

1 **TECHNICAL AND ECONOMIC ANALYSIS OF ORGANIC FLASH REGENERATIVE CYCLES (OFRCs)**
2 **FOR LOW TEMPERATURE WASTE HEAT RECOVERY**

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8 **Abstract**

9 Organic Flash Cycles (OFCs) can improve the overall efficiency of waste heat recovery or
10 geothermal systems due to a better match of the hot and cold heat transfer curves. However,
11 the lower mean temperature difference between the heat transfer curves implies larger
12 exchanger areas and therefore higher heat exchanger costs.

13 In order to reduce the exchanger size, a new cycle configurations is introduced in this paper,
14 consisting in a new type of organic flash regenerative cycle (OFRC) for heat source temperatures
15 in the range 80-170°C. The regeneration allows to recover part of the enthalpy of the liquid
16 phase from the flash evaporator increasing the temperature of the liquid at the exchanger inlet,
17 thus reducing the exchanger size. The thermodynamic performance of OFRCs are practically the
18 same as of the OFC, but the specific cost of the system can be 20% lower. A variety of working
19 fluids was tested and results have shown that long molecular chain alkanes provide the best
20 thermodynamic efficiency, but those fluids have the main drawback of a low vapor density,
21 resulting in very large expansion devices and condensers. R601a is the working fluid featuring
22 the best tradeoff between thermodynamic efficiency and components size in the heat source
23 temperature range between 80°C and 170°C. The comparison of the OFRC with conventional
24 ORCs has shown the thermodynamic superiority of the OFRC with every tested fluid. Finally the

25 cost analysis has highlighted that OFRCs specific cost has the same magnitude as ORCs for mini
26 and micro scale plants.

27 **Keywords: Organic Flash Cycle, Organic Flash Regenerative Cycle, Organic Rankine Cycle**

28 **1. Introduction**

29 Recovering energy from heat at low temperature is necessary if we wish to increase energy
30 saving and exploit renewable sources which are currently scarcely used. In those applications
31 ORCs play a major role because of the proprieties of organic fluids, which allow to exploit low
32 temperature and variable temperature heat sources with compact and simple components
33 allowing to build them with a small size [1-10]. However the presence of a phase change zone,
34 while heating and superheating the fluid, implies that a fraction of the heat is transferred from
35 a variable temperature heat source to a constant temperature fluid, and that the highest
36 temperature the working fluid can reach is quite far from the source temperature [11], as well
37 as the lowest temperature reached by the heat source is normally much higher than the lowest
38 temperature of the cycle. These facts lead to exergy destruction in the heat transfer process and
39 exergy loss in the release of high temperature heat to the environment, especially in the cases
40 of geothermal or waste heat recovery systems.

41 Several different solutions have been presented in the literature to have a better match
42 between the heat transfer curves of the heat source and the heat recovery system.

43 Kalina cycles introduced in the 1980s and using a mixture of water and ammonia as working
44 fluid, tried to mitigate this problem by using a fluid featuring a non-isothermal evaporation
45 thereby reducing the average temperature difference in the heat recovery system. The layout
46 of Kalina cycle is much more complex than the one of ORCs, because of additional separators
47 and heat exchangers. These cycles have been widely studied in literature: one of the first analysis
48 of Kalina cycles was carried by Stecco et al. in [12]: a model was developed to analyze advantage

49 and disadvantage of these type of cycles with respect to other cycles. In further papers [13-14]
50 sizing criteria for heat recovery boiler design and for geothermal exploitation with water-
51 ammonia mixture were defined.

52 Nag et al. [15] showed that mass concentration at the turbine inlet has a strong influence on
53 the cycle efficiency and they found an optimum value to maximize the cycle second law
54 efficiency.

55 Bombarda et al. [16] compared the performance of a Kalina cycle with the one of an ORC with
56 MM (hexamethyldisiloxane) as working fluid which recovered heat from a Diesel Engine exhaust.
57 Despite the small advantage of the Kalina cycle respect to the ORC in terms of net mechanical
58 power, the high working pressure of water-ammonia mixture demonstrated that this cycle was
59 not applicable in the considered temperature range because of the high equipment costs.

60 Other authors analyzed the performance of ORCs with zeotropic mixtures. The mixture of
61 different fluids results in a fluid which presents a temperature change during evaporation and
62 condensation with a better matching of the exchange curves both in the evaporator and in the
63 condenser. Differently from the Kalina cycle the layout is the same of classic ORCs, without the
64 need of separators or additional heat exchanger. Wang et al. [17] analyzed a mixture of R245fa
65 and R152a searching for the optimum mass fraction composition to maximize the efficiency of
66 a solar ORC cycle. They stated that with zeotropic mixtures the use of a superheater coupled
67 with an internal heat exchanger increases the efficiency of the cycle. Victor et al. [18] carried
68 out an analysis with respect to the optimization of cycle efficiency among pure organic fluids,
69 mixed organic fluids, water-ammonia mixtures and water-alcohols mixtures. They
70 demonstrated that, using pure fluids, cycle efficiency increases in the temperature range 100-
71 150°C, while the Kalina cycle provided the best results for temperature between 150°C and
72 250°C, despite the higher required pressure which would result in higher plant costs, while
73 mixed organic fluids provided a lower cycle efficiency than pure fluids. The improvement of

74 geothermal and waste heat recovery systems using zeotropic organic mixtures was largely
75 studied in the literature [19-21], however few plant have been built.

