

1 **Experimental analysis of a self consumption strategy for residential building: the**  
2 **integration of PV system and Geothermal Heat Pump**

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5 **Alessandro Franco\*, Fabio Fantozzi**

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7 Department of Energy, Systems, Territory and Constructions Engineering,  
8 University of Pisa  
9 Largo Lucio Lazzarino, 56126 Pisa, Italy

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12 **Abstract**

13 The paper analyzes the perspective of a solution for the mutual interaction of a Photovoltaic (PV) generator and a  
14 Ground Source Heat Pump (GSHP) in the context of a residential building. The idea is to analyze the operating  
15 performance of a system that permits the maximum self-consumption of the energy generated by a small-size PV  
16 system installed on the same building: this kind of systems could be useful for further penetration of renewable energy  
17 in a complex energy context. The problem is analyzed basing on the data of an experimental analysis of a real case, in  
18 the town of Pisa, Italy. A typical house equipped with a GSHP and a PV plant of similar size (about 3.7 kW of peak  
19 power) is monitored during a year of operation in order to test the feasibility of the technical solution for a more general  
20 application. The data concerns both the operation of the two systems and the interaction with the electric grid. The  
21 possible utilization of this solution in the perspective of promotion of self-consumption policies and of Nearly Zero  
22 Energy Buildings (NZEB) is discussed and analyzed showing that the level of interaction with the electrical grid is quite  
23 high.  
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26 **Keywords:** Renewable Energy Systems, Distributed Generation, Photovoltaic Plants, Ground Source Heat Pumps,  
27 Experimental analysis.  
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32 **\*Corresponding author**

33 Prof. Alessandro Franco  
34 Department of Energy, Systems, Territory and Constructions Engineering (DESTEC),  
35 University of Pisa,  
36 Largo Lucio Lazzarino, 56126 PISA, ITALY  
37 Phone: +39-050-2217154 Fax: +39-050-2217160  
38 e-mail: alessandro.franco@ing.unipi.it  
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40	<b>Nomenclature</b>	
41	A	Surface of the building envelope (m <sup>2</sup> )
42	A <sub>PV</sub>	Area of the PhotoVoltaic plant
43	COP <sub>c</sub>	Coefficient of Performance in cooling mode
44	COP <sub>h</sub>	Coefficient of Performance in heating mode
45	E <sub>nel</sub>	Electrical energy (kWh)
46	E <sub>th,c</sub>	Thermal energy for cooling (kWh)
47	E <sub>th,h</sub>	Thermal energy for heating (kWh)
48	GSHP	Ground Source Heat Pump
49	H <sub>SN</sub>	Annual solar irradiance (kWh/m <sup>2</sup> )
50	HP	Heat Pump
51	I <sub>sc</sub>	Short circuit current (A)
52	I <sub>mpp</sub>	Current of maximum power (A)
53	K <sub>shade</sub>	Shading factor
54	nZEB	nearly Zero Energy Building
55	PES	Primary Energy Saving
56	PV	PhotoVoltaic
57	Q <sub>in,solar</sub>	Solar gain (kWh)
58	RES	Renewable Energy System
59	STC	Standard Test Conditions
60	t	time (h)
61	T <sub>in</sub>	internal temperature (°C)
62	$\bar{T}_{ext}$	average external temperature (°C)
63	$\bar{U}$	average value of the heat transfer coefficient for the building (W/m <sup>2</sup> K)
64	V <sub>mpp</sub>	Voltage of maximum power (V)
65	V <sub>op</sub>	Voltage of open circuit (V)
66	η	PV plant efficiency
67	η <sub>PV</sub>	efficiency of the PV module
68	η <sub>BOS</sub>	efficiency of Balance of System of PV plant
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71 **1. Introduction**

72 In several countries of European Union, in energy production sector, the last ten years have been characterized by a  
73 relevant development of intermittent Renewable Energy Systems (RES), mainly photovoltaic (PV), and by the increase  
74 of decentralised production, resulting in a growing number of small and medium size “producers” and a lot of small size  
75 plant connected to the electricity grids. [1].

76 From a technical standpoint the production of intermittent renewable electricity and the increase of distributed  
77 generation systems cause unpredictable energy flows into the grid that requires control. The large-scale integration of  
78 renewable energy sources into the context of complex energy systems must meet the challenge of coordinating  
79 fluctuating and intermittent renewable energy productions with the rest of the energy system. In particular it is shown  
80 how the penetration of new renewable energies is limited at an upper level by technological considerations and it will be  
81 more sustainable if an integration of the various energy uses (thermal energy, mobility and electricity) will be  
82 considered [2-4].

83 Maintaining the balance between supply and demand in energy systems with large quantities of fluctuating renewable  
84 energy plants is a quite complex task. For this reason, the growing increase of penetration of RES must be joined with  
85 an optimization of the whole energy systems. This in order to permit an effective energy saving, otherwise the great  
86 effort for the promotion of those new energy systems will be not effective in order to provide the reduction of the  
87 dependence on fossil fuel resources and the reduction in carbon emissions. A possible way is the promotion of self  
88 consumption strategies; moreover several studies, like [5] have suggested the systematic use of battery storage co-  
89 located with solar photovoltaic (PV) systems.

90 In some European countries, the increase of intermittent renewable sources is particularly relevant (more than 30000  
91 MW, installed in Italy nowadays, of PV plus Wind power systems) and it is required, for the aim of the grid stability, to  
92 develop structural strategies in order to meet this challenge. Due to the intermittent nature of RES, very little can be  
93 gained by means of an improved forecasting and by regulating the renewable source itself.

94 For the aforesaid reasons questions about the impacts and costs associated with maintaining grid stability are receiving  
95 growing attention. In order to bring about a substantial long-term penetration of distributed energy resources in Europe,  
96 it is necessary to address the key issues related to their integration into existing energy systems, in which a large variety  
97 of thermoelectric power plants is still present, so that a primary energy saving (PES) based on the use of fossil fuels will  
98 be obtained.

99 A crucial element is often to show coherent technical analyses of how renewable energy can be implemented, and what  
100 effects renewable energy has on other parts of the energy system. For this reason the penetration of RES must be  
101 encouraged with the perspective of the heat demand too. With regard to such recommendations, some conversion  
102 technologies such as heat pumps may contribute in a relevant way to improve the efficiency of the whole system and  
103 can be useful for a further increasing of penetration of RES.

104 Another important element is represented by the increase of the use of heat pumps (HP) both in the version of air source  
105 heat pump and geothermal heat pumps. HP using ground as source are particularly attractive both in the perspectives of  
106 their efficiency increase (COP is increasing from the available values of 3-4 up to values of 5-6), [6, 7] and because  
107 they permit a further integration of production of thermal energy and electricity.

108 Even if HP and in particular Ground Source Heat Pumps (GSHP) cost more to be installed than conventional systems,  
109 like condensing boilers, inclusion of technologies such as HP and GSHP can be relevant in order to add flexibility to the  
110 system [7] and to determine improvements in terms of general efficiency [8].

111 The use of heat pumps during the winter time contribute to shift a part of the energy demand satisfied with natural gas  
112 to the electricity sector and consequently to renewable energy, while during summer time it contribute to assist the  
113 operation of the cooling systems that in the last years, determine the peak of electricity demand, that mainly in some  
114 countries of southern Europe occurs in July from 11-13 a.m. In this way heat pumps increase the flexibility of the  
115 system because they can consume electricity at hours of excess production during summer time while in winter they can  
116 replace efficiently the heat production of boilers and CHP units for the residential sector.

117 Adding heat pumps to the energy systems means that they can be used instead of boilers so they permit an increase of  
118 electricity uses for heating purposes and a shift from the use of fossil fuels like natural gas to the use of electricity.

119 Moreover a new approach to the promotion of renewable energy is represented by the attempt of maximising the  
120 installation of systems for self supply use, limiting the impact on the electrical grid. For this reason it is important to  
121 consider the connection between the production of electricity and the use of thermal energy, [9-10].

