

1 **MEDULLARY THYROID CANCER TREATED WITH VANDETANIB: PREDICTORS OF**
2 **LONGER AND DURABLE RESPONSE**

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73 **ABSTRACT**

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75 Vandetanib is an important option to treat advanced metastatic medullary thyroid cancer. The aims
76 of this study were to evaluate the predictors of both a longer response to vandetanib and of their
77 outcome. Medical records of 79 medullary thyroid cancer patients treated with vandetanib in our
78 center were analysed. Twenty-five patients were treated for <12 months, 54 were treated for ≥ 12
79 months and 24 of these latter were treated for ≥ 48 months (short, long and very long term treated).
80 The median progression free survival of the long and very long term treated patients was
81 significantly longer than in ZETA trial. When comparing the groups of short and long term treated
82 patients the only significant difference was that these latter were less frequently previously treated
83 with a tyrosine kinase inhibitor. However, the long term treated patients had a younger age, both at
84 diagnosis and enrolment, that becomes statistically significant in the very long term treated patients.
85 Inside the long term treated group, a younger age, the enrolment for symptoms and the development
86 of adverse events were significantly correlated with a better outcome. The enrolment for symptoms
87 remained the only statistically significant predictor of a good outcome in the very long term treated
88 patients. In conclusion, an early treatment with vandetanib, when patients are younger, with a good
89 ECOG performance status and a symptomatic disease, not necessarily progressing for RECIST,
90 seem to be the best predictors of longer and durable response. Further studies are needed to confirm
91 these evidences.

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100 **Introduction**

101 Medullary thyroid carcinoma (MTC) is a rare malignancy originating from the
102 parafollicular C cells of the thyroid gland. MTC represent 5-10% of all thyroid cancers and it can
103 be sporadic (75%) or inherited (25%). The pathogenesis of MTC is related to activating mutations
104 of the rearranged during transfection (*RET*) gene that are somatic (45% of cases) in sporadic
105 tumors and germline (98% of cases) in hereditary tumors (Romei, et al. 2015).

106 The 10-year overall survival rate in unselected patients with MTC is approximately 75%,
107 but it decreases to approximately 40% in patients with locally or advanced MTC (Hundahl, et al.
108 1998; Lakhani, et al. 2007; Wells, et al. 2012). Any type of cytotoxic chemotherapy have been
109 demonstrated to have limited and transient antitumor activity in patients with unresectable or
110 metastatic disease (Droz, et al. 1984; Orlandi, et al. 2001; Schlumberger, et al. 1995).
111 Postoperative radiotherapy of the neck can determine locoregional disease control with limited
112 morbidity (Schwartz, et al. 2008). Differently, the “target therapy” with tyrosine kinase inhibitors
113 (TKIs) represents an important therapeutic option in advanced MTC cases. As demonstrated in
114 preclinical studies, the ret kinase receptor, the vascular endothelial growth factor receptor
115 (VEGFR) and the epidermal growth factor receptors (EGFR), whose signaling pathways
116 contribute to the growth and invasiveness of MTC, are therapeutic targets for several TKIs
117 (Carlomagno, et al. 2002; Poon, et al. 2001; Santoro and Carlomagno 2006; Wedge, et al. 2002).

118 Vandetanib is an oral agent that selectively targets *RET*, VEGFR and EGFR signaling
119 pathways, as demonstrated in phase II and phase III clinical trials and it has been approved by
120 Food and Drug Administration (FDA) in 2011 and European Medicines Agency (EMA) in 2013
121 for the treatment of patients with locally advanced or metastatic MTC (Wedge et al. 2002; Wells,
122 et al. 2010; Wells et al. 2012).

123 Over the years, starting from the time of the phase III trial (ZETA study) (Wells et al. 2012),
124 we had the opportunity to treat a rather big number of MTC patients with vandetanib and we

125 observed that there was a great variability in the length and duration of the response to the drug.
126 The aim of this study was to find those factors that could predict a relatively long and durable
127 response of the disease to vandetanib. To this purpose we analyzed the epidemiological,
128 pathological, clinical and genetic features of patients with locally advanced or metastatic MTC
129 treated with vandetanib in our center. In particular, we concentrated our attention on the subgroup
130 of those patients who were treated for at least 12 months and longer, who have been considered as
131 long term treated patients. A comparison between the short term (treated for <12 months) and the
132 long term treated patients (≥ 12 months) has been also performed to verify if we could better predict
133 the longer responsiveness to the drug. The analysis of a subgroup of very long term treated patients
134 (≥ 48 months) was also carried out.

135

136 **PATIENTS AND METHODS**

137 **Patients**

138 We included all MTC patients treated with vandetanib and followed in our center both
139 within AstraZeneca clinical trials and with the commercial drug after its approval. The AstraZeneca
140 clinical trials that we could participate by enrolling patients were: AZ58 – An International, Phase
141 III, Randomized, Double-Blinded, Placebo-Controlled, Multi-Center Study to Assess the Efficacy of
142 ZD6474 (ZACTIMA™) versus Placebo in Subjects with Unresectable Locally Advanced or
143 Metastatic Medullary Thyroid Cancer (D4200C00058) (Wells et al. 2012); AZ68 - A Phase II,
144 Open-Label Study To Assess The Efficacy of ZD6474 (ZACTIMA™) 100 mg Monotherapy In
145 Subjects with Locally Advanced or Metastatic Hereditary Medullary Thyroid Cancer
146 (D4200C00068) (Robinson, et al. 2010); AZ88 – A Randomized, International, Open-Label, Multi-
147 Centre, Phase III Study to Assess the Effect of a Patient Outreach Program on the Percentage of
148 Time Patients with Locally Advanced or Metastatic Medullary Thyroid Cancer Experience Grade 2
149 or Higher Adverse Events during the First 12 Months of Treatment with Vandetanib
150 (D4200C00088) (Bastholt, et al. 2016); AZ97 - An International, Randomized, Double-Blind, Two-

151 Arm Study To Evaluate The Safety And Efficacy Of Vandetanib 150 And 300 mg/Day In patients
152 With Unresectable Locally Advanced Or Metastatic Medullary Thyroid Carcinoma With
153 Progressive Or Symptomatic Disease (D4200C00097) (Hu, et al. 2019); AZ104 – European,
154 Observational, Prospective Study to Evaluate the Benefit/Risk of Vandetanib (CAPRELSA™) 300
155 mg in RET Mutation Negative and RET Mutation Positive Patients with Symptomatic, Aggressive,
156 Sporadic, Unresectable, Locally Advanced/Metastatic Medullary Thyroid Cancer (MTC)
157 (D4200C00104).

