

1 **Electro-oxidative depolymerisation of technical lignin in water using**  
2 **platinum, nickel oxide hydroxide and graphite electrodes**

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4 **Nicola Di Fidio <sup>a</sup>, Johan Timmermans <sup>b</sup>, Claudia Antonetti <sup>a</sup>, Anna Maria Raspoli**  
5 **Galletti <sup>a</sup>, Richard J. A. Gosselink <sup>b</sup>, Roel J. M. Bisselink <sup>b\*</sup>, Ted Slaghek <sup>b\*</sup>.**

6 *<sup>a</sup> Department of Chemistry and Industrial Chemistry, University of Pisa, Via G. Moruzzi*  
7 *13, 56124 Pisa, Italy.*

8 *<sup>b</sup> Wageningen Food and Biobased Research, Wageningen University & Research,*  
9 *Bornse Weilanden 9, 6708 WG Wageningen, Netherlands*

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11 \*Corresponding author: Roel J. M. Bisselink

12 E-mail address: roel.bisselink@wur.nl

13 Telephone: +31 317483871

14

15 \*Co-corresponding author: Ted Slaghek

16 E-mail address: ted.slaghek@wur.nl

17 Telephone: + 31 317481977

18

19 **ABSTRACT**

20 In order to improve the lignin exploitation to added-value bioproducts, a mild chemical  
21 conversion route based on electrochemistry was implemented. For the first time, soda  
22 lignin Protobind™ 1000 (technical lignin from the pulp & paper industry) was studied  
23 by cyclic voltammetry to investigate the effect of the main reaction parameters, such as  
24 the type of electrode material (platinum, nickel oxide hydroxide, graphite), the pH (12,

25 13, 14), the scan rate (10, 50, 100, 250 mV/s), the substrate concentration (2, 20 g/L)  
26 and the oxidation/reduction potential (from -0.8 to +0.8 V). Under the optimal reaction  
27 conditions (NiOOH electrode, pH 14, lignin 20 g/L, 0.4 V), the electro-oxidative  
28 depolymerisation of lignin by electrolysis was performed in a divided cell. The reaction  
29 products were identified and quantified by ultra-pressure liquid chromatography  
30 coupled with mass spectrometry. The main products were sinapic acid, vanillin, vanillic  
31 acid, and acetovanillone. The obtained results demonstrated the potential feasibility of  
32 this innovative electrochemical route for lignin valorisation for the production of bio-  
33 aromatic chemicals.

34

35 **Keywords:** Soda lignin Protobind™ 1000, Electrochemical oxidation, NiOOH  
36 electrode, Bio-aromatics, Vanillin.

37

## 38 **1. Introduction**

39 Among renewable resources, low-cost and abundantly available lignocellulosic  
40 biomass plays a fundamental role in the bio-based and circular economy.  
41 Lignocellulosic biomass is mainly composed of three biopolymers: cellulose,  
42 hemicellulose and lignin. Cellulose is the most abundant biopolymer in the world. The  
43 second one is lignin which represents a promising and renewable source of aromatic  
44 compounds.<sup>1</sup> In order to promote the economic sustainability and profitability of  
45 biorefinery models, the full exploitation of the starting feedstock should be pursued by  
46 the conversion of all its components into added-value molecules and/or materials.<sup>2</sup>

47 In this perspective, the valorisation of technical lignins, which represent one side-  
48 stream of the existing industrial-scale biorefineries and paper industry, is a strategic

49 approach to enhance the biorefinery and paper industry sustainability.<sup>3</sup> Side-streams of  
50 pulp & paper industry are rich in technical lignin, due to the almost complete  
51 valorisation of hemicellulose and cellulose components. In the present preliminary  
52 investigation, the soda technical lignin Protobind™ 1000 (P1000) was adopted as  
53 starting material. P1000 lignin is produced on an industrial scale by the company  
54 GreenValue, starting from a mix of wheat straw and Sarkanda grass. It is obtained by  
55 alkaline extraction from biomass with sodium hydroxide.<sup>4</sup> In the literature, numerous  
56 studies propose several interesting approaches for lignin upgrading, such as pyrolysis,  
57 enzymatic or chemical depolymerisation and surface functionalisation.<sup>5-9</sup> However,  
58 most of them require harsh reaction conditions, such as high temperature, high pressure,  
59 expensive and hazardous catalysts which make the process not economically viable on a  
60 larger scale.<sup>10</sup> The electrochemical depolymerisation of lignin, especially if powered by  
61 renewable electricity, is a promising technology compared to conventional chemical  
62 oxidation because it can operate under mild, safe and eco-friendly reaction conditions,  
63 such as room temperature and atmospheric pressure.<sup>11</sup> Among electrochemical  
64 approaches, the electro-oxidation of lignin at the anode is the most common one  
65 studied.<sup>12</sup> Even if electrocatalytic approaches similar to that adopted in the present study  
66 were reported in the literature for other combinations of lignins and working  
67 electrodes,<sup>13-15</sup> investigations regarding the electrochemical conversion of P1000 lignin,  
68 up to now, are absent. Only a few studies are available concerning its chemical  
69 valorisation through inorganic catalysts, such as CuMgAlO<sub>x</sub> or NiMo sulphide, in  
70 organic solvents under harsh reaction conditions.<sup>16-18</sup>

71 During the electrochemical oxidation of lignin, the surface functionalisation ( $\alpha$ -  
72 carbonylation) and the cleavage of C-C/C-O bonds are the two main competing

73 reactions.<sup>19</sup> In particular, the cleavage of  $\beta$ -O-4 linkages is considered the rate-  
74 determining step in lignin depolymerization.<sup>20, 21</sup> Mechanistic studies demonstrated that  
75 the C-O bond of the  $\beta$ -O-4 aryl ether linkage and C $\alpha$ -C $\beta$  bonds could be cleaved by  
76 electrocatalysts.<sup>21, 22</sup>

77 In the literature, the direct electro-oxidation of technical lignins was performed using  
78 Ni, Pb/PbO<sub>2</sub>, Ti/SnO<sub>2</sub>, Sb<sub>2</sub>O<sub>3</sub>, RuO<sub>2</sub>-IrO<sub>2</sub>/Ti electrodes as catalysts, namely as working  
79 electrode (anode) or as an immobilised coating on the surface of the electrode.<sup>23-26</sup> The  
80 present investigation, for the first time, is aimed to assess the performances of Pt,  
81 Ni/NiOOH and graphite as electrode materials for the P1000 lignin electro-oxidative  
82 depolymerisation. One of the main limitations in the scaling-up of electrochemical  
83 approaches could be the high cost of metal electrodes or electrode coatings, if for  
84 example Pt-based electrodes are involved.<sup>27</sup> Thus, a challenging effort is represented by  
85 the development of electrochemical processes based on low-cost electrocatalysts,<sup>12</sup>  
86 justifying the choice of graphite and nickel in the present work. In detail, a preliminary  
87 investigation of the performances of three different electrode materials (Pt, Ni/NiOOH  
88 and graphite) for the P1000 lignin electro-oxidative depolymerisation was performed by  
89 cyclic voltammetry adopting different reactions conditions, such as pH 12, 13 and 14,  
90 lignin concentration 2 and 20 g/L, scan rates 10, 50, 100 and 250 mV/s. Moreover, in  
91 order to validate the catalytic performances of the selected electrodes, the cyclic  
92 voltammetry study was also performed on guaiacol, considered as a model compound of  
93 a prominent lignin structural unit. Finally, the most efficient electrode and the optimal  
94 reaction conditions were then adopted in the electrolysis of soda P1000 lignin into  
95 added-value aromatic compounds.

96

## 97 **2. Materials and methods**

### 98 **2.1 Chemicals and materials**

99 The commercially available soda lignin Protobind™ 1000 (P1000) was provided by  
100 GreenValue S.A. (Switzerland). It has been obtained from a mixture of wheat straw and  
101 Sarkanda grass. A detailed characterisation of P1000 lignin is described in previous  
102 work,<sup>4</sup> which has the chemical composition of (wt% on dry matter): glucan  $0.5 \pm 0.2$ ,  
103 xylan  $1.5 \pm 0.1$ , galactan  $0.2 \pm 0.0$ , arabinan  $0.2 \pm 0.2$ , mannan  $< 0.1$ , rhamnan  $< 0.1$ ,  
104 acid-insoluble lignin  $85.1 \pm 0.7$ , acid-soluble lignin  $5.4 \pm 0.0$ , ash  $2.5 \pm 0.0$ , other  
105 compounds  $4.6 \pm 1.2$ .