122 The promotion of self-consumption policies is already available in Europe and has already been tested in Italy, just form  
123 the fifth energy bill – Vth Conto Energia – a specific self-consumption premium scheme which was very similar to the  
124 scheme introduced in Germany in 2011: this is an initial attempt to promote direct consumption schemes, featuring a  
125 mix of net-metering aspects (especially for grid costs) and self-consumption (for electricity costs) and the definition of  
126 new schemes for the self consumption is expected.

127 Other important topics considered in the literature are the development of multi-energy systems in buildings, that  
128 integrate different energy sources, at least one of which is renewable, in order to cover the thermal and electric loads of  
129 a building [11] and Net Zero Energy Buildings (NZEB) represented by systems that combines measures for reducing  
130 the energy demand and increasing the share of renewable energy in the energy systems. [12]

131 The main framework of a NZEB concept is the idea of a low-energy building that produces energy and interacts with  
132 the electrical grid. Such a building is conceived as energy-efficient building, equipped with energy efficient systems and  
133 effective insulation materials to curb the heating and electricity demand and with on-site renewable energy systems  
134 (typically solar thermal and PV systems). The energy generation over a year balances the energy use and the excess  
135 energy from renewables, which is not self-consumed, is exported to the grid [13]. This is considered in a series of recent  
136 papers both with a methodological perspective, [14, 15] and with respect to particular buildings [16]. The European  
137 Union’s directive on the energy performance of buildings will lead to more local energy generation in the future and  
138 more energy efficient buildings. Solar energy is regarded as one of the most promising ways for local energy generation  
139 and solar assisted heat pump systems in particular the combination of a PV system and heat pump is considered the best  
140 alternative [17]. Many energy systems have already been developed including technical solutions and models. Most of  
141 them concentrate on the investigation of electricity supply and demand, but neglect or do not cover in detail the  
142 interaction of heat and electricity and the interaction of the systems with the electric grid. Moreover, there is a lack of  
143 studies from the perspective of the dynamic approach and the system integration.

144 Considering the previous argumentations, this paper investigates an integration strategy between (GSHP) and PV plants  
145 with the aim of evaluating the perspectives of this solution for increasing self-consumption policy within a residential  
146 building. In this case instead of setting up the PV system to feed the energy produced into the grid, the energy produced  
147 is primarily employed to supply the heat pump and the other domestic loads.

148 The perspective of this joint solution can be a method to superate the problem of the high running costs of ground-  
149 source heat pump (GSHP) systems, and the forthcoming unprofitability of feeding into the grid the electricity generated  
150 by small-sized photovoltaic (PV) arrays that risk to reduce the future developments of such a kind of systems. In

151 particular the aim of the paper is to propose the results of an experimental analysis of a building in Pisa in the north of  
152 Tuscany, with typical temperate climate conditions. The geographic coordinates of the implementation site in Pisa are  
153 43.66°N, at an altitude of 10 m above the sea level. The climatic profile is temperate with lowest temperatures reaching  
154 -2 °C in winter and warm summers with peak temperatures of 34-36 °C. The work done on this building before the  
155 installation of the new system has addressed the objective of reduction of the heat loss through the building's envelope.  
156 In this building the existing heating system was replaced by means of a geothermal heat pump. In the meantime a PV  
157 plant has been installed sized with the objective of supplying the energy consumption of the geothermal heat pump and  
158 assisting the other distributed electricity consumption. The experimental analysis was carried out during one year in  
159 order to investigate all the possible operating conditions.

160 The objectives of the analysis are different: the first is to analyze the feasibility of a technical solution that permits a  
161 direct integration of energy production and consumption in a real context and to define the balance of production and  
162 consumption in the various periods of the year so that it can be analyzed the validity of such a kind of solution in  
163 connection with future further development of PV plants. Then an analysis of the operation of the two systems  
164 (Geothermal Heat Pump and Photovoltaic plant) is performed in order to investigate the real operating efficiencies of  
165 both and comparing the operating data with the expected ones. Finally some general indications about the perspective of  
166 development of such a kind of system and guidelines for the design are provided. It is clear that it is impossible that all  
167 the energy produced by PV modules can be directly consumed or stored in the building and a totally self supply  
168 architecture is very difficult but the idea developed in the paper is useful to understand the upper level of energy that  
169 could be fed into the grid in the various operating conditions during a year of operation.

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## 172 **2. System description: Ground Source Heat Pump (GSHP) assisted by a Photovoltaic (PV) generator**

173 One of the methods to transform a complex energy system in order to increase the flexibility and the percentage of  
174 intermittent RES, like PV plants on a large scale, is to increase the demand of electricity and to promote the  
175 dissemination of self-consumption strategies of the energy produced. An interesting solution is the use of solar assisted  
176 Heat Pumps for heating and cooling purposes. During the last decade, a number of studies have been performed by  
177 various investigators in the design, modelling and testing of solar assisted heat pump systems [18]. But the use of solar  
178 energy is often proposed for the production of thermal energy and for the use in adsorption heat pumps. Unfortunately  
179 the technical solutions are not optimized. They have to be tailored for the specific case under analysis and they often  
180 require the utilization of consistent storage volumes. Moreover the joined operation of solar energy and heat pump does  
181 not permit a profitable use of energy during winter period.

182 Taking into account that two of the major issues concerning the microgeneration technologies are the ground source  
183 heat pumps (GSHP) and of small size PV plants and of the recent developments in terms of economic support policies  
184 has determined a growing use both of PV plants (determined by the effect of feed-in tariff) and HP (determined by the  
185 effect of fiscal incentives). [19-20]. It seems obvious to propose a possible integration among the two technologies.

186 This integration, proposed in [21], can contribute in the medium to long period, to a possible reduction of the use of  
187 conventional fossil fuels (like natural gas) for heating purposes and to a further increase of penetration of PV  
188 technology in the energy production systems. As observed, some European countries as Italy are interested by a  
189 meaningful growth of PV power plants, frequently installed on residential and commercial buildings and connected to

190 the grid. The development of PV power plants has been a consequence of the feed-in tariff policy named “conto-  
 191 energia”, active in Italy from 2005 to 2014 (Table 1), [22].

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**Table 1.** The development of PV plants in Italy [1]

	2006	2007	2008	2009	2010	2011	2012	2013	2014
<b>Number of plants</b>	14	7467	32018	71256	155977	330306	478331	579524	648418
<b>Power installed [MW]</b>	7.2	86.7	432	1142	3470	12773	16420	18420	18609
<b>Specific power [kW/plant]</b>	512.5	11.6	13.5	16.0	22.2	38.7	34.3	31.5	28.7

194

195 As shown in Table 1, even if the average power of the single plant is decreased in the last years, a value well higher  
 196 than the one typically required for a self consumption strategy can be observed: about 28.7 kW considering the whole  
 197 PV systems installed in Italy at the end of 2014, this causing important unidirectional flows from the PV systems to the  
 198 grid.

199 The PV technology is really interesting but considering the forthcoming unprofitability of feeding into the grid the  
 200 electricity generated by small-size photovoltaic (PV) generator, a further development must be strictly connected with  
 201 the promotion of self-consumption strategies of the energy produced. Investment in a PV system for a prevalent self-  
 202 consumption will then be the only possible in the perspective of a further increase of penetration and self-consumption  
 203 models are likely to emerge in the perspective of the smart house [23]. Another interesting technology developed in  
 204 connection with residential system is the GSHP. Many studies used experimental and numerical simulations for  
 205 evaluating the performance of the GSHP system have been recently proposed in the literature, both in the perspective of  
 206 promoting high efficient solutions for building space conditioning and for integration in smart grids focusing the  
 207 attention on the dynamics [24-25]. It is recognized that GSHP can determine good energy advantages for heating and  
 208 cooling of residential and commercial buildings if compared with others systems, mainly in the perspective of future  
 209 developments that will increase their COP at values quite higher than the actual level of 3-4. In the light of the above  
 210 exposed considerations, supplying a HP or GSHP system with electricity locally produced by means of a  
 211 microgeneration equipment becomes an attractive option. These systems must be designed in such a way that they can  
 212 cope with the fluctuating and intermittent nature of renewable energy sources, especially with regard to the electricity  
 213 supply. Unfortunately it is not easy to define the proper size of the system.

