

# Broadband, electrically tuneable, third harmonic generation in graphene

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**Optical harmonic generation occurs when high intensity light ( $> 10^{10}\text{W}/\text{m}^2$ ) interacts with a nonlinear material. Electrical control of the nonlinear optical response enables applications such as gate-tuneable switches and frequency converters. Graphene displays exceptionally strong-light matter interaction and electrically and broadband tuneable third order nonlinear susceptibility. Here we show that the third harmonic generation efficiency in graphene can be increased by almost two orders of magnitude by controlling the Fermi energy and the incident photon energy. This is due to logarithmic resonances in the imaginary part of the nonlinear conductivity arising from resonant multi-photon transitions. Thanks to the linear dispersion of the massless Dirac fermions, gate controllable third harmonic enhancement can be achieved over an ultrabroad bandwidth, paving the way to electrically-tuneable broadband frequency converters for applications in optical communications and signal processing.**

The response of a material to interaction with an optical field can be described by its polarization [1]:

$$\vec{P} = \epsilon_0[\chi^{(1)} \cdot \vec{E} + \chi^{(2)} : \vec{E}\vec{E} + \chi^{(3)} : \vec{E}\vec{E}\vec{E} + \dots] \quad (1)$$

where  $\vec{E}$  is the incident electric field and  $\epsilon_0$  is the permittivity of free space.  $\chi^{(1)}$  (dimensionless) is the linear susceptibility, while the tensors  $\chi^{(2)}$  [m/V] and  $\chi^{(3)}$  [m<sup>2</sup>/V<sup>2</sup>] are the second- and third-order nonlinear susceptibilities. The second and third order nonlinear optical susceptibilities are often reported in electrostatic units (esu), where the relation between esu and standard units (SI) can be described as follows [2]:  $\chi^{(n)}(SI)/\chi^{(n)}(esu) = 4\pi/(3 \times 10^4)^{n-1}$ . Thanks to the nonlinear terms of  $\vec{P}$ , new frequencies can be produced due to harmonic generation [3] and frequency mixing [4]. E.g., in Second Harmonic Generation (SHG) an incident electromagnetic wave with angular frequency  $\omega_0 = 2\pi\nu$ , with  $\nu$  the photon frequency, provides via  $\chi^{(2)}$  a new electromagnetic wave with angular frequency  $2\omega_0$  [3]. The SHG efficiency (SHGE) is defined as the ratio between the SH intensity and the intensity of the incoming light. Analogously, Third Harmonic Generation (THG) is the emission of a photon with energy triple that of the incident one. The THG efficiency (THGE) is defined as the ratio between the TH intensity and the intensity of the incoming light. Second-order nonlinear processes are also known as three-wave-mixing, as they mix two optical fields to produce a third one [5]. Third-order nonlinear processes are known as four-wave-mixing (FWM) [5], as they mix three fields to produce a fourth one.

Nonlinear optical effects are exploited in a variety of applications, including laser technology [6], material processing [7] and telecommunications [8]. E.g., to create new photon frequencies (532nm from SHG in a Nd:YAG laser at 1064nm) [9] or broadly tuneable ultrashort pulses (fs-ps) in optical parametric amplifiers (OPAs) [10] and optical parametric oscillators (OPOs) [11]. High harmonic generation is also used for extreme UV light [12] and attosecond pulse generation [13], while difference frequency generation is used to create photons in the THz range [14]. The ability to cover a large fraction of the electromagnetic spectrum, from UV to THz, is crucial in optical spectroscopy, because different energy regions can probe different features of a material (e.g. THz light can probe vibrational sub-levels as well as free electrons in the conduction/valence bands, vis and near-IR light can probe interband transitions, mid-IR can probe phonons, UV can be used for photoemission spectroscopy for the study of core electronic levels). In telecommunications, frequency conversion for Wavelength Division Multiplexing (WDM) is based on nonlinear effects, such as FWM [8].