106 HPLC-grade water and acetonitrile were products of Brunschwig Chemie  
107 (Amsterdam, The Netherlands). Formic acid (98-100%) was purchased from Riedel-de  
108 Haën (Seelze, Germany). The phenolics were obtained from Sigma-Aldrich (St. Louis,  
109 MO, USA). Milli-Q water was used throughout for preparation of all eluents and  
110 standard solutions. All other reagents and compounds were of the available highest  
111 purity.

112 Three working electrode materials were tested in the present investigation: Pt wire  
113 (geometric area =  $1.0 \text{ cm}^2$ , Metrohm), Ni/NiOOH wire (geometric area =  $0.37 \text{ cm}^2$ ,  
114 Sigma Aldrich), graphite rod (geometric area =  $1.0 \text{ cm}^2$ , Alfa Aesar).

115

### 116 **2.2 NiOOH electrode preparation**

117 A layer of NiOOH was formed on a nickel substrate in an electrochemical cell  
118 having a three-electrode configuration. The working electrode was a Ni wire ( $l = 1.15$   
119  $\text{cm}$ ,  $d = 0.1 \text{ cm}$ , geometric area =  $0.37 \text{ cm}^2$ ), which was washed with ethanol, acetone  
120 and demi-water prior to the electrochemical treatment. As counter electrode a platinum

121 wire ( $l = 20$  cm,  $d = 0.025$  cm, geometric area =  $1.57$  cm<sup>2</sup>) was used. An Ag/AgCl  
122 electrode (Radiometer Analytical REF201) was used as a reference electrode.

123 The electrolyte solution was composed of  $0.05$  M NiSO<sub>4</sub>,  $0.1$  M CH<sub>3</sub>COONa and  
124  $0.005$  M NaOH at room temperature. The thickness of the deposited oxides was  
125 controlled via applied current. Thus, to get a layer of around  $0.4 \cdot 10^{-6}$  g, six consecutive  
126 potentiometric cycles or 12 steps ( $0.5$  mA cm<sup>-2</sup>,  $60$  s) were applied.<sup>28</sup> After the  
127 preparation, the Ni electrode was rinsed with ethanol and demi-water.

128

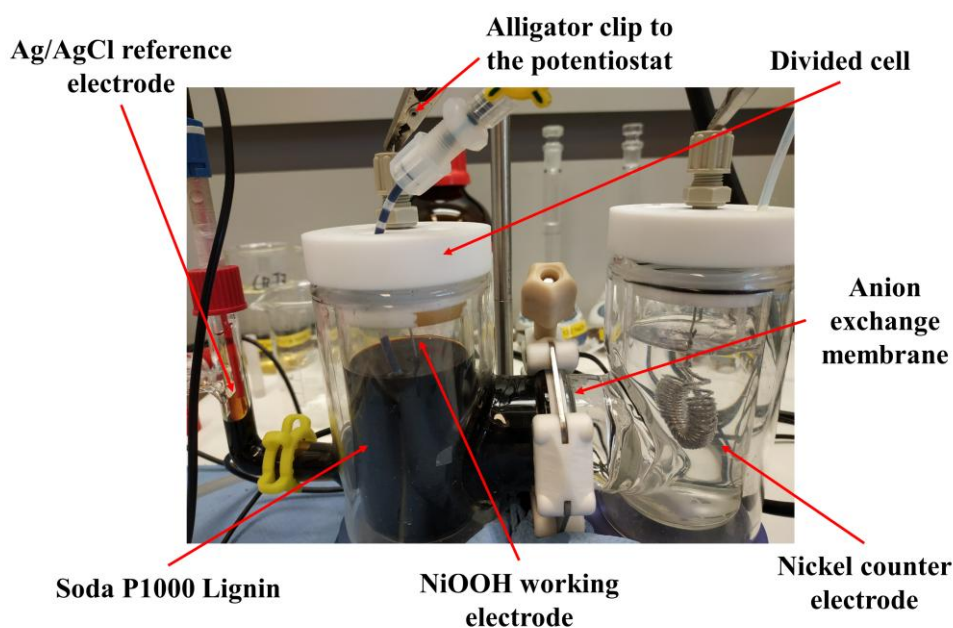
### 129 **2.3 Cyclic voltammetry**

130 Cyclic voltammetry (CV) was used in order to examine electrocatalytic properties of  
131 various electrode materials for the electro-oxidative depolymerisation of P1000 lignin.  
132 The experimental setup consisted of an undivided electrochemical cell linked to a  
133 potentiostat (Ivium Technologies, the Netherlands), Pt wire as a counter electrode and  
134 Ag/AgCl electrode (Radiometer Analytical REF201) as a reference electrode. The  
135 following materials were tested as working electrode: Pt wire, Ni/NiOOH wire and  
136 graphite rod. All electrodes were used as purchased, except Ni/NiOOH, which was  
137 pretreated to deposit NiOOH layer on the electrode (see Sect. 2.2). Investigated  
138 electrolyte solutions were composed of  $0.01$  M NaOH +  $0.99$  M NaClO<sub>4</sub> (pH 12),  $0.10$   
139 M NaOH +  $0.90$  M NaClO<sub>4</sub> (pH 13),  $1.0$  M NaOH (pH 14). The electrochemical cell  
140 contained  $50$  mL of solution. Argon gas was purged in the electrolyte solution before  
141 the measurements in order to remove oxygen. The CV measurements were performed at  
142 room temperature ( $20$  °C). All the currents reported in this work were normalised with  
143 respect to the geometric area of the electrodes. Different scan rates ( $10$ ,  $50$ ,  $100$ ,  $250$   
144 mV/s) were investigated modulating the potentiostat. Eight full cycles were performed

145 for the scan rate of 10 mV/s, fifteen full cycles were performed for the scan rate of 50  
146 and 100 mV/s, while twenty-five full cycles were performed for the scan rate of 250  
147 mV/s. In order to investigate the effect of the substrate concentration on the  
148 electrocatalytic properties, two values of lignin concentration, 2 and 20 g/L, were tested.  
149

## 150 2.4 Electro-oxidative depolymerisation

151 Electrolysis of P1000 lignin was performed in a custom-made double-walled divided  
152 electrochemical glass cell in a three-electrode configuration under galvanostatic  
153 conditions ( $150 \text{ mA/cm}^2$ ) using a potentiostat (Fig. 1).



154

155 **Fig. 1** Picture of the custom-made double-walled divided electrochemical glass cell in a  
156 three-electrode configuration used for the electrolysis of soda P1000 lignin under the  
157 optimal reaction conditions.

158

159 The electrochemical cell contained 150 mL catholyte (1.0 M NaOH, pH 14), 150 mL  
160 anolyte (1.0 M NaOH, pH 14, 20 g/L P1000 lignin). It was divided by an anion  
161 exchange membrane, with a dry thickness of 130  $\mu\text{m}$  (Fumasep<sup>®</sup> FAA-3-PK-130,  
162 Fumatech). Prior to use, the membrane was immersed in an aqueous solution of 1 M  
163 NaOH for 24 h at room temperature, in order to exchange the bromide ( $\text{Br}^-$ ) counter ions  
164 into hydroxyl ( $\text{OH}^-$ ). Argon gas was purged in anolyte and catholyte solutions prior to  
165 and during the reaction. Both compartments were stirred and electrolysis was performed  
166 at room temperature. The three-electrode configuration consisted of the Ni counter  
167 electrode ( $l = 75 \text{ cm}$ ,  $d = 0.1 \text{ cm}$ , geometric area =  $23.55 \text{ cm}^2$ ), the Ag/AgCl reference  
168 electrode (Radiometer Analytical REF201) via a Luggin capillary and the Ni/NiOOH  
169 working electrode ( $l = 75 \text{ cm}$ ,  $d = 0.1 \text{ cm}$ , geometric area =  $23.55 \text{ cm}^2$ ). Counter and  
170 working electrodes were spirally wound to fit into the electrochemical cell. Around 4  
171 mL of the catholyte was used to fill the Luggin capillary and reservoir into which the  
172 Ag/AgCl reference electrode was placed. Electrolysis was carried out at 0.4 V vs.  
173 Ag/AgCl for 4 h. The anolyte and catholyte solutions were separately collected after  
174 electrolysis. The lignin-containing solution was then analysed by UPLC/MS analysis.

