

1 **Iodine biofortification of sweet basil and lettuce grown in two hydroponic**  
2 **systems**

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15 **Abstract**

16 Two hydroponic techniques (floating system and aeroponics) were assessed for iodine (I)

17 biofortification of sweet basil (*Ocimum basilicum* L.) and baby-leaf lettuce (*Lactuca sativa* L.).

18 Iodine was supplemented by adding KI into the nutrient solution to achieve a final I concentration

19 of 10  $\mu\text{M}$ . Shoot biomass production and leaf concentration of I, nitrates, total phenols and

20 pigments were measured on the occasion of two successive cuts, 14 and 28 days after transplanting.

21 In both the hydroponic systems, the supplementation of KI represented an effective method for the

22 biofortification of basil as it did not affect the plant growth, while it moderately reduced the

23 biomass production in lettuce. Leaf I accumulation occurred to a greater extent in aeroponics than

24 the floating system in both species. In KI-treated basil plants, leaf I content ranged between 9.76

25 and 23.58  $\text{mg kg}^{-1}$  FW. Consequently, 6 g of fresh basil leaves, which is contained in a portion of

26 Italian pesto sauce (12 g), could satisfy 40% to 94% of the Recommended Daily Intake (RDI) of  
27 iodine for healthy adults (150  $\mu\text{g day}^{-1}$ ). In lettuce, leaf I content of first-cut leaves were 1.55 and  
28 3.60  $\text{mg kg}^{-1}$  FW, in the floating system and aeroponics, respectively. Therefore, a serving size of  
29 26 g of lettuce containing I from 1.55 (floating culture) to 3.60 (aeroponics)  $\text{mg kg}^{-1}$  FW could  
30 satisfy 27 % to 62% of the RDI of iodine.

31 Lettuce grew much less in the floating system than aeroponics, probably because of the lower  
32 dissolved oxygen level in the nutrient solution as compared to aeroponics. Basil was less sensitive  
33 to the oxygen availability in the root zone, since no differences were detected between the two  
34 hydroponic systems in terms of fresh and dry biomass.

35

36 *Keywords:* aeroponics; floating system; dietary supplement; iodine supplementation; leafy  
37 vegetables; potassium iodide; soilless culture

38

## 39 **1. Introduction**

40 Iodine (I) deficiency is one of the most common micronutrient deficiencies worldwide and is  
41 responsible for a series of ‘iodine deficiency disorders’ (IDDs), due to insufficient secretion of  
42 thyroid hormones (Zimmermann et al., 2008). Seafood is the main source of I and the  
43 Recommended Daily Intake (RDI) ranges from 90 to 250  $\mu\text{g day}^{-1}$  (EFSA, 2014). Several countries  
44 have successfully implemented the “universal salt iodization” for dietary I supplementation.  
45 However, the use of iodized salt is still inadequate due to the loss of I during storage, transportation  
46 and cooking (Aburto et al., 2014). Moreover, many countries have implemented policies aimed at  
47 reducing salt intake to prevent hypertension and cardiovascular diseases. To contrast IDD, I  
48 biofortification of vegetables is a valid alternative to salt iodization (Gonzali et al., 2017).  
49 Biofortification is the process by which the concentration of essential elements is increased in plant  
50 tissues, in order to improve the nutritional quality of plant-based food during the plant growth rather  
51 than during post-harvest processing (Díaz-Gómez et al., 2016).

52 The production of biofortified vegetables is facilitated by the application of closed-loop hydroponic  
53 (or soilless) cultivation, which results in higher crop yield, better product quality, lower  
54 consumption of water and fertilisers, and reduced release of agro-chemicals to the environment  
55 (Pardossi et al., 2006; Rouphael et al., 2018).

56 Among closed-loop hydroponic techniques, the floating system is widely used for the cultivation of  
57 leafy vegetables with short cycle and grown at high plant density, such as many baby-leaf  
58 vegetables and herbs including sweet basil (Kiferle et al., 2019; Landi et al., 2013) and lettuce  
59 (Blasco et al., 2008).

60 Aeroponics is a soilless system in which the plant roots are suspended in the air in the dark and  
61 frequently sprayed with a fine mist of nutrient solution (Pardossi et al., 2006). This system has been  
62 successfully used for the cultivation of several vegetables, such as basil (Salachas et al., 2015),  
63 lettuce (Jie and Kong, 1998), tomato (Dannehl et al., 2017), potatoes (Ritter et al., 2001) and  
64 cucumber (Park et al., 1997).

65 Sweet basil (*Ocimum basilicum* L.) is a popular herb mostly used for food preparation (Makri and  
66 Kintzios, 2008); for example, it is the main ingredient of the Italian green sauce ‘pesto’. Lettuce  
67 (*Lactuca sativa* L.) is widely cultivated in the open field or under a greenhouse for fresh  
68 consumption of mature heads or leaves (in non-heading genotypes), or immature (baby) leaves as  
69 ready-to-eat vegetables. The market for baby-leaf vegetables is rapidly growing in many countries  
70 as it offers healthy and convenient foods to consumers (Saini et al., 2017).

71 This study was conducted on sweet basil and baby-leaf lettuce to investigate the effect of two  
72 hydroponic techniques (floating system and aeroponics) and the addition of potassium iodide (KI)  
73 into the nutrient solution in terms of plant growth and leaf I accumulation. To the best of our  
74 knowledge, for the first time, the cultivation of basil and lettuce in floating system and aeroponics  
75 were compared and in the latter hydroponic system, I biofortification of leafy vegetables was tested.  
76 The I level in the KI-enriched nutrient solution was 10  $\mu\text{M}$ . This concentration was chosen as  
77 previous works with I concentration in the nutrient solution ranging from 0.4 to 240  $\mu\text{M}$

78 demonstrated that I supplementation reduced the growth in many hydroponically-grown vegetables  
79 only at concentrations higher than 10-12  $\mu\text{M}$  (Zhu et al., 2003; Voogt et al., 2010; Gonnella et al.,  
80 2019; Kiferle et al., 2019). Besides, Blasco et al. (2008) detected the highest I translocation factor at  
81 the concentration of 10  $\mu\text{M}$  KI; thus, this concentration resulted as the most efficient for  
82 biofortification.

83 The experiment was performed during the spring-summer period in order to evaluate the possible  
84 advantages of the aeroponic system as compared to floating system, under conditions of high air  
85 temperature ( $>40$   $^{\circ}\text{C}$ ), typically experienced by the Mediterranean crops grown under greenhouse  
86 conditions. One of the major drawbacks of floating system is the risk of the occurrence of root  
87 hypoxia stress due to the depletion of oxygen at the root-water interface as a consequence of the  
88 stagnant nutrient solution (Soffer and Burger, 1988). This risk is aggravated under high-temperature  
89 conditions, which decreases the oxygen solubility while increasing the respiratory demand for  
90 oxygen in root tissues (approximately, it doubles for each  $10^{\circ}\text{C}$  rise in temperature, up to about 30  
91  $^{\circ}\text{C}$ ) (Jitsuyama, 2013). In contrast, in aeroponics frequent irrigation and the creation of mist results  
92 in nutrient solution saturated with dissolved oxygen (Alshrouf, 2017; Gopinath et al., 2017).

93 Quality attributes of leafy vegetables include greenness, low level of nitrates, and high antioxidant  
94 content and capacity. Therefore, we also investigated the effect of I supplementation and  
95 hydroponic system on leaf content of nitrates, pigments, and total phenols and the leaf antioxidant  
96 capacity.

97

## 98 **2. Materials and methods**

### 99 **2.1. Plant material and growing conditions**

100 The experiment were conducted in a glasshouse at the University of Pisa, Italy (lat.  $42^{\circ}42'48\text{N}$ ,  
101 long.  $10^{\circ}24'52''92\text{E}$ ), between late spring and early summer 2019.

