

# ABELIAN VARIETIES IN BRILL–NOETHER LOCI

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ABSTRACT. In this paper, improving on results in [1, 6], we give the full classification of curves  $C$  of genus  $g$  such that a Brill–Noether locus  $W_d^s(C)$ , strictly contained in the jacobian  $J(C)$  of  $C$ , contains a variety  $Z$  stable under translations by the elements of a positive dimensional abelian subvariety  $A \subsetneq J(C)$  and such that  $\dim(Z) = d - \dim(A) - 2s$ , i.e., the maximum possible for such a  $Z$ .

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## 1. INTRODUCTION

In [1] the authors posed the problem of studying, and possibly classifying, situations like this:

- (\*)  $C$  is a smooth, projective, complex curve of genus  $g$ ,  $Z$  is an irreducible  $r$ -dimensional subvariety of a Brill–Noether locus  $W_d^s(C) \subsetneq J^d(C)$ , and  $Z$  is stable under translations by the elements of an abelian subvariety  $A \subsetneq J(C)$  of dimension  $a > 0$  (if so, we will say that  $Z$  is  $A$ -stable).

Actually in [1] the variety  $Z$  is the translate of a positive dimensional proper abelian subvariety of  $J(C)$ , while the above slightly more general formulation was given in [6].

The motivation for studying (\*) resides, among other things, in a theorem of Faltings (see [7]) to the effect that if  $X$  is an abelian variety defined over a number field  $\mathbb{K}$ , and  $Z \subsetneq X$  is a subvariety not containing any translate of a positive dimensional abelian subvariety of  $X$ , then the number of rational point of  $Z$  over  $\mathbb{K}$  is finite. The idea in [1] was to apply Faltings' theorem to the  $d$ -fold symmetric product  $C(d)$  of a curve  $C$  defined over a number field  $\mathbb{K}$ . If  $C$  has no positive dimensional linear series of degree  $d$ , then  $C(d)$  is isomorphic to its Abel–Jacobi image  $W_d(C)$  in  $J^d(C)$ , thus  $C(d)$  has finitely many rational points over  $\mathbb{K}$  if  $W_d(C)$  does not contain any translate of a positive dimensional abelian subvariety of  $J(C)$ . The suggestion in [1] is that, if, by contrast,  $W_d(C)$  contains the translate of a positive dimensional abelian subvariety of  $J(C)$ , then  $C$  should be *quite special*, e.g., it should admit a map to a curve of lower positive genus (curves of this kind clearly are in situation (\*)). This idea was tested in [1], where a number of partial results were proven for low values of  $d$ .

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The problem was taken up in [6] where, among other things, it is proven that if (\*) holds, then  $r + a + 2s \leq d$ , and, if in addition  $d + r \leq g - 1$ , then  $r + a + 2s = d$  if and only if:

- (a) there is a degree 2 morphism  $\varphi: C \rightarrow C'$ , with  $C'$  a smooth curve of genus  $a$ , such that  $A = \varphi^*(J(C'))$  and  $Z = W_{d-2a-2s}(C) + \varphi^*(J^{a+s}(C'))$ .

In [6] there is also the following example with  $(d, s) = (g - 1, 0)$ :

- (b) there is an (étale) degree 2 morphism  $\varphi: C \rightarrow C'$ , with  $C'$  a smooth curve of genus  $g' = r + 1$ ,  $A$  is the Prym variety of  $\varphi$  and  $Z \subset W_{g-1}(C)$  is the connected component of  $\varphi_*^{-1}(K_{C'})$  consisting of divisor classes  $D$  with  $h^0(\mathcal{O}_C(D))$  odd, where  $\varphi_*: J^{g-1}(C) \rightarrow J^{g-1}(C')$  is the *norm map*. One has  $Z \cong A$ , hence  $r = a$ .

ho aggiunto qualche ulteriore dettaglio

One more family of examples is the following (see Corollary 3.9 below):

- (c)  $C$  is hyperelliptic, there is a degree 2 morphism  $\varphi: C \rightarrow C'$  with  $C'$  a smooth curve of genus  $a$  such that  $g > 2a + 1$ ,  $A = \varphi^*(J(C'))$ ,  $0 < s < g - 1$  and  $Z = \varphi^*(J^a(C')) + W_{d-2s-2a}(C) + W_{2s}^s(C)$  (notice that  $W_{2s}^s(C)$  is a point).

piccole modifiche

The aforementioned result in [6] goes exactly in the direction indicated in [1]. The unfortunate feature of it is the hypothesis  $d + r \leq g - 1$  which turns out to be *quite strong*. To understand how strong it is, consider the case  $(d, s) = (g - 1, 0)$ , which is indeed the crucial one (see [6, Proposition 3.3] and §3.2 below) and in which Debarre–Fahaloui’s theorem is void.

The aim of the present paper is to give the full classification of the cases in which (\*) happens and  $d = r + a + 2s$ . What we prove (see Theorem 3.1 and Corollary 3.9) is that if (\*) holds then, with no further assumption, either (a) or (b) or (c) occurs.

The idea of the proof is not so different, in principle, from the one proposed in [6] in the restricted situation considered there. Indeed, one uses the  $A$ -stability of  $Z$  and its maximal dimension to produce linear series on  $C$  which are not birational, in fact composed with a degree 2 irrational involution. The main tool in [6], inspired by [1], is a Castelnuovo’s type of analysis for the growth of the dimension of certain linear series.

Our approach also consists in producing a non birational linear series on  $C$ , but it is in a sense more direct. We consider (\*) with  $(d, s) = (g - 1, 0)$  and  $a + r = g - 1$ , i.e., the basic case (the others follow from this), in which  $Z$  is contained in  $W_{g-1}(C)$ , which is a translate of the theta divisor  $\Theta \subset J(C)$ . This immediately produces, restricting to  $Z$  the Gauss map of  $\Theta$ , a base point free sublinear series  $L$  of dimension  $r$  of the canonical series of  $C$ . It turns out that  $Z$  is birational to an irreducible component of the variety  $C(g - 1, L) \subset C(g - 1)$  consisting of all effective divisors of  $C$  of degree  $g - 1$  contained in some divisor of  $L$ . The  $A$ -stability of  $Z$  implies that  $C(g - 1, L)$  has some other component besides the one birational to  $Z$ , and this forces  $L$  to be non-birational. Once one knows this, a (rather subtle) analysis of the map determined by  $L$  and of its image leads to the conclusion.

As for the contents of the paper, §2 is devoted to a few general facts about the varieties  $C(k, L) \subset C(k)$  of effective divisors of degree  $k$  contained in a divisor of a linear series  $L$  on a curve  $C$ . These varieties, as we said, play a crucial role in our analysis. Section 3 is devoted to the proof of our main result.

One final word about our own interest in this problem, which is quite different from the motivation of [1, 6]. It is in fact related to the study of irregular surfaces  $S$  of general type, where situation (\*) presents itself in a rather natural way. For example, let  $C \subset S$  be a smooth, irreducible curve, and assume that  $C$  corresponds to the general point of an irreducible component  $\mathcal{C}$  of the Hilbert scheme of curves on  $S$  which dominates  $\text{Pic}^0(S)$ . Recall that there is also only one irreducible component  $\mathcal{K}$  of the Hilbert scheme of curves algebraically equivalent to canonical

curves on  $S$  which dominates  $\text{Pic}^0(S)$  (this is called the *main paracanonical system*). The curves of  $\mathcal{C}$  cut out on  $C$  divisors which are residual, with respect to  $|K_C|$ , of divisors cut out by curves in  $\mathcal{K}$ . Consider now the one of the two systems  $\mathcal{C}$  and  $\mathcal{K}$  whose curves cut on  $C$  divisors of minimal degree  $d = \min\{C^2, K_S \cdot C\}$ , and denote by  $s$  the dimension of the general fibre of this system over  $\text{Pic}^0(S)$ . Thus the image of the natural map  $\text{Pic}^0(S) \rightarrow J^d(C)$  defined by  $\eta \mapsto \mathcal{O}_C(C + \eta)$  (or by  $\eta \mapsto \mathcal{O}_C(K_S + \eta)$ ) is a  $q$ -dimensional abelian variety contained in  $W_d^s(C)$ , which is what happens in (\*). Thus understanding (\*) would provide us with the understanding of (most) curves on irregular surfaces.

