

## **Abstract**

 Over the past decades, the number of studies employing the pig brain as a model for neurochemical studies has dramatically increased. The key translational features of the pig brain are the similarities with the cortical and subcortical structures of the human brain. Besides, the caudalmost part of the pig claustrum (CL) is characterized by a wide enlargement called posterior puddle, an ideal structure for physiological recordings. Several hypotheses have been proposed for CL function, the key factor being its reciprocal connectivity with most areas of the cerebral cortex and selected subcortical structures. However, afferents from the brainstem could also be involved. The brainstem is the main source of catecholaminergic axons that play an important neuromodulatory action in different brain functions. To study a possible role of the CL in catecholaminergic pathways, we analyzed the presence and the distribution of afferents immunostained with antibodies against tyrosine hydroxylase (TH) and dopamine beta hydroxylase (DBH) in the pig CL. Here we show that the CL contains significant TH immunoreactive axons contacting perikarya, whereas projections staining for DBH are very scarce. Our findings hint at the possibility that brainstem catecholaminergic afferents project to the CL, suggesting a) a possible role of this nucleus in functions controlled by brainstem structures; and, consequently, b) its potential involvement in the pathophysiology of neurodegenerative pathologies, including Parkinson's disease (PD).

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### **Introduction**

 Over the past decades, the number of studies employing the pig brain as a model for neurochemical studies has dramatically increased. The key translational features of the pig brain are its size (large enough to allow a wide range of physiological, neurosurgical and imaging investigations), and the similarities with the cortical and subcortical structures of the human brain (Jelsing et al., 2006; Lind et al., 2007). Furthermore, the caudalmost part of the pig CL is characterized by a wide enlargement called posterior puddle (Figure 1). This latter vast posterior puddle (see Félix et al., 1999, coronal sections A 3.50. To A 0.50) is ideally suited for physiological recording, which would be difficult to perform in other species because of the small size of the structure and its continuity with adjoining structures (Johnson et al., 2014).

 The CL is a subcortical nucleus present in all mammalian species examined so far, including man (Kowiański et al., 1999). The structure, function and origin of the CL are still a matter of debate (Edelstein and Denaro, 2004; Crick and Koch, 2005; Pirone et al., 2012; Mathur, 2014; Deutch and Mathur, 2015; Goll et al., 2015). Recent studies employing innovative techniques have investigated the structural connectivity of the CL (Day-Brown et al., 2016; Reser et al., 2016; Wang et al., 2016; Watson et al., 2016), revealing extensive and reciprocal links with different cortical and subcortical structures. Several articles have described the neurochemistry of the CL, contributing to the understanding of its intrinsic and extrinsic connectivity (Rahman and Baizer, 2007; Kowiański et al., 2009; Cozzi et al., 2014; Pirone et al., 2014; Hinova-Palova et al., 2014; Pirone et al., 2015; Orman et al., 2016; Pirone et al., 2016). Recently, Barbier and colleagues (2016) analyzed the innervation of the rat CL, and they did not report the presence of tyrosine hydroxylase (TH)-positive axons. TH is involved in the first, rate-limiting step of catecholamine biosynthesis, hydroxylating the amino acid precursor tyrosine to dihydroxyphenylalanine (L-DOPA), that is subsequently converted into dopamine. The latter, by means of dopamine-β-hydroxylase (DBH), is transformed into norepinephrine (Craine and Daniels, 1973; Daubner et al., 2012).

 TH-immunoreactive axons represent dopaminergic and noradrenergic afferents from the ventral tegmental area (VTA) and the locus coeruleus, respectively (Chandler, 2016; Morales and Margolis, 2017). Dopaminergic neurons are also localized in the substantia nigra, pars compacta, and their projections to the dorsal striatum give raise to the nigrostriatal pathway. Degeneration of these neurons is directly linked to symptoms of Parkinson's disease (PD) (Brichta et al., 2013; Ledonne and Mercuri, 2017). Within this relatively well known framework, some studies performed in the last decade have hypothesized a specific role for the CL, including acting as "orchestra conductor" (Crick and Koch, 2005); sensory integration/coincidence detection (Smythies et al., 2014);

 modulation/switching of cortical functional networks (Reser et al., 2014); modulation of selective attention (Mathur, 2014); and center for delusional states (Reser and Patru, 2015). These hypotheses are grounded on the reciprocal connectivity of the CL with most areas of the cerebral cortex and with selected subcortical structures. However, brainstem afferents could also be involved; in particular, dopaminergic innervation potentially modulate all the functions that have been proposed for the CL.

