1	TITLE: CALCIUM CHANNEL BLOCKERS IN SECONDARY CARDIOVASCULAR PREVENTION
2	AND RISK OF ACUTE EVENTS: REAL-WORLD EVIDENCE FROM NESTED CASE-CONTROL

3 STUDIES ON ITALIAN HYPERTENSIVE ELDERLY

4 RUNNING HEAD: CALCIUM CHANNEL BLOCKERS AND RISK OF ACUTE EVENTS

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All authors substantially contributed to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work. All author substantially contributed in drafting the work or revising it critically for important intellectual content. All authors approved the final version to be published. All authors agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

#### 42 ACKNOWLEDGMENTS

- 43 This project was made on the behalf of the Italian Group for Appropriate Drug Prescription in the Elderly (I-
- 44 GrADE), whose members are: Nera Agabiti , Claudia Bartolini, Roberto Bernabei, Alessandra Bettiol,
- 45 Stefano Bonassi, Achille Patrizio Caputi, Silvia Cascini, Alessandro Chinellato, Giovanni Corrao, Marina
- 46 Davoli, Massimo Fini, Rosa Gini, Francesco Giorgianni, Ursula Kirchmayer, Francesco Lapi, Niccolò
- 47 Lombardi, Ersilia Lucenteforte, Alessandro Mugelli, Graziano Onder, Federico Rea, Giuseppe Roberto,
- 48 Chiara Sorge, Michele Tari, Gianluca Trifirò, Alfredo Vannacci, Davide Liborio Vetrano, Cristiana Vitale.

#### 49 FUNDING

- 50 This study is part of large program supported by the Italian Group for Appropriate Drug Prescription in the
- 51 Elderly (I-GrADE), aimed to assess the appropriateness of outpatient drug prescriptions in the Italian elderly
- 52 discharged from hospital for cardiovascular (CV) disease.
- 53 This work was supported by the Italian Medicines Agency AIFA [AIFA-FARM9LBBBL], which supports
- 54 the I-GrADE. The funding source had no role in study design, in the collection, analysis and interpretation of
- 55 data, in the writing of the report, nor in the decision to submit the article for publication.

#### 56 ETHICS APPROVAL

- 57 This study was approved by the Ethic Committee of the "Azienda Ospedaliera Universitaria di Careggi",
- 58 Florence, Italy, on March 26<sup>th</sup> 2012; protocol number: 2012/0012643.
- 59 **Conflict of Interest:** All authors have no conflicts of interest to declare.

## 60 ABSTRACT

61 **Background and objectives:** Antihypertensive treatment with calcium channel blockers (CCBs) is

62 consolidated in clinical practice, however different studies observed increased risks of acute events for short-

63 acting CCBs. This study aimed to provide real-world evidence on risks of acute CV events, hospitalizations

64 and mortality among users of different CCBs classes in secondary CV prevention.

65 Methods: Three case-control studies were nested in a cohort of Italian elderly hypertensive CV-

66 compromised CCBs users. Cases were subjects with CV events (n=25,204), all-cause hospitalizations

67 (n=19,237), or all-cause mortality (n=17,996) during the follow-up. Up to 4 controls were matched for each

68 case. Current or past exposition to CCBs at index date was defined based on molecule, formulation and daily

69 doses of the last CCBs delivery. The odds ratio (OR) and 95% confidence intervals was estimated using

70 conditional logistic regression models.

71 **Results:** Compared to past users, current CCBs users had significant reductions in risks of CV events (OR

72 0.88 [95% CI: 0.84 - 0.91]), hospitalization (0.90 [0.88 - 0.93]) and mortality (0.48 [0.47 - 0.49]). Current

values of long-acting dihydropyridines (DHPs) had the lowest risk (OR 0.87 [0.84 - 0.90], 0.86 [0.83 - 0

74 0.55 [0.54-0.56] for acute CV events, hospitalizations and mortality), whereas current users of short-acting

75 CCBs had an increased risk of acute CV events (OR 1.77 [1.13–2.78] for short-acting DHPs; 1.19 [1.07 –

1.31] for short-acting non-DHPs) and hospitalizations (OR 1.84 [0.96 - 3.51] and 1.23 [1.08 - 1.42]).

77 Conclusions: The already-existing warning on short-acting CCBs should be potentiated, addressing

78 clinicians towards the choice of long-acting formulations.

