

A simple model simulating development and growth of an olive grove

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Abstract

This paper describes the architecture of a simple process-based model that simulates on a daily time step growth and development of an olive agroecosystem, including the olive tree and grass cover growth and their competition for water. The model includes a phenological sub-model simulating the sequence of olive tree vegetative and reproductive stages for determining changes in biomass allocation. Final yield is calculated at the end of growing season as a fraction of total olive tree biomass accumulation. To ensure a wide applicability of the model, calibration and validation were performed across different climates, soils, planting densities and management practices. The model was firstly calibrated against daily CO₂ flux measurements obtained from an eddy covariance experimental station on a 3-year experiment, while additional sites across Tuscany region were selected to test the model effectiveness in simulating the most important plant and grass cover processes. The results pointed out that the model was able to faithfully reproduce olive tree transpiration, soil water balance total olive tree and grass cover biomass accumulation and final yield.

27

28 *Keywords*

29 Olive trees, model, process-based, eddy covariance, GPP, NPP

30

31 **1. Introduction**

32 Olive orchards are widespread agricultural systems in the Mediterranean environments
33 (Vossen, 2007), where they play a significant role for local economies (Iraldo et al., 2013; Palese et
34 al., 2013), and contributes to several ecosystem services (Loumou and Giourga, 2003; Fleskens et al.,
35 2008), and climate mitigation (Brilli et al., 2013; Brilli et al., 2016; Nardino et al., 2013; Nieto et al.,
36 2010). As such, the development of tools for reproducing olive grove dynamics is needed to provide
37 land managers with reliable information and to evaluate system performances in response to higher
38 temperatures (Moriondo et al., 2008; Ferrise et al., 2013; Moriondo et al., 2013; Ponti et al., 2016).
39 Despite this, Moriondo et al. (2015) highlighted that only a few models for olive tree have been so
40 far developed that in most cases are not readily applicable for operational purposes because of the
41 missing simulation of plant growth limiting factors, or the high level of required parameters or
42 because unbalanced toward specific processes. Some of these models simulate potential growth, but
43 do not consider the impact of water stress that usually affects Mediterranean environments (Villalobos
44 et al., 2006; Morales, 2016). Others, while considering water stress on plant growth, require specific
45 skill or complex parametrisation to be implemented outside their calibration area. As an example,
46 Maselli et al. (2012) simulated olive tree growth based on intercepted radiation and ground cover
47 calculated using remotely sensed data but algorithms are not easily applicable.. The Abdel-Razik
48 (1989) and Viola et al. (2012) models, while considering abiotic constraints, require an intense
49 parametrisation, while the aim of the model described in Ponti et al. (2016) mainly addressed the
50 interaction of olive fly with olive tree. In all these cases, the possible competition between olive trees
51 and ground cover is not considered.

52 Building on these premises, the purpose of this paper is to describe the structure of a model
53 simulating biomass accumulation and yield of an olive grove on a daily time step using a simplified
54 approach accounting for the competition between ecosystem components (i.e. olive tree and grass
55 cover) for soil water.

56 To ensure a wide applicability of the model, calibration and validation tests were performed in
57 contrasting years and climates across Tuscany region. The results are discussed emphasizing the
58 compromise between the simple structure of the model with limited data required for simulations and
59 its effectiveness in reproducing different plant processes across a gradient of Mediterranean climates,
60 soils and management systems (Fernández et al., 2008).

61

62 **2. Materials and methods**

63

64 *2.1. Model description*

65 The model simulates growth and development of the olive agroecosystem on a daily time step,
66 which includes the simulation of olive tree and grass cover growth and their competition for water
67 (Fig. 1). A phenological sub-model simulates the sequence of olive tree vegetative and reproductive
68 stages for determining changes in biomass allocation. Final yield is calculated at the end of growing
69 season as a fraction of total olive tree biomass accumulation (harvest index, HI) that may be reduced
70 from its potential value by both heat and water stress.

71

72 *2.1.1. Olive tree phenology model*

73 Bud break and flowering phases were simulated through two modelling approaches. Building on the
74 results in Lopez-Bernal et al. (2015) demonstrating olive tree apical buds undergo an easily-reversible
75 endo-dormant state in winter as driven by warm temperature, the onset of bud break is simulated
76 considering growing degree hours accumulation (GDH) above a base temperature (tb) from the 1st of
77 January until a required accumulation (Eq.1).

$$78 \quad GDH = \sum T_{avg(h)} - tb \quad [1]$$

79 Where GDH is the growing degree hour accumulation above tb using hourly average
80 temperature ($T_{avg(h)}$). The starts of endo-dormancy state of the buds (i.e. the stop of the growing phase)
81 is assumed to be triggered when average daily temperatures are stably below the tb (SI).

82 Considering that flowering is dependent on both chilling and forcing temperatures for the
83 release of endo-dormancy and eco-dormancy periods, we adopted the UniChill model proposed by
84 Chuine (2000). The endo-dormancy period is simulated through chilling unit accumulation from
85 September 1st until the chilling requirement ($Ccrit$; Eq. 2) while the eco-dormancy period is estimated
86 by the forcing unit accumulation until chilling requirement is reached ($FcritFlo$; Eq. 3).

87 Chilling and forcing units (CU and FU) are calculated as:

88

$$89 \text{ ChillingUnits} = \sum \frac{1}{1 + e^{a(T_{avg}-c)^2 + b(T_{avg}-c)}} \quad [2]$$

$$90 \text{ ForcingUnits} = \sum \frac{1}{1 + e^{d \cdot (T_{avg}-e)}} \quad [3]$$

91 Where T_{avg} is the daily average temperature, a , b , c , d and e being the curve shape parameters. In
92 particular, c and e are the base temperature for chilling and forcing unit accumulation, respectively.

93

94 2.1.2. Potential dry matter accumulation

95 A model was developed and tested to simulate daily biomass accumulation of an olive
96 agroecosystem, which includes the simulation of olive tree (ot) and grass cover (gr) growth and their
97 competition for water. The key process of the model is the simulation of daily potential biomass
98 increase (g dry matter m^{-2}) for both layers as dependent on the relevant intercepted ($Int. Rad$, rate)
99 daily photosynthetic active radiation (Rad , $MJ m^{-2}$), and radiation use efficiency (RUE, $g MJ^{-1}$).
100 Accordingly:

101

$$102 \quad DM_i = Int.Rad_i \cdot Rad \cdot RUE_i \quad [4]$$

103 Where the sub-index i refer to either olive trees (ot) or grass (gr).

104 RUE either for olive and grass was rescaled according to a fractional value PRFT (Jones and Kiniry,
105 1986) calculated as:

106

$$107 \quad PRFT_i = 1 - 0.0025 \cdot (0.25 \cdot T_{min} + 0.75 \cdot T_{max} - x)^2 \quad [5]$$

108

109 That accounts for the effect of sub and supra optimal temperature for grass and olive tree where
110 optimal temperatures range from 15°C to 25°C for grass ($x=18$, Jones and Kiniry, 1986) and 20°C to
111 30°C for olive tree ($x=25$, Bongi et al., 1987; Carr, 2014)

112

113 $Int.Rad$ of olive tree ($Int.Rad_{OT}$) was calculated according the model proposed by Testi et al.
114 (2006):

115

$$116 \quad Int.Rad_{OT} = 1 - \exp(-k' \cdot v) \quad [5]$$

117

118 Where:

119 v is the canopy volume for unit area ($m^3 m^{-2}$)

$$120 \quad k' = 0.52 + 0.000788 * PlantD - 0.76 \cdot \exp(-1.25 * LAD) \quad [6]$$

121 LAD is Leaf Area Density ($m^2 m^{-3}$) and $PlantD$ is the number of plants per hectare

122

123 At the beginning of the growing season, initial LAI of olive tree is calculated as:

124

$$125 \quad LAI_{ini} = Vol \cdot LAD \cdot PlantA^{-1} \quad [7]$$

126

127 Where Vol (m^3) is the volume of the crown of olive tree calculated as the volume of an ellipsoid and
128 $PlantA$ is plant spacing (i.e. inter-row×intra-row distances, m^2)

129 On each daily time step, the potential increase of olive tree LAI (LAI_{inc}) is calculated as the
130 product of daily assimilated DM, a leaf partition coefficient ($PC_{lf, rate}$) and specific leaf area (SLA,
131 $m^2 g^{-1}$). Accordingly:

132

$$133 \quad LAI_{inc} = DM \cdot PC_{lf.pot} \cdot SLA \quad [8]$$

134 $PC_{lf.pot}$ changes dynamically during the season depending on phenological stage accounting for the
135 fact that during before anthesis biomass is allocated only for vegetative biomass while after anthesis
136 yield has highest priority for carbon allocation with respect to vegetative growth (Mariscal et al.,
137 2000, details in section 2.2.2). The effect of alternate bearing on source/sink relationships is also
138 accounted for by considering that in over-cropping years vegetative growth is reduced and *viceversa*
139 (Lavee, 2007) (section 2.1.4 for details).

140 Cumulated LAI is then used to update the canopy volume for unit area (v) daily as used in equation
141 5 and calculated as:

142

$$143 \quad v = LAI \cdot LAD^{-1}$$

144

[9]

145 Considering that the typical life span of olive tree leaves is two-year (Morales et al., 2016), leaves
146 senescence is calculated as:

147

$$148 \quad Sen_{leaf} = YLAI_{(y-2)} / (DOY_{end} - DOY_{ini})$$

149

150 Where $YLAI$ is the LAI produced two years before and DOY_{end} and DOY_{ini} are the days when
151 senescence ends and begins, assumed between DOY 365 and 1 (Morales et al., 2016).

152 Total dry matter cumulated from January 1st to harvest time is converted into final yield, using
153 a fixed ratio between final yield (Y) and total dry matter (potential harvest index, HI.pot, unit less).
154 Accordingly:

155

$$156 \quad Y = HI.pot * \sum_{DOY=1}^{DOY=harvest\ time} DM \quad [10]$$

157 In unstressed conditions HI.pot has an initial value of 0.35 (Villalobos et al., 2006) that may be
158 decreased by unfavorable meteorological events occurring at flowering and fruit set (see section 2.14
159 for details).

160 The fraction of radiation penetrating olive tree canopy (1- INT.RAD.OT, rate) is available for
161 grass growth and the relevant intercepted radiation is calculated as:

162

$$163 \quad Int.Rad_{GR} = 1 - \exp(-k \cdot LAI) \cdot (1 - Int.Rad_{OT}) \quad [11]$$

164

165 Where k is the extinction coefficient and LAI is grass cover leaf area index.

166

167 LAI growth for grass was modelled according to the approach proposed by [Celette et al. \(2010\)](#)
168 [\[HC1\]](#)for simulating water balance of an intercropped vineyard (WaLIS model. Accordingly, daily
169 potential LAI increase of grass cover (LAI_{gr}) is calculated as the difference between the daily LAI
170 increase (GLAI_d) and daily LAI senescence (SLAI_d):

171

$$172 \quad LAI_{gr} = GLAI_d - SLAI_d \quad [12]$$

173

174 In not stressed conditions, GLAI_d is dependent on LAI growth rate of grass cover (LAI-rate, m² m⁻²
175 day⁻¹) and Ft (unit less) that is calculated as a quadratic function of temperature, ranging between 0
176 (at 0 °C) and 1 (at 18 °C)

177 $GLAI_d = LAI_{rate} \cdot Ft$ [13]

178

179 The fraction of LAI produced each day ($GLAI_d$) is initialized with zero degree-days, and the thermal
180 time during the life of this fraction is calculated daily as DDA included between 0 and 18°C. When
181 the cumulated thermal time of any given fraction of LAI reaches the thermal time threshold set by
182 the leaf duration crop input parameter (700°C DDA), this fraction is assumed to be senescent and it
183 is subtracted from the total LAI.

