

1 **Similar and yet different: oxygen sensing in animals and plants**

2

3 F. Licausi<sup>1,2</sup>, B. Giuntoli<sup>1,2</sup> and Pierdomenico Perata<sup>1\*</sup>

4 <sup>1</sup>Plantlab, Institute of Life Sciences, Scuola Superiore Sant'Anna, Pisa, Italy

5 <sup>2</sup>Department of Biology, University of Pisa, Pisa, Italy

6 \*Correspondence: [p.perata@santannapisa.it](mailto:p.perata@santannapisa.it) Twitter: @ThePlantLab

7

8 **Keywords (two to six)**

9 Ethylene Response Factor; HIF-1; Hypoxia; Oxygen sensing; Plant Cysteine Oxidase;

10

11 **Abstract (50 words)**

12 The ability to perceive oxygen levels and adapt metabolism on the basis of its availability is  
13 essential for most eukaryotic cells. Here, we retrace the essential steps that led to the identification  
14 of oxygen sensing mechanisms in animals and plants, and compare the essential features of the  
15 two strategies.

16 **Main text (max 1200 words)**

17 In 2019, the Nobel Assembly at the Karolinska Institute awarded the Nobel Prize in  
18 Physiology or Medicine jointly to William Kaelin, Peter Ratcliffe and Gregg Semenza '*for their*  
19 *discoveries of how (animal) cells sense and adapt to oxygen availability*'. This acknowledgement  
20 clearly reflects the relevance of the series of discoveries made by these three scientists to our  
21 understanding of animal physiology, including humans. Indeed, being oxygen essential for energy  
22 conversion in the mitochondria via oxidative phosphorylation, its cellular availability deeply affects  
23 cell and tissue functioning, maintenance and development. Thus, oxygen distribution and  
24 consumption require tight control and coordination to maintain its homeostasis. Nonetheless, plant  
25 and animal cells alike are frequently exposed to changes in oxygen availability, due to altered  
26 metabolic rates or alterations in oxygen collection and delivery, which often lead to a condition  
27 commonly defined as 'hypoxia'. Thus, evolution has enabled cells to adapt to such condition by the  
28 development of a set of processes that constitute the hypoxic response and include, but are not  
29 limited to, the production of new oxygen delivery avenues (angiogenesis and erythropoiesis in  
30 animals, aerenchyma in plants), and metabolic adaptations to decrease the demand for oxygen  
31 and optimize its usage.

32           The detailed picture of the molecular mechanisms that trigger the hypoxic response in  
33 animal cells, which is now available to us, is the product of hundreds of studies, among which the  
34 seminal ones reported by the three 2019 Nobel laureates and their teams in the last 25 years (Fig.  
35 1). First, the isolation of the Hypoxia Responsive Element (HRE) enhancer in the erythropoietin  
36 gene allowed the identification of the Hypoxia Inducible transcription Factor (HIF) complex,  
37 consisting of two subunits: HIF-1 $\alpha$  and HIF-1 $\beta$  [1]. Wang et al. [1] also reported that the HIF-1 $\alpha$   
38 subunit is ubiquitously and constantly produced in human cells, although degraded by the  
39 proteasome under normoxic conditions and stabilized by hypoxia. The identity of the E3 ubiquitin  
40 ligase complex responsible for HIF-1 $\alpha$  proteolysis was revealed by two independent reports by  
41 Kaelin's and Ratcliffe's teams. Kaelin and co-workers, while studying the genetic determinant of  
42 the von Hippel-Lindau disease, noticed that inactivation of the tumour suppressor pVHL caused a  
43 cellular response that broadly overlapped with the hypoxic one [2]. Ratcliffe and co-workers  
44 provided experimental evidence for the role of pVHL in directly controlling HIF-1 $\alpha$  abundance and  
45 activity [3]. Finally, Kaelin and Ratcliffe concomitantly showed that the oxygen-dependent  
46 hydroxylation of HIF-1 $\alpha$  by Prolyl-hydroxylases (PHDs) enables binding by a pVHL-containing E3  
47 ligase complex, and thus triggers its degradation [4,5]. In the following years, the field flourished  
48 with a number of studies that elicited further details of the post-translational regulation of HIF and  
49 added parallel mechanisms set into action in response to hypoxia in animal cells.

