

Manuscript Number: FOODCONT-D-18-00609R1

Title: Histological discrimination of fresh and frozen/thawed fish meat: European hake (*Merluccius merluccius*) as a possible model for white meat fish species

Article Type: Research Paper

Keywords: Seafood fraud, Freezing-thawing, histology, *Merluccius merluccius*, white meat fish species

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Abstract: The present study aimed at setting up a standard operating histological procedure to discriminate fresh from frozen-thawed fish products of the species *Merluccius merluccius* (European hake). A preliminary histological analysis of fresh *M. merluccius* muscle was performed to select the sampling site and highlight possible time-dependent tissue alterations during shelf-life. To set a suitable operational grid for discriminating the freezing process, morphological and morphometrical parameters were assessed on 90 muscle tissue samples collected from 30 fresh, 30 experimentally frozen at -20°C and 30 Individual Quick Frozen (IQF) specimens of *M. merluccius*. Structural score, presence of freezing vacuoles, a number of vacuoles per field higher than 1.12 and the presence of interstitial seroproteinaceous material, which had achieved statistical significance in group comparisons were chosen as freezing markers. Accuracy and repeatability, assessed on the analysis of two independent operators (on-training and expert), showed high analytical specificity and sensitivity and a concordant diagnostic performance regardless the operators expertise. The grid was finally validated by a single blind test on 30 additional *M. merluccius* commercial products and allowed the allocation of all the samples to fresh or frozen status without inconclusive results. The method could be profitably applied against fraudulent adulteration practices.

Dear Editor,

please find enclosed the manuscript entitled "**Morphological and morphometrical discrimination of fresh and frozen/thawed fish meat: European hake (*Merluccius merluccius*) as a possible model for white meat fish species**" to be considered for publication in LWT - Food Science and Technology.

Freezing is the commonest technology applied to prolong fish preservation, although it may produce muscle physical-chemical modifications altering the product's quality and induce a higher spoilage rate of the frozen-thawed product. Thus, clear information is needed for guaranteeing consumer's safety and fair commercial practices. In this respect, the European legislator imposed the obligation to declare the process of freezing and thawing occurred before the sale by indicating the designation 'defrosted' on the product's label. Nevertheless, deliberate substitutions of fresh with frozen-thawed fish are still common fraudulent incidents.

The recognition of histological structural alterations represents a tool for discriminating freezing treatments. This approach, firstly proposed for the common carp meat has been recently applied to other species (gilthead, red mullet, swordfish, bonito, salmon, turbot, albacore, little tunny, rainbow trout and anchovy). Even though the method was confirmed as a highly sensitive and specific, the presence of unspecified microscopic alterations reduced the assay accuracy and precision leading to "non-conclusive results". In addition, possible microscopic alterations eventually occurring within the product's shelf-life were not assessed.

The present study aimed at providing a standard operating histological procedure to discriminate fresh and frozen-thawed fish. The procedure, set and validated on *M. merluccius* (European hake), a species never analyzed until today, was thought to be extended to the analysis of the white meat fish category. A preliminary analysis of the muscular tissue histology of 15 whole fresh specimens sampled at different shelf life time was conducted to highlight possible time dependent modifications and to select the tissue sampling site. Then, the operative procedure was set by the analysis of both morphological and morphometrical parameters on a total of 90 muscular tissue samples belonging to fresh and frozen exemplars for the selection of objective indices of freezing process. Four parameters (structural score, presence of vacuoles, presence of extracellular and intracellular seroproteinaceous material, number of vacuole per field) were included in the final operative grid after a statistical analysis. The histological grid accuracy and repeatability assessed on the analysis of two distinct operators confirmed high specificity and sensitivity of the method and a high diagnostic concordance irrespective of the previous operators' skill. The method, validated by a single-blind test on 30 additional commercial products, was confirmed as a reliable check tool to be applied against the occurrence of fraudulent incidents and for the monitoring of the

quality of the freezing process both in seafood business operator self-check monitoring and official controls.

The manuscript has not been published elsewhere nor is it being considered for publication elsewhere. All authors contributed to the intellectual or technical content of the study and to the drafting of the article. Finally, each of the listed authors approved this manuscript, agree to the order in which their names are listed, declare that no conflict of interests exists and disclose any commercial affiliation.

Best regards  
Andrea Armani

Dear Editor,

We are sending back the revised version of the paper FOODCONT-S-18-00800. The title has been changed according to the reviewer's request. New title: "**Histological discrimination of fresh and frozen/thawed fish meat: European hake (*Merluccius merluccius*) as a possible model for white meat fish species**"

Here you can find our answers to reviewer's comments.

Reviewers' comments:

Reviewer #1: This work presents a characterization of freezing effect on muscle structure using histological technique on Hake and a methodology to discriminate fresh and freeze/thaw products in order to propose a method to avoid fraudulent sales.

The paper is well written and the study very well conducted from the sampling protocol to the statistical analysis. The results are well discussed with a relevant bibliography.

In conclusion only minor revisions would be needed before this manuscript could be considered for publication.

**We really thank the reviewer for appreciating the paper.**

Minor revision

The title can be a little bit confusing, especially the term morphology is more appropriate to overall morphology of the fish, that was not be studied here. As only histological analysis have been performed I propose : "Histological discrimination of fresh and frozen/thawed fish meat: European hake (*Merluccius merluccius*) as a possible model for white meat fish species".

**The title has been changed as suggested**

In the Material and methods explain how the IQF fish are processed (degree, speed of freezing)

**A brief description about the processing parameters has been included accordingly (Material and methods section lines 163-164)**

Line 152:  $567.073 \mu\text{m}^2$  please verify the calculation this area correspond to a square image of  $24\mu\text{m}$  side that not correspond to a 20X magnification.

**It was a typing error. We now amended the manuscript erasing the dot.**

The term Proteinaceous and moreover Seroproteinaceous seems not to be the right term, generally speaking muscle fiber comprise myofibrillar and sarcoplasmic protein, the soluble sarcoplasmic proteins corresponds probably to the proteinaceous material observed but not the seroproteinaceous that comes from the blood sera, so use either sarcoplasmic or proteinaceous but not seroproteinaceous.

**The term seroproteinaceous has been amended throughout the manuscript.**

Line 426 "for the analysis of the" instead of "for the analysis of  
to the"

**Done**

1      Histological discrimination of fresh and frozen/thawed fish meat: European hake  
2      (*Merluccius merluccius*) as a possible model for white meat fish species ~~Morphological and~~  
3      ~~morphometrical discrimination of fresh and frozen/thawed fish meat: European hake~~  
4      ~~(*Merluccius merluccius*) as a possible model for white meat fish species~~

5

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26

27       **Abstract**

28       The present study aimed at setting up a standard operating histological procedure to discriminate  
29       fresh from frozen-thawed fish products of the species *Merluccius merluccius* (European hake). A  
30       preliminary histological analysis of fresh *M. merluccius* muscle was performed to select the  
31       sampling site and highlight possible time-dependent tissue alterations during shelf-life. To set a  
32       suitable operational grid for discriminating the freezing process, morphological and  
33       morphometrical parameters were assessed on 90 muscle tissue samples collected from 30 fresh, 30  
34       experimentally frozen at -20° C and 30 Individual Quick Frozen (IQF) specimens of *M.*  
35       *merluccius*. Structural score, presence of freezing vacuoles, a number of vacuoles per field higher  
36       than 1.12 and the presence of interstitial ~~sero~~proteinaceous material, which had achieved statistical  
37       significance in group comparisons were chosen as freezing markers. Accuracy and repeatability,  
38       assessed on the analysis of two independent operators (on-training and expert), showed high  
39       analytical specificity and sensitivity and a concordant diagnostic performance regardless the  
40       operators expertise. The grid was finally validated by a single blind test on 30 additional *M.*  
41       *merluccius* commercial products and allowed the allocation of all the samples to fresh or frozen  
42       status without inconclusive results. The method could be profitably applied against fraudulent  
43       adulteration practices.