102 Basil (*Ocimum basilicum* L. “Tigullio”) seeds were purchased from Franchi Sementi (Bergamo,  
103 Italy) and lettuce (*Lactuca sativa* var. *crispa* L. “Salad Bowl”) seeds from Gargini sementi (Lucca,

104 Italy). Seeds were sown in 240-cell plug-trays filled with rockwool and vermiculite; the trays were  
105 placed in a growth chamber at 25 °C for 5 days. Basil and lettuce seedlings were then planted in  
106 aeroponics and floating systems, 20 and 10 days after sowing, respectively.

107 The aeroponics was made of two separate systems consisting of two plastic chambers with a total  
108 volume of 220 L m<sup>-2</sup> and height of 22 cm. Each chamber was closed at the top with eight  
109 polystyrene panels, each hosting 20 plants. In total, 80 plants of each species were placed in each  
110 system. The mixing tank of each system contained 200 L of nutrient solution which was sprayed for  
111 20 s every 10 min during the day and every 40 min during the night. The total volume of nutrient  
112 solution delivered to the system at each irrigation event was approximately 6.4 L.

113 The floating system was made up of six separate 50-L plastic tanks (water depth 25 cm) with a  
114 polystyrene tray hosting 8 plants of basil and 8 plants of lettuce (16 plants in total).

115 In both the hydroponic systems, crop density was 100 plants m<sup>-2</sup> (on a ground area basis).

116 All the plants were fed with a nutrient solution containing: N-NO<sub>3</sub><sup>-</sup> 14.0 mM, N-NH<sub>4</sub><sup>+</sup> 2.0 mM, P  
117 2.0 mM, K 10.0 mM, Ca 4.5 mM, Mg 2.0 mM, S-SO<sub>4</sub> 5.0 mM, Fe 40.0 μM, B 40.0 μM, Cu 3.0  
118 μM, Zn 10.0 μM, Mn 10.0 μM, Mo 1.0 μM. For the preparation of the nutrient solution, the  
119 following salts were used: 5[Ca(NO<sub>3</sub>)<sub>2</sub> \*2H<sub>2</sub>O]NH<sub>4</sub>NO<sub>3</sub>, NH<sub>4</sub>NO<sub>3</sub>, KH<sub>2</sub>PO<sub>4</sub>, MgSO<sub>4</sub> \*7H<sub>2</sub>O, KNO<sub>3</sub>,  
120 K<sub>2</sub>SO<sub>4</sub>, Fe EDDHA, H<sub>3</sub>BO<sub>3</sub>, Cu EDTA, Zn EDTA, Mn EDTA, Na<sub>2</sub>MoO<sub>4</sub> \*2H<sub>2</sub>O.

121 The pH and electrical conductivity (EC) values were 5.6 and 2.32 dS m<sup>-1</sup>, respectively. They were  
122 checked every day and remained within 10% of the values measured in the newly prepared nutrient  
123 solution. EC value close to 2.32 dS m<sup>-1</sup> is commonly used for the hydroponic cultivation of basil  
124 and lettuce (Voogt et al., 2010; Incrocci et la., 2019).

125 In the floating system, the nutrient solution was continuously aerated and the oxygen content ranged  
126 between 4.9 and 5.4 g m<sup>-3</sup> with an average of 5.2 mg L<sup>-1</sup>.

127 In the aeroponics system, the oxygen content of the nutrient solution in the mixing tank was higher  
128 than 7.0 mg L<sup>-1</sup> throughout the entire experiment.

129 During the experiment, the nutrient solution was completely replaced every week in order to  
130 minimize the changes in the ion concentration of the nutrient solution.

131 Basil and lettuce plants were cut twice, 14 and 28 days after transplanting, at 2 cm above the first  
132 node or above the collar level, since these species are re-cut one or more times in a season as new  
133 leaves grow.

134 Climatic conditions were continuously monitored by a weather station located inside the  
135 greenhouse. The climatic and cultivation parameters are shown in Table 1.

136

## 137 **2.2. Experimental designs**

138 The treatments were defined by a combination of three factors: I concentration in the nutrient  
139 solution, the hydroponic system, and the time of cutting. The treatments were arranged in a totally  
140 randomized design. The I treatments were differentiated one week after transplanting in the  
141 hydroponic system by adding potassium iodide (KI) into the nutrient solution. The control nutrient  
142 solution contained approximately 0.07  $\mu\text{M}$  KI, which was the constitutive level of raw water.

143

## 144 **2.3. Determinations**

145

### 146 **2.3.1. Biomass production**

147 At each sampling, leaf area, fresh weight (FW), and dry weight (DW) (after drying in a ventilated  
148 oven at 60 °C till constant weight) of basil shoots and lettuce leaves were determined on three  
149 individual plants selected randomly from each replicate.

150

### 151 **2.3.2. Leaf gas exchange and chlorophyll *a* fluorescence parameters**

152 Leaf gas exchanges and fluorescence were measured in nearly fully-expanded leaves.

153 The rate of leaf photosynthesis ( $P_n$ ;  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and transpiration ( $E$ ;  $\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$ ) were  
154 measured using a portable gas exchange system with a broad-leaf chamber, lamp and infrared  
155 temperature sensor (CIRAS-2, PPSystems, Haverhill, MA). The measurements were performed the  
156 day before each cut in light-saturated conditions ( $1000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  PAR) and at ambient  
157 air  $\text{CO}_2$  concentration ( $400 \pm 5 \mu\text{mol CO}_2 \text{ mol air}^{-1}$ ) and temperature.

158 Modulated chlorophyll *a* fluorescence parameters were measured with a fluorometer (PAM-2000,  
159 Walz, Effeltrich, Germany) in dark-adapted leaves (30 min) before ( $F_0$ ) and after ( $F_m$ ) a saturating  
160 pulse ( $8000 \mu\text{mol m}^{-2} \text{ s}^{-1}$  for 1 s). The maximal photosystem II (PSII) photochemical efficiency  
161 [ $F_v/F_m = (F_m - F_0)/F_m$ ] was calculated according to Genty et al. (1989).

162

### 163 **2.3.3. Leaf iodine and nitrate content**

164 Inorganic iodine content was determined in oven-dried ground samples after extraction with  
165 deionized hot water ( $60 \text{ }^\circ\text{C}$ ) for 60 min. When cooled at room temperature, the extract was filtered  
166 and analyzed as reported by Perring et al. (2001). Briefly, 1 mL of potassium thiocyanate (KSCN)  
167 ( $0.023\% \text{ m/v}$ ), 2 mL of  $\text{NH}_4\text{Fe}(\text{SO}_4)_2$  ( $7.7\% \text{ m/v}$ ) in  $2.4 \text{ M HNO}_3$  and 2 mL of  $\text{NaNO}_2$  ( $0.02\% \text{ m/v}$ )  
168 were added to the extracts and to the KI standard solutions, mixed and maintained at  $60 \text{ }^\circ\text{C}$  for 1  
169 hour. After the incubation, the reaction was stopped by placing the solutions in a water-ice mixture  
170 for 10 min and the absorbance was read at 454 nm (Perring et al., 2001).

171 The above method was previously checked through parallelism tests, using standard solutions (Std)  
172 with or without the addition of leaf extracts. In the former case,  $50 \mu\text{L}$  of extracts from either  
173 control or KI-treated leaves were added to  $1.950 \text{ mL}$  of standards at different concentrations (Sam  
174 CT + Std and Sam TRAT + Std, respectively). The agreement between the measured and predicted  
175 concentrations were excellent (Figure S2); as expected, the regression lines for both Std and Sam  
176 CT + Std overlapped with the identity line, having a slope and intercept statistically equal to 1 and  
177 0, respectively (Figure S2). Furthermore, a parallel regression line was obtained for Sam TRAT +  
178 Std, with slope statistically equal to 1 and intercept significantly higher than 0.

