrate in a sealed glass capsule at elevated temperature and pressure for relatively long time. This technique has already shown great potential for the analysis of biomasses, favouring the formation of only the most thermostable compounds. In this work, both fast and reactive pyrolysis with on-line gas chromatography-mass spectrometry analysis (Py-GC/MS) are used to study fructose, inulin and Jerusalem artichoke tubers (*Heliantus tuberosus*). Interesting differences were found between the two systems, and became even more evident as the reaction time was increased. The most striking result was the formation of di-fructose dianhydrides (DFAs), a class of compounds with interesting biological activities. DFAs were obtained in high yields from reactive pyrolysis, but not from fast pyrolysis. Hypotheses on the pyrolysis mechanisms were made based upon the composition of the pyrolysates. This work describes for the first time the behaviour of fructans under reactive pyrolysis.

## **KEYWORDS**

Py-GC/MS; Derivatisation; Fructose; Inulin; Jerusalem artichoke; Di-fructose dianhydrides

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# Synopsis

- 28 We compared the behaviour of fructans under fast and reactive pyrolysis to unveil new possible strategies
- 29 for biomass conversion.

#### 1. INTRODUCTION

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Fructans are oligo- and polysaccharides of fructose. They can be found as energy reserve in many vegetal species [1-4]. Inulin, one of the most common fructans, is a polymer of fructose units connected by  $\beta(1,2)$ bonds. It is usually 30-35 monomers long, and terminates with a glucose molecule [5]. Fructans have a high potential to produce value-added chemicals such as furfural, hydroxymethylfurfural and di-fructose dianhydrides (DFAs) [6-10], and are therefore an important study matter in the field of biomass. DFAs are particularly important for their prebiotic activity: a DFAs-enriched diet has been reported to favour the absorption of calcium and the proliferation of floral bacteria in human and rat intestines [11-13]. Thermal treatment of fructans is a promising strategy to produce DFAs [14]. Analytical pyrolysis coupled with gas chromatography and mass spectrometry (Py-GC/MS) is a powerful and widely diffused technique for the study of biomasses [15-22]. Its main advantages are the use of very small sample quantities and the extensive control over experimental parameters. The chromatographic separation and MS detection can give a full characterisation of the pyrolysate composition. Most of the pyrolysis products of biomasses bear polar functional groups such as hydroxyls and carboxyls, which strongly interact with the stationary phase of common GC columns, producing poorly resolved and asymmetric peaks. Derivatising agents such as tetramethylammonium hydroxide (TMAH) or hexamethyldisilazane (HMDS) can be used to substitute mobile hydrogens with methyl or trimethylsilyl groups, respectively [23, 24]. This reduces the interaction between polar compounds and stationary phase, as well as increasing the volatility of pyrolysis products. However, the formation of partially derivatised compounds is a major issue of derivatisation, since it increases the complexity of the pyrograms without adding information. Analytical pyrolysis is a constantly improving research field, both from the methods and the instrumentation points of view. Reactive pyrolysis is a recently developed technique which allows to perform on-line Py-GC/MS experiments at prolonged pyrolysis times [25]. The application of reactive pyrolysis to the study of biomasses is still a greatly unexplored field, and very few literature references are available. Kim et al. used reactive pyrolysis to obtain the solvolysis of lignin [26], while Fu et al. compared the results of lignin pyrolysis

using both reactive and fast pyrolysis [27]. In a previous work, we demonstrated that increasing the pyrolysis

time of glucose and cellulose in the presence of hexamethyldisilazane (HMDS) favoured the formation of the most thermodynamically stable species and increased the derivatisation degree [28]. This means that long pyrolysis times generate less complex, more reproducible pyrograms.

While literature on the pyrolysis of lignin and cellulose is abundant, fructans appear to be less studied [5, 29-31]. In this work, we studied the behaviour of fructose and inulin using both reactive and fast pyrolysis with on-line GC/MS. To improve peak shape and chromatographic resolution, hexamethyldisilazane (HMDS) was used as derivatising agent. The results obtained with the two methods were compared. Hypotheses on the pyrolysis mechanisms in the two cases were made to account for the differences in the pyrolyzate composition. Finally, the results obtained for fructose and inulin were used to study the pyrolysis of Jerusalem artichoke tubers, a vegetal species which is known for its high content in inulin [8, 32, 33].

