

1 **BIOCHEMICAL METHANE POTENTIAL TESTS OF DIFFERENT**
2 **AUTOCLAVED AND MICROWAVED LIGNOCELLULOSIC ORGANIC**
3 **FRACTIONS OF MUNICIPAL SOLID WASTE.**

4

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10

11 **ABSTRACT**

12 The aim of this research was to enhance the anaerobic biodegradability and methane
13 production of two synthetic Organic Fractions of Municipal Solid Waste with different
14 lignocellulosic contents by assessing microwave and autoclave pre-treatments.
15 Biochemical Methane Potential assays were performed for 21 days. Changes in the
16 soluble fractions of the organic matter (measured by soluble chemical oxygen demand,

Abbreviations: A, autoclave; AD, anaerobic digestion; BMP, biochemical methane potential; COD, chemical oxygen demand; EB, energy produced in form of biogas; ED, energy demand; EQ, energy recovered in form of heat; ET, energy profit of the pretreatment; GS, gas production sum; MW, microwave; OFMSW, organic fraction of municipal solid waste; sCarb, soluble carbohydrates; sCOD, soluble chemical oxygen demand; SD, standard deviation; sProt, soluble proteins; TS, total solids; TVS, total volatile solids.

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17 carbohydrates and proteins), the first order hydrolysis constant k_h and the cumulated
18 methane production at 21 days were used to evaluate the efficiency of microwaving and
19 autoclaving pretreatments on substrates solubilisation and anaerobic digestion.
20 Microwave treatment led to a methane production increase of 8.5% for both the tested
21 organic fractions while autoclave treatment had an increase ranging from 1.0% to 4.4%.
22 Results showed an increase of the soluble fraction after pre-treatments for both the
23 synthetic organic fractions. Soluble chemical oxygen demand observed significant
24 increases for pretreated substrates (up to 219.8%). In this regard, the mediocre results of
25 methane's production led to the conclusion that autoclaving and microwaving resulted
26 in the hydrolysis of a significant fraction of non-biodegradable organic substances
27 recalcitrant to anaerobic digestion.

28

29 *Keywords:* Anaerobic Digestion, Biochemical Methane Potential, Organic Solid Waste,
30 Microwave, Autoclave, Lignocellulosic Matter.

31

32 **1. Introduction**

33 Anaerobic digestion (AD) is an efficient organic waste treatment that has gained
34 interest during the last years as it recovers energy in the form of biogas for use in
35 combined heat and power plants. Nowadays the scientific and technical community is
36 focused in drawing new borders for the development of the process with particular

37 regard to the study of the dark fermentation and the production of biohydrogen
38 (Alibardi and Cossu, 2015; Cappai et al., 2014; De Gioannis et al., 2013) and the
39 application of pretreatments to enhance methane production from lignocellulosic and
40 non-lignocellulosic substrates (Ariunbaatar et al., 2014a, Cesaro and Belgiorno, 2014).

41 The Organic Fraction of Municipal Solid Wastes (OFMSW) contains a high content
42 of lignocellulosic fiber that is not readily digestible. Alibardi and Cossu (2015) studied
43 OFMSW composition investigating five fractions (on weight basis, % w/w): meat-fish-
44 cheese (0.3 - 12%); fruit (12.7 - 24.8%); vegetables (18.2 - 42.3%); pasta-bread (1.3 -
45 12.3%); undersieve (13.0 – 17.5%); rejected materials as paper and cardboard, kernels,
46 etc (17.0 – 22.2%). This latter category and yard waste are typical lignocellulosic
47 fractions which are significant parts in Tuscan OFMSW (Pecorini et al., 2013). For
48 instance, wood fiber of yard waste typically comprises around 25-30% hemicellulose
49 and 45% cellulose, on a dry weight basis (Perez et al., 2002). The encasing of cellulose
50 and hemicelluloses in lignin may considerably restrict anaerobic degradation in which
51 the limiting factor is the hydrolytic phase due to constrained accessibility of particulate
52 substrates by enzymes and/or the complexity of compounds that need to be hydrolyzed
53 (Delgenès et al., 2003). The rupture of the complex structure is essential for enzymatic
54 attack and efficient bioconversion to processes such as hydrolysis, fermentation and
55 biomethanogenesis. Pretreatments of OFMSW to enhance hydrolysis can be used to
56 solubilize organic matter prior to AD in order to improve the overall AD process in

57 terms of faster rates and degree of OFMSW degradation, thus increasing methane
58 production (Cesaro and Belgiorno, 2014; Shahriari et al., 2012). Moreover, substrate
59 pre-treatments have been shown to be a useful step to enhance aerobic biodegradation
60 processes as composting (Ibrahim et al., 2011) and for pathogens destruction
61 (Ariunbaatar et al., 2014a).

