

1 **Optimisation of glucose and levulinic acid production from the**
2 **cellulose fraction of giant reed (*Arundo donax* L.) performed in the**
3 **presence of ferric chloride under microwave heating**

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17 **ABSTRACT**

18 A two-step exploitation of the giant reed cellulose **to glucose and levulinic acid**, after
19 the complete removal of the hemicellulose fraction, was investigated using FeCl₃ as
20 catalyst. In the first step, the microwave-assisted hydrolysis of cellulose to glucose was
21 optimised by response surface methodology analysis, considering the effect of
22 temperature, reaction time and catalyst amount. Under the optimised reaction
23 conditions, the glucose yield was 39.9 mol%. The cellulose-rich residue was also
24 converted by enzymatic hydrolysis, achieving the glucose yield of **39.8 mol%**. The

25 exhausted residue deriving from the chemical hydrolysis was further converted to
26 levulinic acid by microwave treatment at harsher reaction conditions. The maximum
27 levulinic acid yield was 64.3 mol%. On the whole, this cascade approach ensured an
28 extensive and sustainable exploitation of the C6 carbohydrates to glucose and levulinic
29 acid, corresponding to about 70 mol% of glucan converted to these valuable bioproducts
30 in the two steps.

31

32 **Keywords:** Giant reed; Glucose; Levulinic acid; FeCl₃; Response surface methodology.

33

34 **1. Introduction**

35 The employment of renewable resources as substrate for the production of added-
36 value compounds represents an urgent necessity due to the depletion of fossil
37 feedstocks. In particular, lignocellulosic biomass is one of the most promising
38 renewable resources, being **mainly** composed by three biopolymers (hemicellulose,
39 cellulose and lignin), all of them precursors of a wide range of valuable products **and**
40 **innovative materials** (Dutta et al., 2017; Kohli et al., 2019). On this basis, the
41 exploitation of each fraction is fundamental under the biorefinery perspective and a
42 cascade multi-step approach could ensure the highest valorisation of each macro-
43 compound. Hemicellulose is more easily hydrolysable than cellulose, requiring milder
44 reaction conditions for its conversion to xylose, whilst harsher reaction conditions **are**
45 **necessary for** the cellulose hydrolysis, but these last can also cause the C5 and C6
46 sugars **successive conversion** to furans and organic acids (Antonetti et al., 2019; Jeong
47 et al., 2017; Liao et al., 2018; Tempelman et al., 2019). These last compounds
48 represented mainly by levulinic acid (LA), formic acid (FA), 5-hydroxymethylfurfural

49 (HMF) and furfural, can be also valuable platform-chemicals for the production of
50 monomers, such as 2,5-furandicarboxylic acid, 2,5-bis(hydroxymethyl)furan, 2,5-
51 bis(hydroxymethyl)tetrahydrofuran, and maleic acid; solvents, such as
52 tetrahydrofurfuryl alcohol and 2-methyltetrahydrofuran; and biofuels, such as γ -
53 valerolactone and 2-butanol (Chen et al., 2018; Fulignati et al., 2019; Liao et al., 2018;
54 Liao et al., 2020; Licursi et al., 2018a; Matsagar et al., 2020; Van Nguyen et al., 2020).
55 However, in the sugars conversion through the fermentative route, they are often
56 microbial inhibitors (Kumar et al., 2019), and this implies that the choice of the most
57 suitable hydrolysis process and conditions is strongly dependent on the downstream
58 operation and on the target product. The hydrolysis of hemicellulose and cellulose
59 generally requires the employment of an acid catalyst which can be homogeneous, such
60 as mineral acids and inorganic salts, or heterogeneous, such as resins and zeolites
61 (Chung et al., 2018; Di Fidio et al., 2019a; Di Fidio et al., 2020; Mishra & Ghosh,
62 2020). The adoption of inorganic salts, in particular chlorides, in the last years, has
63 received great attention because they are more efficient than the heterogeneous
64 catalysts, safer, cheaper and more easily recoverable than mineral acids (Rodriguez
65 Quiroz et al., 2019). Among metal chlorides, FeCl₃ resulted one of the most promising
66 systems for the hydrolysis of polysaccharides to sugars (Loow et al., 2015). However,
67 up to now, it has been mainly used in the biomass pretreatment for the selective
68 hydrolysis of hemicellulose (Di Fidio et al., 2019a; Kamireddy et al., 2013; Kang et al.,
69 2013; Lin et al., 2019; Liu et al., 2009; Loow et al., 2015). In fact, recent works have
70 reported that the initial treatment with FeCl₃ efficiently hydrolysed the hemicellulose
71 fraction and left a solid residue more easily hydrolysable by enzymes (Lin et al., 2019).
72 Furthermore, Fe³⁺ ions displayed a positive influence on the enzymes activity (Wang et

73 al., 2018b) and finally, FeCl₃ is often employed in the preparation of culture media for
74 sugars fermentation (Saha et al., 2019). In this regard, when the sugars, produced in the
75 presence of the FeCl₃ catalyst, are exploited through the biological route, not only the
76 preliminary separation of this salt from the hydrolysates could result unnecessary, being
77 an essential component of the culture medium, but its presence can also improve the
78 following sugar conversion, avoiding the addition of fresh FeCl₃. **On the contrary, when**
79 **the sugars are exploited through the chemical route, the separation and recycling of**
80 **FeCl₃ is an important step in order to reduce the capital costs. In this regard, FeCl₃ can**
81 **be recovered as ferric hydroxide that can be separated by ultrafiltration and**
82 **subsequently treated with HCl, in order to obtain again FeCl₃ available for a further**
83 **catalytic run (Kamireddy et al., 2013; Loow et al., 2015). Up to now, several biomasses**
84 have been hydrolysed employing FeCl₃ aqueous solution, such as corn stover,
85 *Miscanthus* straw, rapeseed straw and, only recently, giant reed (*Arundo donax* L.) (Di
86 Fidio et al., 2019a; Kang et al., 2013; Liu et al., 2009). In particular, the latter is a very
87 promising biomass, being a perennial herbaceous plant characterised by a high content
88 of structural carbohydrates, about 60 wt%, and by a high production yield (30 t ha⁻¹
89 year⁻¹) (Dragoni et al., 2015). **All these** properties have driven the research towards its
90 chemical exploitation **not only at the laboratory scale, but also for real industrial**
91 **applications, such as for the production of bioethanol through the Proesa[®] technology**
92 **developed by the joint venture between Biochemtex, Texas Pacific Group and**
93 **Novozymes (De Bari et al., 2013; Di Fidio et al., 2019b; Di Fidio et al., 2020; Licursi et**
94 **al., 2018b; Shatalov & Pereira, 2012). On the basis of its great potentialities, giant reed**
95 **and in particular its cellulose fraction was employed as feedstock of the present study.**
96 In our previous work (Di Fidio et al., 2019a), the complete hydrolysis of the