#### 2. MATERIALS AND METHODS

2.1 Materials: Hexamethyldisilazane (HMDS, Sigma-Aldrich, USA) was used as derivatising agent. Samples were D(-)-fructose (> 99%, Riedel-de Häen, USA), inulin from dahlia tubers (Sigma-Aldrich, USA) and commercially available Jerusalem artichoke tubers (Helianthus tuberosus). All samples were dried in oven at 60 °C for 8 h before analysis. Jerusalem artichoke tubers were also grinded to a fine powder using a Pulverisette 23 ball mill (Fritsch, Germany) for 5 min at 50 Hz. The inorganic fraction of the samples was evaluated by heating a known quantity of each in a muffle furnace at 700 °C for 2 h. No solid residue was found for fructose, while 2.6% and 12.6% (w/w) inorganic residues were found for inulin and Jerusalem artichoke, respectively.

2.2 Py-GC/MS: The instrumentation consisted of an EGA/PY-3030D micro furnace pyrolyser (Frontier Lab, Japan) connected to a 6890 gas chromatograph equipped with a split/splitless injector and a 5973 Mass Selective Detector (Agilent Technologies, USA). Experiments were performed both with a regular sample holder and a PY-1050 Micro Reaction Sampler (Frontier Lab, Japan). The structure and functioning of this sampler has already been described in previous publications [25, 28].

2.3 Sample preparation: In fast pyrolysis experiments, approximately 100 µg of sample were directly put inside the sample holder, and 5 µL of HMDS were added. Dispersion of the derivatising agent was aided using a quartz wool plug. The cup was then lowered in the micro-furnace to perform the experiments. In reactive pyrolysis experiments, approximately 50 µg of sample were directly weighted inside the glass capsule, and 3 μL of HMDS were added. The glass capsule was then put under a gentle stream of nitrogen to ensure inert atmosphere. Finally, the capsule was flame-sealed and lowered in the micro-furnace to perform the experiments. **2.4 Experimental parameters:** All pyrolysis experiments were performed with a furnace temperature of 400 °C (preheated) and an interface temperature of 280 °C. The furnace temperature was chosen on the basis of EGA-MS analyses [30]. Injection in the chromatographic system was performed using a 20:1 split ratio. Helium (1 mL/min) was used as carrier gas. Chromatographic separation was achieved using an HP-5MS capillary silica column (30 m x 0.25 mm, film thickness 0.25 μm, Agilent Technologies, USA), connected to a deactivated silica precolumn (2 m x 0.32 mm, Agilent Technologies, USA). The following temperature gradient was used in all experiments: 50 °C isothermal for 1 min; 10 °C/min up to 100 °C; 100 °C isothermal for 2 min; 4 °C/min up to 280 °C; 280 °C isothermal for 30 min. The mass spectrometer was operated in El positive mode (70 eV, m/z range 50-600). The transfer line was kept at 300 °C, while the ion source was kept at 230 °C and the quadrupole at 150 °C. In fast pyrolysis experiments, pyrolysis time was 0.2 min. In reactive pyrolysis experiments, pyrolysis times were 0.2, 0.5, 1, 2, 5, 10, 20, 30 and 60 min. Fast pyrolysis experiments were replicated three times, providing CVs lower than 25%. Reactive pyrolysis experiments were replicated three times at both 0.5 min and 30 min of pyrolysis, providing CVs lower than 20%. CVs were generally lower at long pyrolysis times than at short pyrolysis times. 2.5 Data interpretation: Pyrograms were processed using the Automated Mass spectral Deconvolution and Identification System (AMDIS, version 2.17, NIST, USA). Compound identification was based on comparison with mass spectral libraries (Wiley and NIST/EPA/NIH) and with previous literature data (mass spectra and relative retention order) [19, 20, 23, 30, 34]. In addition, compound identification was performed also using

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mass spectra interpretation, taking into account the characteristic fragmentation pathways of the most common pyrolysis products of carbohydrates [20, 22, 35].