62 Several methods have been assessed for their technical feasibility at pre-treating
63 residues. These include enzymatic (Bru et al., 2012), chemical (Dewil et al., 2007),
64 ultrasonic (Cesaro et al., 2014), thermal (Ariunbaatar et al., 2014b; Li and Jin, 2015;
65 Wang et al., 2010), hydrothermal (Lissens et al., 2004, Tampio et al., 2014) and
66 microwave (Marin et al., 2010, Shahriari et al., 2012) treatments. The present research
67 focuses its attention on these latter methods in order to study the anaerobic
68 biodegradability and methane production of two different OFMSW by assessing
69 Autoclaving (A) and Microwaving (MW). The two methods were tested since the
70 former is able to release the cellulosic materials enmeshed in lignin resulting in an
71 increase of smaller molecules available for further processing (Heerah et al., 2008;
72 Papadimitrou et al., 2010) while the latter is an optimal method to solubilize organic
73 solids and as such is a suitable candidate to treat OFMSW (Shahriari et al., 2013).

74 Autoclaving is a hydrothermal treatment where water is used as a reagent at
75 increased temperature and pressure to hydrolyze and solubilise sugars, starch, proteins
76 and hemicelluloses (Tampio et al., 2014). Autoclaving involves the high pressure

77 sterilization of waste by steam which cooks the waste and destroys any bacteria in it
78 (Ibrahim et al., 2011). The main factors influencing the process are temperature,
79 pressure and time. Several studies investigated the effect of these process parameters by
80 studying lighter and more aggressive treatment conditions. Time and temperature
81 depend on the volume of waste feed into autoclave usually ranging between 120–160°C
82 within 1 hour (Ibrahim et al., 2011). Marchesi et al. (2013) studied the biochemical
83 methane potential (BMP) of organic waste after autoclaving for 15-30 minutes at 2 bars
84 and 134°C while Heerah et al. (2008) autoclaved lignocellulosic biomass at 95°C and 1
85 bar for four consecutive cycles each lasting 45 minutes. Papadimitriou (2010)
86 autoclaved commingled household waste for 1 hour at 200°C and 15.5 bars, Tampio et
87 al. (2014) treated source segregated food waste at 160°C and 6.2 bars and Wilson and
88 Novak (2009) studied secondary sludge at 220°C and 28.7 bars for 2 hours. Most of the
89 detected results showed an increase in soluble COD (Heerah et al., 2008; Marchesi et
90 al., 2013; Papadimitriou, 2010) and an increase in methane production (Heerah et al.,
91 2008, Lissens et al., 2004). Bougrier et al. (2008) and Tampio et al. (2014) reported that
92 more aggressive thermal and hydrothermal pre-treatments at higher temperatures
93 (around 180°C) decrease biodegradability and biogas production. This is attributable to
94 the formation of complex and inhibitory Maillard compounds, produced by reactions
95 between amino acids and carbohydrates. Another possible drawback of the treatment is

96 the release of a high total ammonia nitrogen load due to protein solubilisation (Wilson
97 and Novak, 2009) that could induce a methanogenic inhibition.

98 Microwave irradiation is an electromagnetic radiation with a wavelength between
99 0.001 and 1 m, corresponding to an oscillation frequency of 300–0.3 GHz (Appels et al.,
100 2013; Eskicioglu et al., 2007). Domestic “kitchen” microwave ovens and industrial
101 microwave generators are generally operating at a frequency of 2.45 GHz with a
102 corresponding wavelength of 0.12 m and energy of $1.02 \cdot 10^{-5}$ eV (Appels et al., 2013;
103 Beszédes et al., 2008). MW is an alternative method to conventional thermal pre-
104 treatments as it is able to break organic molecules. The cell liquor and extracellular
105 organic matter within polymeric network can release into the soluble phase increasing
106 the ratio of accessible and biodegradable component. This effect could be manifested by
107 different ratio of soluble and total COD and the increased rate of biogas production
108 (Beszédes et al., 2008). The main factors influencing the treatment are temperature,
109 power and irradiation time. Literature reports a range of application of the power
110 between 440-500 W (Elagroudy and El-Gohary, 2013; Rani et al., 2013; Sólyom et al.,
111 2011) and 1250 W (Coelho et al., 2011; Eskicioglu et al., 2007; Marin et al., 2010). The
112 applied temperature covers a wide range of values: from 30°C (Kuglarz et al., 2013) to
113 175°C (Marin et al., 2010). The irradiation time is generally found to be in the order of
114 few minutes (1-10 minutes) even if some works present irradiation time higher than 40
115 minutes (Marin et al., 2010; Shahriari et al., 2012). As for autoclaving, MW with high

116 temperatures, long irradiation time and thus a significant applied energy (e.g. until
117 12000 kJ/kg in Bészedes et al., 2008 and until 2333 kJ/kg in Rani et al., 2013) could
118 lead to the formation of refractory compounds inhibiting the digestion (Marin et al.,
119 2010; Shahriari et al., 2012).

120 The enhancement of methane production due to the application of pre-treatments is
121 generally analyzed through BMP tests (Beszédes et al., 2008; Elagroudy and El-Gohary,
122 2013; Eskicioglu et al., 2007; Kuglarz et al., 2013; Lissens et al., 2004; Marchesi et al.,
123 2013; Marin et al., 2010; Rani et al., 2013; Shahriari et al., 2012; Sólyom et al., 2011;
124 Zhou et al., 2013) while the solubilisation effect is usually monitored through analysis
125 on the soluble fractions of the organic matter.