97 hemicellulose fraction of giant reed (at a biomass loading of 9 wt%) was successfully
98 accomplished in the presence of FeCl₃ aqueous solution (1.6 wt%) by microwave
99 irradiation, achieving the highest xylose yield of 98.2 mol% together with the glucose
100 yield of 14.1 mol% at 150 °C for 2.5 min. The cellulose-rich residue (CRR) was then
101 separated and further treated with FeCl₃ to produce LA, giving the final LA yield of
102 57.6 mol%. A similar approach has been also investigated by other authors in the
103 literature (Di Fidio et al., 2019a; Wang et al., 2018a; Zheng et al., 2017). On the other
104 hand, glucose achievable through the hydrolysis of the starting CRR could be a versatile
105 platform for the production of many other bio-based products (Di Fidio et al., 2019b;
106 Silvester et al., 2019; Wang et al., 2019). Thus, it would be very interesting to optimise
107 the process in order to produce glucose and LA from CRR through a cascade approach
108 by the control of reaction conditions.

109 The present work aimed the stepwise exploitation of the cellulose remained in the
110 CRR, collected after the complete hydrolysis of giant reed hemicellulose, to give
111 glucose and then LA, through a microwave (MW)-assisted two-step approach. In the
112 first step, the synthesis of glucose in the presence of FeCl₃ was optimised through a
113 chemometric approach based on the response surface methodology (RSM) and the
114 results compared with those obtained in the more selective enzymatic hydrolysis,
115 performed employing the commercial enzyme Cellic[®] CTec2 (De Bari et al., 2013; Di
116 Fidio et al., 2020). In the second step, the solid residue recovered from the optimised
117 chemical conversion was further processed to LA, thus allowing a versatile exploitation
118 of the *A. donax* L. cellulose.

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120

121 **2. Materials and methods**

122 *2.1. Feedstock and materials*

123 The Institute of Life Sciences Scuola Superiore Sant'Anna (Pisa, Italy) provided the
124 raw giant reed collected and treated as already described in our previous work where its
125 chemical composition is also reported (Di Fidio et al., 2019a). HMF (95%) was
126 provided by AVA-Biochem. Glucose (>99%), xylose (>99%), furfural (99%), FA
127 (99.8%), acetic acid (>99%), LA (98%), FeCl₃·6H₂O (>97%) were purchased by
128 Sigma-Aldrich and employed as received. The enzyme Cellic[®] CTec2 was kindly
129 provided by Novozymes.

130 *2.2. Compositional analysis of the solids*

131 The chemical composition of all solid residues was determined through the NREL
132 protocols **already adopted in our previous work** (Di Fidio et al., 2020). The
133 compositional analysis was performed in triplicate and the mean of data was reported
134 together with the corresponding standard deviation.

135 *2.3. X-ray Diffraction (XRD)*

136 The powder XRD analysis was carried out by a Bruker D2 Phaser diffractometer (30
137 kV, 10 mA) operating in Bragg-Brentano geometry ($\theta - \theta$ scan mode) provided with a
138 1-dimensional Lynxeye detector. Ni-filtered Cu K α radiation was employed. The
139 adopted operating conditions and the procedure for the evaluation of the crystallinity
140 index (CrI) were performed according to our previous work (Di Fidio et al., 2020).

141 *2.4. Fourier transformation infrared spectroscopy (FT-IR)*

142 The FT-IR analysis of the starting CRR and its chemical post-hydrolysis residue was
143 performed by the Perkin-Elmer Spectrum Two spectrophotometer employed in

144 Attenuated Total Reflectance (ATR) mode. The operating conditions were carried out as
145 described in our previous work (Di Fidio et al., 2019a).

146 *2.5. Microwave-assisted chemical hydrolysis of the cellulose-rich residue catalysed by*
147 *FeCl₃*

148 The monomodal microwave reactor CEM Discover S-class System was employed to
149 perform the microwave-assisted FeCl₃-catalysed hydrolysis. The adopted procedures
150 were analogous to those reported in our previous study (Di Fidio et al., 2019a). Each
151 reaction was performed in triplicate and the associated error resulted within 5%.

152 *2.6. Statistical modelling*

153 The production of glucose was optimised through the RSM according to the Box-
154 Behnken design (BBD). Temperature (T), reaction time (t) and catalyst amount (cat)
155 were selected as independent variables of the model. The variation ranges of these
156 factors were set as follows: temperature (x_1), 120-190 °C; reaction time (x_2), 5-65 min;
157 catalyst amount (x_3), 0.2-4.8 wt%. The CRR loading of 9 wt% was a constant of the
158 model. The dimensionless and normalised factors, ranging between (-1; +1), were
159 defined as follows:

160 $x_1 = 2 \times [T(^{\circ}\text{C})-155]/(190-120)$ (1)

161 $x_2 = 2 \times [t(\text{min})-35]/(65-5)$ (2)

162 $x_3 = 2 \times [\text{cat}(\text{wt}\%)-2.5]/(4.8-0.2)$ (3)

163 Design Expert 12 (12.0.1.0) Trial Version was adopted to elaborate the results
164 according to the RSM model. The generic equation representing the correlation between
165 the independent variables (x_1, x_2, x_3) and the response (Y) is reported in eq. 4:

166 $Y = \beta_0 + \sum \beta_i x_i + \sum \beta_{ij} x_i x_j + \sum \beta_{ii} x_i^2$ (4)

167 where β_0 , β_i , β_{ij} and β_{ii} are the regression coefficients, calculated from the experimental
168 data by multiple regression using the least-squares method. The statistical significance
169 of the response values was established by Student's t-test. The statistical significance of
170 the model was defined by the statistical parameters, measuring the correlation (R^2) and
171 the Fisher's F-test.

172 2.7. Enzymatic hydrolysis of the cellulose-rich residue

173 The NREL protocol (Adney & Baker, 1996) was employed to evaluate the enzymatic
174 activity of the commercial enzyme Cellic[®] CTec2, which resulted 134.5 FPU/mL.