44       **Keywords**

45       Seafood fraud, Freezing-thawing, histology, *Merluccius merluccius*, white meat fish species

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54     **1. Introduction**

55     Fish and seafood are widely accepted as essential components of a balanced diet thanks to their  
56     nutritional properties, in particular to their fatty acid composition (Domingo, Bocio, Falcó & Llobet  
57     2007). Based on lipid content and meat type, fish are commercially classified as fatty (i.e., salmon,  
58     herring, anchovy, sardine, and mackerel) or lean fish and as red or white meat fish  
59     (<http://www.fao.org/wairdocs/tan/x5916e/x5916e01.htm>); the latter includes both freshwater and  
60     marine, wild or farmed species characterized by low-medium fat content and the absence of dark  
61     fiber muscles (Ackman, 1989).

62     To date the whitefish market is one of the largest segment in the global seafood supply chain and  
63     at European level major products within this category are represented by cod (*Gadus morhua*),  
64     Alaska pollock (*Gadus chalcogrammus*) and hake species (*Merluccius* spp.) (EUMOFA, 2017).  
65     Hake demand has slightly increased over the last ten years compared to other species, reaching the  
66     highest value in 2014 and alone representing the 15% of the total ground fish originating from  
67     extra-EU countries. According to the report of the European Market Observatory for Fisheries and  
68     Aquaculture Products (EUMOFA, 2017), hake, that is reported as one of the highest ranked in  
69     terms of commercial value, is generally sold on the market as fresh or frozen. However, the  
70     peculiar chemical composition of fresh hake makes its shelf life limited due to enzymatic autolysis,  
71     lipid oxidation and microbial activities, which directly depends on *post-mortem* processing and  
72     storage (Ghaly, Dave, Budge & Brooks, 2010).

73     Despite freezing is one of the most common method for seafood shelf-life extension and long-  
74     term preservation, the process is known to induce muscle structure changes and chemical  
75     modifications (protein denaturation, lipid oxidation, cell osmotic shrinkage and mechanical tissue  
76     damage caused by the intracellular and extracellular water crystallization and cellular dehydration),  
77     thus interfering with the overall organoleptic quality of the fish product (Zhu, Ramaswamy &  
78     Simpson 2004; Venugopal., 2006; Burgaard, 2010; Gökoğlu & Yerlikaya, 2015; Uddin, 2010). In

79 addition, thawed fish is characterized by a higher perishability than chilled fish primarily due to  
80 microbiological spoilage. The microbial flora, only partially inactivated by the freezing process, is  
81 indeed positively affected by the increasing thawed tissue water activity (Pan & Chow, 2004;  
82 Kolbe & Kramer, 2007). All these aspects are main drivers for the European consumers' preference  
83 of fresh fish (Claret et al., 2012; Vanhonacker, Pieniak & Verbeke, 2013; Reis et al., 2017). This  
84 preference greatly influence the market value of fresh and frozen fish. On the Italian market, the  
85 price of fresh hake is about 14.75€/kg while the frozen product is sold at 2.10€/kg ([http://www.asa-  
86 press.com/r-spesa/borsa170.html](http://www.asa-press.com/r-spesa/borsa170.html)).

87 Information about the storage method involved in fish preservation represents one of the key  
88 issues to guarantee the consumer's safety and awareness. Therefore, at the European level,  
89 Regulation EU No 1169/2011 and No 1379/2013 established that "*information on the physical  
90 condition of the food or the specific treatment which it has undergone*" must be reported on  
91 seafood labels. In the case of foods that have been frozen before sale and which are sold defrosted,  
92 the name of the food shall be accompanied by the designation 'defrosted'. However, for a  
93 consumer it is very hard to differentiate a fresh from a frozen-thawed fish on the basis of the  
94 organoleptic characteristics (Karoui, Thomas, & Dufour, 2006), and deliberate substitution of  
95 frozen/thawed fish in place of fresh fish are recorded as common finding of fraudulent incidents  
96 (Uddin et al., 2005; Fasolato et al., 2008; Upton, 2015).

97 The occurrence of fraudulent substitutions leads to the setting of analytical methods for the  
98 discrimination of frozen-thawed and fresh fish. This has been accomplished by means of  
99 morphological, physiological, chemical and physical parameters (Duflos, Le Fur, Mulak, Becel &  
100 Malle., 2002; Uddin, 2010). However, the reliability of these methods is limited in case of fish with  
101 a long shelf life (Duflos et al., 2002) and in presence of processed products such as skinned and  
102 filleted fish (Hassoun & Karoui, 2017). More recently, alternative physical methods based on front-  
103 face fluorescence, near infrared spectroscopy, solid-phase gas chromatography and mass  
104 spectrometry, have been proposed as non-destructive methods for fresh-frozen product

105 discrimination (Karoui et al., 2006; Uddin 2010, Fasolato et al., 2012, Leduc et al., 2012, Zhu et al.  
106 2013, Ottavian, Fasolato, Facco, & Barolo, 2013). Although all methods have been shown to be  
107 effective for the analysis of whole and filleted products they require a large set of reference  
108 samples for the assay validation and the development of calibration models for each species  
109 (Ottavian et al., 2013; Hassoun & Karoui, 2017).

110 An alternative method for discriminating fresh and frozen/thawed products is based on the  
111 recognition of histological structural changes (empty vacuolar spaces) induced by freezing (Love,  
112 1958; Simeonidou, Govaris & Vareltzis, 1997; Sigurgisladóttir S, Ingvarsdóttir H, Torrisen OJ,  
113 Cardinal M, Hafsteinsson, 2000; Alizadeh, Chapleau, De Lamballerie, & Le-Bail, 2007; Alizadeh,  
114 Chapleau, De Lamballerie, & Le-Bail, 2009). This approach, firstly proposed as a discriminating  
115 method for the common carp (*Cyprinus carpio* L.) (Pavlov, Dimitrov, Penchev & Georgiev, 2008),  
116 has been recently applied to other species characterized by different muscular composition and fat  
117 content: gilthead (*Sparus aurata*), red mullet (*Mullus barbatus*), swordfish (*Xiphias gladius*),  
118 bonito (*Sarda sarda*), salmon (*Salmo salar*), turbot (*Psetta maxima*), albacore (*Thunnus alalunga*),  
119 little tunny (*Euthynnus alletteratus*), rainbow trout (*Oncorhynchus mykiss*) and anchovy (*Engraulis*  
120 *encrasicolus*) (Bozzetta et al., 2012; Richelmi et al., 2013; Popelka, Nagy, Pipová, Marcinčák, &  
121 Lenhardt, L., 2014, Meistro et al., 2016). Despite the high accuracy of these methods in the  
122 detection of the freezing process, the presence of unspecific microscopic alterations reduced the  
123 assay accuracy and precision leading to “non-conclusive results”. In addition, possible microscopic  
124 alterations eventually occurring within the product’s shelf-life were not assessed.