50           The discovery of oxygen sensing in the green lineage, instead, proceeded more slowly.  
51 After a surge of early investigations on the metabolic and anatomic adaptation of plants to flooding,  
52 a condition that restricts oxygen availability to the submerged organs, the scientific community  
53 rather focussed on plant's adaptive responses towards survival, aiming at reducing yield losses  
54 caused by this stress. In retrospect, the limited support to fundamental research in the field of plant  
55 hypoxia, likely due to the limited perception of its social or economic impact, might have  
56 contributed to delay its discovery by ten years as compared to the animal field (Fig. 1). The very  
57 idea that a cellular oxygen sensor might also exist in plants was debated, although the absence of  
58 obvious orthologs of the HIF/pVHL system favoured the hypothesis of indirect oxygen sensing, via  
59 oxidative stress, ethylene or cytosolic acidification.

60           In the early 2000s, the advent of molecular techniques allowed the identification of a core of  
61 genes specifically induced by hypoxia in several species. Among these, the group VII of Ethylene  
62 Response Factors caught the attention of different research groups, not only for their hypoxia  
63 inducibility, but also because some of them resulted to be the genetic determinants of increased  
64 submergence tolerance in rice (*Oryza sativa*) varieties [6]. Similar to HIF-1 $\alpha$ , these transcription  
65 factors only exerted limited transcriptional activity when overexpressed under aerobic conditions.  
66 Moreover, their recognition as main drivers of the hypoxic response in plants was also hindered by  
67 their functional redundancy in plant genomes. As happened in the case of human hypoxia sensing,

68 two independent studies succeeded in shedding some light upon the matter. Originated by different  
69 observations, both studies [7,8] reached the same conclusion: oxygen sensing in higher plants  
70 relies on the recognition of a sulfenylated cysteine at the ERF-VII N-terminus by enzymes of the  
71 Arg/N-degron pathway that lead them to proteolysis under normoxia, while under hypoxia the N-  
72 terminal cysteine cannot be oxidized. Enzymatic control of this crucial step was demonstrated a  
73 few years later, with the identification of Plant Cysteine Oxidases (PCOs) as molecular switches for  
74 ERF-VII stability and activity and the confirmation of their involvement in the Cys-branch of the  
75 Arg/N-degron pathway in plant cells [9,10]. As observed for human *PHDs*, *PCO* genes are induced  
76 by hypoxia, revealing that oxygen sensors participate in a conserved negative feedback strategy in  
77 both animals and plants. Remarkably, *PCO* genes are among the markers of hypoxic treatments  
78 and their possible involvement as oxygen sensors was hypothesized some years before, in a  
79 speculative comparison with the HIF/pVHL/PHD system of animal cells. This model was finished  
80 by the identification of the DNA enhancer specifically recognized by the ERF-VII, which was named  
81 Hypoxia Responsive Promoter Element [11]. In the next years, details about the ancillary molecular  
82 mechanisms modulating the *PCO*/ERF-VII oxygen sensor emerged, also highlighting the role of  
83 nitric oxide, ethylene and low-ATP signaling [12,13].

84 The similarity between the oxygen sensing systems of plants and animals is remarkable:  
85 although exploiting completely different proteins, both consist in the oxygen-dependent proteolysis  
86 of transcriptional regulators that are constitutively expressed (Fig. 2). It is worth mentioning that the  
87 recognition of *PCO* functions led up to to the very recent discovery that the Cys-branch of the  
88 Arg/N-degron pathway, already proposed in animal cells as additional oxygen sensing mechanism  
89 to HIF/pVHL/PHD, is controlled by enzymatic oxidation of N-terminal cysteine in humans as well  
90 (Fig. 2) [14]. Thus, future research efforts should be aimed at resolving the evolution, hierarchy and  
91 differentiation of oxygen perception in Eukaryotes. While at first sight continuous aerobic turnover  
92 of regulatory proteins might seem an unreasonable expense of energy, the convergent  
93 development of such a strategy speaks up for its efficiency in ensuring prompt response to varying  
94 oxygen levels. Remarkably, these two systems are unparalleled among living organisms and  
95 therefore possibly in tight association with the high degree of cellular complexity and organization  
96 achieved by animals and plants. In support of this perspective, specific oxygen levels are essential  
97 for the development of tissues and organisms in the animal and plant kingdoms, and their  
98 respective oxygen sensing mechanisms contribute to 'regular' developmental programmes in  
99 perfectly aerobic environments [15].