175 The theoretical electrochemical conversion (%) was calculated as the ratio between  
176 the consumed moles of electrons during electrolysis and the theoretical amount of moles  
177 of electrons required for the complete electrolysis of the starting lignin (3 g). For the  
178 calculation of this last factor, the monomer average molecular weight of 195.2 g/mol  
179 and 4 electrons consumed per mole were considered according to the literature.<sup>29</sup>

180

## 181 **2.5 UPLC/MS analysis**



182 Samples from the electrochemical divided cell were neutralised with formic acid and  
183 centrifuged in order to separate the unreacted lignin and the insoluble high molecular  
184 weight oligomers. Then, the liquid fraction was recovered by microfiltration (0.22  $\mu\text{m}$   
185 filter). The UPLC/MS analysis was performed by a Dionex RSLC system with an  
186 UltiMate 3000 Rapid Separation pump and auto-sampler. The detector was a Dionex  
187 Ultimate 3000 RS Diode Array Detector (280 nm) in combination with a Thermo  
188 Scientific<sup>TM</sup> LCQ Fleet Ion Trap Mass spectrometer. The separating column was a  
189 Waters Acquity UPLC BEH C18 reversed-phase column (1.7  $\mu\text{m}$  particle size, 2.1  $\times$   
190 150 mm) with a sample loop of 100  $\mu\text{L}$ . The guard column was a Waters VanGuard  
191 Acquity UPLC BEH C18 guard column (1.7  $\mu\text{m}$  particle size, 2.1  $\times$  5 mm).

192 The column temperature was maintained at 40  $^{\circ}\text{C}$ . Eluent A consisted of Biosolve  
193 ULC/MS grade water with 1 mL/L formic acid (MS for positive and negative modus).  
194 Eluent B consisted of Biosolve ULC/MS grade acetonitrile. Elution was performed at a  
195 flow rate of 0.35 mL/min, using the following gradient (expressed as solvent B, while  
196 solvent A is the complementary part): initial composition 4.0% B; 0.0-1.0 min 4.0% B;  
197 1.0-17.0 min 56.0% B; 17.0-20.0 min 70.0% B; 20.0-24.0 min 100% B; 24.0-30.0 min  
198 4.0% B. Heated electrospray ionization (HESI) mass spectrometry was performed in  
199 both positive and negative modes. The LCQ mass spectrometer was operated with the  
200 HESI set on 150  $^{\circ}\text{C}$  and the capillary temperature at 235  $^{\circ}\text{C}$ , sheath gas at 20 arbitrary  
201 units, the auxiliary gas at 5 arbitrary units and the sweep gas at 4 arbitrary units. The  
202 electrospray voltage was set to 5.0 kV. In the positive modus, the capillary voltage was  
203 set at 11.0 V and the tube lens offset at 45.0 V. In the negative modus the capillary  
204 voltage was set at -1.0 V and the tube lens offset at -44.9 V. The injection time was 100  
205 ms. Mass spectra were recorded from  $m/z$  70-500 at a unit mass resolution without in-

206 source fragmentation. For sequential MS/MS experiments the normalised collision  
207 energy was 35%, with wideband activation turned off.

208 Standard (stock) solutions were obtained by weighing the phenolics of interest (with  
209 analytical precision, on an analytical balance) in a volumetric flask (50 or 100 mL) and  
210 subsequently adding/dissolving the phenolics in a mixture of methanol and Milli-Q-  
211 water (50:50 v/v). Trans-Cinnamic acid (for phenolic acids) and 1-Methyl-naphthalin (for  
212 phenolics) were used as internal standards (I.S.). For sample preparation, 500  $\mu$ L  
213 sample (or standard solution) was mixed with 500  $\mu$ L I.S., mixed and transferred into a  
214 1.0 mL Dionex vial, to be ready for analysis. All the structural identifications were  
215 confirmed by using authentic aromatic standards. Retention times, UV-vis spectra, and  
216 MS/MS spectra of the compounds were matched with those of the corresponding  
217 commercial standards

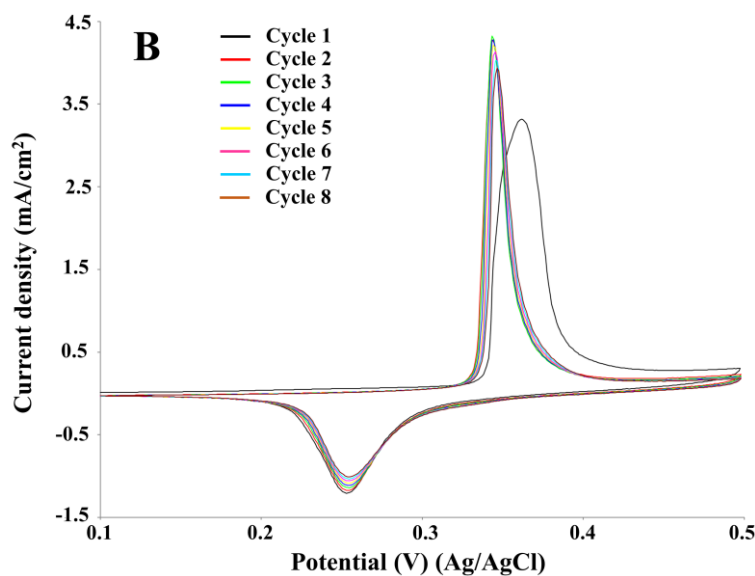
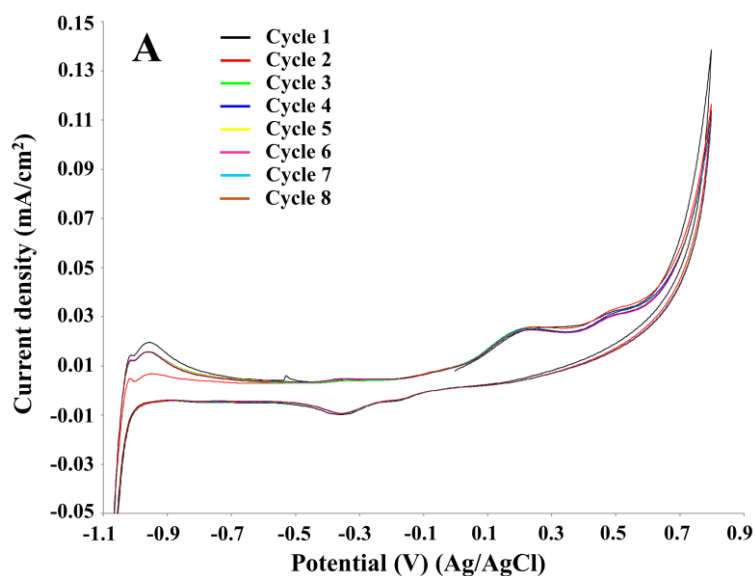
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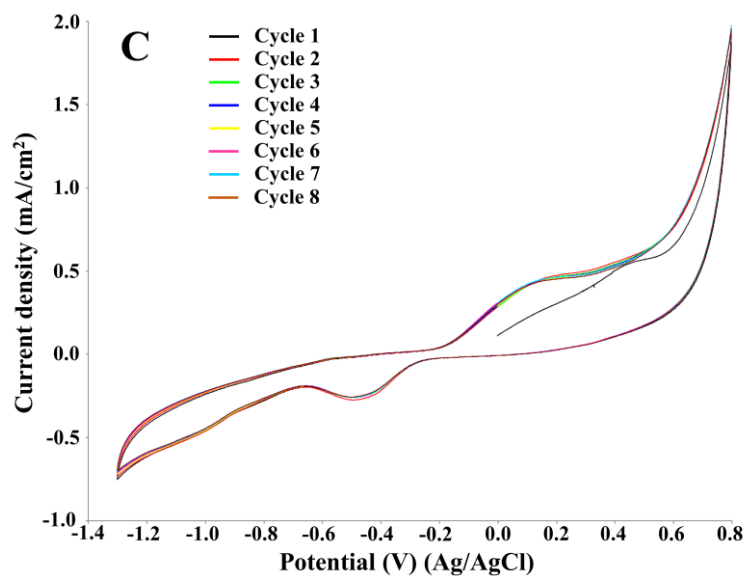
### 219 **3. Results and discussion**

#### 220 **3.1 Cyclic voltammetry study - effect of the electrocatalyst**

221 In electrochemical reactions based on direct electro-oxidation of lignin, the anode  
222 material and structure, especially in terms of surface features, plays a crucial role in the  
223 process performance.<sup>30</sup> The electrocatalyst should be both stable towards anodic  
224 corrosion and passivation, and catalytically effective for lignin depolymerisation. A  
225 preliminary investigation on the Pt, NiOOH and graphite electrodes was performed by  
226 cyclic voltammetry in order to identify the oxidation potential of P1000 lignin in the  
227 electrolyte as a function of pH and the kind of electrocatalyst and to evaluate the  
228 optimal reaction conditions in terms of the electrode, pH and lignin concentration.