158 Patients were all adults with histologically confirmed, unresectable, locally advanced or
159 metastatic MTC. Patients enrolled in clinical trials have been requested to satisfy the enrolling
160 criteria of the specific clinical trial. In some trials (i.e AZ58, AZ68, AZ88, AZ97, AZ104) patients
161 must have radiographic disease progression per modified Response Evaluation Criteria in Solid
162 Tumors (RECIST) guidelines (Eisenhauer, et al. 2009) at screening. In other trials (i.e. AZ58,
163 AZ88, AZ97, AZ104) patients could be also enrolled if a symptomatic metastatic disease was
164 present. In the majority of trials prior systemic anticancer therapy within 4 weeks or significant
165 cardiac, hematopoietic, hepatic or renal failure were considered exclusion criteria. Very similar
166 inclusion criteria were used to start vandetanib after its approval although, in the real life, the
167 clinical judgment became fundamental. For patients treated after the approval of the drug there was
168 no limit on prior therapy, including exposure to other TKIs. The main exclusion criteria were the
169 presence of the cardiac disorders (i.e. QTc longer than 480 ms) or important alterations in blood
170 samples before starting the drug. All patients provided written informed consent to participate in
171 clinical trials. Patients who were treated after the approval of the drug started vandetanib treatment
172 either for the evidence of progression or for symptoms according to the clinical judgment.
173 **Treatment was withdrawn in the presence of severe adverse events or progression disease according**
174 **to RECIST**. The present observational study was approved by the local Ethic Committee
175 (CEAVNO - Comitato Etico Area Vasta Nord Ovest). As policy of our University Hospital, at the

176 time of first entering in the Hospital, all patients give their signed approval to the use of the clinical
177 and biochemical personal data for research and scientific purposes.

178

179 ***RET* genetic analysis**

180 In 72/79 patients tumor tissues were available for *RET* genetic analysis that was performed
181 according to our standard protocols (Elisei, et al. 2019). The analysis was performed in 49 primary
182 tissues, 14 lymphnode metastases and 9 distant metastases. In all cases the blood DNA extraction
183 and the search of germline *RET* mutations was also performed to distinguish the somatic from the
184 germline *RET* mutations.

185

186 **Data Analysis**

187 We collected all epidemiological, clinical, biochemical, electrocardiographic, radiological
188 and pathological data of each patient both at the time of starting therapy and during follow-up. In
189 particular patients were investigated after 1, 3, 6 and 12 weeks in the first 3 months of therapy and
190 then every 12 weeks. The radiographic tumor assessment with a computed tomography (CT) scan
191 was performed every 12 weeks using RECIST until progression or withdrawal of consent. **The**
192 **progressive disease (PD), the stable disease (SD) and the partial response (PR) were established**
193 **according to RECIST.** This strategy was followed both in the clinical trials and for patients treated
194 after the approval of the drug.

195 To the purpose of this study, we arbitrarily distinguished two groups of patients according to
196 the duration of the treatment: a group of short term treated patients (duration of treatment < 12
197 months) and long term treated patients (duration of treatment \geq 12 months). The choice to put a line
198 at 12 months to distinguish the two groups is arbitrary but conceivable with a real effectiveness of
199 the drug in the clinical practice. We then concentrated our attention also in a subgroup of very long
200 term treated patients who were those treated for \geq 48 months.

201 As previously said, the main objective of the present study was the identification of
202 epidemiological, clinical, pathological and/or genetic factors of MTC patients that could predict a
203 long and durable response to vandetanib of at least 12 months or more. In this analysis we included
204 the objective response rate (ORR), the progression free-survival (PFS), the morphological
205 (RECIST) and biochemical response (i.e. decreases in serum levels of calcitonin [Ct] (ELSA-hCt;
206 Cis-Bio-International, Gif sur Yvette, France until September 2013 and with Immulite® 2000
207 Calcitonin, Siemens Health Care Diagnostics Products LTD, Llanberis, UK, thereafter September
208 2013) and carcinoembryonic antigen [CEA] (Elecsys CEA, Roche Diagnostics, Holliston, MA
209 USA).

210

211 **Safety and Tolerability**

212 The safety and tolerability of the treatment were evaluated by monitoring Adverse Events
213 (AEs) as indicated by the National Cancer Institute's Common Terminology Criteria for Adverse
214 Events (CTCAE) (U.S. Department of Health and Human Services NIOH May 28,2009). Vandetanib
215 dose reductions were made for grade 3 or 4 toxicities.

216

217 **Statistical Analysis**

218 Pearson's chi-squared test and Fisher's exact test were used to evaluate differences in counts
219 and frequency between groups, as appropriate. Student's t-test and Mann-Whitney U test were used
220 to assess differences between groups for continuous variables with Gaussian and skewed
221 distributions, respectively. The Kaplan-Meier 'time-to-event' method was used to calculate curves
222 of progression-free survival (PFS). Progression was defined according to RECIST criteria. Patients
223 who did not show progressive disease (PD) were included in the analysis until they stopped the
224 treatment. Statistical analyses were performed using SPSS (version 21; Armonk, NY: IBM Corp.).
225 Alpha was set at 0.05. Data are presented as mean±SD or frequency (percentage).

226

227 RESULTS

228 Clinical, pathological, epidemiological and genetic features of MTC patients treated with 229 vandetanib

230 Between February 2007, when we enrolled the first patient in the ZETA study, and
231 December 2018 a total of 79 locally advanced or metastatic MTC patients, referred to our Center,
232 started vandetanib therapy, 62 in the frame of a clinical trial and 17 after the approval of the
233 commercial drug. Fifty-six/79 (71%) were males and 23/79 (29%) were females. The median age at
234 the time of starting therapy was 56 years (range 20-79 years); the median age at the time of MTC
235 diagnosis was 50 years (range 13-79). The mean time elapsing from the diagnosis and the start of
236 therapy was 5.4 years. The median PFS of all enrolled patients was 47 months (95% CI: 25-91
237 months) that was longer than that estimated in patients treated with vandetanib in the ZETA trial
238 (Fig. 1, panel A).

239 Fifty-four/79 (68.3%) were able to continue the vandetanib treatment for at least 12 months
240 and represent our group of long term treated patients. The median follow up was 41 months (mean:
241 54.8 months, range 12-140 months). As shown in Table 1, 40/54 (74.1%) were males and 14/54
242 (25.9%) were females. The median age at the time of starting therapy was 51.5 years (range 20-76
243 years); the median age at the time of the MTC diagnosis was 45 years (range 13-73). The mean time
244 elapsing from the diagnosis and the start of therapy was 5.4 years. The majority of patients (88.9%)
245 had a metastatic disease (stage IVC) when vandetanib was started. Among them, 32/54 (59.3%)
246 started the therapy for progressive disease, according to RECIST while 22/54 (40.7%) started
247 vandetanib for symptomatic metastatic disease. The median PFS of this subgroup was 87 months
248 (95% CI: 38-91 months) (Fig. 1, panel B) that is significantly longer than that of the entire group.