126 As previously mentioned, many works have already investigated the effect of
127 pretreatments on the anaerobic digestion of several substrates. Nevertheless, it is still
128 not clear whether these treatments are effective on lignocellulosic materials such as it
129 might be the OFMSW. Under this perspective the present work aims at evaluating
130 microwave and autoclave pretreatments on the anaerobic digestion of lignocellulosic
131 OFMSW giving a first indication on which of the two methods is more suitable for a
132 richer or for a meager lignocellulosic OFMSW. Focusing the attention on the
133 lignocellulosic fraction of biowaste, the study was conducted by varying the
134 lignocellulosic content of OFMSW while the pretreatment conditions were not changed.
135 As such it has been selected a single condition for A and MW characterized by low

136 treatment energy with the intention of limiting the energy expenses and prevent the
137 formation of refractory compounds. The objective of this work is therefore to study the
138 enhancement of the anaerobic biodegradability and methane production of two synthetic
139 OFMSW with different lignocellulosic content (M1 and M2) by assessing microwave
140 (M1_MW and M2_MW) and autoclave (M1_A and M2_A) pre-treatments. BMP assays
141 were performed for 21 days (Cossu and Raga, 2008). Changes in the soluble fractions of
142 the organic matter (measured by soluble COD, carbohydrates and proteins), the first
143 order hydrolysis constant k_h and the cumulated methane production (BMP_{21}) were used
144 to evaluate the efficiency of microwaving and autoclaving on substrates solubilisation
145 and AD process.

146

147 **2. Materials and methods**

148

149 *2.1 Organic waste and inoculum*

150 Two different samples of OFMSW with different lignocellulosic contents were
151 assessed. The two samples were achieved taking into account the main fractions of
152 Italian OFMSW (Alibardi and Cossu, 2015) and varying the different amounts in order
153 to control proteins (meat), carbohydrates (pasta) and fibers content (fir sawdust and
154 vegetables). Similarly to Shahriari et al. (2013), M1 sample was characterized by (%
155 w/w): fir sawdust (10%), grass (30%), carrot (10%), cabbage (10%), spinach (10%),

156 cooked meat (7.5%), raw meat (7.5%) and cooked pasta (15%). M2 sample was
157 composed by (% w/w): fir sawdust (25%), grass (20%), carrot (10%), cabbage (10%),
158 spinach (10%), cooked meat (5%), raw meat (5%) and cooked pasta (15%). Pasta and
159 meat were cooked for 10 minutes and then strained. For each fraction proteins,
160 carbohydrates, lipids and fibers (sum of hemicellulose, cellulose and lignin) contents
161 were measured in accordance with the methodologies presented in paragraph 2.3. The
162 average values of proteins, carbohydrates, lipids, fibers and ashes content expressed in
163 total solids percentage (%TS) are presented in Table 1 for M1 and M2. The table reports
164 a higher composition of proteins, carbohydrates and lipids for M1 and a higher fiber
165 composition for M2. The compositions were in line with previous studies. In particular,
166 M1 and M2 were found richer in terms of organic groups contents than what reported
167 by Nielfa et al. (2015) for a typical OFMSW (lipids 0.47 %TS, carbohydrates 6.95
168 %TS, proteins 6.44 %TS, fibers 35.13 %TS), while superior contents were reported by
169 Alibardi and Cossu (2016) for organic waste mixtures (lipids 15-48 %TS, carbohydrates
170 34-64 %TS, proteins 12-45%, fibers as sum of hemicellulose and cellulose 4-6 %TS). In
171 order to reduce the particle size to 3 mm diameter each fraction was treated in a food
172 processor and sift with a strainer. Supplemental tap water was then added to the samples
173 leading to two mashes to guarantee a TS content suitable for an anaerobic plant with
174 wet fermentation technology (9.1 %TS for M1 and 10.0 %TS for M2). As such, dilution
175 ratios were determined 1.7 l/kg for M1 and 2.5 l/kg for M2. The mashes were then

176 stored at 4°C until use. Samples characterization is presented in Table 2. Digested
177 sludge from an anaerobic reactor treating OFMSW was used as the mesophilic
178 inoculum. It had a pH of 7.9 while TS and Total Volatile Solids (TVS) contents were
179 about 2.6±0.1% (w/w) and 61.2±4.6% on TS basis respectively.

180 *Here Table 1.*

181

182 *2.2 Microwave and Autoclave pre-treatments*

183 A commercial domestic microwave oven (2450 MHz frequency, 850 W) was used to
184 irradiate the mashes. The microwave heating was performed in batch at 96°C using 500
185 g of mash placed in a closed vessel to avoid losses caused by hot spot formation during
186 the treatment (Appels et al., 2013; Rani et al., 2013) for a period of 4 minutes (in the
187 range tested by Kuglarz et al., 2013, Rani et al., 2013 and Appels et al., 2013).
188 According to Heerah et al. (2008) autoclaving was carried out using a batch system
189 composed by a conventional pressure cooker operating at a maximum of 134°C and 2
190 bars (Marchesi et al., 2013) heated by a hot plate operating at 400 W. The retention time
191 consisted of 15 minutes to lead the mixtures from atmospheric conditions to 134°C and
192 2 bars followed by 30 minutes of heating at constant conditions. The pretreatment was
193 performed on 1700 g of mash. Both pre-treatments configurations were assessed to
194 avoid high temperature and pressure conditions which result in expensive treatments
195 (Cesaro and Belgiorno, 2014) and could lead to the formation of Maillard compounds.