175 The enzymatic hydrolysis of CRR and giant reed (blank test) was conducted
176 according to our previous study (Di Fidio et al., 2019b). The biomass loading was 9
177 wt%. Each hydrolysis reaction and analytical determination was carried out in triplicate
178 and the experimental error related to the reaction was within 5%.

179 2.8. High Performance Liquid Chromatography (HPLC)

180 HPLC analysis of the obtained hydrolysates was performed by using HPLC Jasco
181 LC-2000 equipped with the Benson 2000-0 BP-OA column (7.8 mm \times 300 mm \times 10
182 μ m) and the operating conditions were analogous to those reported in our previous work
183 (Di Fidio et al., 2019a). The concentration of each compound was assessed from
184 calibration curves obtained with standard solutions. The analysis of each standards
185 concentration and sample was performed in triplicate and the experimental error was
186 within 3%.

187 2.9. Definitions

188 The amount of hexahydrate ferric chloride salt ($\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$), adopted as catalyst,
189 was reported as:

$$190 \text{FeCl}_3 \text{ (wt\%)} = \left[\frac{0.6 \times m_{\text{FeCl}_3 \cdot 6\text{H}_2\text{O}}}{m_{\text{H}_2\text{O}} + m_{\text{FeCl}_3 \cdot 6\text{H}_2\text{O}}} \right] \times 100 \quad (5)$$

191 where 0.6 is the ratio between the molecular weight values of ferric chloride and ferric
192 chloride hexahydrate, $m_{FeCl_3 \cdot H_2O}$ and m_{H_2O} are the amounts in grams (g) of ferric chloride
193 hexahydrate and water, respectively.

194 The substrate loading (SL) was calculated as:

$$195 \text{ SL (wt\%)} = \left[m_s / (m_s + m_{H_2O}) \right] \times 100 \quad (6)$$

196 where m_s and m_{H_2O} are the amounts (g) of the substrate and H_2O , respectively.

197 The amount m_i of the different compounds was obtained as follows:

$$198 m_i = c_i \times V \quad (7)$$

199 where c_i is the compound concentration (g/L) and V is the volume (L).

200 The glucose yield (mol%) respect to the glucan moles of the CRR, was calculated
201 according to the following equation (Zhang et al., 2015):

$$202 \text{ Glucose yield (mol\%)} = [(m_g \times 0.90) / (m_{CRR} \times G_{CRR} / 100)] \times 100 \quad (8)$$

203 where m_g is the glucose amount (g), 0.90 is the ratio between the molecular weight
204 values of the glucan monomer and the glucose, m_{CRR} is the mass (g) of the CRR
205 employed as substrate, G_{CRR} is the percentage of glucan in the dry CRR (wt%).

206 The LA yield (mol%) respect to the glucan moles of the solid residue, obtained from
207 the chemical hydrolysis of the cellulose fraction of the CRR, was calculated as follows:

$$208 \text{ LA yield (mol\%)} = [(m_{LA} \times 1.40) / (m_r \times G_r / 100)] \times 100 \quad (9)$$

209 where m_{LA} is the LA amount (g), 1.40 is the ratio between the molecular weight values
210 of the glucan monomer and the LA, m_r is the mass (g) of the solid residue employed as
211 substrate, G_r is the percentage of glucan in the dry solid residue (wt%).

212 The substrate solubilisation was calculated according to the following equation:

$$213 \text{ Substrate solubilisation (wt\%)} = 100 - (SR / SS) \times 100 \quad (10)$$

214 where SR is the dried solid residue (g) obtained at the end of the hydrolysis and SS is
215 the adopted starting substrate (g) loaded in the microwave reactor.

216 The mass balance (wt%) was defined as follows:

$$217 \text{ Mass balance (wt\%)} = ((\sum m_i + m_r) / m_{CRR}) \times 100 \quad (11)$$

218 where m_i is the mass of each different product in the hydrolysate (g), m_r is the mass of
219 the post-reaction residue (g), m_{CRR} is the mass (g) of the CRR employed as substrate.

220 The turnover number (TON) was defined as follows:

$$221 \text{ TON} = \text{mol}_s / \text{mol}_{cat} \quad (12)$$

222 where mol_s is the moles of consumed substrate (glucan) and mol_{cat} is the moles of the
223 employed FeCl_3 .

224 The turnover frequency (TOF) was defined as follows:

$$225 \text{ TOF} = \text{TON} / t \quad (13)$$

226 where TON is the turnover number and t is the reaction time in seconds.

227

228 3. Results and discussion

229 3.1. Optimisation of microwave-assisted FeCl_3 -catalysed hydrolysis of the cellulose- 230 rich residue to glucose

231 In the present study, the giant reed CRR, recovered after the selective removal of
232 hemicellulose (Di Fidio et al., 2019a), was adopted as starting material for the cascade
233 exploitation of its cellulose fraction to glucose and LA. The CRR represented the 57.8
234 wt% of the starting giant reed and its chemical composition is reported in Table 1.

235 (Table 1, near here)

236 The microwave-assisted hydrolysis of CRR to glucose by FeCl_3 was optimised by a
237 multivariate analysis, according to the Box-Behnken design. Temperature (x_1), reaction

238 time (x_2) and FeCl_3 amount (x_3) were selected as independent variables of the model,
239 due to their synergistic effect on the glucose yield (mol%), which was the dependent
240 one. On the basis of the results achieved in our previous work, the CRR loading was
241 kept constant at 9 wt% (Di Fidio et al., 2019a). Table 2 reports the dimensionless and
242 dimensional factors selected in this investigation and the experimental values of glucose
243 yields. Table 3 shows the results in terms of products concentrations (g/L), mass
244 balance (wt%) and CRR solubilisation (wt%).