100 The discovery of these oxygen sensing pathways has set milestones in our understanding  
101 of cell physiology. Their relevance is clearly demonstrated by the ever-increasing number of  
102 studies that link them to metabolism, disease, stress response and development, but also by the  
103 translation of this information into successful pharmacological approaches and breeding strategies.

104 Moreover, these reports certainly paved the way towards the discovery of additional strategies that  
105 conjugate cell biology with this essential element.

106

107 **References (max 15)**

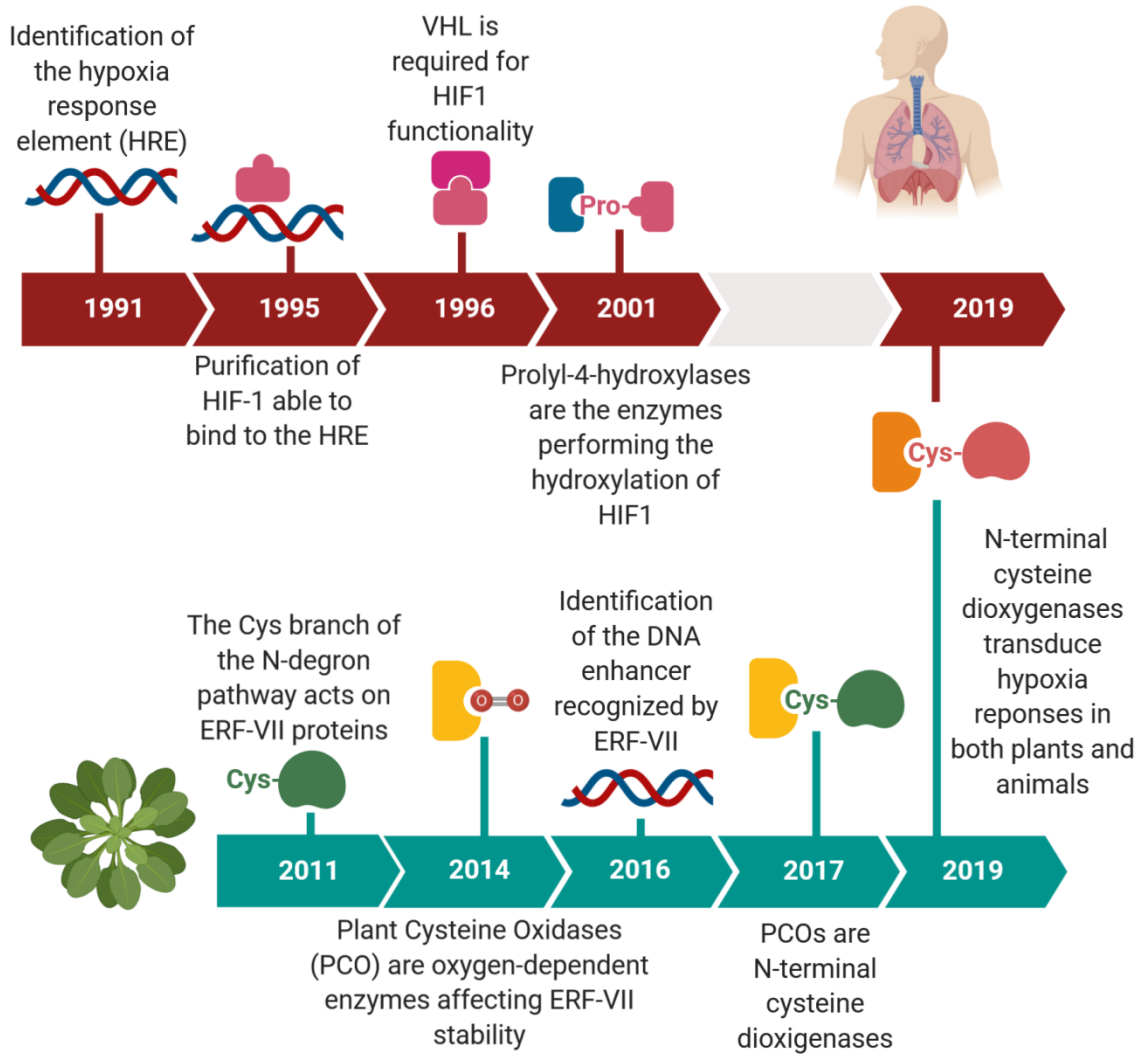
- 108 1 Wang, G.L. *et al.* (1995) Hypoxia-inducible factor 1 is a basic-helix-loop-helix-PAS  
109 heterodimer regulated by cellular O<sub>2</sub> tension. *Proc. Natl. Acad. Sci. U. S. A.* 92, 5510–5514
- 110 2 Iliopoulos, O. *et al.* (1996) Negative regulation of hypoxia-inducible genes by the von  
111 Hippel-Lindau protein. *Proc. Natl. Acad. Sci. U. S. A.* 93, 10595-10599
- 112 3 Maxwell, P.H. *et al.* (1999) The tumour suppressor protein VHL targets hypoxia-inducible  
113 factors for oxygen-dependent proteolysis. *Nature* 399, 271–275
- 114 4 Jaakkola, P. *et al.* (2001) Targeting of HIF- $\alpha$  to the von Hippel-Lindau ubiquitylation  
115 complex by O<sub>2</sub>-regulated prolyl hydroxylation. *Science* 292, 468-472  
116 10.1126/science.1059796
