# SHARING PLAYFUL MOOD: RAPID FACIAL MIMICRY IN SURICATA SURICATTA Elisabetta Palagi<sup>1,2</sup>, Elena Marchi<sup>1</sup>, Paolo Cavicchio<sup>3</sup>, Francesca Bandoli<sup>3</sup> <sup>1</sup>Ethology Unit, Department of Biology, University of Pisa, Via Volta 6, 56126, Pisa, Italy <sup>2</sup>Natural History Museum, University of Pisa, Via Roma 79, 56011, Calci, Pisa, Italy <sup>3</sup>Giardino Zoologico di Pistoia, Via Pieve a Celle 160/a, 51100, Pistoia, Italy Corresponding author: Elisabetta Palagi - elisabetta.palagi@unipi.it

## Abstract

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One of the most productive behavioural domains to study visual communication in mammals is social play. The ability to manage play-fighting interactions can favour the development of communicative modules and their correct decoding. Due to their high levels of social cohesion and cooperation, slender-tailed meerkats (Suricata suricatta) are a very good model to test some hypotheses on the role of facial communication in synchronizing playful motor actions. We found that the relaxed open mouth (ROM), a playful facial expression conveying a positive mood in several social mammals, is also present in meerkats. ROM was mainly perceived during dyadic playful sessions compared to polyadic ones. We also found that meerkats mimic in a very rapid and automatic way the ROM emitted by playmates (Rapid Facial Mimicry, RFM). RFM was positively correlated with the relationship quality shared by subjects thus suggesting that the mimicry phenomenon is socially modulated. Moreover, more than the mere presence of isolated ROMs, the presence of at RFM prolonged the duration of the play session. Through RFM animals can share the emotional mood they are experiencing and this appears to be particularly adaptive in those species whose relationships are not inhibited by rank rules and when animals build and maintain their bonds through social affiliation. The meerkat society is cohesive and cooperative. Such features could have therefore favoured the evolution of facial mimicry, a phenomenon linked to emotional contagion, one of the most basic forms of empathy.

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- **Key Words**: visual communication, relaxed open mouth, emotional contagion, prosocial behaviour,
- 47 meerkats.

# Introduction

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Sociality relies on complex forms of communication with individuals searching for signals which provide useful information to make adaptive behavioural decisions (Freeberg 2012; Megan et al. 2017). Communication is based on signals which are produced by a display/action of one subject (the sender) to affect the behaviour of another subject (the receiver) in a way that is adaptive either to one or both parties (Markl 1983; Bradbury and Vehrencamp 1998). Among interacting subjects, an optimal signal transmission can be reached by selecting different sensory modalities as a function of the distance between the sender and the receiver and the possible visual/acoustic barriers present in the environment. For example, acoustic signals can be recruited when the subjects cannot see each other due to the presence of visual barriers or when the distance separating the animals is too long to preclude the possibility to perceive subtle visual cues, such as facial expressions (Bradbury and Vehrencamp 1998). One of the most productive behavioural domains to study visual communication and facial expressions in social mammals is play because this activity involves a close proximity between the interacting subjects (Palagi et al. 2016). Social play and, especially, play fighting, can be favoured through an accurate exchange of visual communicative signals (Pellis and Pellis 1997; van Hooff and Preuschoft 2003; Palagi 2008; Waller and Cherry 2012; Palagi et al. 2014; Weigel and Berman 2018). Although play fighting can be distinguished from real fighting on the basis of a variety of features, such as the emphasis of the movements, the lack of inhibition, the random sequence of the motor patterns and the self-handicapping tactics (Burghardt 2005; Pellis et al. 2010), in some cases ambiguity can arise and the prompt use of specific signals can avoid misunderstanding between players (Palagi et al. 2018). The playfulness of a potentially dangerous pattern can be highlighted by specific gestures, gaits, vocalizations and facial expressions (Fagen 1981; Bekoff 2001; Panksepp and Burgdorf 2003; Palagi 2006; Yanagi and Berman 2014; Palagi et al. 2015; Špinka et al. 2016). In a sort of positive feedback, therefore, the opportunity to manage playful interactions can favour

73 the development of communicative modules and their decoding, two skills that are beneficial in many 74 different contexts other than play itself (Burghardt 2005; Palagi and Cordoni 2012). 75 Here, we focus on playful facial communication in a species, Suricata suricatta, which has been 76 extensively studied for its complex vocal repertoire and communication (Manser et al. 2014) but that 77 has been relatively neglected for its facial communication. Even though the functions of play fighting 78 in this species have not yet been identified (Sharpe and Cherry 2003; Sharpe 2005a,b,c), meerkats 79 are a very good model to test some hypotheses on the potential role of playful facial communication 80 in fine tuning the playful session. Play fighting is present at every stage of life and the frequency of 81 play increases when animals are food provisioned (Sharpe et al. 2002), as it occurs in captivity. 82 Meerkats show high levels of social cohesion, prosocial behaviour and cooperation (Clutton-Brock 83 et al. 2001; Madden and Clutton-Brock 2011; Clutton-Brock and Manser 2016). Adults provide care 84 to both related and unrelated pups by babysitting (Clutton-Brock et al. 1998), feeding (Brotherton et 85 al. 2001) and teaching them foraging tactics (Thornton and McAuliffe 2006). Adults also contribute 86 to other communal behaviours such as guarding (Clutton-Brock et al. 1999), mobbing (Graw and 87 Manser 2007) and digging burrows (Manser and Bell 2004). In meerkats such prosocial behaviours 88 are mediated by oxytocin (Madden and Clutton-Brock 2011), a peptide hormone that, in many 89 mammalian species, is implicated in social bonding (Bales and Carter 2003), generosity (Korb et al. 90 2016), emotional sharing (Burkett et al. 2016) and facial mimicry (Korb et al. 2016; Somppi et al. 91 2017). 92 The large communicative repertoire of meerkats seems to provide the basis for their behavioural 93 synchronization and cooperation during group activities (Gall et al. 2017). We hypothesize that, as it 94 occurs in other highly cohesive species (wolves, Cordoni 2009, Cafazzo et al. 2018; dogs, Palagi et 95 al. 2015, Byosiere et al. 2016; spotted hyena, Drea et al. 1996; Tonkean macacques, Scopa and Palagi 96 2016; bonobos, Palagi 2008), in meerkats the capacity to manage play fighting can be achieved by 97 the use of specific communicative signals of both auditory and visual nature.