245 (Tables 2 and 3, near here)

246 According to Table 2, the highest glucose yields were reached under reaction
247 conditions characterised by an intermediate severity (runs 10, 13, 14 and 15), namely at
248 155 °C, 5 min, 4.8 wt% FeCl_3 (run 10) and 155 °C, 35 min, 2.5 wt% FeCl_3 (runs 13, 14
249 and 15). In fact, working with too mild reaction conditions (runs 1, 2, 5, 6, 9, 11),
250 namely at relatively low temperature of 120 °C (runs 1, 2, 5 and 6) or at 155 °C but in
251 the presence of relatively low catalyst amount of 0.2 wt% (runs 9 and 11), the chemical
252 hydrolysis of cellulose was scarcely activated, as evidenced by the obtained glucose
253 yields lower than 18.8 mol% and by the low CRR solubilisations (Tables 2 and 3). On
254 the other hand, the employment of too harsh reaction conditions (runs 3, 4, 7, 8, 12),
255 namely at relatively high temperature of 190 °C (runs 3, 4, 7 and 8) or at 155 °C but in
256 the presence of relatively high catalyst amount of 4.8 wt% (run 12), had a detrimental
257 influence on the glucose yields, again lower than 18.1 mol%, due to its degradation to
258 LA, FA and humins, as shown by the increase of rehydration acids concentration and
259 the decrease of the mass balance.

260 Regarding the statistical modelling of data, Table 4 reports the values calculated for
261 the set of regression coefficients involved in the equation 4, which describes the glucose

262 yield (Y) as a function of the main reaction parameters (x_1, x_2, x_3), together with their
263 statistical significance. In the same Table the significance and the correlation of the
264 model were described by the statistical Fisher's F and R^2 parameters, respectively.

265 (Table 4, near here)

266 The calculated coefficients for glucose yield indicated that it was positively affected
267 by temperature and catalyst amount, whereas the temperature-catalyst amount and
268 reaction time-catalyst amount interaction terms, which were the most influential ones,
269 negatively influenced the glucose yield. The model equation obtained from the Box-
270 Behnken design based on equation 4 results the following one:

$$271 Y = 38.967 + 0.175x_1 - 1.8E^{-15}x_2 + 1.050x_3 - 2.225x_1x_2 - 6.225x_1x_3 - 5.975x_2x_3 - 0.526x_1^2 -$$
$$272 -24.221x_2^2 - 7.371x_3^2$$

273 Figure 1A shows the dependence of the glucose yield on the reaction time and FeCl_3
274 amount at intermediate temperature ($x_1 = 0$), Figure 1B shows the dependence of
275 glucose yield on temperature and FeCl_3 amount at intermediate reaction time ($x_2 = 0$)
276 and finally Figure 1C shows the dependence of glucose yield on temperature and
277 reaction time at the intermediate FeCl_3 amount ($x_3 = 0$).

278 (Figure 1, near here)

279 The highest glucose yields (about 40 mol%) were predicted in the center of the response
280 curves reported in Figure 1, corresponding to reaction conditions of intermediate
281 severity, whereas at the extremes of these curves, **namely in the presence of relatively**
282 **low or high values of temperature (120, 190 °C), reaction time (5, 65 min) and catalyst**
283 **amount (0.2, 4.8 wt%),** the glucose yields strongly decreased. In fact, on the one hand,
284 the harsh conditions **(190 °C, 65 min, 4.8 wt% FeCl_3)** favoured the further dehydration
285 and degradation of glucose to LA, FA and humins, according to previous studies

286 (Antonetti et al., 2017). On the other hand, milder conditions (120 °C, 5 min, 0.2 wt%
287 FeCl₃) did not promote the cellulose hydrolysis to glucose. On this basis, it is clear that
288 since glucose is the intermediate product between the hydrolysis of cellulose and its
289 conversion to LA, reaction conditions of intermediate severity are necessary to
290 maximise the glucose production, limiting the formation of successive other products.

291 The model predicted the highest glucose yield of 39.0 mol% working at 155 °C for
292 34 min with 2.7 wt% FeCl₃. In order to validate the model, the reaction was carried out
293 in triplicate under the predicted reaction conditions. The experimental glucose yield of
294 39.9 ± 0.9 mol% was reached, confirming the good prediction of the model. In addition,
295 under the optimised reaction conditions the TON and TOF were 0.97 and 4.7 10⁻⁴ s⁻¹,
296 respectively. It is important to highlight that the optimised reaction conditions were
297 even milder than those adopted in other studies for the hydrolysis of hemicellulose
298 fraction of sugarcane bagasse and *Miscanthus* straw catalysed by FeCl₃ (Chen et al.,
299 2014; Kang et al., 2013), despite the cellulose hydrolysis usually requires harsher
300 reaction conditions than hemicellulose. In addition, the achieved hydrolysate had a
301 concentration of 1.3 g/L HMF which could be tolerated by many microorganisms, thus
302 making the hydrolysate potentially employable in biotechnological conversions (Asada
303 et al., 2015). Furthermore, it is noteworthy that the optimised reaction conditions
304 involves the employment of relatively low amount of FeCl₃, which enables the direct
305 employment of the synthesised hydrolysate as substrate in subsequent bioconversion
306 steps based on salt-tolerant microorganisms, such as *Saccharomyces cerevisiae* BA11
307 for the bio-ethanol production (Asada et al., 2018).

308 In the literature several studies reported the employment of FeCl₃ as catalyst for the
309 hemicellulose hydrolysis, whereas the subsequent cellulose hydrolysis was usually

310 performed by enzymes (Kang et al., 2013; Liu et al., 2009; López-Linares et al., 2013).
311 Our investigation adopted an innovative approach based on the use of FeCl₃ as catalyst
312 for the conversion of the cellulose fraction of giant reed to glucose, the same catalyst
313 already reported for the hydrolysis of hemicellulose (Di Fidio et al., 2019a).
314 Furthermore, in order to assess the efficacy of the FeCl₃-catalysed hydrolysis of CRR,
315 the chemical route was compared to the biological one, based on the use of hydrolytic
316 enzymes, which is typically more selective.

317 *3.2. Enzymatic hydrolysis of the cellulose-rich residue to glucose*

318 Enzymatic hydrolysis is a very common approach for the selective hydrolysis of
319 polysaccharides to sugars. In the present work, the conversion of the cellulose fraction
320 of CRR to glucose was performed by enzymatic hydrolysis employing the commercial
321 enzyme Cellic[®] CTec2 and the obtained results are reported in Figure 2.