179 The nitrate content was measured spectrophotometrically in dry leaf samples extracted with distilled  
180 water (100 mg DW in 20 mL) at room temperature for 2 h using the salicylic-sulfuric acid method  
181 (Cataldo et al., 1975).

182

#### 183 **2.3.4. Photosynthetic pigment content, total phenolic content and total antioxidant capacity**

184 Leaf chlorophyll and carotenoid content were measured in fresh samples according to Lichtenthaler  
185 (1987). An aliquot of 100 mg of each sample was extracted with 5 mL methanol 99% (v/v), and the  
186 concentrations of chlorophyll *a*, chlorophyll *b* and carotenoids were spectrophotometrically  
187 determined using the equation reported by Welburn and Lichtenthaler (1984).

188 Total phenol content was determined in the same methanol extracts using the Folin–Ciocalteu  
189 reagent according to Kang and Saltveit (2002). The total phenol content was calculated using the  
190 calibration curve containing 0, 50, 100, 150 and 250 mg gallic acid L<sup>-1</sup>; values were expressed as  
191 mg of gallic acid (GAE) g<sup>-1</sup> FW.

192 According to Huang et al. (2005), the total antioxidant capacity was measured with two methods:  
193 the ferric reducing ability of plasma (FRAP) assay (Benzie and Strain, 1996) and the 2,2-diphenyl-  
194 1-picrylhydrazyl (DPPH) assay (Brand-Williams et al., 1995), using an aliquot of methanol extract,  
195 used for the determination of pigments and phenol content. The methanol extract was added to 0.9  
196 mL of FRAP reagent, which consisted of 1 mM 2,4,6-tripyridyl-2-triazine (TPTZ) and 2 mM ferric  
197 chloride in 250 mM sodium acetate (pH 3.6). It was then mixed and kept at 20 °C for four min, and  
198 the absorbance was read at 593 nm. The results were expressed as μmol Fe(II) mg<sup>-1</sup> FW. The DPPH  
199 reagent was added to the methanol extract and, after 30 min of incubation in the dark at room  
200 temperature, the absorbance at 515 nm was read. The percentage of inhibition was calculated  
201 comparing the absorbance of samples with the absorbance of a solution where methanol was added  
202 instead of the extract. The results were expressed as the concentration of Trolox equivalents (μmol  
203 TE g<sup>-1</sup> FW).

204



### 205 **2.3.5. Iodine Transference factor**

206 The iodine transference factor (TF) was calculated using both the I concentration (IC) of the fresh  
207 leaves and the IC of the solution (Zhu et al., 2003). Thus, the TF was calculated in the following  
208 way:

$$209 \quad ITF = \frac{IC \text{ leaves}}{IC \text{ solution}}$$

210

### 211 **2.3.6. Statistical analysis**

212 Data were tested for homogeneity of variances using Levene's test and were subjected to three-way  
213 ANOVA with the hydroponic system, KI concentration, and the time of cut as variables. Mean  
214 values were separated by the Duncan's post-hoc test ( $P < 0.05$ ). Statistical analysis was performed  
215 using R Statistical Software.

216 For the sake of simplicity, tables and figures show only the mean values of each treatment and the  
217 significance of different sources of variability and their interaction effects. The mean values for  
218 each source of variability and interactions are reported in the supplementary material.

219

## 220 **3. Results**

### 221 **3.1. Sweet basil**

222 Biomass production and shoot growth were significantly influenced by the cut time without  
223 significant effects of the hydroponic system used, KI concentration and the interactions among the  
224 three factors (Table 2). On average, shoot FW, DW and leaf area were 34.2%, 48.3% and 343%  
225 respectively, greater in the second-cut (C2) (Table S1). The DW/FW ratio was greater in the first-  
226 cut (C1) leaves as compared to the C2 ones (+10.4%). A similar trend was found in the floating  
227 system when compared to aeroponics (+6.5%) (Table 2).

228 Leaf Pn and E did not differ significantly in all the treatments (Table 2).

229 Plants treated with I showed slightly reduced values of  $F_v/F_m$  only in C2 leaves and in the floating  
230 system. On average,  $F_v/F_m$  and  $F_m$  were lower in C2 leaves by 3.6% and 14.3%, respectively (Table  
231 S2).

232 The supplementation of KI resulted in a large I accumulation in leaf tissues in both hydroponic  
233 systems and to a larger extent in the C2 leaves (Figure 1). Leaf I content ranged from 9.76 to 23.58  
234  $\text{mg kg}^{-1}$  FW in KI-treated plants and, on average, it was 17.0% and 89.7% higher, respectively, in  
235 aeroponics and C2 leaves with respect to the floating system and C1 leaves (Table S3). The I  
236 concentration in the control leaves was below the limit of detection against 9.76 to 23.58  $\text{mg kg}^{-1}$   
237 FW in KI treated plants.

238 The ITF was higher in KI-treated plants grown in aeroponics, especially in C2 leaves (18.57 against  
239 13.89 in C1). On average, the ITF was 89.9 % higher in C2 leaves as compared to C1 leaves (Table  
240 S5).

241 A higher leaf nitrate content was found in aeroponics than the floating system, and also in C2 leaves  
242 as compared to C1 leaves (Table 2). On average, the nitrate content was 33.5% and 19.3% higher,  
243 respectively, in aeroponics and C2 leaves (Table S3).

244 Leaf phenol content was markedly increased (44%) by KI supplementation in C2 leaves of  
245 aeroponically-grown plants (Table 3). On average, adding KI to the nutrient solution increased leaf  
246 phenol content by 11% (Table S3).

247 Leaf contents of chlorophylls and carotenoids were scarcely influenced by the factors under  
248 investigation (Table 2), apart from a significant increase of chlorophyll content observed in C2  
249 leaves of plants grown in aeroponics (+20%, on average; Table S3). On average, the chlorophyll  
250 content was slightly lower in aeroponics (-8.5%) and higher in plants treated with KI (+5.2%) and  
251 in C2 leaves (+9.0%). Only the time of cut affected the carotenoids content, which was higher  
252 (+19.5% on average) in C2 leaves (Table S3).

253 In plants grown in aeroponics, the total antioxidant capacity of C1 leaves, as measured by both  
254 FRAP (-36.6%) and DPPH (-45.7%) assays was lower than in floating system (Figure 2); no

255 differences between cultivation systems were detected in C2 leaves (Table S4). On average, the  
256 total antioxidant capacity of plants was higher in KI-treated plants (+26.3% with DPPH assay and  
257 +20.5% with FRAP assay) (Table S4).

258 A significant positive correlation among the total phenols content and the antioxidant capacity of  
259 leaves was found. The determination coefficient ( $r^2$ ) was 0.87 and 0.88, respectively, with DPPH  
260 and FRAP assay (Figure 4).

261

### 262 **3.2. Lettuce**

263 A significant reduction of leaf FW, DW and area due to KI supplementation was determined in C2-  
264 plants, in particular in floating culture (Table 3). For instance, leaf DW was reduced by 69.8% in  
265 the floating system and by 30.4% in aeroponics (Table 3). On average, KI supplementation reduced  
266 the biomass production (-23.9% DW and -19.9% FW) and leaf area (-20.3%) (Table S6). The  
267 biomass production was higher in C2 plants in aeroponics (+83.1% DW, +93.7% FW and +70.6%  
268 leaf area) and, on average (+44.1% DW, +38.8% FW and +31.4% leaf area) (Table S6). Shoot FW,  
269 DW and leaf area were significantly higher (+186%, +213% and +116% respectively) in aeroponics  
270 than the floating culture (Table S6).

