

1 **Usefulness of cytologic criteria in ultrasound-guided fine-needle aspirates from subcentimeter canine**
2 **mammary tumors**

3 Alessio Pierini,¹ Francesca Millanta, Roberta Zanforlin, Iacopo Vannozzi, Veronica Marchetti

4 Veterinary Teaching Hospital 'Mario Modenato', Department of Veterinary Sciences, University of Pisa, Via
5 Livornese Lato monte, San Piero a Grado 56122, Pisa, Italy

6 **ABSTRACT**

7 We determined cytologic features of histologically confirmed subcentimeter canine mammary tumors
8 (CMTs) to determine reasonable criteria for an accurate cytologic diagnosis. Fifty-three CMTs from 28
9 bitches were included. All cytologic samples were collected by ultrasound-guided fine-needle aspiration
10 biopsy, stained with May-Grünwald/Giemsa, and retrospectively evaluated using a scoring system
11 established for 18 cytologic features. Mean nuclear area (MNA) was also measured for each sample by a
12 computer-assisted program. Based on the histologic diagnosis, CMTs were divided into 2 groups: malignant
13 tumors (25) and benign lesions (15). Data were statistically analyzed using Fisher and Mann–Whitney tests.
14 Chromatin pattern ($p < 0.05$) and macrophage infiltration ($p < 0.05$) were significantly different between the
15 groups. Median MNA was significantly ($p < 0.05$) larger in malignant tumors. The evaluation of these
16 cytologic features in subcentimeter CMTs may increase the sensitivity of cytology.

17 **Key words:** Cytology; dogs; fine-needle aspiration; mammary tumors; nuclear morphometry.

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19 Canine mammary tumors (CMTs) are the most common neo- plasm in sexually intact female dogs.¹⁷ CMTs
20 may be cyto- logically well-differentiated, showing little cellular pleomorphism, and moderate criteria of
21 malignancy.² Cyto- logic specificity and positive predictive value for malignancy in dogs have been reported
22 to be 55–100% and 93–100%, respectively. However, sensitivity and negative predictive value have been
23 reported to be lower, at 21–96% and 56– 93%, respectively. This suggests that cytologic evaluation tends to
24 underdiagnose mammary gland malignancies.^{1,7,15,16}

25 Furthermore, specific surgical recommendations for sub- centimeter CMTs have not yet been well
26 established. Even if 50% or more CMTs are reported to be benign, single or regional mastectomies are
27 chosen to achieve complete surgical margins and to reduce risks of de novo tumor development in mammary
28 tissue.³ Cytologic diagnosis for small canine mammary nodules instead of incisional or excisional biopsy
29 could be pivotal in refining surgical “dose” and reducing costs for owners. We determined clinically
30 significant cytologic features of histologically confirmed subcentimeter CMTs to determine reasonable
31 criteria for an accurate diagnosis. Cytologic specimens from subcentimeter canine mammary nodules
32 collected by ultrasound-guided fine-needle aspiration biopsy (FNAB) from client-owned dogs with owners’
33 consent, from January 2012 to May 2013, were enrolled. Breed, age, spay status, number of tumors per dog,
34 tumor localization, and clinical tumor features were reviewed. Pregnant, lactating, or dogs treated previously
35 with hormonal therapy were excluded. Dogs with tumors >1cm diameter and dogs with local recurrence or
36 distant metastasis were also excluded. All slides were stained with May-Grünwald/Giemsa stain and
37 retrospectively evaluated in a double-blinded manner by 2 authors, designated A and B. A third blinded
38 cytopathologist, designated C, examined slides if the 2 cytopathologists were not in agreement for any
39 features. All cytologic smears were evaluated using a scoring system established for 18 cytologic features
40 (Table 1, Fig. 1). The presence or absence of the following cytologic features was specifically recorded:
41 lymphocyte infiltration, necrosis, multiple nuclei, and nuclear molding. The degrees of the presence of
42 extracellular matrix, anisokaryosis, and cellular cohesion, and the presence of spindle cells, macrophage and
43 neutrophil infiltration, and nuclear inclusion were chosen subjectively by the cyto- pathologists. Cellularity
44 was considered low if <200 cells were counted in 5 low-power fields (10× objective). Cellular groups of at
45 least 8–10 cells were considered as clusters. Clusters were evaluated for dimensional disposition of cells in 2
46 categories: 1-dimensional if cells were grouped in a single layer, and 3-dimensional if cells overlapped.
47 Angular, pleomorphic, and macronucleoli were considered abnormal nucleoli. Ropy or cordlike chromatin
48 was considered a reticular chromatin pattern. Finely dispersed nuclear chromatin was considered a granular
49 chromatin pattern. The chromatin pattern category was chosen if >50% of cells per 10 high- power fields
50 showed this specific chromatin feature. Necro- sis, extracellular matrix, cellularity, cellular cohesion, and
51 clusters were evaluated with 10× and 20× objectives. Mitoses, presence of spindle cells, macrophages,
52 lymphocytes, neutrophils, anisokaryosis, multiple nuclei, and damaged cells were evaluated with a 40×

