

1 **Neutrophil-to-lymphocyte ratio, nucleated red blood cells and erythrocyte modifications in canine systemic**
2 **inflammatory response syndrome**

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13 **Abstract**

14 Systemic inflammatory response syndrome (SIRS) is the manifestation of the systemic response to an infectious
15 or non-infectious disease. We evaluated the association between erythrocyte and leukocyte ratio modifications
16 (NLR, BLR and BNLR) in canine SIRS and the severity of illness and outcome. Dogs were divided in 3 groups:
17 SIRS (n=90), healthy (n=50) and chronic group (n=50). A SIRS grading was obtained based on how many criteria
18 were fulfilled. The Acute Patient Physiology and Laboratory Evaluation (APPLE_{fast}) was allocated in SIRS dogs.
19 Survival rate was assessed 15 days after admission. Dogs with cytology or culture positive for active bacterial
20 infections were recorded as septic. Dogs with hemolytic or hemorrhagic disorders were excluded. An APPLE_{fast}
21 score >25 ($p=0.03$) and a SIRS grading >2 ($p=0.001$) were associated with mortality. Thirty-two dogs (35%) were
22 recorded as septic. SIRS group showed lower RBC, HCT and HGB values than the other two groups ($p<0.001$).
23 Twenty-two of SIRS dogs (24%) showed circulating NRBCs. The NRBC count was higher only in the SIRS group
24 than healthy dogs ($p=0.0007$). The occurrence of circulating NRBCs was associated with poor outcome in SIRS
25 groups ($p=0.005$). NLR was higher in SIRS group than control groups ($p<0.0001$) and lower in septic dogs
26 ($p=0.016$). APPLE_{fast}, SIRS grading and circulating NRBCs should be considered as negative prognostic factors
27 in canine SIRS. NLR, BLR and BNLR could be useful tools in dogs with SIRS, especially NLR which was
28 significantly lower in septic group. Further prospective, large-scale studies investigating BLR and BNLR in canine
29 SIRS are warranted.

30

31 **Keywords** complete blood count; neutrophil-to-lymphocyte ratio; nucleated red blood cells; dog; systemic
32 inflammatory response syndrome.

33

34 **Introduction**

35 Systemic inflammatory response syndrome (SIRS) is the manifestation of the systemic response to an infectious
36 or non-infectious disease associated with a massive release of inflammatory mediators (Hauptman et al., 1997).
37 Criteria for SIRS diagnosis in dogs have been previously reported and include modifications in body temperature,
38 heart and respiratory rate, and leukocyte count (Hauptman et al., 1997). In human medicine, many reports have
39 identified the hematopoietic system as the target of SIRS (Aird 2003; Goyette et al. 2004; Napolitano, 2017).
40 Hematological disorders in critically ill patients are also associated with poor outcome (Aird, 2003).

41 Nucleated red blood cells (NRBCs) are immature erythrocytes produced in the bone marrow and are not generally
42 present in the peripheral blood of healthy human beings or dogs (Constantino and Cogionis, 2000; Barger, 2010).
43 Low numbers of circulating NRBCs can be found in Dachshunds and in Miniature Schnauzers as breed specific
44 finding (Meyer and Harvey, 1998). In humans, as in dogs, after the neonatal period, the presence of NRBCs in the
45 peripheral blood is usually associated with malignant neoplasms, bone marrow diseases, and other serious
46 disorders (Constantino and Cogionis, 2000; Earl et al., 1973). In veterinary medicine, only two studies have
47 investigated the pathological presence of circulating NRBCs. Aroch and colleagues studied the prevalence of
48 circulating NRBCs as a prognostic marker in dogs with heatstroke, concluding that both the presence and number
49 of circulating NRBCs were associated with poor prognosis (Aroch et al., 2009). Müller et al. reported that
50 circulating NRBCs were associated with poor outcome in critically ill dogs (Müller et al., 2014). However, the
51 presence of circulating NRBCs should not always be considered as a negative prognostic factor. Normally,
52 circulating NRBCs, together with reticulocytes and Howell-Jolly bodies, can also be part of a regenerative
53 response to anemia (Barger, 2010).

54 The neutrophil-to-lymphocyte ratio (NLR) has been used in human medicine as a readily accessible parameter that
55 can be calculated using a complete blood count (Zahorec, 2001). NLR has been proposed as an independent
56 predictor of poor survival in patients with tumors and cardiovascular diseases (Zahorec, 2011; Kang et al., 2014;
57 Ayça, 2015). To the best of our knowledge, there are no specific studies on NLR in critically ill dogs. NLR has
58 been analyzed only in oncological veterinary patients (Mutz et al., 2015; Macfarlane et al., 2016a, b; Skor et al.,
59 2017). The aim of this study was to evaluate the association between erythrocyte and leukocyte modifications
60 during canine SIRS compared to the severity of illness and outcome.