Second order nonlinear effects can only occur in materials without inversion symmetry, while third order ones are present in any system independent of symmetry [1], and they thus are the main intrinsic nonlinear response for most materials. THG and SHG intensity enhancement was achieved by exploiting magnetic dipole [15] and excitonic resonances [16], surface plasmons in Ag films [17], plasmonic nanocavities [18] and photonic-crystal waveguides [19], by spatial compression of the optical energy, resulting in an increase of the local optical field. Nonlinear optical effects depend on the characteristics of the impinging light beam(s) (frequency, polarization and intensity) and on the properties of the nonlinear material, dictated by its electronic structure. The ability to electrically control the nonlinear optical response of a material by a gate voltage opens up disruptive applications in compact nanophotonic devices with novel functionalities. However, to the best of our knowledge, external electrical control of the THGE has not been reported to date.

Layered materials (LMs) have a strong nonlinear optical response [20, 23–29]. Electrically tuneable SHG was reported for monolayer WSe<sub>2</sub> for photon energies close to the A exciton ( $\sim 1.66\text{eV}$ ) [20]. However, the tunability was limited to a narrow band ( $\sim 10\text{meV}$ ) in the proximity of the excitonic transition. Electrically tuneable SHG was also achieved by inversion symmetry breaking in bilayer MoS<sub>2</sub> close to the C exciton ( $\sim 2.75\text{eV}$ ) [27], but SHGE was strongly dependent on the laser detuning with respect to the C exciton transition energy. Thus, in both cases electrical control was limited to narrow energy bands ( $\sim 10\text{--}100\text{meV}$ ) around the excitonic transitions. Graphene, instead, has electrically tuneable nonlinearities over a much broader bandwidth thanks to the linear dispersion of the Dirac Fermions. In single layer graphene (SLG), SHG is forbidden due to symmetry [30–33]. SHG was reported in the presence of an electric current [32, 33], but was found to be at least one order of magnitude weaker with respect to third-order nonlinear effects. Thus, third order nonlinear effects are the most intense terms of the intrinsic nonlinear optical response of SLG.

Third order nonlinearities in SLG were studied both theoretically [21, 22, 34, 35] and experimentally [23, 36, 37]. Ref.23 reported a  $1/\omega_0^4$  dependence of the third order response in a narrow band (emitted photons between  $\sim 1.47$  and  $1.63\text{eV}$ ), but no resonant behavior nor doping dependence. Ref.37 reported a factor  $\sim 2$  enhancement in a FWM experiment at the onset of inter-band transitions ( $\hbar\omega_0=2|E_F|$ , where  $E_F$  is the Fermi Energy) for SLG on SiN waveguides in a narrow band (emitted photons between  $\sim 0.79$  and  $0.8\text{eV}$ ). Thus, to date, evidence of tuneable third order nonlinear effects in SLG is limited to narrow bands and weak enhancements.