53 objective. Nuclear edges, nuclear molding, nuclear inclusions, nucleoli, and chromatin pattern were
54 evaluated with a 100× oil immersion objective. For each cytologic feature, a score from 0 to 1 or 0 to 2 was
55 chosen. Cytologic samples with <100 total cells per slide were classified as inadequate. Mean nuclear area
56 (MNA) for each sample was also measured by a computer-assisted program (NIS-Elements D v.3.1, Nikon,
57 Amstelveen, The Netherlands), as reported previously.¹⁴ Briefly, fields of highest cellularity were selected
58 by one cytologist and examined under 40× magnification. Digital images of these fields were captured as
59 .jpg files for evaluation. From the cells included in these fields, at least 100 intact nuclei were analyzed for
60 clinical relevance. All excised tumors were routinely processed and embedded in paraffin, and 5-μm thick
61 sections were stained with hematoxylin and eosin. Histologic diagnosis was given according to the World
62 Health Organization classification.⁹ Histopathology was performed by a single pathologist. According to the
63 histologic diagnosis, tumors were divided into 2 groups: malignant tumors and benign lesions (benign tumors
64 and non-neoplastic lesions). The agreement between cytologist A and B was calculated using the Cohen
65 unweighted κ coefficient (<http://vassarstats.net>). Concordance was described as poor ($\kappa < 0.2$), fair ($\kappa =$
66 $0.21-0.40$), moderate ($\kappa = 0.41-0.60$), substantial ($\kappa = 0.61-0.80$), or excellent ($\kappa = 0.81-1.0$). A Fisher
67 exact test was performed to assess the relationship between each cytologic feature and the histologic
68 diagnosis and to evaluate inadequate samples compared with previously published data. A Kolmogorov–
69 Smirnov test was used to assess if the MNA values showed Gaussian distribution. Then, an unpaired t-test or
70 nonparametric Mann–Whitney U test was performed if Gaussian distribution was or was not determined,
71 respectively. The results were considered to be significant if $p < 0.05$ for the Fisher exact test, Mann–
72 Whitney U test, and unpaired t-test, and $p < 0.10$ for the Kolmogorov–Smirnov test. Statistical analysis was
73 performed using commercial software (Prism for Windows v.5.0, GraphPad Software, La Jolla, CA).

74 Twenty-eight dogs were included in our study. Mean age at the time of surgery was 9 y, with a range of 4–14
75 y. Dogs by breed were: 3 Poodles, 2 Labrador Retrievers, 2 Dachshunds, 1 Boxer, 1 Yorkshire Terrier, 1
76 Golden Retriever, 1 German Shepherd Dog, 1 Brie Shepherd, 1 Toy Poodle, 1 Giant Schnauzer, 1 Lagotto
77 Romagnolo, 1 Italian Mastiff, 1 Maltese, 1 Pitbull, and 1 French Bulldog. The other 9 dogs were mixed
78 breed. Of the 28 dogs included in the study, 25 were intact females and 3 were neutered. The total number of
79 mammary nodules was 53, with 1–4 tumors per dog, and a median of 2 tumors per dog. All nod- ules had the
80 following clinical features: size <1 cm, mobile, and covered with intact, non-inflamed skin. Of the 53 nod-

81 ules, 21 involved the caudal abdominal mammary glands, 17 the inguinal glands, 7 the cranial abdominal
82 glands, 7 the caudal thoracic glands, and 1 the cranial thoracic gland. Thirteen cytologic samples were
83 classified as inadequate by cytologic examination and were not included. All but 1 of these 13 samples were
84 histologically diagnosed as benign lesions; the last was a complex carcinoma. Inadequate samples were
85 associated with benign lesions ($p = 0.0009$). Of the 40 cytologically evaluable nodules, 25 were
86 histologically classified as malignant tumors and 15 as benign lesions. In particular, histologic diagnoses
87 were: simple carcinoma ($n = 15$), complex carcinoma (10), simple adenoma (4), fibroadenosis (3), secretory
88 hyperplasia with adenosis (3), intraductal papilloma (1), neutrophilic mastitis with fibroadenosis (1),
89 hyperplasia with foci of adenosis (1), hyperplasia (1), and fibroadenoma (1). Granular and reticular
90 chromatin pattern ($p = 0.0002$) and higher macrophage infiltration ($p = 0.0025$) were positively associated
91 with malignant mammary tumors (Table 2). There was moderate-to-excellent agreement between operators
92 for each feature (Table 2). MNA values showed a non-normal distribution. Median MNA was calculated as
93 $82.2 \mu\text{m}^2$ (range: $50.5\text{--}93.5 \mu\text{m}^2$) and as $69.7 \mu\text{m}^2$ (range: $47.6\text{--}85.6 \mu\text{m}^2$) in malignant tumors and benign
94 lesions, respectively. Using the nonparametric Mann–Whitney U test, greater MNA ($p = 0.019$) was
95 associated with malignant tumors (Fig. 2). Several studies on canine mammary cytology have been reported,
96 but none has been focused on tumor size, to the authors' knowledge.^{1,7} Diagnosis of malignant tumors
97 seems to be reliable based on cytologic atypias; however, some well-differentiated carcinomas may be
98 missed.