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# 80 KEY POINTS

- Real world evidence on CCBs risks of acute events among CV-compromised hypertensive elderly was
   provided;
- Current use of CCBs significantly reduced risks of CV events, hospitalizations and mortality;

be addressed towards choice of long-acting formulations.

• Current use of short-acting CCBs was associated with higher risks of acute events; clinicians should

#### 86 1. INTRODUCTION

Arterial hypertension affects 33% and 31% of men and women in Italy, with an increasing trend in
prevalence, mainly due to population aging [1]. Arterial hypertension is considered an independent,
modifiable risk factor for the occurrence of major cardiovascular (CV) events; what's more, the majority of
hypertensive patients suffer from additional CV pathologies or risk factors, which further potentiate the risk

- of acute CV events and mortality [2].
- 92 Therefore, the pharmacological management of hypertension is strongly recommended. Among

antihypertensive treatments, use of calcium channel blockers (CCBs) is well established in clinical practice,

94 either in primary or secondary CV prevention. According to their chemical structure and to their rapidity of

action onset, CCBs can be divided into 4 main classes: short-acting dihydropyridines (DHPs); long-acting

96 DHPs; short-acting non-DHPs CCBs (n-DHPs); and long-acting n-DHPs.

- 97 Despite their recognized [3-5] efficacy, the CV safety of CCBs, and in particular of rapid-onset
  98 formulations, has been long debated.
- Since 1995, different studies correlated CCBs, and in particular short-acting nifedipine (a DHP CCB), with
  an increased risk of overall mortality and CV events [6-8]. In light of these findings, current Beers criteria
  classify short-acting nifedipine as an inappropriate drug for elderly patients [9].
- Despite this, short-acting nifedipine and rapid-onset CCBs in general are still used in clinical practice, also in
   elderly subjects at high CV risk.
- 104 Given this discrepancy, the present study aimed to provide evidence from the Italian real clinical practice on
- the risks of acute CV events, all-cause hospitalizations and mortality connected to the different CCBs
- 106 classes, focusing on a wide population of hypertensive CV-compromised elderly. This study was part of a
- 107 large program supported by the Italian Group for Appropriate Drug Prescription in the Elderly (I-GrADE),
- aimed to assess the appropriateness of outpatient drug prescriptions in the Italian elderly discharged from
- 109 hospital for CV diseases.

#### 110 2. SUBJECTS AND METHODS

#### 111 2.1. Study design

- 112 Three case-control studies were nested into a cohort of elderly hypertensive patients diagnosed with CV
- diseases. The three studies aimed to evaluate the risk of acute CV events (study 1), all-cause hospitalizations
- 114 (study 2) and mortality (study 3) among current vs past CCBs users.
- To limit the possible indication bias, a user-only approach was adopted in all studies, excluding allhypertensive patients never prescribed with CCBs [10].
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# 118 **2.2. Setting**

- 119 The setting of this study and the methodology for data retrieval have been previously described [11, 12].
- Briefly, all data used for the present study were retrieved from the healthcare utilization databases of 3
  Italian Regions (Lazio, Lombardy and Tuscany) and 2 Local Health Authorities (Caserta and Treviso),
- 122 participating to the I-GrADE program.
- About 21 million beneficiaries residing in these areas were recorded in the corresponding databases of
  healthcare services, providing information on nearly 35% of the Italian population.
- 125 Administrative databases consulted for this study included: i) an archive of demographic and
- administrative data of residents who receive National Health Service (NHS) assistance; ii) a database on
- 127 hospital discharge records including information about primary diagnosis and up to five co-existing
- 128 conditions and procedures (secondary diagnosis fields) coded according to the International Code of
- 129 Disease, 9<sup>th</sup> revision (ICD-9 CM) classification system; iii) a prescription database providing information
- 130 on all community prescriptions reimbursed by the NHS with drugs coded according to the Anatomical
- 131 Therapeutic Chemical (ATC) classification system. Each subject is identified through a unique
- identification code, therefore allowing the record linkage among databases. In order to preserve privacy,
- the original unique identification code was replaced with its digest that is the image of the code through a
- 134 cryptographic hash function.