249 The estimated ORR of this subgroup at the first morphological evaluation after 3 months of
250 treatment was 26.0%. The best response was observed after 3 months of treatment in 50/54 (92.6%)
251 patients. About the other 4 patients the best response was after 6 months in 3 patients and after 9
252 months in 1 patient (Fig. 2).

253 Twenty-five/79 patients were treated with vandetanib for less than 12 months. All these
254 patients discontinued vandetanib before 12 months of treatment due to either PD (n= 9) or an
255 important adverse event (n=7) or patient death (n=6) or consent withdrawal (n=3) for the
256 deterioration of their quality of life and these 25 patients represent our group of short term treated
257 patients. As shown in Table 1, 16/25 (64.0%) were males and 9/25 (36.0%) were females. The
258 median age at the time of starting therapy was 61 years (range 24-79 years); the median age at the
259 time of the MTC diagnosis was 55 years (range 22-79). The mean time elapsing from the diagnosis
260 and the start of therapy was 6 years. Among them, 17/25 (68.0%) were enrolled for progressive
261 disease, according to RECIST while only 8/25 (32.0%) were enrolled for symptomatic metastatic
262 disease (Table 1).

263 The *RET* genetic screening of the 54 long term treated patients showed that 8/54 (14.8%)
264 and 46/54 (85.2%) cases were hereditary and sporadic, respectively. The study of *RET* somatic
265 mutations was performed in a total of 43/46 (93.5%) sporadic cases and it was found that 41/43
266 (95.3%) patients were carrying a *RET* somatic mutation (Table 1). Among the 25 short term treated
267 patients, *RET* screening showed that 4/25 (16.0%) and 21/25 (84.0%) cases were hereditary and
268 sporadic, respectively. The study of *RET* somatic mutations was performed in a total of 17/21
269 (80.9%) sporadic cases and it was observed that 14/17 (82.3%) patients were positive for a *RET*
270 somatic mutation (Table 1). Moreover, it was observed that the 3 out of 4 (75%) patients with *RET*
271 Val804Met mutation, that is known to be associated with “in vitro” resistance to vandetanib
272 (Carlomagno, et al. 2004), belonged to the long term treated patients and in particular two of them
273 showed a PR and one showed a SD. Their specific median follow up was 22 months (range 12-66
274 months).

275

276 **Efficacy of vandetanib in the long term treated patients**

277 At the first CT scan evaluation, performed after 3 months of treatment, 14/54 (26.0%)
278 patients had a partial response (PR), 39/54 (72.2%) patients showed a stable disease (SD); 1/54

279 (1.8%) showed a progressive disease (PD). In the longest term outcome, considering the last CT
280 scan performed at the data cut-off, during the treatment, 29/54 (53.7%) showed a SD and 25/54
281 (46.3%) patients showed a progressive disease (PD) while any patient had a PR (Fig. 3). The
282 duration and the type of long term response in each patient of this group of long term treated
283 patients are shown in Fig. 4: after an initial response to treatment, either PR or SD, 24/54 (44.4%)
284 patients started to progress (i.e., escape's phenomenon) after a median follow up of 25.5 months
285 (range 12-91 months). Twenty-two/54 (40.7%) patients are still under therapy after a median follow
286 up of 88 months (range 17-140 months) and the disease is still stable in 20/22 (90.9 %) of these
287 patients. Two/22 (9.1%) patients still treated with vandetanib showed a PD at last CT scan. In one
288 patient the progression was limited to liver metastases and these were treated with transarterial
289 chemoembolisation (TACE) while the other patient showed the appearance of a brain
290 micrometastasis that did not require a treatment but only radiological follow up. Eighteen/54
291 (33.3%) patients of this group of long term treated patients died during follow up after a median
292 time survival of 25 months (mean 46.6 months, range 12-136 months).

293 During the follow up of this group we also observed a reduction in the Ct levels (median Ct
294 at screening: 1820.5 pg/ml vs median Ct at data cut-off: 1112.5 pg/ml). At variance, CEA levels
295 showed a tendency to a slight increase (median CEA at screening: 74 ng/ml vs median CEA at data
296 cut-off: 98 ng/ml).

297

298 **Safety and tolerability in the long and short term treated patients**

299 The common AEs observed during the treatment are summarized in Table 2. As far as the
300 group of long term treated patients was concerned, with the exception of hypothyroidism that was
301 present in 100% of patients, any symptom was present in more than 48% of cases, being the
302 cutaneous rash the most prevalent. In this group, seven patients required to discontinue the
303 treatment because of a very severe AE (i.e., heart attack, stroke, orbital edema, hypertension, weight
304 loss, asthenia, creatinine increase). One patient developed a QTc-prolongation but there was no

305 reports of torsades de pointes. Other common AEs that were present in $\geq 20\%$ of patients were
306 diarrhea (39%), asthenia (35%), hypertension (28%) and nausea/anorexia (20%) (Table 2).

307 As far as the group of short term treated patients was concerned, with the exception of
308 hypothyroidism that was present in 92%, the most prevalent AE was the cutaneous rash also in this
309 group but its prevalence was of 28%. Also in this group, seven patients required to discontinue the
310 treatment because of a very severe AE (i.e., creatinine increased, anorexia, neuropathy, weight loss,
311 asthenia, diarrhea). Two patients developed a QTc-prolongation but there was no reports of torsades
312 de pointes. Other common AEs that were present in $\geq 20\%$ of patients were hypertension (24%),
313 asthenia (20%) and nausea/anorexia (20%) (Table 2).

314 By comparing the AEs in the two groups of patients we could observe that they were in
315 general much more prevalent in the group of long term treated patients with the hypothyroidism and
316 the diarrhea that were significantly more prevalent ($p < 0.05$) in the long term treated group while, at
317 variance, the weight loss and neuropathy were present only in 5.5% and 3.7% of them while they
318 reached the prevalence of 16% and 8.0% in the short term treated patients ($p = ns$).