76 The most beneficial effect of using zeotropic mixtures is the temperature glide at the
77 condenser as demonstrated by Liu et al. in [22]. For this reason many authors have tried to
78 improve the cycles efficiency by adopting supercritical solutions with zeotropic mixture fluids
79 [23]. Supercritical cycles allow a better match of the heat transfer curves than fluid zeotropic
80 mixtures because of the lack of the two phase zone in the heating process. When using
81 supercritical cycles both the cycle efficiency and the heat transfer process are improved [24]:
82 many authors analyzed this technology using CO₂ as working fluid [25-30]. Although the
83 encouraging theoretical results, the high pressure and the problem concerning the design of a
84 proper cooling system, require further studies on this technology and a further search of an
85 optimal fluid [24].

86 The trilateral flash cycle is another technology designed to reduce entropy generation during
87 the heat transfer process [31- 33], but nowadays no efficient two phase expander is available.

88 A modification to the trilateral flash cycle is represented by the Organic Flash Cycle, presented
89 by Ho et al. in [34-36]. Differently from trilateral cycles, the OFC separates the vapor from the
90 liquid after the throttling process and only the vapor is sent to the turbine, without the need of
91 a two phase expander. The presence of a throttling process, however, introduced
92 irreversibilities, which reduce the benefits of the close match of the heat transfer curves, and a
93 second flash stage is needed to increase power output, above all when the temperature of the
94 heat source is low (<200°C) [35,36]. Another problem of the flash cycle is that the working fluid
95 has to be heated from condensing temperature up to the maximum temperature of the cycle,
96 and since exchange curves must be as close as possible, heat exchangers cost is significant.

97 In this paper, some modifications to the Organic Flash Cycle, presented in [36] for low
98 temperature waste heat recovery or geothermal system in the temperature range between 80

99 and 170°C, have been considered in order to lower system costs. In addition, exergy analysis has
 100 been carried out to compare the solutions proposed in the literature and in ORC systems,
 101 highlighting the advantages and the disadvantages. This paper presents an extensive analysis of
 102 OFRCs aiming at highlighting all benefits and drawbacks in comparison with ORCs both from a
 103 technical and an economic point of view. Positive displacement expanders, which were widely
 104 studied for organic fluids [37-43], are considered in this paper because of their relatively low
 105 cost [42,43] and in order to reduce the complexity and the cost of systems using turbo expanders
 106 as much as possible. The analysis has been carried out with both liquid and air cooled condenser.
 107 Various fluids have been employed as working fluid in order to evaluate the most appropriate
 108 fluid for OFRCs. Finally a comparison with conventional ORC system has been carried out.
 109

Nomenclature		<i>Subscripts</i>	
\dot{Q}	Thermal Power [kW]	<i>exch</i>	exchanged
\dot{m}	Mass Flow rate [kg/s]	<i>HTF</i>	Heat Transfer Fluid
h	Specific Enthalpy [kJ/kg]	<i>org</i>	Organic Fluid
\bar{C}_p	Average Specific Heat [kJ/kgK]	<i>av</i>	Available
T	Temperature [K]	<i>in</i>	Inlet
\dot{W}	Mechanical Power [kW]	<i>out</i>	Outlet
\dot{E}_x	Exergy [kW]	0	Ambient Reference State
s	Specific Entropy [kJ/kgK]	<i>c</i>	Cycle
\dot{I}	Exergy destruction and loss [kW]	<i>N</i>	Net
x	Vapor quality	<i>H. P.</i>	High Pressure Expander
P	Pressure [Pa]	<i>L. P.</i>	Low Pressure Expander
BWR	Back-Work Ratio	<i>liq</i>	Liquid phase
r	Volume expansion ratio	<i>P</i>	Pressure

		<i>is</i>	Isentropic
		<i>cond</i>	Condenser
		<i>exp</i>	Expander
<i>Greeks</i>		<i>Superscripts</i>	
ε	Recovery Efficiency	<i>I</i>	First Law
η	Efficiency	<i>II</i>	Second Law
ρ	Density [kg/ m ³]	'	First Flash Evaporator
		"	Second Flash Evaporator

110 2. Cycles description

111 The Organic Flash Cycle (OFC) reported by [36] for low temperature heat recovery is a double
 112 flash cycle in order to deliver extra power with respect to the single flash cycle and it is shown
 113 in fig. 1. The liquid is pumped into the heat exchanger, where the fluid is heated up to the
 114 saturation temperature, in liquid phase (1-2), by the Heat Transfer Fluid (HTF), flashed in a
 115 throttling valve (2-3) and then introduced into a flash evaporator where liquid and vapor are
 116 separated. The vapor is then expanded in the high pressure expander (4-5), while the liquid is
 117 throttled in a second valve (6-7) and then mixed with the vapor coming from the first expander
 118 outlet (8). The two phase mixture is then separated in a second flash evaporator and the vapor
 119 is sent to the low pressure turbine (9-10), while the liquid is throttled (11-12), mixed with the
 120 vapor coming from the low pressure expander outlet and sent to the condenser (13-14). The
 121 temperature entropy (T-s) diagram of this cycle is shown in figure 2. The advantage of the cycle
 122 is that the temperature difference between the HTF and the working fluid is quite small and the
 123 outlet temperature of the HTF can be very close to the lowest temperature of the cycle, reducing
 124 the exergy loss associated to the HTF flow at the outlet of the system. However, the presence

125 of three throttling valves causes reduction in the cycle efficiency and, in order to maximize the
126 overall efficiency of the system, the pinch point temperature difference in the heat exchanger
127 must be as small as possible [35]. This fact leads to the adoption of heat exchangers with larger
128 surface area and higher system cost.

