Proof of concept: wearable augmented Reality Video See-Through Display for neuro-endoscopy

Marina Carbone[1,2(✉)], Sara Condino[1,2(✉)], Fabrizio Cutolo[1,2], Rosanna Viglialoro[1], Oliver Kaschke[3], Ulrich W. Thomale[4], Vincenzo Ferrari[1,2]

[1] EndoCAS Center, Department of Translational Research and New Technologies in Medicine and Surgery, University of Pisa, Italy.
[2] Information Engineering Department, University of Pisa, Italy.
[3] Ear-Nose-Throat Department, Sankt Gertrauden-Krankenhaus GmbH,Berlin, Germany
[4] Department of Neurosurgery with Pediatric Neurosurgery, Charité Universitätsmedizin, Berlin, Germany

Abstract. In mini-invasive surgery and in endoscopic procedures, the surgeon operates without a direct visualization of the patient's anatomy. In image-guided surgery, solutions based on wearable augmented reality (AR) represent the most promising ones. The authors describe the characteristics that an ideal Head Mounted Display (HMD) must have to guarantee safety and accuracy in AR-guided neurosurgical interventions and design the ideal virtual content for guiding crucial task in neuro endoscopic surgery. The selected sequence of AR content to obtain an effective guidance during surgery is tested in a Microsoft Hololens based app.

Keywords: Minimally Invasive Surgery, Augmented Reality and Visualization, Computer Assisted Intervention, Neuroendoscopy.

1 Introduction

During the last 15 years, neuronavigation has become an essential neurosurgical tool for pursuing minimal invasiveness and maximal safety [1]. Unfortunately, ergonomics of such devices are still not optimal [2]. The neurosurgeon has to look away from the surgical field at a dedicated workstation screen. Then, the operator is required to mentally transfer the information from the "virtual" environment of the navigation system to the real surgical field. The virtual environment includes virtual surgical instruments and patient-specific virtual anatomy details (generally obtained from pre-operative 3D images).

Intraventricular endoscopy is a routine technique for the therapy of cerebral-spinal-fluid (CSF) dynamic disorders such as hydrocephalus in which membranes are fenestrated in order to restore physiological CSF flow for the patient. Endoscopic interventions are also the mainstay for the treatment of paraventricular cysts that may cause

relevant mass effect: in this case an endoscopic fenestration may be required in order to re-establish regular CSF spaces or when paraventricular tumors need biopsy, which might also be accompanied by hydrocephalus treatment[3]. In this context, it is important to mention that endoscopy will be applied through one borehole as entry point. The determination of this entry point will impact the safety and efficacy of the procedure. Thus, endoscopic procedures have frequently been used together with navigation systems in order to apply these goals. Neurosurgical navigation enables, through the registration of the patient's anatomy. the identification of instruments, endoscopes and microscopes in spatial relation to the patient's anatomy [1, 2, 4, 5, 6, 7, 8, 9, 10, 11].

In commercial neuronavigation systems the navigation information, also in the form of augmented views of external or endoscopic cameras, is normally presented on a stand-up monitor. This means that the practicing surgeon must turn away from the operation field for perceiving surgical navigation information [12, 13, 14, 15].
In order to allow an uninterrupted concentration on the area of intervention, wearable AR devices are starting to be tested to enter the surgical room [11, 16].

The purpose of this paper is twofold: to lay down the technical specifications that an ideal Head Mounted Display (HMD) should have to guarantee safety and accuracy in AR-guided neurosurgical interventions, and to design the most suitable AR visualization modality for the guidance of a crucial task in such surgery.

2   Materials and Methods

2.1   Design oh the HMD

The design of the HMD started from a deep analysis of currently available HMD technologies.
Existing wearable augmented reality displays can deploy Optical see-through (OST) or Video see-through (VST) approaches. Typically, in OST visors, the user's direct view of the real world is augmented through the projection of the virtual content on a beam combiner and then into the user's line of sight. The user sees the virtual information displayed on a semi-transparent surface of projection (SSP). Differently, in VST visors the virtual content is merged with camera images captured by two external cameras rigidly fixed on the visor [17].
Both approaches have benefits and drawbacks depending on the task they are designed for. In the context of image-guided surgery, the AR content offered may be simply informative (e.g., textual or numerical values relevant to what is under observation as patient data from the anesthesia monitor) or it may consist of three-dimensional virtual objects inserted within the real environment in spatially defined positions. In the latter case, the virtual content seeks to provide a patient-specific virtual representation of the hidden anatomy (obtained from diagnostic images as CT, MRI, 3DUS…) so as to guide the surgeon's hand during precision tasks as tissue