125 The aim of the present study was to contribute in setting up a standard operating histological  
126 procedure that enables to discriminate fresh from frozen-thawed fish products for *M. merluccius*  
127 (European hake). Preliminary objectives of this study were to investigate the histological pattern of  
128 fresh *M. merluccius* muscle tissue for selecting the most appropriate sampling site and to assess  
129 possible time dependent tissue modifications during shelf-life. Secondly, histological parameters  
130 were recorded for the differentiation of fresh and frozen muscle tissue of *M. merluccius*. Finally, an

131 operational protocol was set up and tested on a subset of randomly selected previously examined  
132 samples and then validated by a single-blind control procedure on commercial samples.

133 **2. Materials and Methods**

134 ***2.1 Tissue histology of fresh *M. merluccius* muscle for sampling site selection***

135 *2.1.1 Specimens collection and processing.* Eight fresh medium size (200-300 g) whole *M.*  
136 *merluccius* (caught within the previous 24 hours) were collected at a local fish market. Two cm  
137 long fresh muscle samples were obtained from the left side of each fish, from three different  
138 anatomical sites: a) the lateral line, b) dorsal muscle next to the column and distant from the lateral  
139 line and c) ventral muscle posterior to the anal opening; (Fig. 1). Samples were either promptly  
140 fixed in a 10% buffered formalin solution (pH 7.4) for paraffin embedding or cryo-protected with  
141 30% sucrose for cryo-sectioning. Tissue processing of formalin fixed samples was performed in a  
142 controlled automatic processor (Shandon TP 1020; Leika, Milan, Italy) and paraffin embedding  
143 was accomplished to obtain transversal sections of the muscle fibers. Five µm thick sections were  
144 stained with hematoxylin and eosin (H&E) under standard protocol. Cryo-sectioned samples were  
145 stained with Oil Red O to evaluate the lipid distribution within samples.

146 ***2.2 Assessment of histological time-dependent tissue modification on fresh *M. merluccius****

147 To avoid the misinterpretation of hypothetical shelf-life time-dependent alterations as thawing  
148 modifications, other 15 whole fresh specimens of medium size (200-300 g; caught within the  
149 previous 24 hours) obtained at a local fish market, were included in this study. Of these, 5 were  
150 sampled within 24 hours (24H group); 5 were sampled after 48 hours of conservation at 4 °C (72H  
151 group) and the last 5 specimens, after an additional conservation at 4 °C for further 48 hours (120H  
152 group). The samples, all collected from the dorsal muscle, were processed as previously described  
153 and all alterations observed were recorded. The observations were conducted within 10 consecutive  
154 fields at 20x magnification, each field corresponding to 567-073 µm<sup>2</sup>. Areas occupied by time-  
155 dependent tissue modifications were recorded on H&E stained sections using a light microscope

156 (Nikon, Eclipse 80i) connected to a personal computer via a Nikon digital camera (Digital Sight  
157 DS-U1) and measurements were carried out with the NIS-Elements Br accompanying software.

158 **2.3 Histological evaluation of fresh and frozen *M. merluccius***

159 **2.3.1 Sampling.** Histological evaluation was performed on a total of 90 samples as follows.

160 Thirty fresh *M. merluccius* (caught within the previous 24 hours) of about 200-300 g weight, were  
161 collected at a local fish market. A muscle punch from the dorsal muscle was promptly fixed in a  
162 10% formalin solution (fresh *M. merluccius*, F\_MM). The remaining fish were frozen in a  
163 conventional laboratory freezer at -20 °C for 15 days; then, after controlled thawing (4 °C for 12  
164 hours), 30 new tissue samples were collected in the contralateral area, symmetrically to the first  
165 sampling site (CF\_MM, conventionally frozen *M. merluccius*). Moreover, other 30 *M. merluccius*  
166 fish that had undergone an Individual Quick Freezing (IQF) process, which is usually performed in  
167 an air-blast tunnel at -35°C to -45°C for 1h to 3h and a different speed according to the fish size  
168 (200g to 400g(Venugopal, 2006), were purchased and sampled after controlled thawing (IQF\_MM,  
169 commercially frozen *M. merluccius*). After processing, sectioning and H&E staining (see section  
170 2.1.1) morphological and morphometric parameters were recorded for the differentiation of fresh  
171 and frozen muscle tissue of *M. merluccius*.

172 **2.3.2 Morphology.** After a preliminary screening of histological slides, the following parameters  
173 were selected for morphological assessment: a) the overall muscle structural organization, b) the  
174 presence of freezing vacuoles defined as polygonal spaces with smooth angles within the muscle  
175 myofiber and c) the presence of interstitial proteinaceous material, defined as a slightly granular  
176 basophilic material accumulated in the *interstitium* between myofibers. These parameters were  
177 scored on four randomly selected areas and: a) overall structural organization (assessed at a 10x  
178 magnification) was scored as 0= fully destructured muscle, as 1 = partially (<50%) destructured  
179 muscle , 2= well preserved muscle ; b) myofiber vacuolization (assessed at a 20x magnification)  
180 was recorded as 0 = absence and 1= presence; c) interstitial proteinaceous material, (observed at a  
181 10x magnification) was scored as 0 = absence and 1= presence.

182        2.3.3 *Morphometry*. Four hot spot areas from samples that scored either 1 or 2 at the above  
183        mentioned parameter “a)” were selected at low power and measurements performed at 20x  
184        magnification within a predetermined field. Total number of vacuoles in the four selected fields,  
185        number of vacuoles per fields, mean vacuole size, mean size of myofibers containing vacuoles and  
186        percentage of the myofiber occupied by vacuoles were recorded. Analyses were performed on  
187        H&E stained sections as mentioned above (2.2).

188        **2.4 Statistical analysis**

189        2.4.1 *Shelf life test*. A paired-sample t-test was used to assess the difference in lysis surface  
190        between samples kept for 24 hours and those kept for 72 and 120 hours. Results were considered  
191        significant when  $p<0.05$ .