# **Prediction 1 -** Relaxed open mouth (ROM) as a directed signal

The specific facial expression punctuating play fighting is the relaxed open-mouth display (ROM) which is commonly observed in several mammals (coyotes, wolves, dogs, Bekoff 1974; dogs, Palagi et al. 2015; polecats, Poole 1978; otters, Pellis 1984; American black bears, Henry and Herrero, 1974; sun-bears, Taylor et al. 2019; South American sea lions, Llamazares-Martin et al. 2017; lemurs, Pellis and Pellis 2007, Palagi et al. 2014; macaques, van Hooff and Preuschoft 2003; orang-utans, Davila Ross et al., 2008; bonobos, Palagi 2008; gorillas, Waller and Cherry 2012; chimpanzees, Palagi et al. 2018). The *Ritualization Hypothesis* (Tinbergen 1952) predicts that some behavioural patterns can be separated from their original function to fulfil new functions. The ROM is considered a ritualized signal that copies the intention of biting during a play session (Poole 1978; van Hooff and Preuschoft 2003; Palagi 2006; Palagi et al. 2014). To evaluate whether a pattern actually derives from the ritualization process it should be demonstrated that it is detached from the presence of the original behaviour which the ritualized pattern is supposed to originate from. After the ritualization process, the original behaviour no longer results in the original outcome, but it can assume different meanings. Hence, if in Suricata suricatta ROM is a playful signal, it should occur significantly more frequently without the presence of play biting (Prediction 1a). Moreover, since ROM can be potentially perceived by all the subjects involved in a play session, we expect that the signal is optimized during polyadic (more than one playmate) than dyadic sessions (one playmate) simply because there is a higher number of potential receivers (Prediction 1b).

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# **Prediction 2** – *Presence of Rapid facial mimicry (RFM) and relationship quality*

Facial expressions convey information about the motivational and emotional state of the sender (Palagi and Scopa 2017; Russell and Fernandez-Dols 2017). In this view, the correspondence between facial signals emitted and elicited could be a valuable criterion to evaluate not only the ability to decode and interpret the signal of the playmates (Schmidt and Cohn 2001) but also the emotional sharing of the two interacting subjects (e.g., emotional contagion) (kea parrots, Schwing et al. 2017;

dogs, Palagi et al. 2015, Huber et al. 2017; humans, Bryant et al. 2016; Prochazkova and Kret, 2017). Play is a rewarding behaviour for the player because it induces a positive emotional state that can be shared with the playmate through a specific form of motor resonance defined rapid facial mimicry (RFM). RFM is an automatic, congruent and fast response (less than 1 s) in which individuals involuntary mimic others' expressions (Davila-Ross et al. 2008; Palagi et al. 2015; Scopa and Palagi 2016; Taylor et al. 2019). Recent studies have highlighted a covariance between the presence of motor resonance phenomena (e.g., rapid mimicry) and the level of tolerance, affiliation and familiarity shared by the interacting subjects (the so-called *empathic-gradient hypothesis*, de Waal and Preston, 2017; Clay et al., 2018). These social features influence play modality as demonstrated by the studies on macaques. Highly cohesive macaque species tend to show highly symmetric and cooperative play (Reinhart et al. 2010) and a frequent use of facial expressions which are often mimicked in a rapid and automatic way (Scopa and Palagi 2016). Even in those species having largely solitarily lifestyle, rapid mimicry can emerge when subjects grow up in a social environment and have the opportunity to develop social bonds and high familiarity levels with conspecifics (orang-utans, Davila-Ross et al.2008; sun bears, Taylor et al. 2019). The cohesive nature of Suricata suricatta is highlighted by the presence of behaviours that seem to be independent from the subjects' rank (Gall et al. 2017). For example, during foraging activity some individuals can initiate group movements using 'lead' calls, and groups can move to different foraging patches using 'move' calls in a collective response (Bousquet et al. 2011). In this communicative exchange, dominant and subordinate individuals do not show strong differences and engage in both "move" and "lead" calls at similar rates. Moreover, the time spent to forage depends on the foraging success of all subordinates more than by the foraging success of the dominant individuals. This suggests that the decision to return to sleeping sites is shared among high- and low-ranking subjects rather than controlled by dominants (Gall et al. 2017). Due to the cooperative and cohesive nature of this species we predict that meerkats are able to express Rapid Facial Mimicry (RFM) by mirroring facial expressions of others, such as the relaxed open mouth (ROM) (Prediction 2a). In case Prediction 2a is confirmed, if RFM is a phenomenon

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modulated by emotional contagion, we also expect that RFM is affected by the quality of relationship shared by the players (calculated via the following affiliative patterns: grooming, body contact, embracing/huddle) and not affected by their rank difference (Prediction 2b).

**Prediction 3** – *RFM*, relationship quality and the duration of play session

If RFM and the relationship quality are good predictors of emotional contagion, we expect that they favour the playful mood shared between the players. In this view, we expect that both RFM and good relationships can positively affect the duration of play sessions (Prediction 3).

# **METHODS**

#### **Ethics Statement**

Because the study was purely observational the committee of the University of Pisa (Animal Care and Use Board) waived the need for a permit.

# **Subjects and Data Collection**

The colony of *Suricata suricatta* (Table 1) under study was hosted at the Zoological Gardens of Pistoia. During the data collection (April-June 2016) the colony was composed by nine adult males, three adult females, and three immature subjects (Table 1). The animals were housed in an open-top naturalistic enclosure of 90 m<sup>2</sup> connected with two indoor facilities (5 m<sup>2</sup>; inaccessible to the human observer). The indoor and outdoor enclosures were connected through two guillotine doors and the animals could freely move between them. The external area was provided with a substrate in soil and sand (suitable for the formation of underground tunnels) and was enriched by boulders, trunks and climbing structures. There was herbaceous, shrubby and arboreal vegetation, as well as a pool with running water, so water was always available. Observations were carried out exclusively outdoor.