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## 3. RESULTS AND DISCUSSION

3.1 Identified compounds: More than 80 pyrolysis products were identified in the pyrograms, and they are reported in Table 1. These products originate from different, competitive thermal degradation pathways. The table also shows a "Match" column, which shows how the identification of each compound was performed. A literature reference indicates that identification was based on published results, while the label "L" indicates that identification was based on the comparison with reference mass spectra libraries. Finally, the label "T" indicates that the structure of the compound was hypothesised. For most of the "T" compounds, hypotheses were not based solely on the mass spectra, but also on the comparison with already identified compounds with similar spectra. For instance, the structures of the four 2-hydroxymethyl-n-hydroxy-2,3dihydro-(4H)-pyran-4-one (#35, 46, 50 and 54) were hypothesised based on 3,5-dihydroxy-2-methyldihydro-(4H)-pyran-4-one (#39), which is a structural isomer and has a very similar mass spectrum. The most important reaction in carbohydrates pyrolysis, and usually the first one, is the loss of a water molecule [29]. Since carbohydrates have many hydroxyl groups, dehydration can take place in many ways. If dehydration involves a hydroxyl group and a vicinal hydrogen, an aldol-type structure is obtained. This structure can undergo retro-aldol fragmentation, generating 1 to 3-carbon atom products. These small molecules are the first to be eluted by the chromatographic system (#2-9, 13, 23, 25, 31). Small molecules can also be obtained from other reactions, such as elimination, extrusion, rearrangement and Grob fragmentation [36]. If dehydration involves two distant hydroxyl groups, a C-O-C bond is formed. This generates anhydrosugars, which are eluted at high retention times in the chromatograms (#44, 45, 52, 53, 55, 58, 62, 64-66). Monosaccharides like fructose generate anhydrosugars from dehydration reactions, while polysaccharides like inulin generate anhydrosugars from cleavage of the glycosidic bond, since their monomers are already dehydrated monosaccharides. Anhydrosugars have been reported as the main pyrolysis products of glucose and cellulose in fast pyrolysis experiments [23].

Multiple dehydration can also occur, resulting in the formation of different pyrolysis products. Carbohydrates in their cyclofuran or cyclopyran forms generate furans (#1, 10, 16, 27, 28, 34, 36, 49, 51) and pyrans (#18, 19, 32, 35, 39, 42, 46, 47, 50, 54, 60, 63), respectively. On the other hand, C-C bonds can be formed if the dehydration occurs while the carbohydrate is in its linear form. This generates cyclopentenones (#12, 15, 20-22, 26, 30, 37, 38, 40) and hdroxybenzenes (#29, 33, 48, 56). The loss of two water molecules can also generate intermolecular bonds, leading to the formation of DFAs (#71-89). Fructose is known to have a greater tendency than glucose to form dimeric species such as DFAs in a pyrolytic environment [29]. All identified pyrolysis products were grouped in categories: small molecules, cyclopentenones, furans, pyrans, hydroxybenzenes, anhydrosugars or dianhydrides. Compounds not belonging to any of these categories were labelled as "others". Table 1 reports the category for each compound. Chromatographic peaks were integrated, and areas of peaks belonging to the same category were added together. Finally, a total yield for each category was expressed as a percentage of the total pyrogram area (Table 2). The comparison of the percentage yields of the pyrolysates provides insight into which mechanisms are favoured or hindered in the different experimental conditions. 3.2 Fructose: The pyrograms of fructose are reported in Figure 1. The pyrogram obtained from fast pyrolysis is compared to those obtained from reactive pyrolysis at 0.5 and 30 min, which are representative of a short and long pyrolysis time, respectively. The percentage yields of pyrolysis products for fast and reactive pyrolysis at all pyrolysis times are reported in Table 2. Fast pyrolysis gave dihydroxyacetone (#23), hydroxymethylfuraldehyde (HMF, #28), 2,6-anhydro-β-Dfructofuranose (#52, 58), and the  $\delta$ -lactone of deoxygluconic acid (#61) as main products. These results agree with the literature [30], despite the slight difference in experimental parameters. The other peaks in the pyrogram are mainly due to small molecules (#2, 5), cyclopentenones (#12, 15, 20) and pyrans (#32, 35, 39, 46). Furans were the most abundant product category. This is probably due to the fact that fructose molecules are prevalently found in their furanose form. Small molecules accounted for more than 18% of the pyrolysate. Dihydroxyacetone (#23) was the most important product belonging to this category. The formation of this pyrolysis product is much less favoured