- 117 5 Ivan, M. *et al.* (2001) HIF $\alpha$  targeted for VHL-mediated destruction by proline hydroxylation:  
118 Implications for O<sub>2</sub> sensing. *Science* 292, 464-468
- 119 6 Voeselek, L.A.C.J., & Bailey-Serres, J. (2009). Genetics of high-rise rice. *Nature* 460, 959-  
120 960
- 121 7 Gibbs, D.J. *et al.* (2011) Homeostatic response to hypoxia is regulated by the N-end rule  
122 pathway in plants. *Nature* 479, 415-8
- 123 8 Licausi *et al.* (2011) Oxygen sensing in plants is mediated by an N-end rule pathway for  
124 protein destabilization. *Nature* 479, 419-422
- 125 9 Weits, D.A. *et al.* (2014) Plant cysteine oxidases control the oxygen-dependent branch of  
126 the N-end-rule pathway. *Nat. Commun.* 5, 3425
- 127 10 White, M.D. *et al.* (2017) Plant cysteine oxidases are dioxygenases that directly enable  
128 arginyl transferase-catalysed arginylation of N-end rule targets. *Nat. Commun.* 8, 14690
- 129 11 Gasch, P. *et al.* (2015) Redundant ERF-VII transcription factors bind an evolutionarily-  
130 conserved cis-motif to regulate hypoxia-responsive gene expression in Arabidopsis. *Plant*  
131 *Cell* 28, 160-180
- 132 12 Hartman, S., *et al.* (2019). Ethylene-mediated nitric oxide depletion pre-adapts plants to  
133 hypoxia stress. *Nat. Comm.* 10, 1-9.
- 134 13 Schmidt, R., *et al.* (2018) Low-oxygen response is triggered by an ATP-dependent shift in