**Samples preparation and characterization.** In order to test both the

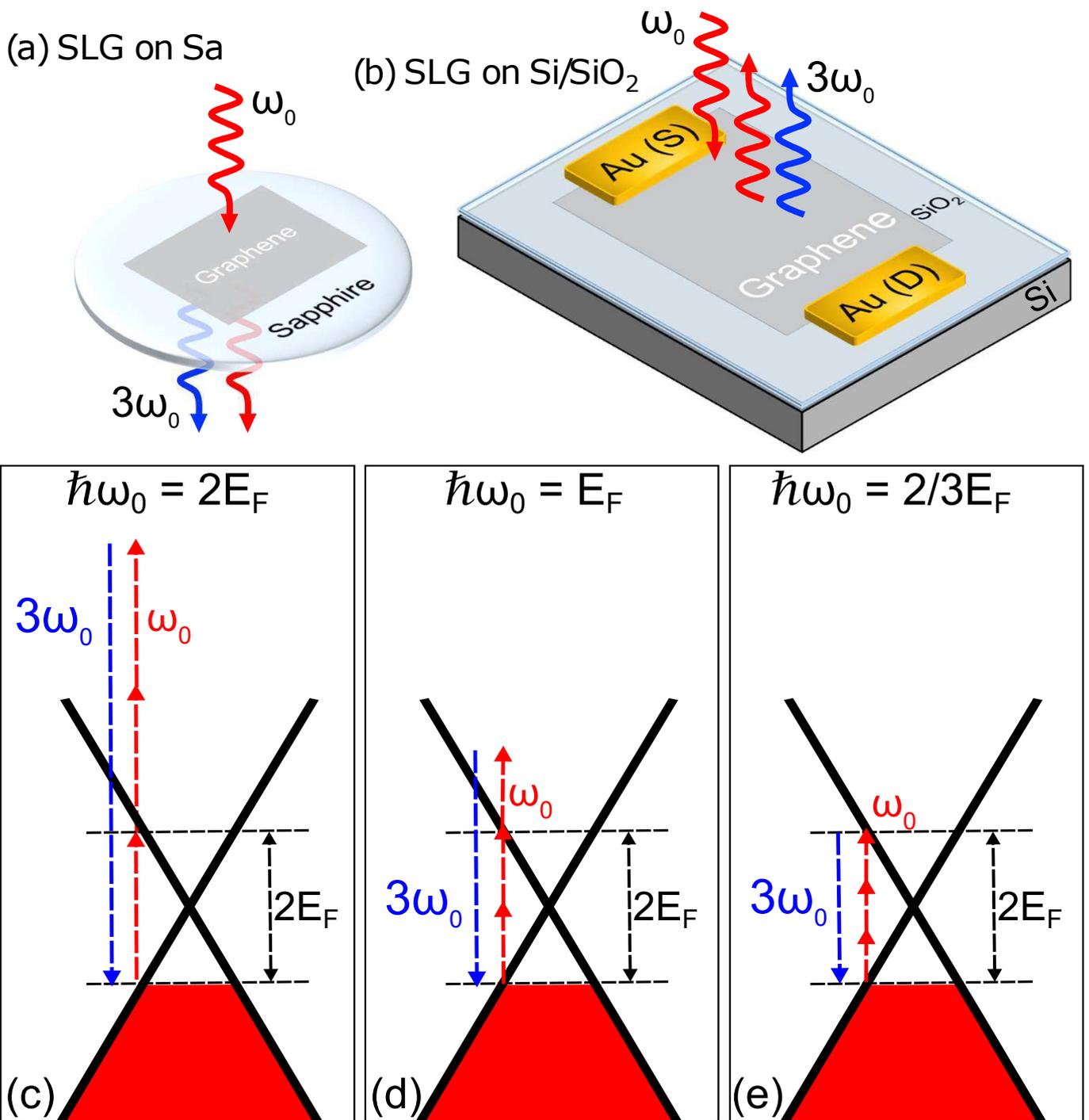


Figure 1: **THG measurements configurations and multi-photon resonances in SLG.** (a) CVD SLG on Sa for transmission. (b) Exfoliated SLG on Si/SiO<sub>2</sub> for reflection, with source (S) and drain (D) Au gates. (c-e) Resonances corresponding to the 3 logarithmic peaks in the imaginary part of the SLG nonlinear conductivity at  $T_e=0K$  for c)  $\hbar\omega_0 = 2|E_F|$ , d)  $|E_F|$ , e)  $2|E_F|/3$ . The red arrows represent the incident  $\omega_0$  photons and the blue ones the TH photons at  $3\omega_0$ .

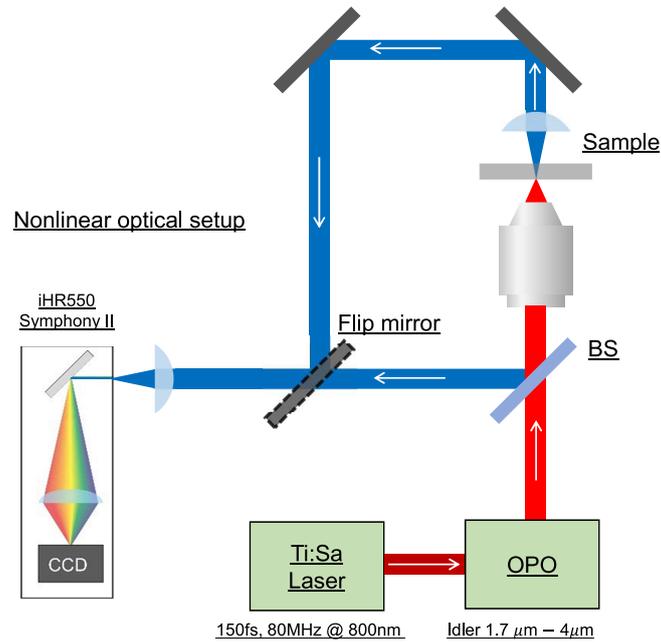


Figure 2: **Setup used for THG experiments.** A Ti:Sa mode-locked laser produces pulses at 800nm that seed an OPO. For THG experiments we use the OPO idler beam, tuneable between  $\sim 1.7$  and  $4\mu\text{m}$ . This is aligned with a microscope and focused on the sample. The THG signal can be collected either in transmission or reflection with an iHR550 Horiba spectrometer coupled with a Symphony II detector (Horiba). We use as a detector a liquid  $\text{N}_2$  cooled CCD open electrode (OE) with 1024x256 pixels. BS, Beam Splitter.