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### 216 3. Experimental setup

217 The analyzed building is located in Pisa. Pisa is a town of in Tuscany (central Italy) and is characterized by a temperate  
 218 climate. According to standard normalized data the annual solar irradiation corresponds to the value of 1500  
 219 kWh/m<sup>2</sup>/yr.

220 The system object of the analysis is composed by a Ground Source Heat Pump (GSHP) assisted by a PV plant. The  
 221 house is a typical medium-sized single-family house of 160 m<sup>2</sup> plain surface and an indoor volume of about 450 m<sup>3</sup>.  
 222 Some details of the building and of the two energy systems are shown in Fig. 1. The building typology was designed to  
 223 be generic enough to enable a realistic situation typical of Tuscany. The type of the geothermal heat pump considered is  
 224 a classic liquid-liquid Ground Source Heat Pump (GSHP). The heat pump system is coupled to two closed-loop vertical

225 borehole Ground Heat Exchanger (GHE) of total depth of 60 m: in this way the total length of the GHE is  
226 approximately 240 m, so that it is possible, in case of the expected value of linear power rate of 50 W/m, to obtain a  
227 total heat power of about 11 kW.

228 The nominal electric power of the heat pump is 3.8 kW; the operating fluid is R-407 and the nominal COP is about 4 in  
229 heating mode and 3 in cooling mode. The temperatures in/out of the condenser are 35/30 °C and the evaporation  
230 temperature is 5 °C. Ground Source Heat Pump (GSHP) provides up to 14.4 kW of space heating for the entire building,  
231 and, through reverse cycle technology will also provide the cooling during summer. The PV system, of about 21.13 m<sup>2</sup>  
232 of total surface is composed of 17 modules organized in two arrays (9 modules in the south-est side with inclination  
233 angle of 24° with respect to the horizontal and 8 modules in the south-west side with an inclination of 21° with respect  
234 to the horizontal) is sized according to the maximum electric power of the HP.

235 The main characteristics and the nominal data of the PV system and the nominal data of the PV module are reported in  
236 Table 2 where the operating voltage and current at the maximum power  $V_{mpp}$  and  $I_{mp}$ , the short circuiting voltage  $V_{oc}$   
237 and short circuiting current  $I_{sc}$ . As additional data it is possible to include the temperature coefficient of the module:  
238 considering the maximum output power  $P_{mpp}$  the value of minus 0.38%/°C is declared for the system if the operating  
239 temperature of the module is higher than 25°C. The nominal operating temperature of the module is declared to be 46  
240 °C.

241 The PV is connected to the grid, in order to provide a minimum stabilization of the system; even if the production and  
242 consumption will be similar they are very far to be in phase also considering that other appliances consume electricity  
243 inside the house. As already mentioned, the 17 modules of the PV plant are arranged in two strings, one with 8 modules  
244 and the second with 9 modules. The interface between PV plant and the grid is obtained with two inverters, sized with a  
245 maximum capacity of 2kVA. A scheme of the complete experimental system is depicted in Fig. 2. Fig. 2 provides also  
246 that the systems are completed with measurement point of both temperature and humidity inside and outside the house.  
247 The temperature probes have an accuracy of 0.1 °C, while the humidity can be determined with 1% accuracy. Moreover  
248 the energy production of the PV system, the energy consumptions of the Ground Source Heat Pump separately and of  
249 the whole house can be measured separately. Experiments were conducted extensively starting from the middle of  
250 January till the end of the year. The GSHP system is programmed to maintain the indoor temperature around a well  
251 defined value: 19 °C during the heating period and 26 °C during the cooling period. The acquisition data system permits  
252 to evaluate the daily energy consumption of the heat pump, the daily energy production of the PV system, the daily  
253 energy consumption of additional power from the grid or the energy daily feed into the grid. Moreover temperature and  
254 humidity can be acquired during the whole day, using particular temperature probes connected to a data acquisition  
255 system. Both PV plant and GSHP are equipped with an independent energy meter in order to analyze the production and  
256 the consumption respectively, while a bi-directional energy meter is used, able to measure the energy flow to the grid in  
257 both directions, is also used: in this way the total energy consumption can be determined and the energy used for all the  
258 devices can be obtained for difference. All the data of temperature, humidity and energy flows are acquired and  
259 recorded at a time step of 15 minutes.



(a)



(b)



(c)



(d)

260

261

**Fig. 1.** Details of the experimental system: the building (a); the two strings PV plant (b); details of the borehole heat exchanger (c); the heat pump (d)

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**Table 2.** Nominal data of the PV system and of the PV module (referred to STC: 25 °C and 1000 W/m<sup>2</sup>)

Nominal Power	Nominal Power per module	Area of module	$V_{mpp}$	$I_{mpp}$	$V_{oc}$	$I_{sc}$	Nominal efficiency
3.74 kW	220 W	1.24 m <sup>2</sup>	41.0 V	5.37 A	48.6 V	5.75 A	17%

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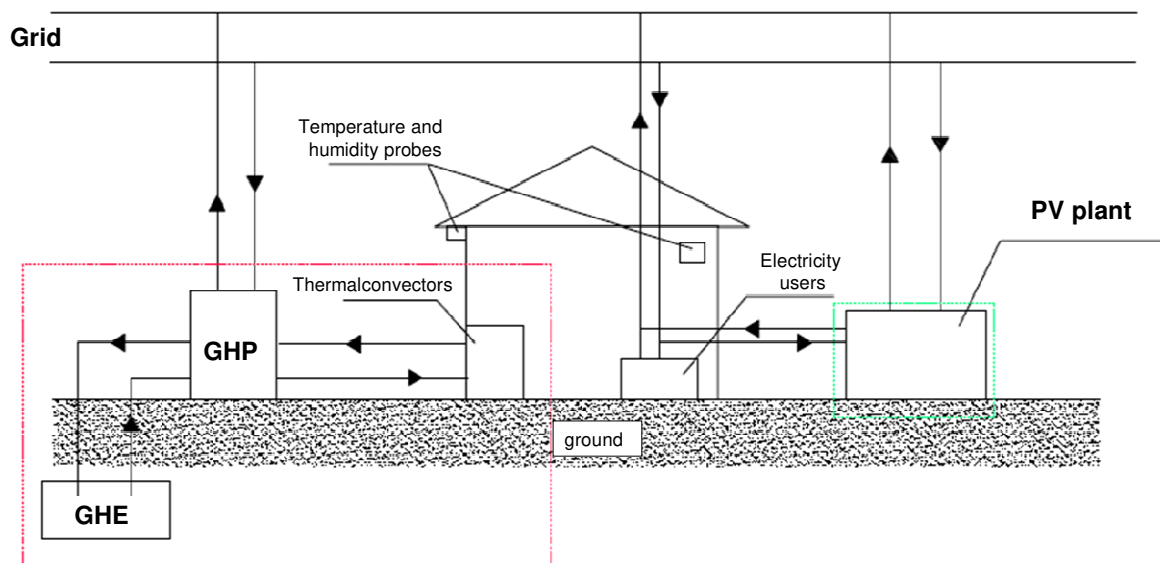