196 The applied energy resulted in 408 kJ/kg for MW and 424 kJ/kg for A. Each sample
197 was then stored at 4°C until use. The characterization of microwaved and autoclaved
198 samples (M1_MW, M2_MW, M1_A and M2_A) is presented in Table 2.

199 *Here Table 2.*

200

201 *2.3 Analytical parameters*

202 TS, TVS and pH were determined in order to characterize the inoculum and each
203 substrate according to standard methods (APHA, 2006). Ashes and moisture contents
204 were then obtained in accordance with TS and TVS measurements. According to
205 Angelidaki et al. (2006), due to the acidic condition of each substrate, TS determination
206 was performed at 90°C instead of 105°C until constant weight in order to avoid the
207 volatilization of VFA.

208 In order to investigate the solubilisation effect of the pre-treatments, soluble COD
209 (sCOD), soluble Carbohydrates (sCarb) and soluble Protein (sProt) were analyzed
210 before and after pre-treatments. sCOD was analysed to investigate the solubilisation of
211 organic materials in the entire samples while sProt and sCarb were analysed to
212 investigate the behaviour of two macromolecular organic components. The soluble part
213 of each substrate was determined after centrifugation at 12000g for 30 min and
214 subsequent filtration 0.45 µm microfiber filter paper (Marin et al., 2010; Rani et al.,
215 2013).

216 Proteins, lipids and fibers contents were measured in accordance with the European
217 Commission Regulation 2009/152/EC of 27 January 2009. Carbohydrates were then
218 calculated by subtracting to the total amount, the contents of humidity, ashes, proteins,
219 lipids and fibers. COD on the filtrate was measured according to APAT (2003).

220 The increase in the soluble fraction was calculated as given in the following equation
221 Eq. (1) (Rani et al., 2013) where X represents sCOD, sProt and sCarb alternately.

222

$$\Delta X(\%) = \frac{(X_{after\ pretreatment} - X_{before\ pretreatment})}{X_{before\ pretreatment}} \times 100 \quad (1)$$

223

224 2.4 Specific energy

225 The specific energy demand (E_D) was calculated according to Kuglarz et al. (2013)
226 taking into account the power of the microwave/autoclave heating system, the exposure
227 time applied for each treatment and the mass of treated mash in kgTVS. E_D (kJ/kgTVS)
228 was calculated according to Eq. (2):

229

$$E_D = \frac{P_D \cdot t_D}{M_{TVS}} \quad (2)$$

230

231 where:

232

233 P_D : power of microwave generator or hot plate (kW);

234 t_D : exposure time (s);

235 M_{TVS} : mass of treated mash (kgTVS);

236

237 *2.5 Energy balance of the pre-treatment*

238 According to Kuglarz et al. (2013) specific energy profit of the pre-treatment E_T
239 (kJ/kgTVS) was calculated taking into account the E_D of the pre-treatment, the energy
240 produced in the form of biogas and the theoretical amount of energy produced in the
241 form of heat (Eq. (3)):

242

$$E_T = E_B + E_Q - E_D \quad (3)$$

243

244 where:

245

246 E_B : amount of energy produced in the form of biogas after subtracting the energy
247 generated by raw substrates (kJ/kgTVS);

248 E_Q : amount of energy produced in the form of heat (kJ/kgTVS);

249 E_D : amount of energy used for samples pre-treatment performed in certain conditions
250 (kJ/kgTVS).

251

252 E_B was based on an average CH₄ energetic value of 37 kJ/dm³ and BMP₂₁. E_Q (kJ)
253 was calculated as follows (Eq. (4)):

254

$$E_Q = M_S \cdot C_p \cdot \Delta T \quad (4)$$

255

256 where:

257

258 M_S : the mass of substrate equivalent to unit of volatile solids;

259 C_p : the specific heat capacity of substrates (kJ/kg·°C);

260 ΔT : the temperature difference between the mash after pretreatment and the temperature
261 (37°C) of the mesophilic digestion.

262

263 C_p was based on ratio of water and solids. The values of C_p used for calculations
264 amounted to 4.18 and 1.95 kJ/kg°C for water and solids respectively (Kim and Parker,
265 2008; Kuglarz et al., 2013).