The results in this paper, even if restricted to the very special case of (\*) in which  $Z$  has maximal dimension, turn out to be useful in surface theory. For example, if  $S$  is a minimal irregular surface of general type, then  $K_S^2 \geq 2p_g$  (see [5]). Using the results in this paper we are able to classify surfaces for which  $K_S^2 = 2p_g$ . This classification will appear in a forthcoming paper.

## 2. LINEAR SERIES ON CURVES AND RELATED SUBVARIETIES OF SYMMETRIC PRODUCTS

**2.1. Generalities.** Let  $C$  be a smooth, projective, irreducible curve of genus  $g$ . For an integer  $k \geq 0$ , we denote by  $C(k)$  the  $k$ -th symmetric product of  $C$  (by convention,  $C(0)$  is a point).

Let  $L$  be a base point free  $g_d^r$  on  $C$ . We denote the corresponding morphism by  $\phi_L: C \rightarrow \bar{C} \subset \mathbb{P}^r$  and by  $C'$  the normalization of  $\bar{C}$ . We let  $f: C \rightarrow C'$  be the induced morphism and  $L'$  the linear series on  $C'$  such that  $L = f^*(L')$ . We set  $\deg(f) = \nu \geq 1$ , so that  $d = \delta\nu$ , with  $\delta = \deg(\bar{C})$ . We say that  $L$  is *birational* if  $\phi_L: C \rightarrow \bar{C}$  is birational.

Let  $k \leq d$  be a positive integer. We consider the incidence correspondence

$$\mathcal{C}(k, L) = \{(D, H) \in C(k) \times L \mid D \leq H\}$$

with projections  $p_i$  (with  $1 \leq i \leq 2$ ) to the first and second factor. Set  $C(k, L) = p_1(\mathcal{C}(k, L))$ , which has a natural scheme structure (see [4] or [2, p. 341]). Note the isomorphism

$$\mathfrak{s}: (D, H) \in \mathcal{C}(k, L) \rightarrow (H - D, H) \in \mathcal{C}(d - k, L)$$

**Lemma 2.1.** (i) *If  $k \leq r$ , then  $C(k, L) = C(k)$ .*

(ii) *If  $k \geq r$ , then  $C(k, L)$  has pure dimension  $r$ . If  $V$  is an irreducible component of  $C(k, L)$ , there is a unique component  $\mathcal{V}$  of  $C(k, L)$  dominating  $V$  via  $p_1$  and  $p_1$  induces a birational morphism of  $\mathcal{V}$  onto  $V$ . Finally  $\mathcal{V}$  dominates  $L$  via  $p_2$ .*

(iii) *If  $\min\{k, d - k\} \geq r$  the isomorphism  $\mathfrak{s}$  induces a map  $\mathfrak{r}: C(k, L) \dashrightarrow C(d - k, L)$ , which is componentwise birational.*

(iv) *If  $L$  is birational, then  $C(k, L)$  is irreducible.*

*Proof.* Part (i) is clear. The dimensionality assertion in (ii) follows from [4, § 1] or [2, Lemma (3.2), p. 342]. The rest of (ii) follows from these facts: the fibres of  $p_1$  are isomorphic to linear subseries of  $L$  and  $p_2$  is finite, so no component of  $C(k, L)$  has dimension larger than  $r$ . Part (iii) follows from (ii). Part (iv) follows from the Uniform Position Theorem (see [2, p. 112]).  $\square$

Next we look at the case  $L$  non-birational and  $k \geq r$ . Consider the induced finite morphism  $f_*: C(k, L) \rightarrow C'(k)$ . For each partition  $\underline{m} = (m_1, \dots, m_s)$  of  $k$  with  $\delta \geq s \geq r$  and  $1 \leq m_1 \leq m_2 \leq \dots \leq m_s \leq \nu$ , we denote by  $C(\underline{m}, L)$  the closure in  $C(k, L)$  of the inverse image via  $f_*$  of the set of divisors of the form  $m_1 y_1 + \dots + m_s y_s$ , with  $y_1 + \dots + y_s$  a reduced divisor in  $C'(s, L')$ . To denote a partition  $\underline{m}$  as above we may use the *exponential notation*  $\underline{m} = (1^{\mu_1}, \dots, \nu^{\mu_\nu})$ , meaning that  $i$  is repeated  $\mu_i$  times, with  $1 \leq i \leq \nu$ , and we may omit  $i^{\mu_i}$  if  $\mu_i = 0$ . Note that  $\sum_{i=1}^{\nu} \mu_i = s \leq \delta$ . Set  $\mu_0 := \delta - s$ . Then  $\underline{m}^c = (1^{\mu_0}, \dots, (\nu - 1)^{\mu_1}, \nu^{\mu_0})$  is a partition of  $d - k$  which we call the *complementary partition* of  $\underline{m}$ .

**Lemma 2.2.** *In the above set up each irreducible component of  $C(\underline{m}, L)$  has dimension  $r$ , hence it is an irreducible component of  $C(k, L)$  and all irreducible components of  $C(k, L)$  are of this type.*

*Proof.* Let  $V$  be an irreducible component of  $C(k, L)$ : by Lemma 2.1 there is a unique irreducible component  $\mathcal{V}$  of  $\mathcal{C}(k, L)$  dominating it and  $p_1|_{\mathcal{V}}: \mathcal{V} \rightarrow V$  is birational. Let  $D \in V$  be a general point and let  $(D, H)$  be the unique point of  $\mathcal{V}$  mapping to  $D$  via  $p_1$ , so that  $H$  is a general divisor in  $L$  (cf. Lemma 2.1, (ii)). Hence  $H$  consists of  $\delta$  distinct fibres  $F_1, \dots, F_\delta$  of  $f$ , each being a reduced divisor of degree  $\nu$  on  $C$ . Then  $D$  consists of  $m_i$  points in  $F_i$ , for  $1 \leq i \leq s \leq \delta$ , where we may assume  $1 \leq m_1 \leq m_2 \leq \dots \leq m_s \leq \nu$ . Moreover, since  $\dim(V) = \dim(\mathcal{V}) = r$  and  $p_2$  is finite, one has  $s \geq r$ . Hence  $D \in C(\underline{m}, L)$ , with  $\underline{m} = (m_1, \dots, m_s)$ , i.e.  $V \subseteq C(\underline{m}, L) \subseteq C(k, L)$  hence  $V$  is a component of  $C(\underline{m}, L)$ .

The above considerations and Lemma 2.1, (ii), applied to  $C'(s, L')$ , imply that the image of  $C(\underline{m}, L)$  in  $C'(k)$  has dimension  $r$ , so each component of  $C(\underline{m}, L)$  has dimension  $r$ .  $\square$

ho tolto il lemma, commentandolo: cosi' com'e' e' sbagliato, in quanto non e' detto affatto che la  $L(-D)$  abbia punti di ramificazione semplice se ha dimensione 1. Ad esempio se una curva piana ha una cuspidale non ordinaria...La cosa e' invece vera se  $L$  e' molto ampia

Si', ho fatto un errore stupido. In effetti avevo in mente il caso molto ampio

**2.2. Abel–Jacobi images.** We assume from now on that  $C$  has genus  $g > 0$ . For an integer  $k$ , we denote by  $J^k(C) \subset \text{Pic}(C)$  the set of linear equivalence classes of divisors of degree  $k$  on  $C$ . So  $J(C) := J^0(C)$  is the Jacobian of  $C$ , which is a principally polarised abelian variety whose theta divisor class we denote by  $\Theta_C$ , or simply by  $\Theta$ .

The abelian variety  $J(C)$  acts via translation on  $J^k(C)$  for all  $k$ . If  $X \subseteq J^k(C)$  and  $Y \subseteq J(C)$ , we say that  $X$  is  $Y$ -stable, if for all  $x \in X$  and for all  $y \in Y$ , one has  $x + y \in X$ .

For all integers  $k$ , fixing the class of a divisor of degree  $k$  determines an isomorphism  $J^k(C) \cong J(C)$ . Given a subvariety  $V$  of  $J^k(C)$ , one says that it *generates*  $J^k(C)$  if the image of  $V$  via one of the above isomorphisms generates  $J(C)$  as an abelian variety. This definition does not depend on the choice of the isomorphism  $J^k(C) \cong J(C)$ .