Fig. 2. A schematic description of the plant

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270 **4. Results**

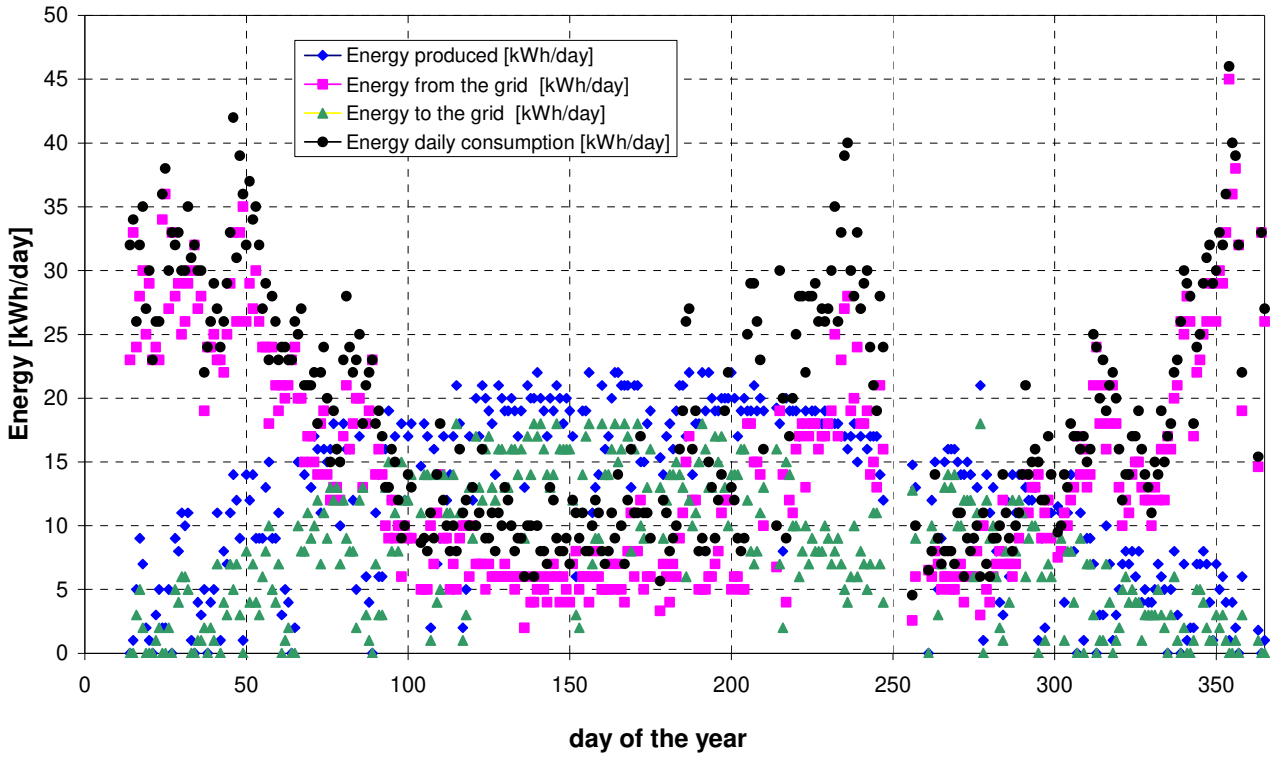
271 The first group of experimental results concerns an analysis of the operating mode of the system in real operating  
272 conditions: the period covered is between 14 of January and 31 of December.

273 Fig. 3 provides a comparison among the different energy components (energy produced by the PV plant, daily energy  
274 consumption, energy feed in and out to the grid) in the various days of the year from January to December.

275 From this plot it is possible to understand how the total energy consumption in the house, obtained as the sum of HP  
276 consumption and other household appliances taken into consideration stands in the range between 5 kWh/day up to 40-  
277 45 kWh/day during some particular days of winter time while the maximum energy produced with the PV plant is  
278 always below 22 kWh/day.

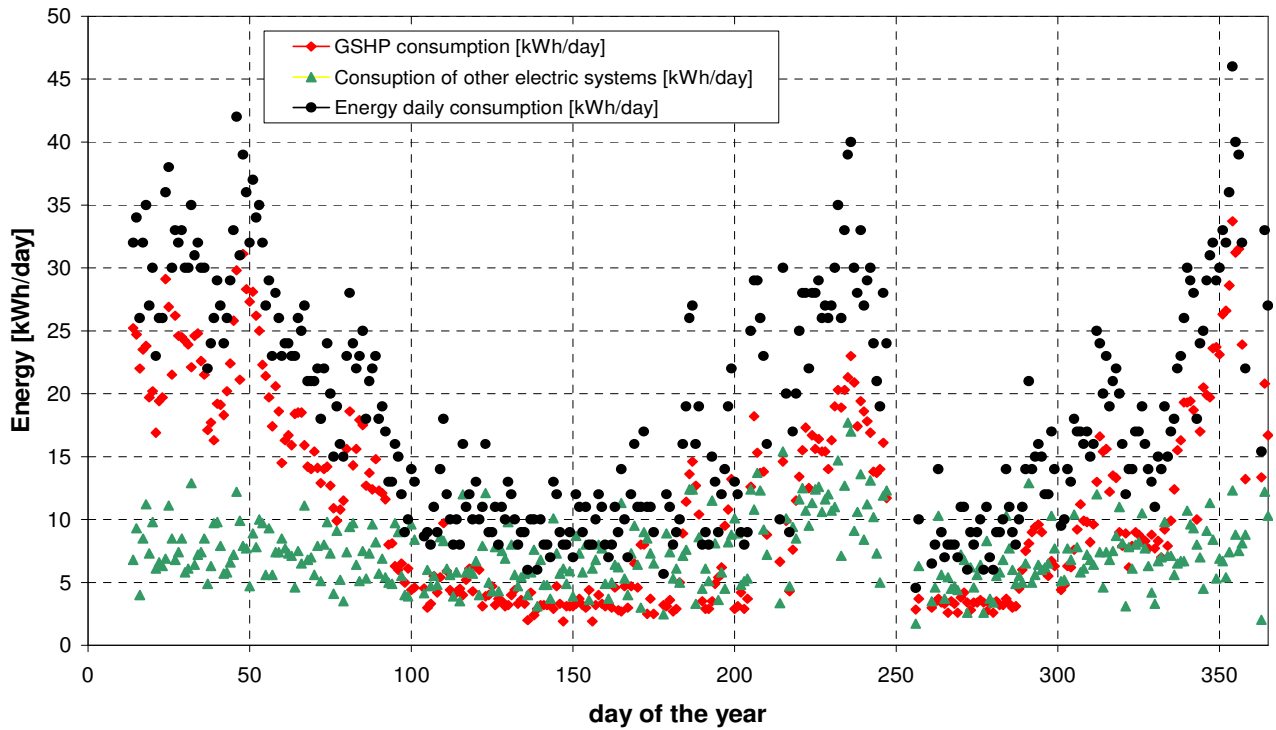
279 The GSHP operates from a minimum of 1 hour in some days in the mid season up to a maximum of 12 hours during  
280 some particular cold days in winter. As observed from the experimental data, only during summer time it is possible to  
281 think to a real self-supply strategy, and this is really true mainly in June and September, while in July and August the  
282 energy consumption is often well higher than the energy produced with the PV system, due to the high operating time in  
283 cooling mode of the heat pump.

284 Fig. 4 provides a comparison among the various electricity consumptions measured during the year. In particular,  
285 analyzing the energy consumption related to the GSHP and to the other electricity systems, it is possible to understand  
286 that the daily energy required for the operation of the GSHP has a maximum of the order of 30-35 kWh/day and this  
287 maximum occurs in heating mode. For this reason it is confirmed the idea that in this particular house and operating  
288 conditions (Pisa) the summer operation of a GSHP, from the standpoint of energy balance can be covered by means of a  
289 PV plant of the same size. If the data are analyzed in a more careful way a series of different observations can be carried  
290 on.