266

267 *2.6 Anaerobic biodegradability assays*

268 Anaerobic biodegradability assays were performed for 21 days in order to determine
269 the biogas (GS, gas sum, Cossu and Raga, 2008) and the methane (BMP) production of
270 the evaluated substrates. The analysis were conducted using a modified method of Ponsà

271 et al. (2008) following the basic guidelines and advices included in Angelidaki et al.
272 (2009). The test was performed in quadruplicate for each sample using stainless steel
273 batch reactors (1 L, 2 bar proof pressure) manufactured at DIEF (Department of
274 Industrial Engineering of Florence, Pecorini et al., 2012). The vessels were incubated in
275 a water bath at 37°C and tightly closed by a special cap provided with a ball valve to
276 enable the gas sampling. After set-up the bottles were flushed with inert gas to ensure
277 anaerobic conditions in the headspace of the batches. The bottles were daily shaken to
278 guarantee homogeneous conditions in the assay vessels (Angelidaki et al. 2009). Each
279 reactor was loaded with different amounts of substrate, depending on the characteristics
280 of the materials, to achieve a concentration of substrate of about 1 gTVS/100 ml
281 solution in each batch. This concentration is a compromise of, one hand, the need to use
282 a large sample to have a good representativeness and to get a high easy-to-measure gas
283 production, and, on the other hand, to avoid too large and impractical volumes of
284 reactors and gas production and keep the solution dilute to avoid inhibition from
285 accumulation of volatile fatty acid (VFA) and ammonia (Hansen et al., 2004). Previous
286 tests were assessed with different substrate concentrations (2 and 4 gTVS/100 ml)
287 resulting in the same methane potential (data not shown). This finding guarantees that
288 the methane potential of substrates is not underestimated due to overload or potential
289 inhibition (Angelidaki et al., 2009) and that the use of different amounts of substrates
290 does not affect BMP results. The inoculum to sample ratio was about 1.5:1 TVS basis

291 and kept under 10:1 weight basis according to Ponsà et al. (2008) for fresh feed-in
292 substrate (the amount of inoculum should be enough to prevent the accumulation of
293 VFA and acid conditions). To determine the background methane production a blank
294 assay with only the inoculum was done in triplicate. The inoculum was degassed for 5
295 days in order to deplete the residual biodegradable organic matter (Angelidaki et al.,
296 2009) until the achievement of an endogenous metabolism phase. Prior to the tests, the
297 inoculum response toward a “standard” substrate was checked in duplicate with
298 cellulose with a concentration of 2 gTVS/100 mL solution (Angelidaki et al., 2009) in
299 order to assure the suitability of the sludge for the experiment. The inoculum activity
300 was then found to be 0.13 ± 0.04 gCH₄-COD/(gTVS d). The value obtained agreed with
301 those recommended by Angelidaki et al., 2009 who reported that sludge inoculum must
302 have a minimum specific activity of 0.1 gCH₄-COD/(gTVS d). Therefore, the inoculum
303 used in this study was suitable for performing the anaerobic tests.

304 Biogas production was daily estimated by measuring the pressure in the head space
305 of each reactor and then converting to volume by the application of the ideal gas law.
306 Pressure was measured using a membrane pressure gauge (Model HD2304.0, Delta
307 Ohm S.r.L., Italy). The measured values of pressure were converted into biogas volume
308 as following, Eq. (5):

309

$$V_{biogas} = \frac{P_{measured} \cdot T_{NTP}}{P_{NTP} \cdot T_r} \cdot V_r \quad (5)$$

310

311 where:

312

313 V_{biogas} : volume of daily biogas production, expressed in Normal litre (NI);

314 $P_{measured}$: headspace pressure before the gas sampling (atm);

315 T_r and V_r : temperature (K) and volume (l) of the reactor's headspace;

316 T_{NTP} and P_{NTP} : normal temperature and pressure, (273.15 K and 1 atm respectively).

317

318 The GS was determined as the cumulated biogas production (sum of the daily biogas
319 productions) divided by the TS and the TVS content contained in each batch.

320 In order to determine the methane production, the methane content of the gas was
321 then measured by using an IR gas analyser (ECOPROBE 5 – RS Dynamics). As such,
322 the BMP was calculated as the cumulated methane production (sum of the daily
323 methane productions), divided by the TS and the TVS content contained in each batch.

324 Results were lastly reported at normal conditions after 21 days and presented as GS₂₁
325 and BMP₂₁. The increase in GS₂₁ and BMP₂₁ was calculated on TVS basis as given in
326 the following equation, where X is GS₂₁ and BMP₂₁ alternately. Eq. (6):

327

$$\Delta X_{21}(\%) = \frac{(X_{21\text{after pretreatment}} - X_{21\text{before pretreatment}})}{X_{21\text{before pretreatment}}} \times 10 \quad (6)$$

328

329 According to Angelidaki et al. (2009) results from BMP tests were used to obtain
330 further information on the studied substrates. The first order hydrolysis constant k_h (d^{-1})
331 was calculated thanks to the following equation, Eq. (7):

332

$$\ln \frac{B_{\infty} - B}{B_{\infty}} = -k_h t \quad (7)$$

333

334 where:

335

336 B_{∞} : value of the ultimate methane production;

337 B : methane produced at a given time t .

338

339 k_h and B_{∞} were obtained from experimental data using a fitting procedure that
340 minimized the sampling variance.