- 135 oleoyl-CoA in Arabidopsis." *Proc Natl Acad Sci U. S. A.* 115, E12101-E12110
- 136 14 Masson, N. *et al.* (2019) Conserved N-terminal cysteine dioxygenases transduce  
137 responses to hypoxia in animals and plants. *Science* 365, 65-69
- 138 15 Le-Gac A.L. and Laux (2019) Hypoxia is a developmental regulator in plant meristems. *Mol.*  
139 *Plant* DOI: 10.1016/j.molp.2019.10.004

140 **Figures**

141 **Fig. 1. Milestones in the history of oxygen sensing research in plants and animals.** This  
142 figure was created using BioRender (<https://biorender.com/>).

143

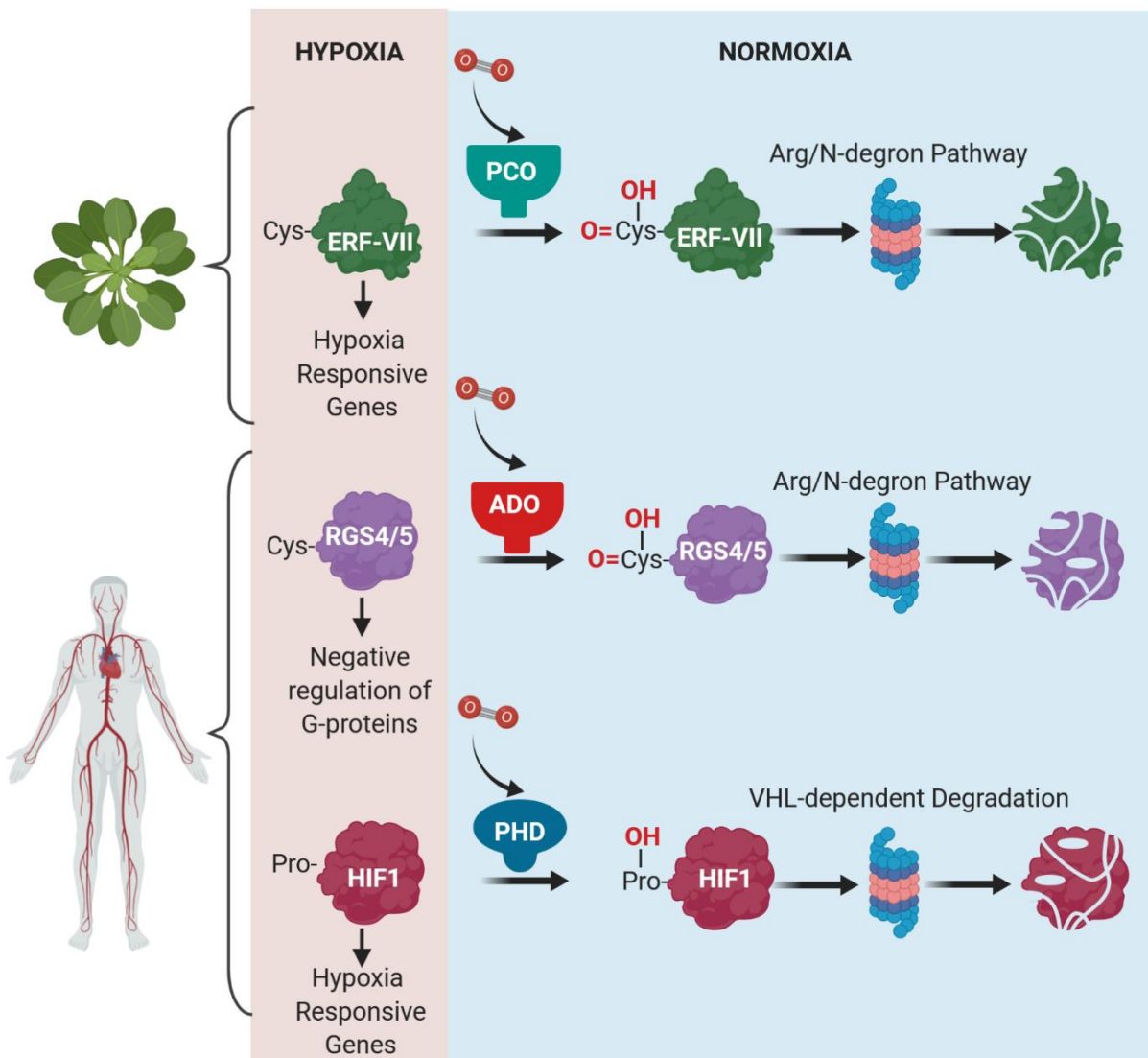


144

145

146

147 **Fig. 2. Comparison of oxygen sensing mechanisms in plants and animals.** In plants, the ERF-  
 148 VII transcription factors are highly unstable in normoxia because their N-terminal Cys residue is  
 149 oxidized by PCOs, leading to arginylation of the ERF-VII with subsequent proteasomal  
 150 degradation. An oxygen sensing mechanism mirroring that of plants was recently discovered also  
 151 in animal cells. Here, the RGS4/5 proteins also display a N-terminal Cys residue that is oxidised by  
 152 cysteamine (2-aminoethanethiol) dioxygenase (ADO). Also in this case, oxidation of the Cys  
 153 residue targets the protein for proteasomal degradation under normoxia. When oxygen is absent  
 154 (hypoxia) neither ERF-VII nor RGS4/5 can be oxidised and are therefore stable and can perform  
 155 their biological function. The canonical mechanism for oxygen sensing in animals instead relies on  
 156 the oxidation of a Pro residue in HIF-1, catalysed by prolyl-4-hydroxylases (PHD) under normoxia,  
 157 and followed by VHL-dependent proteasomal degradation. Also in this case, under hypoxia the  
 158 protein (HIF-1) is stable and can activate the hypoxia responsive genes. This figure was created  
 159 using BioRender (<https://biorender.com/>).



160