229 Eight full cycles were performed with the scan rate of 10 mV/s for the three  
230 electrodes, as showed in Fig. 2. In all the cases the last and the second cycles were  
231 similar, thus confirming limited electrode passivation during the cyclic voltammetry  
232 experiments. The same behaviour of nickel and graphite electrodes in the cyclic  
233 voltammetry of Kraft lignin was observed by Di Marino et al.<sup>14</sup> In the first cycle on Pt  
234 and graphite electrodes two oxidation peaks were observed at ca. 0.2 and 0.5 V.  
235 According to Milczarek,<sup>31</sup> in the first anodic scan the second oxidation peak at higher  
236 potential value is related to the irreversible oxidation.



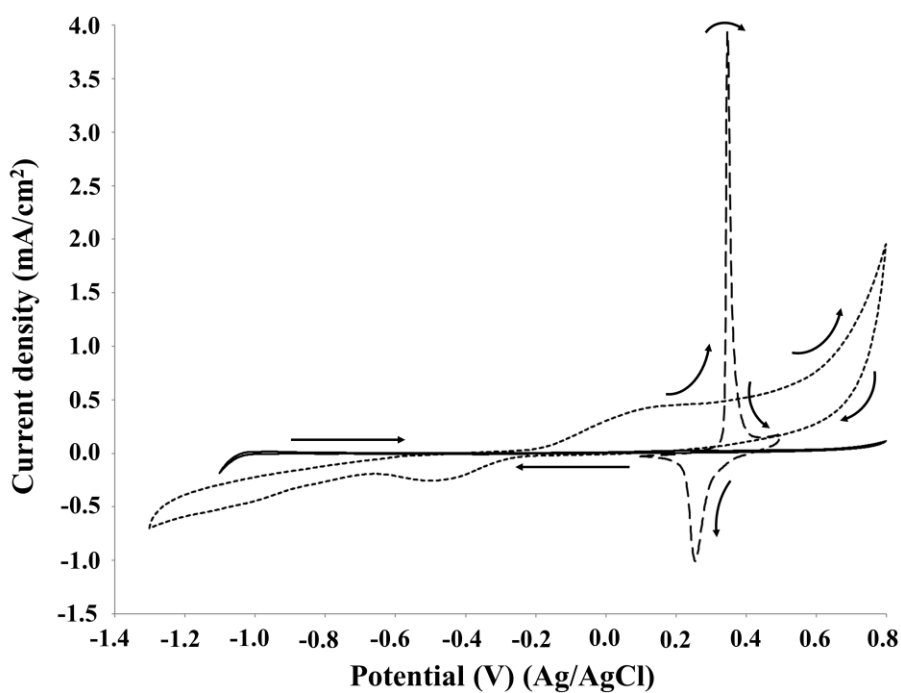


239

240 **Fig. 2** Cyclic voltammetry of 2 g/L P1000 lignin in 1 M NaOH (pH 14).  
 241 Voltammograms recorded at 10 mV/s on platinum (A), NiOOH (B), and graphite (C)  
 242 electrodes at room temperature.

243

244 Fig. 3 compares the results obtained by cyclic voltammetry of soda P1000 lignin (2  
 245 g/L) on Pt, NiOOH and graphite electrodes at pH 14.



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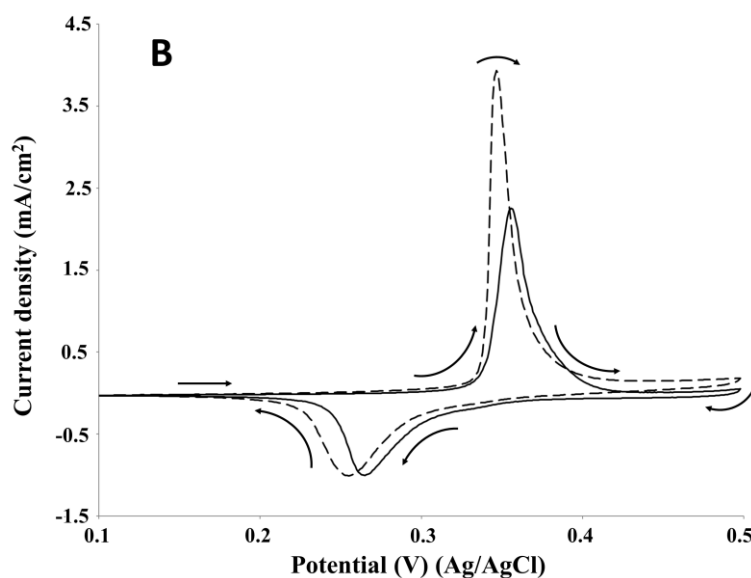
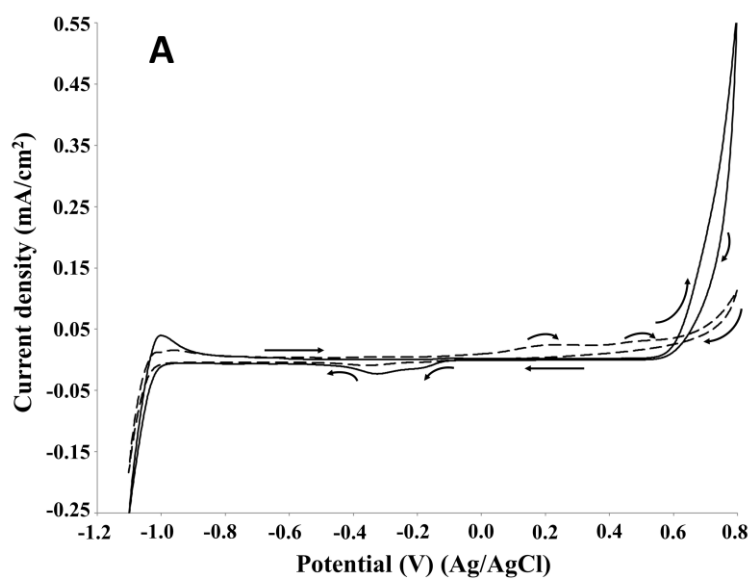
247 **Fig. 3** Cyclic voltammetry of 2 g/L P1000 lignin in 1 M NaOH (pH 14).  
248 Voltammograms recorded at 10 mV/s at room temperature. Solid line: on platinum  
249 electrode; dashed line: on NiOOH electrode; dotted line: on graphite electrode.

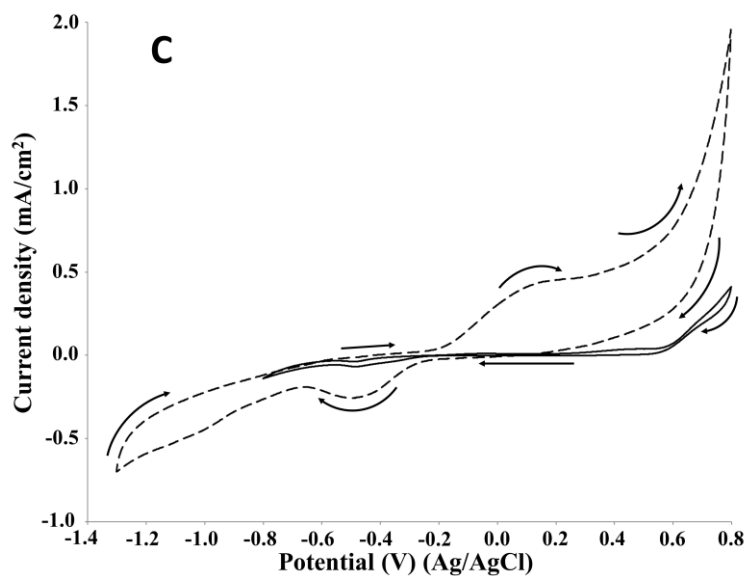
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251 The profiles obtained on platinum, nickel oxide hydroxide and graphite electrodes differ  
252 considerably. In all the cases one or two oxidation peaks were observed between 0.2 and  
253 0.5 V. Similar results were obtained by Parpot et al.<sup>32</sup> for the electro-oxidation of Kraft  
254 lignin on Ni and Pt electrodes and by Movil-Cabrera et al.<sup>33</sup> on Co core/Pt partial shell  
255 nanoparticle alloy electrocatalyst. Moreover, the potential value of 0.45 V was obtained  
256 by Caravaca et al. for the electro-oxidation of Kraft lignin on bimetallic Pt-Ru anode.<sup>34</sup>