#### 135 2.3. Participants

136 Beneficiaries of the NHS who i) were residing in the participating healthcare territorial units, ii) were 137 aged 65 years or older, and iii) had been hospitalized with a diagnosis of selected CV diseases in the years 2008-2010 (considering ICD9-CM codes reported in Supplementary material S1 text, in primary or 138 secondary diagnosis field), were considered eligible to enter the cohort. The date of the first CV-related 139 140 hospitalization in the period was considered as the entry date in the study. Subjects were excluded if they 141 i) were discharged dead from the entry hospitalization, ii) had history of malignancies (identified from a discharge diagnosis with ICD9-CM codes 140\*-208\* in primary or secondary diagnosis) at any time prior 142 to the entry date, and/or iii) did not have at least 2 years of uninterrupted observation prior to the entry 143 date. Of the remaining patients, only subjects that i) had a diagnosis of hypertension (identified from a 144 discharge diagnosis with ICD-9 CM code 401\* in primary or secondary diagnosis fields) in the 2 years 145 before the entry date, and ii) had at least one prescription of a CCBs (ATC codes C08\* or C09BB\* or 146 147 C09DB\*) following the entry date, were included in the final cohort.

For study 1 and 2, members accumulated person-years of follow-up from the first date of CCBs delivery
after the entry date, until the occurrence of: i) a further acute CV events, ii) a hospitalization with a
diagnosis of cancer, iii) death, and/or iv) end of data availability. For study 3, instead, members
accumulated person-years of follow-up until: i) a hospitalization with a diagnosis of cancer, ii) death,
and/or iii) end of data availability.

The first date among these events were considered as exit date. Patients who exit the study in the 15 days following the entry date were excluded, since death or re-hospitalizations were probably related to the entry hospitalization rather than to a new event.

## 156 **2.4. Definition of case subjects and controls**

157 Cases were defined as subjects experiencing the outcome of interest during follow-up: CV-related

158 hospitalization in study 1, identified considering the ICD9-CM coded reported in Supplementary material

159 S2 text; all-cause hospitalizations in study 2; all-cause mortality in study 3. For each case subject, the first160 date of the outcome of interest was defined as the index date.

Each case was matched to up to 4 controls randomly selected by risk-set sampling from all cohort members whose follow-up did not end prior to the index date of the corresponding case. Matching was performed within each participating healthcare territorial unit according to gender, age, and month and year of entry in the study. Of note, a subject could be considered as a case subject in one study and as a control in the other studies. Cases with no matched controls were excluded from the study.

## 166 2.5. Exposure to CCBs

167 To evaluate whether or not subjects were currently exposed to CCBs at time of index date, the last prescription 168 of CCBs during the follow-up was considered. The amount of defined daily doses (DDD) of the last CCB 169 delivery was considered. A grace period corresponding to the 20% of the amount of the last DDD delivered 170 was given. Patients currently exposed to CCBs at time of index date were considered as current users; on the other hand, patients without CCBs therapeutic coverage at index date were considered as past users. For current 171 172 users, exposition to the four different CCBs classes was assessed considering the CCB active principle and formulation: long-acting DHP, including amlodipine alone (C08CA01) or in combination with perindopril 173 174 (C09BB04) or with olmesartan medoxomil (C09DB02), felodipine alone (C08CA02) or in combination with 175 ramipril (C09BB05), isradipine (C08CA03), nimodipine (C08CA06), nisoldipine (C08CA07), nitrendipine 176 (C08CA08), lacidipine (C08CA09), manidipine alone (C08CA11) or in combination with delapril (C09BB12), barnidipine (C08CA12), lercanidipine alone (C08CA13) or in combination with enalapril (C09BB02), and 177 release-modified formulation of nicardipine (C08CA04) and nifedipine (C08CA05); short-acting DHP, 178 including not release-modified formations of nifedipine (C08CA05) and nicardipine (C08CA04); long-acting 179 180 n-DHPs, including gallopamil (C08DA02), and release-modified formulations of verapamil (C08DA01) or diltiazem (C08DB01); short-acting n-DHP, including not release-modified formulations of verapamil 181 182 (C08DA01) and diltiazem (C08DB01).

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#### **184 2.6.** Covariates

Covariates assessed for each case and control included: i) use to CCBs in the 6 months before the entry in the study; v) severity of the CV disease, assessed considering the occurrence of cardiovascular procedures in the 2 years before entry in the study, identified as reported in **Supplementary material S3 text**; vi) type of CV pathology at entry (identified as reported in **Supplementary material S1 text**); vii) Charlson Comorbidity Index (CCI), calculated in the 2 years before the entry date according to the algorithm reported by Quan et al. [13], and categorized as 0, 1, or  $\ge$  2; viii) current use of other antihypertensive treatment at time of index date.