129 The modified flash cycle studied in this paper is an Organic Flash Regenerative Cycle (OFRC)
130 and it is shown in fig. 3. The layout is very similar to the OFC: the fluid is heated up, in liquid
131 phase, to the saturation temperature by the HTF fluid in the principal heat exchanger (1-2),
132 laminated by a throttling valve (2-3) and flashed. The vapor, from the flash separator is sent to
133 the high-pressure expander (4-5), while the liquid is laminated in a second throttling valve (6-7)
134 and then re-mixed with the vapor from the expander (8). The two phase mixture is then
135 separated in a second separator and the vapor driven to the low pressure expander (9-10) and
136 therefore to the condenser (10-12). The liquid of the second flash evaporator is used to
137 recuperate heat at the inlet of the heat exchanger (14), thus eliminating a throttling process.
138 The T-s diagram of the modified cycle is plotted in fig.4.

139 FIGURE 1 ABOUT HERE

140 FIGURE 2 ABOUT HERE

141 FIGURE 3 ABOUT HERE

142 FIGURE 4 ABOUT HERE

143 As a result of regeneration, the outlet temperature of the HTF in the regenerative cycle is
144 higher than in the simple cycle and both the heat transferred and the heat recovery efficiency
145 are lower. However, in this case, since the amount of heat introduced in the regenerative cycle
146 is smaller than in the simple cycle, and the enthalpy drop available for the expansion is almost
147 the same, for a given fluid, the cycle efficiency results to be higher. For this reason, the overall
148 efficiency (recovery and thermal cycle) will not be so different between the two solutions.

149 Moreover, without the regeneration, the HTF has to warm up the working fluid from the
150 condensing temperature up to the higher temperature of the cycle, requiring larger heat
151 transfer surface areas and increasing the system costs.

152 In the case of OFRC, regeneration is completely different from ORCs. In fact, in this last the
153 heat of the superheated vapor, at the expander outlet, is transferred to the liquid at the outlet
154 of the pump by means of a surface heat exchanger; in OFRCs, the enthalpy stream of the liquid
155 from the low pressure flash evaporator is mixed with the liquid from the condenser to
156 regenerate the cycle. In the case of ORCs therefore a surface heat exchanger is needed to
157 regenerate. This device increases the complexity of the system and introduces two further
158 pressure drops, on the liquid side and on the vapor side, reducing the net power output of the
159 cycle, but increasing the cycle efficiency. In many cases, the regenerator can increase the global
160 efficiency of the system, but in the case of WHR applications, if there are no constraints on the
161 discharge temperature of the HTF, the regenerator reduces the system power output and
162 therefore the efficiency of the system due to the pressure drops it causes. The use of this device
163 is not recommended, due to the increase of system complexity, cost and to the performance
164 reduction [44,45].

165 In the case of OFRC, instead the mixer and therefore the pressure drop caused by it, is just
166 moved from the vapor side (typical of OFC) to the liquid side to operate the regeneration. The
167 absence of a surface heat exchanger does not add any further cost to the system, with the
168 exception of an extra pump and aims to reduce the main exchanger surface.

169 **3. Methodology**

170 The main equations, used to calculate the thermodynamic processes occurring in the OFRC,
171 are reported in this section. Hot water in the range 80-170°C is assumed as HTF, in order to
172 simulate a low temperature geothermal or waste heat recovery system. Similar considerations

173 could be done for a heat recovery from exhaust gases or any other sensible heat source. The
 174 working fluids considered in this study allow a dry expansion and fluids properties were
 175 calculated by using the CoolProp library [46]. Exchangers heat loss to the surroundings was
 176 considered negligible.

177 For both the OFC and OFRC cycles, according to figs. 2 and 4, the heat exchanged between the
 178 HTF and the working fluid is calculated as:

$$179 \quad \dot{Q}_{exch} = \dot{m}_{HTF}(h_{in} - h_{out}) = \dot{m}_{HTF}\bar{C}_{pHTF}(T_{in} - T_{out}) = \dot{m}_{org}(h_2 - h_1) \quad (1)$$

180 Where h_{in} is the specific enthalpy of the HTF at the system inlet and h_{out} the specific enthalpy
 181 at the plant outlet.

182 The available heat is defined as:

$$183 \quad \dot{Q}_{av} = \dot{m}_{HTF}(h_{in} - h_0) \quad (2)$$

184 Where h_0 is the specific enthalpy of the HTF fluid at ambient conditions.

185 The recovery efficiency was defined as the ratio between the exchanged heat and the available
 186 heat:

$$187 \quad \varepsilon = \frac{\dot{Q}_{exch}}{\dot{Q}_{av}} \quad (3)$$

188 The global first law efficiency of the recovery system was obtained multiplying the cycle
 189 thermodynamic efficiency by the recovery efficiency:

$$190 \quad \eta^I = \eta_c \cdot \varepsilon = \frac{\dot{W}_N}{\dot{Q}_{av}} \quad (4)$$

191 where η_c is the cycle efficiency and \dot{W}_N is the net-power output of the system.

192 The exergy entering the system was computed as:

$$193 \quad \dot{E}x_{av} = \dot{m}_{HTF}[(h_{in} - h_0) - T_0(s_{in} - s_0)] = \dot{m}_{HTF}\bar{C}_{pHTF} \left[(T_{in} - T_{out}) - T_0 \ln \frac{T_{in}}{T_0} \right] \quad (5)$$

194 Where s_{in} is the specific entropy of the HTF at the exchanger inlet and s_0 is the specific entropy
195 of the HTF at ambient condition.

196 The exergydestruction in the generic n component of the systems can be computed as:

$$197 \quad \dot{I}_n = T_0(\sum_i \dot{m}_i s_i - \sum_j \dot{m}_j s_j) \quad (6)$$

198 where the index i refers to the component inlets and the index j to the component outlets.