319

320 **Predictors of longer responses to vandetanib**

321 As shown in Table 1, the comparison of different clinical, epidemiological and pathological
322 features between the two groups of short and long term treated patients did not show any
323 statistically significant difference with the exception that the short term treated patients have been
324 more frequently previously treated with other TKI ($p = 0.04$). However, looking carefully at the
325 results we could observe that the median age at diagnosis (55 vs 45 years, $p = 0.08$) and at screening
326 (61 vs 51.5 years, $p = 0.054$) was greater in short term treated patients than in long term treated
327 patients (Table 1) with a mean of 10 years of difference. A greater frequency of liver (72% vs
328 66.7%, $p = 0.8$), lung (60% vs 51.9%, $p = 0.63$) and in particular bone metastases (32% vs 18.5%,
329 $p = 0.25$) was found in short term treated patients with respect to long term treated patients.

330 Otherwise, the long term treated patients showed a greater frequency of lymph node metastases than
331 short term treated patients (98.1% vs 88.0%, $p=0.09$) (Table 1).

332 Other comparisons showed that a greater number of short term treated patients were
333 previously treated with re-surgery (68% vs 46.3%, $p=0.09$), chemotherapy (24% vs 7.4%, $p=0.06$),
334 radiotherapy (20% vs 20.4%, $p=1$), tyrosine kinase inhibitors (28% vs 9.3%, $p=0.04$) or other
335 treatments (16% vs 5.6%, $p=0.2$) with respect to long term treated patients (Table 1).

336 A higher percentage of short term treated patients (68.0%) respect to long term treated
337 patients (59.3%) were enrolled for the progression of the disease, according to RECIST (Table 1),
338 although this difference did not reach the statistical significance ($p=0.62$).

339 *RET* somatic mutations were highly frequent in both groups and their distribution was
340 similar in the short and long term treated patients and the *RET* Met918Thr mutation was the most,
341 but equally, represented in both groups (Table 1).

342 No difference were observed between these two groups neither when the ECOG
343 performance status nor when the tumor burden were compared.

344

345 **Predictors of the better outcome in the long term treated patients group**

346 We analyzed the predictors of the outcome of patients looking at both the radiological
347 response (PR, SD and PD) and clinical response (disappearance of the initial symptoms associated
348 to SD), at univariate analysis.

349 Sex and tumor stage did not correlate with the outcome of the long term treated MTC
350 patients to vandetanib while patients with age ≤ 45 years at screening showed a better outcome than
351 patients with age >45 years at screening ($p=0.01$) (Table 3). A positive correlation was also
352 observed between the absence of RECIST progressive disease at screening (i.e., patients enrolled
353 for symptoms) and response to treatment ($p<0.0001$) (Table 3) as well as between the presence of
354 AEs, any type, and a better response to treatment ($p=0.02$) (Table 3). Unfortunately, the relatively
355 low number of cases did not allow to perform a multivariate analysis.

356 At variance, all the other examined features, including the presence of *RET* mutations, did
357 not show any predictive value of the outcome of the disease in this subgroup of long term treated
358 patients (Table 3).

359

360 **The subgroup of very long term treated patients**

361 Twenty-four patients belonging to the long term treated patients were able to continue the
362 vandetanib treatment for ≥ 48 months and represent our group of “very long” term treated patients.
363 The median follow up was 89.5 months (mean: 93.1 months, range 52-140 months). As shown in
364 Table 4, 19/24 (79.2%) were males and 5/24 (20.8%) were females. The median age at the time of
365 starting therapy was 47.5 years (range 20-75 years); the median age at the time of the MTC
366 diagnosis was 43 years (range 13-71). The mean time elapsing from the diagnosis and the start of
367 therapy was 5.7 years. Only 11/24 (45.8%) very long term treated patients started the therapy for
368 progressive disease, according to RECIST. *RET* M918T mutation was the most prevalent as in the
369 other groups. The majority of patients (87.5%) had a metastatic disease (stage IVC) when
370 vandetanib was started but the largest metastases were not bigger than 5 cm in 23/24 patients and
371 the ECOG performance status was 0 in 22/24 patients. The mean PFS of this subgroup was 120
372 months (95% CI: 105-135 months).

373 When we compared this subgroup of very long term treated patients (≥ 48 months) with the
374 short term treated patients we found that the very long term treated patients were significantly
375 younger than short term treated patients both at diagnosis of MTC and at screening for vandetanib
376 treatment ($p=0.03$) and ($p=0.02$), respectively. Moreover, they had a statistically significant better
377 ECOG performance status at screening than short term treated patients ($p<0.001$).

378 Also in this subgroup we then analyzed the predictors of the outcome of patients looking at
379 both the radiological response (PR, SD and PD) and clinical response (disappearance of initial
380 symptoms associated to SD), at univariate analysis (Table 5). Sex, tumor stage and age at screening
381 did not correlate with the outcome of the very long term treated MTC patients to vandetanib.

382 Moreover, the presence of AEs, any type, did not correlate with a better outcome ($p=0.6$) as well as
383 the presence of liver metastases ($p=0.9$), lung metastases ($p=0.6$), lymph nodes metastases ($p=0.6$),
384 bone metastases ($p=0.4$) and the presence of *RET* mutations ($p=0.6$). At variance, at the data cut off
385 of the present study, we found a statistically significant correlation of a better outcome (SD vs PD)
386 with both the enrollment for symptoms ($p=0.006$) and the ECOG performance status ($p=0.004$).

387

388 **DISCUSSION**

389 Medullary thyroid cancer is a relatively indolent tumor and the use of systemic therapies is
390 limited to patients with progressive or symptomatic locally advanced or metastatic disease (Elisei
391 and Matrone 2018). Cytotoxic chemotherapy, external beam radiation, hormone therapy, cytokines
392 and radioiodine are associated with high toxicity and low efficacy (Brierley 2011; De Besi, et al.
393 1991; Droz et al. 1984). A new option of treatment is surely represented by TKIs (Valerio, et al.
394 2017; Viola, et al. 2016). Vandetanib is a TKI with antitumor activity against *RET*, VEGFR and
395 EGFR signaling pathways that has been demonstrated to be able to prolong the PFS in patients with
396 locally advanced or metastatic MTC in a phase III clinical trial (i.e., ZETA trial) (Wells et al. 2012).

397 In this study we have analyzed the presence of epidemiological, clinical, pathological and
398 genetic factors that could predict either the duration of the response to vandetanib and the better
399 outcome in the patients with locally advanced or metastatic MTC referred to our Center and treated
400 with vandetanib, within AstraZeneca clinical trials or with vandetanib after its approval by FDA
401 and EMA.