photon energy dependence and the electrical tunability of THGE we use two sets of samples: SLG placed on a transparent substrate (sapphire, Sa), Fig.1a, and back-gated SLG on a reflective substrate (Si/SiO<sub>2</sub>), Fig.1b. To study the THGE photon energy dependence, we measure it over a broad range (incident photon energy  $\sim 0.4$ - $0.7$ eV, with a THG signal at  $\sim 1.2$ - $2.1$ eV, limited by the absorption of our Si based charge-coupled device, CCD). Transmission measurements allow us to derive the absolute THGE, by taking into account the system losses and by minimizing the chromatic aberrations of the optical components (e.g. in reflection one needs to use beam splitters and these do not have a flat response over the  $\sim 0.4$ - $2.2$ eV range). Thus, we use chemical vapor deposition (CVD) to obtain a large area SLG ( $\sim$ cm size) and simplify the alignment, given the low optical contrast of SLG on Sa [38]. When measuring the THGE electrical tunability we need to follow the THG intensity normalized to its minimum, as function of gate voltage ( $V_G$ ). For each  $\omega_0$  we measure THG spectra as a function of  $V_G$ . For each spectrum we calculate the total number of counts on the CCD, which is proportional to the number of THG photons, and divide all the spectra by that with the minimum counts. The key here is a precise control of  $E_F$ , while any system uncertainties on the absolute THGE are removed by the normalization. Thus, we use an exfoliated SLG back-gated field-effect transistor (FET) on Si+285nm SiO<sub>2</sub>. A sketch of the experimental setup used for THG experiments, in transmission and reflection mode, is shown in Fig.2.

The two sets of samples are prepared and characterized as described in Methods.  $E_F$  for the CVD SLG on Sa is  $\sim 250$ meV, and  $< 100$ meV in the exfoliated SLG on Si+285nm SiO<sub>2</sub>. The defect density is  $n_D \sim 6 \times 10^{10} \text{cm}^{-2}$  for SLG on Sa and  $\sim 2.4 \times 10^{10} \text{cm}^{-2}$  for SLG on Si/SiO<sub>2</sub>. The small variation in defects suggests that the samples are comparable. Also, as discussed later, THGE has a negligible dependence on disorder, impurities and imperfections over a range of values representing the vast majority of SLGs in literature.

**Photon energy dependence.** The THGE measurements are performed in air at room temperature (RT) for both transmission and reflection. The THG signal is recorded with an iHR550 Horiba spectrometer coupled with a CCD detector (Symphony II, Horiba), as detailed in Methods and in Fig.2. Fig.3a plots representative TH spectra for different incident  $\hbar\omega_0$  for SLG on Sa (Fig.1b) after calibration based on the photon-energy dependent losses of the setup, as described in Methods. We assign the measured signal to THG because the energy of the detected photons is three times the incident one ( $\hbar\omega_{THG} = 3\hbar\omega_0$ ), and its intensity scales with the cube of the incident power ( $I_{3\omega_0} \propto I_{\omega_0}^3$ ) [1], see Supplementary Fig.1. Fig.3b shows that when  $\hbar\omega_0$  decreases from  $\sim 0.7$  to  $\sim 0.4$ eV, THGE is enhanced by a factor  $\sim 75$ . This depends on  $E_F$  and  $T_e$ . In the limit of  $E_F=0$  and  $T_e=0$  the third order optical