341

342 *2.7 Statistical analysis*

343 The differences between pre-treatment results (sCOD, sCarb, sProt, GS₂₁ and
344 BMP₂₁) for the two different meshes (M1 and M2) were compared by one-way
345 ANOVA followed by Tukey-test, with the level of significance set at < 0.05 (Kuglarz et
346 al., 2013). Data significantly equivalent were indicated by the same letters. GS₂₁ and

347 BMP₂₁ values subjected to statistical analysis were means of four independent replicates
348 (n = 4) ± SD (standard deviation) while sCOD, sCarb, sProt were means of three
349 independent replicates (n = 3) ± SD.

350

351 **3. Results and discussion**

352

353 *3.1 Substrate solubilisation by Microwave and Autoclave treatments*

354 MW and A treatments led to the solubilisation of the organic material of both the
355 OFMSW samples. Table 3 presents the mean parameters and the SD of MW, A and un-
356 treated substrates. Significant difference ($p < 0.05$), underlined by different letters, was
357 found for pretreatment results compared to un-treated samples.

358 *Here Table 3.*

359

360 sCOD, sCarb and sProt were found higher for M1 substrates than M2 substrates. This
361 feature is concurring with the OFMSWs initial composition (Table 1) which shows a
362 higher content of proteins, carbohydrates and lipids for M1 mash.

363 An increase of sCOD was found for both treatments and both OFMSW tested
364 samples. This trend was found particularly relevant for the MW treatment with an
365 increase of about 219.8% for M1_MW and 142.4% for M2_MW. The increase of sCOD
366 for MW treatment agrees with Coelho et al. (2011), Elagroudy and El-Gohary (2013),

367 Kuglarz et al. (2013), Marin et al. (2010) and Toreci et al. (2008). The solubilisation
368 effect on carbohydrates and proteins was registered for both treatments (in agreement
369 with Marin et al., 2010; Rani et al., 2013) but it was mainly relevant for the A treatment.
370 Compared to the abovementioned studies, results showed a lower solubilisation effect of
371 carbohydrates and proteins. This is due to the application of treatments characterized by
372 low temperatures and short duration times that translates into the application of little
373 energy per treatment. The higher increase found for sProt and sCarb for A is attributable
374 to the higher temperature reached in A compared to MW. Indeed, as reported by
375 previous studies (Appels et al., 2010; Wilson and Novak, 2009), the increase in
376 temperature is associated to a major release of soluble proteins and carbohydrates. In
377 particular, the thermal effect acts on both decomposition of extracellular polymer
378 substances and cell lysis (Appels et al., 2010; Eskigcioglu et al., 2007). Furthermore, as
379 reported in Table 2, the lower pH found after all treatments could be associated to a
380 release of organic acids during the process (Heerah et al., 2008; Papadimitriou, 2010).

381

382 *3.2 Anaerobic biodegradability assays results*

383 Figure 1 represents the methane production profiles of each substrate on TVS basis.
384 Table 4 reports the results of the BMP test with GS₂₁, BMP₂₁ on TS and TVS basis,
385 mean methane content and k_h .

386 Results showed a higher biogas and methane production for all M1 substrates
387 compared to M2 substrates (M2 was characterized by a higher fibre and lignocellulosic
388 content) which is attributable to the sample composition which is more suitable for
389 anaerobic bacteria. Also the mean methane content found during the monitored period
390 was registered higher for M1 (ranging between 59.9% and 61.6%) than M2 substrates
391 (between 56.2% and 58.1%).

392 MW led to a BMP_{21} increase of 8.5% for both the tested OFMSW while A had an
393 increase of about 1.0% for M1 and 4.4% for M2. The increase in GS_{21} was found more
394 significant with values of 14.7%, 10.0% and 6.7, 8.0% for MW and A treatments
395 respectively. As such, MW was found to be an efficient treatment for both OFMSW
396 while A was found to be more suitable for a lignocellulosic substrate. This statement is
397 concurring with Lissens et al. (2004) who determined a higher increase in BMP for a
398 yard waste rather than a food waste substrate after a wet oxidation treatment.

399 The increase in biogas and methane production was found directly proportional to
400 sCOD release with the highest increase of biogas production found together with the
401 highest release of sCOD (Figure 2). The coefficient of determination (R^2) was
402 calculated for both mashes and found above 0.98 guarantying a good approximation of
403 the linear correlation. This finding concurs with Beszédes et al., (2008) and Elagroudy
404 and El-Gohary, (2013) which reported an increase of methane production together with
405 an increase in sCOD. Although this, the significant increase in sCOD does not

406 correspond with a similar increase in methane production (e.g. for MW1: Δ sCOD =
407 219.8% while Δ BMP₂₁ = 8.5%). This outcome suggests that most of the released sCOD
408 was not biodegradable and it was not converted into methane. Indeed, 1 gram of
409 biodegradable COD produces around 400 mL of CH₄ (Field et al., 1988) and according
410 to the increase of sCOD, the increase in methane production does not reflect this
411 relation supporting the case that the sCOD produced from MW or A was mainly
412 composed of non-biodegradable substances. In this regard, the non-biodegradable
413 fraction can be associated to recalcitrant compounds such as lignin or lipids hydrolysis
414 products (Alibardi and Cossu, 2016; Chen et al., 2008).