322 (Figure 2 near here)

323 The substrate loading of 9 wt% was adopted as already employed for chemical
324 hydrolysis and in agreement with our previous work (Di Fidio et al., 2020), in order to
325 achieve a relevant glucose concentration in the hydrolysate, thus favouring the process
326 scale-up and the economic sustainability of the reaction. The effect of the enzyme
327 dosage on its activity was investigated by comparing two different enzyme
328 concentrations, 15 and 25 FPU/g glucan. These values are lower than those employed in
329 the literature for the pretreated giant reed hydrolysis, generally higher than 60 FPU/g
330 glucan (Aliberti et al., 2017; Shatalov & Pereira, 2012), but they are in agreement with
331 the typical ranges reported for the hydrolysis of other lignocellulosic biomasses, such as
332 cardoon and wastepaper (Cotana et al., 2015; Wang et al., 2012). As shown in Figure 2,
333 in all the reactions, the glucose yield increased during the whole investigated reaction

334 time. In the presence of 15 FPU/g glucan Cellic[®] CTec2, the glucose yield reached 31.7
335 mol% at the end of the reaction. Increasing the enzyme amount to 25 FPU/g glucan, the
336 glucose yield improved, achieving 39.8 mol% after the same reaction time.

337 In order to demonstrate the beneficial role of the selective hemicellulose hydrolysis
338 step (Di Fidio et al., 2019a) on the substrate reactivity toward the subsequent enzymatic
339 hydrolysis, two comparison tests were performed under the same reaction conditions,
340 employing the raw giant reed as substrate. In the reference test with 15 FPU/g glucan,
341 the maximum glucose yield of 10.4 mol%, was observed at the end of the reaction.
342 When the Cellic[®] CTec2 amount was enhanced to 25 FPU/g glucan, the glucose yield,
343 after the same reaction time, raised to 16.6 mol%. The cellulose conversion was lower
344 than that achieved after the hemicellulose removal, proving the crucial role of
345 hemicellulose in limiting the enzymatic digestibility of cellulose. On the other side, the
346 cellulose hydrolysis was not quantitative even after the hemicellulose conversion,
347 probably due to the **composition** of the starting substrate. **In fact, when lignocellulosic**
348 **biomass is the feedstock of the process, the enzyme activity is significantly affected by**
349 **the cellulose crystallinity and polymerisation (Lin et al., 2020), the fibers porosity and**
350 **size in the pretreated biomass (Zhang et al., 2014), the accessibility and hydrophobicity**
351 **of the substrate (Huang et al., 2019) and also by the presence of lignin (De Bari et al.,**
352 **2013; Huang et al., 2019; Lin et al., 2020). This latter hampers the specific interaction**
353 **of enzymes with the polysaccharides and could form inactive lignin-enzyme complexes,**
354 **which may involve both the insoluble and soluble low-molecular weight lignin,**
355 **reducing, in this way, the enzyme activity (Berlin et al., 2006). Nevertheless, the**
356 **glucose concentration of 21.8 g/L achieved starting from the pretreated giant reed in the**
357 **presence of 25 FPU/g glucan of enzyme** was similar to the value of 24.8 g/L

358 accomplished by Aliberti et al. (Aliberti et al., 2017), who adopted the same loading of
359 pretreated *A. donax* L. (9 wt%), but with a higher Cellic[®] CTec2 amount (34.8 FPU/g
360 glucan) than that employed in this study.

361 The solid residue recovered after the enzymatic hydrolysis at the highest enzymes
362 dosage (25 FPU/g glucan) was 77.3 wt% of CRR and **its chemical composition is**
363 **reported in Table 1. This chemical composition resulted similar respect to the one**
364 **obtained by MW-assisted FeCl₃-catalysed hydrolysis under the optimised reaction**
365 **conditions.**

366 Considering the similar **glucose concentration and yield** achieved in the two different
367 approaches, the catalyst cost and the involved reaction time, the chemical route resulted
368 more efficient and sustainable than the biological one. Moreover, the chemical route
369 offered the possibility of developing an overall conversion process more homogenous in
370 terms of implemented technologies and more affordable in terms of catalysts cost and
371 reaction time. **For these reasons, the production of LA and FA was performed starting**
372 **from the post chemical-hydrolysis residue, although the same approach is also possible**
373 **using as substrate the solid residue obtained by the biological route.**

374 *3.3. Characterisation of the residue obtained from the optimised chemical hydrolysis of* 375 *CRR*

376 After the selective cellulose chemical-hydrolysis of CRR to glucose performed under
377 the optimised reaction conditions, the solid residue was recovered. It represented the
378 56.1 wt% of the starting CRR and **its chemical composition is reported in Table 1.** The
379 composition still confirmed the presence of cellulose in the solid residue. In order to
380 investigate the effect of the microwave-assisted FeCl₃-catalysed hydrolysis on the
381 crystallinity index (CrI) of the substrate, the X-ray Diffraction (XRD) analysis was

382 performed on the CRR and on the post chemical-hydrolysis residue. The CrI value of
383 the raw giant reed was determined in a previous work and it resulted 53.8% (Di Fidio et
384 al., 2020). After the complete and selective FeCl₃-catalysed hemicellulose hydrolysis
385 performed in our previous work (Di Fidio et al., 2019a), the CrI of the CRR slightly
386 decreased to 48.9%, indicating that, during the hydrolysis of hemicellulose, the
387 crystalline structure was not strongly damaged. Finally, after the chemical conversion of
388 CRR into glucose carried out under the above optimised reaction conditions, the CrI of
389 the final solid residue further decreased to 41.2%, confirming the efficacy of the
390 proposed process in the cellulose deconstruction and dissolution.

391 The CRR and the post chemical-hydrolysis residue were also characterised by FT-IR
392 analysis. The bands at 2912 and 2841 cm⁻¹, corresponding to the C-H stretching of the -
393 OCH₃ group in the lignin (Chen et al., 2019), were more intense in the post chemical-
394 hydrolysis residue respect to the CRR. Also the peaks at 1708 and 1598 cm⁻¹, ascribed
395 to the C=O conjugated to the aromatic rings of lignin and to the aromatic skeletal in
396 lignin, respectively (Chen et al., 2019), were more sharp in the post chemical-hydrolysis
397 residue. Other well-defined bands in the post chemical-hydrolysis residue were those at
398 1455 and 1419 cm⁻¹, characteristic of the C=C stretching of benzene rings in lignin
399 (Licursi et al., 2015); that at 1101 cm⁻¹, assigned to the C-H deformation in the aromatic
400 skeletal of lignin (Licursi et al., 2015); those at 1056 and 1034 cm⁻¹, typical of C-O-C
401 stretching of the pyranose ring in cellulose and of the C-O stretching of hydroxyl and
402 ether groups of cellulose, respectively (Di Fidio et al., 2020). These observations proved
403 the partial removal of cellulose from the CRR and the enrichment of lignin in the post
404 chemical-hydrolysis residue.