184

185 2.1.3. *Water balance and impact of water stress on potential growth*

186 The soil was considered as a single finite reservoir consisting of two layers, where each layer
187 is defined by its water content availability (WCA, i.e., the water included between field capacity and
188 wilting point, $m^3 m^{-3}$) and total transpirable soil water (TTSW) (i.e., WCA * rooting depth, mm). In
189 order to consider the specific characteristics of a typical olive grove, which includes olive trees and
190 grass cover, we defined a simplified soil system with two different overlaid soil layers as delimited
191 by root system depth of these plants. The first is between soil surface and root depth of grasses, the
192 second between root depth of grasses and maximum depth of olive tree roots. Accordingly:

193

194 $TTSW = TTSW_1 + TTSW_2$ [15]

195

196 Soil water balance is tracked for each layer of the soil profile. A cascade model interconnects
197 the two layers so that, if the amount of water entering the first layer exceeds its field capacity, the
198 remaining water enters the layer below. Assuming no irrigation and no surface runoff, available soil
199 water for each layer (ATSW, mm) depends on its value from the previous day as refilled by
200 precipitation (Rain, mm), and depleted by evaporation from soil surface (SEVP, mm) and olive tree
201 and grass transpiration (Tr_{OT} , Tr_{GR}). Given that grasses and olive tree root systems explore different
202 soil depths and compete for water only in layer 1, ATSW in this layer was calculated on a day d as:

203

$$204 \quad ATSW_1 = ATSW_{1(d-1)} + Rain \quad [16]$$

205

206 If the ATSW1 exceeds TTSW1, then the excess water enters layer 2. Accordingly:

207

$$208 \quad ATSW_2 = ATSW_{2(d-1)} + (ATSW_1 - TTSW_1) \quad [17]$$

209

210 On the evidence that a stable response function exists between plant gas exchanges, as affected
211 by extractable soil water content (Sinclair et al., 1998), we used the ratio between the actual water
212 content (ATSW) and TTSW (i.e., fraction of transpirable soil water, FTSW) as index to rescale
213 potential growth and leaf area for olive trees and grass cover. Accordingly, FTSW for layer 1 is
214 calculated as:

215

$$216 \quad FTSW_1 = ATSW_1 / TTSW_1 \quad [18]$$

217

218 While FTSW over the entire profile (layer 1+layer 2) is calculated as:

219

$$220 \quad FTSW_{1,2} = (ATSW_1 + ATSW_2) / (TTSW_1 + TTSW_2) \quad [19]$$

221

222 The relative effect of FTSW on RUE (Red_Photo, rate) is calculated according to the general equation
223 as proposed in Sinclair (1986):

224

$$225 \quad Red_Photo = \frac{1}{[1 + a * EXP(-b * FTSW)]} \quad [20]$$

226

227 as dependent on $FTSW_{1-2}$ for olive tree and on $FTSW_1$ for grass cover and used to rescale the relevant
228 DM to their respective actual values (ADM_{OT} , ADM_{GR}) according to the same scheme:

229

$$230 \quad ADM_i = DM_i \cdot Red_Photo_i \quad [21]$$

231 Where the sub-index i refer to either olive trees (ot) or grass (gr)

232 FTSW affects also potential leaf area growth of olive tree (LAI_{inc}) and grass cover ($GLAI_d$).

233 In the first case, actual LAI_{inc} ($ALAI_{inc}$) is rescaled using the relationship between FTSW and leaf
234 area growth (Red_LAI , see SI.1 for details):

235

$$236 \quad ALAI_{inc} = LAI_{inc} \cdot Red_LAI \quad [22]$$

237

238 In the second, actual daily LAI increase ($AGLAI_d$) is rescaled according to:

239

$$240 \quad AGLAI_d = GLAI_d \cdot WIC \quad [23]$$

241

242 Where WIC is calculated as:

$$243 \quad WIC = \max(0, \min(1, \frac{FTSW_1}{FTSW_{reg_LAI}})) \quad [24]$$

244

245 Where $FTSW_{reg_LAI}$ is water stress threshold for reducing leaf area growth, which was assumed as
246 0.48 (i.e. the breakpoint at which FTSW starts impacting RUE of wheat).

247 ADM calculated for both olive tree and grass cover is converted into transpired water (Tr_{OT} ,
248 Tr_{GR} , $mm\ day^{-1}$), according to the conservative relationship between crop transpiration and biomass
249 production (Tanner and Sinclair, 1983; Sinclair et al., 1984): Accordingly:

250

$$251 \quad Tr_i = ADM_i \cdot VPD / Kd_i \quad [25]$$

252 Where the sub-index i refer to either olive trees (ot) or grass (gr),

253 Where Tr ($\text{kg m}^{-2} \text{d}^{-1}$) is daily crop transpiration, VPD is daily water pressure deficit (kPa) and K_d

254 (Pa) is a species dependent coefficient (transpiration efficiency coefficient, TEC).

255 Finally, at the end of day n , $ATSW_1$ and $ATSW_2$ are updated considering olive tree and grass
256 cover transpiration and soil evaporation SEVP.

257

$$258 \quad ATSW_1 = ATSW_1 - [Tr_{GR} + (Tr_{OT} \cdot sf1) + SVEP] \quad [26]$$

259

$$260 \quad ATSW_2 = ATSW_2 - (Tr_{OT} \cdot sf2) \quad [27]$$

261

262 Where $sf1$ and $sf2$ are scalar factors to account for olive tree transpiration over the entire soil profile

263 that is proportionally partitioned between layer 1 ($sf1$ = thickness of layer 1 /maximum rooting depth)

264 and layer 2 ($sf2$ = thickness of layer 2/ maximum rooting depth).

265 Potential SEVP is calculated as evaporation from a wet soil surface using a simplified Penman

266 equation (Soltani and Sinclair, 2012), where driving variables are vapor pressure curve versus mean

267 temperature (DELTA), incident daily solar radiation (SRAD), and soil albedo (SALB). SRAD is

268 discounted to account for the interception of radiation by the plants canopy (olive tree + grass). This

269 potential value is calculated for a rainfall event greater than 10 mm. If the number of consecutive

270 days after this event is greater than 5, potential SEVP is rescaled as a function of the square root of

271 time since the start of the dry spell (DYSE, number of days).

272

$$273 \quad DELT = EXP(21.255 - 5304 / (273 + TMP)) \times (5304 / ((273 + TMP)^2))$$

274

$$275 \quad SEVP_{pot} = SRAD \times (1 - SALB) \times (1 - INT.tot) \times DELT / (DELTA + 0.68)$$

276

$$277 \quad SEVP = SEVP_{pot} \times [(DYSE + 1)^{1/2} - DYSE^{1/2}] \quad [28]$$

278

279 *2.1.4. Simulation of environmental stresses on final yield and alternate bearing*

280 The model considers both water and heat stresses as reducing factors of HI.pot using a linear
281 function that describes the progressive effect of these stresses on final yield. The results of Moriana
282 et al. (2003) and Rapoport et al. (2012) were used to identify the cardinal values of this function for
283 water stress, corresponding to FTSW below which water stress starts to affect final yield ($FTSW_o$),
284 and FTSW corresponding to yield=0 ($FTSW_m$) (Fig. 14 in SI_2).

285 According to the results, the average FTSW calculated around anthesis ($FTSW_{ant}$) was used to
286 linearly rescale HI.pot from its unstressed value (0.35) to its actual value ($HIws$) when
287 $FTSW_{ant} < 0.4$:

288

$$289 \quad HIws = HI.pot * (1 - (FTSW_o - FTSW_{ant}) / (FTSW_o - FTSW_m))$$

290

291 Where $FTSW_o$ is 0.4 and $FTSW_m = 0.05$ corresponding to $HIws = 0$ (Fig. 14 in SI_2)

292

293 A similar approach was used to account for the impact of maximum temperatures at anthesis that
294 were reported to affect pollination and fruit set for values higher than 30°C ($TMAX_o$) (Ropoport,
295 2012). Accordingly, in the model, average Tmax around anthesis ($TMAX_{ant}$) was used to decrease
296 linearly HI from its potential value to its actual value for $TMAX_{ant} > 30^\circ\text{C}$. Since not an extreme
297 value corresponding to HI=0 ($TMax_m$) was retrieved in literature, it was assumed for $TMax_m = 35^\circ\text{C}$.

298 Accordingly, when $TMax_{ant} > TMax_o$

299

$$300 \quad HIhs = HI.pot * (1 - ((TMAX_{ant} - TMAX_o) / (TMAX_m - TMAX_o)))$$

301

302 Finally, the actual HI (HIa) is calculated as the minimum between $HIws$ and $HIhs$

303 These meteorological events, when extreme, trigger the beginning of alternate bearing, i.e. an
304 imbalance between vegetative growth and fruit production (Lavee, 2007), where a poor fruiting
305 occurring on a year results into over-cropping and reduced vegetative growth during the following
306 year. To account for this biannual trend, in the model we introduced a function simulating alternate
307 bearing in response to stress events. Accordingly, to account for increased production in the year
308 $n+1$ following a stress on HI ($HIa < 0.35$) in year n , the new $HI.pot$ is increased by:

309

$$310 \quad HI.pot = 0.35 + (0.35 - HIa)$$

311

312 While to account for reduced vegetative growth, partition coefficient to leaves (PC_{lf}) is decreased
313 by:

314

$$315 \quad PC_{lf} = PC_{lf.pot} \times [1 - (0.35 - HIa)]$$

316

317 The new $HI.pot$ may be subjected the same to stress on anthesis in the following year.

318 To account for the continuation of biannual trend on olive yield and vegetative biomass over a
319 period, when $HIa > 0.35$:

320

$$321 \quad HI.pot = 0.35 - (HIa - 0.35)$$

322

$$323 \quad PC_{lf} = PC_{lf.pot} \times [1 + (HIa - 0.35)]$$

324

325 As an example, the implementation of this model on final yield is shown for a case study in Figure
326 12 in SI_2.

327

328

329 2.2. *Model calibration and validation strategy*

330 2.2.1. Phenology model

331 GDH requirement for bud break and the relevant *tb* were calibrated using the average bud break dates
332 recorded in two contrasting climates, Follonica (warm, 2007-2010, site C in tab. 1 in SI_2) and
333 Montepaldi (cold, 1996-1999, site B in tab. 1 in SI_2) that exhibit a relative advance (DOY 80) and
334 delay (DOY 103) in the occurrence of the stage. For each site, the relevant meteorological data were
335 previously averaged over the period of observation and *tb* for GDH accumulation was calculated as
336 the threshold providing the lowest difference in GDH amount calculated from 1st of January to
337 observed dates of the stage in the sites.

338 The UniChill model calibration for flowering phase was performed considering a range of $\pm 30\%$
339 around the default values of *a*, *b*, *d*, *e*, *Ccrit* and *FcritFlo* obtained by Chuine (2000) for olive trees,
340 while *c* was explored in a range from 1 to 8 °C (Orlandi et al., 2004). The calibration and optimization
341 of all parameters (*a*, *b*, *c*, *Ccrit*, *d*, *e*, *FcritFlo*) was reached considering the minimum value for RMSE
342 using the Self-Organising Migrating Algorithm of the soma package (Clayden, 2014) in R software
343 environment.

344 The model was calibrated on three different sites Florence (1993-2008), Lido di Camaiore (2001-
345 2017) and Grosseto (2013-2017) (sites A, F, E in Tab. 1 of SI_2). The model calibrated for Florence
346 was validated in a nearby site (Prato) in the period 1992-1998 (site D in Tab. 1 of SI_2). Given the
347 absence of a validation site, the model for Lido di Camaiore was calibrated in 2001-2010 and
348 validated in 2011-2017. The model for Grosseto was only calibrated given the short series of
349 observations.

350

351 2.2.2. *Growth model and sensitivity analysis*

352 The literature was surveyed and used to parametrize the model. RUE for olive trees was obtained by
353 averaging the results obtained over three consecutive years in Villalobos et al. (2006) (0.97 g dry
354 matter MJ⁻¹). The relationships between FTSW and relative change in photosynthetic efficiency and

355 leaf area for olive trees were obtained from a specific experiment conducted on pot-grown olive trees
356 (see SI_1).

357 RUE of grass cover, which is represented by a typical forage mixture of species including grasses and
358 legumes as usually performed for grassland renovation (Argenti et al., 2012), was set to 2.2 g MJ
359 (Belanger et al., 1992; Duru et al., 1995; Soltani and Sinclair, 2012). The relationships between FTSW
360 and relative change in RUE and LAI growth were obtained from Schoppach and Sadok (2012) by
361 assuming grass cover behaving like wheat crop to water stress. Owing to the fact that natural grass
362 vegetation is highly adapted to the Mediterranean environment, we selected the FTSW threshold at
363 which transpiration started to decrease and the slope for this decrease relevant to the most tolerant
364 variety as representative of the drought-adapted natural grasses in the grove.

365 Dry matter partitioning coefficients to leaves (PClf.pot) in olive trees before anthesis was set to 25%
366 of daily assimilation (Mariscal et al., 2000), while after anthesis it was reduced to 9% to account for
367 the lowest priority in carbon allocation (Morales et al., 2016). The potential proportion of final yield
368 to total dry matter (potential harvest index, HI.pot) was obtained by Villalobos et al. (2006) for not-
369 limiting water conditions (0.35).

370 These coefficients were rescaled from the reported values in consideration that partitioning to roots,
371 which was not included in the original measurements, accounted for an additional 30% of total
372 produced biomass (Nardino et al., 2013).