199 The exergy loss due to the release of the HTF at the exchanger outlet is computed as:

$$200 \quad \dot{I}_{out} = \dot{m}_{HTF}[(h_{out} - h_0) - T_0(s_{out} - s_0)] = \dot{m}_{HTF} \bar{C}_{pHTF} \left[(T_{out} - T_0) - T_0 \ln \frac{T_{out}}{T_0} \right] \quad (7)$$

201 The second law recovery efficiency ε^{II} , i.e. the ratio between the exchanged exergy and the
202 available exergy is:

$$203 \quad \varepsilon^{II} = \frac{\dot{E}x_{exch}}{\dot{E}x_{av}} = \frac{\dot{m}_{org}[(h_2 - h_1) - T_0(s_2 - s_1)]}{\dot{m}_{HTF}[(h_{in} - h_0) - T_0(s_{in} - s_0)]} \quad (8)$$

204 The second law efficiency of the systems was then calculated according to the following
205 expression:

$$206 \quad \eta^{II} = \frac{\dot{W}_N}{\dot{E}x_{av}} = \frac{\dot{E}x_{av} - \sum_n \dot{I}_n - \dot{I}_{out}}{\dot{E}x_{av}} = \eta_c^{II} \cdot \varepsilon^{II} \quad (9)$$

207 **3.1. Thermodynamic relations for OFCs**

208 According to figs. 1 and 2, the main equations used in the thermodynamic analysis of the OFC
209 are reported in this section.

210 The throttling processes were supposed to be isenthalpic: i.e. the enthalpy of point 3 is the
211 same of point 2. The working fluid mass flow rate across the high pressure expander is:

$$212 \quad \dot{m}_{H.P.} = \dot{m}_{org} \cdot x_3 \quad (10)$$

213 Where x_3 is the vapor quality at point 3 at the end of the throttling process. The range of this
 214 value is strongly dependent on the shape of the two-phase zone and the first flash pressure. The
 215 liquid mass flow rate separated inside the flash evaporator is:

$$216 \quad \dot{m}'_{liq} = \dot{m}_{org} \cdot (1 - x_3) \quad (11)$$

217 At the outlet of the flash evaporator a throttling valve reduces the pressure of the liquid to the
 218 pressure of the vapor at the HP expander outlet, keeping the specific enthalpy constant. The
 219 two streams coming from the flash evaporator and from the HP expander are then mixed
 220 together in a mixing chamber according to the enthalpy balance:

$$221 \quad \dot{m}_{org} h_8 = \dot{m}_{H.P.} h_5 + \dot{m}'_{liq} h_6 \quad (12)$$

222 The two-phase mixture resulting from the mixing process is then separated in a second flash
 223 drum. This separation is mandatory when operating with low temperature heat sources (<170-
 224 180°C) because of the low quality of the vapor at the mixer outlet, which does not allow an
 225 efficient expansion, neither when a positive displacement expander is used.

226 The vapor mass flow rate to the LP expander is:

$$227 \quad \dot{m}_{L.P.} = \dot{m}_{org} \cdot x_8 \quad (13)$$

228 The calculation of the vapor quality at point 8 was carried out in a similar way as for the point
 229 3, i.e. assuming the conservation of enthalpy during the throttling process (6-7).

230 The liquid fraction at the outlet of the second flash evaporator is:

$$231 \quad \dot{m}''_{liq} = \dot{m}_{org} \cdot (1 - x_8) \quad (14)$$

232 The liquid is then throttled in a third valve and then mixed with the vapor coming from the LP
 233 expander according to the following enthalpy balance:

$$234 \quad \dot{m}_{org} h_{13} = \dot{m}_{L.P.} h_{10} + \dot{m}''_{liq} h_{11} \quad (15)$$

235 The fluid at point 13 is then sent to the condenser. For low temperature applications, the point
 236 13 is always located inside the two-phase region. From the condenser, the fluid is pumped into
 237 the heat exchanger. The feed pump work is calculated as:

$$238 \quad \dot{W}_P = \dot{m}_{org} \frac{\Delta P}{\rho_{14} \cdot \eta_P} \quad (16)$$

239 Where η_P is the pump efficiency, ρ_{14} is the density of saturated liquid at point 14 and ΔP is
 240 the pressure difference between the condensing pressure and the cycle maximum pressure.

241 The work output of the HP expander was calculated as:

$$242 \quad \dot{W}_{H.P.} = \dot{m}_{H.P.} \cdot (h_4 - h_{5is}) \cdot \eta_{is} \quad (17)$$

243 And in the LP expander:

$$244 \quad \dot{W}_{L.P.} = \dot{m}_{L.P.} \cdot (h_9 - h_{10is}) \cdot \eta_{is} \quad (18)$$

245 Where h_{5is} and h_{10is} are the specific enthalpies along an isentropic process and η_{is} is the
 246 isentropic efficiency of the expanders. The value of the isentropic efficiency depends on the
 247 expander type. As stated above, since the Organic Flash Cycle provides good efficiencies only if
 248 two or more flash stages are used when recovering heat from low temperature heat sources,
 249 the cost of the expander should be the lowest possible, in order to have low overall costs.
 250 Positive displacement expanders can be the solution which allows to keep costs at low values.
 251 For this reason, the value of the isentropic efficiency was set constant at 0.7, typical value at
 252 design point, for positive displacement expanders with a built-in ratio under 5, as reported in
 253 [47]. The choice of this value is plausible for double flash systems since the volume ratio is very
 254 low and similar to the value of the maximum built in ratio.