405 3.4. Production of LA from the residual cellulose of the post chemical-hydrolysis
406 residue

407 LA was produced by the FeCl₃ treatment of the residue recovered from the optimised
408 chemical hydrolysis of CRR, working at harsh reaction conditions chosen on the basis
409 of our previous results, 190 °C, 2.4 wt% FeCl₃ with the substrate loading of 9 wt% (Di
410 Fidio et al., 2019a). The influence of the reaction time on the LA synthesis was
411 investigated and the obtained results are shown in Figure 3.

412 (Figure 3, near here)

413 The highest LA yield of 64.3 mol% together with the FA yield of 86.3 mol%,
414 corresponding to the concentrations of 18.4 and 9.8 g/L respectively, were reached after
415 15 min. In fact, at shorter reaction time (5 min), the LA yield was lower (60.2 mol%),
416 while the increase of the reaction time up to 45 min negatively affected the LA yield,
417 which decreased to 60.6 mol%, due to the humins formation. On this basis, the reaction
418 time of 15 min was selected as the optimal reaction time value for the production of
419 levulinic acid. TON and TOF values of 1.4 and 1.6 10⁻³ s⁻¹, respectively, were reached.
420 The obtained LA yield was significantly higher than the value of 48.5 mol% obtained
421 by Wang et al. starting from pretreated corncob (Wang et al., 2018a), despite they
422 worked with a lower substrate loading (4 wt%) than that adopted in the present study (9
423 wt%), with similar FeCl₃ amount (2 wt%) and with longer reaction time (120 min), the
424 latter probably due to the employment of traditional heating system instead of
425 microwave irradiation, as in the present work. Moreover, under their optimal reaction
426 conditions, the TON was about 0.6, which resulted about the half than that adopted in
427 the present study. The TOF was not comparable due to the different heating system
428 which significantly affects the reaction time. The obtained LA yield was also higher

429 than that reached by Zheng et al. from the direct conversion of corn stalk to LA
430 catalysed by FeCl₃ solutions, which was equal to about 49.0 mol% with a TON of about
431 0.3 (Zheng et al., 2017). Furthermore, it is worthwhile to highlight that the
432 accomplished LA yield was similar to that reported in the HCl-catalysed conversion of
433 raw giant reed to LA carried out under microwave heating (Licursi et al., 2018b),
434 demonstrating the efficiency of FeCl₃ as a valid alternative to strong and corrosive
435 acids. Moreover, in the study of Licursi et al. (Licursi et al., 2018b), the TON was 0.3,
436 about 5-folds lower than the value obtained in the present work, thus confirming the
437 promising performance of FeCl₃ for LA production. In addition, considering the
438 reaction time of 20 min under microwave heating, the TOF obtained by Licursi et al.
439 resulted about $2.5 \cdot 10^{-4} \text{ s}^{-1}$, which was lower than that achieved in the present work
440 ($1.6 \cdot 10^{-3} \text{ s}^{-1}$).

441 The solid residue recovered at the end of the entire cascade exploitation represented the
442 65.0 wt% of the post chemical-hydrolysis residue and its chemical composition is
443 shown in Table 1. The mass balance flow diagram of the chemical and enzymatic
444 processes from CRR is reported in Figure 4.

(Figure 4, near here)

446 These results confirmed the extensive exploitation of cellulose present in the CRR
447 into glucose and LA through the two-step MW-approach proposed in the present work,
448 achieving the cellulose conversion of 94.1 mol% and an overall yield of glucose and
449 LA, obtained in the first and in the second step respectively, of about 70 mol%. This
450 value resulted significantly higher respect to the yield of about 49 mol% reported in the
451 literature for the FeCl₃-catalysed direct conversion of glucan to LA starting from
452 corncob and corn stalk residues, recovered after the hemicellulose removal (Zheng et

453 al., 2017). Moreover, the attained overall yield was slightly higher than the value of
454 65.9 mol% achieved by Jeong and co-workers (Jeong et al., 2017) in the sulphuric acid-
455 catalysed direct conversion of glucan to LA starting from *Quercus mongolica* residue,
456 recovered after the hemicellulose dissolution.

457 Considering the entire process from the raw giant reed, our proposed multi-step
458 approach allowed the extensive valorisation of xylan and glucan components with the
459 same catalyst properly tuning the reaction conditions, thus leaving a lignin-rich solid
460 residue (acid-insoluble lignin 85.3 wt%) for the successive conversion of this last
461 component, aiming to the complete biomass valorisation.

462

463 **4. Conclusions**

464 This work investigated, for the first time, the employment of FeCl₃ for the
465 microwave-assisted two-step exploitation of the giant reed cellulose fraction to glucose
466 and LA, after the complete hydrolysis of hemicellulose. In the first step, the glucose
467 production was optimised by response surface methodology analysis, reaching the
468 highest yield of 39.9 mol%, similar to that obtained by the enzymatic hydrolysis. In the
469 second step, the LA yield of 64.3 mol% was achieved. This proposed cascade approach
470 resulted versatile and sustainable, ensuring the significant exploitation of the giant reed
471 cellulose fraction (about 70 mol% of glucan) to different valuable bioproducts.

472

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484

485 **Appendix A. Supplementary data**

486 E-supplementary data of this work can be found in online version of the paper.

487

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658 **Captions for Figures**

659 **Fig. 1.** Response surface of glucose yield (mol%) as a function of: A) reaction time
660 (min) and FeCl₃ amount (wt%) calculated for the intermediate temperature ($x_1 = 0$); B)
661 temperature (°C) and FeCl₃ amount (wt%) calculated for the intermediate reaction time
662 ($x_2 = 0$); C) temperature (°C) and reaction time (min) calculated for the intermediate
663 FeCl₃ amount ($x_3 = 0$).

664 **Fig. 2.** Kinetics of enzymatic hydrolysis of the CRR (black curves) and raw giant reed
665 (white curves).

666 **Fig. 3.** Kinetic of LA and FA synthesis in the microwave-assisted FeCl₃-catalysed
667 hydrolysis.

668 **Fig. 4.** Mass balance flow diagram of the chemical and enzymatic processes from CRR.