373 Specific leaf area was set at $0.0042 \text{ m}^2 \text{ g}^{-1}$ (Villalobos et al., 2006).

374 Three parameters were calibrated, namely Kd for olive trees and grass and LAI-rate for grass using
375 NPP obtained in site 1 (Fig. 2, Tab. 1), where in a olive grove fluxes of carbon dioxide (CO₂), water
376 vapor (H₂O), sensible heat (H) and latent heat (LE) between the biosphere and the atmosphere were
377 monitored from 2010 to 2012. Specifically, the calibration was performed in 2010 by minimizing the
378 root mean square error (RMSE) between NPP observed and simulated in 2010. Kd for olive trees and
379 grass were iteratively tested in a range from 3 to 9 KPa (step 0.25) and LAI-rate for grass in a range

380 from 0.01 to 0.1 (step 0.01). For each iteration, the results for the entire orchard were compared to
381 observed data cumulated per ten days and the coefficients minimizing the RMSE were selected.
382 The calibrated model was then applied to simulated NPP of the entire olive grove in 2011 and 2012
383 in the same site (see SI_MAT_MET for details).

384 The calibrated model was further tested to assess its reliability in simulating specific processes
385 of olive tree, grass cover growth and final yield. In site 2 and site 3 (Fig. 2, Tab. 1) the model was
386 tested in simulating soil water dynamics (2013 and 2016) and transpiration (2012-2013). In site 4
387 (Fig. 2, Tab. 1), the model was tested in simulating LAI increase and final yield of olive trees under
388 different treatments (rain fed, full irrigation and 50% deficit irrigation) from 2008 to 2010. In site 5
389 (Fig. 2, Tab. 1) the grass cover model was tested against daily growth rate of a typical Mediterranean
390 mixed grass in 1994. In sites 7-13 the model was applied to simulate final yield on a farm level, in
391 areas showing different elevations, climate, soils and planting density (Fig. 2, Tab. 1). Additional
392 description of the sites and further details of the experiments may be found SI_MAT_MET.

393 Root mean square error (RMSE, equation 1 in SI_MAT_MET), correlation coefficient R , mean
394 bias and absolute errors (MBE, MAE equation 2 and 3 in in SI_MAT_MET) were used as
395 goodness[MM2] of fit indicators.

396 Latin hypercube sample (LHS) method was applied to explore the behavior of the calibrated
397 model in response to a specific variation of its parameters or input values, using 1999 at site 1 as test
398 case, considered as an average year of the area. Specifically, LHS is a regression approach that
399 measures how strong the linear association is between the model output and each input parameter,
400 while controlling the effect of the other factors (partial rank correlation coefficient, PRCC),
401 (Confalonieri et al., 2010).

402 We tested the model sensitivity in terms of total yearly cumulated biomass of grass and olive
403 trees to changes in physiological parameters (RUE, TE, LAI rate), grove architecture (inter-row and
404 intra-row distances and crown dimensions), and soil parameters (root depth and AWC), by sampling
405 in a range from -20% to +20% of their default values assuming a normal distribution.

406 The results are shown in terms of *PRCC* between a parameter or variable and the total biomass
407 accumulation of olive tree and grass cover.

408

409 **Table 1. Sites description** biblio fin qui

410

411 **3. Results**

412 *3.1. Phenology model*

413 The phenology model evidenced different calibration for olive tree cultivars adapted to cooler (inner
414 areas) and warmer (coastal areas) climate in Tuscany region (Table 1 in SI_2). For bud break, the
415 phenology simulation at Follonica (warmer site) was found 20 days-early compared to Montepaldi
416 (cooler site) with an average 3400 GDH accumulated using a base temperature (*tb*) of 8.5°C from 1st
417 of January.

418 For flowering phase, the results of model calibration and validation for flowering (Tab. 2 in SI_2)
419 evidenced high performances for all sites (Table 3 in SI_2). The end of endo-dormancy period in
420 coastal areas was reached around the end of December with 73 (Grosseto) and 77 (Lido di Camaiore)
421 CU accumulated. On the other hand, the inner areas reach the end of endo-dormancy period around
422 the first days of February with 80 CU accumulated in Florence (Table 2 in SI_2). The optimal
423 temperatures such as the development rates for chilling units accumulation were found higher for
424 experimental sites characterized by warmer winter temperature (i.e. 6.7°C at Lido di Camaiore and
425 5.5°C at Grosseto) compared to cooler winter temperature sites (Table 2 in SI_2). Finally, the eco-
426 dormancy period did not show significant differences between areas with 42, 44 and 45 FU
427 accumulated at optimal forcing temperature of 16, 15.6 and 15.5°C for Grosseto, Florence and Lido
428 di Camaiore, respectively (Table 2 in SI_2).

429

430

431

432 3.2. *Growth model*

433 The result of the optimization process indicated that the model performances, evaluated in terms
434 of RMSE calculated with respect to NPP observed in 2010 cumulated over ten days, were mainly
435 driven by change in growth rate of grass cover (LAI-rate) while the model was less sensitive to change
436 in Kd of both olive tree and grass cover (Fig. 4 SI_2). According to the optimization procedure, LAI-
437 rate, Kd for olive trees and grass were set to the values minimizing the respective RMSE, which
438 corresponded to 0.024 d⁻¹, 7.1 Pa and 3.9 Pa, respectively. Under this configuration, the relationship
439 between observed and simulated data in 2010, cumulated per ten-days, yielded a RMSE of 9.9 g m⁻²
440 with an $r = 0.75$ (***) (Tab. 3). On a yearly basis, the model underestimated cumulated olive grove
441 biomass (730 g m⁻²) with respect to what was observed (827 g m⁻²). Overall, ground cover represented
442 24% of total grove cumulated dry matter.

443 Interestingly, when looking at the daily course of observed NPP in 2010, the model was able to
444 reproduce the drop of agroecosystem dry matter production as a consequence of soil tillage on DOY
445 135 that entirely removed the grass layer and was simulated by setting LAI of grass to 0. The
446 following grass recovery, joint to assimilation rate of olive tree likely led to an assimilation peak
447 on DOY 180 (Fig. 5 in SI_2).

448 The calibrated version of the model faithfully reproduced the seasonal trend of NPP when
449 applied in the same grove in 2011 and 2012 (Fig. 3bc; Fig. 6bc in SI_2). In particular, the model
450 satisfactorily simulated NPP in the validation datasets by detecting the effect of prolonged drought
451 periods that occurred in both years, which reduced the observed assimilation rate of the grove with
452 respect to 2010. Cumulated rainfall in 2011 and 2012, in fact, was 54% and 71% with respect to 2010
453 (697 mm), in the period included between January 1st and the harvest date (DOY 330). The lower
454 rainfall, especially during the soil water recharge period (winter), resulted in a reduced biomass
455 accumulation observed in both years with respect to 2010 with a total cumulated NPP of 642 in 2011
456 and 583 g m⁻² in 2012. The model correctly simulated this trend, with a very good performance,
457 especially in 2011. On a ten-days basis, the correlation between simulated and observed data yielded

458 an $R=0.85$ with an $RMSE=5.2 \text{ g m}^{-2}$. On a yearly basis, the simulated olive grove cumulated NPP
459 (617 g m^{-2}) was close to the observed one (Tab. 3). Overall, ground cover represented 17% of total
460 grove cumulated dry matter.

461 In 2012, whereas the daily trend of NPP was well captured by the model (Fig. 3c), with two
462 main peaks detected in early and late spring, the model simulation resulted as overestimated with
463 respect to observed data, especially during the recovery in the early autumn. Consequently, on a ten-
464 day basis the performances were reduced with respect to 2011 with an $R=0.76$ and $RMSE=6.8 \text{ g m}^{-2}$
465 (Tab. 3). Over the season, the model overestimated the cumulated NPP (639 g m^{-2}) with respect to
466 observed data (583 g m^{-2}) and this was especially due to an overestimation of autumn recovery.
467 Overall, ground cover represented 24% of total grove cumulated dry matter.

468 The calibrated model, was further tested to evaluate its performances in simulating daily
469 transpiration rate and daily soil water content for high-density groves in sites 2 and 4 (Figs. 4, 5, 6)
470 (Figs 7, 8, 9 in SI_2). The results for site 2 indicated that the model correctly simulated the daily
471 course of FTSW in the layer explored by both grasses and olive tree root systems (0-25 cm, $r=0.94$,
472 $RMSE=7.5\%$) and the entire soil layer (1 m, $r=0.95$, $RMSE=11\%$) (Tab. 3) (Fig. 4ab) (Fig. 7 in SI_2).

473 Similar performances were observed in site 4 (Fig. 6ab), where FTSW in the soil profile was
474 satisfactory simulated with a slight overestimation for layer 1 ($r=0.94$, $RMSE=6.5\%$) and
475 underestimation for layer 2 ($r=0.91$, $RMSE=9.9\%$) (Tab. 3) (Fig. 9 in SI_2).

476 The good performances in simulating water balance at different depths and in different locations
477 were further corroborated by the good simulation of olive tree daily transpiration rate in site 2 in 2012
478 and 2013 (Fig. 5). The model correctly simulated the depressing effect of drought stress at the end of
479 the growing season in 2012, the increasing trend of transpiration observed in 2013 at the start of
480 growing season due to abundant rainfall (from DOY 100 to 122), and the progressive effect of drought
481 in summer. Nevertheless, the model slightly underestimated transpiration (Tab. 3) (Fig. 8 in SI_2).

482 In sites 2 and 4, cumulated ground cover dry matter represented 16% and 23% of total grove
483 dry matter accumulation.

484 The results obtained for site 1 and 3 indicated the overall good performances of the model in
485 the simulation of total biomass accumulation ($r=0.92$, $RMSE=1.83 \text{ Mg ha}^{-1}$) and final yield ($r=0.94$,
486 $RMSE=0.71 \text{ Mg ha}^{-1}$) (Tab. 3), considering 2011 and 2012 for site 1 and 2008, 2009 and 2010 for
487 site 3 (Fig. 7). In site 3, cumulated ground cover dry matter represented on average 20%, 18% and
488 14% of total grove dry matter accumulation in fully irrigated, 50% deficit irrigation and rain fed
489 treatments.

490 The yearly LAI increment recorded in site 3 pointed out that the model correctly detected the
491 trend of LAI increase (i.e the difference between LAI at the end and the beginning of the season) over
492 the studied period (Fig. 10 in SI_2) but it was slightly underestimated.

493 When applied over farm sites, the model satisfactorily simulated inter annual yield variability
494 (Fig. 8), showing a $RMSE=0.4 \text{ Mg DM ha}^{-1}$ (Tab. 3).

495 In site 5, the grass cover model captured the observed trend of grass growth with a main peak
496 in early spring, and a secondary one in autumn, even though in advance with respect to observed data
497 (Fig. 9). Overall, the model provided good performances in terms of r (0.94) and $RMSE$ (5.6 kg ha^{-1}
498 day^{-1}) (Fig. 11 in SI_2).

499

500

501 Table 3. Goodness of fit indicators for simulations over sites 1-5. Legend: FTSW1 relates to data
502 from the 0-20 cm layer; FTSW relates to the entire soil layer.

503

504

505 3.3.Sensitivity analysis

506 The LHS indicated that olive and grass biomass accumulation were highly sensitive to
507 intercepted radiation parameters with a clear interaction between the agro-ecosystem elements.
508 Increase in plant density of olive tree and crown dimensions, in fact, positively influenced olive tree
509 biomass accumulation while it reduced the accumulation of grass cover as the effect of increasing

510 shading by trees. In particular, the crown diameter was the most influencing factor for biomass
511 accumulation while the grass LAI rate was the parameter most influencing its biomass accumulation
512 with a low negative interaction with olive biomass accumulation (Fig. 10).

513 Both RUE and Kd of olive trees and grass cover were the positively related to biomass
514 accumulation of relevant components, where in general the model was more sensitive to RUE than
515 to Kd. Both grass and olive biomass are positively related to AWC and the relevant soil depth.

516 The analysis emphasizes the competition between the grass cover and olive tree for radiation
517 and water as outlined by the positive effect of increased plant density on grass growth and the negative
518 impact of increasing grass root depth and LAI rate on olive growth.

519

520 **4. Discussion**

521 A new olive grove model, based on process-based algorithms, was designed to simulate
522 phenology, biomass accumulation and yield, and competition with ground cover. The model was
523 developed bearing in mind that process-based models usually require the calibration of multiple
524 parameters and need many input variables to provide reliable results. These major requirements for
525 input data and parameters makes the approach not readily applicable on a local scale either for
526 operational purpose or on a regional scale for planning strategies (Challinor, 2004; Soltani and
527 Sinclair, 2011). Instead, simple process models that require fewer input variables may find a wide
528 application, especially for operational purposes, if they are based on a robust approach but are usually
529 limited to the range of their calibration dataset (Montheith, 1996).