 Considering the scarcity of data on the expression of TH and DBH in the CL, its changes in patients with PD (Braak et al., 2001; Braak et al., 2007; Kalaitzakis and Pearce, 2009) and the relevant neuromodulatory actions of the dopaminergic and noradrenergic systems in the brain, we decided to study the presence of TH and DBH within the pig CL.

# **Experimental**

#### **Animals and tissue sampling**

 The brains of 10 adult pigs (*Sus scrofa domesticus*) were removed immediately after commercial slaughtering at a local abattoir (Desideri Luciano SPA, Via Abruzzi, 2 56025 - Pontedera PI, Toscana - Italy). Animals were treated according to the European Regulation (CE1099/2009) concerning animal welfare during the commercial slaughtering process, and were constantly monitored under mandatory official veterinary medical care. All the animals were in good body condition and considered free of pathologies by the veterinary medical officer responsible for the health and hygiene of the slaughterhouse. The brains, extracted within 15 min of death, were cut into transverse sections (0.5 cm thick) containing the CL and the adjoining structures (putamen and insular cortex) in their rostro-caudal extent. Five brains were processed for immunohistochemistry and five for western blot analysis. Sections were fixed by immersion in 4% paraformaldehyde in 0.1M phosphate-buffered saline (PBS) at pH 7.4. Tissues from the right hemisphere were processed for paraffin embedding while samples from the left hemisphere after fixation were cryoprotected by saturation in a 20% sucrose solution (Sigma, St. Louis, MO) in 0.1M PB for 24 hours at 4 °C, snap-frozen on powdered 131 dry ice, and stored at  $-80$  °C until use.

### **Western Blot**

 After brain extraction, transverse sections (0.5 cm thick) containing the CL and the adjoining structures were cut. For CL sampling, we considered only the caudal sections because in this region the pig CL presents an exceptional wide extension (Fig. 1). CL, putamen and insula were quickly 136 sampled under a stereomicroscope and immediately stored at -80 °C. Protein extracts obtained from porcine CL, insula and putamen were analyzed by western blot to evaluate the presence of TH and 138 DBH using specific antibodies. 30  $\Box$ g of proteins were resolved by 12% SDS-PAGE gels and 139 transferred onto nitrocellulose membranes  $(0.2 \Box m)$  using a voltage of 25V for 7 min (Trans- Blot®TurboTM Transfer System; Bio-Rad). Membranes were blocked and then incubated with appropriately with the following primary antibodies: anti-TH (1:300, S. Cruz Biotech., Inc., sc- 14007); anti-DBH (1:300, Chemicon Int., MAB308). HRP-conjugated goat anti-rabbit (1:10000, Enzo life science, ADI-SAB-300J) and HRP-conjugated goat anti-mouse (1:10000, Perkin Elmer, NEF822) were used as secondary antibodies. The chemiluminescent images were acquired by LAS 4010 (GE Health Care).

### **Immunohistochemistry**

 Immunoperoxidase reaction was performed on serial paraffin sections (5 µm) using a rabbit polyclonal anti-TH antibody (1:300, S. Cruz Biotech., Inc., sc-14007) and a mouse monoclonal anti- DBH (1:300, Chemicon Int., MAB308). Epitope retrieval was carried out at 120 °C in a pressure 151 cooker for 5 min with a Tris/EDTA buffer, pH 9.0. Sections were pretreated with 1%  $H_2O_2$  (in 0.1 M phosphate-buffered saline (PBS), pH 7.4, 10 min) to quench endogenous peroxidase activity, then rinsed with 0.05% Triton-X (TX) -100 (in 0.1 M PBS, 3 x10 min), and blocked for 1 h with 5% normal horse serum (PK-7200, Vector Labs, Burlingame, CA) (in 0.1 M PBS). Sections were incubated overnight at 4 °C in a solution containing either the rabbit anti-TH or the mouse anti-DBH with 2% normal horse serum, 0.05% TX-100 (in 0.1 M PBS). Sections were then rinsed in 0.1 M PBS, (3 x10 min), followed by incubation with either a biotinylated anti-rabbit IgG (5 µg/ml, BA- 1100, Vector Labs, Burlingame, CA) or biotinylated anti-mouse IgG (5 µg/ml, BA-2001, Vector Labs, Burlingame, CA) and then with ABC reagent (Vectastain Kit, PK-7200, Vector Labs, Burlingame, CA). Sections were again rinsed in 0.1 M PBS, for 3 x 10 min. Staining was visualized by incubating the sections in diaminobenzidine (sk-4105, Vector Labs) solution. The specificity of immunohistochemical staining was tested by replacing either the primary antibodies, anti- rabbit/mouse IgG, or the ABC complex with PBS or non-immune serum. Under these conditions, staining was abolished. Specificity of the DBH antibody had already been tested in previous studies (http://antibodyregistry.org/search.php?q=AB\_2314290). Furthermore, we verified the labeling quality of the primary antibodies using cryostats sections of archival rat brains and either cryostat or paraffin sections of the pig brainstem as positive controls. Under these conditions, both antibodies labeled neurons in the locus coeruleus (supplementary material: Figures 1, 2 and 3).