192        2.4.2 *Selection of the parameters for discrimination of fresh and frozen-thawed fish muscle*.  
193        Different statistical tests were applied as follows. The organization of the muscle structure (score)  
194        was analysed by comparing the score distribution (from 0 to 2) within the three groups using the  
195        Kruskal-Wallis test; if overall significance was observed, further differences among groups were  
196        assessed using the Mann-Withney U test with  $k-1$  comparisons ( $k$  is the total number of examined  
197        groups). The presence (1) or absence (0) of vacuoles and ~~sero~~proteinaceous material was evaluated  
198        by the chi-squared test to compare differences of frequency of positive samples (presence of  
199        vacuoles and interstitial ~~sero~~proteinaceous material) within the three groups (F\_MM, CF\_MM,  
200        IQF\_MM). The same test was used to compare the effect of freezing (presence of vacuoles and  
201        ~~sero~~proteinaceous material) by the comparison of both CF\_MM and IQF\_MM, evaluated together,  
202        against group F\_MM. Morphometrical parameters (number of vacuoles per fields, mean vacuole  
203        size, mean size of myofibers containing the vacuoles and percentage of myofiber occupied by  
204        vacuoles) were investigated using the ANOVA test. When a significant result was obtained a post-  
205        hoc Dunnet test was performed. For all the analyses, significant results were those associated with  
206         $p<0.05$ . The parameters that were confirmed as significant were used to set up the final protocol. In  
207        case of morphometric parameters, a cut-off value was established. In particular, the number of

208 vacuoles per field parameter was selected to define a cut-off value for the discrimination of fresh  
209 and frozen products. The cut-off limit for the discrimination of freezing was determined using the  
210 95% Confidence Interval (95% C.I.) calculated on the mean number of vacuoles per area in the  
211 F\_MM group (95% C.I. = 0 - 1.12) and using its upper level, considering that the two other groups  
212 were characterized by means of 2.24 – 4.47 (CF\_MM) and 6.77-9.95 (IQF\_MM) with 95% C.I.  
213 Thus, all those samples showing a number of vacuoles per field equal or below 1.12 were  
214 considered fresh, conversely, all the samples showing a higher value were classified as frozen.

215 ***2.5 Operational protocol and assessment of the role of the operators***

216 *2.5.1. Operational protocol.* An evaluation grid was designed using morphological and  
217 morphometric parameters and the cut-off level established by statistical analyses (Fig. 2). Then, it  
218 was presented to the operators concurrently to the histological sections to issue a judgment of  
219 freezing expressed as Positive (frozen) and Negative (fresh).

220 *2.5.2. Assessment of the role of the operators.* Two independent operators, a student (Operator 1:  
221 on-training) and a pathologist (Operator 2: expert), were asked to use the operational protocol to  
222 reclassify 50 out of the 90 samples selected by the Stat Trek random number generator  
223 (<http://stattrek.com/statistics/random-number-generator.aspx>). Randomly selected samples were 17  
224 fresh and 33 frozen (18 CF\_MM, and 15 IQF\_MM). Sensitivity and specificity achieved by the two  
225 operators were calculated using contingency table analysis. The level of concordance between  
226 Operator 1 and 2 was evaluated with the Cohen statistical index k. With a k Cohen index >80%  
227 satisfactory concordance was achieved, while full concordance was defined as a 100% k Cohen  
228 index. For these analyses EPI6 software for windows was used (Dean et al., 1994).

229 ***2.6 Final Validation***

230 Thirty additional commercial fish, belonging to both fresh (caught within the 24hours; 13  
231 specimens) and IQF frozen (17 specimens), were collected and processed as described in section  
232 2.1.1. These samples were presented to the operators without any indication about their origin  
233 (single-blind control procedure). The judgment (fresh or frozen) was issued through the analysis of

234 three histological sections for each sample. In particular, the operators were asked to issue the final  
235 judgment on the basis of the result obtained on at least two out of the three sections analyzed for  
236 each sample according to the evaluation grid developed in this study (Fig. 2).

237 **3. Results**

238 **3.1 Tissue histology of fresh *M. merluccius* muscle for sampling site selection**

239 Myofibers of fresh *M. merluccius* were always arranged in fascicles surrounded by connective  
240 tissue. Two different myofiber types were identified at the H&E staining: large polygonal fibers  
241 whose cytoplasm was packed with myofibrils and small myofibers whose cytoplasm often showed  
242 several small round empty spaces (Fig. 3a). Oil Red O staining showed that the empty spaces found  
243 in H&E stained sections were lipid droplets (Fig. 3b). Fascicles containing lipid droplets were  
244 found lying between the skin and the underneath muscle in all samples collected from the lateral  
245 line (100% of the specimen), in 25% of samples collected from the dorsal muscle and in half of the  
246 samples obtained from the ventral muscle (50%). In samples from the lateral line and the ventral  
247 area they were also found within the deep muscle tissue. Thus, the dorsal area was selected as  
248 sampling site.

249 **3.2 Assessment of histological time-dependent tissue modifications on fresh *M. Merluccius***

250 The assessment of fresh *M. merluccius* at different shelf-life time points revealed histological  
251 focal areas of either swollen (Fig. 4a) and shrunken-fragmented (Fig. 4b) eosinophilic lytic  
252 myofibers. On the ten total fields observed at 20X of magnification, shrunken-fragmented  
253 myofibers were observed in 2 to 6 fields in the samples at 24h of storage (mean =3.2); 2 to 4 at 72h  
254 (mean=3) and 0 to 6 at 120h (mean=3.4). Significant differences were not found when comparing  
255 the size of lytic areas over different shelf life samples (data not shown).

256 **3.3 Histological evaluation of fresh and frozen *M. merluccius***

257 **3.3.1. Morphological assessment.** The preliminary screening of histological slides showed the  
258 presence of:

- 259 1. Different degree of muscle destructure;

- 260      2. Freezing vacuoles (Fig. 5a) recognized for their squared or polygonal shape, smooth margin  
261            and empty space or space filled with a slightly basophilic material;
- 262      3. **Seroproteinaceous-Proteinaceous** material in the interstitial space (Fig. 5b).
- 263      4. Myofiber empty spaces of irregular angular shape (Fig. 5c) or as thin short empty fractures  
264            (Fig. 5d). These alterations were observed occasionally in both fresh (F\_MM) and frozen  
265            (CF\_MM and IQF\_MM) tissues and were considered as artefactual findings produced by tissue  
266            processing;
- 267      5. Either swollen and shrunken-fragmented eosinophilic myofibers (lytic fibers) were seen,  
268            without significance differences, in all groups.

269      Considering that our goal was to select “changes” related to the freezing process, artefactual  
270      findings (point 4) and lytic myofibers (point 5) were not included as parameters to be used for the  
271      discrimination of fresh and frozen-thawed muscle tissue.

272      As regards the other selected parameters results are summarized in Table 1 and reported in detail  
273      in Table 1SM. The structural organization of the muscular component was generally well  
274      maintained in F\_MM samples. No vacuolar alterations similar to freezing vacuoles were recorded  
275      except for three samples in which only 1 intracellular vacuole in 3 out of 4 fields of observation  
276      was observed. In CF\_MM partial or full muscle tissue destructuration prevailed. In the presence of  
277      fully destructured score (0) the freezing vacuoles were broken and uncomplete (not delimited) and  
278      surrounded by released **sero**proteinaceous material; thus the samples were not morphometrically  
279      evaluable. In IQF\_MM the structural organization of the muscular component was generally well  
280      maintained. Noteworthy, freezing vacuoles of regular shape were homogeneously distributed  
281      within the single myofibers, while vacuoles in the CF\_MM were randomly and not homogeneously  
282      scattered throughout the muscle fibers.