61

62 **Materials and methods**

63 Over a 4-year period, from January 2012 to November 2016, client-owned dogs with SIRS, which presented to
64 the intensive care unit of our veterinary teaching hospital in Pisa (Italy), were retrospectively enrolled. Data were
65 collected with the owners' informed consent. Our study included 3 groups of dogs: 1 with SIRS (SIRS group), 1
66 with healthy dogs (healthy group), and 1 with chronic diseases (chronic group).

67 Dogs were required to fulfill at least two of the following criteria to be included in the SIRS group: heart rate >120
68 bpm; respiratory rate >20 bpm; rectal core temperature <38°C or >39.2°C; and white blood cell count (WBC)
69 <6×10⁹/L or >16×10⁹/L, or band neutrophils >3% (Hauptman et al., 1997). The SIRS grading was obtained based
70 on how many criteria were fulfilled, thus the SIRS score ranged from 2 to 4. Dogs with ≥2 criteria of SIRS along
71 with a documented underlying infectious cause were recorded as septic (Hardy et al., 2018). The APPLE_{fast} (acute
72 patient physiology and laboratory evaluation) score was applied in the SIRS group. The APPLE_{fast} is a clinical
73 score validated for hospitalized canine patients, which evaluates serum glucose, albumin, lactate, platelet count,
74 and the mentation score (Table 1-2) (Hayes et al., 2010). Dogs were divided in two groups according to APPLE_{fast}
75 (<25 and ≥25) and SIRS (=2 and >2) to compare their outcome. Dogs were included in the chronic group if they
76 did not satisfy SIRS criteria, had a diagnosis of chronic disease (i.e. chronic kidney disease, inflammatory bowel
77 disease, chronic pancreatitis) and showed no increase in serum C-reactive protein (CRP), leukocytosis and no band
78 neutrophils. Healthy dogs were chosen from those actively enrolled in the blood bank of the veterinary teaching
79 hospital (during the study period), whose physical examination was normal, complete blood count (CBC) and
80 serum biochemistry were within reference intervals, and serology tests for *Leishmania* spp., *Ehrlichia canis* and
81 *Anaplasma phagocytophila* were negative. Dogs with disorders where the appearance of NRBCs in the peripheral
82 blood is common, such as regenerative anemia (immune mediated hemolytic anemia and hemorrhagic process),
83 were excluded from the study.

84 For each dog the NLR, band neutrophil-to-lymphocyte ratio (BLR) and band neutrophil-to-neutrophil-to-
85 lymphocyte ratio (BNLR) were calculated. Each dog had a CBC at admission using a laser cell counter (Procyte
86 DX, IDEXX Laboratories, Westbrook, USA) and a blood smear microscopic examination stained with May-
87 Grunwald Giemsa (Aerospray Wescor, Delcon, Milan, Italy) and the NRBC count was assessed manually. Dogs
88 with RBC and/or hematocrit (HCT) and/or hemoglobin (HGB) below the reference range (5.65 x10¹²/L, 0.37 L/L,
89 and 131 g/L, respectively) were considered anemic. Anemia was characterized using mean corpuscular volume
90 (MCV) and mean corpuscular hemoglobin concentration (MCHC). Serum total protein, albumin, and CRP were
91 evaluated using a biochemistry analyzer (Liasys, Assel SRL, Rome, Italy). APPLE_{fast} parameters, such as glucose
92 and lactate, were evaluated from the venous blood gas analysis (ABL 700, A. DeMori, Milan, Italy). Platelet count

93 was evaluated from the CBC at admission and confirmed by smear evaluation. Mentation score was evaluated at
94 admission before sedation/analgesic administration by the same clinician (GC) (Table 2). Rate of survival was
95 assessed during hospitalization, at 15 days after their admission.

96 For all continuous parameters, the normality of data distribution was evaluated by the D'Agostino-Pearson test.
97 Normally and non-normally distributed continuous parameters were reported as mean±standard deviation (SD)
98 and as median and 2.5-97.5 percentile range, respectively, and were compared between the survivors and non-
99 survivors using the Student t-test or Mann-Whitney test, respectively. Fisher's exact test was used to compare
100 categorical variables between group outcomes. The presence of anemia and NRBCs, SIRS and APPLE_{fast} grading
101 groups were compared to outcome. NRBCs count, NLR, BLR, BNLR were compared to the outcome. Age and
102 sex were compared between study population groups using the Kruskal-Wallis test. RBC, HGB, HCT, WBC,
103 NRBCs, NLR were evaluated among study populations using a one-way ANOVA or Kruskal-Wallis test and
104 Dunn's multiple comparison test. P-value <0.05 was considered as statistically significant (GraphPad Prism 6,
105 GraphPad Software, California, USA).