415 The higher increase in biogas production and sCOD recorded for MW compared to A
416 is attributable to the different action mechanism of the pre-treatments, and, in particular,
417 to the athermal effect of MW. Indeed while A increases the ionized products of water
418 which are able to hydrolyze the macromolecules at elevated temperature and pressure
419 (Yin et al., 2014), MW can improve the rupturing of the cell wall in two different ways:
420 thermal and athermal effect (Cesaro and Belgiorno, 2014; Houtmeyers et al., 2014;
421 Solyom et al., 2011). The former corresponds to degradation caused by temperature
422 increase. The latter occurs when the alternating electric field of microwaves is able to
423 force the polarized side chains of the cell wall macromolecules to break their hydrogen
424 bonds, and thus alter their structure. As reported by previous studies (Eskicioglu et al.,
425 2007; Pino-Jelcic et al., 2006), the athermal effect of MW is manifested through a

426 difference in sCOD and/or increased rates of biogas production compared to other
427 treatments.

428 *Here Table 4.*

429

430 *Here Figure 1.*

431

432 *Here Figure 2.*

433

434 An inverse correlation was found between the increase of methane production and
435 the increase of protein solubilisation. Indeed, the more is the protein solubilisation, the
436 less is the increase in methane production (M1_A and M2_A results). Analysing the
437 ratio sCarb/sProt calculated for both treatments and presented in Table 3, this parameter
438 was directly proportional to methane and biogas production. Even in the presence of a
439 relevant increase in sCarb, the increase in sProt reduces the ratio and simultaneously the
440 methane production.

441 The increase in methane production noticed for MW and A is in agreement with
442 what reported in previous batch studies (Eskicioglu et al., 2007; Kuglarz et al., 2013;
443 Sólyom et al., 2011 for MW and Marchesi et al., 2013 for A). The different
444 pretreatments applied did not affect significantly biogas and methane potential ($p >$
445 0.05) which is agreement with previous studies (Kuglarz et al., 2013).

446 Results on the first order hydrolysis underlines what previously reported. k_h was
447 found higher for M1 samples than M2 ones; furthermore for M1 k_h was registered
448 superior for MW while for M2 k_h was determined slightly superior for A underlining the
449 efficiency on the hydrolysis phase of MW on a meager lignocellulosic substrate and A
450 on a rich lignocellulosic substrate.

451

452 *3.3 Energy balance of the pre-treatment*

453 Energy efficiency is a crucial factor influencing the economic feasibility and
454 justifying the mash pre-treatment (Kuglarz et al., 2013). E_D , E_B , E_Q and E_T for the
455 different treatments and substrates are presented in Table 5.

456 *Here Table 5.*

457

458 Analysing the specific energy balance, no energy profits were registered for all
459 treatments. This was mainly due to the low increase in biogas production compared to
460 raw substrate digestion and to laboratory scale conditions (Cesaro and Belgiorno, 2014).
461 In particular, the amount of E_B and E_Q did not balance E_D . A negative energy balance
462 was also reported by previous studies performing low-energy treatments: Houtmeyers et
463 al., 2014 and Appels et al., 2013 carried out microwaving by applying 96 kJ/kg and 336
464 kJ/kg respectively. Under this perspective, energy balances proved that under these
465 conditions pre-treatments were not energetically feasible. Comparing the two methods

466 applied in the present study, even if with negative results, MW showed better energetic
467 response than A. Other studies showed relevant increase in total energy. Kuglarz et al.
468 (2013) studied the energy balance of the application of various microwave and thermal
469 pre-treatments on secondary sludge finding the best energy balance for the most severe
470 treatment conditions (E_D 8094 kJ/kgTVS) which result in high E_B and E_Q values, not
471 found in the present work. Further investigations with different pre-treatment conditions
472 are necessary to examine the feasibility of such pre-treatments on lignocellulosic
473 OFMSW.

474

475 **4. Conclusions**

476

477 The application of A and MW to lignocellulosic substrates resulted in an increase of
478 methane production and solubilisation. Microwaving was proved effective for both the
479 tested OFMSW with an increase of BMP_{21} and sCOD. Autoclaving showed lower
480 increases in biogas production compared to MW with the best responses found for the
481 most lignocellulosic OFMSW. Although this, the significant increase in sCOD did not
482 correspond with a similar increase in methane production. This finding suggests that
483 most of the sCOD produced from MW or A was composed of non-biodegradable
484 substances that were not converted into methane.

485 Also in the matter of k_h , analysis underlined the better impact on the hydrolytic phase
486 of MW on the meager lignocellulosic substrate and A on the richer lignocellulosic
487 substrate. No energy profit was registered for any tested pretreatment due to the low
488 increase in biogas production. Despite this, even if with negative results, MW showed
489 better energy balance than A.

490 Further investigations with different treatment conditions and lignocellulosic
491 contents are required to better probe the pre-treatment efficiency on the AD of
492 OFMSW.

493

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499

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Table 1

Proteins, lipids, carbohydrates, fibers and ashes content expressed in % of dry matter (%TS) for M1 and M2 synthetic OFMSW.