177 Data collection occurred twice a week and behavioural data were video-recorded (about 150 hours) 178 by one observer (EM). In the period before the data collection and concomitantly with the routine 179 veterinary checking, adult individuals were weighed (mean 944.50 grams  $\pm 15.73$  SE) and marked by 180 colouring the fur in different body parts with a black hair dye (Table 1). Adults were individually 181 identified by the marking and their physical characteristics. Immature individuals were recognized 182 exclusively through their morphological features (e.g., size, fur colour, tail shapes, etc.). 183 The animals were fed in the indoor area twice a day at 10.00 a.m. (fruit, vegetables, dog pellet) and 184 04:30 p.m. (chicks or quails). In addition, they were randomly provided with a feeding enrichment to 185 stimulate foraging behaviour. The enrichment consisted of card-board boxes containing mealworms, 186 chopped fruits and vegetables mixed with straw or dry leaves. The boxes were always placed outdoor. 187 Video recording was in continuum. The animals were videotaped daily from 08:30 a.m. until 06:30 188 p.m. when visible. Data collection was carried out with the aid of three camera devices (SONY DCR-189 SX15E, SONY DCR-SX33, SONY ILCE-5000L). 190 Scan sampling was performed every 30 seconds on all the videos collected (150 hours; 18000 scan 191 samplings). Via scan sampling we recorded the following affiliative patterns: directional grooming, 192 mutual grooming, body contact, embracing/huddle (Table 2). All occurrences sampling was used i) to collect data on dismiss/avoidance interactions on all the 193 194 videos collected (150 hours) and ii) to gather data on social play (play fighting). We video-analysed 195 play sessions for a total of 38 hours of videos. We employed a frame-by-frame method using VLC 196 media player 2.2.6 and Jump to time, with an accuracy of 0.01 seconds. 197 Before commencing systematic analysis of the videotaped sequences, the observer (EM) and the 198 trainer (EP) tested their inter-observer reliability in behavioural coding, until reaching a Cohen's k 199 value > 0.85 (Kaufman and Rosenthal 2009). During the video-analysis, this procedure was repeated 200 every 3 hours of video analysed, with both observers scoring the same 15 minutes of video, to ensure 201 consistent inter-observer reliability for each behavioural item scored Table 2. For each of the 202 behavioural items, Cohen's  $\kappa$  value was never less than 0.85.

**Operational definitions** 

205 The play session

A play session began when one partner directed a playful pattern toward a conspecific who responded with another playful pattern (Table 2). A session ended when playmates ceased their activities, one of them moved away, or when a third individual interfered, thus interrupting the interaction. If another play session began after a delay of 10 seconds, that session was counted as new. For each play session we recorded: (a) identities of the subjects (i.e., name, sex, age), (b) playful bodily motor patterns and facial expressions in their exact sequence, (c) exact time in which each pattern occurred (with an accuracy of 0.01 seconds), (d) number of players and (e) duration of the interaction (in seconds). We recorded and analysed 1035 play sessions via all occurrences sampling method.

Polyadic and dyadic sessions

As for the definition of polyadic sessions, we used the following criteria. If the individuals A and B were playing and C joined in, the session shifted from dyadic to polyadic and the two sessions were considered as distinct. Similarly, if one of the three meerkats dropped out, the session shifted into a dyadic session and it was considered as a new session. When at least one of the players changed during a polyadic/dyadic playful interaction, that session was considered as a new session.

To compare the ROM frequency between dyadic and polyadic play sessions, we calculated at the individual level the number of ROMs emitted by each subject when engaging in dyadic and polyadic sessions.

For the LMM analysis, we calculated the duration of each play session at the dyadic level. In case of polyadic play, we calculated the duration of the session involving each dyad as follows a-b-c = a-b; a-c; b-c.

Play Asymmetry Index, PAI

To calculate the *Play Asymmetry Index* (PAI), we classified the playful patterns as offensive and defensive (Bauer and Smuts 2007; Ward et al. 2008; Cordoni et al. 2016; Llamazares-Martín et al. 2017) (Table 2). We calculated the PAI for each session as follows: the number of "play wins" for animal A in a dyad equalled the number of offensive play patterns by A directed at B plus the number of defensive play patterns by B directed toward A. B's "play wins" were calculated in the same way (Table 2). Next, we calculated the proportion of "play wins" for A as the number of "play wins" for A divided by the number of "play wins" for both A and B. We calculated the number of "play wins" for B in the same way. We subtracted the "A play win ratio" from the "B play win ratio" thus obtaining a value that represented the measure of the degree of asymmetry (Ward et al. 2008; Palagi et al. 2014; Cordoni et al. 2016). The neutral patterns (defined and listed in Table 2) are not directional, for this reason they cannot attributed to a specific player. The PAI ranges from -1 to 1.

(OFF play patterns A + DEF play patterns B) - (OFF play patterns B + DEF play patterns A) (OFF play patterns A + DEF play patterns B) + (OFF play patterns B + DEF play patterns A) + NEUTRAL patterns

We calculated the PAI value of each session in which the animals A and B were involved. Then, we calculated the mean value of the PAI distribution of the A-B dyad. In case of polyadic play, we calculated the PAI of each dyad involved in the session as follows a-b-c = a-b; a-c; b-c.

Rapid Facial Mimicry (RFM)

To examine the presence of RFM, defined as the mirror facial response given by the receiver within 1 second from the perception of the stimulus (Mancini et al. 2013), we focused on the specific playful signal, the ROM (operational definition: an individual opens and closes its mouth while moving towards a playmate; upper and lower teeth may be exposed and visible; the degree of opening has to reach at least the 50% of the mouth's maximum opening). 1584 ROMs were included in the analysis.