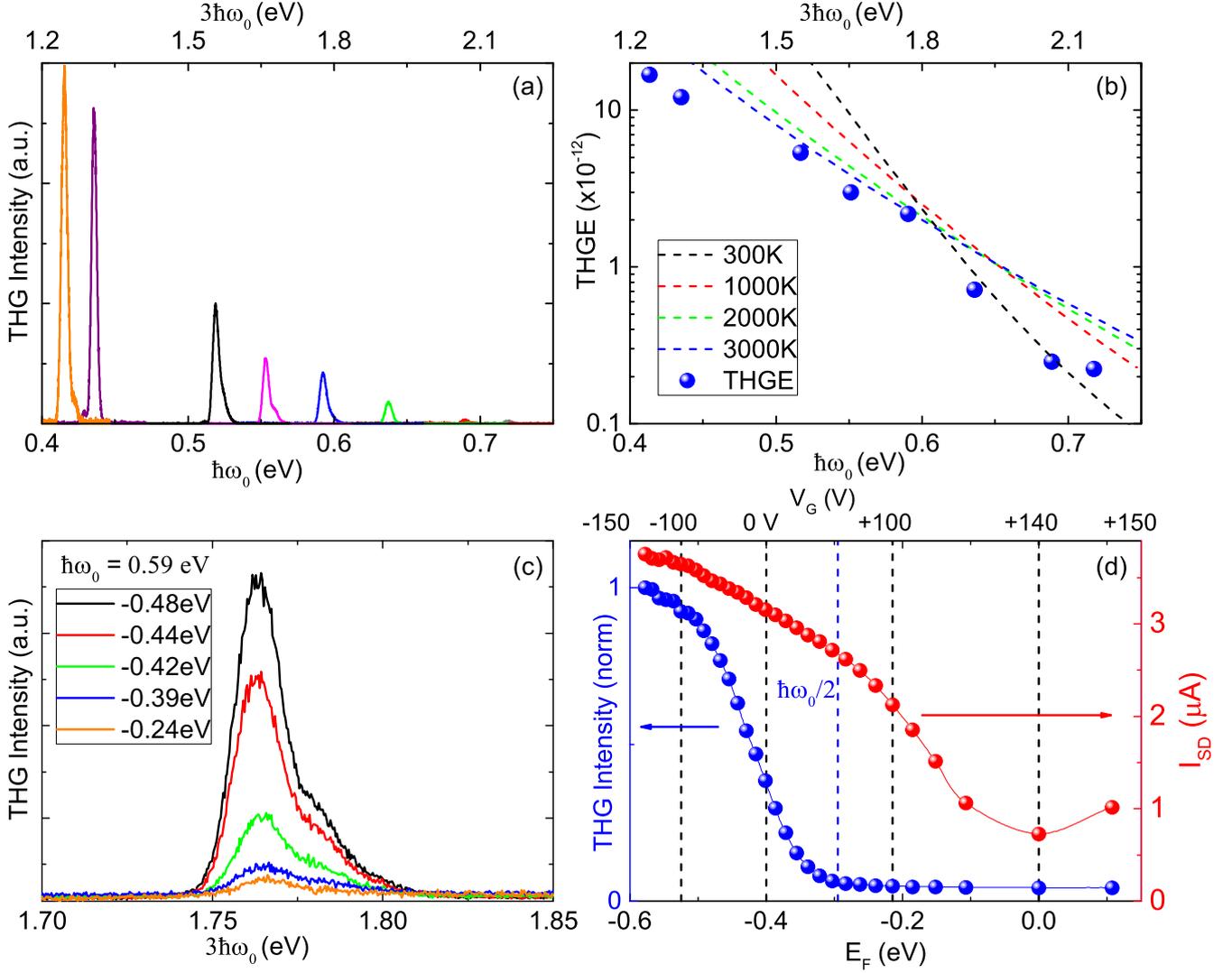


Figure 3: **Energy dependence and gate tunability of THG** (a) THG spectra for SLG on Sa (Fig.1b) for  $\hbar\omega_0 \sim 0.4$  to  $\sim 0.7$  eV and an average incident power  $\sim 1$  mW. (b) THGE for SLG on Sa (Fig.1b) as a function of  $\hbar\omega_0$  (x bottom axis) and  $3\hbar\omega_0$  (x top axis). Curves are calculated for  $\tau \gg 1$  ps and increasing  $T_e$  for  $E_F = 250$  meV and  $I_{\omega_0} \sim 2.4 \times 10^{12}$  Wm<sup>-2</sup>. (c) THG spectrum as a function of  $E_F$  for exfoliated SLG on Si/SiO<sub>2</sub> (Fig.1c) for  $\hbar\omega_0 = 0.59$  eV. (d) THG intensity (left y axis, blue dots) and source-drain current ( $I_{SD}$ ) (right y axis, red dots) as a function of  $E_F$  (bottom x axis) and corresponding  $V_G$  (top x axis) for SLG on Si/SiO<sub>2</sub>, Fig.1c