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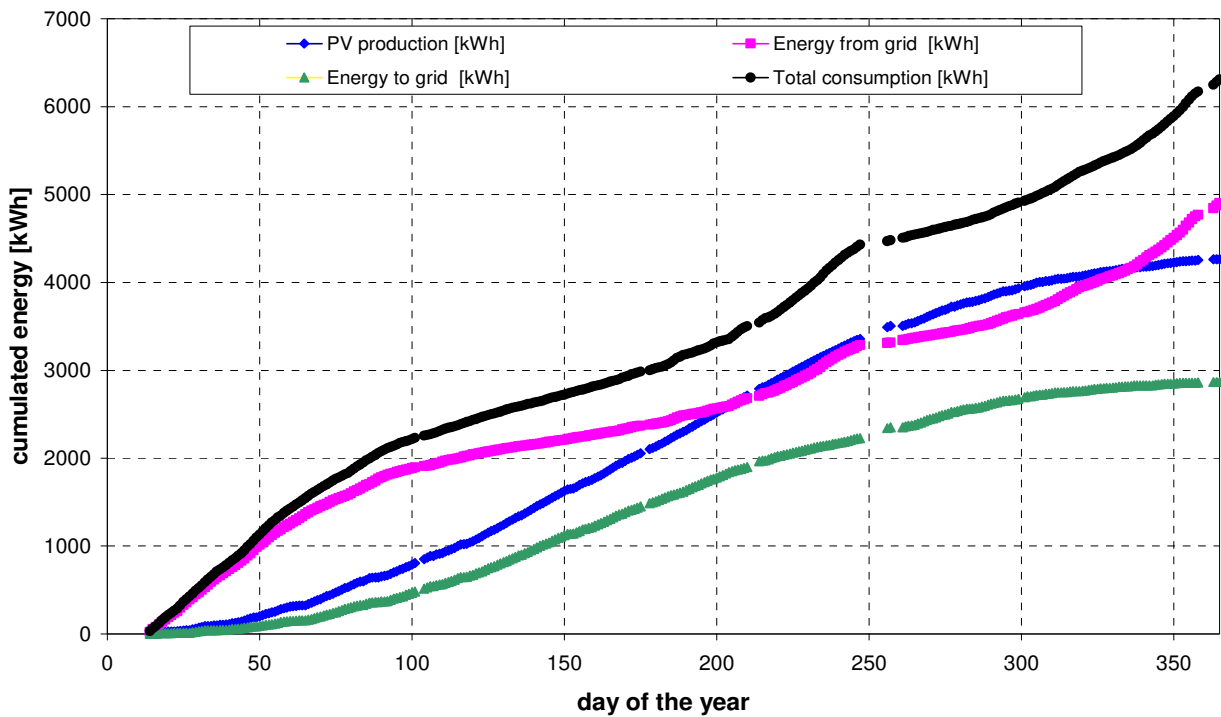
Fig. 3. A schematic plot of the various energy components (daily analysis)



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Fig. 4. A schematic plot of the various energy consumption data (daily analysis)

296 A brief consideration concerns the energy consumption of the heat pump during the heating period: also considering the  
 297 maximum level of 30 kWh for day, an annual energy consumption of 13-14 kWh/m<sup>2</sup> can be obtained, value completely  
 298 satisfactory that demonstrates the good energy performance of the house. An extended period of reduced activity of the  
 299 GSHP (energy consumption below 5 kWh day) can be observed during the mid seasons, in spring and in autumn.  
 300 Considering the whole data reported in Figs. 3 and 4 it is possible to observe that in the considered experimental  
 301 analysis, heating proves to be the most energy consuming operating mode, with daily peaks up to 33 kWh during the  
 302 coldest period of the year. In summer, daily peaks are at least 70% lower than in winter. The plots of Fig. 5 report the  
 303 annual energy consumptions profiles and the energy production profile of PV system.  
 304 Concerning the energy consumption it is possible to distinguish among total energy consumed, energy used for the  
 305 operation of the geothermal heat pump and energy used for the operation of the other household appliance. If the energy  
 306 used by the heat pump, considering both the heating and the cooling period, is about 4000 kWh for the whole year, the  
 307 energy consumption of the household appliances is less below the value of 2500 kWh (corresponding to an average  
 308 value of 7 kWh for each day). Further considerations on the sizing and on the design of the power system can be made  
 309 by comparing the annual power consumption profile of the GSHP system with the cumulative production of the PV  
 310 plant. Analyzing the data of Fig. 5 it is possible to understand that, considering the energy balance, the energy produced  
 311 with the PV system is sufficient to fulfil the total electricity requirements of the GSHP during one year of operation, but  
 312 the other energy has to be supplied from the grid.



313 Fig. 5. A schematic plot of the various energy components (integral analysis)  
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### 317 5. Analysis of the data and discussion

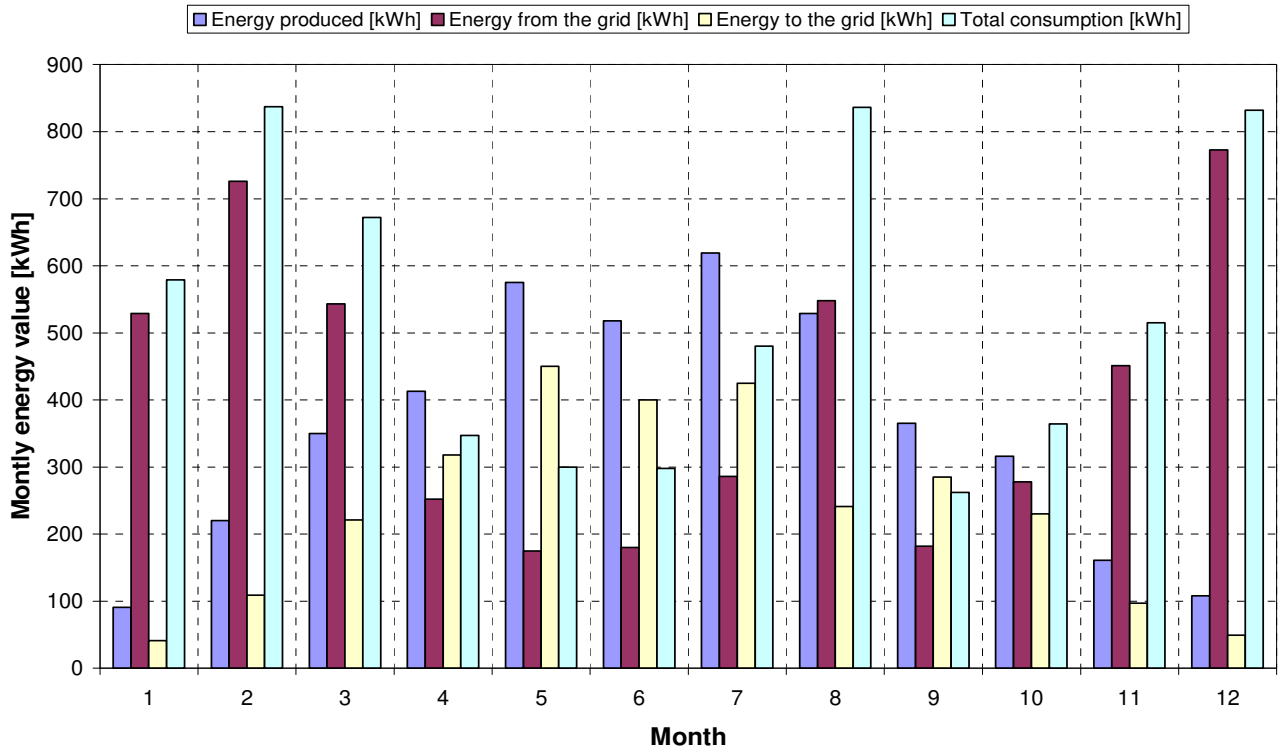
318 Some general considerations on the system can be made by comparing the annual power consumption profile of the  
 319 GSHP system with that of a PV plant and the seasonal data too. The first important element of the analysis is a  
 320 comment about the size of PV power system. The value of 3.7 kW has been selected with the perspective of minimizing

321 the energy exchanged with the grid. From the analysis of the data it is clear that a self-sufficient operating mode of the  
 322 plant is not possible because a sensible grid assistance is necessary, mainly in the heating period (from October to  
 323 March). Table 3 provides the cumulative data of the various energy components (total energy consumption, energy  
 324 consumption of the heat pump, energy consumption for the other household applications and energy produced by the  
 325 PV plant), grouped in the four different periods of the year. A balance of the energy produced is possible during the  
 326 period from July to September, while during the mid season (April-July) the energy coming from the solar array is  
 327 sufficient for supplying the consumption of the various household appliance, with a gain of about 500 kWh. However it  
 328 is possible to observe that with this particular size of the plant, the use of the grid to feed into the produced energy in  
 329 excess is always important and it is difficult to eliminate this. Considering the different months, like in Fig. 6, it is  
 330 possible to observe that the balance is sometimes different and some months evidence more specific problems and a  
 331 more careful consideration of the energy transfer between the plant and the grid.