We focused on the facial expression of one individual (the receiver) to see whether it varied as a 253 254 function of the facial signal displayed by the individual (the sender) within a 1 second time window. 255 The sender was defined as the first playmate who emitted the stimulus (ROM). To reliably assess that 256 the ROM produced by the receiver was actually elicited by the ROM emitted by the sender, we 257 considered only those interactions in which the receiver looked at the sender and did not show ROM 258 in the 1 second prior to the emission of the facial stimulus (ROM) by the sender. 259 The RFM latencies were measured frame-by-frame starting from the onset of the sender stimulus (the 260 first frame showing the separation of the inferior from the superior jaw) and ending with the onset of 261 the receiver's facial response (the first frame showing the separation of the inferior from the superior jaw) with 1 cs accuracy. 262 263 We measured the attentional state of the receiver by considering its head orientation in relation to the head orientation of the sender (Figure 1). When the sender was in front of the receiver (i.e., within 264 265 the range of its stereoscopic view, direct visual contact condition), we considered the facial expression 266 as perceived. When the receiver was facing away from the sender (without direct visual contact 267 condition), we considered facial expressions as not perceived. 268 All the doubtful cases linked to lateral views were discarded from the analyses (Figure 1) and RFM 269 was calculated after all the coding was finished. 270 Calculation of the dominance relationships - We evaluated hierarchical relationships of the subjects 271 272 on the basis of the dyadic dismiss/avoidance interactions. For each interaction, data were entered into

on the basis of the dyadic dismiss/avoidance interactions. For each interaction, data were entered into a socio-matrix used to assess the rank by Normalized David's Scores (Table 1). Normalized David's scores (NDS) were calculated on the basis of a dyadic dominance index (Dij) in which the observed proportion of displacements (Pij) is corrected for the chance occurrence of the observed outcome. The chance occurrence of the observed outcome was calculated on the basis of a binomial distribution with each animal having an equal chance of winning or losing in every dominance encounter

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(Vervaecke et al. 2007). The correction is necessary when, as in the case of our study group, the 278 279 interaction numbers was different between the dyads.

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Relationship quality - The quality of the relationship between the subjects forming each dyad (A-B) was determined by calculating the ratio between the number of affiliative patterns (directional grooming, mutual grooming, body contact, embracing/huddle) and the total number of scans in which at least one of the subject of the dyad was present.

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# **Statistics**

Due to the non-normal distribution of the data (Kolmogorov-Smirnov >0.05), we used non-287 288 parametric statistics to perform the analyses. The Exact Wilcoxon's paired sample T test was used to 289 compare the frequency of i) ROM followed/not followed by play bites (Prediction 1a), ii) ROM 290 perceived during dyadic/polyadic sessions (Prediction 1b) and iii) the congruent response 291 (ROMreceiver/ROMsender) between "direct visual contact" and "without visual contact" conditions 292 (Prediction 2a). 293 To check for a possible correlation between RFM and the absolute difference in NDS values between 294 the individuals forming a dyad, we applied the randomization test for correlation. The same test was 295 also applied to check for the presence of a correlation between RFM and the relationship quality 296 shared (measured by affiliative patterns) by the subjects forming a dyad (*Prediction 2b*). This kind of 297 procedure is used to avoid pseudo-replication due to non-independence of data (the same individual 298 is included in more than one dyad; therefore, dyads are not independent data-points). The correlation 299 via randomization test was employed with a number of 10,000 permutations using resampling 300 procedures (via Resampling Procedures 1.3 package by David C. Howell). 301 Statistical Model Analysis - We ran a multi-model comparison of Linear Mixed Models (LMM) to 302 determine what variables affected the duration of the play session (Play Duration, PD) (Prediction 3). In the model, the dependent variable was the logarithmic values of PD (Normal distribution,

Anderson-Darling, ns, EasyFit 5.5 Professional). The fixed and random factors are listed and defined in Table 3.

We tested 23 models involving the nine fixed factors of interest (Table 3), spanning a single-factor model and a model including all the fixed factors (full model). To select the best model, we used the Akaike's corrected information criterion (AICc), which corrects the Akaike's information criterion (AIC) for small sample sizes. As the sample size increases, the AICc converges to AIC. To measure how much better the best model is compared to the next best models, we calculated the difference ( $\Delta$ AICc) between the AICC value of the best model and the AICC value for each of the other models. As a coarse guide, models with  $\Delta$ AICc values less than 2 are considered to be essentially as good as the best model (also defined as "substantial", Burnham and Anderson 2002) and models with  $\Delta$ AICc up to 6 should probably not be discounted (also defined as "considerably less", Burnham and Anderson 2002). Moreover, to assess the relative strength of each candidate model, we employed  $\Delta$ AICc to calculate the evidence ratio and the Akaike weight (wi). The wi (ranging from 0 to 1) is the weight of evidence or probability that a given model is the best model, taking into account the data and set of candidate models (Symonds and Moussalli 2011).

# **RESULTS**

#### **Prediction 1**

The percentage of ROM not followed by a bite was  $75.00\% \pm 27.49$  SE. The number of ROMs (ROM/second) not followed by bites were more frequent than those followed by bites (Exact Wilcoxon T=0.00; ties=0; N=12; p=0.0001; Figure 2) (Prediction 1a supported). The ROMs perceived during dyadic sessions were significantly more frequent than those perceived during polyadic sessions (Exact Wilcoxon T=0.00; ties=0; N=12; P=0.0001; Figure 3) (Prediction 1b not supported).

# **Prediction 2**

The frequency of ROMs performed when the stimulus was emitted in presence of direct visual contact with the playmate was significantly higher compared to the frequency of ROMs performed when the stimulus emitted by the sender was not visually perceived by the receiver (Wilcoxon Signed Rank test T=0.00; N=9; P=0.004; Figure 4) (Prediction 2a supported). The mean group frequency of RFM was  $0.273\pm0.023$ SE. The RFM time latency was mean 19.63 cs  $\pm2.01$ SE. The total RFM performed by the players correlated with their levels of relationship quality (correlation via randomization r=0.639;  $N_{dyads}=22$ ; p=0.009; Figure 5) but not with their rank distances measured via the absolute difference in their NDS scores (correlation via randomization r=0.123;  $N_{dyads}=22$ ; p=0.540) (Prediction 2b supported).

# **Prediction 3**

To verify which factors affected the duration of each play session, we ran a LMM. The best model (AICc=1399.026) contained the variables "RFM" and "relationship quality" and explained about 50.50% of the distribution. The AICc of intercept only was 1478.874 and that of the full model was 1418.818. Four 'considerably less' models ( $2 < \Delta AICc < 6$ ; Burnham and Anderson 2002; Table 4) cannot be discounted as potential models explaining the distribution of the Play Duration. However, these four models contained as fixed factors "RFM" and "relationship quality" and among all the added variables only "sex of player1" was a significant predictor with none of the additional variables improving the model. For details of the results see Table 5. In the best model the variables "RFM" (Figure 6) and "relationship quality" (Figure 7) were both statistically significant, this means that both variables produce a general effect in prolonging the session.