402 When we compared the short and the long term treated patients we did not find any
403 epidemiological factors that could predict a longer response to vandetanib. However, a mean of 10
404 years younger age was observed in the group of longer with respect to shorter term treated patients,
405 both at the time of the diagnosis and at the time of starting therapy and when we concentrated our
406 attention on the subgroup of very long term treated patients the younger age, both at the time of
407 MTC diagnosis and at the time of starting therapy, became statistically significant ($p=0.03$ and

408 $p=0.02$, respectively). This finding suggests that, independently from the type of metastatic
409 localization, that were not predictive of the response to the therapy in any group, the youngest
410 patients have a greater probability to continue the therapy for more than 12 months and even for
411 more than 48 months. Although in the ZETA trial several subgroup analyses have been performed
412 (Wells et al. 2012), age was not taken into consideration and we cannot confirm our observation.
413 However, it is plausible that younger patients, despite the same tumor burden than older, can better
414 adapt to the therapy and its AEs thus allowing to continue the therapy for a longer period of time.
415 The question of whether an earlier treatment, when patients are still young, could improve the
416 outcome of the disease needs to be clarified.

417 Another interesting finding was that a bigger number of cases treated for symptoms were
418 present in the subgroup of long term treated patients. Although in the ZETA trial many patients
419 were enrolled for symptoms and randomized either in the drug or in the placebo arm, so far, it has
420 been unrevealed if there was a difference in their PFS (Wells et al. 2012). As matter of fact, the real
421 benefit to start the therapy only at the time when the disease is progressing according to RECIST, as
422 requested in clinical trials (Elisei, et al. 2013; Wells et al. 2012), is not proven. The major reason for
423 this indication derived from the evidence that in many cases, even if advanced and multimetastatic,
424 MTC growth is very slow and patients have a good quality of life that could be affected by the use
425 of the drug (Bergholm, et al. 1997; Elisei and Matrone 2018; Hadoux, et al. 2016) and for this
426 reason there is a tendency to delay as much as possible the start of the drug. Moreover, vandetanib
427 and TKI in general, have a cytostatic, more than a cytotoxic, action thus they should be used to
428 block the tumoral growth and if there is no growth there is no rationale to use the drug (Viola et al.
429 2016). However, vandetanib action is likely more than a cytostatic action as demonstrated by the
430 cases with hypercortisolism due to ectopic ACTH and successfully treated with the drug (Baudry, et
431 al. 2013; Pitoia, et al. 2015). The advantage to use vandetanib in patients with symptomatic disease,
432 even if not progressing, seems to be evident especially when considering that the better outcome of
433 the very long responders significantly ($p=0.006$) correlated with the enrolment for symptoms.

434 A positive correlation was found in our series between the presence of AEs and response to
435 treatment in the long term treated patients ($p < 0.0001$). This finding is new for vandetanib but is not
436 new for other TKI. There are reports about sorafenib treatment in hepatocellular carcinoma that
437 show that the development of AEs could be a marker of treatment efficacy (Granito, et al. 2016;
438 Lee, et al. 2019). This observation should induce to strive to continue the therapy despite the AEs
439 perhaps by reducing the daily dose and find a compromise between the continuation of the therapy
440 and the tolerability of the AEs.

441 As far as the PFS of our patients was concerned, we found that when we considered all
442 patients together this parameters was slightly longer than that of patients treated with the drug in
443 the ZETA trial (47 vs 30.5 months), but, if we considered only the subgroup of those who were
444 able to maintain the therapy for at least 12 months, the PFS almost doubled from 47 to 87 months
445 and became 120 months in the very long term treated patients. Moreover, the best response ORR
446 in the majority of patients of the long term treated patients was at 3 months. These two findings
447 suggest that the disease of long term treated patients, after a good response in terms of maximum
448 shrinkage within the first 3 months of therapy, thereafter become stable for a long time, even up to
449 more than 7 years. According to these results, the escape phenomenon can arrive much later than
450 expected or even never, and patients can find a good balance between the daily dose and the block
451 of the growing disease. These hypotheses can also fit with the evidence that serum Ct values, that
452 is mainly related to the cancer activity, declined during the follow up while CEA, that is more
453 related to the tumor burden, remained stable over the years. Moreover, there are a few studies that
454 show an increased value of tumor markers in patients who have morphologic response of the
455 disease. These results suggest that the mechanisms that control tumor growth and markers
456 secretion, sometimes can present fluctuations during follow-up and can be dissociated in patients
457 treated with TKI (Hajje, et al. 2013; Schlumberger, et al. 2009).

458 In our patients, *RET* mutations gene were presents in 100% of patients with hereditary
459 form and in almost 90% of sporadic cases. Among all *RET* mutations, the somatic *RET*

460 Met918Thr mutation was the most represented and it is known that this mutation is associated
461 with a more aggressive phenotypes of MTC (Elisei, et al. 2008; Mian, et al. 2011). In our series,
462 the presence of *RET* mutations was not a predictor of longer and/or better response to vandetanib,
463 as already demonstrated in other studies performed with either vandetanib (Wells et al. 2010;
464 Wells et al. 2012) or cabozantinib (Elisei et al. 2013). However, the very high prevalence of *RET*
465 mutated cases, as expected in advanced cases (Romei, et al. 2016) and the correspondent low
466 prevalence of non mutated cases probably would require a much bigger number of cases to reach
467 the statistical power. It was also demonstrated in "in vitro" studies that the presence of *RET*
468 Val804Met mutation determines a selective resistance to various TKIs including vandetanib
469 (Carlomagno et al. 2004). This mutation requires an increase of vandetanib concentration
470 necessary to obtain the 50% of activity receptor reduction (IC50 = 5 μ M). In our study, it was
471 observed a full resistance to vandetanib in only one patient who presented the *RET* V804M
472 mutation. This patient did not respond to vandetanib and discontinued the treatment after only four
473 months. At variance, the other three patients, who were carrying this *RET* mutation, showed an
474 initial SD in one case and a PR in two cases. The possible explanation of this significant response,
475 despite the partial resistance induced by V804M mutation, is that the drug is a multikinase
476 inhibitor and it can likely act also through mechanisms of action independently from *RET*
477 inhibition such as VEGFR inhibition (Ciardiello, et al. 2004). Thus, also in the presence of this
478 *RET* mutation, it is appropriate to start treatment with vandetanib, especially if no other
479 therapeutic options are available.

480 It is worth to note that in our study almost 100% of patients showed an increase of TSH
481 that require the increase of LT4 daily dose. This evidence suggests that TSH must be monitored
482 very frequently especially in the beginning of the treatment to allow a prompt correction of
483 hypothyroidism that can be part of the very common symptoms of asthenia and fatigue. The
484 majority of patients experienced at least one of the other AEs, but AEs were generally manageable
485 with dose interruption or reduction associated with symptomatic therapies. In our series, the

486 permanent discontinuation of vandetanib was needed only in 7/54 (13%) of patients and this data
487 are in agreement with the data shown in the ZETA study (Wells et al. 2012). On this regard, it is
488 important to say that over the years the ability of doctors and nurses in managing the AEs
489 improved a lot and they are now able to better manage these AEs with the complicity of the
490 patient who is invited to refer all the AEs as soon as possible.