283      Kruskall-Wallis test for overall structural organization showed high statistically significant  
284      differences ( $\chi^2=44.68$  p<0.001) between the tree groups. When Mann-Whitney test was performed  
285      CF\_MM (mean 0.9) was different from either F\_MM (mean 1.9) showing z= - 4.83, p<0.001 or

286 IQF\_MM (mean 2.0), with  $z= -5.05$ ,  $p<0.001$ . On the contrary, no significant differences were  
287 found on structural scores between F\_MM and IQF\_MM groups. Chi-squared test showed a  
288 significant effect of freezing for frequency of vacuoles ( $\chi^2=69.1$   $p<0.001$ ) and interstitial  
289 proteinaceous material ( $\chi^2=80.3$   $p<0.001$ ) when all groups were included in the statistical analysis.  
290 When pairwise comparisons were made, CF\_MM was not statistically different from IQF\_MM. By  
291 aggregating data from frozen samples (CF\_MM + IQF\_MM) and comparing them against data of  
292 fresh tissues (F\_MM) results were again statistically significant for both the parameters (presence  
293 of vacuoles:  $\chi^2=64.9$ ,  $p<0.001$ ; presence of ~~sero~~proteinaceous material:  $\chi^2=77.0$ ,  $p<0.001$ ).

294     3.3.2. *Morphometrical assessment.* As reported in section 2.3.3, the assessment of  
295 morphometrical parameters (number of vacuoles per fields, mean vacuole size, mean size of  
296 myofibers containing the vacuoles and percentage of the myofiber occupied by vacuoles) was  
297 performed only on samples that scored either 1 or 2 as regards muscle organization (Table 1). In  
298 particular, 3 F\_MM samples, 18 CF\_MM and all the IQF\_MM samples were assessed.

299     Mean number of vacuoles per field progressively increased from F\_MM (0.5 per field, ranging  
300 from 0.25 to 0.75) to CF\_MM (3.50 per field, ranging from 1 to 8.75) and IQF\_MM (8.3 per field,  
301 ranging from 3.75 to 19). Similarly, mean percentage of the myofiber occupied by vacuoles was  
302 11% in F\_MM, 22.1% in CF\_MM and 31% in IQF\_MM (Table 1SM).

303     The mean values of the two quantitative parameters (number of vacuoles per field and  
304 percentage of the myofiber occupied by vacuoles), investigated using the ANOVA test, were  
305 confirmed significantly different across groups ( $F=64.3$ ,  $p<0.001$  for vacuoles per field and  
306  $F=257.8$   $p<0.001$  for percentage of myofiber occupied). When Dunnett test was performed, the  
307 difference was statistically significant between F\_MM and CF\_MM ( $p<0.001$ ) as well as F\_MM  
308 and IQF\_MM ( $p<0.001$ ) for both parameters. Thus, they were confirmed as applicable indices for  
309 the discrimination between fresh and frozen products.

310     **3.4 Operational protocol and assessment of the role of the operators**

311        3.4.1. *Operational protocol.* Above mentioned parameters that achieved statistical significance  
312        in group comparisons were included in the final operational grid for the discrimination of fresh and  
313        frozen-thawed *M. Merluccius* (Fig. 2). The operators were asked to use the grid to reclassify a  
314        randomly selected blind set of previously examined samples (n=50); samples that achieved a cut-  
315        off value > 1.12 (number of vacuoles per field) were automatically assigned to the frozen category  
316        in the provided ms excel worksheet. However, sample reaching a cut off value = 1.12 was assigned  
317        to frozen status only in presence of interstitial ~~sero~~proteinaceous material.

318        3.4.2. *Assessment of the role of the operators: reliability assessment.* Both operators assigned all  
319        but one sample to the correct category (Table 2). Operator 1 (on-training) showed 100% sensitivity  
320        (95% C.I.: 85%-100%) and 94% specificity (95% C.I.: 71%-100%) while Operator 2 (expert)  
321        showed 97% sensitivity (95% C.I: 84%-100%) and 100% specificity (95% C.I.: 80%-100%). The  
322        Cohen index used to evaluate the degree of agreement between the two classifications was  $k=91\%$ ,  
323         $p<0.01$  (95% C.I.:79%-100%). This confirmed a significant analytical concordance between the  
324        operators.

325        **3.6 Final validation of the operational procedure**

326        Both the operators assigned all the 30 additional commercial fish samples (13 fresh and 17 IQF)  
327        to the correct category and the scores attributed to the three sections were analogous, confirming a  
328        substantial structural homogeneity between the different portions of the tissue punch collected from  
329        each sample.

330        **4. Discussion**

331        **4.1 Tissue histology of fresh *M. merluccius* muscle for sampling site selection**

332        To evaluate the anatomical distribution of muscle fibers and to obtain homogeneous data for  
333        statistical comparisons, a specific anatomical sampling site was identified by a preliminary analysis  
334        of *M. merluccius* tissue histology. This preliminary step also aimed at recognizing the presence of  
335        any vacuolar shaped intracellular space that would resemble the myofiber vacuolization reported as  
336        the main change associated with freezing (Ayala et al., 2005; Bozzetta et al., 2012; Meistro et al.,

337 2016). Previous studies, conducted on 84 marine species, have shown different distribution and  
338 variable percentages of white and red muscle fibers (Greek-Walker & Pull, 1975). Regardless of  
339 the species, the dorsal muscles are predominantly composed of white fibers while the red ones, if  
340 present, are exclusively localized in the most superficial portion (Johnston, 1981; Greek-Walker &  
341 Pull, 1975). This pattern was confirmed in this study also for the specie *M. merluccius* for which a  
342 precise anatomical distribution of the two muscle fiber types had not been described before.  
343 Therefore, even though lipid vacuoles can be easily differentiated from freezing vacuoles for their  
344 shape and size (small, perfectly round, optically empty on H&E stained sections) the dorsal area  
345 was chosen as the elective site in this study as more homogeneous by a structural point of view.  
346 Thus, this area might in fact represent a “species-independent” reference sampling site for the  
347 analysis of white fish species even by not specifically trained operators.

348 ***4.2 Assessment of histological time-dependent tissue modifications on fresh M. merluccius***

349 The possible onset of tissue modifications related to fish spoilage within the expected shelf life  
350 of the product, that are generally due to the combination of enzymatic autolysis oxidation and  
351 microbial growth (Ghaly et al., 2010; George, Van Wettere, Michaels, Crain, & Lewbart, 2016),  
352 was also considered in the preliminary assessment. Since myofiber vacuoles are reported as the  
353 main change associated with freezing, the analysis was focused on the detection of the possible  
354 presence of vacuoles in fresh samples at different time of conservation, since this aspect was not  
355 considered in the previous studies (Bozzetta et al., 2012; Popelka et al., 2014; Meistro et al., 2016).  
356 In this respect, George et al. (2016), in a study about histopathologic evaluation of *post mortem*  
357 changes in fresh water fish species preserved in several storage conditions (room temperature,  
358 refrigeration, freezing) and at different sampling intervals (4, 24, 48 hours), reported the onset of  
359 mild to evident tissue alterations (cellular oedema/swelling) subsequent to autolytic phenomena in  
360 all storage conditions. Conversely, the vacuolar lesions recorded were all exclusively associated to  
361 freezing-thawing processes. Accordingly, in the present study no vacuolar changes were found in  
362 samples at 24, 72 and 120 hours (kept at 4 °C) analyzed in the study. On the contrary scattered

363 areas of swollen and shrunken-fragmented eosinophilic fibers were recorded. These alterations  
364 were similar to those described by Sigurgisladottir et al. (2000) in frozen salmon (*Salmo salar*) and  
365 by Popelka et al. (2014) in rainbow trout (*Oncorhynchus mykiss*), likely due to autolytic enzymes  
366 which are known to be the main responsible for the post mortem tissue softening (Ahmed, Donkor,  
367 Street, & Vasiljevic, 2015).