332 **Table 3.** Energy balance of the plant in the various seasons.

Period	Energy consumption of heat pump [kWh]	Energy consumption for household appliance [kWh]	Total energy consumption [kWh]	Total PV production [kWh]
January-March	1499	579	2078	655
April-June	377	550	927	1436
July-September	796	652	1448	1399
October-December	1005	622	1627	580
TOT	3677	2403	6080	4070

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**Fig. 6.** Monthly cumulative values of the various energy components

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337 The data of Fig. 6 show clearly how the energy flow to the grid is particularly relevant in the months characterized by a  
338 reduced functioning of the GSHP (April-July) ranging from a minimum of about 300 kWh to a maximum of 450 kWh  
339 for each month (about 10-15 kWh for each day). Other detailed analysis can concern the real operational efficiency of  
340 the two plants under analysis: the GSHP and the PV generation system.

341

#### 342 5.1. Detailed analysis of the operating mode of the GSHP: the operating COP

343 Considering the recorded energy consumption data of GSHP, available for each day of the year, and the indoor and  
344 outdoor temperatures, it is possible to furnish a detailed analysis of the real operating mode of the heat pump. An  
345 estimation of the energy load required to maintain the imposed indoor temperature value can be estimated during the  
346 heating period as:

347

$$348 \quad En_{th,h} = \bar{U} \cdot A \cdot (T_{in} - \bar{T}_{ext}) \cdot t - Q_{in,solar} \quad (1)$$

349

350 and during the cooling period as

351

$$352 \quad En_{th,c} = \bar{U} \cdot A \cdot (\bar{T}_{ext} - T_{in}) \cdot t + Q_{in,solar} \quad (2)$$

353

354 in which  $\bar{U}$  is an average value of the heat transfer coefficient, A the heat transfer surface of the whole building  
355 envelope,  $\bar{T}_{ext}$  is an opportunely defined average external temperature and  $Q_{in,solar}$  the energy gain from solar energy.

356 The average temperature reaches the minimum values of -0.6 in a day of December and the value of 4.2 °C in the period  
357 from January to the end of March. During summer time the maximum of the average temperature was observed in two  
358 days of July (the 23rd and 24<sup>th</sup>) and it was 29.4 °C. The value of the term  $\bar{U} \cdot A$  has been estimated in this way:  
359 considering the electricity consumption of the heat pump and the outdoor temperature profile, a tentative value of the  
360 energy load has been established. From this value the average value of the heat transfer coefficient for the entire  
361 envelope of the building,  $\bar{U}$ , that considers internal and external heat transfer coefficients and thermal conductivity of  
362 the envelope, including walls doors, windows, roof and floor constructions and ventilation loss term, has been defined.  
363 This is estimated to be about 0.7 W/m<sup>2</sup>K.

364 The value of the overall heat transfer coefficient estimated in this way is an ideal average value obtained in a single day,  
365 because it takes into account both the real heat transfer coefficient and the direct gain due to passive solar, but it appears  
366 to be quite reasonable considering the values available from the literature [26-27]. The ratio among the estimated  
367 required value of the energy load and the energy consumed by the heat pump permits to estimate an apparent COP of  
368 the GSHP, both for heating and cooling period as

369

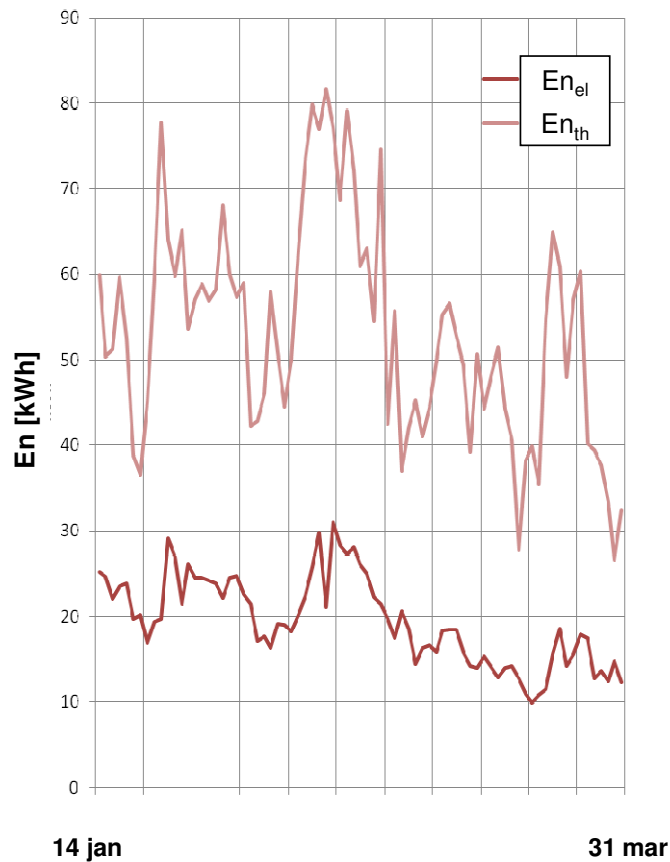
$$370 \quad COP_h = \frac{En_{th,h}}{En_{el}} \quad (3)$$

371

$$372 \quad COP_c = \frac{En_{th,c}}{En_{el}} \quad (4)$$

373

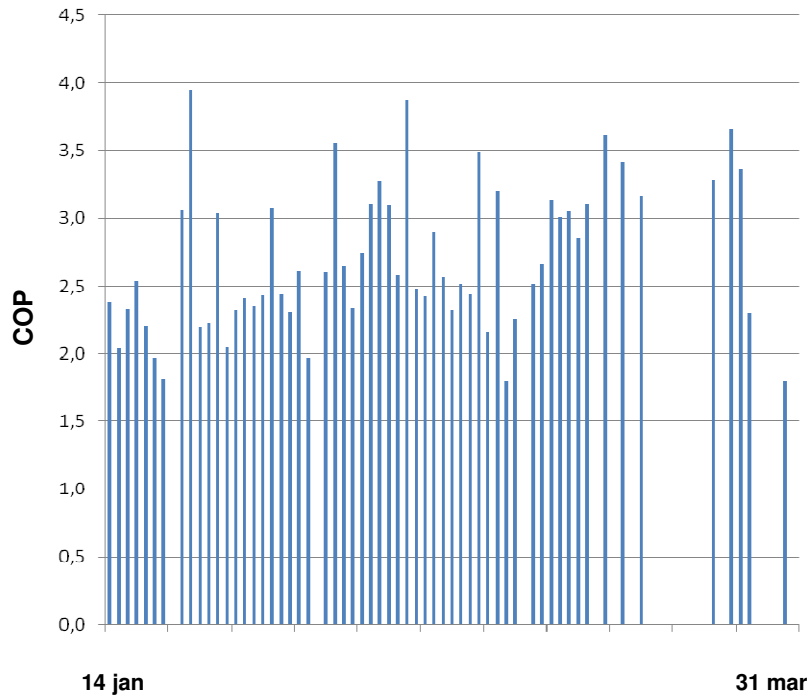
374 Considering that the maximum available value of COP of the HP during the heating season is 4, this values has been  
375 attributed to the day in which the maximum operating performance have been identified and then the average heat  
376 transmittance ( $\bar{U} \cdot A$ ) was redefined. With this value new estimation of the energy required for the heating season  $E_{th}$   
377 has been carried out. Considering the values calculated above the same estimation can be operated for the summer  
378 period when the heat pump operates in cooling mode. Fig. 7 provides a comparison between the two values (energy  
379 consumed by the heat pump and energy theoretically required) for the heating period (for example between 14 of  
380 January and 31 of March). The estimation of the operating COP of the GSHP during the heating period is provided in  
381 Fig. 8. From Fig. 8 it is possible to observe while in real operating conditions the effective COP could be well lower  
382 than the declared value of 4 and in a lot of operating days the value of COP is lower than 2. In a lot of cases, especially  
383 during the mid-season it is important to underline that the intermittent activation of the heat pump causes slightly high  
384 peaks in electric power consumption and these values made partially explanation of the so low running values of COP.  
385 In a completely similar way it is possible to estimate the operating COP value during the cooling period. In this case it  
386 is possible to observe that COP range between values approaching the nominal value of 3 and values that are also below  
387 1. The lower values are in general obtained in the days characterized by a reduced operating time of the GSHP. Fig. 9  
388 reports the daily value of the operating COP in the two months of June and July and Fig. 10 the effective operating  
389 hours of the heat pump during the whole year.