conductivity scales as  $1/(\hbar\omega_0^4)$  [22]. In our case,  $E_F \sim 250\text{meV}$ , and experiments are performed at RT. We explain this enhancement in the following paragraphs, by taking into account the dependence of the third order optical conductivity  $\sigma_{\ell\alpha_1\alpha_2\alpha_3}^{(3)}$ , where  $\ell$  and  $\alpha_{i=1,2,3}$  are Cartesian indexes, on  $\omega_0$ ,  $E_F$  and electronic temperature ( $T_e$ ). Qualitatively, the expected THGE dependence on incident photon energy and  $E_F$  can be understood as follows. The linear optical response of SLG at  $T_e=0\text{K}$  has a "resonance" for  $\hbar\omega_0 = 2|E_F|$ , the onset of intra- and inter-band transitions [39]. Around this energy, a jump occurs in the real part of  $\sigma_{\ell\alpha_1\alpha_2\alpha_3}^{(3)}$ , due to the relaxation of the Pauli blocking constraint for vertical transitions between massless Dirac bands. From the Kramers-Kronig relations, this jump corresponds to a logarithmic peak in the imaginary part of  $\sigma_{\ell\alpha_1\alpha_2\alpha_3}^{(3)}$ . In a similar way, for the SLG third-order non-linear optical response, logarithmic peaks in the imaginary part of  $\sigma_{\ell\alpha_1\alpha_2\alpha_3}^{(3)}$  occur at  $T_e=0\text{K}$  for multi-photon transitions such that  $m \hbar\omega = 2|E_F|$  with  $m=1,2,3$ , which correspond to incident photon energies  $\hbar\omega_0 = 2|E_F|$ ,  $|E_F|$ ,  $2/3|E_F|$  [21, 22], as sketched in Fig.1c-e. At high  $T_e$ , due to the broadening of the Fermi-Dirac distribution, these peaks are smeared and merge, as derived in Supplementary Information Sect.S2. A comparison between theoretical curves, for  $E_F=250\text{meV}$  and different  $T_e$ , and experiments is plotted in Fig.3b. This indicates that both  $T_e$  and  $E_F$  play a key role, in particular when  $\hbar\omega_0 \leq 2|E_F|$ . The effects of disorder, impurities and imperfections can be phenomenologically introduced by a relaxation rate,  $\Gamma = \hbar/\tau$ , through the density matrix approach [21, 34]. Our analysis (see Supplementary Information Sect.S2.3 and Supplementary Fig.4) shows that the effect on THGE of  $\tau$  in the range  $\sim 0.1\text{fs}$  to  $\gg 1\text{ps}$  is negligible. Thus, we can use ideal SLG in our theory, i.e. defect-free, with  $\tau \gg 1\text{ps}$ , which simplifies the calculations.

**Gate tuneability.** Refs.21, 22 predicted that gate tuneability of THGE should be possible. Fig.3c plots the THG spectra for different  $V_G$  and  $\hbar\omega_0=0.59\text{eV}$ . Fig.3d shows the THG intensity over  $-600\text{meV} \leq E_F \leq +150\text{meV}$  corresponding to  $-150\text{V} \leq V_G \leq +150\text{V}$ .  $E_F$  is derived from each  $V_G$  as discussed in Methods. Fig.3d indicates that, as a function of  $V_G$ , there is a THG intensity enhancement by over a factor of 20 starting when  $\hbar\omega_0 < 2|E_F|$ . Fig.3d also suggests that THGE in SLG follows an opposite trend compared to FWM [37]: it is higher for intra-band ( $\hbar\omega_0 < 2|E_F|$ ) than inter-band ( $\hbar\omega_0 > 2|E_F|$ ) transitions. This is consistent with our calculations in Supplementary Information Sect.S2. For both transmission and reflection, the THGE for SLG, considered as a nonlinear interface layer between air and substrate, under normal incidence can be written as (see Supplementary In-