368 **4.3 Histological evaluation of fresh and frozen *M. merluccius***

369 Once determined that small round vacuoles present in fresh samples were lipid filled and that  
370 either swollen and shrunken-fragmented eosinophilic fibers were likely a consequence of autolysis,  
371 the further assessment of fresh vs frozen-thawed samples aimed at identifying peculiar parameters  
372 related to freezing for being included in the final operative analytical protocol.

373 Tissue de-structuring was the first parameter included in the list of the recorded alterations: this  
374 finding was primarily observed in CF\_MM and not in IQF\_MM samples in which muscle  
375 organization was generally well maintained. In this respect, the use of a slow freezing technique  
376 might have led to the onset of osmotic phenomena, as already reviewed by Pham (2008) and Kiani  
377 and Sun (2011), leading to morphological alterations such as dehydration and shrinkage. Moreover,  
378 it is known that the slow rate of temperature decrease enhances the formation of large extracellular  
379 ice crystals (Kiani & Sun, 2011). All these phenomena predispose to the breaking of the cell  
380 membranes during the thawing procedure (Pham, 2008). On the contrary, a fast-freezing technique,  
381 as the IQF technology, generally produces a more uniform intracellular and extracellular water  
382 crystallization (Pham, 2008). This aspect was further confirmed in this study by the absence of  
383 significant differences between the structural scores of F\_MM and IQF\_MM. Therefore, a tissue  
384 structure score might be proposed as parameter to estimate the quality of the freezing process  
385 during product' shelf life. In fact, the high quality of frozen seafood may be lost either by  
386 interruption of the cold chain during transportation (Gormley, Walshe, Hussey, & Butler, 2002) or  
387 by non-industrial freezing improperly applied by wholesalers to slow down the tissue spoilage  
388 mechanisms of unsold fresh products (Bozzetta et al., 2012).

389 The second and third listed parameters (freezing vacuoles and ~~sero~~proteinaceous material) were  
390 found to be significantly freezing-dependent. Non-homogeneous distribution of freezing vacuoles  
391 in CF\_MM samples compared to the homogeneous pattern observed in IQF\_MM, was consistent  
392 with findings previously described by Ayala et al. (2005). Also the presence of interstitial  
393 proteinaceous material in the *interstitium* between myofibers in CF\_MM samples, was plausibly  
394 due to the formation of ice macrocrystals induced by slow freezing process for the tissue  
395 deformation and the impairment of cell membrane integrity (Pham, 2008; Alizadeh et al., 2007).

396 **4.4 Operational protocol and assessment of the role of the operators and final validation**

397 The high specificity and sensitivity of the operational protocol in this study was confirmed by the  
398 reliability assessment of the two operators. There was a low probability of false positive and false  
399 negative occurrence. Albeit minimal, the difference in diagnostic performance revealed by the  
400 contingency table was related to the operator's experience since it was hypothesized that an expert  
401 operator may consider a minimal vacuolar change as a freezing vacuole while these may be  
402 overlooked by the trainee but not experienced operator.

403 The application of the cut-off threshold obtained from the statistical analysis on the quantitative  
404 parameter vacuole per field, allows the allocation of all the samples to the fresh or frozen status  
405 thus avoiding inconclusive results.

406 **5. Conclusions**

407 Even though several analytical methods can help in the identification of frozen products sold as  
408 fresh, these techniques are often cost, and reagent demanding and require highly skilled operators.  
409 Therefore, industry and official authorities are interested in convenience, non-destructive, non-  
410 invasive and cost-effective methods. In the present study the use of histology as suitable analytical  
411 tool to prevent fraudulent substitutions of fresh with frozen-thawed fish, was confirmed. The  
412 selected histological parameters and the final operative protocol applied to the European hake (*M.*  
413 *merluccius*), may represent a reliable and cost-effective procedure to be proposed for the analysis of  
414 white fish category. Further experiments are however needed to confirm the possibility of applying

415 the protocol to different species. The method can be also applied to verify both the quality of the  
416 freezing process and the correct maintenance of the cold chain of frozen products during transport  
417 and storage phases before sale. Besides the scientific evidences offered by the study possible  
418 expected outcomes are linked to the increasing reliance, transparency and trust between diverse  
419 actors along the chain that may enhance market competitiveness as well as consumers wellness.

420 **Acknowledgments**

421 The authors wish to thank the Quality Office of UNICOOP Firenze for its contribution to the  
422 research activities, which were carried out in the framework of a survey aimed at assessing the  
423 overall quality of seafood products.

424 **Funding**

425 This project has been funded by the University of Pisa (Project PRA\_2017\_24).

426 **Figures captions**

427 **Fig. 1.** Anatomical position of the three sampling sites of muscular tissue (tissue punch length =  
428 2cm) evaluated in the study on *Merluccius merluccius* exemplar (size = 250 g). a) lateral line, b)  
429 dorsal muscle, c) ventral muscle.

430 | **Fig. 2.** Evaluation grid proposed to the operators for the analysis of ~~to~~the histological sections.  
431 \*Score structure: 2 = well preserved muscle, 1 = partially destructured muscle, 0 = fully  
432 destructured muscle. Final judgement: N = negative (fresh product), P = positive (frozen product).

433 **Fig. 3.** Detail of a histological section of *Merluccius merluccius* dorsal muscle. a) Small myofibers  
434 with several small round empty spaces within the cytoplasm that are grouped in a fascicle (asterisk)  
435 laying between the superficial connective tissue (arrowhead) and underlying large polygonal muscle  
436 fibers (H&E staining, bar 200µm). b) Small round spaces within the cytoplasm of the small  
437 myofibers laying beneath the connective tissue (arrowhead) red stained with Oil Red O for lipids  
438 (asterisk) (bar 100 µm).

439 **Fig. 4.** Histology of *Merluccius merluccius* muscle at different shelf-life time. a) Scattered  
440 multifocal homogeneously swollen deep eosinophilic myofibers (arrowheads) at 72h shelf-life and  
441 b) grouped shrunken and fragmented lytic myofibers (arrows) at 120h shelf-life (H&E stain, bar  
442 100µm).

443 **Fig. 5.** Histology of *Merluccius merluccius* muscle with different myofiber alterations. a) Squared  
444 and round freezing vacuoles (asterisk) with empty spaces or spaces containing slightly basophilic  
445 material, b) ~~sero~~proteinaceous material (arrowheads) in the interstitial space among myofibers  
446 containing freezing vacuoles, c) myofiber empty spaces of irregular angular shape (arrows) not  
447 related to freezing and d) thin short empty fractures within myofibers not related to freezing (H&E  
448 stain, bar 100µm).