106

107 **Results**

108 Ninety dogs fulfilled the inclusion criteria for the SIRS group. The healthy and chronic groups were composed of
109 50 dogs each. There were no differences between groups in terms of age and sex ($p>0.05$).

110 The healthy group was composed of 25 females (50%), five spayed, and 25 males (50%), one neutered. The most
111 represented breeds in the healthy group were Boxer (19), German Shepherd and Golden Retriever (4 dogs each),
112 Dobermann Pinscher (3), English Setter (2) and 7 dogs of other breeds (Dachshund and Miniature Schnauzers
113 were not occurring). Eleven dogs were mixed breeds. The median age of the healthy group was 6 years (range 1-
114 12 years).

115 The chronic group was composed of 50 dogs: 29 females (58%) including 13 spayed, and 22 males (42%), none
116 of which had been castrated. The most represented breeds in chronic group were: Boxer (6), Poodle (4), Springer
117 Spaniel (2), Cocker Spaniel (2), Bolognese (2), Bernese Mountain Dog (2), Chihuahua (2), Miniature Pinscher (2)
118 and 14 dogs of other breeds (only one Dachshund was included in the list). Fifteen were mixed breeds. The median
119 age of the chronic group was 8.5 (range 1-14 years).

120 SIRS group was composed of 42 females (47%) including 11 spayed, and 48 males (53%) including four that had
121 been castrated. The most represented breeds were: Cocker Spaniel (5), German Shepherd (5), Dachshund (4),
122 Yorkshire Terrier (4), Labrador Retriever (3), and Golden Retriever (2). Twenty-seven dogs were mixed breeds.

123 The 40 other breeds were each represented by one dog. Median age of SIRS group was 8 (range 0.2-15 years).
124 Thirty-two dogs (35%) were included in the septic group, and the remaining 58 in the non-septic group. In the
125 SIRS group, thirty-nine dogs (43%) died during the study period. Among the non-survivor dogs, thirty dogs (77%)
126 died in the intensive care unit, 8 dogs (21%) died during the first 7 days after discharge, and one dog died during
127 the first 15 days after discharge. Dogs in the SIRS group were sub-grouped using the SIRS score: 48% in 2/4 and
128 52% in >2/4. APPLE_{fast} scores ranged from 14 to 37 points (median=25 points). Dogs with APPLE_{fast} scores >25
129 ($p=0.03$) and SIRS grading >2 ($p=0.001$) were associated with an increased mortality rate.

130 In the SIRS group, anemia was present in 56 dogs (62%) and was not associated with the outcome ($p=0.8279$).
131 Based on the evaluation of HCT, anemia was mild ($0.3 \leq \text{HCT} \leq 0.37$) in 24 dogs (43%), moderate ($0.2 \leq \text{HCT} \leq 0.29$)
132 in 23 dogs (41%), and severe ($\text{HCT} < 0.19$) in only 7 dogs (13%). Microcytic anemia ($\text{MCV} < 61.6 \text{ fL}$) was present
133 in 55% ($n=31$) of anemic dogs, normocytic anemia ($61.6 \leq \text{MCV} \leq 73.3 \text{ fL}$) was present in 41% of dogs ($n=23$), and
134 only 2 dogs had macrocytic anemia. Four dogs (7%) showed hypochromic ($\text{MCHC} < 320 \text{ g/L}$) anemia and all the
135 other anemic dogs ($n=52$; 93%) had normochromic anemia ($320 \leq \text{MCHC} \leq 379 \text{ g/L}$) (Tveden, 2004). Dogs in the
136 SIRS group showed lower RBC, HCT and HGB values compared to the other two groups ($p < 0.0001$) (Table 3),
137 and the presence of anemia was not associated with poor outcome. No statistical differences were found between
138 septic and non-septic dogs, SIRS grading groups, and APPLE_{fast} scores groups. No statistical differences were
139 found between APPLE_{fast} score groups (> and <25) for RBC, HGB and HCT, NLR, WBC and between septic and
140 non-septic dogs.