257 For each electrode material, the current density increased significantly compared to  
258 the control (no lignin) as can be seen in Fig. 4. In particular, on Pt electrode (Fig. 4A)  
259 two oxidation peaks were observed at 0.2 and 0.5 V. Differently, on NiOOH (Fig. 4B)  
260 and graphite (Fig. 4C) electrodes only one oxidation peak was ascertained: in the first  
261 case it was around 0.35 V, while in the second case it was around 0.2 V. Regarding the  
262 lignin reactivity, on Pt electrode the current density increased to around  $23 \mu\text{A}/\text{cm}^2$  at  
263 0.2 V and around  $28 \mu\text{A}/\text{cm}^2$  at 0.5 V with respect to the control test. On NiOOH  
264 electrode the current density increased to around  $2 \text{ mA}/\text{cm}^2$  at 0.35 V, while on graphite  
265 electrode it increased to around  $0.5 \text{ mA}/\text{cm}^2$  at 0.2 V. Moreover, in the presence of  
266 lignin, on NiOOH electrode the charge density, namely the supplied charge related to  
267 the electrode area, of the oxidation and reduction peaks were  $9.8$  and  $6.1 \text{ mC}/\text{cm}^2$ ,  
268 respectively. In the absence of lignin, namely in the control test, the charge density of  
269 the oxidation and reduction peaks were  $6.3$  and  $5.5 \text{ mC}/\text{cm}^2$ , respectively. Thus, the net  
270 charge density in the oxidation sweep was  $3.5 \text{ mC}/\text{cm}^2$ , corresponding to an increase of

271 about 56% respect to the control test. The net charge density in the reduction sweep was  
272 only  $0.6 \text{ mC/cm}^2$ , corresponding to an increase of about 10% respect to the control test.  
273 On this basis, the electrochemical oxidation of lignin in alkaline medium resulted an  
274 irreversible reaction.  
275 By comparing the results obtained for the three electrode materials, the NiOOH  
276 electrode showed the maximum current density in the electro-oxidation of P1000 lignin.



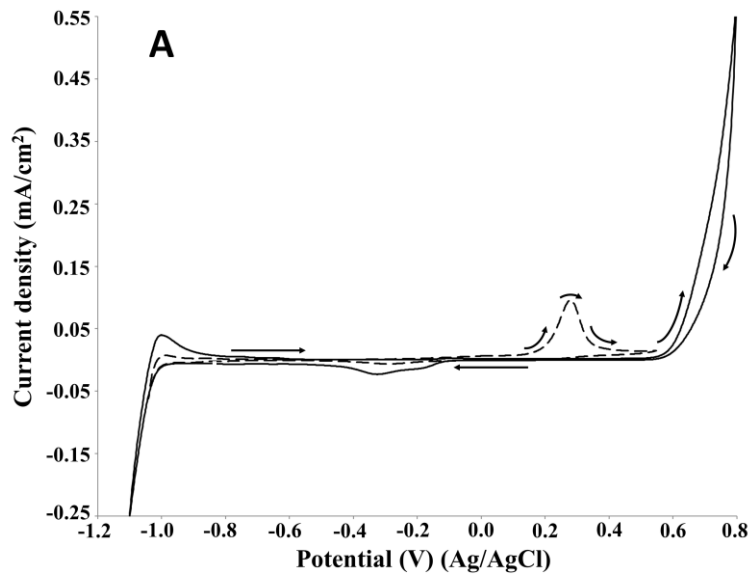


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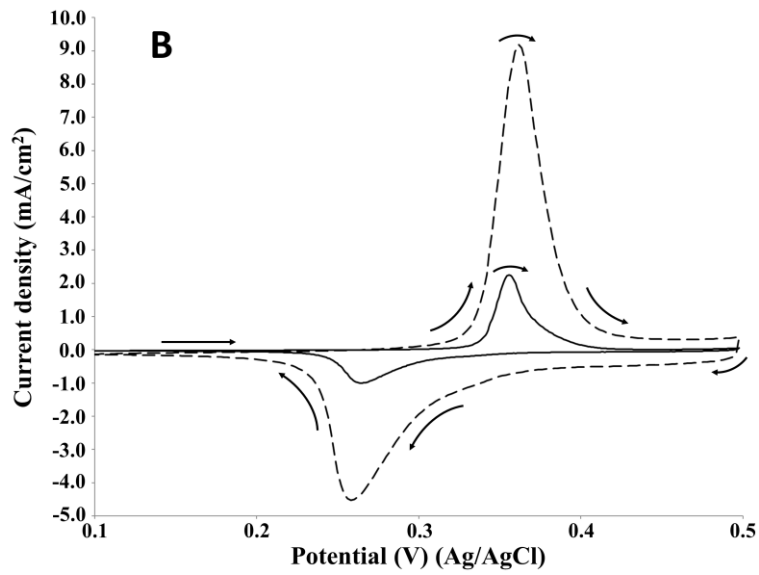
280 **Fig. 4** Cyclic voltammetry of 2 g/L P1000 lignin in 1 M NaOH (pH 14).  
 281 Voltammograms recorded at 10 mV/s on platinum (A), NiOOH (B), and graphite (C)  
 282 electrodes at room temperature. Solid line: control; dashed line: lignin.

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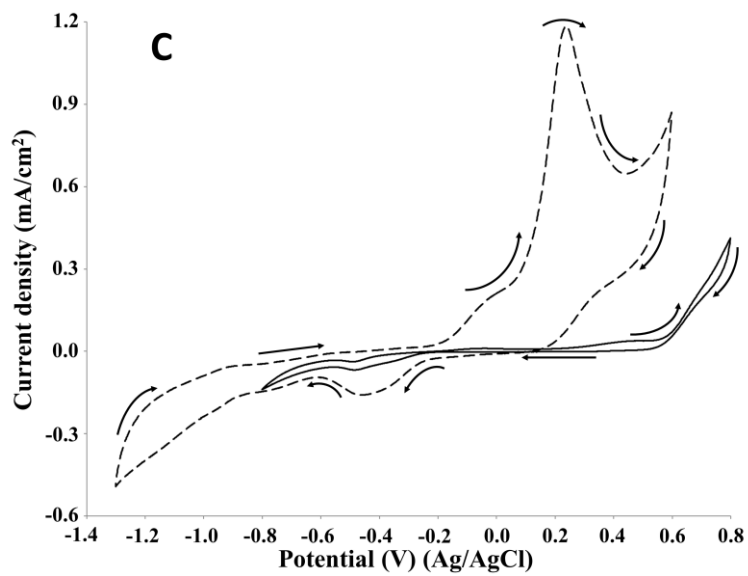
284 In order to confirm the attribution of the increase in the current density of the oxidation  
 285 peak to the lignin oxidation on the NiOOH, the same cyclic voltammetry study was  
 286 performed on guaiacol, which is one of the main structural units of lignin.  
 287 Electrochemical oxidation of guaiacol on Pt, Au, Ti/Sb-SnO<sub>2</sub>, Ti/Pb<sub>3</sub>O<sub>4</sub>, Ni, vitreous  
 288 carbon and oxides of cobalt electrodes has been investigated in previous studies.<sup>32, 35, 36</sup>  
 289 According to the literature, the cyclic voltammetry of guaiacol is characterised by a first  
 290 irreversible discharge involving one or two electrons leading to the formation of a  
 291 radical species. In particular, in the presence of an acidic medium, the electrochemical  
 292 mechanism involves two electrons, while in an alkaline medium a one-electron  
 293 discharge is favoured.<sup>32, 35</sup> Fig. 5 shows the voltammograms acquired for each electrode  
 294 of the present investigation.



295



296



297



298 **Fig. 5** Cyclic voltammetry of 2 g/L guaiacol in 1 M NaOH (pH 14). Voltammograms  
299 recorded at 10 mV/s on platinum (A), NiOOH (B), and graphite (C) electrodes at room  
300 temperature. Solid line: control; dashed line: guaiacol.