incisions or vessels isolation. Generally, the VST paradigm yields an accurate and robust alignment between virtual and real content at the expenses of a less realistic and authentic perception of the "real world", being this affected by the intrinsic features of the camera and display; with OST there is an inevitable lag between real and virtual information and at the same time an accurate alignment between real scene and virtual content cannot be achieved without a specific, and often error-prone, eye-to-display calibration routine. Nonetheless, the main benefit of OST visors is to maintain an unobstructed view of the real world. This is why, depending on the surgical task to be aided, a system that provided both the see-through mechanisms together with a switching mechanism allowing a transition between the two modalities could represent a disruptive asset in the context of AR-based neuronavigators.

An AR HMD that addresses human factors issues towards the achievement of optimal ergonomics and perfect usability in surgery means to target at least the following:

- To develop a new hybrid video-optical see through AR HMD that allows both the see-through modalities.
- To develop a mechanism that manages the transition between occluded and non-occluded view. The occluded view is used for the video see-through (VST) modality, whereas the non-occluded view is necessary for implementing the optical see-through (OST) modality.
- Integrate a real-time eye pose estimation routine (i.e. OST-to-eye calibration) whose goal is to achieve a geometrically consistent augmentation of the reality.
- Design and develop a software framework capable of managing several video or optical see through-based surgical navigation applications. The application will have to be user-friendly, ergonomic and highly configurable so as to make it suitable for many typologies of potential applications.

This hardware developing phase is currently ongoing in an European project (H2020) coordinated by the authors whose aim is to design, develop and validate a wearable augmented reality (AR) microdisplay-based system to be used in the operating theatre [18, 19].

The VOSTARS project aims to design, develop and validate an immersive and ground-breaking wearable augmented reality (AR) microdisplay-based system to act as surgical navigator. The new AR-based head mounted display (HMD) is bound to massively revolutionize the paradigms through which wearable AR HMD systems are commonly implemented.

2.2 Design of the virtual content, presentation and interaction modality

The definition of the virtual content that is intended to augment the surgical experience starts from the decomposition of the addressed intervention into surgical tasks [20].

A major issue in the designing of AR-based surgical navigation system is related to the need of providing consistent visual cues for correct perception of depth and spatial

relations in the augmented scene [21, 22]. In fact, as showed by previous studies [11, 23, 24, 25, 26, 27], the visualization of virtual content in AR applications are effective in aiding the surgeon executing a specific medical procedure only if they are strongly related to the task. For example, sometimes the superimposition of a semi-transparent virtual anatomy, albeit visually appealing, can be rather confusing for the surgeon. This is due to the surgeon's limited perception of the relative distances between real and virtual elements within the AR scene and it may be affected by the presence of unnatural occlusions between real and virtual structures. Further, the presentation of a too detailed and complex virtual content, may confound the surgeon instead of being of assistance.

Starting from the previous work [11], in this work the AR content was conceived together with a surgical team to aid the surgeon in planning the optimal trajectory for accessing the surgical target . The tasks selected for guidance in the OST modality are: craniotomies, targeting of the entry point of the endoscope, trajectory alignment. The defined virtual content are represented by:

- A viewfinder to clearly show the ideal entry point on the patient's skull. This entry point would also allow the definition of a proper area for craniotomy.
- The trajectory to be followed by the endoscope.
- The virtual frustum of the endoscope; this would help the surgeon in assessing the field of view covered by the endoscope in a specific position.
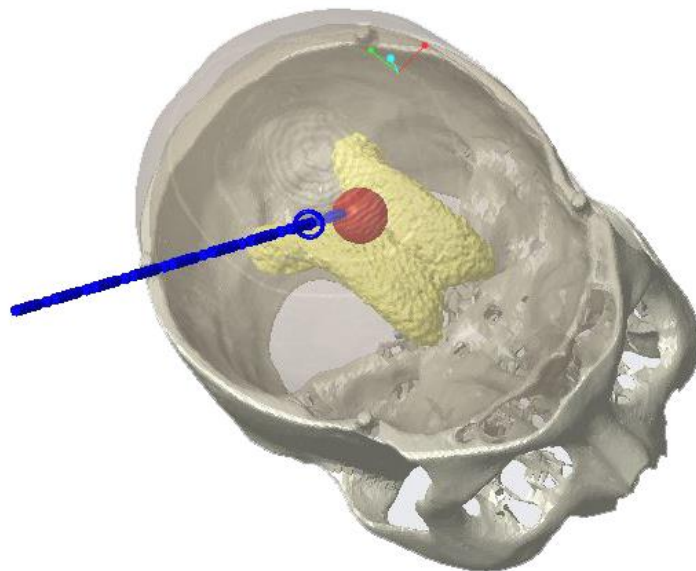- The targeted lesion and some anatomical landmarks (ventricles).

Fig. 1. Virtual Planning of the intervention. The trajectory and the viewfinder are showed together with the patient anatomy.

Considering that in the surgical room the surgeon could never violate the sterility of the surgical field, manual gesture interaction will be used to allow the user to interact with the AR application without the need for any sort of physical interface; moreover considering the need to keep hands within the surgical field, voice commands will be added to provide a hands-free interaction modality with the AR application.

2.3 Evaluation Study

To bring forward the assessment of the most effective AR visualization modality pending the development of a fully functional hybrid OST/VST HMD, we developed a Microsoft HoloLens based app. Microsoft Hololens was chosen as testing tool for assessing the ergonomics of the AR visualization modality.

The HoloLens is a stand-alone OST HMD that provides unique features such as a high-resolution display, ability to spatially map objects, handle gesture interface, easy interaction through straight gaze-to-target cursor management and voice recognition control mechanism [28]; it has no physical tethering constrains which can limit the movements/gestures of the user during the simulation of the surgical tasks. MixedRealityToolkit, a freely available collection of scripts and components, allows an easy and fast development of AR applications.

Tests also required the fabrication of a physical simulator (i.e., patient specifichead mannequin) similar to that used in [11]; based on the 3D model of this mannequin,an expert surgeon planned the best entry point on the skull cap and the optimal endoscope trajectory for the simulated surgical case.

Physical Simulator Development

The phantom was built starting from an high resolution magnetic resonance imaging study (MRI) suitable for neuronavigation. The image sequence data set was used for volumetric reconstruction combined with thin sliced axial T2-weighted images. The ITK-Snap 5.1 with a custom modified plugin was used to segment ventricles and skull [29]. A simplified lesion model was added close to the ventricular area to simulate the target for endoscopy. The skull model, with the simulated lesion, were 3D printed using acrylonitrile butadiene styrene (ABS) (with a Dimension Elite 3D Printer). A silicone mixtures was used for the manufacturing of the scalp to improve the simulation realism.

A physical support for a registration target (a Vuforia[30] Image Target, as described in the following section), was rigidly anchored to the bone synthetic replica to allow the registration of the virtual content to the real scene.

AR App Development.

Unity3D (5.6.1f) was used to create the application. The MixedRealityToolkit (2017.1.2) script collection was used to interact with the virtual content by means of

cursor management through gaze-to-target interaction, gesture ("air-tap"), and voice. As already said, the virtual environment include: the targeted lesion and ventricle models, and the preoperative plan (viewfinder and trajectory). A virtual cursor was added to the virtual scene to indicate the straight gaze direction, estimated from the position and orientation of the user's head in the Microsoft HoloLens based app (the final hybrid OST/VST HMD will allow eye-tracking, thus the virtual cursor position will be fully controllable with eye movement).

The detection and tracking functionalities offered by the Vuforia SDK were used for registration purposes. In particular, two Vuforia Image Targets were used to track in real-time the physical simulator and the endoscope.

1   Results

Several tests were conducted to evaluate the most ergonomic AR visualization sequence in function of the task to be accomplished. In this phase, experienced and young surgeons were asked to perform the percutaneous task wearing the Hololens with the AR app running(Fig.2).