## **Double Immunofluorescence**

170 Immunofluorescent reactions were performed on cryostat sections (20  $\mu$ m) collected on gelatin- coated slides, using a rabbit polyclonal anti-TH antibody (1:300, S. Cruz Biotech., Inc., sc-14007), and a mouse monoclonal anti-DBH (1:300, Chemicon Int., MAB308), and a mouse anti-neuronal nuclei (NeuN) monoclonal antibody (1:1000, MAB377, Millipore). Sections were blocked for 1 h with 2% bovine serum albumin (BSA, A7906, Sigma-Aldrich), 0.1 % TX-100 in PBS followed by 175 incubated overnight at 4  $\degree$ C in a solution containing the rabbit anti-TH and the mouse anti-NeuN (TH/NeuN) with 0.1% BSA, 0.05% TX-100 in PBS. Sections were then rinsed in 0.1 M PBS, (3 x10 min), and incubated with a fluorescein anti-rabbit IgG (10 µg/ml, FI-1000, Vector Labs, Burlingame, CA) and DyLight 649 anti-mouse IgG (10 µg/ml, DI-2649, Vector Labs, Burlingame, CA) for 1 hour 179 at room temperature. For DBH/NeuN double stained sections were first incubated overnight at 4 °C

with DBH, followed by incubation with fluorescein-conjugated anti-mouse IgG (10 µg/ml, FI-2000,

Vector Labs, Burlingame, CA) for 1 hour at room temperature. Then, after the first staining, sections

- 182 were washed with PBS and incubated with NeuN overnight at 4 °C. Tissues were then incubated with
- anti-mouse IgG (10 µg/ml, FI-2000, Vector Labs, Burlingame, CA) diluted in PBS for 1 hour at room
- temperature.

 Finally, sections were washed with PBS and cover-slipped with Vectashield with DAPI (H-1500, Vector Labs, Burlingame, CA).

# **Image acquisition and processing**

 Microphotographs were collected under a Nikon Ni-e light microscope (Nikon Instruments Spa Calenzano, Firenze IT), fully equipped for fluorescence acquisition, connected to a personal computer via a Nikon digital image processing software (Digital Sight DS-U1, NIS-Elements BR-4.13.00 software). To better localize the CL boundaries, selected sections were stained with the Luxol Fast Blue method. The CL and adjoining structures, as well as the boundaries of other key structures examined in the present study were identified according to a stereotaxic atlas (Félix et al., 1999), using the following coordinates for coronal sections: CL, A 17.50 - A 0.50; *locus coeruleus*, P 5.00 – P 9.00; *substantia nigra* (*pars compacta* and *pars reticulata*), A 6.50 – A 1.00; putamen A 17.50 – A 1.50.

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# 209 **Table 1. Primary Antibodies**



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# 211 **Table 2. Secondary Antibodies**



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### **Results**

### **Western Blot**

 Immunoblot analysis was performed to evaluate the presence and the expression levels of TH and DBH in the CL, putamen, and insula, and to test the specificity of the commercial antibodies selected. A single immunoreactive band at 60 KDa was detected for anti-TH, while two main immunoreactive 227 bands were observed for anti-DBH. All sampled regions were immunoreactive for both TH and DBH; however, TH immunoreactivity was more intense in protein extracts from the putamen than from the 229 CL and especially from the insula (Figure 2).

### **Immunohistochemistry**

 Immunoperoxidase staining revealed that the TH-positive innervation was extremely intense in the putamen, intense in the CL and moderate in the insular cortex (Fig. 3, 4). The anti-TH antibody never labeled either neuronal or neuroglia cell bodies. On the contrary, fibers stained positively. Some longitudinal fibers were thicker, dark-stained with spherical varicosities (Fig. 4 D). Blood vessel endothelial cells also displayed TH staining (Fig. 5). TH-positive axons running in all directions were seen throughout the rostro-caudal and the dorso-ventral extent of the CL with a homogeneous distribution. There were also many immunostained puncta, possibly the results of cross sections of fibers running in an anterior-posterior direction. Positive fibers showed numerous varicosities and terminals that surrounded and defined the cell bodies (Fig. 5 C, D).