255 The heat rejected at the condenser was calculated as:

$$256 \quad \dot{Q}_{cond} = \dot{Q}_{exch} - (\dot{W}_{H.P.} + \dot{W}_{L.P.}) + \dot{W}_P \quad (19)$$

257 **3.2. Thermodynamic Relations for OFRCs**

258 The equations used for the high-pressure section of the OFRCs are the same used for OFCs.
 259 Differences are found downstream the second flash evaporator. In fact, the liquid from the
 260 second flash evaporator is not throttled in a valve but it is sent to a mixer to regenerate the
 261 liquid after the condenser, in order to increase the heat exchanger inlet temperature.

262 Referring to fig. 3 and 4 the mixer enthalpy balance is represented by the equation:

$$263 \quad \dot{m}_{org} h_{14} = \dot{m}_{L.P.} h_{13} + \dot{m}''_{liq} h_{11} \quad (20)$$

264 A further pump is needed to raise the fluid pressure from the value at the condenser to the
 265 one at the second flash evaporator.

266 The global pumping work is calculated as:

$$267 \quad \dot{W}_P = \dot{m}_{L.P.} \frac{(P_{11} - P_{cond})}{\rho_{12} \cdot \eta_P} + \dot{m}_{org} \frac{(P_2 - P_1)}{\rho_{14} \cdot \eta_P} \quad (21)$$

268 Where ρ_{12} is the density of the saturated liquid at the condensing temperature and ρ_{14} is the
 269 density at the mixer outlet, evaluated at the second flash evaporator pressure and at the specific
 270 enthalpy resulting from the mixing process.

271 4. Thermodynamic analysis

272 In this section a thermodynamic comparison between the two cycles is carried out, in order to
 273 evaluate pros e cons of each cycle. Eight different organic fluids were tested on both cycles to
 274 define the optimum fluid to use in these cycles. A constant thermal input power of 900 kW was
 275 considered in all the analyzed cases. From the thermodynamic point of view, the performance
 276 of the system is independent from this last variable, being the isentropic efficiency of the device
 277 considered constant, as well as the exchanger inlet and outlet conditions. The choice of a
 278 constant thermal power input was due to the need of comparing the exchange surface of OFCs
 279 and OFRCs.

280 **4.1. The maximum temperature of the cycles was set 10°C below the temperature of the**
281 **HTF. For the non-regenerative cycle, the outlet temperature of the HTF was set at 35°C, i.e. at**
282 **8°C above the condensing temperature. The HTF outlet temperature of the regenerative cycle**
283 **was also set at 8°C above the mixing temperature. Those values were chosen to ensure an**
284 **optimal overall efficiency while keeping the costs of the heat exchangers at reasonable levels.**
285 **A sensitivity analysis on the performances of both the two cycles related to different exchange**
286 **conditions was reported at the end of the first section of this paragraph. Cycles comparison**

287 The two cycles were compared, using N-Heptane as working fluid.

288 The second law efficiency of the two cycles was compared, by assuming 10 and 8°C for the
289 approach and the pinch point, respectively. The two cycles showed the same trend (fig. 5) since
290 the decrease in heat recovery efficiency (fig. 6) was practically counterbalanced by the increase
291 in cycle efficiency (fig. 7). As reported in eq. 9, the global second law efficiency is given by the
292 product of the recovery efficiency to the cycle efficiency. The first parameter is defined as the
293 ratio between the actual exergy transferred to the working fluid and the available exergy from
294 the heat source. The regeneration causes an increase of the HTF discharge temperature and
295 therefore an increase of the exergy loss which reduces the recovery efficiency. Conversely, the
296 cycle efficiency increases when operating the regeneration, due to the reduction of the effect
297 of the multiplicity of the heat sources. The global effect is that the product of the recovery
298 efficiency to the cycle efficiency is very similar. At temperatures lower than 110°C the OFRC
299 presents a better efficiency than the OFC: in fact, due to the small temperature difference
300 between the heat source and the lowest cycle temperature, the benefic effect of the reduction
301 of the multiplicity of the heat sources on the cycle efficiency is dominant respect to the decrease
302 of the recovery efficiency. At higher temperatures, instead, due to the larger temperature
303 between the heat source and the lowest cycle temperature, the effect of the reduction of the
304 recovery efficiency has a larger influence than the reduction of the multiplicity of the heat

305 sources and therefore of the cycle efficiency. Due to this consideration, the OFC has a slight
306 advantage with respect to the OFRC.

307 FIGURE 5 ABOUT HERE

308 FIGURE 6 ABOUT HERE

309 FIGURE 7 ABOUT HERE

310 A comparison between the exergy loss and destructions for the two cycles is shown in fig. 8 at
311 the HTF inlet temperature of 80, 120 and 170°C. Exergy loss and destruction increase with the
312 exergy content of the heat source, even if not proportionally: in fact, the second law efficiency
313 increases with the temperature of the heat source.

314 FIGURE 8 ABOUT HERE

315 As expected the larger exergy loss in the regenerative system occurs when the HTF discharge
316 temperature at the outlet of the heat exchanger is higher in comparison with the solution
317 without regeneration.

318 All the other losses were smaller or comparable with those of the solution without
319 regeneration.

320 In particular, the OFCs has the major losses in the condenser, in the throttling valves and in the
321 heat exchangers:

- 322 • Condenser: the condenser of the OFCs has to exchange a larger thermal power than in
323 the regenerative solution (fig. 9) and the whole mass flow rate of the cycle circulates in it;
- 324 • Throttling valves: the OFCs present three throttling process, one more than the
325 regenerative cycle; the higher is the HTF inlet temperature the larger are these losses because
326 of the higher maximum pressure of the cycle and of the larger pressure loss during the throttling
327 processes;

328 • Heat exchanger: the temperature difference between the inlet and the outlet of the
329 heat exchanger is larger in the OFCs than in the OFRCs and therefore the entropy production is
330 larger.