491 In conclusion, with this study we found that: a) the median PFS of our group was significantly
492 longer than that of ZETA trial; b) the younger age of patients both at the time of diagnosis and at
493 the starting time of therapy can predict a longer and more durable response to vandetanib; c) the
494 same observation was for patients with metastatic MTC but treated with vandetanib for symptoms;
495 d) the ECOG performance status at the time of starting therapy was significantly lower in the very
496 long term treated patients; e) the development of at least one AE is also correlated with a better
497 outcome of long term treated patients. No other clinical or pathological features, including the
498 distribution of *RET* mutations, were different among the groups. Further bigger and possibly
499 multicentric studies to verify if an early treatment with vandetanib, when patients are younger, still
500 with a good ECOG performance status and with a symptomatic disease, not necessarily progressing
501 for RECIST, should better clarify the right time to start the drug and obtain a better outcome and,
502 hopefully, a long life PFS.

503

504 **Declaration of interest**

505 E.R. has been consultant for Astrazeneca and Sanofi-Genzyme for the vandetanib development.
506 However, these commitments did not have any influence on this study that has been developed
507 independently and there was no conflict of interest in writing the paper. All the other authors
508 declare to have not conflict of interest.

509

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518 **Legend of figures**

519

520 **Fig. 1:** panel A) Kaplan-Meier curves of progression-free survival of all our patients overlying
521 those of ZETA study; panel B) Kaplan-Meier curves of progression-free survival of the group of
522 long term treated patients overlying those of ZETA study. Progression was defined according to
523 RECIST criteria. Patients who did not show progression disease were included in the analysis until
524 they stopped the treatment.

525

526 **Fig. 2:** Morphological response (RECIST) at first CT scan after 3 months of vandetanib treatment:
527 these responses corresponded to the best objective response (ORR) in all cases but 4 cases
528 (indicated with dots) that reached the best ORR after 6 or 9 months.

529

530 **Fig. 3:** Vandetanib treatment efficacy per RECIST: comparison of results after 3 months and at the
531 data cut off.

532

533 **Fig. 4:** Morphological response to vandetanib in the long-term follow up: each bar represents one
534 patient with his/her own specific response to treatment (PR and/or SD) and progression of the
535 disease (PD), if happened, during all period of treatment and the indication if they are still under
536 therapy.

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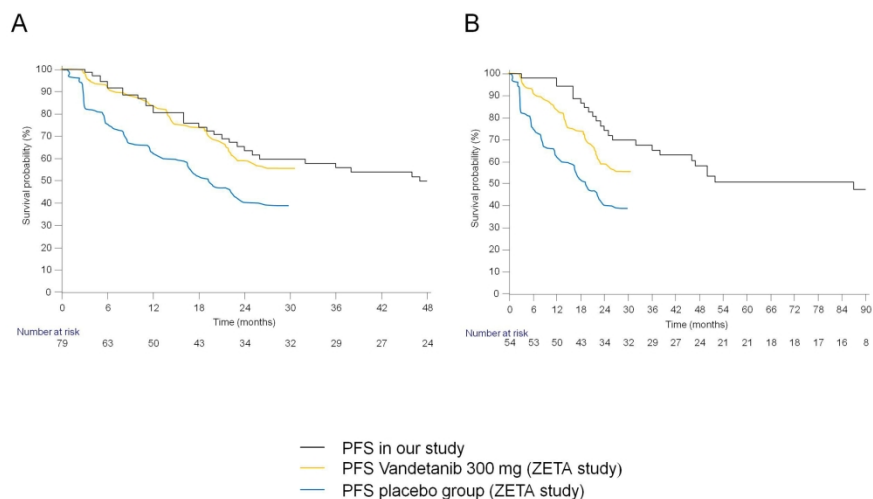


Fig. 1: panel A) Kaplan-Meier curves of progression-free survival of all our patients overlying those of ZETA study; panel B) Kaplan-Meier curves of progression-free survival of the group of long term treated patients overlying those of ZETA study. Progression was defined according to RECIST criteria. Patients who did not show progression disease were included in the analysis until they stopped the treatment.

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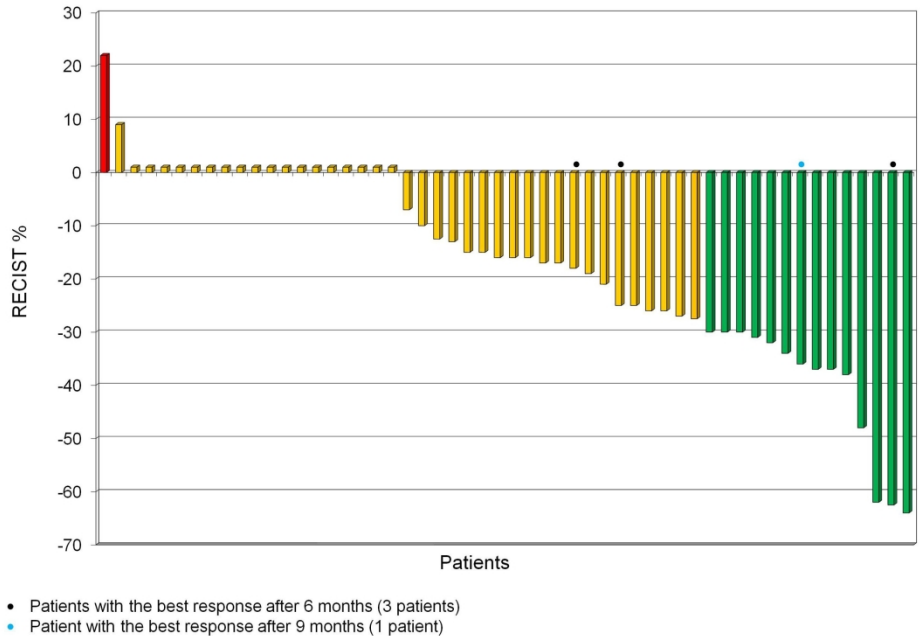


Fig. 2: Morphological response (RECIST) at first CT scan after 3 months of vandetanib treatment: these responses corresponded to the best objective response (ORR) in all cases but 4 cases (indicated with dots) that reached the best ORR after 6 or 9 months.