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in glucose than in fructose [23, 28]. Dihydroxyacetone is formed by cyclic Grob fragmentation with cleavage of C3-C4 bond. As shown in Figure 2, this reaction allows up to two dihydroxyacetone molecules to be obtained in the case of fructose, but not in the case of glucose. A high percentage of anhydrosugars was also obtained. Moreover, the "others" category accounted for 12% of the total area, with deoxygluconic acid (#61) as the main contributor. The formation of anhydrosugars and uronic acids requires minimal degradation of the substrate, since the original carbon skeleton of the carbohydrate remains intact. These results imply that, although small molecules accounted for a high percentage of the total area, a furnace temperature of 400 °C is not sufficient to achieve a complete pyrolysis of fructose. Table 2 also reports the percentage areas of partially derivatised (1+2 TMS) and fully derivatised (3 TMS) anhydrosugars. The two values are very similar, indicating that the derivatisation in fast pyrolysis experiments is far from complete. Partial derivatisation generates multiple peaks for a single compound, increasing the complexity of the pyrogram and the risk of co-elution. Reactive pyrolysis gave different results than fast pyrolysis. 2,3,5-trihydroxy-(4H)-pyran-4-one (#63), which was not detected in the fast pyrolysis chromatogram, was one of the main products alongside HMF and 2,6anhydrofructofuranose. The most important product categories were furans and pyrans, and the yields for both categories were higher than those obtained from fast pyrolysis. A much lower fragmentation of the substrate was observed, since small molecules accounted for less than 5% of the pyrolysate. However, this does not mean that the pyrolysis of the substrate was incomplete. In fact, the total anhydrosugar yield was less than 8%, and the yields of uronic acids were significantly lower than in the case of fast pyrolysis. At the shortest reaction time (0.2 min), the percentage of fully derivatised anhydrosugars was comparable with that of fast pyrolysis. The most striking difference between the chromatographic profiles of fast and reactive pyrolysis is the presence of a cluster of peaks in the range 36-40 min. The peaks in this cluster showed mass spectra with signals at m/z 509, 361, 217 and 204, which are indicative of per-TMS derivatives of DFAs [6, 37]. The formation of DFAs from fructose follows an ionic mechanism involving the reaction between a fructosile cation and a neutral monosaccharide [38]. It is possible that this intermolecular reaction is much more

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favoured in reactive pyrolysis than in fast pyrolysis, since pyrolysis products have more time to interact with each other inside the glass capsule. It is also interesting to notice that no signal belonging to partially silylated DFAs was found, even at short pyrolysis times. When pyrolysis time was increased, the pyrograms changed drastically. Secondary pyrolysis reactions became more relevant, and the yield of small molecules increased accordingly. These secondary reactions appear to affect mainly furans and pyrans, since their yields were drastically reduced when pyrolysis time was increased. HMF, which was among the main products at short reaction times, could not be identified in the pyrograms at 30 and 60 min. A slight increase in the yield of hydroxybenzenes was also observed. This increase is probably due to the great thermal and chemical stability of the aromatic ring. Cyclopentenones and anhydrosugars maintained relatively constant yields through all the investigated time range. The thermal stability of anhydrosugars in both fast and reactive pyrolysis conditions has already been documented [28, 39]. Moreover, the average derivatisation degree of anhydrosugars quickly rose with the increase of pyrolysis time, and a complete silylation was obtained after 10 min. The yield of di-fructose dianhydrides increased significantly through all the observed time range. After 20 min of pyrolysis, DFAs became the most abundant category in the pyrogram, and at 60 min their yield was greater than 50%. This result implies that DFAs are particularly thermostable. The formation of DFAs appears to be kinetically slow, and requires pyrolysis to be performed in a closed system for long reaction times. Overall, the differences between fast and reactive pyrolysis become more evident with the increase of pyrolysis time, meaning that the reaction pathways are different in the two cases. Water molecules released from dehydration reactions of the substrate could play a fundamental role in reactive pyrolysis, promoting different mechanisms than in fast pyrolysis. The catalytic role of water in carbohydrate pyrolysis has already been discussed in the literature [40]. 3.3 Inulin: The pyrograms obtained for inulin are reported in Figure 3. The chromatographic profile obtained from fast pyrolysis was very similar to that obtained for fructose. This means that the depolymerisation of inulin chains is most likely the first reaction that occurs during fast pyrolysis. This result was already observed in fast pyrolysis experiments at 550 °C [30].