331 The exergy analysis confirms that the two cycles have almost the same performance in the
332 temperature range 80-170°C in terms of global efficiency and the larger loss in heat recovery
333 efficiency of the regenerative cycle are compensated by the smaller losses in the cycles
334 component. The regenerative cycle, however, provides the same power output but it requires
335 smaller heat exchangers. The smaller size of the principal heat exchanger results from the lower
336 maximum temperature difference between the inlet and the outlet of the exchanger (fig. 10),
337 or, in other words, from the smaller amount of exchanged heat; The smaller size of the
338 condenser, instead, is determined by the smaller amount of vapor to condensate because of the
339 OFRC higher cycle efficiency. Table 1-4 reports the exchanger sizes and costs for the two
340 considered cycles: exchangers were designed with the software Aspen Exchanger Design and
341 Rating by minimizing the device cost, compatibly with the process requirements and avoiding
342 dangerous working conditions (as an example tubes vibration). Shell and tube heat exchangers
343 were considered.

344 FIGURE 9 ABOUT HERE

345 TABLE 1 ABOUT HERE

346 TABLE 2 ABOUT HERE

347 TABLE 3 ABOUT HERE

348 TABLE 4 ABOUT HERE

349 The specific cost of heat exchangers for unit power output is reported in fig. 10 for both OFCs
350 and OFRCs, referring to an available thermal power of 900 kW, for each temperature.

351 FIGURE 10 ABOUT HERE

352 FIGURE 11 ABOUT HERE

353 Both cycles exhibit a high pump power consumption, since the maximum pressure is much
 354 higher than the expander inlet pressure.

355 Similarly to [48], the “Back-Work Ratio” (BWR) parameter, which accounts for the pump power
 356 consumption of the cycle, was introduced:

$$357 \quad BWR = \frac{\sum_i W_{p_i}}{\sum_j W_{exp_j}} \quad (22)$$

358 where $\sum_i W_{p_i}$ is the sum of all pumps power consumption and $\sum_j W_{exp_j}$ is the sum of all
 359 expanders power output. This parameter strongly depends on the fluid properties. In this
 360 section n-Heptane was considered as working fluid. This fluid has a high critical temperature and
 361 is characterized by small saturation pressure variation at low temperature. Therefore, as long as
 362 the HTF temperature is low, the variation of the maximum pressure of the cycle is negligible and
 363 the BWR decreases, as a consequence of the increase of the optimal flash pressure. Increasing
 364 the HTF temperature, the saturation pressure of n-Heptane quickly increase, leading to a larger
 365 pump power consumption and to an increase of the cack-work ratio.

366 The BWR of the regenerative cycle is lower than the non-regenerative one at the maximum
 367 thermodynamic efficiency point (fig. 11). In the first case in fact, the compression is divided in
 368 two stages:

- 369 • the circulating pump increases the pressure of the cycle from the condensing pressure
 370 to the second flash evaporator pressure, and the mass flow rate in the pump is the same as the
 371 vapor fraction separated in the low-pressure flash evaporator;
- 372 • the feed pump increases the pressure of the whole mass flow rate of the cycle from the
 373 pressure of the second flash evaporator to the maximum pressure of the cycle.
- 374 • at the design point the first expander inlet pressure is higher in the OFRC than in the
 375 OFC: in fact the expander outlet temperature is higher in the OFRC than in the OFC, in order to
 376 increase the enthalpy of the second flash evaporator.

377 • In the OFCs the feed pump has to increase the whole mass flow rate of the cycle from
378 the condensing pressure to the maximum pressure of the cycle.

379 Even though the OFRCs requires two pumps, the lower values of the Back Work Ratio can be
380 achieved in smaller units, keeping costs similar to the ones of OFCs, as reported further in the
381 work.

382 A sensitivity analysis on the effect of the temperature difference between the working fluid
383 and the HTF at the heat exchanger outlet was carried out to evaluate the effect of the heat
384 transfer curve distance on the system performances. The temperature difference between the
385 HTF and the working fluid at the exchanger outlet modifies the exchanged thermal power and
386 consequently the system efficiency, as reported in fig. 12 for both the OFRC and OFC cycles.

387 The results showed that the trend is almost the same for both cycles, however the efficiency
388 decrease is larger for the OFRC at low temperature because of the higher temperature of the
389 HTF at exchanger outlet.

390 These results, obtained with n-Heptane, can be considered a benchmark also for other fluids:
391 in fact, the increase of the logarithmic mean temperature difference always causes a reduction
392 of the system performance, due to the increase of the exergy destruction in the thermal
393 exchange process and exergy loss in the higher HTF discharge temperature.

394 FIGURE 12 ABOUT HERE

395 **4.2. Fluid comparison.**

396 In this work, eight different fluids were tested as working fluid both for OFRCs and OFCs. The
397 main properties of these fluids are reported in table 5. This analysis was performed assuming an
398 approach temperature of 10 °C and a pinch point temperature of 8°C.

399 INSERT TABLE 5 ABOUT HERE

400 The differences in terms of second law efficiency are negligible for all the tested fluids (fig. 13),
401 due to the reason discussed in the previous paragraphs regarding the trend of the recovery
402 efficiency and the cycle efficiency. At higher temperatures, the second law efficiency with
403 R245fa has a different trend with respect to that of all other fluids in both the analyzed cycles.
404 This behavior is due to the critical point of R245fa, which is 154.1°C: increasing the temperature
405 of the heat source above this value, the approach increases to avoid supercritical operation. In
406 this way the exergy destruction in the heat transfer process increase due to the largest distance
407 between the heat transfer curves. Alkane hydrocarbons with high molecular weight are the
408 fluids which gave the best values of the second law efficiency. This fact is due to the close
409 distance between the isobaric curves typical of these fluids: for a given pressure loss during the
410 throttling process, the quality of the vapor is higher and it is demonstrated that the higher the
411 quality of the vapor after the first throttling, the lower the exergy destruction in the throttling
412 process, and the higher the efficiency of the flash cycle [35]. It is worth to notice that the fluids
413 which present a high second law efficiency are those with a low value of the BWR.