530 With these premises, we developed the model by limiting the required input data and parameters
531 to set it up. In addition, to ensure its wide applicability, calibration and validation were performed on
532 sites having contrasting weather and climate (within the Mediterranean area) as well as different soils,
533 planting density and management practices.

534 The simulation approach originally developed in Sinclair (1986) and Amir and Sinclair (1991)
535 for crops and modified by Bindi et al. (1997) and Bindi et al. (2005) for grapevine, uses RUE and

536 transpiration efficiency coefficient K_d as the main parameters driving the simulation of daily dry
537 matter assimilation. A simple but straightforward empirical approach was used to describe the
538 relationships between the fraction of transpirable soil water (FTSW) and both leaf area growth (LA)
539 and transpiration (TR) in olive trees for a widely cultivated Tuscan variety (cv Leccino). Interestingly,
540 the results in SI_1, indicated that the FTSW thresholds limiting leaf area growth and transpiration
541 were approximately the same (0.31) but after this threshold, leaf area growth was more sensitive to
542 FTSW decrease than transpiration rate. This may further emphasize the productive strategy that olive
543 tree implements under a water stress regime, where leaf expansion is reduced to avoid water loss and
544 preserve photosynthesis (Casadebaig et al., 2008). This in contrast to the “conservative strategy”,
545 which aims at reducing leaf expansion when FTSW is still relatively high (Sinclair and Muchow,
546 2001).

547 The few parameters required for the model (Tab. 3), many of which were found in the literature,
548 further simplified the calibration that was limited to three parameters, (K_d for olive tree and grass
549 cover, and LAI growth-rate of grass). This is highly desirable to avoid a model with too large a set of
550 crop-specific parameters to be calibrated that would result in a likely best fit for a particular site but
551 with results that could hardly be extrapolated to another site without readjustment of the model
552 coefficients (Sinclair and Seligman, 2000).

553 Tuscany region was selected as testing area for the model. This region, which coincides with
554 the northern limit of olive tree cultivation in Italy (Moriondo et al., 2008, 2013), shows very
555 heterogeneous climatic features (Rapetti and Vittorini, 1995) as well as soil types and management
556 practices, so it is suitable for testing the applicability of the proposed model. Its climate ranges from
557 Mediterranean to temperate warm or cool following altitudinal and latitudinal gradients and distance
558 from the sea (Rapetti and Vittorini, 1995). In particular, the most southern, coastal provinces Grosseto
559 (sites 1, 2, 3, 6) and Livorno (site 8) are the warmest and driest, while the inner provinces Florence
560 (site 4, 10, 11), Siena (site 13) and Lucca (site 7) have a more continental climate. The sites used for
561 calibration and validation are characterized by different planting density (from 250 to 500 plants ha⁻¹

562 ¹), soil conditions (from sandy to clay-loam) and management practices (rain fed, irrigation, deficit
563 irrigation), making the dataset a summary of climates and practices used for olive trees across the
564 Mediterranean basin.

565 The results obtained in the calibration/validation tests emphasized the robustness of the model
566 in simulating the assimilation performances of the entire ecosystem, as well as growth and
567 development of the single ecosystem components.

568 The phenology model results were obtained using bud-break and flowering dates that are not
569 related to specific varieties but rather they are representative of varieties pool adapted to different
570 climate conditions. The olive trees in the coastal area showed a shorter dormancy period that
571 culminated with an earlier bud-break and flowering compared to inner areas. Indeed, budbreak occurs
572 20 days-earlier for warmer site (Follonica, site C in in SI_2) compared to the cooler site (Montepaldi,
573 site B in SI_2). This phenomenon is driven by warmer temperatures that promotes an earlier opening
574 of vegetative buds in Follonica compared to the Montepaldi site.

575 The flowering stage was simulated accounting the dormancy period during which the
576 differentiation between vegetative and reproductive buds occurs (De Melo-Abreu et al., 2004; Orlandi
577 et al. 2004; Fabbri and Alerci, 1999). Our results evidenced three different phenology responses,
578 especially during the endo-dormancy period, for experimental sites characterized by different climate
579 conditions. In warmer areas, the temperature threshold for chilling accumulation were higher (6.7°C
580 and 5.5°C for Lido di Camaiore and Grosseto) with respect to inner colder areas (2.90°C for
581 Florence). These results are in accordance with Aguilera et al. (2014) that highlights significant
582 differences in the peak of chilling unit accumulation from northern and southern sites with a shorter
583 endo-dormancy period for locations situated at lower latitudes.

584 The overall growth model (i.e. ground cover + olive tree layer) was calibrated and validated
585 using carbon flux data from the EC technique. These data can be considered particularly suitable for
586 assessing process-based model performances since they take into account the effect of weather and
587 management practices on the ecosystems at the scale required to evaluate the performances of a

588 process-based model (i.e. from half-hour to daily). The three years used in the calibration/validation
589 process with EC data included 2012 that was observed to be close to the long-term average (i.e. 1981-
590 2012) yearly rainfall (626 mm y⁻¹; anomaly=-0.3%), while 2010 and 2011 represent positive
591 (anomaly=+27.4%) and negative extremes (anomaly=-41.3%) (Brilli et al., 2016). As such, these data
592 can be considered a reliable benchmark for testing the model performances.

593 The calibration process resulted in a Kd for olive tree (7.1 Pa) close to what was observed for
594 grapevine in a Mediterranean climate (6 Pa, Bindi et al., 1996), supporting olive tree efficiency in
595 water use (Xiloyannis et al., 1999) as an effect of physiological adjustments and morphological
596 adaptations (Moreno et al., 1996; Chartzoulakis et al., 1999; d'Andria et al., 2009; Tognetti et al.,
597 2009; Coccozza et al., 2015). Kd for grasses was lower than that observed for olive tree (4 Kpa) and
598 within the range for C3 species reported in Soltani and Sinclair (2011).

599 EC data have already been used extensively for model calibration and validation (Brilli et al.,
600 2017). They were widely used especially for assessing carbon and nitrogen dynamics between
601 ecosystems (i.e. arable, paddy soils, grasslands, forests, savanna, etc.) and the atmosphere (Congreves
602 et al., 2016; Noiro-Cosson et al., 2016; Giltrap et al., 2015; Brilli et al., 2014; Fitton et al., 2014ab;
603 Li et al., 2010). However, since from the EC data used in this paper it was not possible to disentangle
604 the dynamics of each single component, the performances of the calibrated model were evaluated in
605 simulating specific processes obtained separately for grass and tree cover (i.e. olive tree leaf area
606 growth and transpiration, evapotranspiration, total biomass accumulation of olive tree and grass and
607 final olive yield).

608 Unfortunately, reference ground biomass was not determined during experiments in sites 1, 2,
609 3, 4 and there is still not a consolidated literature on biomass partition between olive trees and grass
610 in groves. In any case, some comparisons may be attempted. Scandellari et al. (2016) reported that
611 ground cover represented 22% of total cumulated biomass for an intensive partially irrigated olive
612 grove (513 plants ha⁻¹, Gucci et al., 2012; Caruso et al., 2013), while Nardino et al. (2013) indicated
613 a higher ratio (35%) for a fully irrigated low-density grove (250 plants ha⁻¹). Our results, even though

614 they may not be fully comparable to these data because of different climate conditions, treatments
615 and planting density, are in line with this limited set of observations. In general, observed data
616 indicated that the contribution of ground cover to olive grove biomass tends to increase as planting
617 density decreases and this effect is fully simulated. Site 1, with a low planting density (250 plants ha⁻¹)
618 (not irrigated), showed a range between 26% (2010, 2012) and 17% (2011), while in groves at
619 higher density (513 plants ha⁻¹, sites 2, 3, 4) as in Scandellari et al. (2016), the percentage ranged
620 from 13% in site 3 (rain fed treatment) to 22% in site 4 (rain fed). As an additional example, when
621 we decreased the plant density in site 3 from 513 to 256 plants ha⁻¹ in a fully irrigated treatment to
622 reproduce the experiment in Nardino et al. (2013), the contribution of ground cover to olive grove
623 biomass increased from 20% to 37%, which is fully comparable to what was observed in that
624 experiment.

625 The simulation obtained in site 5 where, in the absence of tree cover, the model was able to
626 identify the timing and magnitude of maximum growth intensity and the following senescence period,
627 strengthens the previous results. The model simulated grass recovery in autumn, in advance with
628 respect to observed data, as the effect of prolonged rainy periods. These differences are likely related
629 to the presence in the plot of annual self-reseeding species, such as *Trifolium subterraneum* or *Lolium*
630 *rigidum*, typical of the Mediterranean area, which after senescence produce seeds that pass the
631 summer buried in the soil (Ghamkhar et al., 2015). This protection mechanism against drought
632 prevents a prompt response of the ground cover to sporadic rainfall events during summer (“false
633 breaks”) when optimal conditions for seed growth are not yet reached (Koukoura, 2007). This
634 behavior, as many others that are not properly addressed by many grass models (Calanca et al., 2016),
635 is important for understanding the different responses observed in the field and are of great
636 importance when the simulation should represent competition for water between trees and grasses in
637 dry areas (D’Onofrio et al., 2015).

638 Simulations in site 1 indicated that the overall biomass partitioned to leaves was 13% in 2010
639 and 2012 and 15% in 2011. This result was consistent with independent data (Villalobos et al., 2006;

640 Sofu et al., 2005), which showed that, in not limiting water conditions, 11% and 9% of total yearly
641 cumulated biomass is invested in leaves. Similar results were obtained in sites 2, 3 and 4 (data not
642 shown). In any case, Mariscal et al. (1998) evidenced that in olive tree, biomass partition coefficient
643 to leaves decreases as planting density increases, but the implementation of such an effect still
644 requires further analysis since available research does not allow an estimation of this effect in the
645 field.

646 The robustness of the model was further emphasized by its capacity to simulate specific
647 processes such as olive tree transpiration and water dynamics in different soil layers, biomass
648 accumulation and ground cover growth. In sites 2 and 3, the model faithfully simulated the olive tree
649 transpiration and FTSW at 10 and 30 cm, demonstrating the ability of the model to reproduce the
650 actual evapotranspiration for different plant density, climate and soil. It also well reproduced the
651 effect of grasses and olive trees competition for water in the upper layer and more in general through
652 the entire soil profile.

653 The model applied on site 3, reproduced well total biomass accumulation and yield of an
654 intensive grove with three irrigation treatments. These good performances on experimental plots were
655 corroborated when the model was applied for yield estimation on farm level on sites 6-13. In
656 particular, yield data for these sites exhibited a general trend to alternate bearing (SI) that was well
657 captured by the model, highlighting the good performances of the proposed sub model (Fig. 12 in
658 SI_2).

659

660 Despite the good performances of the model in different environmental conditions, some
661 drawbacks and warnings in the use of present model due to a specific parametrization must be
662 highlighted.

663 There is still a lack in the understanding of processes underlying the release of bud break
664 (López-Bernal et al., 2017) and this limits the application of the proposed model. More in general,
665 given the large influence of climatic conditions on the parametrization of the phenology model,

666 further calibrations for its application outside the range of conditions where it was developed are
667 required.

668 The use of empirical approach describing the effect of FTSW on olive transpiration requires, in
669 any case, a different parametrization depending on the varieties that may exhibit different tolerance
670 to water stress (Cola et al., 2015). As an example, transpiration of cv “Coratina” grown in pots (Sofa
671 et al., 2008) showed a higher susceptibility to water stress than cv Leccino (this study), while cv
672 “Nocellara del Belice (Rallo and Provenzano, 2013) showed an intermediate response (Fig. 13 in
673 SI_2).

674 The sub-model describing the effect of heat and water stress at anthesis of final yield must be
675 refined as based on a limited number of observation to date present in literature.

676 The sensitivity analysis highlighted that olive tree growth is highly responsive to light
677 interception parameters (planting density, crown radius) and actually the model provided the highest
678 simulation performances when this information was available at a high spatial and temporal resolution
679 (site 3). When the model was feed with a lower quality data of ground cover as obtained from not
680 updated low-resolution imageries, the performances were significantly reduced (sites 6-13 Fig. 8,
681 Tab. 3). This implies that these parameters cannot be easily assumed but should actually reflect the
682 current situation in the olive grove. Proximal sensing data from an unmanned aerial vehicle (UAV)
683 could be exploited for such a purpose to derive the geometrical parameters of the canopy and LAI at
684 the highest spatial resolution (Caruso et al., 2017; Zarco-Tejada et al., 2014; Matese et al., 2017).