During the test they were requested to execute the craniotomy and reach the target with the endoscope maintaining the ideal trajectory. The testing phase was essential to define the exact sequence to be used to visualize the AR content.

The users were able to interact with the application via voice commands or hand gestures so to tailor the augmented experience to the user's own needs.

The testing phase confirmed that the visualized virtual elements are useful to accomplish the surgical target. It underlined that a correct sequencing is of outmost importance for a fruitful augmented experience:

- Firstly, the surgeon can choose to visualize the target anatomy just for a rehearsal.
- Only the viewfinder will be visualized to guide the craniotomy.
- Once the surgical access is prepared the ideal trajectory is showed and the surgeon pivoting on the access point can align the endoscope to the trajectory.
- While entering the anatomy also the endoscope virtual frustum and the target anatomy are added to improve surgeon spatial awareness during the surgical task.
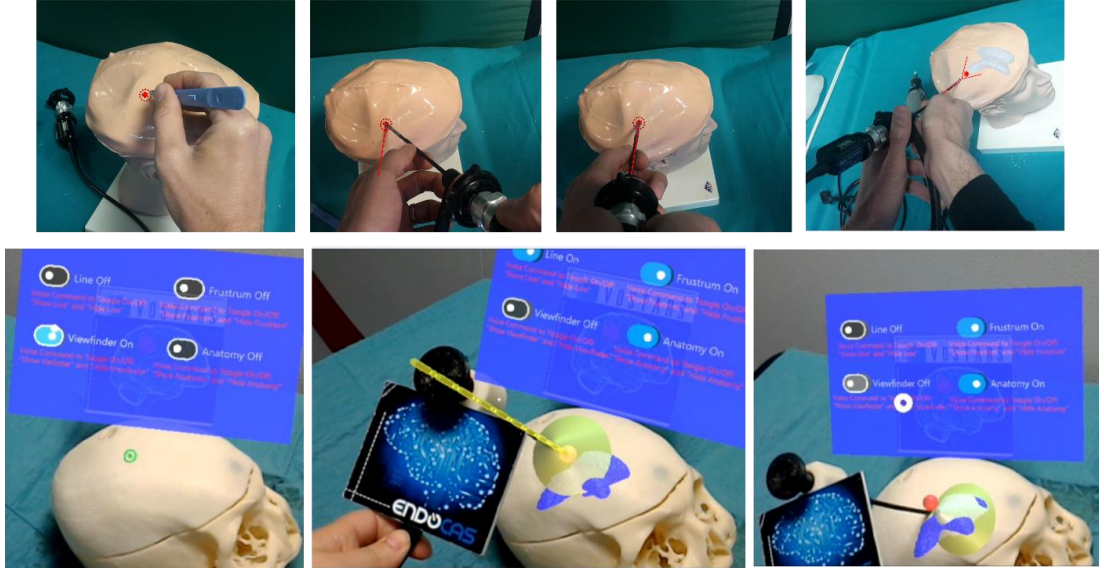
Fig. 2. AR functionalities in neurosurgery - first row: concept; second row: early (Microsoft Hololens based) demo implementation to define surgical needs and study feasibility. a) viewfinder visualization b) endoscope trajectory and frustum towards anatomy, c) frustum and anatomy visualization

2   Conclusion

Clinical navigation systems are nowadays routinely used in a variety of surgical disciplines to assist surgeons with minimally invasive and open interventions for supporting spatial orientation and targeting [31, 32, 33, 34, 35, 36, 37]. In surgical navigators, AR-based techniques are often used for identifying the precise location of target lesions or body regions to improve the safety and accuracy of the interventions [16, 38, 39, 40, 41].

There is a growing interest on the use of AR systems as new surgical navigation systems. The introduction of AR in neurosurgery, both for training purposes [14, 42, 43, 44, 45] and as surgical navigators, can lead to positive and encouraging results in terms of increased accuracy and reduced trauma to the patient.

Wearable AR systems based on HMDs allows the surgeon to have an ergonomic viewpoint of the surgical field and of the patient's anatomy and reduce the problems related to eye-hand coordination [24].

When conceiving innovative navigation paradigm in terms of hardware and software the way virtual content is provided to the user is highly impacting on the usability of the wearable device in terms of ergonomics, effectiveness of the navigation experience, and confidence in the device.

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