For every  $k \geq 1$ , we denote by  $j_k: C(k) \rightarrow J^k(C) \cong J(C)$  (or simply by  $j$ ) the Abel–Jacobi map. We denote by  $W_k^s(C)$  the subscheme of  $J^k(C)$  corresponding to classes of divisors  $D$  such that  $h^0(\mathcal{O}_C(D)) \geq s + 1$  (these are the so-called *Brill–Noether loci*). One sets  $W_k(C) := W_k^0(C) = \text{Im}(j_k)$  and  $W_{g-1}(C)$  maps to a theta divisor of  $J(C)$ , so we may abuse notation and write  $W_{g-1}(C) = \Theta_C$ .

We denote by  $\Gamma_C(k, L)$  [resp.  $\Gamma_C(\underline{m}, L)$ ] the image in  $W_k(C)$  of  $C(k, L)$  [resp.  $C(\underline{m}, L)$ ] (we may drop the subscript  $C$  if there is no danger of confusion). The expected dimension of  $\Gamma(k, L)$  is  $\min\{r, g\}$  (by dimension of a scheme we mean the maximum of the dimensions of its components).

We set  $\rho_C(k, L) := \dim(\Gamma_C(k, L))$  (simply denoted by  $\rho(k, L)$  or by  $\rho$  if no confusion arises). By Lemma 2.1, (i), one has:

$$(2.1) \quad \text{if } k \leq r \text{ then } \Gamma(k, L) = W_k(C), \text{ hence } \rho = \min\{k, g\}$$

So we will consider next the case  $k > r$ , in which  $\rho \leq \dim(C(k, L)) = r$ , by Lemma 2.1, (ii). Then the class  $c(k, L)$  of  $C(k, L)$  in the Chow ring of  $C(k)$  is computed in [2, Lemma VIII.3.2]. If  $x$  is the class of  $C(k-1) \subset C(k)$  and  $\theta := j^*(\Theta)$ , one has

$$(2.2) \quad c(k, L) = \sum_{s=0}^{k-r} \binom{d-g-r}{s} \frac{x^s \theta^{k-r-s}}{(k-r-s)!} .$$

ho spostato di un capoverso la formula (2.2), che vale SOLO per  $k \geq r$

**Lemma 2.3.** *Assume  $k > r$  and  $d - g - r \geq 0$ . Then:*

- (i) *if  $k - g \leq \min\{k - r, d - g - r\}$  one has  $\rho = r \leq g$ ;*
- (ii) *if  $k - g \geq \min\{k - r, d - g - r\} = k - r$ , one has  $\rho = g \leq r$ ;*
- (iii) *if  $k - g \geq \min\{k - r, d - g - r\} = d - g - r$ , one has  $\rho = d - k \leq \min\{r, g\}$ .*

*Proof.* Note that  $x^s$  is the class of  $C(k - s) \subset C(k)$ , for  $1 \leq s \leq k$ . Applying the projection formula (cf. [8], Example 8.1.7) to (2.2), we find the class  $\gamma(k, L)$  of  $\Gamma(k, L)$

$$(2.3) \quad \gamma(k, L) = \sum_{s=0}^{k-r} \binom{d-g-r}{s} \frac{w_{k-s} \Theta^{k-r-s}}{(k-r-s)!}$$

where  $w_i$  is the class of  $W_i(C)$  for any  $i \geq 0$ . By Poincaré's formula (cf. [3, §11.2]) one has

$$w_{k-s} \Theta^{k-r-s} = \begin{cases} \Theta^{k-r-s}, & \text{if } k - g \geq s \geq 0, \\ \frac{\Theta^{g-r}}{(g-k+s)!}, & \text{if } \max\{0, k - g\} \leq s \leq \min\{k - r, d - g - r\}, \end{cases}$$

whence the assertion follows. □

- Lemma 2.4.** (i) *If  $\rho = g$  then  $g \leq \min\{k, r\}$ ;*  
(ii) *if  $r \geq k \geq g$ , then  $\rho = g$ ;*  
(iii) *if  $k > r \geq g$  and  $d \geq k + g$  then  $\rho = g$ ;*  
(iv)  *$\rho = 0$  if and only if  $k = d$ .*

*Proof.* Parts (i) and (ii) follow from Lemma 2.1.

(iii) In (2.3) one has the summand corresponding to the index  $s = k - r > 0$ , which is  $\Theta^0$  with the positive coefficient  $\binom{d-g-r}{k-r}$ , and no other summand in (2.3) cancels it.

non capisco come vuoi cambiare, comunque procedi pure

non importa, lasciamo così

(iv) If  $k = d$  then  $C(k, L) = L$  and clearly  $\rho = 0$ . Conversely, if  $\rho = 0$  then in (2.3) the term  $\Theta^g$  has to appear with non-zero coefficient and no other term  $\Theta^i$  with  $0 \leq i < g$  appears with non-zero coefficient. By looking at the proof of Lemma 2.3, we see that the summand  $\Theta^g$  appears in (2.3) only if  $0 \leq s = k - r - g$ . Then  $d \geq k \geq r + g$ . So we may apply Lemma 2.3, and conclude that  $\rho = 0$  occurs only in case (iii), if  $k = d$ . □

Rita ha ragione, anzi di piu', il lemma successivo e' vero anche se  $k < r$ . Ho cambiato leggermente la dim per adattarla al caso generale. Ho cambiato conseguentemente i corollari successivi.

**Lemma 2.5.** *Let  $A \subseteq J(C)$  be an abelian subvariety of dimension  $a$  and let  $p: J^k(C) \rightarrow J' := J(C)/A$  be the map obtained by composing an isomorphism  $J^k(C) \cong J(C)$  with the quotient map  $J(C) \rightarrow J'$ . Then*

$$\dim(p(\Gamma(k, L))) = \min\{g - a, \rho\}.$$

*Proof.* If  $\rho = g$  the statement is obvious, hence we assume  $\rho < g$ .

Consider first the case  $k > r$ . Assume by contradiction that  $\dim(p(\Gamma(k, L))) < \min\{\rho, g - a\}$ . Let  $\xi$  be the class of the pull back to  $J(C)$  of an ample line bundle of  $J'$ . We have  $\bar{\gamma}(k, L)\xi^\rho = 0$ , where  $\bar{\gamma}(k, L)$  is the  $\rho$ -dimensional part of  $\gamma(k, L)$ . By (2.3) one has  $\bar{\gamma}(k, L) = \alpha\Theta^{g-\rho}$ , where  $\alpha \in \mathbb{Q}$  is positive because  $\Gamma(k, L)$  is an effective non-zero cycle of dimension  $\rho$ . Hence  $\bar{\gamma}(k, L)\xi^\rho = \alpha\Theta^{g-\rho}\xi^\rho > 0$ , because  $\Theta$  is ample. Thus we have a contradiction.

If  $k \leq r$ , then  $\Gamma(k, L) = W_k(C)$ ,  $\rho = k$  and  $\gamma(k, L)$  is again a rational multiple of  $\Theta^{g-k}$  (by Poincaré's formula), so the proof proceeds as above. □

**Corollary 2.6.** *If  $A \subseteq J(C)$  is an abelian subvariety of dimension  $a > 0$  and  $\Gamma(k, L)$  is  $A$ -stable, then the restriction of  $p$  to  $\Gamma(k, L)$  is surjective onto  $J' = J(C)/A$ , hence  $\Gamma(k, L) = J^k(C) = W_k(C)$ , i.e.,  $\rho = g$ .*

**Corollary 2.7.** *If  $d - 1 \geq k \geq 1$ , then  $\Gamma(k, L)$  generates  $J^k(C)$ .*

*Proof.* If  $k \leq r$  then  $\Gamma(k, L) = W_k(C)$  and the assertion is clear. Assume  $k > r$ . By Lemma 2.5,  $\Gamma(k, L)$  generates  $J^k(C)$  as soon as  $\rho > 0$ , which is the case by Lemma 2.4, (iv).  $\square$

**2.3. A useful lemma.** Let  $L$  be a base point free  $g_d^1$ , let  $\phi_L: C \rightarrow \mathbb{P}^1$  be the corresponding map and denote by  $G_L$  the Galois group of  $\phi_L$ .

**Lemma 2.8.** *If  $L$  is a base point free  $g_d^1$ , then one of the following occurs:*

- (a)  $C(2, L)$  is irreducible.
- (b)  $C(2, L)$  has two components. This occurs if and only if  $G_L \cong \mathbb{Z}_2, \mathbb{Z}_4$ .
- (c)  $C(2, L)$  has 3 components. This occurs if and only if  $G_L \cong \mathbb{Z}_2^2$ .