685 **5. Conclusion**

686 The objective of this work was to develop and validate a simple growth model simulating
687 growth of olive trees and grass cover. The model was calibrated and validated in Tuscany region over
688 sites encompassing different types of Mediterranean climate, soil and management practices. Overall,
689 despite having a simple architecture, it faithfully simulated different processes, including olive tree
690 phenology, plant transpiration and total biomass accumulation and partitioning at both tree and grass
691 level. This model can be therefore considered for monitoring of the current season trend over different

692 areas of the Mediterranean basin, especially for those areas where many input parameters are not
693 readily available.

694

695 **6. Acknowledgments**

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698 **Tables**

699

700 Table 1. Sites description. Legend: L=var. Leccino, F=var. Frantoio, M=var. Moraiolo; Tr=subclover, Fe=tall fescue, Lo=ryegrass; * not applicable;
 701 T_{avg} =average yearly temperature, T_{xHM} = average maximum temperature of warmest month; T_{nCM} =average minimum temperature of the coldest month;
 702 PP = average yearly cumulated rainfall; 1=soil data were extracted from the regional database described in Gardin and Vinci, (2016) reporting soil texture and
 703 depth. Soil texture was converted into soil AWC using pedo-transfer function (Saxton et al., 1986)

id	name	Experimental period	Lat N Lon E	elevation	varieties	Area	Plant spacing	Ground cover	soil AWC ¹	Soil depth ¹	T_{avg}	T_{xHM}	T_{nCM}	PP
			(°)	(m asl).		(ha)	(m)	(%)	(%)	(m)	°C	°C	°C	mm
1	S. Paolina (CNR)	2010-2012	42.93-10.77	10	L, F, M	6	7×5	25	17	1.1	15.45	29.54	4.18	614
2	S. Paolina (CNR)	2012-2013	42.93-10.77	10	L	0.1	4×4	33	17	1.1	15.46	30.40	4.61	695
3	Venturina (UNIFI)	2008-2010	43.02-10.61	10	F	0.5	4.3×4.3	37	11	1.5	15.08	29.25	3.65	1016
4	Florence (ITAS)	2016	43.78-11.22	80	F	0.5	7×5	38	16	1	15.94	34.14	2.8	964
5	Paganico	1993	42.93-11.27	340	Tr,Fe,Lo	*	*	*	11	1	14.67	28.67	2.72	846
6	Follonica	1970-1986	42.93-10.77	10	L, F, M	1.5	7×5	20	16	1.1	15.35	29.07	3.80	631
7	Capannori	2002-2006	43.89-10.61	75	L, F, M	1.2	7×5	24	17	1.4	14.46	30.47	0.77	1067
8	Bibbona	1998-2006	43.27-10.60	25	L, F, M	2.3	7×5	23	12	1.4	15.43	29.88	3.51	530
9	Roccastrada	1998-2006	42.97-11.14	23	L, F, M	2.2	5×5	28	16	1.1	15.51	33.68	2.38	745
10	Londa	2001-2006	43.84-11.51	250	L, F, M	1.6	7×5	22	15	0.79	14.17	31.31	0.76	948
11	Vinci	1998-2006	43.79-10.94	260	L, F, M	1.5	7×7	24	11	0.76	14.18	29.71	1.92	832
12	Castiglione D'Orcia	1999-2006	42.99-11.62	350	L, F, M	1.2	7×5	19	15	0.73	13.67	28.89	1.4	662
13	Loro Ciuffenna	1999-2006	43.59-11.61	600	L, F, M	0.8	7×7	20	11	0.76	13.39	30.41	0.16	835

704

705 Table 2. List of model variables

Variable	Description	Layer	Units/Value	Reference
State				
<i>DM</i>	Potential cumulated dry matter	OT/GR	g m ⁻²	
<i>ADM</i>	Actual cumulated dry matter	OT/GR	g m ⁻²	
<i>LAI</i>	Leaf Area Index	OT/GR	m ² m ⁻²	
<i>Yield</i>	Yield per hectare	OT/GR	g m ⁻²	
Rates				
<i>Int.Rad</i>	Intercepted radiation	OT/GR	Rate (0-1)	Testi et al. (2005):
<i>LAI_{inc}</i>	Potential LAI growth	OT	m ² m ⁻²	
<i>GLAI_d</i>	Potential LAI growth	GR	m ² m ⁻²	Celette et al. (2007)
<i>ALAI_{inc}</i>	Actual LAI growth	OT	m ² m ⁻²	
<i>AGLAI_d</i>	Actual LAI growth	GR	m ² m ⁻²	
<i>SLAI_d</i>	LAI senescence rate	GR	m ² m ⁻²	
<i>LAI_{rate}</i>	daily growth rate	GR	Rate (0-1) [0.023]	<i>calibrated</i>
<i>Red_{photo}</i>	Effect of FTSW on RUE	OT	Rate (0-1)	<i>calibrated</i>
<i>Red_{photo}</i>	Effect of FTSW on RUE	GR	Rate (0-1)	Schoppach and Sadok (2012)
<i>Red_{LAI}</i>	Effect of FTSW on LAI growth	OT	Rate (0-1)	<i>calibrated</i>
<i>FTSW</i>	Fraction Transpirable Soil Water	OT/GR	Rate (0-1)	
<i>FTSW_{reg_LAI}</i>	Fraction Transpirable Soil Water (break point)	GR	0.48	Schoppach and Sadok (2012)
<i>ATSW1-2</i>	Actual total soil water	OT/GR	mm	
<i>Tr</i>	Transpiration	OT/GR	mm d ⁻¹	
<i>HI.pot</i>	Harvest index	OT	0.35	Villalobos et al. (2006)
<i>SVEP</i>	Soil evaporation	SO	mm d ⁻¹	
<i>PCf</i>	Partition coefficient to leaves	OT	Rate (0-1) [0.27, 0.09]*	Marsiscal et al. (2000) Morales et al. (2016)
Plant parameters				
<i>vol</i>	crown volume	OT	m ³	
<i>PlantA</i>	m ² per plant	OT	m ²	
<i>Root depth</i>	Max explored depth	OT	m	
<i>Root depth</i>	Max explored depth	GR	m	
<i>k'</i>	Olive tree extinction coefficient	OT	Rate (0-1)	Villalobos et al. (2006)
<i>k</i>	Grass cover extinction coefficient	OT	0.5	<i>assumed</i>
<i>RUE</i>	Radiation Use Efficiency	OT	0.97 g MJ ⁻¹ m ⁻²	Villalobos et al. (2006)
<i>RUE</i>	Radiation Use Efficiency	GR	2.2 g MJ ⁻¹ m ⁻²	Belanger et al., 1992 ; Duru et al., 1995
<i>Kd</i>	Coefficient	OT	7.1 Pa	<i>calibrated</i>
<i>Kd</i>	Coefficient	GR	3.9 Pa	<i>calibrated</i>
<i>SLA</i>	Specific leaf area	OT	0.0042 m ² g ⁻¹	Villalobos et al. (2006)
<i>LAD</i>	Leaf Area Density	OT	m ² m ⁻³	
Soil parameters				
<i>TTSW</i>	Total Transpirable Soil Water	SO	mm	
<i>AWC</i>	Available Water Content	SO	mm	
Environmental parameters				
<i>Tmin</i>	Minimum daily temperature		°C	
<i>Tmax</i>	Maximum daily temperature		°C	
<i>Rainfall</i>	Daily cumulated rainfall		mm	
<i>radiation</i>	Daily global radiation		MJ	
<i>VPD</i>	Vapor Pressure Deficit		KPa	

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707 Table 3 goodness of fit indicators for simulations over sites 1-13. Legend: FTSW1 relates to data

708 from the 0-30 cm layer, FTSW relates to the entire soil layer. In the field *period*, the time spanning *709 indicates the first year and the last year considering all the sites. In the *aggregation* field,710 C=cumulated, A=averaged. The field *Fig* reports the number of figure in SI relevant to that variable

711 and year showing the plot simulated versus observed data.

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Site	Variable	Period	Fig	Aggregation	R	RMSE	MBE	MAE
1	NPP	2010		ten days (C)	0.75	9.9 g m ⁻²	-2.6 g m ⁻²	8.9 g m ⁻²
1	NPP	2011		ten days (C)	0.85	4.9 g m ⁻²	-0.58 g m ⁻²	4.14 g m ⁻²
1	NPP	2012		ten days (C)	0.76	6.3 g m ⁻²	--1.6 g m ⁻²	5.1 g m ⁻²
2	FTSW1	2013		ten days (A)	0.95	7.7%	3.6%	6.5%
2	FTSW2	2013		ten days (A)	0.96	11.0%	-4.7%	8.3%
2	Tr	2012-2013		ten days (C)	0.92	4.02 mm	-1.94 mm	3.16 mm
3	yield	2008-2010		year	0.94	0.53 Mg ha ⁻¹	0.15 Mg ha ⁻¹	0.44 Mg ha ⁻¹
3	Total biomass	2008-2010		year	0.92	1.83 Mg ha ⁻¹	-0.92 Mg ha ⁻¹	1.60 Mg ha ⁻¹
3	LAI	2008-2010		year	0.82	0.09	-0.08	0.08
4	FTSW1	2016		day	0.91	10%	-7.5%	7.3%
4	FTSW2	2016		day	0.94	6.5%	2.3%	5.1%
5	Grass biomass	1994		ten days (C)	0.96	5.6 Kg m ⁻²	2.7 Kg m ⁻²	4.45 Kg m ⁻²
6-13	yield	1970-2006*		year	0.77	0.41 Mg ha ⁻¹	0.05 Mg ha ⁻¹	0.3 Mg ha ⁻¹

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Figures

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Figure 1. Flow diagram of the model including the simulation of olive tree and grass cover growth.

Figure 2. Experimental sites distribution in Tuscany region with relevant Thornwhite climate classification

Figure 3. Daily trend of observed and simulated NPP of S. Paolina olive grove in 2010 (a), 2011 (b) and 2012 (c), site 1 in table 1 and figure 1.

Figure 4. Daily course of observed and simulated FTSW in 2013 at site 2 at 30 cm and 10 cm.

Figure 5. Daily course of observed and simulated transpiration at site 2 in 2012 and 2013.

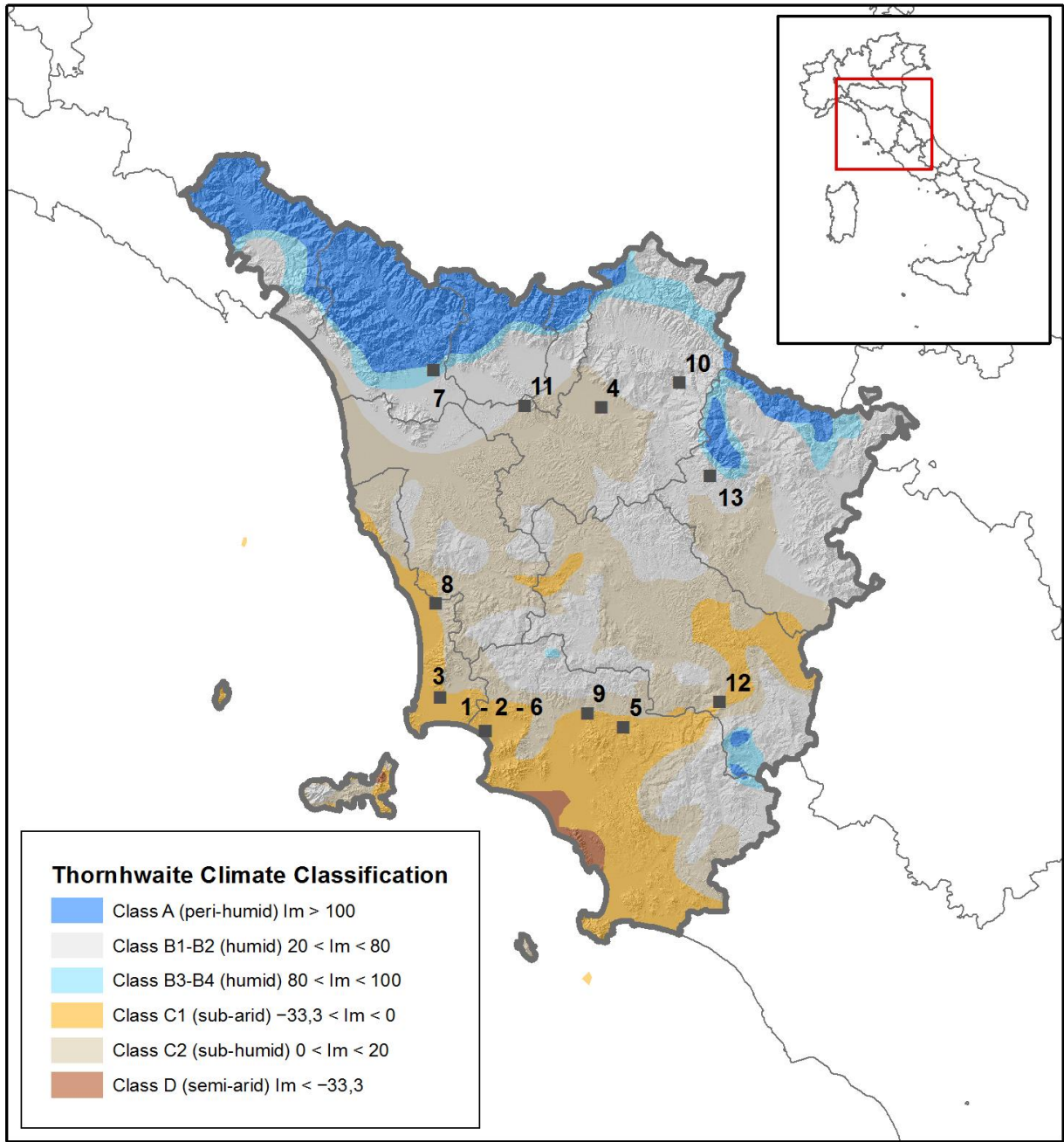
Figure 6. Daily course of observed and simulated FTSW in 2016 at site 4 at 30 cm and 10 cm

Figure 7. Correlation between observed and simulated total dry matter (a) and final yield (b) at sites 1 (2010-2012, closed circles) and 3 (2008-2010, open circles).