390

391

Fig. 7. Comparison between energy load and GSHP electricity consumption during the cold season



**Fig. 8.** Operating COP of the geothermal heat pump during the heating period

392

393

394

395 *5.2. Detailed analysis of the operation of PV system: estimation of the operating efficiency*

396 The data of the PV plant installed on the building are reported in Table 1. Considering the experimental data acquired,  
 397 the total production is similar to the one theoretically expected and it can be estimated basing on the well known  
 398 equations

399

$$400 \quad E_{PV} = H_{SN} \cdot A_{pv} \cdot K_{shade} \cdot \eta_{PV} \cdot \eta_{BOS} \quad (5)$$

401

402 Because of the total surface of the two PV arrays is 21.13 m<sup>2</sup>, the value for the specific annual solar irradiance  $H_{SN}$  of  
 403 1500 kWh/m<sup>2</sup> and the values of 0.99, 0.8 and 0.17 for the shading efficiency, for the efficiency of Balance of System  
 404 and for the efficiency of the PV modules respectively, an expected value of the annual energy produced of about 4250  
 405 kWh is obtained, while the experimental value measured corresponds to 4070 kWh.

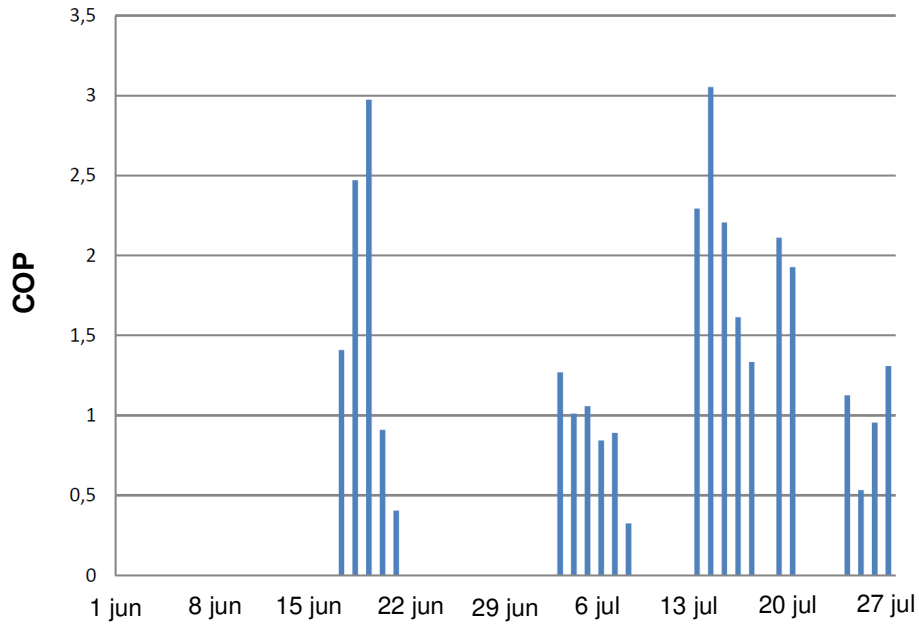
406 Considering that 13 days of January has been lost, the results obtained can be considered really good. The recorded  
 407 operating data, joined with the data for the solar irradiation permits to estimate the operating efficiency of the plant  
 408 defined as:

409

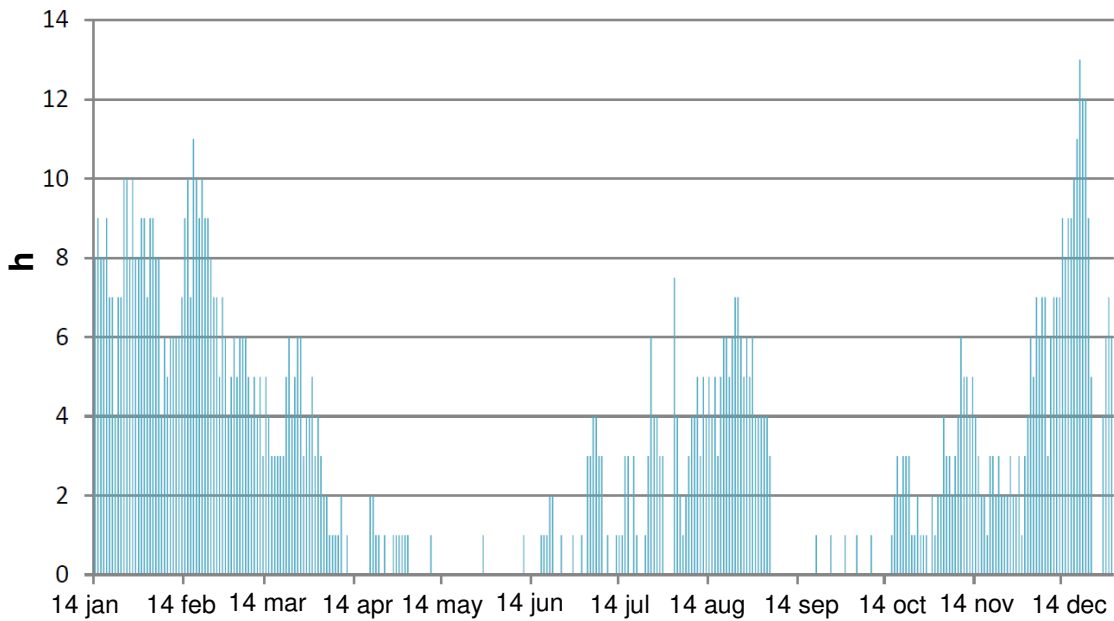
$$410 \quad \eta = \eta_{PV} \cdot \eta_{BOS} \quad (6)$$

411

412 Fig. 11 provides the average data of the efficiency calculated. The efficiency of the PV system is calculated dividing the  
 413 effective production, as can be deduced from Fig. 6 and the theoretical integral value of the energy radiation in Pisa  
 414 observed during the corresponding month, obtained basing on the data acquired in a meteo experimental station in Pisa.



**Fig. 9.** Daily operating value of the COP of GSHP during the months of June and July



**Fig. 10.** Operating hours of the heat pump during the year

415  
416  
417

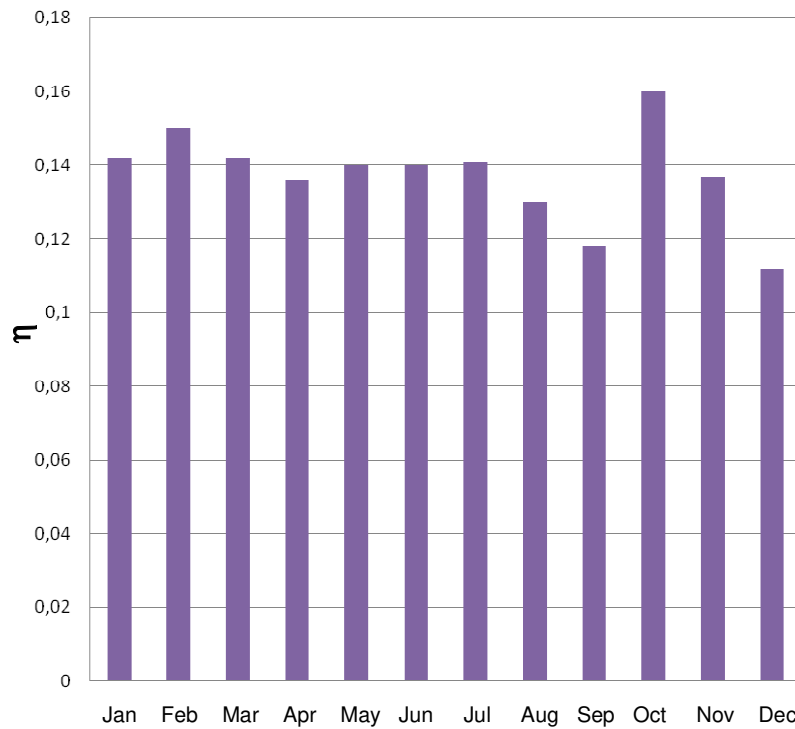
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420