*Proof.* We argue as in the proof of [3, Lemma 12.7.1]. Let  $\Delta \subset \mathbb{P}^1$  be the set of critical values of  $\phi_L: C \rightarrow \mathbb{P}^1$ , let  $\rho: \pi_1(\mathbb{P}^1 \setminus \Delta) \rightarrow \mathfrak{S}_4$  be the monodromy representation and let  $\Sigma := \text{Im}(\rho)$ . The group  $\Sigma$  acts transitively on  $\mathbb{I}_4 := \{1, 2, 3, 4\}$  (identified with the general divisor  $x_1 + \dots + x_4 \in L$ ) and its order  $s$  is divisible by 4. The irreducible components of  $C(2, L)$  are in 1-to-1 correspondence with the  $\Sigma$ -orbits of the order two subsets of  $\mathbb{I}_4$ . If  $\Sigma$  contains an element of order 3, then  $s$  is divisible by 12. Hence  $\mathfrak{A}_4 \subseteq \Sigma$ , thus the action is transitive,  $C(2, L)$  is irreducible and (a) holds.

Assume  $\Sigma$  is a 2-group. If  $s = 8$  then  $\Sigma$  is a 2-Sylow subgroup of  $\mathfrak{S}_4$ , hence  $\Sigma$  is the dihedral group  $D_4$ . Then  $C$  is obtained from a  $\Sigma$ -cover  $C_0 \rightarrow \mathbb{P}^1$  by moding out by a reflection  $\sigma \in \Sigma$ . In the  $\Sigma$ -action on  $\mathbb{I}_4$  we may assume that an element of order 4 acts by sending  $i$  to  $i + 1$  modulo 4, for  $1 \leq i \leq 4$ . So the order 2 element in the center of  $\Sigma$  induces the involution  $\iota$  of  $C$  that maps  $i$  to  $i + 2$  modulo 4, for  $1 \leq i \leq 4$ , and  $\iota$  generates  $G_L \cong \mathbb{Z}_2$ . There are two orbits for the  $\Sigma$ -action on the set of order two subsets of  $\mathbb{I}_4$ : one of order 2 given by  $\{\{1, 3\}, \{2, 4\}\}$ , the other of order 4 given by  $\{\{i, i + 1\}, \text{for } 1 \leq i \leq 4\}$  (here  $i$  is taken modulo 4). These orbits respectively correspond to two components  $E_1, E_2$  of  $C(2, L)$  and we are in case (b).

Assume  $s = 4$ . Then  $\phi_L$  is Galois with  $G_L = \Sigma$ . If  $G_L \cong \mathbb{Z}_4$ , then the  $\Sigma$ -orbits on the set of order two subsets of  $\mathbb{I}_4$  are as in the previous case. If  $G_L \cong \mathbb{Z}_2^2$ , then one has  $G_L = \{\text{Id}, (12)(34), (13)(24), (14)(32)\}$ . There are then three orbits, corresponding to three components  $E_1, E_2, E_3$ .  $\square$

One can be more precise about the components  $E_i$  of  $C(2, L)$  in Lemma 2.8, whose geometric genera we denote by  $g_i$  (with  $1 \leq i \leq 2 + \epsilon$ , and  $\epsilon = 0$  in case (b),  $\epsilon = 1$  in case (c)).

**Lemma 2.9.** *Same setting and notation as in Lemma 2.8 and its proof. Then:*

- (i) *each component of  $C(2, L)$  maps birationally to its image in  $J^2(C)$  unless  $C$  is hyperelliptic and  $L$  is composed with the hyperelliptic involution  $\mathcal{L}$ : in this case one of the components of  $\Gamma(2, L)$  is  $\mathcal{L} = C(2, \mathcal{L})$ , which is contracted to a point in  $J^2(C)$ ;*
- (ii) *in case (b) one has  $E_1 \cong C/\iota$  (where  $\iota$  is the non-trivial involution in  $G_L$ ), which is hyperelliptic, and the abelian subvariety of  $J^2(C) \cong J(C)$  generated by  $j(E_1)$  is the  $\iota$ -invariant part of  $J(C)$ . Moreover  $2g_2 \geq g$ ;*
- (iii) *In case (c) one has  $E_i := C/\iota_i$  where  $\iota_i$  are the three nonzero elements of  $G_L$ , for  $1 \leq i \leq 3$ .*

*Proof.* We prove the only non-trivial assertion, i.e.,  $2g_2 \geq g$  in part (ii).

First assume  $G_L = \mathbb{Z}_4 = \langle \rho \rangle$ . Consider in  $C(2)$  the curves  $E_1 = \{P + \rho^2(P) \mid P \in C\}$  and  $E_2 = \{P + \rho(P) \mid P \in C\}$ . One has  $C(2, L) = E_1 \cup E_2$  and  $E_1 \cong C/\rho^2$ . The curve  $E_2$  is the image in  $C(2)$  of the graph of  $\rho$ , so  $g_2 = g$ .

Suppose now  $G_L = \mathbb{Z}_2$  and  $\Sigma = D_4$ . Recall that  $C$  is obtained from a  $D_4$ -Galois cover  $f: C_0 \rightarrow \mathbb{P}^1$  by moding out by a reflection  $\sigma \in D_4$  (see the proof of Lemma 2.8). Denote by  $g_0$  the genus of  $C_0$ . Let  $\rho \in D_4$  be an element of order 4, so that  $D_4 = \langle \sigma, \rho \rangle$ . Let  $n$  be the number of points of  $C_0$  fixed by  $\sigma$ ,  $n'$  the number of points fixed by  $\sigma\rho$ ,  $m$  the number of points fixed by  $\rho$  and  $m + \varepsilon$  the number of points fixed by  $\rho^2$ . The Hurwitz formula, applied to  $C_0 \rightarrow \mathbb{P}^1$  and to  $C_0 \rightarrow C = C_0/\sigma$ , gives

$$(2.4) \quad g_0 = \frac{3}{2}m + n + n' + \frac{\varepsilon}{2} - 7, \quad \text{and} \quad g = \frac{n'}{2} + \frac{n}{4} + \frac{\varepsilon}{4} - \frac{3}{4}m - 3.$$

**Claim 2.10.** (i)  $m, n, n'$  and  $\varepsilon$  are even.

(ii)  $n + m \equiv n' + m \equiv n + n' \equiv 0 \pmod{4}$ , and at most one among  $m, n, n'$  can be 0.

*Proof of the Claim.* (i) The numbers  $n, n'$  and  $m + \varepsilon$  are even because  $\sigma, \sigma\rho$  and  $\sigma\rho^2$  are involutions. If  $P \in C_0$  is fixed by  $\rho$ , then  $\sigma(P)$  is also fixed by  $\rho$ . Since the stabilizer of any point is cyclic, then  $\sigma(P) \neq P$ . This implies that  $m$  is even.

(ii) Consider the  $\mathbb{Z}_2^2$ -cover  $D := C_0/\rho^2 \rightarrow \mathbb{P}^1$ . The cardinalities of the images in  $\mathbb{P}^1$  of the fixed loci of the three involutions are  $n/2, n'/2$  and  $m/2$ . Indeed, denote by  $\gamma_1$  [resp. by  $\gamma_2$ ] the image of  $\sigma$  [resp. of  $\rho$ ] in  $D_4/\rho^2 \cong \mathbb{Z}_2^2$ . Let  $Q \in \mathbb{P}^1$  be a branch point whose preimage in  $D$  is fixed by  $\gamma_1$ . Then the preimage of  $Q$  in  $C_0$  consists of 4 points, two of which fixed by  $\sigma$  and two by  $\sigma\rho^2$ , so the number of such points  $Q$  is  $(2n)/4 = n/2$ . Similarly, the image in  $\mathbb{P}^1$  of the set of points of  $D$  fixed by  $\gamma_2$  has cardinality  $m/2$  and the image of the set of points fixed by  $\gamma_1\gamma_2$  has cardinality  $n'/2$ . Hurwitz formula for  $D_1 := D/\gamma_1 \rightarrow \mathbb{P}^1$  gives

$$2g(D_1) - 2 = \frac{m}{2} + \frac{n'}{2} - 4,$$

hence  $m + n' > 0$  is divisible by 4. Similarly  $n + n'$  and  $m + n$  are positive and divisible by 4.  $\square$