301

302 Differently from lignin, on Pt electrode only one oxidation peak was observed at around  
303 0.3 V, which corresponded to the first peak registered for lignin. The obtained  
304 voltammogram agreed with the information reported in the literature for the Pt electrode  
305 in alkaline medium.<sup>37</sup> The net current density was around 0.1 mA/cm<sup>2</sup>, namely  
306 significantly higher respect to the values obtained for the lignin oxidation. On the  
307 NiOOH electrode, the presence of one oxidation peak at around 0.4 V was confirmed.  
308 Similarly to the lignin oxidation, the current density of the oxidation peak of guaiacol  
309 was significantly higher than the control test. For the guaiacol electro-oxidation, the net  
310 current density was 7 mA/cm<sup>2</sup>, namely 3.5-folds higher than the value registered for the  
311 lignin oxidation (2 mA/cm<sup>2</sup>). Moreover, the net charge density of the oxidation and  
312 reduction peaks were 0.8 and 0.4 mC/cm<sup>2</sup>, respectively. Also on the graphite electrode,  
313 one oxidation peak was observed at around 0.3 V, according to the behaviour of Pt and  
314 NiOOH electrocatalysts. Moreover, the potential agreed with the value acquired for the  
315 lignin oxidation. The net current density of the peak was around 1.2 mA/cm<sup>2</sup>, namely 3-  
316 folds higher than the value registered for the lignin oxidation (0.5 mA/cm<sup>2</sup>). The cyclic  
317 voltammetry study on the guaiacol electro-oxidation confirmed the NiOOH as the best  
318 electrodes among those tested in terms of current density.

319

320 **3.2 Cyclic voltammetry study - effect of scan rate**

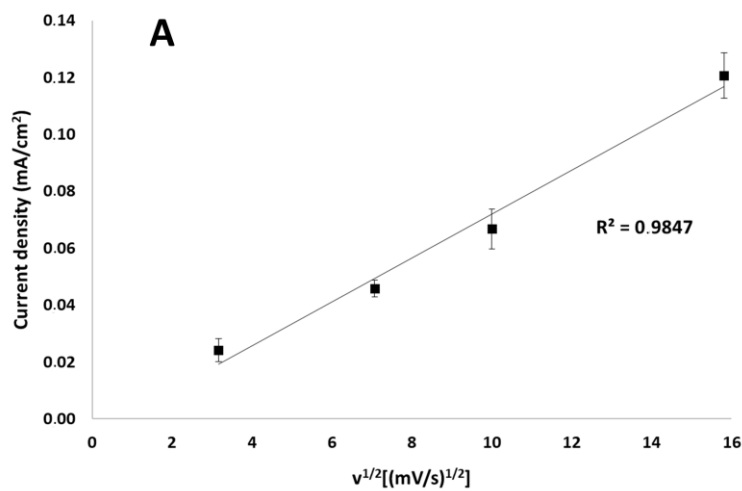
321 In order to establish if the electro-oxidation of P1000 lignin is mass transfer controlled,  
 322 the effect of the scan rate of the three electrodes at pH 14 in the presence of 2 g/L lignin  
 323 was investigated. For all the electrodes a linear relation was obtained by relating the  
 324 current density of the lignin oxidation peak with the squared root of the scan rate (Fig.  
 325 6). This linear relationship is an indication that the investigated process is mass transfer  
 326 controlled, according to the Randles-Sevcik equation:<sup>38</sup>

$$327 I_p = 0.4463 \cdot z \cdot F \cdot A \cdot C \cdot [(z \cdot F \cdot v \cdot D)/(R \cdot T)]^{1/2}$$

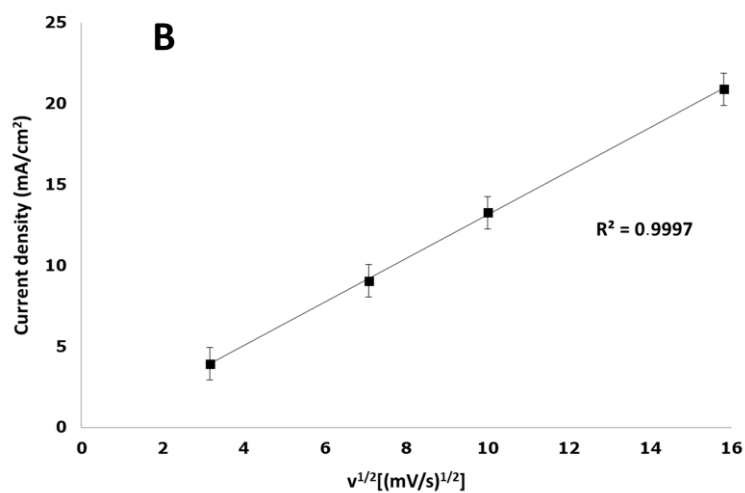
328 that at 25 °C can be expressed according to the following equation:

$$329 I_p = 2.686 \cdot 10^5 \cdot z^{3/2} \cdot A \cdot D^{1/2} \cdot C \cdot v^{1/2}$$

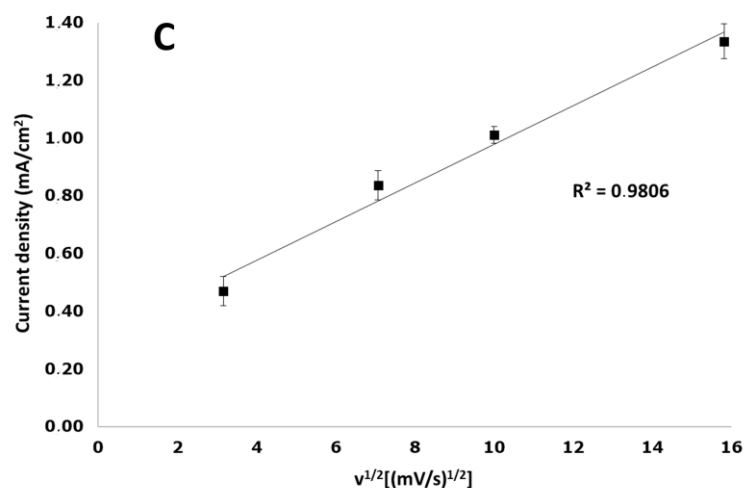
330 where  $I_p$  is the current density (A),  $z$  is the number of electrons exchanged,  $F$  is the  
 331 Faraday constant (96485 C/mol),  $A$  is the area of the electrode (cm<sup>2</sup>),  $C$  is the initial  
 332 concentration of the analyte (mol/cm<sup>3</sup>),  $v$  is the potential scan rate (V/s),  $D$  is the  
 333 diffusion coefficient of the analyte (cm<sup>2</sup>/s),  $R$  is the gas constant (J/mol·K) and  $T$  is the  
 334 temperature (K).



335



336



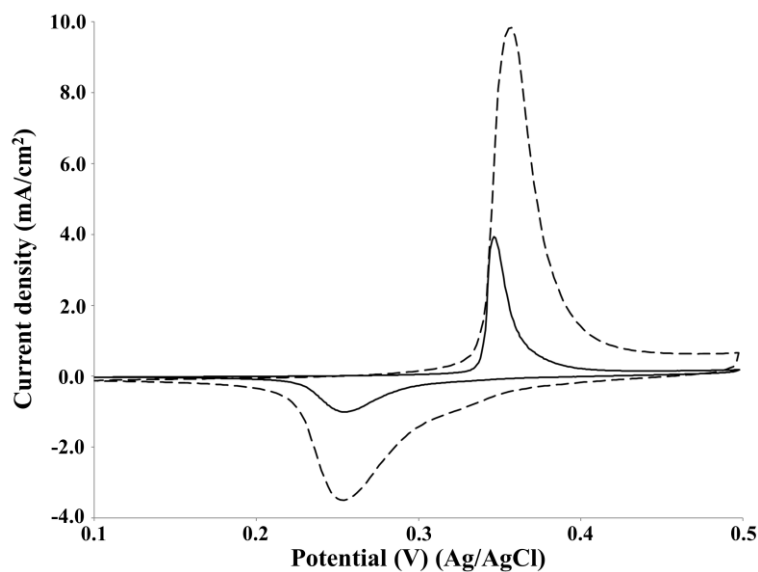
337

338 **Fig. 6** Current density (mA/cm<sup>2</sup>) as a function of the square root of scan rate in cyclic  
 339 voltammetry measurements of 2 g/L P1000 lignin at pH 14 on platinum (A), NiOOH  
 340 (B), and graphite (C) electrodes at room temperature.