## 192 **2.7. Data analysis**

Percentages of all considered covariates among cases and controls were compared using Chi-square tests. A
conditional logistic regression model for matched case-control data was used to estimate the Odds Ratio
(OR), and 95% confidence interval (CI), of the outcomes of interest associated with current use of CCBs
compared with past use. Adjustments were made for the above listed covariates.

All analyses were performed using the software STATA version 14. For all tested hypotheses, two-tailed p-values less than 0.05 were considered statistically significant.

## 199 **2.8. Sensitivity analyses**

- 200 A sensitivity analysis was performed to limit the possible unmeasurable bias coming from CCBs
- 201 deliveries occurred during a hospital stay [14]. In fact, drug deliveries occurring during hospitalizations
- are not recorded in administrative databases. With this aim, cohort members who experienced
- 203 hospitalization for whichever cause in the 30 days before index date were excluded. Sensitivity analysis
- was performed only for study 1 and 3.

#### 206 **3. RESULTS**

207 An initial cohort of 965,903 subjects discharged from a CV-related hospitalization was extracted

- 208 (Figure 1). Following the application of the defined exclusion criteria, a final cohort of 107,533
- 209 hypertensive elderly affected by CV pathologies and treated with CCBs was selected.

210 27,679 subjects experienced a further acute CV event: of them, 2,475 were excluded due to lack of

- 211 matching controls. 25,204 patients were included as cases subjects and were matched to 55,325
- controls (study 1). All-cause hospitalizations occurred instead in 74,488 out of the 107,533 subjects: of
- them, 55,251 were excluded due to lack of matching controls. 19,237 cases and 20,102 matching
- subjects were included in study 2. All-cause death occurred in 22,080 patients, of whom 4,084 were
- excluded due to lack of matching controls. 17,996 were included as cases subjects and were matched
- 216 to 45,431 controls (study 3).

#### 217 **3.1.** Characteristics of cases and controls

Table 1 provides some selected characteristics of cases and controls included in the three studies. 218 According to matching variables (study design), cases were comparable to controls in all studies. 219 220 Considering the CV disease at entry, more cases than controls were diagnosed with heart failure or cardiac arrhythmia in all studies, with ischemic heart disease in study 1 and 3, and with stroke in study 3. 221 As concern CV procedures, more cases than controls had undergone coronary artery bypass surgery and 222 other heart surgery procedures in study 1 and 2, percutaneous transluminal coronary angioplasty in study 223 224 2, and cerebral revascularization in study 1. Based on CCI, case subjects were frailer than controls in all 225 studies. In all studies, use of CCBs in the 6 months before entry was more frequent among cases. On the 226 other hand, current use of CCBs at index date was more frequent among controls in all studies. In 227 particular, in all three studies, more controls than cases were currently exposed to long-acting DHPs. On 228 the other hand, in all studies, current use of short-acting DHPs was more frequent among case subjects. 229 As concerning n-DHPs CCBs, current use of either long- or short-acting formulations was significantly 230 higher among cases than controls in study 1 and 2, while it was higher among controls in study 3.

Focusing on other antihypertensive treatments currently used at index date, diuretics were more used among cases than controls in all studies, beta-blockers were more used among controls in study 3, agents acting on the Renin-Angiotensin system were more used among controls in study 2 and 3, and other antihypertensive drugs (ATC code C02\*) were more used among cases in study 1 and 2, and among controls in study 3.

### **3.2.** Use of CCBs and risks of acute CV events, all-cause hospitalizations and mortality

The effect of CCBs on the risk of acute CV events, all-cause hospitalizations and mortality is shown in **Figure 2.** Focusing on acute CV events, current users of CCBs exhibited a risk reduction of 12% (OR 0.88 [95% CI: 0.84-0.91]) compared to past CCBs users. In particular, stratifying according to the different CCBs classes, only long-acting DHPs were associated with a significant reduction in risk (OR 0.87 [0.84 – 0.90]); on the other hand, current users of either short-acting DHPs or n-DHPs resulted to be at increased risk of acute CV events (OR 1.77 [1.13 – 2.78] and 1.19 [1.07 – 1.31]), respectively).