We compute now the ramification of  $f: C_0 \rightarrow \mathbb{P}^1$  and of  $L$ . Write the  $D_4$ -orbit of  $P \in C_0$  general as

$$(2.5) \quad \begin{array}{cccc} P & \rho(P) & \rho^2(P) & \rho^3(P) \\ \sigma(P) & \sigma\rho(P) & \sigma\rho^2(P) & \sigma\rho^3(P). \end{array}$$

Denote by  $Q_1, \dots, Q_4$  the images in  $C$  of the points in the first row (or, what is the same, in the second row) of (2.5). The singular fibers of  $f$  occur when  $P$  has non trivial stabilizer, i.e., when:

- $P \in C_0$  is fixed by  $\rho$ . The fiber of  $f$  is  $4(P + \sigma(P))$  and the corresponding divisor of  $L$  is  $4Q_1$ . There are  $m/2$  divisors of  $L$  of this type;
- $P$  is fixed by  $\rho^2$  but not by  $\rho$ . The fiber of  $f$  is  $2(P + \sigma(P) + \rho(P) + \sigma\rho(P))$ . Then  $Q_1 = Q_3, Q_2 = Q_4$ , and the corresponding divisor of  $L$  is  $2(Q_1 + Q_2)$ . There are  $\varepsilon/4$  such divisors;
- $P$  is fixed by  $\sigma$ . The fibre of  $f$  is  $2(P + \rho(P) + \rho^2(P) + \rho^3(P))$ , and  $Q_2 = Q_4$ , while  $Q_1, Q_2$  and  $Q_3$  are distinct, so the corresponding divisor of  $L$  is  $Q_1 + 2Q_2 + Q_3$  and there are  $n/2$  such divisors;
- $P$  is fixed by  $\sigma\rho^2$ . This is the same as the previous case;
- $P$  is fixed by  $\sigma\rho$ . The fibre of  $f$  is again  $2(P + \rho(P) + \rho^2(P) + \rho^3(P))$ , and  $Q_1 = Q_2, Q_3 = Q_4$ , so the corresponding divisor of  $L$  is  $2Q_1 + 2Q_3$  and there are  $n'/2$  such fibers;
- $P$  is fixed by  $\sigma\rho^3$ . This is the same as the previous case.

Denote by  $\iota$  the involution of  $C$  induced by  $\rho^2$ . Then  $C(2, L)$  is the union of  $E_1 = \{P + \iota(P) \mid P \in C\} = C/\iota$  and of the irreducible curve  $E_2$ . Keeping the above notation, if  $Q_1 + \dots + Q_4$  is the general divisor of  $L$ , then  $E_1$  is described by the divisors  $Q_1 + Q_3, Q_2 + Q_4$  and  $E_2$  by  $Q_1 + Q_2, Q_1 + Q_4, Q_2 + Q_3, Q_3 + Q_4$ .

To compute  $g_2$ , define a map  $\phi: C_0 \rightarrow E_2$  by sending  $P \in C_0$  to the image via  $C_0 \rightarrow C$  of the divisor  $P + \rho(P)$ , i.e.,  $Q_1 + Q_2 \in E_2$ .

**Claim 2.11.** (i)  $\deg(\phi) = 2$  and  $E_2$  is birational to  $C_0/\sigma\rho$ ;

(ii)  $g_2 = \frac{3}{4}m + \frac{n}{2} + \frac{n'}{4} + \frac{\varepsilon}{4} - 3$ .

*Proof of the Claim.* (i) Let  $Q + Q' \in E_2$  be a general point and let  $P, \sigma(P)$  [resp.  $P', \sigma(P')$ ] be the preimages of  $Q$  [resp. of  $Q'$ ] on  $C_0$ . Since  $Q + Q'$  is of the form  $Q_1 + Q_2, Q_1 + Q_4, Q_2 + Q_3$ , or  $Q_3 + Q_4$ , we may assume that  $P' = \rho(P)$ , so that  $\psi^{-1}(Q + Q') = \{P, \sigma\rho(P)\}$ .

Part (ii) follows by applying Hurwitz formula.  $\square$

Finally, suppose by contradiction that  $2g_2 \leq g - 1$ . Then, by (ii) of Claim 2.11 and by (2.4), we would have  $3(m + n) + \varepsilon \leq 8$ , hence  $m + n \leq 2$ , contradicting (ii) of Claim 2.10.  $\square$

### 3. ABELIAN SUBVARIETIES OF BRILL–NOETHER LOCI

As in [1, 6], we consider  $Z \subseteq W_d^s(C) \subsetneq J^d(C)$  an irreducible  $A$ -stable variety of dimension  $r$ , with  $A \subsetneq J(C)$  an abelian subvariety of dimension  $a > 0$ . Note that  $r \geq a$ , with equality if and only if  $Z \cong A$ . Moreover, since  $W_d^s(C) \subsetneq J^d(C)$ , the general linear series  $L \in Z$  is special, thus  $s > d - g$  and  $d \geq 2s$  by Clifford's theorem. From [6, Proposition 3.3], we have

$$(3.1) \quad r + a + 2s \leq d.$$

In this section, we classify the cases in which equality holds in (3.1), thus improving the partial results in [6] on this subject. Note that if equality holds in (3.1), then  $Z \not\subseteq W_d^{s+1}(C)$  and  $A$  is a maximal abelian subvariety of  $J(C)$  such that  $Z$  is  $A$ -stable.

**3.1. The Theta divisor case.** As in [6], we first consider the case  $(d, s) = (g - 1, 0)$ , i.e.,  $Z \subset W_{g-1}(C) = \Theta$  is an irreducible  $A$ -stable variety of dimension  $r = g - 1 - a$ . Then  $Z \not\subseteq W_{g-1}^1(C) = \text{Sing}(\Theta)$ , i.e.,  $Z$  has a non-empty intersection with  $\Theta_{\text{sm}} := \Theta - \text{Sing}(\Theta)$ .

**Theorem 3.1.** *Let  $C$  be a curve of genus  $g$ . Let  $A \subsetneq J(C)$  be an abelian variety of dimension  $a > 0$  and  $Z \subset \Theta$  an irreducible,  $A$ -stable variety of dimension  $r = g - 1 - a$ . Then there is a degree 2 morphism  $\varphi: C \rightarrow C'$ , with  $C'$  smooth of genus  $g'$ , such that one of the following occurs:*

- (a)  $g' = a$ ,  $A = \varphi^*(J(C'))$  and  $Z = W_{g-1-2a}(C) + \varphi^*(J^a(C'))$ ;
- (b)  $g' = r + 1$ ,  $\varphi$  is étale,  $A$  is the Prym variety of  $\varphi$  and  $Z \subset W_{g-1}(C)$  is the connected component of  $\varphi_*^{-1}(K_{C'})$  consisting of divisor classes  $D$  with  $h^0(\mathcal{O}_C(D))$  odd, where  $\varphi_*: J^{g-1}(C) \rightarrow J^{g-1}(C')$  is the norm map.

*come nell'introduzione*

*In particular,  $Z \cong A$  is an abelian variety if and only if either we are in case (a) and  $g = 2a + 1$ , or in case (b).*

*il remark è nuovo*

**Remark 3.2.** Cases (a) and (b) of Theorem 3.1 are not mutually exclusive. Indeed, if the curve  $C$  in case (b) is hyperelliptic, then the Abel-Prym map  $C \rightarrow A$  induces a 2-to-1 map  $\psi: C \rightarrow D$ , where  $D$  is a smooth curve embedded into  $A \cong J(D)$  by the Abel-Jacobi map. One can check that  $A = \psi^*(J^r(D))$ , namely this is also an instance of case (a) of Theorem 3.1.

The proof of Theorem 3.1 requires various preliminary lemmas. First, recall that the tangent space to  $J(C)$  at 0 can be identified with  $H^1(\mathcal{O}_C) \cong H^0(K_C)^*$ . Denote by  $T \subseteq H^0(K_C)^*$  the tangent space to  $A$  at 0 and by  $L$  the linear series  $\mathbb{P}(T^\perp) \subseteq |K_C|$ . One has  $\dim(L) = g - 1 - a = r$ .