414 In fact, exergy destruction during the first throttling process is proportional to the BWR: fluids
415 with the highest efficiency values presents low values of pump power consumption. The value
416 of BWR is much higher for OFCs with every used fluid (fig. 14), and from fluid to fluid the trend
417 is different due to the different properties.

418 FIGURE 13 ABOUT HERE

419 FIGURE 14 ABOUT HERE

420 From the above analysis, high molecular weight alkanes are the fluids providing the best
421 efficiency with both cycles. However, with the Double Flash Cycle, the presence of two
422 expanders requires high accuracy in fluid selection in order to keep the size of the expanders as
423 small as possible. Positive displacement expanders are the best choice for small heat recovery
424 system with flash cycles. In fact, their cost is much lower than that of turbines. The size of these

425 devices is influenced by the volume flow rate. The lower is the volume flow rate the lower is the
426 cost of the expander [48]. For this sake, the volume flow rate for the high pressure and low
427 pressure OFRC expanders was calculated, as shown in Fig. 15.

428 FIGURE 15 ABOUT HERE

429 As shown in fig. 15, the volume flow rate through the expander reaches very high values for
430 high molecular weight alkanes, which makes their use practically impossible for this type of
431 cycle. R601a, R245fa and R365mfc, in this order, are the fluids which present the lowest values
432 of volume flow rate through the expanders. The trend in the cycles without regeneration is
433 similar, but values are larger for each fluid. The bump in the figure at 90°C is due to the small
434 variation of optimal flash temperature, and therefore of density, when the HTF varied from 80
435 to 90°C.

436 Another important device which can increase the cost of these cycles is the condenser. In fact,
437 flash cycles introduce a larger amount of heat than traditional ORCs since they generally present
438 a lower cycle efficiency. This results in a larger condenser than in ORC systems and therefore
439 higher costs. For this reason, the working fluid should be selected to minimize the condenser
440 size. In order to take into account the condenser size the ratio between the condensing latent
441 heat and the vapor specific volume was used as a figure of merit, according to [49]. This figure
442 of merit indicates approximately the amount of heat transferred by the condenser per unit
443 hardware cost. The higher is its value, the larger is the amount of heat transferred per unit of
444 volume, and the smaller is the condenser. The value for the various fluids is reported in table 6.

445 TABLE 6 ABOUT HERE

446 Alkanes with high molecular weight have a very low value of the figure of merit and therefore
447 will require a large condenser. R245fa, i-Pentane and R365mfc are the fluids which minimize the
448 condenser size.

449 In the considered temperature range, i-Pentane presents a low volume flow rate, requires a
450 small condenser and does not require a too high pump power input, allowing smaller exchangers
451 and expansion devices, although it has a lower cycle efficiency than other fluids: for these
452 reasons this fluid might be the optimal working fluid for double OFRCs coupled with waste heat
453 recovery or geothermal heat source in the temperature range between 80-170°C.

454 **4.3. Cost Analysis**

455 In this section the cost analysis of the main components of OFCs and OFRCs, operating with
456 R601a is described, for various HTF temperature and for available amounts of heat.

457 Tables 7-10 reports the exchangers and condensers sizes for both OFCs and OFRCs when R601a
458 is used, with 900 kW_{th} as input heat. Also in this case, the sizing of heat exchangers was carried
459 out by using the software Aspen Exchanger Design and Rating. Both main exchanger and
460 condenser required smaller exchanger area and therefore lower costs in the case of OFRCs than
461 in the case of OFCs, and as demonstrated above, with this fluid, their sizes were smaller than
462 the ones evaluated with N-Heptane.

463 TABLE 7 ABOUT HERE

464 TABLE 8 ABOUT HERE

465 TABLE 9 ABOUT HERE

466 TABLE 10 ABOUT HERE

467 As reported in the above tables, despite the small external diameter, heat exchangers for flash
468 cycles can be very long, because of the large temperature difference between inlet and outlet.
469 This results in transportation and layout problems which must be evaluated before the
470 installation. A simpler and more compact plate heat exchanger however may be the solution to
471 this problem.

472 The evaluation of pump costs was performed according the following relation, presented in
 473 [50]:

$$474 \quad C_p = 900 \cdot \dot{W}^n \quad (23)$$

475 where \dot{W} is the pump power consumption and n has the value of 0.25 if $\dot{W} < 0.3$ kW or 0.45 if
 476 $\dot{W} > 0.3$ kW.

477 Pumps costs are reported in table 11 for both cycle configurations.

478 TABLE 11 ABOUT HERE

479 Screw expanders were chosen because of their capacity of elaborating a high volume flow rate
 480 and for their limited costs. The costs of these expanders were evaluated from screw
 481 compressors in [51], referring to the Kore compressor manufacturer, as reported in fig. 16. To
 482 take into account of the different utilization of the device, i.e. the operation as an expander is
 483 the reverse of the operation of the compressor, the volume flow rate at the expander input
 484 was multiplied by the volume expansion ratio:

$$485 \quad C_{exp} = 21.57 \cdot (\dot{V} \cdot r) + 3479 \quad (24)$$

486 where \dot{V} is the expander flow rate.