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The yields for each category are reported in Table 2. Since depolymerisation is the first reaction taking place in these conditions, secondary pyrolysis reactions were less favoured for inulin than for fructose. Therefore, a lower yield of small molecules was obtained. The formation of cyclopentenones was also more favoured than for fructose. This could be due to a higher probability of C-C bond formation from inulin monomers during the cleavage of the glycosidic bond. The yields of anhydrosugars, pyrans and furans were similar to those obtained for fructose. As for fructose, the behaviour of inulin in reactive pyrolysis was strikingly different than in fast pyrolysis. In this case, however, the differences were so remarkable that a comparison is difficult. The most evident result is that the formation of DFAs was by far the most favoured reaction. 12 different DFDA peaks were found. After 0.2 min of pyrolysis, DFAs accounted for about 60% of the total composition. The yield in DFAs was higher for inulin than for fructose at all observed pyrolysis times. Moreover, some DFAs were obtained only from fructose (#75, 80, 83, 87), while others were obtained only from inulin (#78, 84, 86). These results imply that the formation of DFAs from inulin does not derive from the intermolecular reactions between monosaccharide units. A partial cleavage of the inulin polysaccharide chain can lead to the formation of disaccharides or other small oligomers, and DFAs can be obtained from the oligomers by intramolecular reactions. The formation of DFAs from oligosaccharides of inulin as a result of glycosidic bond cleavage has already been documented in the literature [41]. The yields of all other categories were significantly lower than in fast pyrolysis. The only categories maintaining a yield of more than 10% were furans and anhydrosugars, which were mainly represented by HMF (#28) and 2,6-anhydro-β-D-fructofuranose (#58), respectively. When pyrolysis time was increased, the total yield of DFAs showed an increasing trend, reaching over 84% after 60 min of pyrolysis. However, the number of peaks in the DFA region was reduced, going from 12 to 5 (#79, 82, 85, 86, 89). This is evident by the comparison of the pyrograms in Figure 3. The most plausible explanation to this behaviour is that, when given enough time in the pyrolysis chamber, these molecules

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undergo isomerization reactions to form the most stable isomers. Isomerization of DFAs was already