Since  $Z \cap \Theta_{\text{sm}} \neq \emptyset$ , the Gauss map of  $\Theta$  restricts to a rational map  $\gamma: Z \dashrightarrow \mathbb{P} := |K_C|$ .

**Lemma 3.3.** *One has  $\gamma(Z) = L$ .*



*Proof.* Since  $Z$  is  $A$ -stable, one has  $\gamma(Z) \subseteq L$ . A point of  $\Theta_{\text{sm}}$  can be identified with a divisor  $D$  of degree  $g-1$  such that  $h^0(\mathcal{O}_C(D)) = h^0(\mathcal{O}_C(K_C - D)) = 1$ . The Gauss map sends  $D \in \Theta_{\text{sm}}$  to the unique divisor of  $|K_C|$  containing  $D$ . Then  $\gamma^{-1}(\gamma(D))$  is finite. Hence  $\dim(\gamma(Z)) = \dim(Z) = r = \dim(L)$ . The assertion follows.  $\square$

Note the birational involution  $\sigma: \Theta \dashrightarrow \Theta$ , defined on  $\Theta_{\text{sm}}$ , sending a divisor  $D \in \Theta_{\text{sm}}$  to the unique effective divisor  $D' \in |K_C - D|$ . Then  $\sigma$  restricts on  $Z$  to a birational map (still denoted by  $\sigma$ ) onto its image  $Z'$ , which is also  $A$ -stable.

**Lemma 3.4.** *The linear series  $L$  is base point free.*

*Proof.* Suppose  $P \in C$  is a base point of  $L$ . If every  $D \in Z$  contains  $P$ , then the map  $D \rightarrow D - P$  defines an injection  $Z \hookrightarrow W_{g-2}(C)$ , contradicting (3.1). If the general  $D \in Z$  does not contain  $P$ , then  $\sigma(D)$  contains  $P$  for  $D \in Z$  general, and we can apply the previous argument to  $Z'$ .  $\square$

ho cambiato l'enunciato (e un po' la dimostrazione) del lemma seguente

**Lemma 3.5.** *One has:*

- (i)  $Z$  is a component of  $\Gamma(g-1, L)$ .
- (ii)  $Z \subsetneq \Gamma(g-1, L)$ .
- (iii) *The linear series  $L$  is not birational.*

*Proof.* By Lemma 3.3, we have  $Z \subseteq \Gamma(g-1, L)$  and the dimension of  $\Gamma(g-1, L)$  is equal to  $r$  (by Lemma 2.3). So (i) holds. If  $Z = \Gamma(g-1, L)$ , then  $\Gamma(g-1, L)$  is  $A$ -stable. By Corollary 2.6, we have  $r = g$ , a contradiction. This proves (ii). Then (iii) holds by Lemma 2.1, (iv).  $\square$

Recall the notation  $\phi_L: C \rightarrow \bar{C} \subset \mathbb{P}^r$ ,  $C'$  for the normalization of  $\bar{C}$ ,  $f: C \rightarrow C'$  the induced morphism and  $\nu = \deg(f) > 1$ . For any integer  $h$  one has the morphism  $f^*: J^h(C') \rightarrow J^{h\nu}(C)$ . Let  $g'$  be the genus of  $C'$  and  $L'$  the (birational) linear series on  $C'$  of dimension  $r$  such that  $L = f^*(L')$ , whose degree is  $\delta = \frac{2g-2}{\nu}$ .

ho aggiunto "birational" fra parentesi due righe su

**Lemma 3.6.** *Assume  $\nu \leq 3$  and let  $\underline{m} = (1^{\mu_1}, \dots, \nu^{\mu_\nu})$  be the partition of  $g-1$  such that  $Z \subseteq \Gamma(\underline{m}, L)$ . Set  $\mu_1 = \mu$ ,  $\mu_2 = \mu'$ . Then  $\nu = 2$  and there are the following possibilities:*

- (i)  $\mu = g-1$  (hence  $\mu' = 0$ );
- (ii)  $\mu = g-1-2a$ ,  $\mu' = a$ ,  $A = f^*(J(C'))$  (hence  $g' = a$ ) and  $Z = W_\mu(C) + f^*(J^a(C'))$ .

ho apportato ulteriori modificazioni a causa della eliminazione del lemma 2.3.

*Proof.* We first consider the case  $\nu = 2$ .

If  $\mu = 0$ , then  $g-1 = 2\mu'$  and  $Z = f^*(\Gamma_{C'}(\mu', L'))$ , since  $\Gamma_{C'}(\mu', L')$  is irreducible by Lemma 2.1. The general point of  $Z$  corresponds to a linearly isolated divisor, hence  $\mu' \leq g'$ . Since  $f^*: J^{\mu'}(C') \rightarrow J^{g-1}(C)$  is finite, one has  $r = \rho_{C'}(\mu', L') \leq \mu' \leq g'$ . Since  $Z$  is  $A$ -stable, we have an isogeny  $A \rightarrow \bar{A} \subseteq J(C')$  (so that  $a \leq g'$ ) and  $\Gamma_{C'}(\mu', L')$  is  $\bar{A}$ -stable. Hence by Corollary 2.6 one has  $\Gamma_{C'}(\mu', L') = J^{\mu'}(C') = W_{\mu'}(C')$  and  $g' \leq \min\{\mu', r\}$  (see Lemma 2.4, (i)). Then  $Z$  is  $f^*(J(C'))$ -stable, and, since  $a$  is the maximal dimension of an abelian subvariety of  $J(C)$  for which  $Z$  is stable and  $a = \dim(\bar{A}) \leq g'$ , it follows  $a = g'$ . In conclusion  $\mu' = a = r = g'$ , and we are in case (ii).

Assume now  $\mu > 0$ . The general point of  $Z$  (which is a component  $\Gamma(\underline{m}, L)$ ) is smooth for  $\Theta$ , hence it corresponds to a linearly isolated, effective divisor  $D$  of degree  $g-1$ , which is reduced (see Lemma 2.1, (ii)) and can be written in a unique way as  $D = M + f^*(N)$ , where  $M$  and  $N$

are effective divisors, with  $\deg(M) = \mu$ ,  $\deg(N) = \mu'$  and  $M' := f_*(M)$  reduced. So there is a rational map  $h: Z \dashrightarrow J^\mu(C)$  defined by  $D = M + f^*(N) \mapsto M$ .

Assume  $\mu \leq r$ . By Lemma 2.1 the image of  $h$  is  $W_\mu(C)$ . Identify  $A$  with its general translate inside  $Z$ . Then we have a morphism  $h|_A: A \rightarrow J(C)$  whose image we denote by  $\bar{A}$ . Then  $W_\mu(C)$  is  $\bar{A}$ -stable. Since  $W_\mu(C)$  is birational to  $C(\mu)$ , which is of general type because  $\mu < g$ , then  $\bar{A} = \{0\}$ . It follows that each component of the general fibre of  $h$  is  $A$ -stable, in particular  $r - \mu \geq a \geq 1$ .

Take  $M \in W_\mu(C)$  general and set  $L'' := L'(-M')$ . Since  $L'$  is birational and  $M' \in W_\mu(C')$  is general, with  $\mu < r = \dim(L')$ , then  $L''$  has dimension  $r - \mu \geq 1$  and it is base point free. It is also birational as soon as  $r - \mu \geq 2$ .

Assume first  $r - \mu \geq 2$ . Then  $C'(\mu', L'')$  is irreducible by Lemma 2.1, (iv), and there is a birational morphism  $C'(\mu', L'') \rightarrow h^{-1}(M) \subset J^{g-1}(C)$  factoring through the map  $C'(\mu', L'') \rightarrow J^{\mu'}(C') \hookrightarrow J^{g-1}(C)$ , where the last inclusion is translation by  $M$ . Namely, up to a translation,  $h^{-1}(M) = \Gamma(\mu', L'')$ . In particular,  $\dim(\Gamma(\mu', L'')) = \dim(C'(\mu', L'')) = r - \mu$ , hence  $\mu' \geq r - \mu$ .

Remember that  $h^{-1}(M) = \Gamma(\mu', L'')$  is  $A$ -stable for  $M \in W_\mu(C)$  general. By Corollary 2.6 one has  $\Gamma_{C'}(\mu', L'') = W_{\mu'}(C') = J^{\mu'}(C')$ , so  $g' \leq \min\{\mu', r - \mu\} = r - \mu$ . On the other hand, since  $h^0(\mathcal{O}_C(D)) = 1$ , one has also  $h^0(\mathcal{O}_C(f^*(N))) = 1$ , hence  $\mu' \leq g'$  and we conclude that  $\mu' = g' = r - \mu$ . The same argument as above yields  $a = g'$  and we are again in case (ii).