271 Leaf DW/FW ratio, Pn and E were not significantly influenced by any factors under investigation  
272 (Table 3).

273 The interaction among the time of cutting, hydroponic system and KI concentration significantly  
274 affected only the  $F_v/F_m$  (Table S7). The supplementation of KI and the cut time slightly reduced  
275  $F_v/F_m$  only in C2 leaves and in floating system (Table S7).

276 The supplementation of KI resulted in leaf I accumulation, which occurred to a larger extent in  
277 aeroponics and C2 leaves (Table 3). On average, leaf I content in aeroponics was 53.9% higher than  
278 in floating system, and 124.8% higher in C2 leaves (Table S8). The I concentration in the control  
279 samples was below the limit of detection against 1.55 to 6.55 mg kg<sup>-1</sup> FW in KI treated plants.

280 The ITF was higher in KI-treated plants grown in aeroponics, especially in C2 leaves (5.15 against  
281 3.98 in C1). On average, the ITF was higher in C2 leaves (+ 125.7%) and aeroponics (+ 53.8%) as  
282 compared to C1 (Table S10).

283 Leaf nitrate content was not influenced by KI and was higher in the floating system (+33.7%, on  
284 average) than aeroponics and in C2 leaves (+25.0%, on average) (Table 3, S8).

285 No differences were observed among the treatments regarding leaf phenol content (Table 3), which  
286 was slightly lower (-26.2%) in C2 leaves of the plants grown in the floating system (Table S8)  
287 lower chlorophyll content was determined in C2 leaves of KI-treated plants grown in the floating  
288 system (772 mg kg<sup>-1</sup> FW) and C1 leaves of aeroponically-grown plants treated (719 mg kg<sup>-1</sup> FW) or  
289 not (739 mg kg<sup>-1</sup> FW) with KI (Table 3).

290 On average, the carotenoid content in leaves was 176.8 and 156.3 mg kg<sup>-1</sup> FW in plants grown,  
291 respectively, in the floating system and aeroponics. Treatment with KI moderately reduced (-20.8%,  
292 on average) the carotenoid content in leaves (Table S8). In addition, very low content of carotenoids  
293 was detected in C2 leaves in KI treated plants grown in the floating system (82.7 mg kg<sup>-1</sup> FW)  
294 (Table 3).

295 In general, the total antioxidant capacity was higher (+11.9% DPPH; +27.2% FRAP) in the floating  
296 system than aeroponics, and in KI-treated plants than the control plants (+8.44% DPPH; +13.46%  
297 FRAP) (Figure 3; Table S9).

298 There was a strong positive correlation among the leaf total phenols content and the antioxidant  
299 capacity; the determination coefficient ( $r^2$ ) was 0.88 and 0.83, respectively, for DPPH and FRAP  
300 assay (Figure 4).

301

## 302 **4. Discussion**

### 303 **4.1. Iodine biofortification**

304 Growing plants hydroponically with an I concentration of 10  $\mu$ M in the nutrient solution resulted in  
305 effective I biofortification of both basil and lettuce (Fig. 1). In KI treated plants, leaf, the I content

306 ranged between 9.76 and 23.58 mg kg<sup>-1</sup> FW in basil, and between 1.55 and 6.55 mg kg<sup>-1</sup> FW in  
307 lettuce, depending on the hydroponic system and the harvest time.

308 We calculated that 6 g of fresh basil leaves are contained in approximately 12 g of the Italian  
309 'pesto' sauce (an amount used for a single portion of pasta) and can satisfy 40% to 94% of the RDI  
310 (EFSA, 2014) of I established for a healthy adult (150 µg day<sup>-1</sup>; EFSA, 2014). For lettuce, we  
311 considered the I content of C1 leaves of KI treated plants as longer exposure to 10 µM KI slightly  
312 but significantly reduced the plant growth (Table 3). For lettuce, a serving size of 26 g (Ashfield-  
313 Watt et al., 2003) containing 1.55 (floating system) to 3.60 (aeroponics) mg I kg<sup>-1</sup> FW, satisfies 27  
314 % to 62% of the RDI.

315 Using the above-mentioned data for both biofortified basil and lettuce, we calculated the  
316 recommended dietary tolerable upper intake of I to be 1,100 µg day<sup>-1</sup> for an adult of 70 kg body  
317 weight (Smoleń et al., 2019). The hazardous amount of fresh leaves consumed per day was 113 to  
318 47 g for basil and 710 to 305 g for lettuce.

319 Many experiments have been conducted in hydroponics using different I salts and doses with  
320 positive results in terms of biofortification in many species (e.g. tomato, Caffagni et al., 2012;  
321 Landini et al., 2011; Li et al., 2017; rice, Mackowiak and Grossl, 1999; spinach, Weng et al., 2008;  
322 Zhu et al., 2003), including basil (Incrocci et al., 2019; Kiferle et al., 2019) and lettuce (Blasco et  
323 al., 2008, 2011a, 2011b; Smoleń et al., 2014, 2017, 2016; Voogt et al., 2010). Generally, leaf I  
324 content increases with the I concentration (Blasco et al., 2011a, 2011b; Incrocci et al., 2019; Kiferle  
325 et al., 2019; Voogt et al., 2010; Zhu et al., 2003) and the period of I supplementation (Mackowiak  
326 and Grossl, 1999; Voogt et al., 2010). Our results are in agreement with these findings. In fact, I  
327 content was significantly higher in C2 leaves which were exposed to a longer period of I  
328 supplementation and had a higher leaf area with respect to C1 leaves (Table 2 and 3). After root  
329 uptake, iodine is transported to the shoot in the xylem while its redistribution through the phloem is  
330 low (Mackowiak and Grossl, 1999; Smoleń et al., 2014). Therefore, I accumulation in leaf tissues  
331 depends on plant transpiration (Smoleń et al. (2014) and the differences observed between C1 and

332 C2 leaves in both basil and lettuce (Figure 1 and 2) could be explained by the difference in the  
333 amount of water transpired.

334 Aeroponics enhanced leaf I accumulation in both species, although in basil this effect was observed  
335 only in the C2-leaves. A more efficient nutrient supply to the roots provided by aeroponics (Blok et  
336 al., 2017) may explain a higher I uptake in these plants than those grown in the floating system.

337 In both the cultivation systems, the ITF of lettuce was lower than the values calculated by Blasco et  
338 al. (2008) for lettuce plants fed with a nutrient solution containing 10  $\mu\text{M}$  KI. These differences  
339 could be due to the different cultivation system and climatic conditions. Blasco et al. (2008)  
340 cultivated the plants in pots in a growth chamber maintained at 25°C/15°C (day/ night). In basil, we  
341 found ITF values close to those reported by Incrocci et al. (2019).

342

#### 343 **4.2. Effects of KI on plant growth and leaf quality**

344 As expected (see Introduction), in both species, I concentration of 10  $\mu\text{M}$  in the nutrient solution  
345 have significant effects neither on plant growth (Tables 2 and 3) or on leaf gas exchange (Table S1  
346 and S6) and chlorophyll content (Table 2 and 3). The absence of damages to the photosynthetic  
347 apparatus in I-treated plants was coherent with the result of chlorophyll fluorescence measurements.  
348 In fact, in all the treatments, the  $F_v/F_m$  ratio ranged from 0.78 to 0.83, which are the typical values  
349 of healthy plants (Schreiber et al., 1995). The slight reduction of biomass production determined at  
350 the second cut in lettuce was likely caused by higher I accumulation in leaf tissues that led to sub-  
351 toxic I concentration in the tissues.

352 Iodine supplementation barely affected leaf quality attributes such as the greenness (data not  
353 shown) and the content of nitrates, phenols and pigments (Table 2 and 3).