observed in the literature when fructose samples were caramelized in the presence of an acid ion-exchange resin [38]. Trends in the yields of the other categories are more difficult to discuss, since their values are small and more subjected to casual error. If the shortest and longest reaction times are compared, cyclopentenones, furans, pyrans, ahydrosugars and other molecules all showed a decreasing trend. However, only a slight yield increase was observed for small molecules. These results show that, as observed for fructose, DFAs can also be obtained from secondary thermal reactions between the primary pyrolysis products of inulin, if enough time is given. Finally, the derivatisation degree of anhydrosugars increased with time, and a complete persilylation was achieved after 10 min of pyrolysis. 3.4 Jerusalem artichoke tubers: The pyrograms obtained for Jerusalem artichoke are shown in Figure 4. Fast pyrolysis provided similar chromatograms to those obtained for the other substrates. However, the percentage yields of each category were different from those of fructose and inulin. A much lower yield of anhydrosugars was obtained, while small molecules and furans showed very high yields. These differences in the pyrolysate composition are difficult to explain, but the high content of inorganic species in the samples (12.6%) could favour some of the pyrolysis mechanisms over the others. Inorganic salts are known to act as catalysts and alter the pyrolysis mechanisms of carbohydrates and biomasses in general. Fast pyrolysis of biomasses in the presence of inorganic species was shown to increase the yield of specific product categories [42-45]. As shown in Table 2, the percentages of partially and totally derivatised anhydrosugars were also comparable with those observed in fructose and inulin. This result shows that the efficiency of HMDS was not altered by the presence of inorganic species. The high yield of small molecules was maintained in reactive pyrolysis experiments. At all pyrolysis times, the chromatograms showed a peak which could be annotated to the persilylated form of the phosphate anion. This peak is marked with an asterisk in Figure 4, and its presence is indicative of the high inorganic content of the samples. The phosphate anion was not observed in the chromatograms obtained from fast pyrolysis,

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probably because its reaction with the derivatising agent was not favoured.

Compared to fast pyrolysis, a higher yield of anhydrosugars and whole monosaccharides ("others" category) was obtained in reactive pyrolysis. Moreover, the yield of furans was lower. These results show that, under reactive pyrolysis conditions, the catalytic effects of the inorganic species are reduced. This behavior, although counter-intuitive, is extremely interesting, and requires further studies to be understood. The formation of DFAs was observed for Jerusalem artichoke as for fructose and inulin, and a significant yield was obtained even at short reaction times.

When pyrolysis time was increased, the yield of furans rapidly decreased, as was observed for fructose and inulin. As expected, a decrease in the yield of whole monosaccharides was also observed. The derivatisation degree of anhydrosugars increased much more quickly, and a complete silylation was already obtained after 1 min of pyrolysis. The yield of DFAs increased up to 20 min of pyrolysis, reaching over 64%, but decreased for the two successive pyrolysis times. This could be due to a reduction of the thermal stability of DFAs caused by the inorganic component of the sample. This hypothesis is supported by the increase in the yield of small molecules at 30 and 60 min pyrolysis time. As for inulin, isomerization of DFAs was observed, and at long pyrolysis times only four main peaks (#73, 77, 85, 88) remained.

#### 4. CONCLUSIONS

The present work outlines for the first time the behavior of fructans in reactive pyrolysis. The differences between fast and reactive pyrolysis of fructose, inulin and Jerusalem artichoke were investigated. Pyrolysis products were grouped in categories according to their structure, and percentage yields for all categories were obtained from integrated areas for all experiments.

Fast pyrolysis gave small molecules, furans and anhydrosugars as the main products, with hydroxymethylfuraldehyde as the most abundant species for all three substrates. Derivatisation of anhydrosugars in fast pyrolysis was partial. For all three substrates, the percentages of partially and fully derivatised anhydrosugars were similar.

The product distribution in reactive pyrolysis changed significantly from fast pyrolysis. The increase in

pyrolysis time allowed only the most thermostable products to be detected, and favoured isomerization,

288 conversion and secondary degradation reactions. The most striking difference from fast pyrolysis was the formation of di-fructose dianhydrides, which was surprisingly observed also in the case of fructose. 289 Moreover, the derivatisation degree of anhydrosugars quickly increased with the increase of pyrolysis time, 290 and a complete derivatisation was obtained in all cases after 10 min of pyrolysis. This is an interesting result 291 292 that could be applied to the study of other substrates by pyrolysis with in situ silylation. 293 Finally, Jerusalem artichoke showed some differences in the trends of pyrolysis products yields. These 294 differences were attributed to the presence of inorganic species in the sample, and require further studies 295 to be fully understood. Although being a more complex system, the high yield of DFAs observed for fructose 296 and inulin was preserved in Jerusalem artichoke. Exploitation of this behavior could be possible in the future 297 to produce DFAs with minimal substrate preparation. Further studies are required to fully understand the 298 role of inorganic components on the pyrolysis mechanisms.

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