#### Discussion

As a whole, our findings support the hypothesis that visual communication has an important role in managing playful interactions in *Suricata suricatta*. In particular, meerkats perform the relaxed open mouth (ROM) during their playful contacts. Since ROM occurred more frequently without the

presence of play biting (Figure 2), it possibly underwent a ritualization process so that this specific facial expression should be considered as a signal. It is possible that also acoustic stimuli (not collected during this study) can have a concomitant role in fine-tuning the play session and it could be extremely interesting to evaluate if some vocalizations can accompany the ROM performance. Surprisingly, the perception of the ROMs emitted by the sender did not increase with the number of players involved in the session. Indeed, during dyadic play the perception of the signal was higher than during polyadic play (Figure 3, Prediction 1b not supported). This finding suggests a certain degree of social sensitivity because the animals seem to be able to place the facial expressions in the appropriate social context (e.g., receiver attention). This is in agreement with the evidence obtained in several primate and non-primate species. In a very recent paper, Taylor et al. (2019) demonstrated that sun-bears (*Helarctos malayanus*) produce open-mouth faces mainly when the sender has got the attention of the receiver. Ring-tailed lemurs (Lemur catta) that, as meerkats, are characterized by well-developed olfactory and acoustic communicative systems (Jolly 1966), engage in ROM to modulate their play fighting interactions which can be extremely risky due to the high levels of despotism shown by the species. In lemurs, ROM was particularly frequent during dyadic playful sessions and when play fighting was strongly unbalanced (Palagi et al. 2014). ROM has also been demonstrated in the South American sea lion (Otaria flavescens, Llamazares-Martín et al. 2017) and in dogs (Canis lupus familiaris, Palagi et al. 2015). In these species, ROM is expressed through a similar motor action (the mouth is kept open in a relaxed way without any retraction of the lip corners), it is context specific (play), it can be adjusted to maximize the probability to be perceived and it has a role in prolonging the duration of the playful interaction. Data coming from literature strongly suggest that ROM is a highly conserved trait that is shared among mammals (Preuschoft and van Hooff 1995). In this perspective, the presence of ROM in meerkats is not surprising due to the social affiliation typical of the species. The high levels of social cohesiveness, well demonstrated in meerkats (Manser et al. 2014; Clutton-Brock and Manser 2016), require sophisticated communicative skills often based on different sensory modalities (Freeberg 2012). In some cases, visual signals can

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be preferred to acoustic signals by players to reduce the probability to be detected by predators, especially when the attentional level of the subject is focussed on the playmate and not on the surrounding environment as it occurs during play fighting. This hypothesis, however, deserves further studies based on a multi-modal approach and conducted on a larger number of social groups both in captivity and in the wild. Even though our study has been conducted on a single group of captive meerkats, it demonstrates the presence of rapid facial mimicry (RFM) in this species (Figure 4; Prediction 2a supported). In primates, RFM was not found in all the species tested. According to the Covariance Hypothesis (Thierry 2000), it seems that this behavioural trait covaries with the level of tolerance and affiliation characterizing each species (see Palagi and Scopa 2017 for an extensive review). Primates living in cooperative and egalitarian societies clearly show the phenomenon of emotional mimicry (Tonkean macaques, Scopa and Palagi 2016; geladas, Mancini et al. 2013) which is inhibited when the social relationship between animals are built upon strict dominance more than affiliative interactions (despotic societies) (Japanese macaques, Scopa and Palagi 2016). Rapid mimicry can also be found under particular conditions. When subjects belonging to solitarily species grow up in a social environment and have the opportunity to spend together a large amount of time, motor resonance phenomena can emerge (orang-utans, Davila-Ross et al. 2008; sun bears, Taylor et al. 2019). The meerkat society is extremely cohesive and this could have favoured the evolution of facial mimicry, which is linked to the basic form of emotional contagion (Prochazkova and Kret, 2017). The linkage between RFM and emotional contagion in meerkats seems to be supported by our finding showing a strong positive correlation between the relationship quality shared by subjects and their levels of RFM (Figure 5) (Prediction 2b supported). Moreover, some forms of prosociality have been reported in meerkats (Madden and Clutton-Brock 2011). Oxytocin, a neuropeptide hormone, has been demonstrated to play a role in shaping prosocial behaviours in this species (Madden and Clutton-Brock 2011). The nasal oxytocin administration has been demonstrated to increase facial mimicry and emotional contagion in humans (Korb et al. 2016) and dogs (Somppi et al. 2017) thus suggesting

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that oxytocin has a role in modulating fundamental emotional processing through a mechanism that may facilitate communication between subjects. Further studies would clarify the role of oxytocin in modulating rapid facial mimicry in meerkats to verify if the phenomenon of emotional contagion can be one of the engines of helping behaviour in this species. It is now widely accepted that mammals express and perceive emotions and that this capacity has an adaptive value because it allows animals to respond to various situations quickly (e.g., fear) and appropriately (e.g., play) thus facilitating survival and increasing fitness (Mendl et al. 2010; Watanabe and Kuczaj 2013). Through spontaneous facial mimicry animals can share their emotional mood and this appears to be particularly adaptive when the relationships are not inhibited by rank rules and when animals build and maintain their bonds through cooperation and social affiliation (Nakahashi and Ohtsuki 2015). During play animals experience a positive mood that is often unveiled through facial expressions (e.g., ROM). The automatic and rapid replication of the playmate's facial expression is informative about reciprocal attentiveness, social sensitivity (sensu Taylor et al. 2019) and strong bonding (Palagi et al., 2015). Through the reflexive facial mimicry animals inform playmates that the signal has been perceived and interpreted (i.e., fast mirroring response) thus making the interactions more successful. This process seems to be sustained by the quality of relationship shared by subjects (Palagi and Scopa, 2017). Accordingly, in meerkats the presence of RFM during play fighting (Figure 6) and the quality of relationship shared by the players significantly prolonged the interaction (Figure 7). In absence of RFM, the mere perception of the relaxed open mouth did not produce the same effect on the duration of play (Figure 6). It seems, therefore, that in meerkats the facial motor mirroring and the relationship quality can inform emotional sharing (see Prochazkova and Kret, 2017 for an extensive review). In conclusion, even though meerkats rely on olfactory and acoustic cues to manage most of their maintenance activities, it seems that during their playful, self-rewarding interactions they can make large use of visual cues as well. They not only perform the typical playful facial expression emitted by many other mammals (the Relaxed Open Mouth), but they are also able to engage in rapid facial

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- mimicry, a motor resonance process sustained by social bonding, which in human and non-human
- primates is considered to be linked to the phenomenon of emotional contagion. A multi-modal
- approach to the study of rapid mimicry would clarify if and how the integration of different sensory
- 437 modalities (e.g., visual and acoustic) can modulate motor resonance phenomena.