99 The chromatin pattern was variable in benign lesions, and only a moderate agreement between operator A
100 and B was found. However, when chromatin patterns were divided into 2 groups, normal (score 0) and
101 altered (score 1 and 2), the agreement improved ($\kappa = 0.89$). Normal mammary gland cells have been reported
102 to exhibit uniform dark nuclei and compact chromatin pattern. Dispersed chromatin was considered
103 cytologic atypia, although taken alone, it was not consistent with diagnosis of a malignant tumor, unless
104 other specific cytologic atypias were present.^{1,7} We never observed a normal pattern in malignancies; the
105 presence of altered chromatin patterns may be useful to diagnose malignancy. In our opinion, cytologist
106 experience could influence inter-operator agreement in evaluation of reticular versus granular chromatin.
107 Despite this, compact chromatin may be assessed more easily and could be useful to exclude malignancy.
108 We found an association between malignant tumors and macrophage infiltration. During the last decade,

109 tumor micro- environment has been the topic of numerous studies. Several authors have reported a strong
110 association between tumor- related inflammation and cancer progression and invasive- ness.⁶ In particular,
111 tumor-associated macrophages (TAMs) seem to be able to promote cancer progression by producing growth
112 and pro-angiogenic factors and by reducing physiological immune-mediated response.¹² In dogs, TAMs
113 have been associated with canine malignant mammary tumors. Of 50 canine mammary adenocarcinomas, a
114 significantly increased TAM number was related to the ability of cancer to metastasize.⁸ In another study of
115 59 CMTs, significantly increased TAM values were observed in malignant versus benign lesions.¹⁰
116 Furthermore, higher TAM values were associated with lower overall survival times. In a 2015 study, TAMs
117 were associated with higher vascular endothelial growth factor expression, suggesting that TAMs may
118 influence angiogenesis in malignant mammary tumors in dogs.¹¹ Macrophage cyto- logic evaluation may be
119 useful to identify malignant mammary tumors even if cytologically well-differentiated.

120 We found that malignant tumors have a statistically significant large MNA. During the last decade, several
121 studies have focused on assessing cytologic MNA in dogs with cancer.^{13,14} In accordance with our
122 findings, larger MNA was reported to be associated with malignant mammary tumors in previous studies.¹³
123 Moreover, MNA was reported to be predictive of lymph node metastasis.⁵ Although MNA is not easy to
124 perform and is not yet cost effective, preoperative cytologic MNA assessment in small mammary nod- ules
125 may be useful and may play a role in decision-making in CMTs with doubtful cytology. In our study, 13 of
126 53 samples had <100 cells per slide and were considered non-diagnostic. This finding is in agreement with
127 previous studies.^{15,16} However, in these previous studies, the authors did not report the incidence of
128 inadequate samples compared to tumor size. Furthermore, ultrasonography was not used to perform FNAB.
129 For these reasons, it is not easy to make a direct comparison between results reported by these studies. There
130 are a number of reasons for non-representative samples in cytologic examination. First, sampling small
131 nodules can be a challenge even if done by ultrasonography. Second, non-neoplastic lesions and benign
132 tumors tend to exfoliate fewer cells than malignant tumors. Third, some benign or malignant tumors could be
133 cystic, resulting in smears of scattered cells, making interpretation difficult. Moreover, inadequate samples
134 were associated with benign lesions (12 of 13), and the aforementioned rea- sons may corroborate this
135 finding.

136 There are some limitations in our study. First, there is no non-ultrasound-guided FNAB control group for
137 better assessment of ultrasound-guided sampling impact on diagnostic accuracy. Second, the nuclear
138 feature evaluation may be biased by May-Grünwald/Giemsa staining. Papanicolaou-type stain remains the
139 traditional and preferred stain for the study of nuclear features; nevertheless, this stain is not used commonly
140 in veterinary practice.⁴ Finally, the low number of cases might influence statistical results. Although
141 histologic examination is the gold standard for the diagnosis of CMTs, cytologic observation of chromatin
142 pattern, macrophage infiltration, and MNA may help cytopathologists make a definitive diagnosis. Further
143 prospective studies are necessary to validate data reported in our study.

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