421 The value so calculated gives only a general indication on the real operating efficiency of the PV plant: a reduced value  
422 of the efficiency, as observed in September, can be caused both by the quite high temperature and by the growing  
423 number of cloudy days. Observing the data of Fig. 11 it is possible to observe that the estimated operating efficiency of  
424 the PV generation system stands in the range between 0.12 and 0.16. The maximum values of the efficiency are  
425 obtained in the mid season, while the average value obtained during the hot season is around 0.14. From this analysis it  
426 was confirmed that the maximum values for the efficiency of the PV system is obtained during the mid season, in



427 particular in the months of February and October, when the intensity of solar radiation is quite high and the operating  
428 temperature of the modules is quite close to the value referred to Standard Test Conditions (25°C).  
429



430  
431 **Fig. 11.** PV generator efficiency under real conditions

432  
433 *5.3. Discussion*

434 The particular solution proposed in the present paper, just considered in the past as one of the possible solutions in the  
435 field of net zero-energy building solutions, after the analysis conducted in real operating conditions show some  
436 interesting peculiarities but also some critical elements of discussion.

437 The solution proposed has been designed using the same power for the GSHP and for the PV plant. This particular  
438 choice has been done in order to have a global energy balance between energy produced and energy consumption. In  
439 this way, the nominal heat pump output is approximately 14.4 kW for 160 m<sup>2</sup>, thus capable of delivering about 90 W/m<sup>2</sup>  
440 and about 30 W/m<sup>3</sup>. Considering the particular climate conditions, this seems to be a very high power requirement. So  
441 the heat pump is appears to be oversized for the major part of the operating conditions. On the other hand the longest  
442 run during a day is 12 hours during the coldest day of the year which is quite short and the low COP values are probably  
443 a consequence of the oversized heat pump. Moreover the other appliances are arbitrarily utilized during the day. Though  
444 if the solution is interesting, the schemes proposed use in a quite relevant way the grid as energy buffer, to temporally  
445 decouple the energy generation and consumption. The data reported in Fig. 6 show clearly that the idea of zero-energy  
446 building has some intrinsic problems. Even if the energy balance is sometimes close to the parity, the experimental  
447 analysis of the systems demonstrates the relevance of the grid-connection.

448 Even if the case analyzed represents only one of the possible solutions for the promotion of further increase of PV, the  
449 impact on the electrical grid of solutions like the one proposed is not meaningful, mainly during the mid-seasons: in  
450 anycase, even if the energy balance between the energy produced and the energy consumed is close to zero it not  
451 possible to affirm that a solution like the one proposed could be really considered without impact on the global system.

452 The promotion of self-consumption strategies like the one proposed in the paper, and in general the use of heat pumps  
453 in connection with PV plants represents surely the new frontier for the future development of systems based on  
454 renewable energy; but the promotions of solutions like the one analyzed cannot neglect the consideration of the effects  
455 determined on the whole energy system.

456 Considering an economic perspective, the analysis of a plant like the one proposed taking into account only the value of  
457 the energy produced it could be very difficult to appreciate the possible convenience of a solution like the one proposed  
458 without a more general perspective: in this case the discussion could be shifted at a high level in order to define possible  
459 incentivization policies, based on different concepts with respect to those active in some European countries like Italy, till  
460 2014, that determined benefits but also important drawbacks in the energy system [28-29] and more clearly focused on  
461 domestic systems, like proposed in [30].

462 It is opinion of the authors that to make this solution attractive both for the user and for the whole energy systems, in  
463 order to minimize the energy transfer to and from the grid, the introduction of a minimum storage capacity (estimated in  
464 the range between 200 and 400 kWh of storage capacity) would be required but the optimal solution should be properly  
465 discussed in terms of the logistics, demands and supply and overall system performance in a holistic manner.

466 Other opportunities concerns the possibility of exploring the performances of hybrid energy systems as those discussed  
467 in [31]. But it is clear that in this way the complexity of the plant increase furtherly the economic cost could be sensibly  
468 higher and the system embraces a paradigm typical of stand-alone systems.

469

470

## 471 **6. Conclusions**

472 In this paper the perspective of the possible development of a specific system that integrates a PV array with a GSHP  
473 system, proposed for building applications is analyzed and discussed. The aim of this system is to improve the  
474 sustainability of the GSHP system by maximizing the self-consumption all the energy generated by the solar PV array,  
475 installed on the same building served by the heat pump and to test a possible self-consumption strategy useful to permit  
476 a further penetration of PV systems in complex energy systems, like some European countries, characterized by an  
477 important penetration of intermittent renewables.

478 In particular the authors have arranged, tested and shown the operating data of a system that join a system for providing  
479 heating and cooling with a PV plants in a house located in Pisa (plane surface 150 m<sup>2</sup> and volume of 400 m<sup>3</sup>). The plant  
480 is composed of a GSHP for house heating and cooling, assisted by a PV system. The nominal powers of the heat pump  
481 (electric) and of the PV plant considered for the experimental analysis are approximately the same and are selected in  
482 the range between 3.7 and 3.8 kW. The data acquired, during one year, in real operating conditions, of the plant  
483 demonstrates that even if terms of energy balance the energy consumed by the GSHP and the energy produced by the  
484 PV array are similar, the energy exchange with the electricity grid appears to be relevant, considering that the other  
485 household appliances also receive energy from the PV generator and that there is still much exchange with the grid. So  
486 we can conclude that it is really to obtain self-consumption schemes.

487 Moreover the data acquired are particularly interesting to analyze the real efficiency of photovoltaic modules in the  
488 various seasonal conditions, and the real operating COP of the GSHP. It is shown for example how the real operating  
489 COP of the geothermal heat pumps, comparing the total energy consumed and the thermal energy furnished, is often  
490 below the value of 2 while the nominal COP is declared between 3.5 and 4. The heat pump is oversized for the

491 particular operating condition. As discussed in the paper the longest run during a day is 12 hours during the coldest day  
492 of the year - which is short, but in a lot of days, the heat pump operates for a really low number of hours (less than two).  
493 Considering a case like the one under analysis it is better to design heat pump in order to deliver about 80% of the  
494 design thermal power (about 3 kW) in order to obtain longer running periods and to increase the energy efficiency  
495 (operating COP). The low COP values obtained in some periods of the year, are probably a consequence of the  
496 oversizing of the heat pump. Moreover a more coordinate and optimal scheduling of the appliances would be required  
497 in order to have minor fluctuations of the power flow on demand side. The operating efficiency of PV system,  
498 nominally declared as 17% for the single module, ranges between the values of 12% and 16%; the maximum is  
499 available in February and October.

500 Considering all the data discussed and the elements introduced in the paper, it is important to highlight that all relevant  
501 data presented are strictly related to the particular building application and to the climate zone under investigation. It is  
502 clear that according to the location of the building to different energy use profile and to the different climate conditions  
503 during the year, the GSHP consumption and the PV generation profiles can vary significantly, along with the relative  
504 repartition of heating and cooling loads like the incidence of the different energy consumption. The effectiveness of the  
505 solution depends on the climate zone, on the relative repartition of the various loads and can be strongly influenced by  
506 the application of advanced control strategies and system optimization.

507

508

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512

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## Figures captions

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