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# Compliance with ethical standards

645 **Conflict of interest** The authors declare that they have no conflict of interest.

Ethical approval As the present study was exclusively observational without any manipulation of
animals, all applicable international, national, and/or institutional guidelines for the care and use of
animals were followed. Also, all procedures were in accordance with the ethical standards of the
University of Pisa.

Informed consent No need for an informed consent for this study

Table 1 – Group of Suricata suricatta hosted by Zoological Gardens of Pistoia.

M_alpha B_alpha F	970 9	02/2008 06/2009	adult	-	8.027
$\mathbf{F}^{-}$	8	06/2009	1 14		
	0		adult	tail	7.261
	+	05/2013	adult	side	5.449
ZAS	Ŷ	05/2014	adult	left frontlimb	5.716
S	3	05/2015	adult	shoulder	5.115
SPEL	3	05/2015	adult	tail basis	6.395
ZDD	3	05/2015	adult	right hindlimb	6.242
ZDS	3	05/2015	adult	left hindlimb	6.151
T	3	07/2015	adult	head	6.265
ZAD	3	07/2015	adult	right frontlimb	5.499
MAX	3	03/2016	immature	-	4.448
MIN	3	03/2016	immature	-	5.432
<b>PULCE</b>	3	06/2016	immature	-	6.000
	S SPEL ZDD ZDS T ZAD MAX MIN	S SPEL ZDD ZDS T ZAD MAX MIN	S	S       ♂       05/2015       adult         SPEL       ♂       05/2015       adult         ZDD       ♂       05/2015       adult         ZDS       ♂       05/2015       adult         T       ♂       07/2015       adult         ZAD       ♂       07/2015       adult         MAX       ♂       03/2016       immature         MIN       ♂       03/2016       immature	S

**Table 2**. Behavioral items recorded during the study. Ethogram based on Wemmer and Fleming (1974) and integrated by preliminary observations on the colony under study.

PLAY PATTERNS	DEFINITIONS
	Offensive play patterns
Ambush (o)	The player approaches the playmate from behind (when it is turned or distracted in doing another activity) and performs a play pattern
Attempt to bite (o)	An individual moves its open mouth towards the playmate and quickly closes the mouth touching or not the playmate's skin which, however, is never bitten. Each body part can be the target of the behaviour. While closing the mouth the animal lunges at the playmate trying to catch it.
Grasping from behind (o)	The player supports its forebody on playmate's back while clasping the other's sides, between the ribcage and groin
Body play bite (o)	Bite directed to the back of the playmate's body, without damage to the receiver
Grasping (o)	The player grabs the playmate surrounding it with the forelimbs
Knock down (o)	The player push the playmate to the ground
Muzzle play bite (o)	Bite directed to the muzzle of the playmate, without damage to the receiver
Nape play bite (o)	Bite directed to the nape of the playmate, without damage to the receiver
Neck play bite (o)	Bite directed to the neck of the playmate, without damage to the receiver
Over (o)	One animal stands over a playmate who adopts a submissive posture lying on its back
Paw play bite (o)	Bite directed to the paw of the playmate, without damage to the receiver
Play nose push (o)	To push away the playmate with the muzzle
Play push (o)	To push away the playmate
Play retrieve (o)	The player holds his playmate who tries to escape with his forelimbs
Play run (o)	To chase the playmate
Play slap (o)	The individual gently slaps any part of the playmate's body
Pull (o)	The individual pulls the playmate with his forelimbs
Push with paws (o)	The player tries to move away his playmate by pushing him away with his paws (usually the first player is in a supine position)
Shoulder play bite (o)	Bite directed to the shoulder of the playmate, without damage to the receiver
Side play bite (o)	Bite directed to the side of the playmate, without damage to the receiver
Tail play bite (o)	Bite directed to the tail of the playmate, without damage to the receiver
Throat play bite (o)	Bite directed to the throat of the playmate, without damage to the receiver
Ventral play bite (o)	Bite directed to the ventral part of the playmate's body, without damage to the receiver
	Defensive play patterns
Jump (d)	The player performs a jump to get away
Supine play invitation (d)	The player A approaches the player B after a brief play session and lies
	down on his back in contact with B, looking at him/her.
	Neutral play patterns
Grappling (n)	Both animals stand bipedally, clasping each other with their forelegs
	and attempting to push one another over

Play grooming (n)	The players interrupt the play, clean each other and then start playing again			
Piroetting (n)	The individual performs a somersault			
Muzzle rubbing (n)	The player rubs his muzzle against the playmate			
Leave (n)	The player moves away and leaves the play session			
Licking genitals (n)	The player licks the genitals of the playmate during play			
Nose body contact (n)	Muzzle-body contact: the individual smells a body area of the playmate, excluding the nose (play nose to nose contact) and the genitals (play sniff genitals)			
Play nose-to-nose contact (n)	Muzzle-muzzle contact: two players approach and touch each other's nose			
Play scratching (n)	The individual scratches himself/herself during a play session			
Play sniff genitals (n)	The individual smells the genitals of the playmate			
Reciprocal knock down (n)	The players push each other to the ground			
Reciprocal mouth bite (n)	The player A grasps the inferior jaw of the player B with his/her mouth, while the player B grasps the inferior jaw of the player A with his/her mouth at the same time			
Reciprocal muzzle play bite (n)	The players bite the muzzle each other in a non-harmful way			
Reciprocal neck play bite (n)	The players bite the neck each other in a non-harmful way			
Reciprocal nose body contact (n)	Muzzle-body contact: the players smell the body areas each other			
Relax open mouth (n)	An individual opens and closes its mouth while moving towards a playmate. Upper and lower teeth may be exposed and visible. The degree of opening has to reach at least the 50% of the mouth's maximum aperture.			
Rolling (n)	The individual turns its body from side while supine			
Rubbing (n)	The player rubs his body side against playmate			
AFFILIATIVE PATTERNS	DEFINITION			
Body contact	The individual is sitting or lying in contact with other individuals			
Directional grooming	One subject cleans different parts of the companion's body, using the mouth or the forepaws			
Embracing/huddle	The subject A put its forelimbs around the body of the subject B			
Mutual grooming	The two subjects clean different parts of the their bodies by using the mouth or the forepaws			