Figure 8. Yield simulation for sites 6 to 13 (fig. 2)

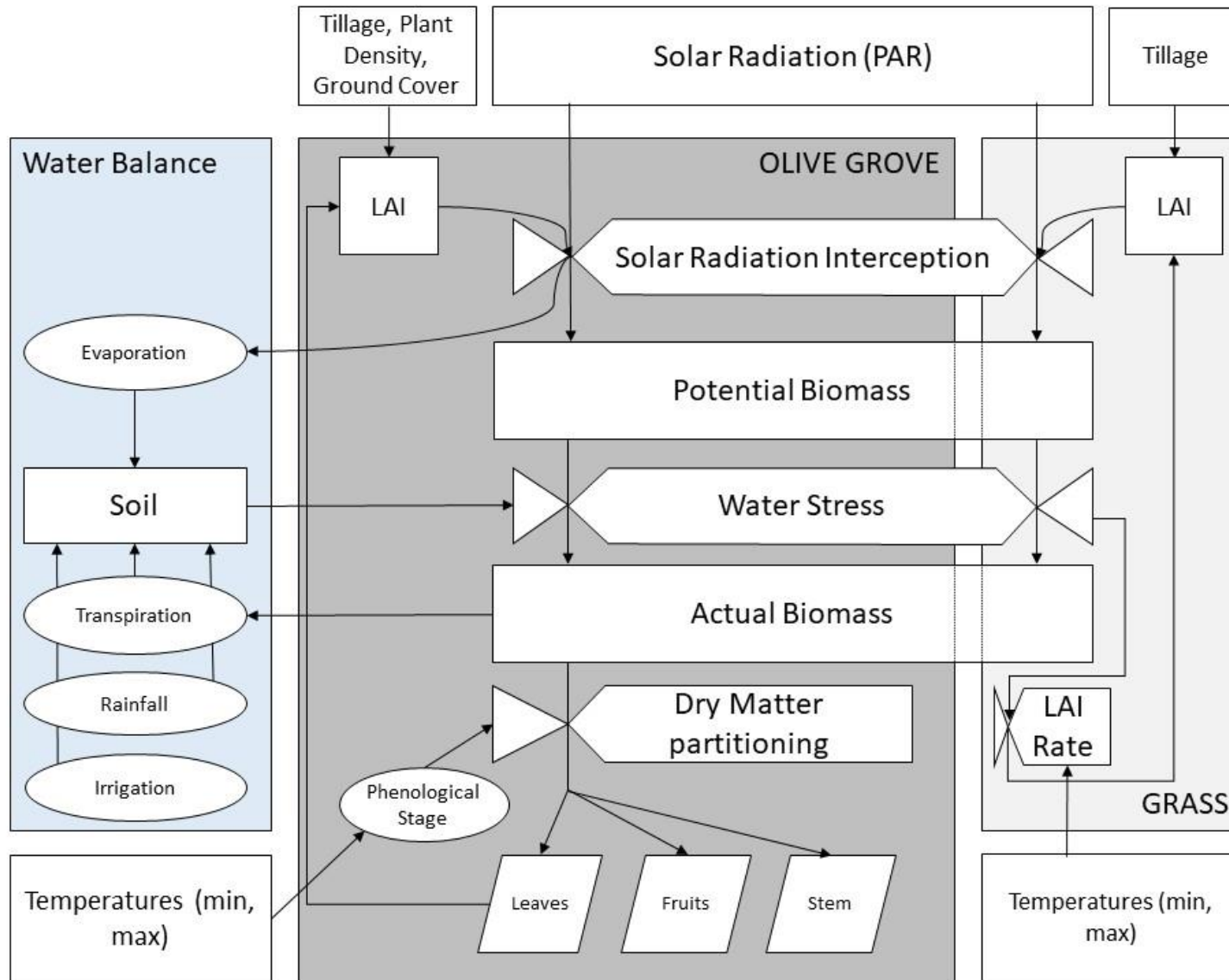
Figure 9. Daily course of observed and simulated grass productivity at site 5.

Figure 10. Model sensitivity analysis. Partial rank correlation coefficient for total cumulated olive tree (a) and grass (b) biomass. Standard error is indicated.



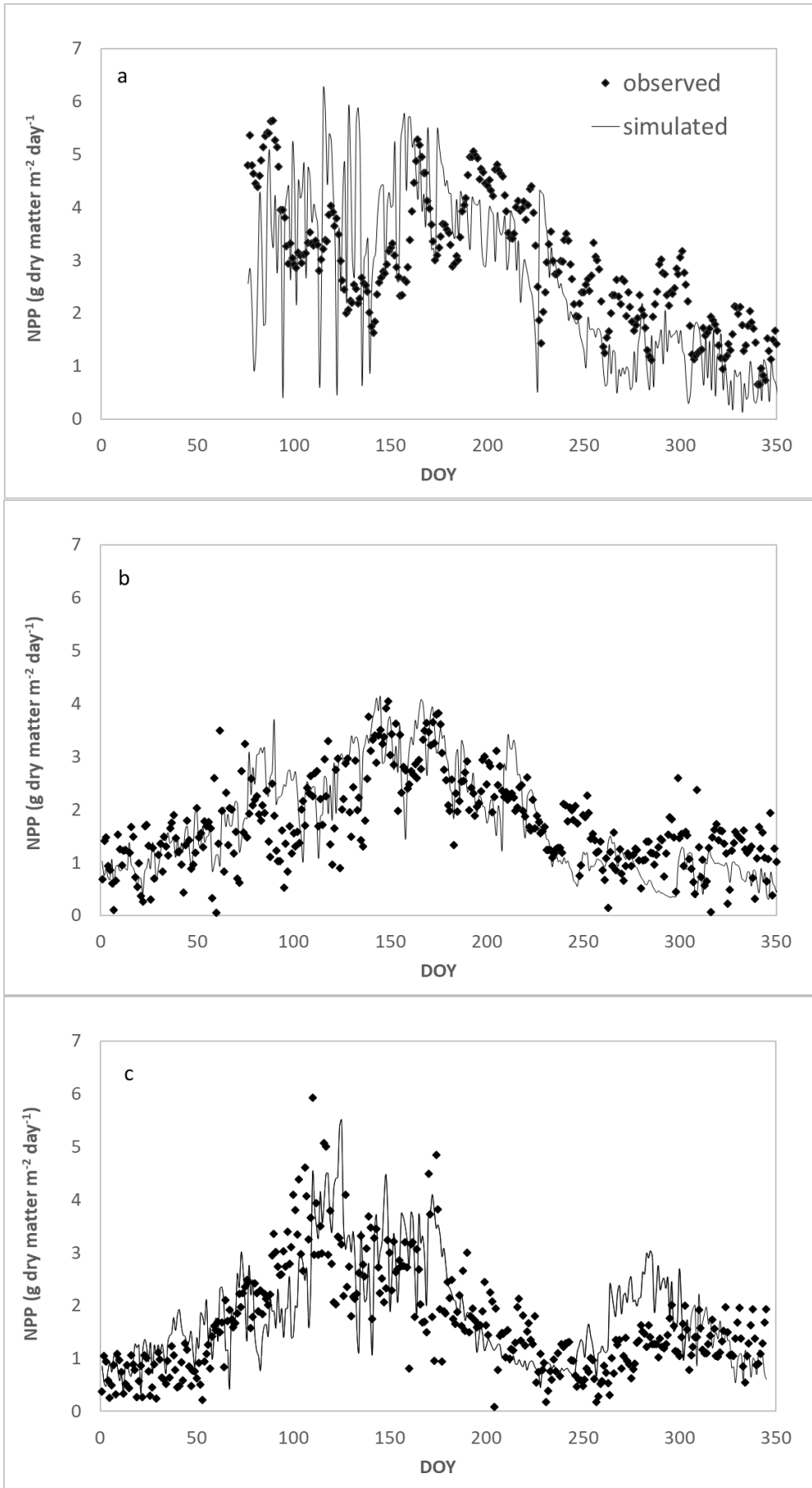
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Figure 1