354 The European regulation 1258/2011 has set maximum limits for nitrates in some vegetable species  
355 such as spinach, rocket salad and lettuce. These limits range between 2000 and 7000  $\text{mg kg}^{-1}$  FW,  
356 depending on plant species, growing season and environment. They are higher for vegetables grown  
357 in fall-winter season and under greenhouse than in spring-summer and in the open field. In our

358 work, leaf nitrate contents of both species were below the maximum value allowed for summer-  
359 grown lettuce and was not affected by the I level in the nutrient solution, which is in agreement with  
360 previous findings in radish (Sady et al., 2010; Strzetelski et al., 2010) and sea fennel (Sarrou et al.,  
361 2019). In contrast, leaf nitrate content increased in spinach (Smoleń and Sady, 2012) and tomato  
362 (Smoleń et al., 2015) treated with non-toxic I concentrations, whereas it decreased in lettuce  
363 exposed to toxic KI concentrations (Blasco et al., 2010).

364 Phenols in plant cells take part in the antioxidant system, which improves the ability of plants to  
365 alleviate oxidative stress (Sakihama et al., 2002). An increase of leaf phenolic content following the  
366 exposure to toxic I concentrations in the nutrient solution was observed in lettuce ( $\geq 10 \mu\text{M}$ , Blasco  
367 et al., 2008;  $\geq 40 \mu\text{M}$ , Smoleń et al., 2017) and sweet basil ( $\geq 100 \mu\text{M}$ , Kiferle et al., 2019; Incrocci  
368 et al., 2019) grown in soilless culture. In our work, I concentration of  $10 \mu\text{M}$  exerted no or slight (in  
369 C2 leaves of basil grown in aeroponics) effect on the content of total phenols (Table 2 and 3) and  
370 the antioxidant capacity (Figure 2 and 3), which were closely correlated (Fig. 4). Total phenols  
371 content did not change in I-biofortified carrot (Smoleń et al., 2019) and tomato (Smoleń et al.,  
372 2015), with respect to the control.

373

### 374 **4.3. Effects of growing system on plant growth and leaf quality**

375 A significant effect of hydroponic system on plant growth was observed only in lettuce, which grew  
376 much less in the floating system than aeroponics (Table 3). The lower dissolved oxygen level in the  
377 nutrient solution in the floating system (approximately  $5 \text{ mg L}^{-1}$  against more than  $7.0 \text{ mg L}^{-1}$  in  
378 aeroponics) is the most likely cause of the growth inhibition in lettuce, although a level of  $5 \text{ mg L}^{-1}$   
379 is considered adequate for many hydroponic crops (Godfrey, 2018). Better aeration of the nutrient  
380 solution led to larger leaf area, fresh and dry biomass of hydroponically-grown purslane (Lara et al.,  
381 2011), chrysanthemum (Blok et al., 2017; Eveleens and Blok, 2014; Soffer et al., 1991) and ficus  
382 (Soffer et al., 1991).

383 A positive relationship between the oxygen concentration in the root environment ranging from 3 to  
384 7 mg L<sup>-1</sup>, and shoot production was found in hydroponically grown lettuce (Ercan and Bayyurt,  
385 2014; Tesi et al., 2003). Leaf dry biomass was reduced by 18% in lettuce plants in floating systems  
386 with non-aerated nutrient solution (mean oxygen concentration was 4.0 mg L<sup>-1</sup> with a minimum  
387 value of 1.1 mg L<sup>-1</sup>) with respect to plants grown in aerated solution (oxygen concentration was 6.2  
388 mg L<sup>-1</sup>) (Alvarado-Camarillo et al. 2020).

389 These results suggest that basil is less sensitive than lettuce to the oxygen level in the root zone  
390 which is in agreement with previous findings (Kiferle et al., 2012). These authors did not find any  
391 significant differences in shoot growth and leaf content of rosmarinic acid between sweet basil  
392 plants grown in floating system with aerated or non-aerated nutrient solution, having a mean  
393 dissolved oxygen concentration of 7.8 and 3.8 mg L<sup>-1</sup>, respectively. In a study conducted with 35  
394 cultivars, when basil plants were grown in NFT (nutrient film technique) system and floating  
395 system with dissolved oxygen concentration in the feeding solution above 8.0 mg L<sup>-1</sup>, the shoot  
396 growth was barely affected by the hydroponic system (Walters et al. 2015).

397 We also found that in both species, biomass production was greater in C2 than C1 plants. As plant  
398 growth is positively correlated with the cumulative radiation (Horak and Loughin, 2000), a greater  
399 leaf biomass and area determined on occasion of the second cut could be ascribed to the longer  
400 period of treatment and higher cumulative radiation (Table 1), eventhough the radiation use  
401 efficiency (RUE) was, on average, lower in the second growth phase (Table S5 and S10)

402 The higher leaf nitrate content found in C2 plants of both basil and lettuce could be explained by the  
403 presence of an extensive root system (data not shown) (Sullivan et al., 2000) and leaf area in these  
404 plants with respect to C1 plants, consequently leading to higher nitrate uptake and transportation to  
405 the shoot driven by transpiration. The greater root biomass (data not shown) and the better nutrient  
406 supply to the roots in aeroponics compared to the floating system (Blok et al., 2017) could also  
407 account for the higher leaf nitrate content of basil plants. On the contrary, the higher leaf nitrate  
408 content detected in lettuce plants grown in the floating system as compared to aeroponics may



409 likely be related to a lower growth rate due to reduced level of dissolved oxygen in the nutrient  
410 solution. Reduced growth may result in nitrate accumulation when plants are grown with large  
411 nitrate availability in the root zone (Devienne-Barret et al., 2000) as generally occurs in hydroponic  
412 culture (Yosoff et al., 2015).

413

## 414 **5. Conclusions**

415 In our work, the application of a nutrient solution containing 10  $\mu\text{M}$  KI for one or two weeks  
416 emerged as an effective strategy for iodine biofortification of basil and baby-leaf lettuce, grown in  
417 closed-loop hydroponic systems. Plant growth and leaf quality attributes were not or barely affected  
418 by iodine supplementation and the iodine content in the leaves could satisfy 27% to 94% of  
419 recommended daily intakes established for human beings, depending on the species and the  
420 hydroponic system, and considering a reasonable daily intake of basil or baby-leaf lettuce.

421 Leaf I accumulation occurred to a greater extent in aeroponics than the floating system in both  
422 species.

423 Lettuce grew much less in the floating system than aeroponics owing to lower dissolved oxygen  
424 levels in the nutrient solution as compared to aeroponics. Basil was less sensitive to the oxygen  
425 availability in the root zone, since no differences were detected between the two hydroponic  
426 systems in terms of plant growth.

427

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432

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Table 1. Timetable and environmental conditions related to the experiment.

Basil sowing date	16/05/2019	
Lettuce sowing date	23/05/2019	
Transplant date	05/06/2019	
Start of treatment	11/06/2019	
	Cut 1	Cut 2
Harvest date	19/06/2019	03/07/2019
Days of treatment	8	14
Mean air temperature (°C)	29.5	33.1
Mean air relative humidity (%)	58.5	53.0
Mean daily solar radiation (MJ m <sup>-2</sup> day <sup>-1</sup> )	17.6	19.5
Cumulative solar radiation (MJ m <sup>-2</sup> )	140.5	273.2

Table 2. Fresh (FW) and dry (DW) shoot biomass, dry matter content (DW/FW), leaf area and the content of nitrates, phenols, chlorophylls and carotenoids in fresh leaves of basil plants grown under greenhouse in different hydroponic system (HS; floating system, FS, and aeroponics, AE) and iodine (I) concentrations in the nutrient solution: 0.07  $\mu\text{M}$  (control) and 10.0  $\mu\text{M}$ . Potassium iodide was used to increase the I concentration of the raw water. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut).