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682 **Table 1**

683 Chemical composition of solid residues (wt% on dry matter).

Solid residue	Glucan	Acid-insoluble lignin	Acid-soluble lignin	Ash	Other compounds
CRR ^a	50.0 ± 1.7	34.4 ± 1.1	0.4 ± 0.2	ash 3.0 ± 0.2	11.6 ± 3.2
Post-EHR ^b	38.2 ± 0.4	44.5 ± 0.9	0.1 ± 0.0	ash 3.8 ± 0.3	13.4 ± 1.6
Post-CHR ^c	40.1 ± 0.8	55.5 ± 0.7	0.1 ± 0.0	4.3 ± 0.5	-
LRR ^d	8.0 ± 0.3	85.3 ± 1.7	-	ash 6.7 ± 0.2	-

684 ^a Cellulose-rich residue; ^b Post-enzymatic hydrolysis residue; ^c Post-chemical hydrolysis
685 residue; ^d Lignin-rich residue.

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700 **Table 2**

701 Experimental design matrix defining the operating conditions for glucose production
 702 and values of the response variable.

Run	Dimensionless normalised variables			Dimensional variables			Dependent variable
	x ₁	x ₂	x ₃	T (°C)	t (min)	Cat (wt%)	Glucose yield ^a (mol%)
1	-1	-1	0	120	5	2.5	0.0
2	-1	1	0	120	65	2.5	7.1
3	1	-1	0	190	5	2.5	1.8
4	1	1	0	190	65	2.5	0.0
5	-1	0	-1	120	35	0.2	2.3
6	-1	0	1	120	35	4.8	9.1
7	1	0	-1	190	35	0.2	18.1
8	1	0	1	190	35	4.8	0.0
9	0	-1	-1	155	5	0.2	9.5
10	0	-1	1	155	5	4.8	31.3
11	0	1	-1	155	65	0.2	18.8
12	0	1	1	155	65	4.8	16.7
13	0	0	0	155	35	2.5	37.0
14	0	0	0	155	35	2.5	39.8
15	0	0	0	155	35	2.5	40.1

703 ^a Yield respect to moles of glucan in the cellulose-rich residue.

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707 **Table 3**

708 Results of the experimental design in terms of products concentrations (g/L), mass
709 balance (wt%) and CRR solubilisation (wt%).

Run	Glu ¹ (g/L)	HMF ² (g/L)	LA ³ (g/L)	FA ⁴ (g/L)	Mass balance (wt%)	CRR solubilisation (wt%)
1	0.0	0.0	0.0	1.7	97.7	5.9
2	3.9	0.0	0.0	1.8	95.7	14.6
3	1.0	0.0	18.4	9.7	70.7	59.5
4	0.0	0.0	21.1	9.5	70.4	60.2
5	1.3	0.0	0.0	1.6	98.6	8.1
6	5.1	0.0	0.0	2.3	92.0	21.1
7	10.1	2.9	6.5	5.2	71.9	56.5
8	0.0	0.0	22.7	10.0	72.1	60.6
9	5.3	0.4	0.0	1.8	95.9	16.0
10	17.3	1.2	2.2	3.5	96.2	33.4
11	10.5	0.7	0.0	1.9	97.1	21.5
12	9.3	0.7	21.2	11.3	83.6	59.5
13	20.5	1.2	3.4	3.8	90.5	43.6
14	22.1	1.2	3.8	3.9	92.4	43.2
15	22.2	1.4	3.6	3.5	83.3	51.2

710 ¹ Glucose; ² 5-hydroxymethylfurfural; ³ Levulinic acid; ⁴ Formic acid.

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719 **Table 4**

720 Coefficients of the model equation and statistical parameters.

Regression coefficients					
Linear coefficients		Interaction coefficients		Quadratic coefficients	
β_0	38.967 ^a	β_{12}	-2.225	β_{11}	-0.526 ^b
β_1	0.175	β_{13}	-6.225 ^c	β_{22}	-24.221 ^b
β_2	0.000	β_{23}	-5.975 ^c	β_{33}	-7.371 ^c
β_3	1.050				
Model					
R^2	0.950	Ad. R^2	0.860	F	10.5

721 ^a Significance at 99%; ^b Significance at 95%; ^c Significance at 90%; F = Fisher value.

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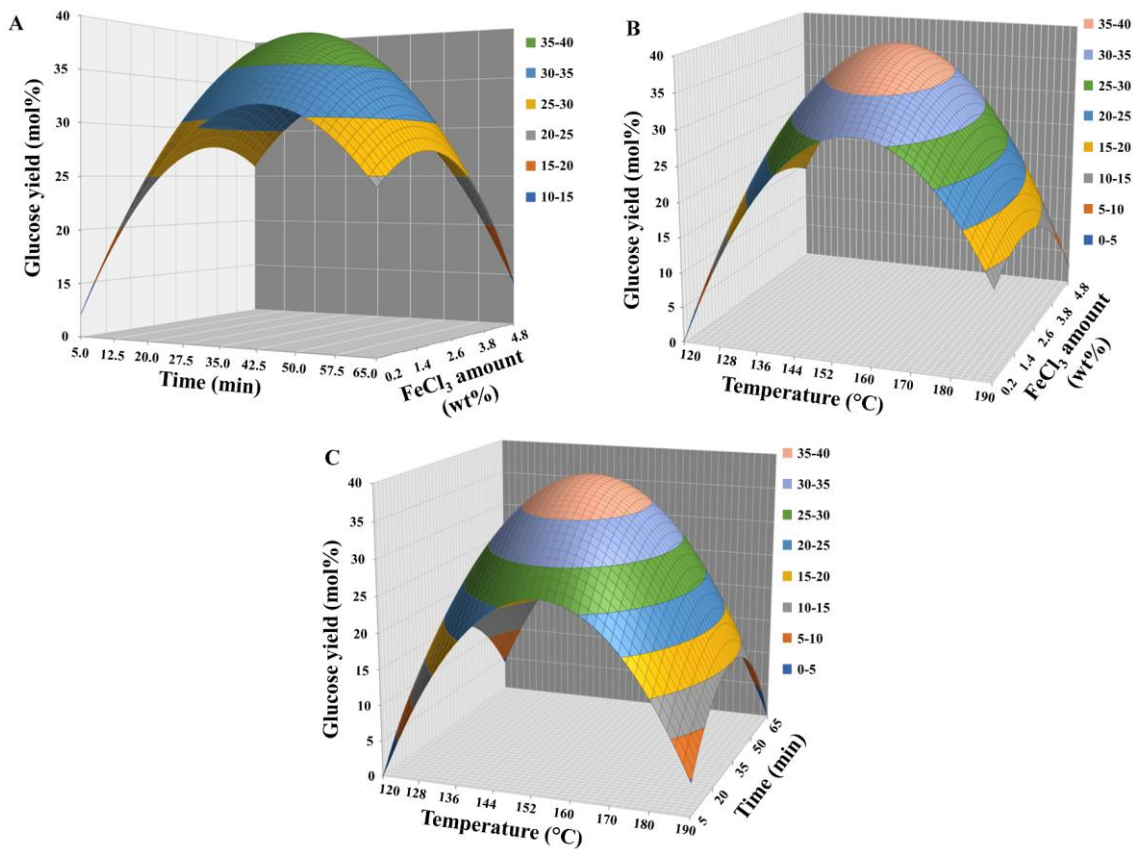