If  $r - \mu = 1$ , then  $a = 1$ ,  $r = g - 2$ ,  $\mu = g - 3$  and  $\mu' = 1$ . On the other hand  $L$  is cut out on the canonical image of  $C$  by the hyperplanes through the point  $p_A$  which is the projectivized tangent space to  $A$  at the origin. Then  $\phi_L: C \rightarrow \bar{C}$  is the projection from  $p_A$ ,  $\bar{C}$  is a normal elliptic curve, and we are again in case (ii).

Assume now  $\mu > r$  and keep the above notation. In this case the map  $h: Z \dashrightarrow \bar{Z} := h(Z)$  is generically finite,  $\bar{A}$  is isogenous to  $A$  and  $\bar{Z}$  is  $\bar{A}$ -stable. By [6, Lemma 3.1], we have  $g - 1 \geq \mu \geq \dim(\bar{Z}) + \dim(\bar{A}) = r + a = g - 1$ , thus  $\mu = g - 1$ , so we are in case (i).

Finally consider the case  $\nu = 3$ . Write the general  $D \in \Gamma(\underline{m}, L)$  as  $D = M_1 + M_2 + f^*(N)$ , where  $M_1$  is reduced of degree  $\mu$ ,  $f_*(M_2) = 2M'_2$  with  $M'_2$  reduced of degree  $\mu'$  and, as above,  $\mu_3 = \deg(N) \leq g'$ . Set  $\tau = \mu + \mu'$  and consider the rational map  $h: Z \dashrightarrow W_\tau(C) \subseteq J^\tau(C)$  defined by  $D \mapsto M_1 + f^*(M'_2) - M_2$ .

If  $\tau \leq r$ , arguing as above (and keeping a similar notation) one sees that  $h(Z) = W_\tau(C)$ , the general fibre of  $h$  is  $A$ -stable, hence  $r - \tau \geq a$ . However  $a = 1$  and  $\phi_L$  non-birational, forces, as we have seen,  $\nu = 2$ , which is not the case here. Hence we have  $a \geq 2$ . We consider now  $L'' = L'(-f_*(M_1) - M'_2)$ , which has dimension  $r - \tau \geq 2$  and is base point free and birational, so  $C'(\mu_3, L'')$  is irreducible. The general fiber  $h^{-1}(h(D))$  of  $h$  is isomorphic to  $\Gamma_{C'}(\mu_3, L'')$  and is  $A$ -stable. In particular  $\dim(\Gamma_{C'}(\mu_3, L'')) = r - \tau$ , hence  $\mu_3 \geq r - \tau$ . By Corollary 2.6, we have  $\Gamma_{C'}(\mu_3, L'') \cong W_{\mu_3}(C') \cong J^{\mu_3}(C')$ , so  $g' \leq \min\{\mu_3, r - \tau\} = r - \tau$ , hence  $g' \leq r - \tau \leq \mu_3 \leq g'$ , thus  $\mu_3 = r - \tau = g'$ . In addition, as above, we have  $a = g'$ , so that  $A = f^*(J(C'))$ . Then  $g - 1 - a = r = \tau + \mu_3 = \mu + \mu' + \mu_3$ . On the other hand  $g - 1 = \mu + 2\mu' + 3\mu_3$ . This yields  $\mu' + 2\mu_3 = a = \mu_3$ , hence  $\mu' = \mu_3 = 0$ , which is not possible.

If  $\tau > r$  then  $h: Z \dashrightarrow \bar{Z} := h(Z)$  is generically finite,  $\bar{A}$  is isogenous to  $A$  and  $\bar{Z}$  is  $\bar{A}$ -stable. By [6, Lemma 3.1], we have  $g - 1 \geq \tau \geq \dim(\bar{Z}) + \dim(\bar{A}) = r + a = g - 1$ , thus  $\tau = g - 1$ , contradicting  $\tau \leq \deg(L') = \frac{2}{3}(g - 1)$ .  $\square$

**Lemma 3.7.** *If  $\nu \geq 4$  then  $\nu = 4$  and either*

- (i) *there is a degree 2 map  $\psi: C \rightarrow E_1$  with  $E_1$  a genus  $r$  hyperelliptic curve such that  $Z = A = \psi^*(J^r(E_1))$ ; or*
- (ii) *there is a faithful  $\mathbb{Z}_2^2$ -action on  $C$  with rational quotient; denoting by  $f_i: C \rightarrow E_i$  (for  $1 \leq i \leq 3$ ) the quotient map for the three non-trivial involutions of  $\mathbb{Z}_2^2$ , with  $E_i$  of genus  $g_i$ , and*

$g_1 \geq g_2 \geq g_3$ , then  $g_1 = r + 1$ ,  $g_2 + g_3 = r$  and  $Z = A = f_2^*(J^{g_2}(E_2)) \times f_3^*(J^{g_3}(E_3))$  is the Prym variety associated to  $f_1$ .

*Proof.* Since  $L$  is base point free by Lemma 3.4 and it is not birational by Lemma 3.5, we have  $\delta = \frac{2g-2}{\nu} \geq r \geq \frac{g-1}{2}$ , hence  $\nu \leq 4$ .

Assume  $\nu = 4$ . Then  $\bar{C}$  is a curve of degree  $\frac{g-1}{2}$  spanning a projective space of dimension  $r \geq \frac{g-1}{2}$ . Hence  $r = a = \frac{g-1}{2}$ ,  $Z = A$ , and  $\phi_L = f$  is the composition of a  $g_4^1$  (that we denote by  $\mathcal{L}$ ) with the degree  $r$  Veronese embedding  $\mathbb{P}^1 \rightarrow \mathbb{P}^r$ .

Let  $\underline{m} = (1^{\mu_1}, \dots, 4^{\mu_4})$  be the partition of  $2r$  such that  $Z \subseteq \Gamma(\underline{m}, L)$ .

**Claim 3.8.** *One has  $\underline{m} = (2^r)$ .*

*Proof of the Claim.* Assume by contradiction this is not the case, so that one among  $\mu_1, \mu_3, \mu_4$  is non-zero. We have  $r = \dim(\Gamma(\underline{m}, L)) = \mu_1 + \dots + \mu_4$ , because  $\bar{C}$  is a rational normal curve of degree  $r$  in  $\mathbb{P}^r$ , and  $2r = g - 1 = \mu_1 + 2\mu_2 + 3\mu_3 + 4\mu_4$ , hence  $\mu_1 = \mu_3 + 2\mu_4$  and  $\mu_1 > 0$ . So we may write the general divisor  $D \in Z$  as  $D = M + N$ , where  $M' := f_*(M)$  is reduced of degree  $\mu := \mu_1$ . Then we proceed as in the proof of Lemma 3.6.

Consider the rational map  $h: Z = A \dashrightarrow J^\mu(C)$  defined by  $D = M + N \mapsto M$ . It extends to a morphism and  $\bar{A} := h(A)$  is an abelian variety contained in  $W_\mu(C)$ . Since  $\mu \leq r = \frac{g-1}{2}$ , one has  $\bar{A} = W_\mu(C)$ , which is impossible.  $\square$

Let  $E := C(2, L)$ . Assume first  $C$  is not hyperelliptic. Then there is a birational (dominant) morphism  $E(r) \rightarrow \Gamma((2^r), L)$  (see Lemma 2.9, (i)). If  $E$  is irreducible, then by Corollary 2.7 its image generates  $J^2(C)$ , hence also  $\Gamma((2^r), L) = Z$  generates  $J^{2r}(C)$  and we obtain a contradiction.

So  $E$  is reducible and we apply Lemma 2.8 and 2.9. Suppose we are in case (b) of Lemma 2.8 and (ii) of Lemma 2.9. Then  $A = Z = \Gamma((2^r), L)$  is birational to  $E_1(r_1) \times E_2(r_2)$ , for non-negative integers  $r_1, r_2$ . However, if  $r_i > 0$ , then  $r_i = g_i$ , for  $i \in \{1, 2\}$ . Since  $2g_2 \geq g$ , one has  $r_2 = 0$  because  $2r = g - 1 < g$ . Hence  $A$  is birational to  $E_1(g_1)$  and we are in case (i).