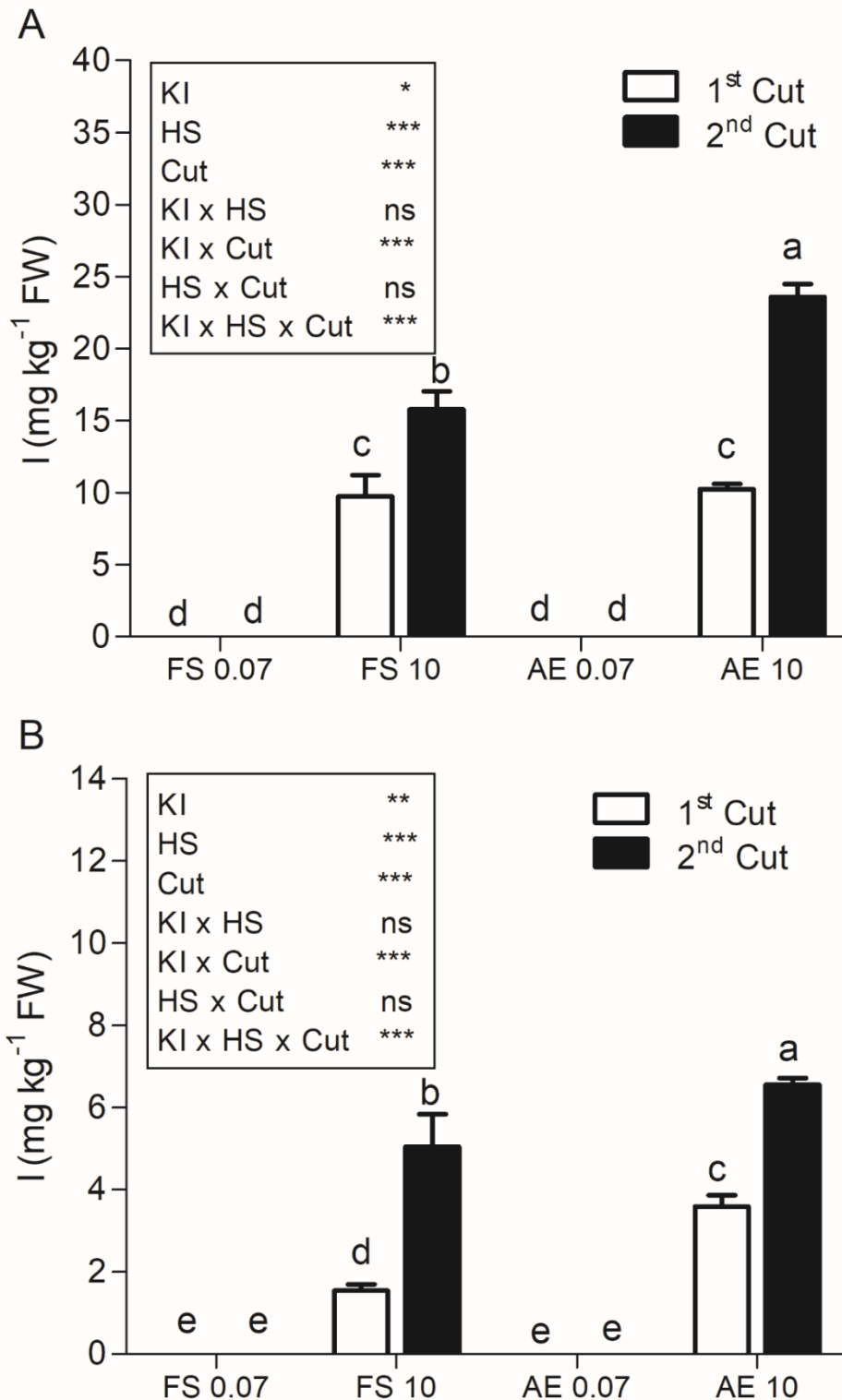
Cultivation system	Iodine concentration ( $\mu\text{M}$ )	CUT	FW (kg m <sup>-2</sup> )	DW (kg m <sup>-2</sup> )	DW/FW (%)	Leaf area (cm <sup>2</sup> plant <sup>-1</sup> )	Pn ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	E (mmol H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> )	Nitrates (mg kg <sup>-1</sup> FW)	Total phenols (mg GAE kg <sup>-1</sup> FW)	Chlorophylls (mg kg <sup>-1</sup> FW)	Carotenoids (mg kg <sup>-1</sup> FW)
FS	0.07	1 <sup>st</sup> cut	2.31	0.194	8.38	213	13.09	8.77	1161	6447 a	1517 a	215.9
		2 <sup>nd</sup> cut	3.08	0.326	10.55	1050	12.57	8.70	1490	5782 ab	1483 a	249.3
	10	1 <sup>st</sup> cut	2.22	0.217	9.75	202	13.20	8.42	1408	6514 a	1514 a	252.1
		2 <sup>nd</sup> cut	2.96	0.303	10.23	1053	12.67	8.27	1550	6638 a	1532 a	288.7
A	0.07	1 <sup>st</sup> cut	2.30	0.195	8.48	262	13.31	7.58	1674	4501 c	1183 c	209.0
		2 <sup>nd</sup> cut	3.07	0.280	9.12	1012	12.78	7.28	2010	5171 bc	1461 a	254.0
	10	1 <sup>st</sup> cut	2.16	0.198	9.18	249	14.27	8.65	1728	4577 c	1326 b	209.1
		2 <sup>nd</sup> cut	2.95	0.284	9.61	999	13.70	8.55	2074	6603 a	1561 a	266.2
Significance												
	HS		ns	ns	*	ns	ns	ns	***	ns	***	ns
	KI		ns	ns	ns	ns	ns	ns	ns	*	*	ns
	Cut		***	***	*	***	ns	ns	***	ns	***	**
	HS x I		ns	ns	ns	ns	ns	ns	ns	**	ns	ns
	I x Cut		ns	ns	ns	ns	ns	ns	ns	ns	ns	ns
	HS x Cut		ns	ns	ns	ns	ns	ns	ns	*	***	ns
	HS x I x Cut		ns	ns	ns	ns	ns	ns	ns	*	**	ns

Letters following the means (n=3) denote the significant differences regarding the interaction of all tested factors after Duncan's test for P = 0.05. Significance level: \*\*\* P  $\leq$  0.001; \*\* P  $\leq$  0.01; \* P  $\leq$  0.05; ns = not significant.