**Notes**:  $\mathbf{o} = \mathbf{offensive}$  pattern (those attack/pursuit playful patterns giving to one of the players a distinct and clear physical advantage over the partner);  $\mathbf{d} = \mathbf{defensive}$  pattern (those patterns by which the player tries to cope with attack/pursuit playful patterns performed by the partner, the subject performing the defensive pattern generally attains or maintains a losing position);  $\mathbf{n} = \mathbf{neutral}$  pattern (those patterns not showing any attack/pursuit or losing nature).

NAME	ТҮРЕ
DEPENDENT VARIABLE	
Play Duration (seconds)	Continuous
FIXED EXPLANATORY VARIABLES	
Play Asymmetry Index	Continuous
Relationship Quality	Continuous (frequency of affiliative patterns)
RFM	Nominal (0=ROM not present or not perceived;
	1=at least 2 ROM but no RFM; 2=at least 1 RFM
	event)
N players	Nominal (0=dyadic; 1=polyadic)
$SEX_{player1}$	Nominal (0=male; 1=female)
SEX <sub>player2</sub>	Nominal (0=male; 1=female)
ΔNDS (absolute value)	Continuous (NDS <sub>PL1</sub> -NDS <sub>PL2</sub> )
$AGE_{player1}$	Nominal (0=immature; 1=adult)
$AGE_{player2}$	Nominal (0=immature; 1=adult)
RANDOM VARIABLES	
Identity <sub>player1</sub> *Identity <sub>player2</sub>	Nominal

**Table 3** – Description of the variables used in LMM analysis. The dependent variable is Play Duration. Player1 initiated the play bout.

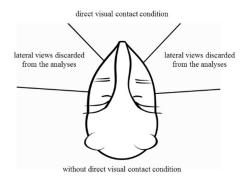
**Table 4** - The AIC values for each of the models tested. The dependent variable=Play Duration. RFM=Rapid Facial Mimicry;  $\Delta$ NDS= differences of the NDS values of the individuals forming the different dyads.

(n) MODELS	AIC	ΔAIC	Wi	wi*100	
1. RFM_relationship quality	1399.026	0.000	0.505	50.505	best model
2. RFM_relationship	1401.409	2.383	0.153	15.342	considerably less model
quality_sex <sub>player1</sub> ,sex <sub>player2</sub>					
3. RFM_relationship	1401.955	2.929	0.117	11.676	considerably less model
quality_age <sub>player1</sub> ,age <sub>player2</sub>	1401 005	2.060	0.114	11 445	
<b>4</b> . RFM_relationship quality_dyadic play,polyadic play	1401.995	2.969	0.114	11.445	considerably less model
5. RFM relationship quality Play	1403.830	4.804	0.046	4.573	considerably less model
Asymmetry Index	1103.030		0.0.0	1.575	constactacty tess model
<b>6</b> . RFM_relationship quality_ ΔNDS	1405.114	6.088	0.024	2.406	considerably less model
<b>7</b> . RFM	1405.824	6.798	0.017	1.687	discounted model
8. RFM_age <sub>player1</sub> ,age <sub>player2</sub>	1406.976	7.950	0.009	0.948	discounted model
9. RFM_dyadic play,polyadic play	1407.869	8.843	0.006	0.607	discounted model
<b>10.</b> RFM_sex <sub>player1</sub> ,sex <sub>player2</sub>	1407.991	8.965	0.006	0.571	discounted model
11. RFM_Play Asymmetry Index	1410.513	11.487	0.002	0.162	discounted model
<b>12</b> . RFM_ ΔNDS	1411.533	12.507	0.001	0.097	discounted model
13. Full model	1418.818	19.792	0.000	0.003	discounted model
14. RFM* relationship quality	1433.782	34.756	0.000	0.000	discounted model
15. dyadic play,polyadic play	1471.126	72.100	0.000	0.000	discounted model
<b>16</b> . relationship quality	1472.657	73.631	0.000	0.000	discounted model
17. relationship quality_sex <sub>player1</sub> ,sex <sub>player2</sub>	1476.546	77.520	0.000	0.000	discounted model
<b>18</b> . Play Asymmetry Index	1478.600	79.574	0.000	0.000	discounted model
<b>19</b> . relationship quality_ ΔNDS	1478.695	79.669	0.000	0.000	discounted model
<b>20</b> . age <sub>player1</sub> , age <sub>player2</sub>	1478.780	79.754	0.000	0.000	discounted model
21. Intercept (null model)	1478.874	79.848	0.000	0.000	discounted model
22. sex <sub>player1</sub> , sex <sub>player2</sub>	1481.562	82.536	0.000	0.000	discounted model
<b>23</b> . ΔNDS	1484.819	85.793	0.000	0.000	discounted model