449

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## Highlights

- A histological procedure to discriminate fresh/frozen-thawed *M. merluccius* was set up
- Morphological and morphometrical parameters were evaluated on fish muscle tissue
- An operational grid based on four histological parameters was proposed
- The validated procedure is applicable by both specialist analysts and trained operators

**Table 1.** Results of the assessment of the morphological parameters on histological slides of fresh (F\_MM), conventionally frozen (CF\_MM) and Individual Quick Frozen (IQF\_MM) *M. merluccius* specimens. 0= muscle organization fully destructured, 1= muscle organization partially (<50%) destructured, 2 = muscle organization well preserved. V= vacuols; IPM: interstitial preteinaceus material. \* only a vacuole was detected

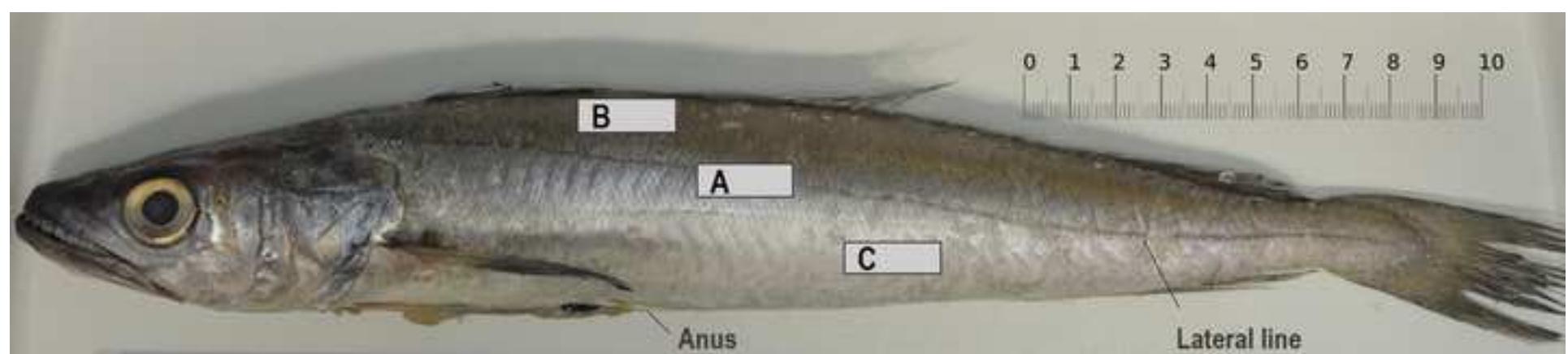
Sample category	Total number	Organization of muscle structure			Presence of V		Presence of IPM	
		Total %	0	1	2	0 (A)	1 (P)	0 (A)
<b>F_MM</b>	N.	30	0	3	27	27	3*	29
	%	100	0	10.0	90.0	90.0	10.0	97.0
<b>CF_MM</b>	N	30	9	11	10	2	28	1
	%	100	30.0	36.7	33.3	6.7	93.3	3.3
<b>IQF_MM</b>	N.	30	0	0	30	0	30	0
	%	100	0	0	100	0	100	0

**Table 2.** Contingency table results of Operator 1 (Op1) and Operator 2 (Op 2).

Fish category				Fish category			
Op1	Fresh	Frozen	Total	Op 2	Fresh	Frozen	Total
Fresh	1	33	34	Fresh	0	32	32
Frozen	16	0	16	Frozen	17	1	18
Total	<b>17</b>	<b>33</b>	50	Total	<b>17</b>	<b>33</b>	50

Figure

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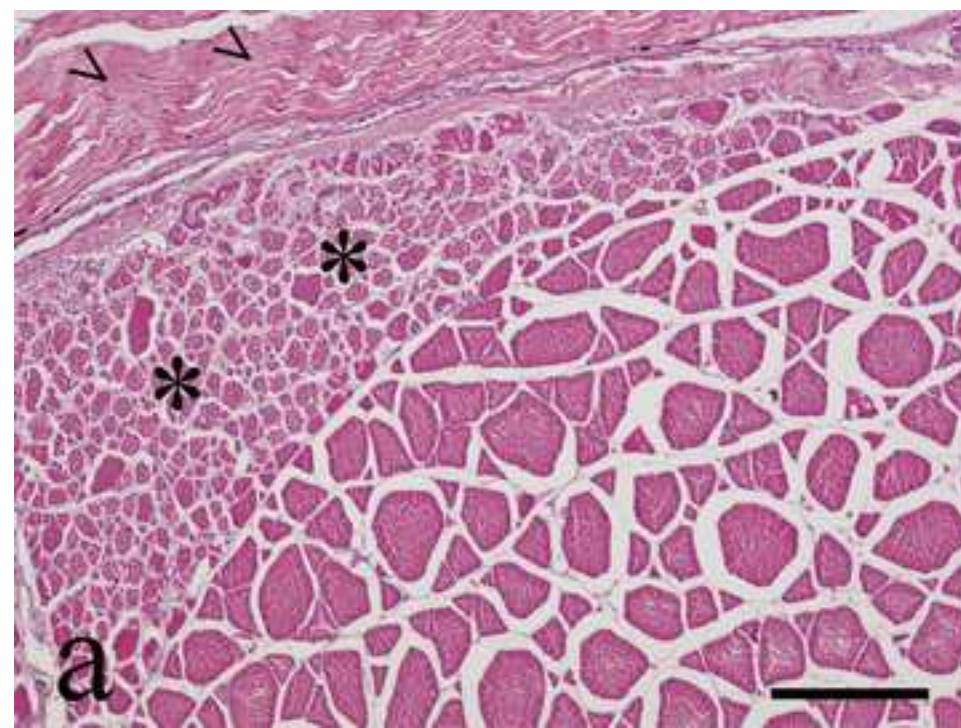


## Figure

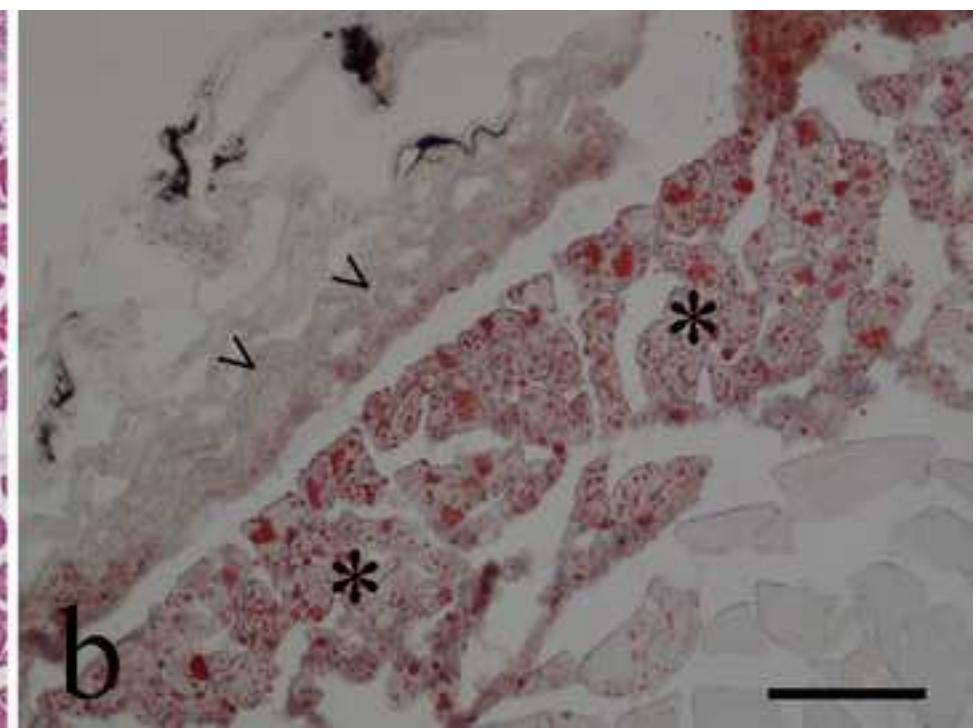
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Figure