341

### 342 3.3 Cyclic voltammetry study - effect of lignin concentration

343 The effect of the increase in the lignin concentration on the current density of the  
 344 oxidation peak was investigated by cyclic voltammetry on the NiOOH electrode at pH  
 345 14 (Fig. 7).



346

347 **Fig. 7** Cyclic voltammetry of P1000 lignin at the concentration of 2 g/L (solid line) and  
 348 20 g/L (dashed line). Voltammograms recorded at 10 mV/s on NiOOH electrode at  
 349 room temperature.

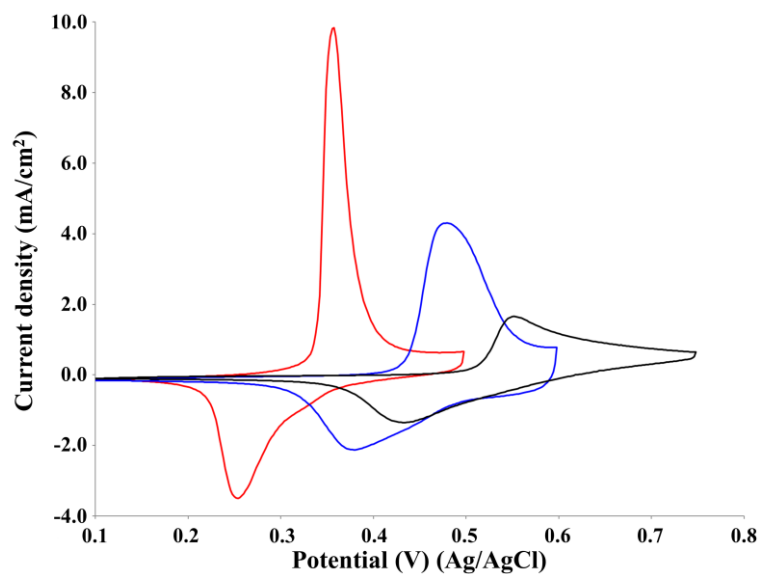
350

351 The increase of lignin concentration from 2 to 20 g/L resulted in a 2.5-folds increase of  
 352 the current density of the oxidation peak of P1000 lignin from 4 to around 10 mA/cm<sup>2</sup>.  
 353 A similar increase of the electrode activity with increasing of lignin concentration was  
 354 obtained by Cai et al. in the cyclic voltammetry, adopting different concentrations in the  
 355 range 20-40 g/L on Pb/PbO<sub>2</sub> electrode in alkali solution.<sup>23</sup> Moreover, the same  
 356 phenomenon is reported in the literature for the electrochemical oxidation of guaiacol<sup>35</sup>  
 357 and urea on the NiOOH electrode in alkaline media.<sup>39</sup>

358

### 359 **3.4 Cyclic voltammetry study - effect of pH**

360 The effect of pH on the oxidative potential of P1000 lignin was investigated by  
 361 cyclic voltammetry on the NiOOH electrocatalyst (Fig. 8).



362

363 **Fig. 8** Cyclic voltammetry of 2 g/L P1000 lignin at pH 12 (black line), 13 (blue line)  
 364 and 14 (red line). Voltammograms recorded at 50 mV/s on NiOOH electrode at room  
 365 temperature.

366

367 The pH increase resulted in a decrease in the potential of the lignin oxidation peak  
 368 according to the Nernst equation.<sup>40, 41</sup> It was around 0.55 V at pH 12, around 0.45 V at  
 369 pH 13 and around 0.35 V at pH 14. Similar results were obtained by Vedharathinam and  
 370 Botte for the electrochemical oxidation of urea on NiOOH electrode in alkaline media.<sup>39</sup>  
 371 Moreover, the increase in pH resulted in an increase of the peak current density which is  
 372 related to the kinetics of the oxidation current for Ni(OH)<sub>2</sub>/NiOOH according to the  
 373 literature.<sup>42, 43</sup> In particular, since the lignin oxidation is catalysed by NiOOH species  
 374 and the formation of this last one on the electrode surface is strongly affected by the  
 375 OH<sup>-</sup> activity, the increase of pH, namely the increase of OH<sup>-</sup> concentration, increases  
 376 the anodic current density.<sup>39</sup> In fact, it was around 1 mA/cm<sup>2</sup> at pH 12, around 4  
 377 mA/cm<sup>2</sup> at pH 13 and around 10 mA/cm<sup>2</sup> at pH 14. The net charge density of the  
 378 oxidation peaks at pH 12, 13 and 14 were 3.1, 5.3 and 5.3 mC/cm<sup>2</sup>, respectively. The

379 net charge density of the reduction peaks at pH 12, 13 and 14 were 2.8, 3.8 and 3.6  
380 mC/cm<sup>2</sup>, respectively. The increase of pH from 12 to 13 or 14 determined an increase of  
381 71% of the charge density of the oxidation peak, corresponding to 2.2 mC/cm<sup>2</sup>. The  
382 same effect of the pH increase on the current density was observed by Cai et al. in the  
383 cyclic voltammetry of Pb/PbO<sub>2</sub> in alkali solution for the commercial corn stover  
384 lignin.<sup>23</sup> Based on the results obtained, pH 14 was selected as the optimal reaction  
385 condition for P1000 lignin electrolysis. This reaction condition agreed with the  
386 information reported in the literature for the electro-oxidative depolymerisation of other  
387 technical lignins.<sup>13, 23, 32</sup>

388 Considering the performances of Pt, NiOOH and graphite electrodes and the results  
389 obtained by the preliminary cyclic voltammetry study, the following reaction conditions  
390 were selected for the electrolysis of P1000 lignin: NiOOH electrode, pH 14, 0.4 V, 20  
391 g/L lignin. NiOOH was selected as the most efficient electrocatalyst based on the  
392 highest net current density registered for the lignin oxidation peak in the cyclic  
393 voltammetry.

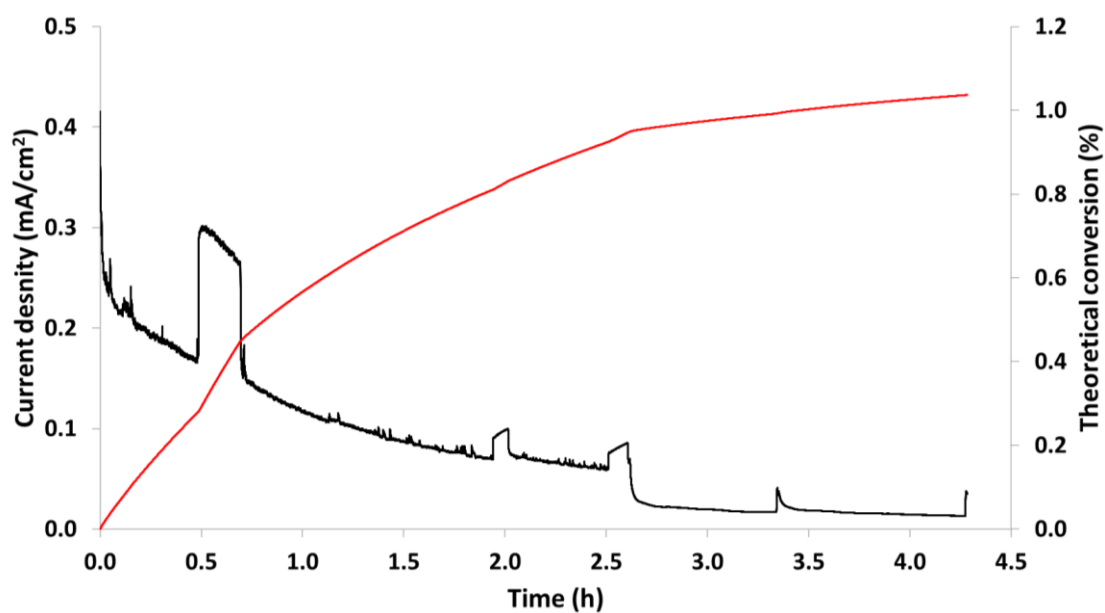
394

### 395 **3.5 Electrolysis of lignin**

396 The preliminary cyclic voltammetry study aimed at determining the optimal reaction  
397 conditions for the electrolysis of soda P1000 lignin in order to produce added-value  
398 aromatic compounds. Alkaline solutions are typically used to dissolve lignin favouring  
399 its oxidative depolymerisation.<sup>34, 44</sup> In the literature, several hypotheses on the oxidation  
400 mechanism of lignin to give valuable aromatics are reported.<sup>22, 32, 45</sup> In the case of  
401 electro-oxidative depolymerisation, the R–O–R ether linkages, which are thermally and  
402 oxidatively more labile with respect to the C–C linkages, are firstly involved in the