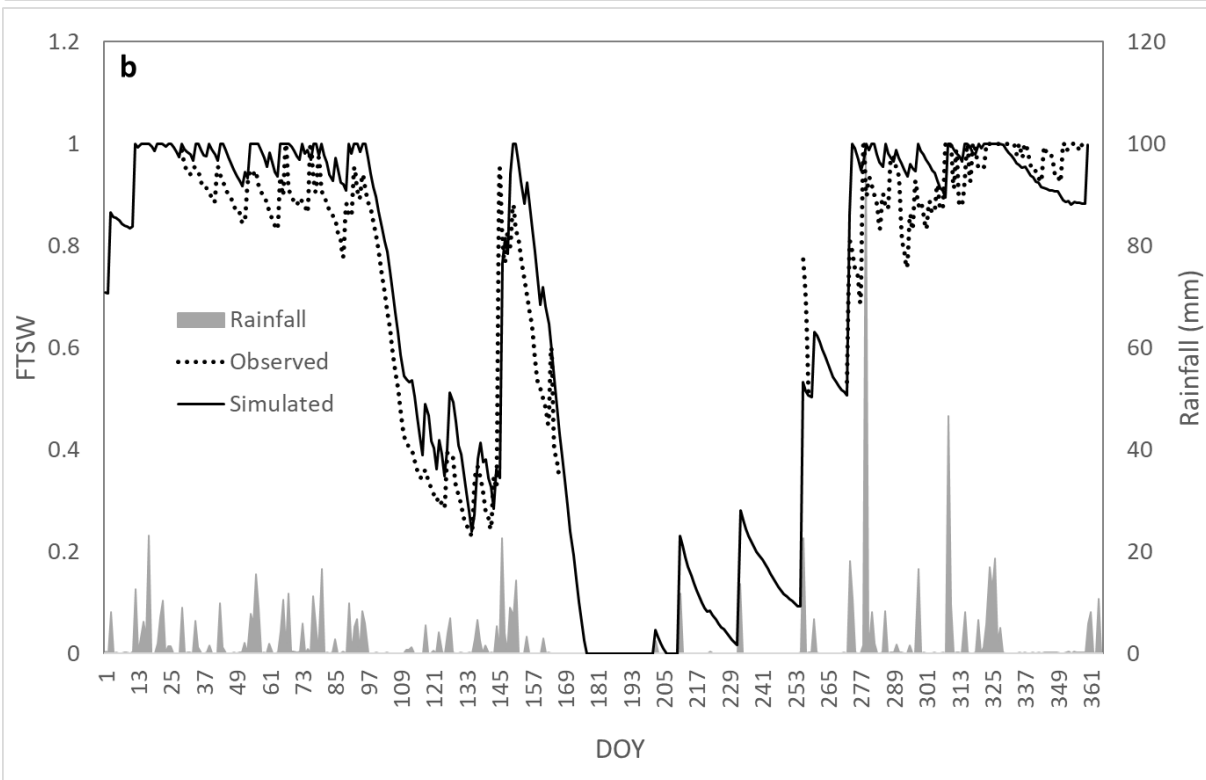
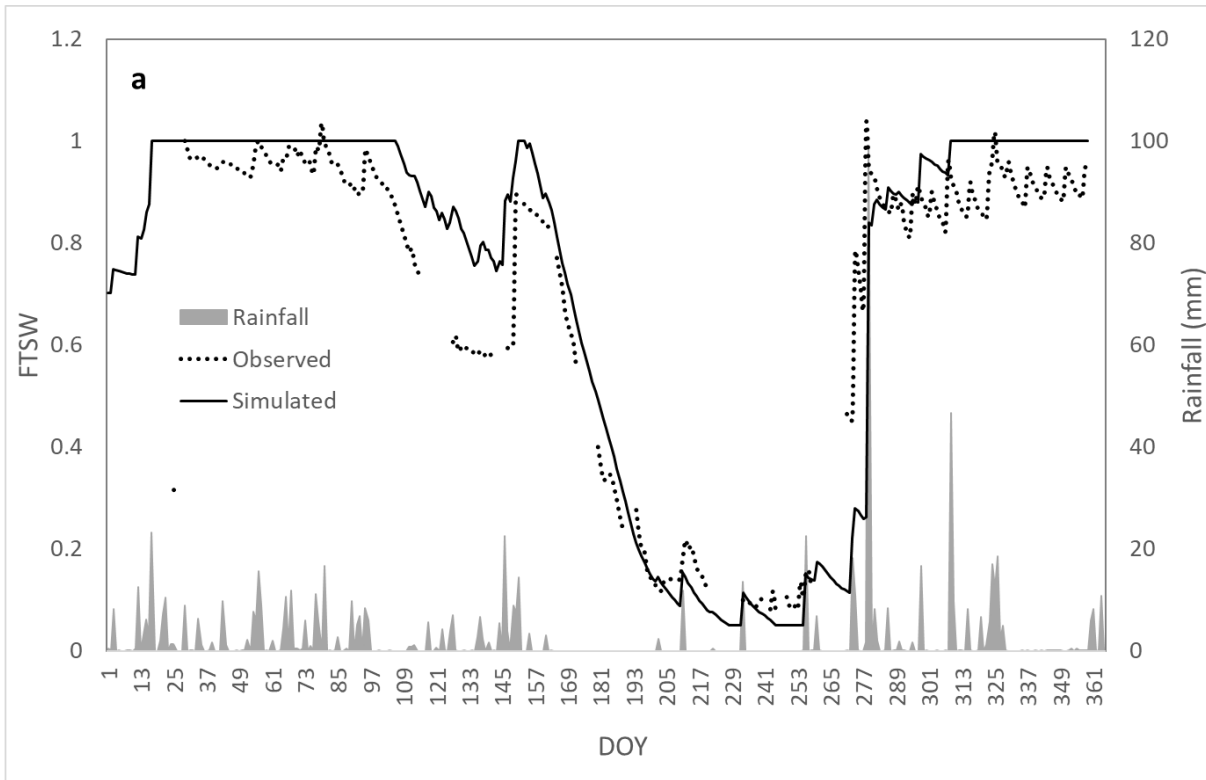
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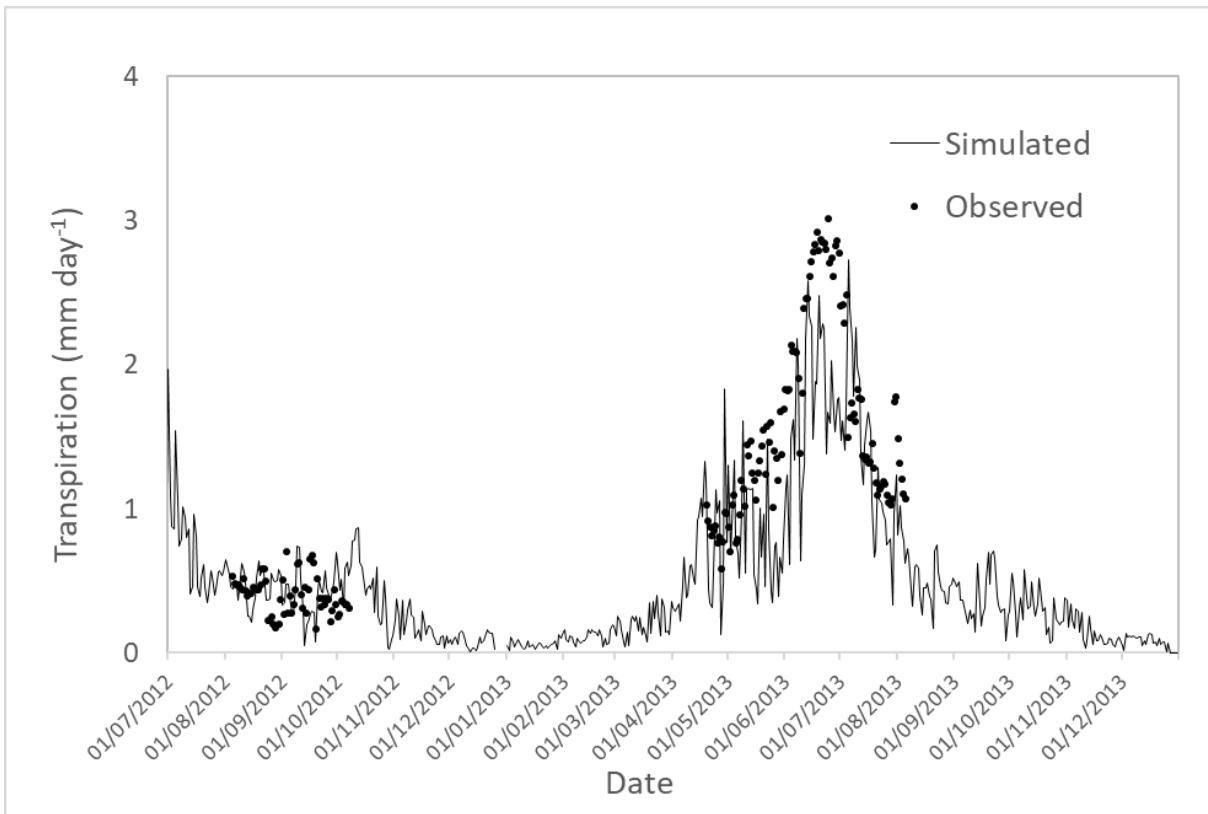
Figure 2



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746 Figure 3





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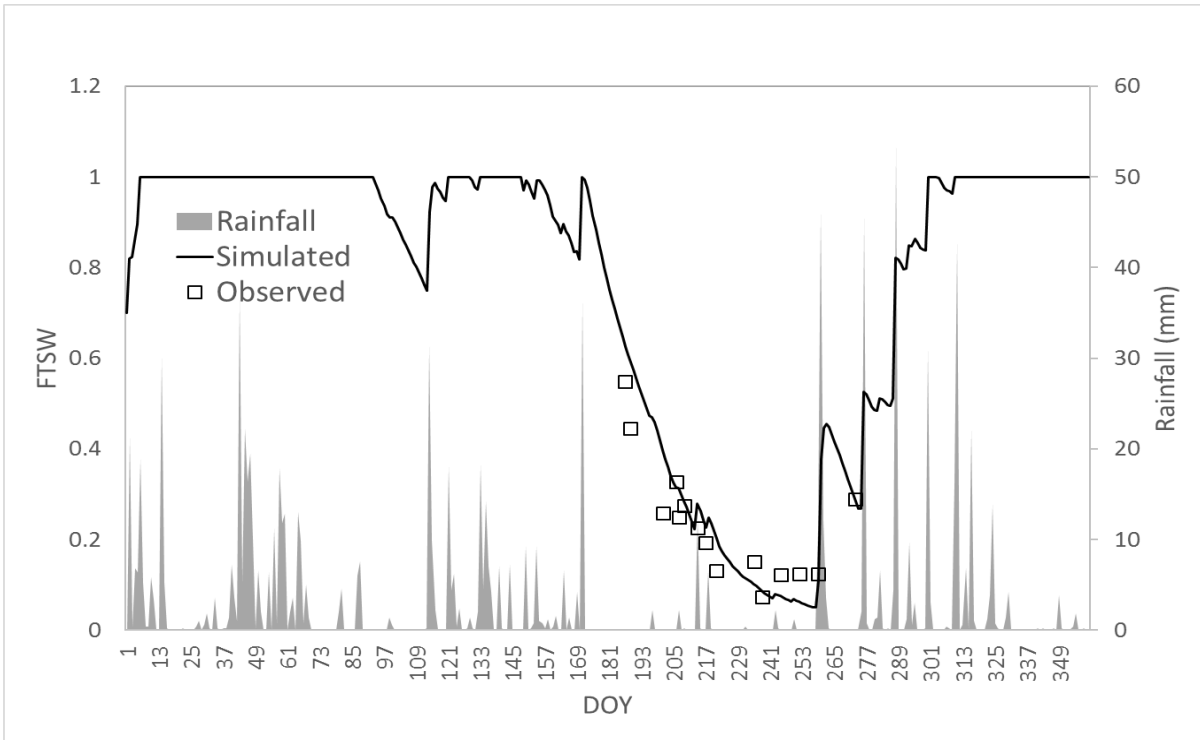
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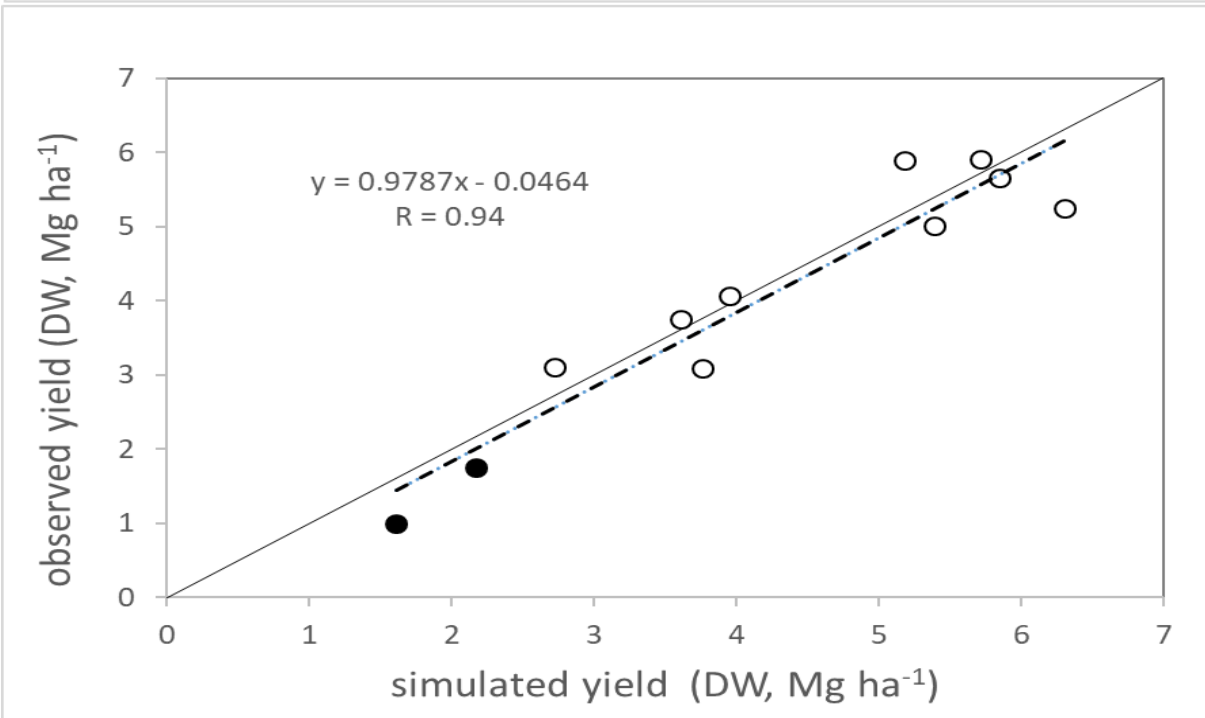
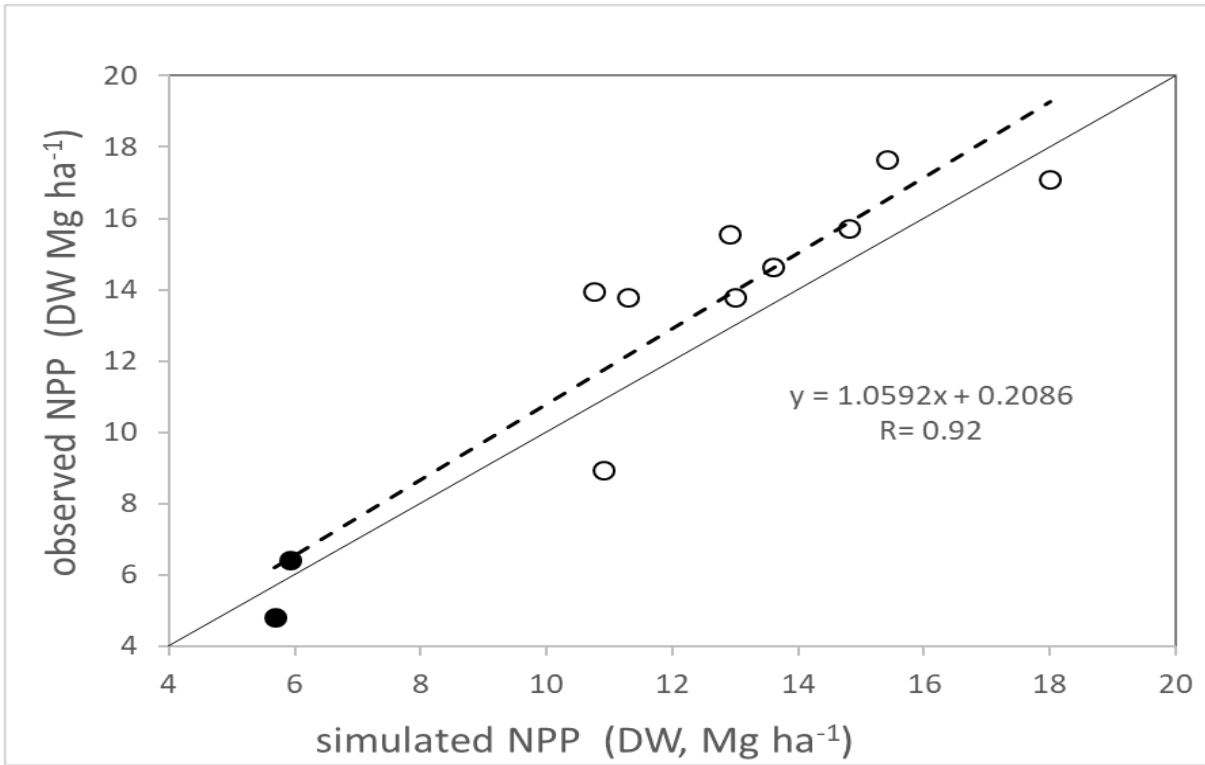
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765 Figure 6