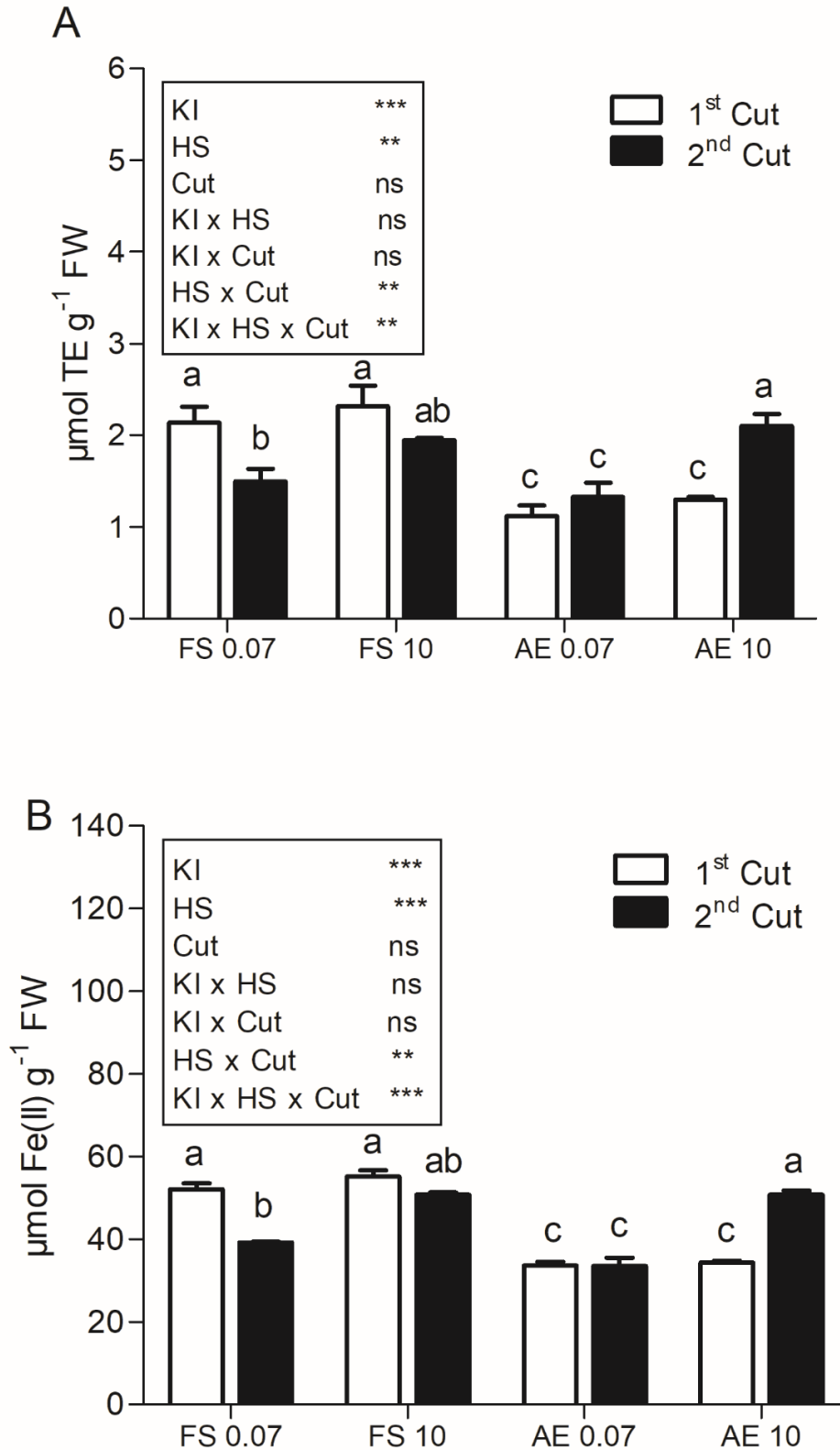
Table 3. Fresh (FW) and dry (DW) shoot biomass, dry matter content (DW/FW), leaf area and the content of nitrates, phenols, chlorophylls and carotenoids in fresh leaves of lettuce plants grown under greenhouse in different hydroponic system (HS) (floating system, FS, and aeroponics, AE) and iodine (I) concentrations (control: 0.07  $\mu\text{M}$ ) in the nutrient solution: 0.07  $\mu\text{M}$  (control) and 10.0  $\mu\text{M}$ . Potassium iodide was used to increase the I concentration of the raw water. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut).

Cultivation system	Iodine concentration ( $\mu\text{M}$ )	CUT	FW ( $\text{kg m}^{-2}$ )	DW ( $\text{kg m}^{-2}$ )	DW/FW (%)	Leaf area ( $\text{cm}^2 \text{ plant}^{-1}$ )	Pn ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	E ( $\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$ )	Nitrates ( $\text{mg kg}^{-1} \text{ FW}$ )	Total phenols ( $\text{mg GAE kg}^{-1} \text{ FW}$ )	Chlorophylls ( $\text{mg kg}^{-1} \text{ FW}$ )	Carotenoids ( $\text{mg kg}^{-1} \text{ FW}$ )
FS	0.07	1 <sup>st</sup> cut	1.62 e	0.085d	5.29	178.7 d	13.64	9.05	2956	3874	1062 a	220 a
		2 <sup>nd</sup> cut	1.33 e	0.096d	7.00	192.8 d	13.09	8.95	2893	2474	847 ab	178 ab
	10	1 <sup>st</sup> cut	1.69de	0.091d	5.39	177.8 d	12.84	8.52	2252	4666	1088 a	227 a
		2 <sup>nd</sup> cut	0.50 f	0.029e	5.56	78.9 e	12.32	8.48	2961	3826	772 b	83 c
A	0.07	1 <sup>st</sup> cut	2.55 c	0.166c	6.50	255.4 c	12.83	7.95	1405	2974	739 b	146 bc
		2 <sup>nd</sup> cut	5.56 a	0.358a	6.39	476.8 a	12.32	7.80	2161	3501	953 ab	201 ab
	10	1 <sup>st</sup> cut	2.48cd	0.166c	6.73	245.2 c	14.57	8.55	1563	2810	719 b	138 bc
		2 <sup>nd</sup> cut	4.14 b	0.249b	5.95	377.3 b	13.99	8.45	2207	3786	971 ab	141 bc
Significance												
	HS		***	***	ns	***	ns	ns	***	ns	ns	ns
	KI		*	*	ns	***	ns	ns	ns	ns	ns	*
	Cut		***	**	ns	***	ns	ns	**	ns	ns	ns
	HS x I		ns	ns	ns	ns	ns	ns	ns	ns	ns	ns
	I x Cut		ns	ns	ns	ns	ns	ns	ns	ns	ns	ns
	HS x Cut		***	***	ns	***	ns	ns	ns	*	***	**
	HS x I x Cut		***	***	ns	***	ns	ns	ns	ns	*	**

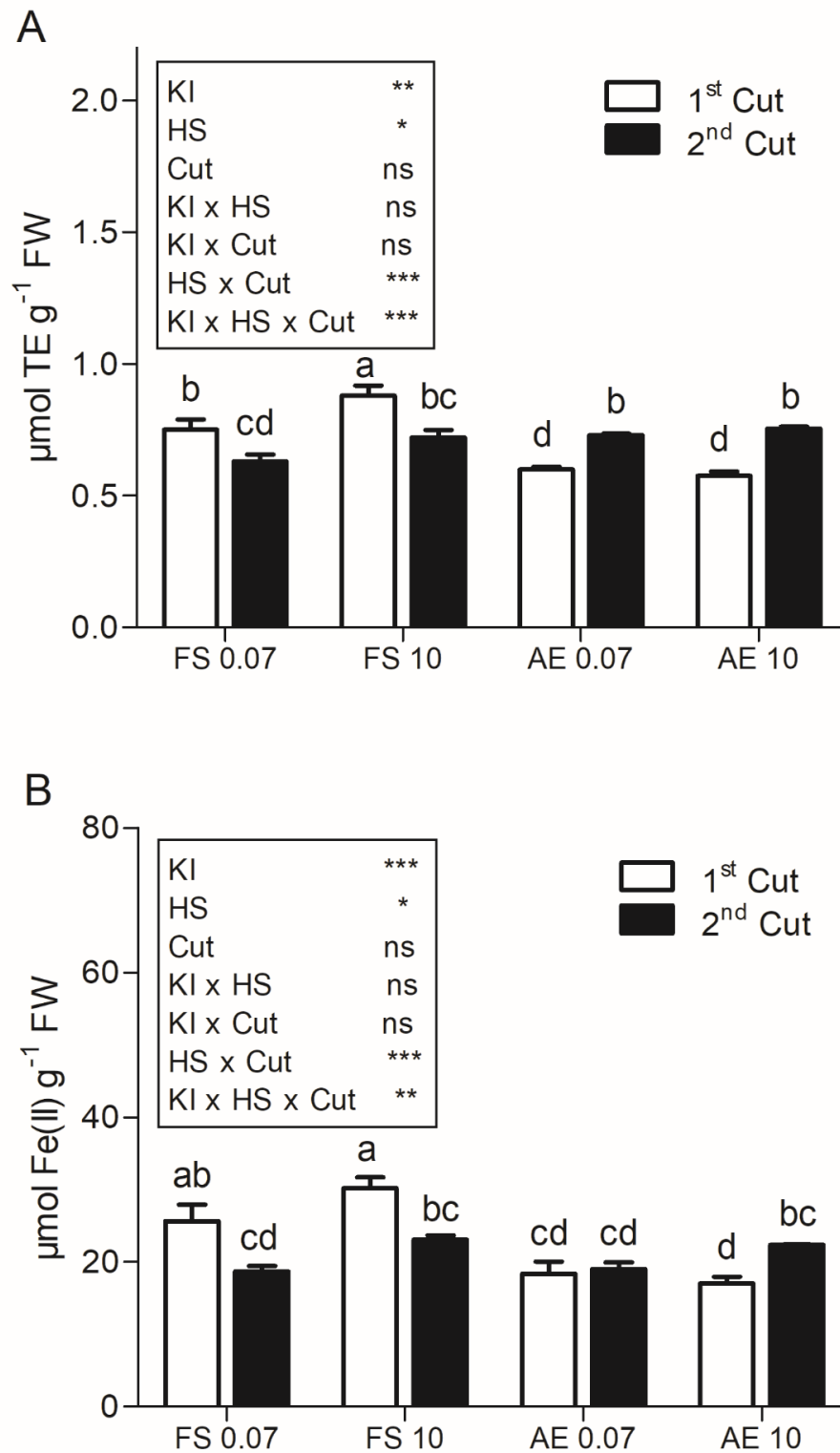
Letters following the means (n=3) denote the significant differences regarding the interaction of all tested factors after Duncan's test for  $P = 0.05$ . Significance level: \*\*\*  $P \leq 0.001$ ; \*\*  $P \leq 0.01$ ; \*  $P \leq 0.05$ ; ns = not significant.