403 mechanism.<sup>46</sup> The ether bonds breaking leads to the formation of oxygenated aromatics  
404 such as vanillin, vanillic acid, vanillin acetate and guaiacol. Moreover, during  
405 electrolysis in alkaline solution, different substituted phenolates are produced, which are  
406 involved in a direct electron transfer leading to the synthesis of radical species which  
407 take part in the lignin depolymerisation.<sup>32</sup> Recently, Chen et al. studied the  
408 electrochemical oxidation mechanisms for the C–O and C–C cleavages of  $\beta$ -O-4  
409 linkages in lignin model monomers and dimers such as 2-phenoxy-1-phenethanol, 2-  
410 phenoxyacetophenone and 2-phenoxy-1-phenylethane.<sup>47</sup>

411 Fig. 9 shows the results of the P1000 lignin electro-oxidative depolymerisation under  
412 the optimal reaction conditions.



413  
414 **Fig. 9** Constant potential electrolysis of 20 g/L P1000 lignin on NiOOH electrode at pH  
415 14, 0.4 V and room temperature. Black line: current density (mA/cm<sup>2</sup>); Red line:  
416 theoretical conversion (%).

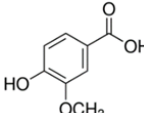
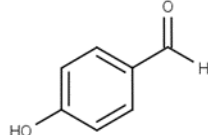
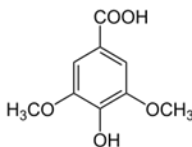
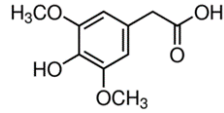
417  
418 In particular, Fig. 9 shows the variation of the current density (mA/cm<sup>2</sup>), black line, and  
419 the theoretical conversion (%), red line, as a function of the reaction time. At the end of

420 the reaction, the theoretical conversion was 1.0%, which represented the oxidation  
 421 degree of the implemented process. The current decreasing observed in Fig. 9 was  
 422 probably due to the passivation of the electrode.

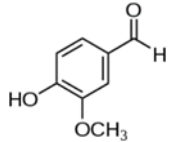
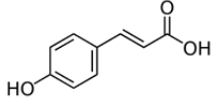
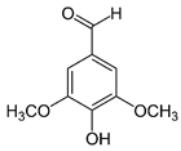
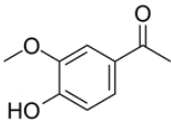
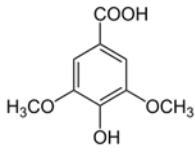
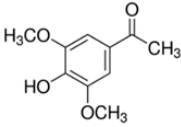
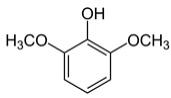
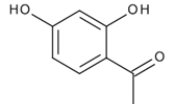
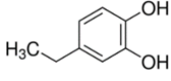
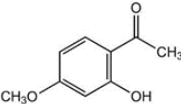
423 Table 1 reports the list of the identified aromatic compounds and their concentrations  
 424 obtained after the P1000 electrolysis.

425

426 **Table 1** List of aromatic compounds produced after the electro-oxidative  
 427 depolymerisation of soda P1000 lignin on NiOOH electrode under the optimised  
 428 reaction conditions (20 g/L P1000 lignin, NiOOH electrode, pH 14, 0.4 V, room  
 429 temperature).

Number	Compound	Structure	Concentration (mg/L)
1	Vanillic acid		23.4
2	4-hydroxybenzaldehyde		17.3
3	Syringic acid		20.6
4	3,5-dimethoxy-4-hydroxyphenylacetic acid		2.8



5	Vanillin		23.8
6	<i>p</i> -Coumaric acid		18.2
7	3,5-dimethoxy-4-hydroxybenzaldehyde		13.3
8	Acetovanillone		30.2
9	Sinapic acid		64.3
10	3,5-dimethoxy-4-hydroxyacetophenone		9.9
11	2,6-dimethoxyphenol		14.1
12	2,4-dihydroxyacetophenone		0.1
13	4-ethylcatechol		5.1
14	2-hydroxy-4-methoxyacetophenone		2.6

430

431 14 added-value bioproducts were identified (Table 1). The main products were sinapic  
 432 acid (64.3 mg/L), acetovanillone (30.2 mg/L), vanillin (23.8 mg/L) and vanillic acid

433 (23.4 mg/L). The sum of aromatics concentrations resulted 245.7 mg/L, corresponding  
434 to a production of 1.2 kg of aromatics from 100 kg of P1000 lignin. This yield value is  
435 in line with the literature. In fact, according to Weber and Ramasamy, mass yields are  
436 typically  $\leq 2$  wt%.<sup>46</sup> For example, Di Marino et al. obtained 14 aromatic compounds  
437 with an overall yield of 2.0 wt% respect to the starting lignin,<sup>25</sup> while Ghahremani and  
438 Staser identified 4 products with an overall yield of 0.2 wt% respect to the starting  
439 lignin.<sup>48</sup> Moreover, similar aromatic bioproducts were obtained in the study of Long et  
440 at.<sup>49</sup> on the chemical depolymerisation of organosolv pine lignin and in the study of  
441 Yang et al.<sup>50</sup> on the enzymatic depolymerisation of Kraft lignin.

442 The relative abundance of obtained phenolics reflects the relative ratio of P1000  
443 lignin structural units: Syringyl (S)-unit 45.2%, Guaiacyl (G)-unit 41.1%,  
444 Hydroxyphenyl (H)-unit 13.3%.<sup>4</sup> In fact, sinapic acid, which is the major product,  
445 derived from S-unit, while acetovanillone, vanillin and vanillic acid derived from G-  
446 unit.<sup>50</sup> Moreover, the relative weight ratio of sinapic acid and the sum of acetovanillone,  
447 vanillin and vanillic acid was around 1, which was around the relative weight ratio of S-  
448 unit and G-unit in the P1000 lignin. The overall amount of the detected products  
449 accounts for around 1 wt% of the electrolysed P1000 lignin substrate. The amount of  
450 products corresponds with the estimated maximum conversion and therefore indicates a  
451 high coulombic efficiency for the depolymerisation of P1000 lignin.

452

#### 453 **4. Conclusions**

454 In the present study, for the first time, the electro-oxidative depolymerisation of soda  
455 P1000 lignin into added-value aromatic compounds was investigated. In particular,  
456 three anode materials were tested, Pt, NiOOH and graphite, as well as three pH values,

457 12, 13 and 14 and two lignin concentrations, 2 and 20 g/L. The preliminary cyclic  
458 voltammetry study allowed us to identify the optimal reactions conditions for the lignin  
459 electrolysis. NiOOH, pH 14, 20 g/L lignin and 0.4 V resulted the best reaction  
460 conditions. Adopting these parameters, the constant potential electrolysis of P1000  
461 lignin was performed into a divided cell in the presence of an anion exchange  
462 membrane. 14 main aromatic compounds were identified and quantified by UPLC-MS.  
463 The main products were sinapic acid, acetovanillone, vanillin and vanillic acid,  
464 achieving the overall oxidation degree of 1%. The future investigations will aim to  
465 increase the oxidation of soda P1000 lignin in order to raise the aromatics yield.

466

#### 467 **Author contributions**

468 **Nicola Di Fidio:** Methodology, Investigation, Data curation, Formal analysis,  
469 Writing - original draft. **Johan Timmermans:** Methodology, Formal analysis,  
470 Supervision. **Claudia Antonetti:** Writing - review & editing, Formal analysis. **Anna**  
471 **Maria Raspolti Galletti:** Writing - review & editing, Formal analysis, Supervision.  
472 **Richard J. A. Gosselink:** Conceptualization, Writing - review & editing, Supervision,  
473 Funding acquisition, Resources. **Roel J. M. Bisselink:** Conceptualization,  
474 Methodology, Formal analysis, Writing - review & editing, Supervision. **Ted Slaghek:**  
475 Conceptualization, Writing - review & editing, Supervision, Funding acquisition,  
476 Resources.

477

#### 478 **Conflict of interest**

479 There are no conflicts to declare.

480

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490

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