 We did not observe any DBH immunoreactivity in paraffin sections, while scarce axons were found in the CL in the cryostat sections stained with immunofluorescence; the endothelial cells of vessels contained DBH (Fig. 6).

### **Double immunofluorescence**

 Observation of sections labeled with a dual immunofluorescence procedure showed that TH axons were in close contact with CL neurons, marked with NeuN (Fig. 5 A, B). On the contrary, none of 248 the few DBH fibers seemed to reach cell bodies (Fig. 6).

# **Discussion**

 The present study describes the dopaminergic and noradrenergic innervation in the CL of the pig, using TH and DBH respectively as immunohistochemical markers. The specificity of the primary antibodies employed was tested performing a western blot analysis that revealed the presence of both TH and DBH in the putamen, in the CL, and in the insula cortex. Two main immunoreactive bands were observed for anti-DBH that probably represent the glycosylated (higher MW) and soluble (lower MW) forms of DBH (Feng et al., 1992). Moreover, the quality of the TH labeling was supported by the extremely dense immunostaining in the putamen. Immunoperoxidase on paraffin sections did not reveal positivity to DBH in the CL, while in the locus coeruleus (LC) of the pig, DBH positive neurons were detected (Figure 3 supplementary material). Moreover, using cryostat sections very few DBH immunofluorescent fibers were seen in the CL. All the above-mentioned findings led us to speculate that the very low levels of DBH in the CL were not detectable in paraffin embedded samples: it is indeed known that formalin-fixation-paraffin-embedding commonly results in antigenicity decrease. However, we cannot exclude differences between the right and left hemispheres: indeed, the right and left CL may have slightly different functional significance (Naghavi et al., 2007) and an asymmetrical size (Cao et al., 2003).

 We found that both enzymes were expressed by endothelial cells: a former study showed that they are able to synthesize and release catecholamine (Sorriento et al., 2012). The very scarce number of DBH-immunoreactive fibers together with the finding that they were not in contact with perikarya indicate that the CL, at least in this species, is not the target of noradrenergic axons. On the contrary, the presence of an intense TH innervation with and positive axons contacting neurons, shows that the CL is a probable recipient for afferents containing dopamine (Figure 7). This latter hypothesis is further supported by the presence of numerous TH-labeled varicosities which witness the presence of *en passant* synapses.

 Former studies corroborate our data, since different dopamine receptor subtypes have been demonstrated in the CL of several species (Cortimiglia et al., 1982; Fuxe et al., 1987; Schiffmann et al., 1990; Meador-Woodruff et al., 1992).

 In the literature, data regarding the TH and DBH innervation of the CL are very scarce. Previous studies reported a faint dopaminergic innervation in the rat CL and a high TH immunostaining in the human CL (Fallon et al., 1978; Sutoo et al., 1994). In a recent study of the rat CL, the authors described melanin-concentrating hormone-positive axons, but not TH-positive axons (Barbier et al., 2016). Dopamine, noradrenaline and serotonin were detected in the normal human CL, using high-performance liquid chromatography and electrochemical detection. Furthermore, a significant

 reduction of dopamine and noradrenaline content has been reported in the CL of patients affected by PD (Sitte et al., 2016).

 In the past decade, several hypotheses have been proposed to associate a specific function to the CL (Crick and Koch, 2005; Smythies et al., 2012; Reser et al., 2014; Goll et al., 2015; Reser and Patru, 2015). These hypotheses share a common feature: the key factor is the reciprocal connectivity of the CL with most areas of the cerebral cortex and selected subcortical structures. Our findings hint at the possibility that brainstem catecholaminergic afferents may project to the CL. Indeed, TH- immunoreactive axons represent the dopaminergic and noradrenergic pathways from the ventral tegmental area (VTA) and the locus coeruleus, respectively (Chandler, 2016; Morales and Margolis, 2017). Dopaminergic neurons are also localized in the substantia nigra pars compacta, and their projection to the dorsal striatum constitute the nigrostriatal pathway (Ledonne and Mercuri, 2017). Thus, based on our results, CL function could be affected by direct ascending inputs from the brainstem. This latter hypothesis disagrees with the observations by Barbier et al., (2016), who did not find TH projections in the rat CL. However, in line with our hypothesis, a previous study demonstrated the presence of serotonin-containing afferents from the raphe nuclei in the CL of the crab-eating macaque *Macaca fascicularis* (Baizer, 2001). The CL of the pig seems to be reached by dopaminergic, but not noradrenergic axons; indeed, DBH fibers were very scarce and did not contact neurons. This suggests that TH-positive innervation originates mainly from VTA or/and *substantia nigra pars compacta*, whose projections to the CL have already been reported in other species (Druga, 2014). Since TH innervation has a multiple origin, our results are not sufficient to prove an involvement of the CL in reward and motivation pathways and in the pathophysiology of PD. However, the dense dopaminergic immunoreactivity observed here supports the results of previous studies describing the pathological changes of CL in patients with PD (Braak et al., 2001; Braak et al., 2007; Kalaitzakis and Pearce, 2009). Furthermore, our observations provide a neuroanatomical support for a direct dopamine modulation of CL neurons, corroborating the theory proposed by Reser and Patru (2015) on their involvement in delusional states.