Consider now case (c) of Lemma 2.8 and (iii) of Lemma 2.9. We claim that  $J(C)$  is isogenous to  $J(E_1) \times J(E_2) \times J(E_3)$ . Indeed, consider the representation of  $\mathbb{Z}_2^2$  on  $H^0(K_C)$ . Since  $C/\mathbb{Z}_2^2$  is rational, we have  $H^0(K_C) = V_{\chi_1} \oplus V_{\chi_2} \oplus V_{\chi_3}$ , where for  $i = 1, 2, 3$  the non trivial character  $\chi_i$  of  $\mathbb{Z}_2^2$  is orthogonal to the involution  $\iota_i$  such that  $C/\iota_i \cong E_i$ , and  $\mathbb{Z}_2^2$  acts on  $V_{\chi_i}$  as multiplication by  $\chi_i$ . Thus  $V_{\chi_i}$  is the tangent space to  $f_i^*(J(E_i))$ .

Recall that there are three non-negative integers  $r_1, r_2, r_3$  such that  $A$  is birational to  $E_1(r_1) \times E_2(r_2) \times E_3(r_3)$ . If  $r_i > 0$ , then  $r_i = g_i$  (for  $1 \leq i \leq 3$ ). Since  $g_1 + g_2 + g_3 = g = 2r + 1$ , at least one of the integers  $r_i$  is zero. If two of them are zero, we are again in case (i). So assume  $r_1 = 0$  and  $r_2, r_3$  non-zero. Then  $r = g_2 + g_3$  and  $g_1 = r + 1$ . Moreover the involution  $\iota_1$  acts on  $A$  as multiplication by  $-1$ , hence  $A$  is the Prym variety of  $f_1: C \rightarrow E_1$ , and we are in case (ii).

The case  $C$  hyperelliptic can be treated similarly. In case (b) of Lemma 2.8 and (ii) of Lemma 2.9,  $E_1$  is rational (hence it is contracted to a point by  $j$ ), and  $A = Z = \Gamma((2^r), L)$  is birational to  $E_2(r)$ . Then  $r = g_2$  and we reach a contradiction since  $2g_2 \geq g$ . In case (c) of Lemma 2.8 and (iii) of Lemma 2.9 one has  $g_3 = 0$  and the above argument applies with no change.  $\square$

*Proof of Theorem 3.1.* By Lemma 3.7, we may assume  $\nu = 2$ . Let  $\underline{m}$  be the partition of  $2r$  such that  $Z \subseteq \Gamma(\underline{m}, L)$ . By Lemma 3.6, it is enough to consider the case  $\nu = 2$  and  $\underline{m} = (1^{g-1})$ , i.e., case (i) of that lemma. Then  $Z$  is contained in the kernel  $P$  of  $f_*: J^{g-1}(C) \rightarrow J^{g-1}(C')$  (namely  $P$  is the generalized Prym variety associated with  $f$ ). The space  $H^0(K_C)$  decomposes under the involution  $\iota$  associated with  $f$  as  $H^0(K_{C'}) \oplus V$ , where  $V$  is the space of antiinvariant 1-forms. Hence  $V^*$  is the tangent space to  $P$ , the tangent space  $T$  to  $A$  is also contained in  $V^*$  and the linear series  $L$  is equal to  $\mathbb{P}(T^\perp) \supseteq \mathbb{P}(H^0(K_{C'}))$ . On the other hand, by construction  $\iota$  acts trivially on  $L$ , hence  $T = V^*$  and thus  $A = P$ . This implies that  $Z = A = P$ ,  $g = 2r + 1$  and  $f$  is unramified with  $g' = r + 1$ .  $\square$

**3.2. General Brill–Noether loci.** The proof of the general formula (3.1) in [6] uses an argument which is useful to briefly recall. Let  $Z \subseteq W_d^s(C) \subsetneq J^d(C)$  be  $A$ -stable and  $L \in Z$  general, so  $L$  is a special  $g_d^s$ , which we may assume to be complete, so  $d \geq 2s$ . Let  $F_L$  be the fixed divisor of  $L$  and, if  $s > 0$ , let  $L'$  be the base point free residual linear series. Set  $d' = \deg(L')$ . Since  $L'$  is also special, we have  $d' \geq 2s$ . Then, by Lemma 2.8, (iii), one has the birational map  $\mathfrak{r}: C(d' - s, L') \dashrightarrow C(s, L') \cong C(s)$ .

Consider the morphism  $j: C(d' - s, L') \times C(g - 1 - d + s) \rightarrow J^{g-1}(C)$  such that  $j(D, D')$  is the class of  $D + F_L + D'$  (if  $s = 0$ , we define  $j: C(g - 1 - d) \rightarrow J^{g-1}(C)$  by  $D' \mapsto F_L + D'$ ). If  $(D, D')$  is general in  $C(d' - s, L') \times C(g - 1 - d + s)$ , the divisor  $D + F_L + D'$  is linearly isolated, hence  $j$  is generically finite onto its image  $Z_L$ , which therefore has dimension  $g - 1 - d + 2s$ . Consider the closure  $Z'$  of the union of the  $Z_L$ 's, with  $L \in Z$  general, which is  $A$ -stable. One has  $Z' \subseteq \Theta$  and the above discussion yields  $r' := \dim(Z') = r + g - 1 - d + 2s$ . Therefore  $r' + a \leq g - 1$  if and only if (3.1) holds, with equality if and only if equality holds in (3.1).

**Corollary 3.9.** *Let  $C$  be a curve of genus  $g$ . Let  $A \subsetneq J(C)$  be an abelian variety of dimension  $a > 0$  and  $Z \subseteq W_d^s(C) \subsetneq J^d(C)$  an irreducible,  $A$ -stable variety of dimension  $r = d - 2s - a$ . Assume  $(d, s) \neq (g - 1, 0)$ . Then there is a degree 2 morphism  $\varphi: C \rightarrow C'$ , with  $C'$  a smooth curve of genus  $a$  with  $g > 2a + 1$ , such that  $A = \varphi^*(J(C'))$  and either*

- (i)  $Z = W_{d-2a-2s}(C) + \varphi^*(J^{a+s}(C'))$ , or
- (ii)  $C$  is hyperelliptic and  $Z = \varphi^*(J^a(C')) + W_{d-2s-2a}(C) + W_{2s}^s(C)$ .

*Proof.* We keep the same notation as above.

We apply Theorem 3.1 to  $Z'$ . Since  $d = r + a + 2s \geq 2(a + s)$  and  $(d, s) \neq (g - 1, 0)$ , then  $2a < g - 1$ , hence case (b) of Theorem 3.1 does not occur for  $Z'$ . So we have a degree 2 morphism  $\varphi: C \rightarrow C'$ , with  $C'$  a smooth curve of genus  $a$  with  $g > 2a + 1$ , such that  $A = \varphi^*(J(C'))$  and  $Z' = W_{g-1-2a}(C) + \varphi^*(J^a(C'))$ . The case  $s = 0$  follows right away and we are in case (i). So we assume  $s > 0$  from now on.

If  $L'$  is composed with the involution  $\iota$  determined by  $\varphi$ , we are again in case (i). So assume  $L'$  is not composed with  $\iota$ . The general  $D \in C(d' - s, L')$  contains no fibre of  $\varphi$  and the same happens for the general  $D' \in C(g - 1 - d + s)$ . Since  $D + F_L + D'$  corresponds to the general point of  $Z$ , and contains exactly  $a$  general fibres of  $\varphi$ , then  $F_L$  has to contain these  $a$  fibres, whose union we denote by  $F$ . Moreover, the description of  $Z$  implies that  $D + D' + (F_L - F)$  is a general divisor of degree  $g - 1 - 2a$ , in particular  $D$  is a general divisor of degree  $d' - s$ . But  $D$  is also general in  $C(d' - s, L')$ , and this implies  $d' - s \leq s$ . On the other hand  $d' \geq 2s$ , hence  $d' = 2s$ . Then, either  $L'$  is the canonical series of  $C$  or  $C$  is hyperelliptic and  $L'$  is the  $s$ -multiple of the  $g_2^1$ . However the former case does not occur since by construction  $d' = \deg(L') \leq g - 1$ , hence we are in case (ii).  $\square$

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