	Proteins [%TS]	Lipids [%TS]	Carb. [%TS]	Fibers [%TS]	Ashes [%TS]
M1	17.8	11.7	35.1	32.0	3.5
M2	10.5	6.4	26.2	54.8	2.2

Table 2

Substrates characterization. pH, TS and TVS/TS with mean and standard deviation values.

	M1	M1_MW	M1_A	M2	M2_MW	M2_A
TS [%]	9.2 ± 0.1	9.1 ± 0.1	11.1 ± 0.0	10.0 ± 0.1	8.6 ± 0.4	11.9 ± 0.1
TVS/TS [%]	96.5 ± 0.1	96.6 ± 0.1	96.9 ± 0.1	97.8 ± 0.1	97.6 ± 0.0	97.8 ± 0.1
pH	3.84 ± 0.01	3.51 ± 0.02	3.41 ± 0.02	4.22 ± 0.02	3.69 ± 0.02	3.46 ± 0.01

Table 3

Substrates solubilisation in terms of soluble COD, carbohydrates, proteins and sCarb/sProt ratio. Soluble carbohydrates and proteins data are expressed in % of dry matter (%TS). Δ sCOD, Δ sCarb and Δ sProt represent the relative error referred to untreated substrates M1 and M2 (data analyzed statistically for each mash separately, the same letters showing that the values are not significantly different $p > 0.05$).

	M1	M1_MW	M1_A	M2	M2_MW	M2_A
sCOD [mg/l O ₂]	19700 ± 4400 ^a	63000 ± 14000 ^b	25500 ± 5600 ^a	17200 ± 3800 ^c	41700 ± 9200 ^d	32200 ± 7100 ^d
Δ sCOD [%]	-	219.8	29.4	-	142.4	87.2
sCarb [%TS]	49.9 ± 4.2 ^a	54.0 ± 5.1 ^{a,b}	64.1 ± 6.3 ^b	26.2 ± 5.1 ^c	30.8 ± 5.9 ^c	35.4 ± 6.8 ^c
Δ sCarb [%]	-	9.4	29.9	-	17.7	35.5
sProt [%TS]	19.8 ± 5.5 ^a	19.4 ± 5.8 ^a	25.7 ± 6.3 ^a	14.8 ± 3.4 ^b	15.2 ± 3.7 ^b	18.1 ± 4.3 ^b
Δ sProt [%]	-	-2.1	29.8	-	2.9	22.9
sCarb/sProt	-	2.78	2.49	-	2.03	1.95

Table 4

Results of the anaerobic biodegradability assays expressed in terms of methane content, k_h , GS_{21} , BMP_{21} , ΔGS_{21} and ΔBMP_{21} . The percentages represent the relative error referred to untreated substrates M1 and M2 (data analyzed statistically for each mash separately, the same letters showing that the values are not significantly different $p > 0.05$).

	M1	M1_MW	M1_A	M2	M2_MW	M2_A
CH ₄ [%]	61.6 ± 0.2	59.9 ± 0.8	60.0 ± 0.9	58.1 ± 0.7	57.2 ± 0.1	56.2 ± 0.5
k_h [d ⁻¹]	0.221	0.218	0.202	0.210	0.196	0.200
GS_{21} [NI/gTS]	193.9 ± 16.6 ^a	216.1 ± 7.7 ^a	208.1 ± 14.3 ^a	147.7 ± 8.1 ^b	159.2 ± 0.7 ^b	158.2 ± 7.8 ^b
GS_{21} [NI/gTVS]	267.1 ± 20.4 ^a	306.4 ± 13.6 ^b	285.1 ± 28.2 ^{a,b}	196.9 ± 10.8 ^b	216.6 ± 0.9 ^d	212.7 ± 7.7 ^{c,d}
ΔGS_{21} [%]	-	14.7	6.7	-	10.0	8.0
BMP_{21} [NiCH ₄ /gTS]	125.0 ± 8.2 ^a	136.9 ± 8.4 ^a	126.9 ± 8.4 ^a	90.0 ± 3.5 ^b	96.1 ± 0.7 ^c	93.2 ± 4.4 ^{b,c}
BMP_{21} [NiCH ₄ /gTVS]	172.1 ± 9.8 ^a	186.7 ± 6.5 ^a	173.8 ± 16.6 ^a	119.9 ± 4.7 ^b	130.2 ± 0.7 ^c	125.2 ± 4.2 ^{b,c}
ΔBMP_{21} [%]	-	8.5	1.0	-	8.5	4.4

Table 5

Energy demand (E_D), energy produced in the form of biogas (E_B) and heat (E_Q) and profit (E_T) of the pre-treatments.

	E_B [kJ/kgTVS]	E_D [kJ/kgTVS]	E_Q [kJ/kgTVS]	E_T [kJ/kgTVS]
M1_MW	540.2	4445.1	2580.6	- 1324.3
M1_A	62.9	6921.4	4200.0	- 2658.5
M2_MW	410.7	4178.5	2415.5	- 1352.3
M2_A	225.7	6506.3	3931.3	- 2349.3