243 The occurrence of the different CV outcomes among current users of the different CCBs classes is 244 reported in Figure 3. The most frequent CV outcome was cardiac arrhythmia, which was experienced by 245 10.74% of current CCBs users, followed by heart failure (6.61%), ischemic stroke (5.77%), acute 246 myocardial infarction (4.52%), transient ischemic attack (1.62%) and haemorrhagic stroke (0.90%). Of 247 note, occurrence of acute myocardial infarction, cardiac arrhythmia and heart failure was significantly 248 higher among current users of short-acting DHPs compared to long-acting DHPs (p < 0.05). Similarly, 249 occurrence of cardiac arrhythmia and heart failure was significantly higher among current users of shortacting n-DHPs compared to long-acting n-DHPs (p<0.05), whereas the occurrence of acute myocardial 250 251 infarction was significantly higher among users of long-acting n-DHPs (p<0.05).

Focusing on all-cause hospitalizations, current use of CCBs resulted to play a protective role compared to
past use (OR 0.90 [0.88 – 0.93]) (Figure 2). Stratifying according to CCBs classes, only long-acting
DHPs were associated with a significant reduction in risk (OR 0.88 [0.83 - 0.90]). On the other hand, both
long- and short-acting n-DHPs were associated with an increased risk of hospitalizations (OR 1.15 [1.04 –
1.27] and 1.23 [1.08 – 1.42]).

- 257 Considering all-cause mortality, current CCBs users exhibited a risk reduction of 52% (OR 0.48 [0.47-
- 258 0.49]) compared to past CCBs users. In particular, all CCB classes except short-acting DHPs were
- associated with a significant reduction in risk (OR of 0.55 [0.54 0.58] for long-acting DHPs; 0.62 [0.58]
- 260 0.66] for long-acting n-DHPs; 0.83 [0.75 0.91] for short-acting n-DHPs).

## 261 **3.3. Sensitivity analysis**

- In the sensitivity analysis of study 1, 11,672 case subjects who experienced acute CV events where
- 263 matched to 22,543 controls. Results of this analysis confirmed a protective role of current use of CCBs
- towards acute CV events (OR 0.89 [0.85 0.92]) (Supplementary Table S1). In particular, current users
- of long-acting DHPs were at the lowest risk (OR 0.87 [0.84 0.91]), whereas current users of either
- short-acting DHPs or n-DHPs were at significantly higher risk (OR 1.75 [1.32 2.31] and 1.17 [1.07 –
- 1.28], respectively). In the sensitivity analysis of study 3, 8,427 case subjects who died for whichever
- cause were matched to 20,394 controls. Current users of CCBs resulted to be at significantly lower risk of
- 269 mortality (OR 0.40 [0.38 0.43]). In particular, current exposition to all CCBs classes, except short-
- acting DHPs, resulted to be protective towards all-cause mortality.

#### 272 4. DISCUSSION

273 In this large population-based study of elderly hypertensive patients formerly experiencing a major CV hospital admission, we found that current use of CCBs significantly decrease the risk of both 274 hospitalizations (for acute CV events or for whichever cause) and mortality, compared to past CCBs use. 275 In particular, current users of long-acting DHPs were found to be at the lowest risk of all considered 276 277 events. Our results add further evidence supporting the effectiveness of CCBs in secondary CV 278 prevention, therefore highlighting the importance of a strict adherence to this treatment. 279 On the other hand, we found that current users of either short-acting DHPs or n-DHPs were at 280 significantly higher risk of hospital admission for both acute CV events and all causes, compared to past CCBs users. 281 282 The increase in risks connected to the use of short-acting CCBs could be attributable to the rapid 283 mechanism of action of these formulations, which may lead to severe blood pressure fluctuations, unpredictable episodes of severe hypotension, and to tachycardia [15]. Our findings are consistent with 284 285 previous evidences showing an increased risk of death and acute CV events in patients exposed to rapidonset CCBs in secondary CV prevention. Already in 1995, a first meta-analysis on 16 randomized 286 287 secondary-prevention trials on nifedipine was published, reporting a dose-related increased risk of overall 288 mortality among patients exposed to short-acting nifedipine (risk ratio of 1.16 [95% CI: 1.01 to 1.33] [6]. 289 Later on, another meta-analysis on 60 randomized controlled trials evaluated the risk of CV event in 290 patients affected by stable angina and treated with nifedipine in mono- or combination therapy compared 291 to control patients treated with other active drugs in monotherapy [7]. Treatment with immediate-release 292 nifedipine was found to significantly increase the risk of angina (OR of 4.19 [95% CI: 1.41 to 12.49]) as 293 well as of all events combined (OR of 3.09 [95% CI: 1.39 to 6.88].