**Figure 1.** Leaf iodine content in basil (A) and lettuce (B) plants grown under greenhouse conditions with different hydroponic system (HS) (floating system, FS, and aeroponics, AE) and potassium iodide (KI) concentrations (0.07 (control) and 10  $\mu$ M and) in the nutrient solution. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut). The I concentration in control samples was below the limit of detection.

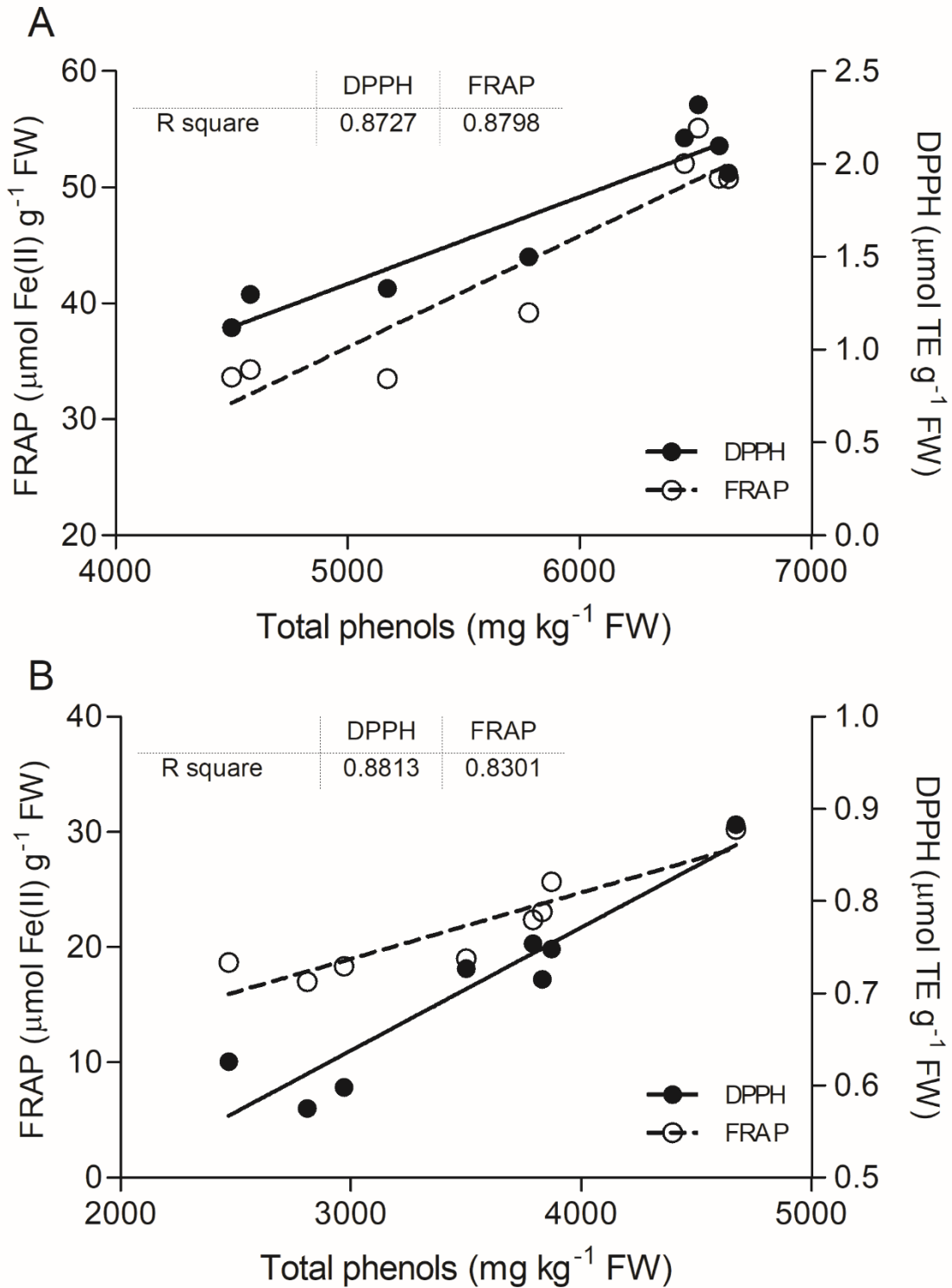


**Figure 2.** Antioxidant capacity, expressed as  $\mu\text{mol Trolox Equivalents g}^{-1}\text{ FW}$  (DPPH assay) (A) and  $\mu\text{mol Fe(II) g}^{-1}\text{ FW}$  (FRAP assay) (B), of basil plants grown under greenhouse conditions with different hydroponic system (HS) (floating system, FS, and aeroponics, AE) and potassium iodide (KI) concentrations (0.07 (control) and 10  $\mu\text{M}$ ) in the nutrient solution. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut).



**Figure 3.** Antioxidant capacity, expressed as  $\mu\text{mol Trolox Equivalents g}^{-1}\text{ FW}$  (DPPH assay) (A) and  $\mu\text{mol Fe(II) g}^{-1}\text{ FW}$  (FRAP assay) (B), of lettuce plants grown under greenhouse conditions with different hydroponic system (HS) (floating system, FS, and aerponics, AE) and potassium iodide (KI) concentrations (0.07 (control) and 10  $\mu\text{M}$ ) in the nutrient solution. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut).





**Figure 4.** Results of the linear regression between antioxidant capacity (measured by FRAP or DPPH assay) and total phenol content in leaves of basil (A) and lettuce (B) plants grown under greenhouse conditions with different hydroponic system (HS) (floating system, FS, and aeroponics, AE) and potassium iodide (KI) concentrations (control: 0.07  $\mu\text{M}$ ) in the nutrient solution. The plants were harvested 8 days after the start of treatment (1<sup>st</sup> cut) and 14 days later from subsequent regrowth (2<sup>nd</sup> cut).