141 Twenty-two of the 90 dogs (24%) showed circulating NRBCs (range 0-30, median 0). The NRBC count was
142 significantly higher in the SIRS group compared to the healthy group ($p=0.0007$) and no differences were found
143 between the SIRS group and the chronic group and between the healthy and chronic groups (Table 3). No
144 statistically significant differences were found between APPLE_{fast} score groups and SIRS grading groups. In the
145 SIRS group, the occurrence of circulating NRBCs was associated with poor outcome ($p=0.005$).

146 Sixty-five percent of dogs with SIRS ($n=59$) showed leukocytosis, 10% ($n=9$) showed leukopenia, and the
147 remaining dogs did not show any WBC alterations. Band neutrophils >3% occurred in 52 dogs (58%). Dogs with
148 SIRS showed a higher WBC count compared to the control groups ($p < 0.0001$) (Table 3). WBC counts were not
149 statistically different between survivors and non-survivors, septic and non-septic dogs, SIRS grading groups, and
150 APPLE_{fast} scores groups.

151 NLR was significantly higher in the SIRS group compared to the control groups ($p < 0.0001$) (Table 3) and not
152 associated with outcome. NLR was not statistically different between SIRS grading groups or APPLE_{fast} scores

153 groups. NLR was significantly lower in septic dogs ($p=0.0272$) (Fig. 1). BLR and BNLR were evaluated in
154 association with outcome, sepsis and APPLE_{fast} groups and no associations were found.

155

156 **Discussion**

157 In our study, dogs in the SIRS group were chosen from the ICU using at least two out the 4 criteria (Hauptman et
158 al., 1997), as reported in a recent study on the topic (Müller et al., 2014). Unlike the study on NRBCs in critically
159 ill patients (Müller et al., 2014), we decided to exclude patients with disorders that might have involved the
160 presence NRBCs in the peripheral blood. In fact, in some diseases, such as immune mediated hemolytic anemia
161 and acute hemorrhagic disorders, circulating NRBCs are common, thus creating a bias in the interpretation of
162 results (Tveden, 2010).

163 In our study, anemia was present in almost 60% of SIRS dogs. The most frequent types of anemia were mild-
164 moderate (87% of dogs), microcytic (55%), normocytic (41% of dogs), and normochromic (93%) anemia. Within
165 our anemic population, only 7 dogs had severe anemia, making this type of anemia infrequent in this category of
166 dogs. This result is similar to the prevalence in human patients (Aird, 2003; Goyette et al., 2004) and higher
167 compared to a recent study on hospital-acquired anemia in critically ill dogs (Lynch et al., 2016). This difference
168 could be due to different inclusion criteria. In fact, we included dogs with SIRS, whereas the other study (Lynch
169 et al., 2016) included hospitalized patients that may have had less serious diseases. Anemia was not associated
170 with the outcome in this study; however, because of its high prevalence, anemia has to be taken into account in
171 critically ill patients because it could affect patient management. Dogs with SIRS showed a significant reduction
172 in RBC, HCT and HGB as these parameters globally assess the erythron compared to healthy and chronic dogs.
173 This suggests that the active inflammatory status of SIRS dogs could play a key role in the development of anemia.
174 A mild, micro-normocytic normochromic anemia was the most frequent type of anemia. This type of anemia is
175 typical of chronic diseases (anemia of chronic inflammation); however, in SIRS, it may be a common feature
176 because of the concurrent or acute impairment of a chronic state.

177 NRBCs are a negative prognostic marker in human beings with critical illnesses (Constantino and Cogionis, 2000).
178 However, there are only two studies on the prognostic importance of circulating NRBCs in dogs (Aroch et al.,
179 2009; Müller et al., 2014). Investigating the prognostic relevance of peripheral NRBCs in dogs with heatstroke,
180 the authors of one study concluded that the presence of peripheral NRBCs is common in these dogs and is
181 associated with a worse outcome (Aroch et al., 2009). Authors of the other study reported that for critically ill
182 dogs, NRBC positive patients had a higher mortality compared to NRBC negative ones (Müller et al., 2014).

183 According to the literature, our results highlight that NRBCs were significantly higher in the SIRS group compared
184 to healthy dogs (Müller et al., 2014). Among dogs with SIRS, the occurrence of circulating NRBCs was associated
185 with poor outcome, confirming that circulating NRBCs are a negative prognostic marker. The exclusion of dogs
186 with disorders characterized by the appearance of NRBCs in the peripheral blood should increase the significance
187 of this result.

188 The pathogenesis of circulating NRBCs in critically ill patients in human and veterinary medicine is not well
189 understood. The most common substantiated theory for their presence in critically ill patients is inflammation
190 and/or decreased tissue oxygenation, inducing a damage in the blood-bone marrow barrier, allowing for their
191 release in the circulation (Stachon et al. 2003, 2005, 2006, 2007; Müller et al., 2014).