487 FIGURE 16 ABOUT HERE

488 TABLE 12 ABOUT HERE

489 Expanders costs for OFCs and OFRCs are reported in tab. 12, for an available thermal power of
 490 900kW_{th}. OFRCs requires smaller units than OFCs since the design flash pressure are normally
 491 higher than the ones of OFCs, because of the presence of the regenerator.

492 The comparison of the specific costs of the main component for both cycles is reported in fig17.

493 FIGURE 17 ABOUT HERE

494 **4.4. Comparison with ORC systems**

495 In this paragraph the regenerative flash cycle is compared with a conventional ORC for heat
496 recovery or geothermal system. In the analysis, neither superheater nor recuperator was used
497 in the ORC system.

498 FIGURE 18 ABOUT HERE

499 FIGURE 19 ABOUT HERE

500 Second law heat recovery efficiency is calculated according to the (3.9) always assuming a
501 pinch point of 8 °C(fig. 18).

502 If we compare the efficiency of OFRC and ORC, the first cycle exhibited a better capability in
503 the heat recovering process (fig. 19), thus entailing a lower HTF temperature at the outlet of the
504 heat exchanger. Moreover, the exergy destruction during the heat transfer phase were lower
505 than the ORC, because both fluids were at the liquid state, so that the heat transfer curves of
506 both the fluids had a similar slope, on the contrary of an ORC plant in which the working fluid
507 receives the heat partly at variable and partly at constant temperature.

508 Conversely, the ORC cycle presents smaller losses at the condenser because of the smaller
509 amount of heat rejected, at the expander (ORCs just need a single expansion device), at the
510 pump, because of the lower maximum pressure of the cycle, and because they do not require
511 any throttling valve.

512 These facts are clearly counter-acting and the balance between advantages and disadvantages
513 of both cycles depends on many factors, the main of which are the operating temperature of
514 the cycle. In the analyzed temperature range, OFRCs provided better thermodynamic
515 performance than ORCs.

516 The economic comparison between OFRCs and ORCs in this temperature range and for
517 different available heat amounts was carried out using R601a for OFRCs and R245fa for ORCs,
518 since this latter gave the best results in the thermodynamic analysis.

519 The ORC evaporators and condensers details are reported in table 13 and 14, for an available
520 thermal power input of 900 kW_{th}. Similarly to OFRCs, a pinch point of 8 °C was used in the
521 evaporator design.

522 TABLE 13 ABOUT HERE

523 TABLE 14 ABOUT HERE

524 ORC evaporators are more compact than the OFRCs ones, but the presence of vapor requires
525 higher crossing areas and therefore exchangers have larger diameters.

526 Pump and expander costs are evaluated through the 5.1 and 5.2 respectively and are reported
527 in table 15.

528 TABLE 15 ABOUT HERE

529 Specific costs, at optimal thermodynamic conditions, are reported in fig. 20 for OFRCs and for
530 ORCs for various available heat.

531 FIGURE 20 ABOUT HERE

532 The two cycles presented almost the same main components specific costs in the heat source
533 temperature range between 120 and 170°C and for available thermal power lower than 600 kW.
534 For larger available thermal power OFRC cost increased more than the ORC cost, because of the
535 need of an extra expander unit in the second stage, because of the increase of the volume flow
536 rate which goes outside the range of the screw expanders costs.

537 This result is highly influenced by the future behavior of screw expanders cost. This analysis
538 was carried out, in the favorable case of a growth of the positive displacement expanders
539 market, in order that their cost will be similar to compressor ones.

540 Moreover, in this work shell and tube heat exchangers were analyzed: for flash cycle these
541 devices are very long, and they can produce layout and transportation problems. Plate heat
542 exchangers can be more compact and economic, and therefore more suitable for these
543 applications and allowing a further costs reduction.

544 5. Conclusions

545 In this work, a new type of Organic Flash Regenerative Cycle (OFRC) has been studied for low
546 temperature waste heat recovery and geothermal applications. Since two flash stages are
547 needed with low temperature heat sources, positive displacement expanders were assumed to
548 be used because of their cost lower than that of turbines. With respect to the conventional
549 Organic Flash Cycle (OFC), the OFRC presented almost the same thermodynamic performances
550 for heat sources in the temperature range between 80°C and 170°C: in fact, if from one hand
551 the recovery efficiency of the OFRC was lower than the OFC, from the other the higher cycle
552 efficiency compensated the recovery efficiency, resulting in the same cycle efficiency. The
553 regenerator increased the working fluid temperature at the exchanger inlet, reducing the size
554 and the cost of the heat exchangers. Moreover, due to the increase in cycle efficiency and to the
555 smaller amount of exchanged heat, OFRCs condenser is smaller and cheaper than OFCs.

556 Different organic fluids have been tested both in the OFRC and the OFC. With the same fluid,
557 the two cycles provided the same thermodynamic performance. High molecular weight alkanes
558 gave the best results in terms of second law efficiency both in the OFRC and the OFC, due to the
559 small distance between the isobaric lines and therefore to the low exergy destruction during the
560 throttling process. However, the high volume flow rate through the two stage expanders made
561 the use of these fluids unfeasible if positive displacement expanders are adopted. Among the
562 tested fluids, R601a was the best option in terms of thermo-economic performances. Finally, a
563 comparison with ORC cycles has been carried out and OFRCs demonstrated a better
564 thermodynamic performance for all the tested fluids, because of the lower losses across the
565 heat exchanger and to the lower HTF discharge temperature. The economic analysis highlighted
566 the equality between those two technologies, for mini and micro scale plants, in the case of a
567 future growth of the positive displacement market.

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