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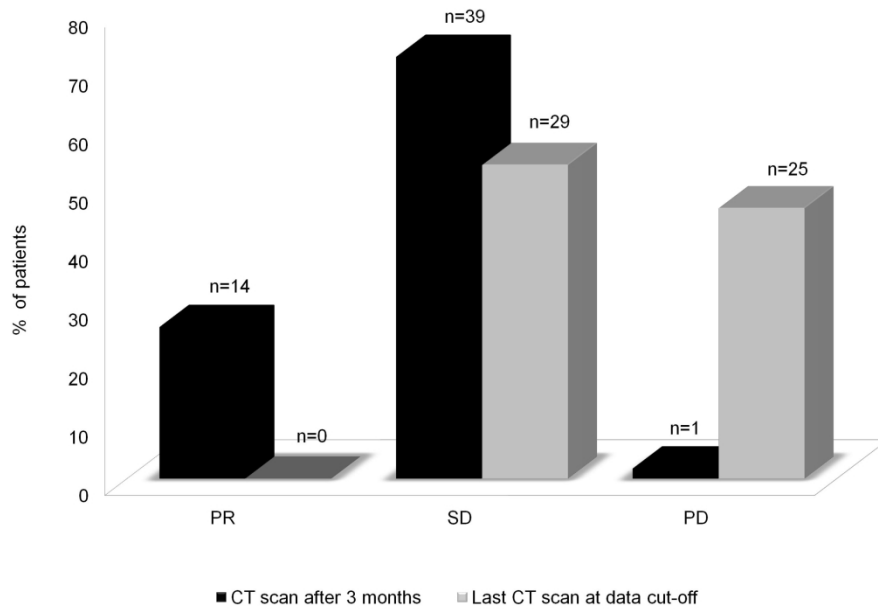


Fig. 3: Vandetanib treatment efficacy per RECIST: comparison of results after 3 months and at the data cut off.

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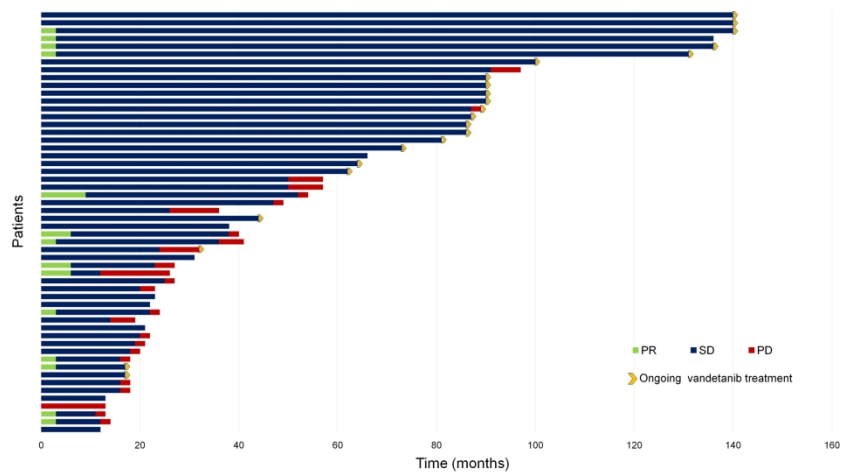


Fig. 4: Morphological response to vandetanib in the long-term follow up: each bar represents one patient with his/her own specific response to treatment (PR and/or SD) and progression of the disease (PD), if happened, during all period of treatment and the indication if they are still under therapy.

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Table 1: Epidemiological, pathological, clinical and genetic features of patients, either long or short term treated with vandetanib

Characteristics	Long term treated pts (n=54)		Short term treated pts (n=25)		p-value#
	No.	%	No.	%	
Sex					
Male	40	74.1	16	64.0	0.43
Female	14	25.9	9	36.0	
Age at diagnosis, years					
Mean		46.3		52.2	0.08
Median		45		55	
Range		13-73		22-79	
Age at screening, years					
Mean		51.9		58.3	0.054
Median		51.5		61.0	
Range		20-76		24-79	
Extent of disease at screening					
Locally advanced	6	11.1	3	12.0	1
Distant metastases	48	88.9	22	88.0	
Stage at screening					
III	1	1.8	1	4.0	0.84
IVA	5	9.3	2	8.0	
IVC	48	88.9	22	88.0	
Progressive disease at screening	32	59.3	17	68.0	0.62
Sites of metastases					
Lymph nodes	53	98.1	22	88.0	0.09
Liver	36	66.7	18	72.0	0.8
Lung	28	51.9	15	60.0	0.63
Bone	10	18.5	8	32.0	0.25
Prior therapy for thyroid cancer					
Surgery (Thyroidectomy)	54	100	25	100	1
Re-Surgery	25	46.3	17	68.0	0.09
Radiotherapy	11	20.4	5	20.0	1
Chemiotherapy	4	7.4	6	24.0	0.06
Tyrosine kinase inhibitors	5	9.3	7	28.0	0.04
Other	3	5.6	4	16.0	0.2
Sporadic Medullary Thyroid Cancer	46	85.2	21	84.0	1
RET codon mutation in sporadic medullary thyroid cancer*					
620	1	2.4	0	0	1
634	0	0	1	5.9	1
804	2	4.6	0	0	1
883	1	2.4	0	0	1
891	1	2.4	0	0	1
918	32	74.4	12	70.6	0.47
Del ex 11	2	4.6	0	0	1
Del ex 15	2	4.6	1	5.9	1
Negative	2	4.6	3	17.6	1
RET codon mutation in hereditary medullary thyroid cancer*					
618	0	0	1	25.0	1
634	3	37.5	2	50.0	1
709	1	12.5	0	0	1
768	1	12.5	0	0	1
871	1	12.5	0	0	1
918	1	12.5	0	0	1
804	1	12.5	1	25.0	1
ECOG at screening					
0	43	79.6	9	36.0	0.40
1	8	14.8	10	40.0	
2	3	5.6	6	24.0	
The largest tumor metastasis size (cm) at screening					
0-5	49	90.7	20	80.0	0.15
5-10	4	7.4	4	16.0	
>10	1	1.9	1	4.0	

#Statistical significance was calculated via chi-squared test, Fisher's exact test, Student's t-test or Mann-Whitney U test as appropriate.

* In 3 cases in long term treated group and in 4 cases in short term treated group data are not available.

^ Frequency were rounded to the nearest decimal digit.