192 During SIRS, there is an increase in WBC, neutrophils and monocytes and a simultaneous reduction in
193 lymphocytes and eosinophils (Jilma et al., 2012). Thus, in the present study SIRS dogs showed a higher WBC
194 count compared to the control groups and in septic dogs, the WBC count should be lower compared to non-septic
195 dogs due to neutropenia and lymphopenia. In contrast to the literature, WBC were not statistically different
196 between septic and non-septic dogs (Schultze, 2010). This difference could be due to an early or late assessment
197 of the leukocyte pattern compared to the beginning of sepsis.

198 High NLR has been proposed as an independent predictor of poor survival in various human clinical conditions
199 observed in oncological patients (Zahorec, 2001; Kang et al., 2014) and in patients with cardiovascular diseases
200 (Kang et al., 2014). Another recent work, showed how both a high NLR at hospitalization, and a persistently low
201 or high NLR during the first two days after admission were associated with increased risk of 28-day mortality
202 (Hwang et al., 2017). In veterinary medicine, NLR has only been analyzed in oncologic veterinary patients (Mutz
203 et al., 2015; Macfarlane et al., 2016a, b; Skor et al., 2017), and there have been no investigations in SIRS dogs.
204 Mutz et al. (2015) evaluated the potential prognostic significance of NLR in a population of dogs with newly
205 diagnosed multi-centric lymphoma, concluded that NLR does not act like a prognostic marker in this tumor (Mutz
206 et al., 2015). More recently, another study investigated the ability of NLR to differentiate soft tissue sarcoma from
207 benign soft tissue tumors (Macfarlane et al., 2016a). In this study, dogs with soft tissue sarcoma, NLR was
208 significantly higher compared to those with benign tumors (Macfarlane et al., 2016a). Two other papers assessed
209 the utility of NLR in predicting the histopathological grade and outcome of canine cutaneous mast cell tumor
210 (Macfarlane et al., 2016b; Skor et al., 2017). Dogs with high-grade mast cell tumors and a shorter progression-free
211 interval had higher NLR (Macfarlane et al., 2016b; Skor et al., 2017).

212 To the best of our knowledge, this is the first study evaluating NLR in critically ill dogs. NLR was significantly
213 higher in SIRS dogs compared to the other two study groups, and was not associated with the outcome. Moreover,
214 NLR was significantly lower in the septic group compared to the non-septic group. This data is in contrast to
215 human studies, that report higher NLR in septic patients. Our findings could be explained by the different leukocyte
216 patterns between dogs and human beings. In septic dogs, the most common findings were neutropenia and
217 lymphopenia, which reduces NLR in these patients (Schultze, 2010). Another possible explanation could be the
218 presence of a “stress leukogram”, a pattern that has not been reported in human patients (Deleand and Welte,
219 2017).

220 Our study had some limitations. Firstly, using Hauptman’s SIRS criteria, false-positive in SIRS group could occur.
221 We believe that the interpretation of anemia could be underestimated due to dehydration, which was not taken into
222 account in this study, together with the assessment of RBC regeneration by means of reticulocyte values in
223 relationship to the degree of the anemia. Given the retrospective nature of the present study, we were not able to
224 analyze the comorbidities and cause of SIRS. Especially pre-existing chronic and subclinical diseases could have
225 altered the interpretation of the hematological alterations. Not all dogs had an evaluation of antibiotic treatments
226 pre-hospitalization that could have affected sepsis diagnosis in our population. We did not collect information on
227 the treatments of SIRS dogs, and this could have affected the dog’s prognosis. We were unable to standardize the
228 SIRS management, although all the dogs in the present study received a similar medical management.

229

230 **Conclusions**

231 Dogs with SIRS showed a significant reduction in RBC, HCT and HGB compared to healthy and chronic dogs.
232 This suggests that the active inflammatory status of SIRS dogs could play a key role in the development of anemia.
233 A mild, micro-normocytic normochromic anemia was the most frequent type of anemia. Our results also suggest
234 that the occurrence of circulating NRBCs could has additional negative prognostic values.

235 The evaluation of NLR, BLR and BNLR could be interesting tools that could be evaluated in dogs with SIRS,
236 where they were significantly higher compared to the healthy and chronic dogs. Moreover, NLR was significantly
237 lower in the septic group compared to the non-septic group. Further prospective, large-scale studies investigating
238 the role of NLR, BLR and BNLR in canine SIRS are warranted.

239

240 **Declaration of interest**

241 The Authors declare no conflict of interest. This paper was not supported by grants.

242

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