 We would like to emphasize that our study also suggests the presence of species differences in the structures and connectivity among mammals. To a certain extent, they may simply reflect the growing complexity of the basal prosencephalon in large-brained mammals, or be indicative of a more complex connectivity spectrum in different species (Figure 7). We also emphasize that extrapyramidal motor pathways, and generators of motor schemes in the brainstem with all their reciprocal prosencephalic connections, have a great importance in hoofed animals, including Perissodactyla and terrestrial Cetartiodactyla (like the pig) (for a recent description see Cozzi et al., 2017).

 In conclusion, the CL of the pig is densely innervated by TH axons, which contact cell bodies within the structure, but is scarcely innervated by DBH fibers. Such projections witness a possible role of this nucleus in functions that are controlled by brainstem structures, and may be important in the pathophysiology of neurodegenerative pathologies, including PD.

# **Author Contributions**

 AP, VM, BC, EG conceived the study; AP, VM, FC performed the laboratory experiments; AP, VM, BC, EG, FC analyzed the data; AP, VM, BC, EG, FC drafted the manuscript; AP, VM, BC, EG, FC revised it critically for important intellectual content. All the authors read and approved the final manuscript.

# **Conflict of Interest Statement**

 This research was conducted in the absence of any commercial or financial relationships that could be construed as potential conflict of interest.

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 **Figure 1. Posterior puddle.** Photographs of a coronal section of the pig brain showing the caudal 460 part of the claustrum (black circle) that form a large mass of about  $0.5$  cm in diameter. Scale bar = 1 cm.



 **Figure 2**. **Western Blot**. Immunoblot analysis revealed the presence and the expression levels of both TH and DBH in the putamen (Pu), Insula (In) and claustrum (Cl). A single immunoreactive band at 60 KDa was detected for anti-TH (arrow), while two main immunoreactive bands (arrows) were observed for anti-DBH, probably representing the glycosylated (higher MW) and soluble (lower MW) forms of DBH. St, standard.



**Figure 3. TH immunohistochemistry.** TH-immunoreactive fibers in the putamen (Pu), claustrum

(Cl) and insula cortex (In). The immunostaining was extremely dense in the Pu, dense in the Cl and

471 moderate in the In. Arrows indicate the magnified zones. Scale bars in the first row =  $100 \mu m$ , in the

472 second row  $= 50 \text{ µm}$ .



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 **Figure 4**. **TH-labeled fibers in the claustrum and adjacent structures.** (A) photograph of a coronal section of the pig brain, black rectangle shows the immunostained area represented in figure B. (B) Low magnification image showing immunostaining in the putamen (Pu), in the claustrum (dashed line, Cl) and cortex (Co). (C) Higher magnification of the zone indicated with the black square (c) in image B. (D) Higher magnification of a part (d, black square) of image C, arrow indicates a thick TH 479 fiber with round varicosities. Scale bars =  $500 \mu m$  (A),  $100 \mu m$  (B),  $50 \mu m$  (C), 1 cm (D).



 **Figure 5**. **TH immunostaining in the claustrum.** (A, B) Immunofluorescent endothelial cells (white arrows) and axons (green) contacting cell bodies (NeuN, red). (C, D) Immunoperoxidase reaction showing TH-ir puncta and axons with varicosities running at all directions. Arrowheads indicate terminals that surrounded and defined cell bodies. Black arrows indicate positive endothelial cells. 485 Scale bars =  $10 \mu$ m.

![](_page_18_Figure_2.jpeg)

 **Figure 6. DBH immunostaining in the claustrum**. (A, B) Immunofluorescent (green) axons (arroheads) and endothelial cells (arrows).

![](_page_19_Figure_0.jpeg)

 **Figure 7**. Schematic representation of the possible connections between the brainstem and the claustrum in man (left) and swine (right). The top part represents the chemical pathways, and the bottom part the possible outputs.