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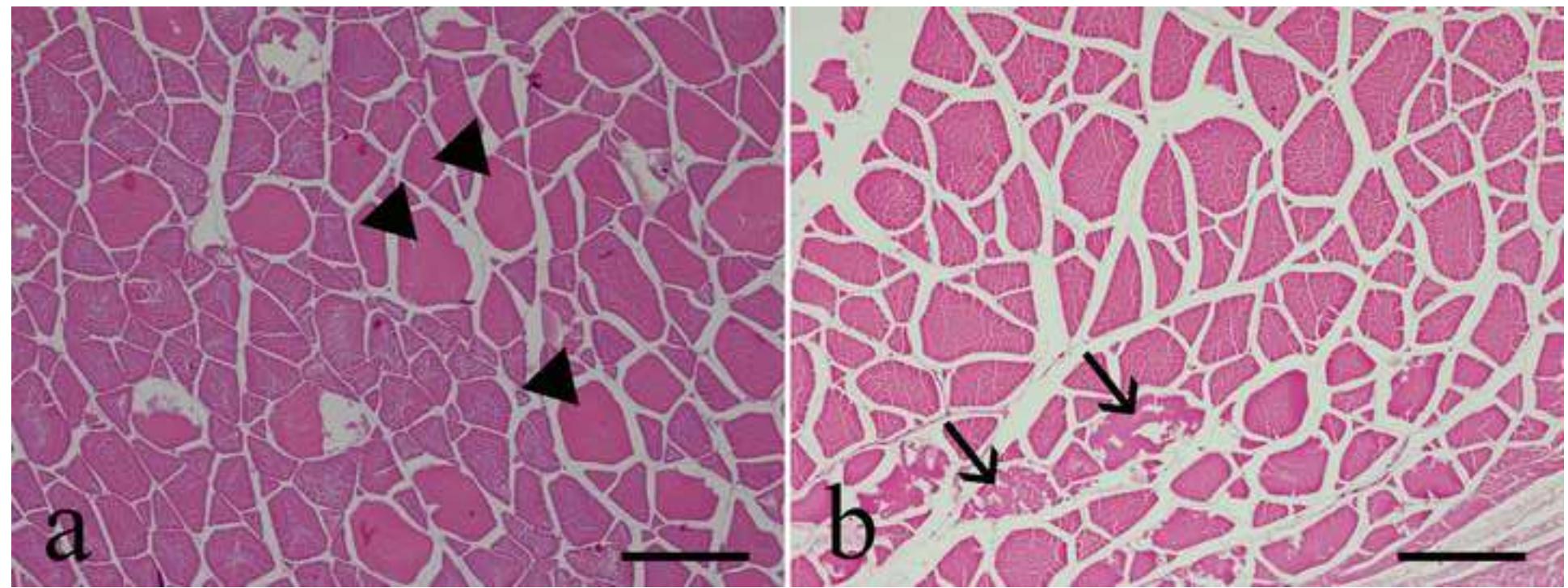
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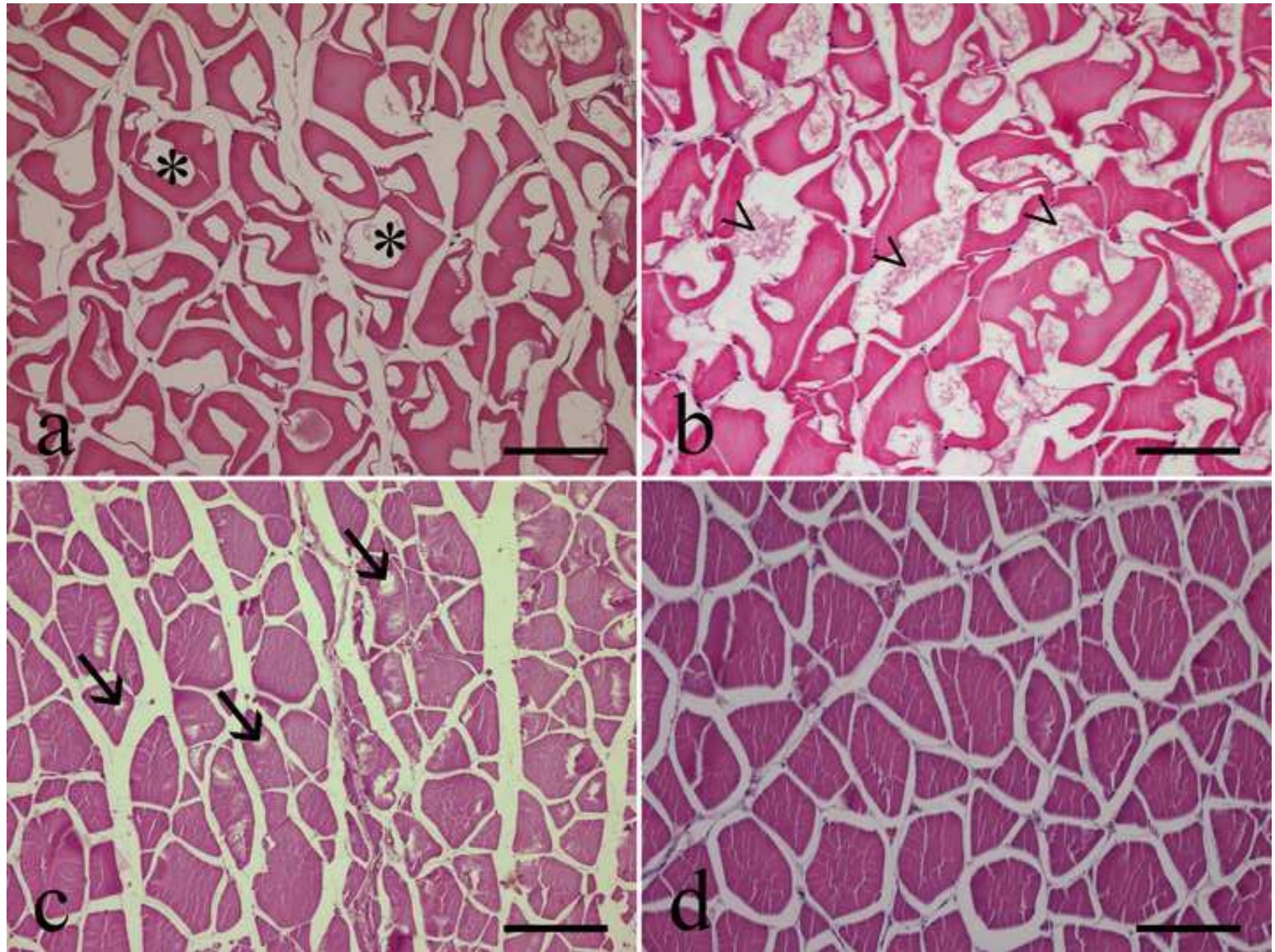
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Figure

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e-component

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