Figure 1

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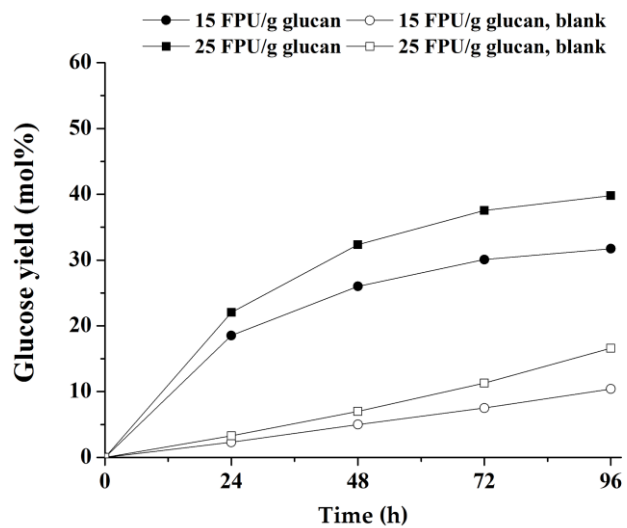


Figure 2

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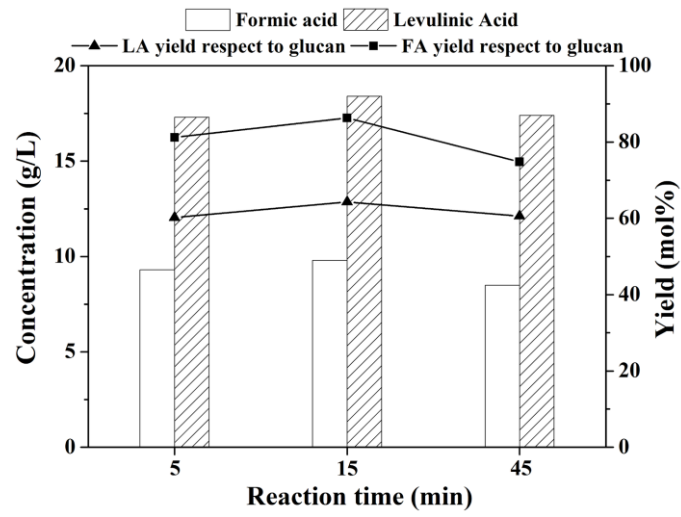
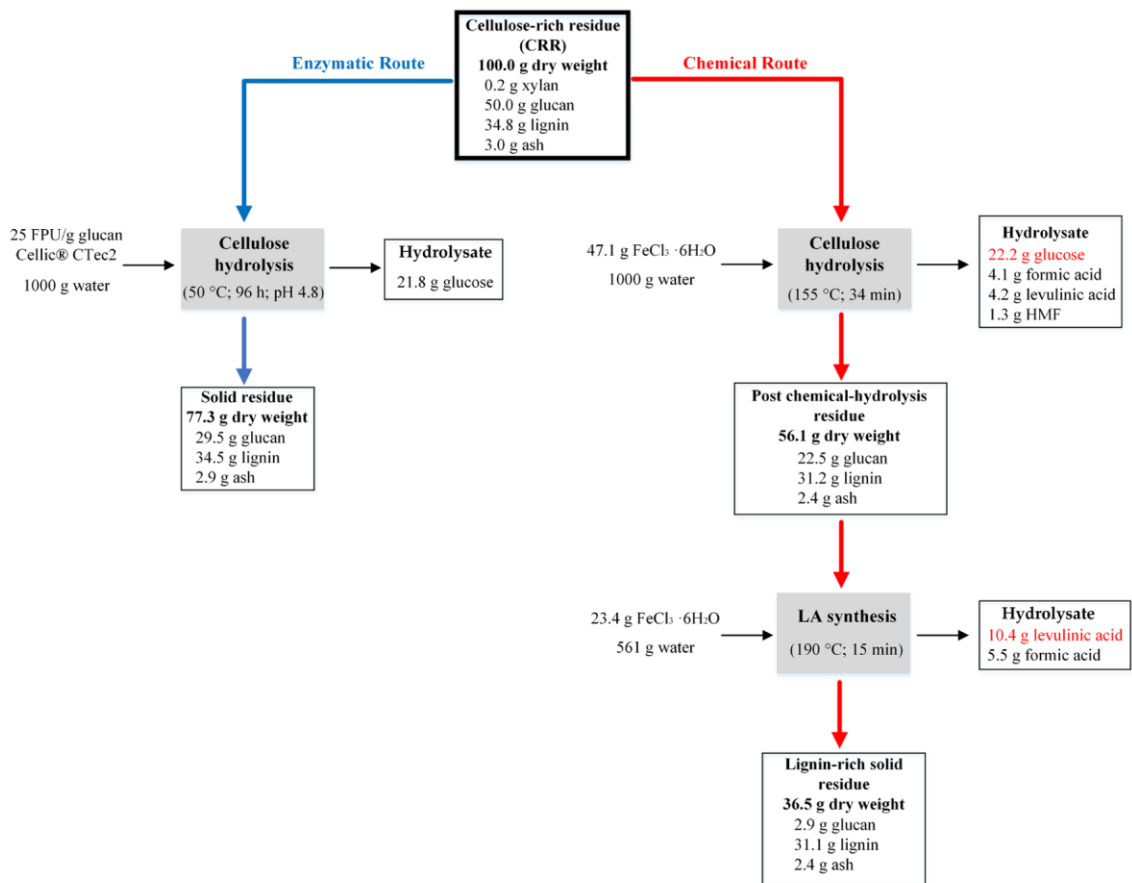


Figure 3

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Figure 4