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Fixed Variables (AICc = 1399.026)	$\boldsymbol{\mathit{F}}$	df1	df2	P
RFM	43.597	2	1.031	0.0001
Relationship Quality	8.473	1	1.031	0.0040
Random variables	$\boldsymbol{Z}$			P
Identity <sub>player1</sub> *Identity <sub>player2</sub>	1.128			0.259
Fixed Variables (AICc = 1401.409)	F	df1	df2	P
RFM	44.406	2	1.029	0.0001
Relationship Quality	7.243	1	1.029	0.0070
$SEX_{player1}$	4.839	1	1.029	0.0280
SEX <sub>player2</sub>	0.212	1	1.029	0.6450
Random variables	$\boldsymbol{Z}$			P
Identity <sub>player1</sub> *Identity <sub>player2</sub>	1.589			0.112
Fixed Variables (AICc = 1401.955)	F	df1	df2	P
RFM	43.074	2	1.029	0.0001
Relationship Quality	4.431	1	1.029	0.0360
AGE <sub>player1</sub>	3.546	1	1.029	0.0600
$AGE_{player2}$	0.839	1	1.029	0.3600
Random variables	$\boldsymbol{Z}$			P
Identity <sub>player1</sub> *Identity <sub>player2</sub>	1.786			0.074
Fixed Variables (AICc = 1401.995)	F	df1	df2	P
RFM	38.876	2	1.030	0.0001
Relationship Quality	7.325	1	1.030	0.0070
DIA_POLI	2.232	1	1.030	0.1350
Random variables	$\boldsymbol{Z}$			P
Identity <sub>player1</sub> *Identity <sub>player2</sub>	1.047			0.295
Fixed Variables (AICc = 1403.830)	F	df1	df2	P
RFM	32.573	2	1.030	0.0001
Relationship Quality	8.130	1	1.030	0.0040
Play Asymmetry Index	2.338	1	1.030	0.1270
Random variables	$\boldsymbol{Z}$			P
Identity <sub>player1</sub> *Identity <sub>player2</sub>	1.113			0.266

# Legends

**Figure 1 -** Scheme illustrating the criteria used to evaluate the perception and no-perception conditions. We considered the head orientation of the receiver in relation to the head orientation of the sender. When the sender was in front of the receiver (i.e., within the range of its stereoscopic view, direct visual contact condition), we considered the facial expression as perceived. A ROM was considered not visually perceived when the potential receiver had his/her head rotated by 180° with respect to the sender (without direct visual contact condition). All the doubtful cases linked to lateral views were discarded from the analyses.



**Figure 2** – Number of ROMs followed by a bite compared to the number of ROMs not followed by a bite. The box plots show the median and 25<sup>th</sup> and 75<sup>th</sup> percentiles; the whiskers indicate the values within 1.5 times the inter-quartile range, IQR.

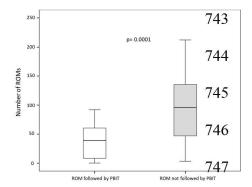
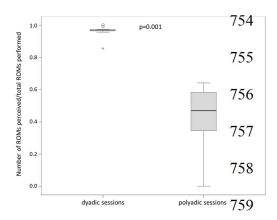
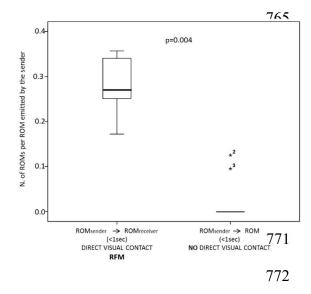


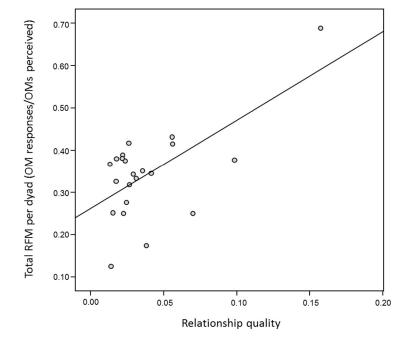
Figure 3 – Number of ROMs perceived on the total number of ROMs performed during dyadic and polyadic playful interactions. The box plots show the median and 25<sup>th</sup> and 75<sup>th</sup> percentiles; the whiskers indicate the values within 1.5 times the inter-quartile range, IQR.



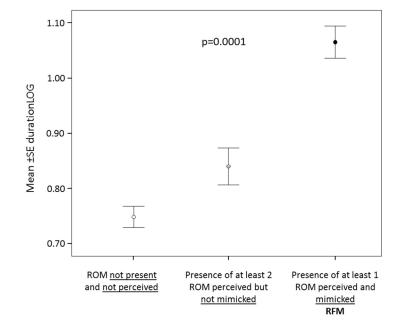
**Figure 4** – ROM emitted by the receiver after the perception of the ROM emitted by the sender (direct visual contact within 1 s) compared with ROM performed when the stimulus emitted by the sender was not visually perceived by the receiver (no direct visual contact, within 1 sec). The box plots show the median and 25<sup>th</sup> and 75<sup>th</sup> percentiles; the whiskers indicate the values within 1.5 times the interquartile range, IQR.



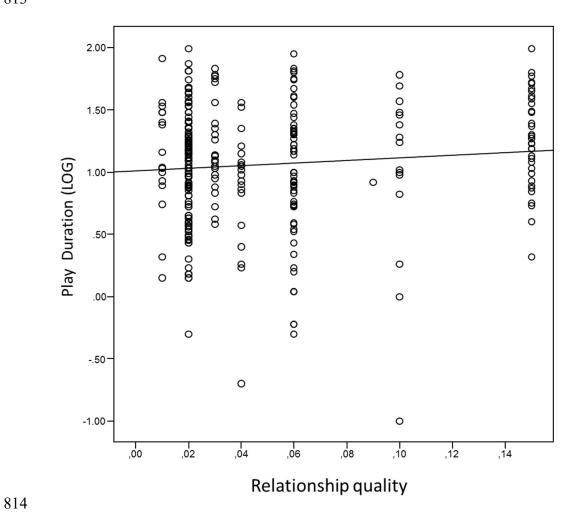
**Figure 5** – Scatterplot showing the positive correlation between the frequency of RFM (OM response/OM perceived) occurring within each dyad and the relationship quality (measured via affiliative patterns) shared by the subjects forming the dyad.



**Figure 6** – Mean  $\pm$ SE of Duration (LOG<sub>transformed</sub>) of the play sessions according to the three conditions defined as follows: no ROM event present or not perceived by the receiver; at least two ROM events perceived by the receiver but not replicated within 1 sec; at least 1 ROM perceived and replicated within 1 sec by receiver (Rapid Facial Mimicry, RFM).



**Figure 7** – Scatterplot showing the relationship between relationship quality (measured via affiliative patterns) shared by the subjects forming the dyad and play duration (LOG<sub>transformed</sub>).



**Supplementary Figure 1** – Pictures showing the two conditions. A – direct visual contact condition.

The two animals are within the range of their stereoscopic view. B – without direct visual contact

condition. The player 1 performs the play face, but the player 2 is not able to perceive it.