Table 2: Common Adverse Events related to vandetanib treatment in long and short term treated patients

	Long term treated patients by Event Grade						Short term treated patients by Event Grade					
	Total		Grades 1 or 2*		Grades 3 or 4*		Total		Grades 1 or 2*		Grades 3 or 4*	
	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%
Hypothyroidism [^]	54/54	100	54	100	0	0	23/25	92.0	23	100	0	0
Rash	26/54	48.1	22	84.6	4	15.4	7/25	28.0	5	71.4	2	28.6
Diarrhea [^]	21/54	38.9	20	95.2	1	4.8	3/25	12.0	2	66.7	1	33.3
Asthenia	19/54	35.2	17	89.5	2	10.5	5/25	20.0	4	80.0	1	20.0
Hypertension	15/54	27.8	11	73.3	4	26.7	6/25	24.0	6	100	0	0
Biochemical alteration*	12/54	22.2	12	100	0	0	3/25	12.0	3	100	0	0
Dysgeusia	7/54	12.9	7	100	0	0	2/25	8.0	2	100	0	0
Corneal alterations	3/54	5.5	3	100	0	0	2/25	8.0	2	100	0	0
Oral mycosis	2/54	3.7	2	100	0	0	0/25	0	0	0	0	0
Neuropathy	2/54	3.7	2	100	0	0	2/25	8.0	0	0	2	100
Headache	2/54	3.7	1	50.0	1	50.0	1/25	4.0	1	100	0	0
Weight loss	3/54	5.5	2	66.7	1	33.3	4/25	16.0	4	100	0	0
Nausea/Anorexia	11/54	20.3	7	63.6	4	36.4	5/25	20.0	2	40	3	60
Pancreatitis	1/54	1.8	0	0	1	100	1/25	4.0	0	0	1	100
Orbital edema	1/54	1.8	0	0	1	100	0/25	0	0	0	0	0
QTc prolongation	1/54	1.8	1	100	0	0	2/25	8.0	2	100	0	0
Heart attack	1/54	1.8	0	0	1	100	0/25	0	0	0	0	0
Embolism	1/54	1.8	0	0	1	100	0/25	0	0	0	0	0
TIA	1/54	1.8	0	0	1	100	0/25	0	0	0	0	0

* In long term treated patients in 4 cases creatinine increased; in 2 cases proteinuria increased; in 4 cases AST, ALT or γ GT alterations; in 3 cases electrolytes alterations; in 1 case thrombocytopenia.

* In short term treated patients in 2 cases creatinine increased and in 1 case CPK increased.

[^] p <0.05 between long and short term treated patients by chi-squared test or Fisher's exact test as appropriate.

Table 3: Correlation between epidemiological, pathological, clinical and genetic features with the outcome of the long term treated patients at the data cut-off of this study

No. of patients 54	PD	SD	p-value [#]
M	19	21	0.7
F	6	8	
Age at diagnosis: ≤ 45	10	18	0.1
> 45	15	11	
Age at screening: ≤ 45	4	14	0.01
> 45	21	15	
Stage at diagnosis [°] : II	0	1	0.4
III	7	6	
IVA	8	14	
IVB	0	1	
IVC	8	4	
Stage at screening: III	0	1	0.6
IVA	2	3	
IVC	23	25	
Progressive disease at screening: Yes	22	10	<0.0001
No	3	19	
RET gene mutation*	24/51	25/51	0.1
Liver metastases	18	18	0.4
Lung metastases	12	16	0.6
Lymph node metastases	25	28	0.3
Bone metastases	6	4	0.3
Adverse Events	19	28	0.02
ECOG at screening 0	17	26	0.08
1	5	3	
2	3	0	
The largest tumor metastasis size (cm) at screenig 0-5	20	29	0.05
5-10	4	0	
>10	1	0	

[#] Statistical significance was calculated via chi-squared test or Fisher's exact test as appropriate.

[°]In 5 cases the data were not available

*In 3 cases the data were not available

Table 4 : Epidemiological, pathological, clinical and genetic features of very long term treated patients

Very long term treated pts (n=24)		
Characteristics	No.	%
Sex		
Male	19	79.2
Female	5	20.8
Age at diagnosis, years		
Mean	43.3	
Median	43	
Range	13-71	
Age at screening, years		
Mean	48.9	
Median	47.5	
Range	20-75	
Extent of disease at screening		
Locally advanced	3	12.5
Distant metastases	21	87.5
Stage at screening		
III	1	4.2
IVA	2	8.3
IVC	21	87.5
Progressive disease at screening	11	45.8
Sites of metastases		
Lymph nodes	23	95.8
Liver	15	62.5
Lung	12	50.0
Bone	2	8.3
Prior therapy for thyroid cancer		
Surgery (Thyroidectomy)	24	100
Re-Surgery	10	41.7
Radiotherapy	2	8.3
Chemiotherapy	1	4.2
Tyrosine kinase inhibitors	0	0
Other	1	4.2
Sporadic Medullary Thyroid Cancer	16	66.7
RET codon mutation in sporadic medullary thyroid cancer*		
883	1	4.3
918	12	52.2
Del ex 15	1	4.3
Negative	1	4.3
RET codon mutation in hereditary medullary thyroid cancer*		
634	3	13
709	1	4.3
768	1	4.3
871	1	4.3
918	1	4.3
804	1	4.3
ECOG at screening		
0	22	91.7
1	2	8.3
2	0	0
The largest metastasis size (cm) at screening		
0-5	23	95.8
5-10	1	4.2
>10	0	0

* In 1 case data is not available.

^ Frequency were rounded to the nearest decimal digit.

Table 5: Correlation between the epidemiological, pathological, clinical and genetic features with the outcome of the very long term treated patients, at the data cut-off of this study.

No. of patients 24	PD	SD	p-value [#]
M	4	15	0.9
F	1	4	
Age at diagnosis:			0.3
< 45	2	12	
>45	3	7	
Age at screening:			0.2
< 45	1	10	
> 45	4	9	
Stage at diagnosis [°] :			0.9
II	0	1	
III	1	3	
IVA	2	10	
IVB	0	1	
IVC	1	3	
Stage at screening:			0.5
III	0	1	
IVA	1	1	
IVC	4	17	
Progressive disease at screening:			0.006
Yes	5	6	
No	0	13	
RET gene mutation*	5/23	17/23	0.6
Liver metastases	3	12	0.9
Lung metastases	3	9	0.6
Lymph node metastases	5	18	0.6
Bone metastases	0	2	0.4
Adverse Events	5	18	0.6
ECOG at screening			0.004
0	3	19	
1	2	0	
2	0	0	
The largest tumor metastasis size (cm) at screening			0.05
0-5	4	19	
5-10	1	0	
>10	0	0	

[#] Statistical significance was calculated via chi-squared test or Fisher's exact test as appropriate

[°]In 2 cases the data were not available

*In 1 case the data was not available