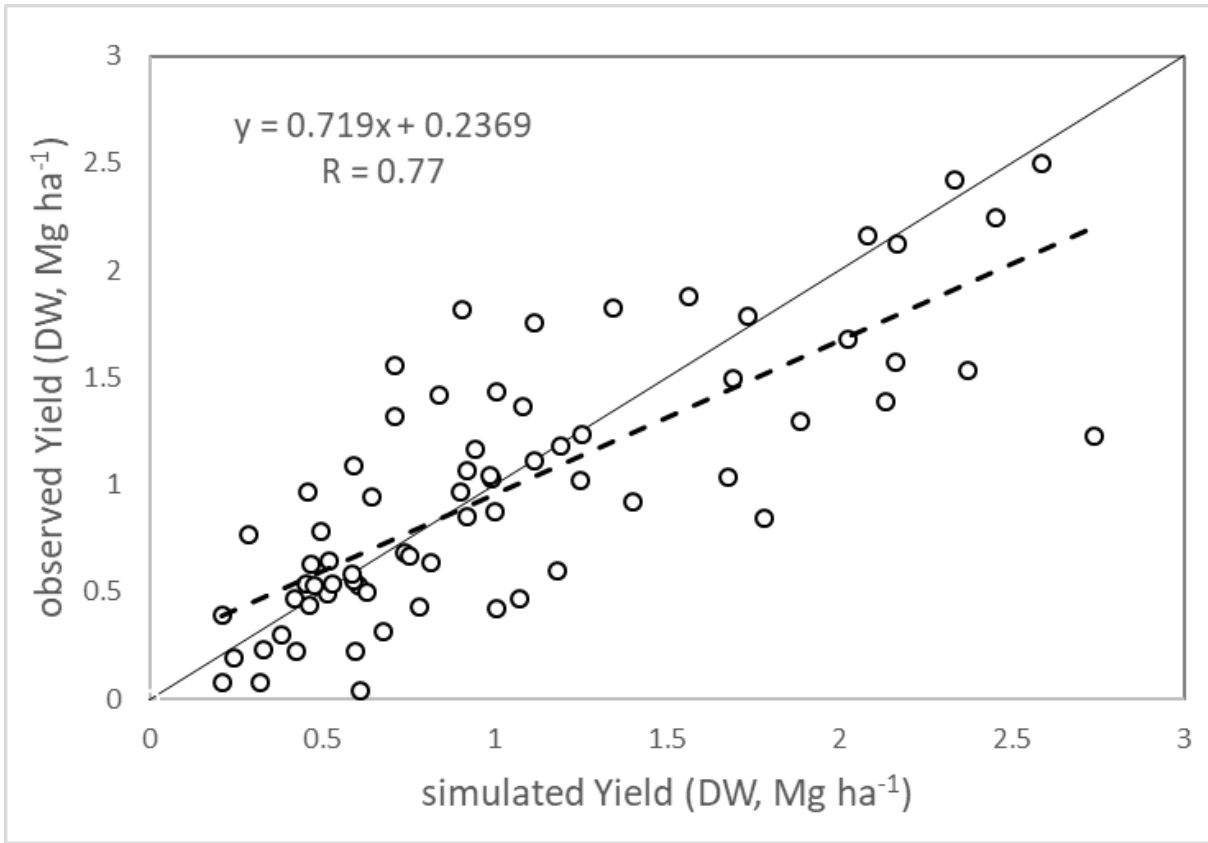
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768 Figure 7

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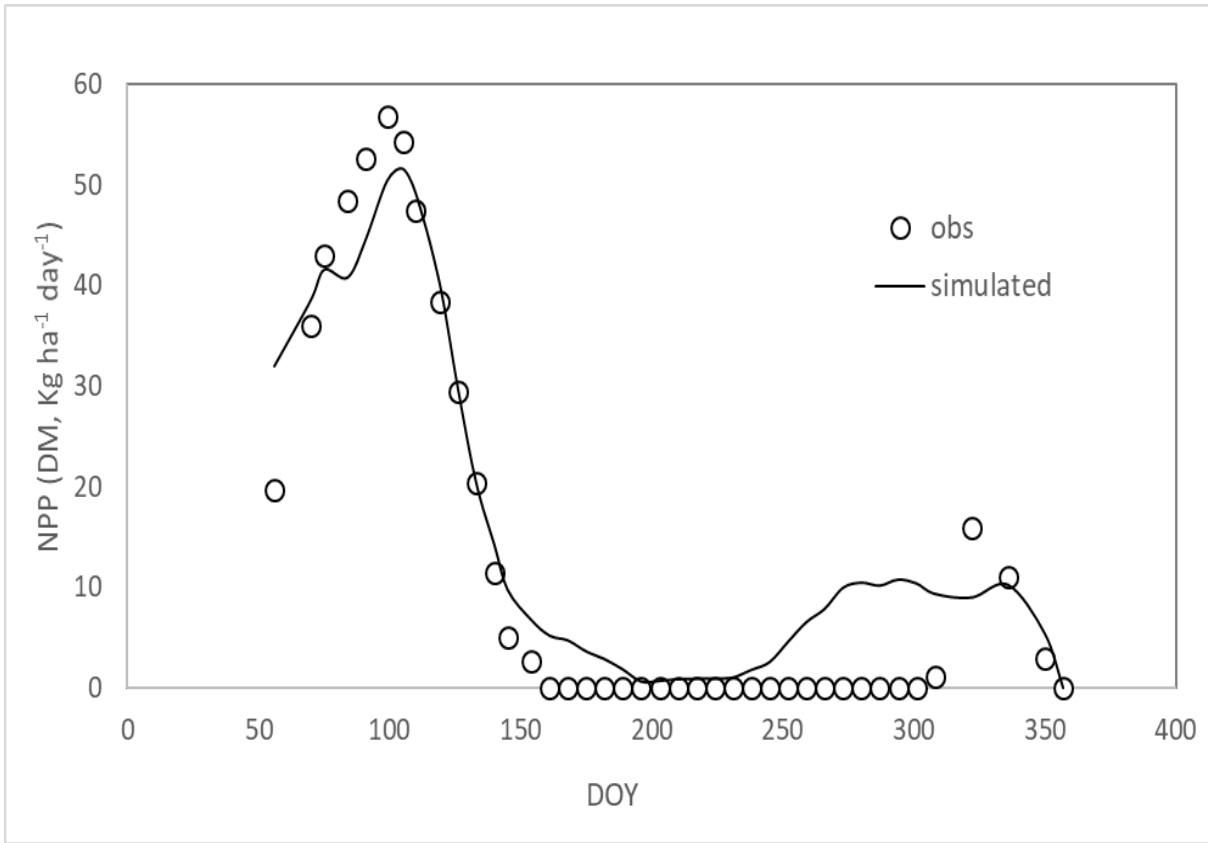


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771 Figure 8

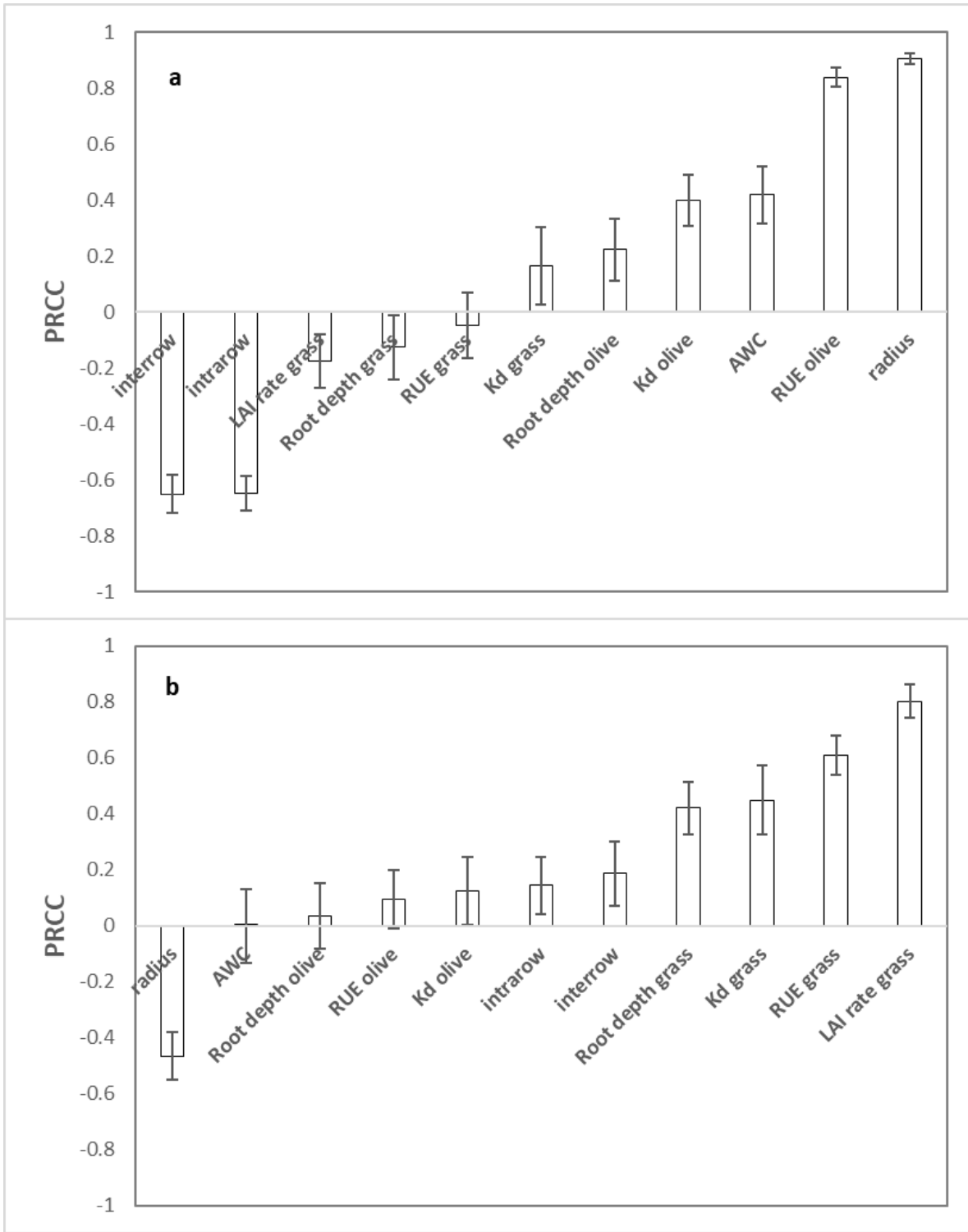
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775 Figure 9



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777 Figure 10.

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