294 More recently, a case-crossover study was conducted on 16,069 elderly hypertensive patients

295 experiencing a first stroke events; a significant increase in both ischemic and haemorrhagic stroke

associated to the use of short-acting nifedipine was reported (OR 2.56 [95% CI: 1.89–3.47] and 5.16

297 [95% CI: 2.29–11.66], respectively) [8].

Despite the well-known risk associated to short-acting CCBs, we found that rapid-onset CCBs were still in use in the real clinical practice, although the design of this study did not allow to estimate the entity of the overall population exposed to these formulations. However, considering the CV burden and the old age of the study population, antihypertensive treatment with short-acting DHPs was probably inappropriate in these patients.

Comparing current use of long-acting formulations of DHPs vs n-DHPs, we found that n-DHPs were
associated with higher risk of all considered outcomes. However, this difference in safety profile is likely
to be ascribable more to patients' related characteristics than to the molecules themselves, given the
differences in therapeutic indications among these two drug classes [16].

Our study included a large and unselected cohort of CCBs users aged 65 years or older with a 307 308 hospitalization for major CV events and diagnosed with hypertension. This means that our findings can be generalised only to elderly suffering of CV disease and elected for an antihypertensive treatment with 309 310 CCBs in secondary CV prevention. All eligible patients were included, so no bias due to non-response 311 was present, and no recall bias occurred because data on their characteristics (including drug use) were 312 recorded before the outcomes occurred. The drug prescription database provided highly accurate data, 313 because pharmacists are required to report prescriptions in detail in order to obtain reimbursement, and 314 incorrect reports about the dispensed drugs have legal consequences. In addition, the user-only design 315 adopted in this study allowed to control for possible indication bias coming from variability in indications 316 of use of CCBs [10, 14]. Finally, the performed sensitivity analyses confirmed the data provided by the 317 main analysis.

However, our study has limitations. First, results of blood pressure monitoring were not available in our
data sources; occurrence of CV events, as well as of all-cause hospitalizations and death, could be
therefore related to a non-response to antihypertensive treatment, rather than to the anti-hypertensive
drug. Second, no information was available on the current health and CV condition of subjects: current
users of CCBs (and in particular of short-acting formulations) at time of index date could have been
prescribed with short-acting CCBs, following the onset of a CV complication not recorded on
administrative databases. Third, evaluation of CCBs use was based on pharmacy-dispensing information.

- 325 This method assumes that prescription corresponds to medication use, which may not be invariably true.
- Although data on dispensing history have shown to be consistent with other adherence measures [17],
- 327 medication dispensing as a measure of drug use remains a source of uncertainty of our estimates. Fourth,
- both comorbidities and events were retrieved based on ICD9-CM codes; however, problems related to
- 329 incomplete or wrong coding may be present. In addition,
- 330 ICD-9-CM codes do not indicate degree of severity. Fifth, less than 0.5% of the entire considered
- population was exposed to short-acting DHPs at time of index date; therefore, results concerning this drug
- class could be influenced by the small size of this sample. Finally, as for any observational study, residual
- 333 confounding linked for example with unmeasured disease severity, comorbidity, socio-economic status
- and various lifestyle factors, cannot be fully eliminated.

# **5. CONCLUSION**

337	Although suffering from the above-mentioned limitations, our study provided key information from the real
338	clinical practice on the effectiveness of antihypertensive treatment with long-acting CCBs as well as on the
339	possible risk associated with short-acting CCBs formulations. Since any potential increased risk may result in
340	a considerable public health impact, the risk estimates of short-acting CCBs provided by this study may support
341	both clinical practitioners and regulatory activities. From our point of view, the already-existing warning on
342	short-acting CCBs should be potentiate, addressing clinicians towards the choice of long-acting formulations.
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391

# **393 FIGURE LEGENDS**

## 394

- **Fig. 1** Flow-diagrams of the three user-only nested case-control studies
- 396 CCB= Calcium Channel Blockers; CV= Cardiovascular

#### 397

- **Fig. 2** Risks of acute cardiovascular events, hospitalizations and mortality for current vs past users of the
- 399 different Calcium Channel Blockers classes
- 400 CCB= Calcium Channel Blockers; CI= Confidence Intervals; CV= Cardiovascular; DHPs=
- 401 Dihydropyridines; n-DHPs=non- Dihydropyridines; OR= Odds Ratio
- 402
- 403 Fig. 3 Occurrence of the acute CV outcomes in exam among users of the different Calcium Channel404 Blockers classes
- 405 CCB= Calcium Channel Blockers; DHPs= Dihydropyridines; n-DHPs=non- Dihydropyridines