formation Sect.S2.1 and Supplementary Fig.2,3):

$$\eta^{THG}(\omega_0, E_F, T_e) = \frac{I_{3\omega_0}}{I_{\omega_0}} = f(\omega_0) \frac{I_{\omega_0}^2}{4\epsilon_0^4 c^4} \left| \sigma_{\ell\ell\ell}^{(3)}(\omega_0, E_F, T_e) \right|^2 \quad (2)$$

where  $\epsilon_0 \sim 8.85 \times 10^{-12} \text{C(Vm)}^{-1}$  and  $c = 3 \times 10^8 \text{m/s}$  are the vacuum permittivity and the speed of light;  $f(\omega_0) = n_1^{-3}(\omega_0) n_2(3\omega_0) [n_1(3\omega_0) + n_2(3\omega_0)]^{-2}$  in which  $n_{i=1,2}(\omega)$  is the refractive index of air (i=1) and substrate (i=2). For SLG on any substrate  $n_1(\omega) \sim 1$  and  $n_2(\omega) = \sqrt{\epsilon_2(\omega)}$ , with  $\epsilon_2(\omega)$  the substrate dielectric function. For Sa, we use the dispersion relation of the refractive index  $n_2(\omega)$ . According to the  $C_{6v}$  point group symmetry of SLG on a substrate [40], the relative angle between laser polarization and SLG lattice is not important for the third-order response (see Supplementary Information Sect.S2.2). We can thus assume the incident light polarization  $\hat{\ell}$  along the zigzag direction without loss of generality.  $\sigma_{\ell\ell\ell}^{(3)}$  can then be calculated employing a diagrammatic technique [22, 26, 35], where we evaluate a four-leg Feynman diagram for the TH response function (see Supplementary Information Sect.S2 and Supplementary Fig.2). The light-matter interaction is considered in a scalar potential gauge in order to capture all intra-, inter-band and mixed transitions [21, 22, 34].

The THGE is proportional to  $I_{\omega_0}^2$ , Eq.2. Thus, the THG intensity scales with  $I_{\omega_0}^3$ , Fig.S11. The  $I_{\omega_0}^2$  dependence indicates that the THGE of different experiments should not be directly compared, since differences in average power, spot size, pulse duration, repetition rate etc. will strongly affect its value. As stressed in Ref.36, it is hard to precisely quantify the efficiency of nonlinear effects in SLG. This is because one typically deals with optical powers of the nonlinear signal  $\sim 1\text{-}100\text{fW}$ , for which background subtraction becomes critical. The  $I_{\omega_0}^2$  dependence makes it even more difficult to estimate the THGE absolute value. The estimate of the losses of the optical setups also introduces uncertainty. In order to compare our results with literature, we use the third order nonlinear susceptibility  $\chi^{(3)}$ . There is a large discrepancy in the reported SLG  $\chi^{(3)}$ , from  $\sim 10^{-16} \text{m}^2 \text{V}^{-2}$  [36] to  $\sim 10^{-19} \text{m}^2 \text{V}^{-2}$  [41]. Note that these studies modeled  $\chi^{(3)} \equiv i\sigma^{(3)}/(3\epsilon_0\omega_0 d_{eff})$  [34], by considering SLG with a thickness  $d_{eff}$ . This approach is incorrect because the effective susceptibilities are well defined only in three-dimensional materials, since they involve a polarization per unit volume [26]. Here we model directly  $\sigma^{(3)}$ , therefore we do not need to use  $d_{eff}$ . By using the same model as Ref.36, we get  $\chi^{(3)} \sim 5 \times 10^{-18} \text{m}^2 \text{V}^{-2}$  at  $\hbar\omega_0 \sim 0.7\text{eV}$  and  $\chi^{(3)} \sim 8 \times 10^{-17} \text{m}^2 \text{V}^{-2}$  at  $\hbar\omega_0 \sim 0.4\text{eV}$  for SLG on Sa ( $|E_F| \sim 250\text{meV}$ ). This is one order of magnitude smaller than Ref.36. However,  $\chi^{(3)}$  in ref.36 is 1-3 orders of magnitude larger than any other THG experiments on SLG [26, 41].

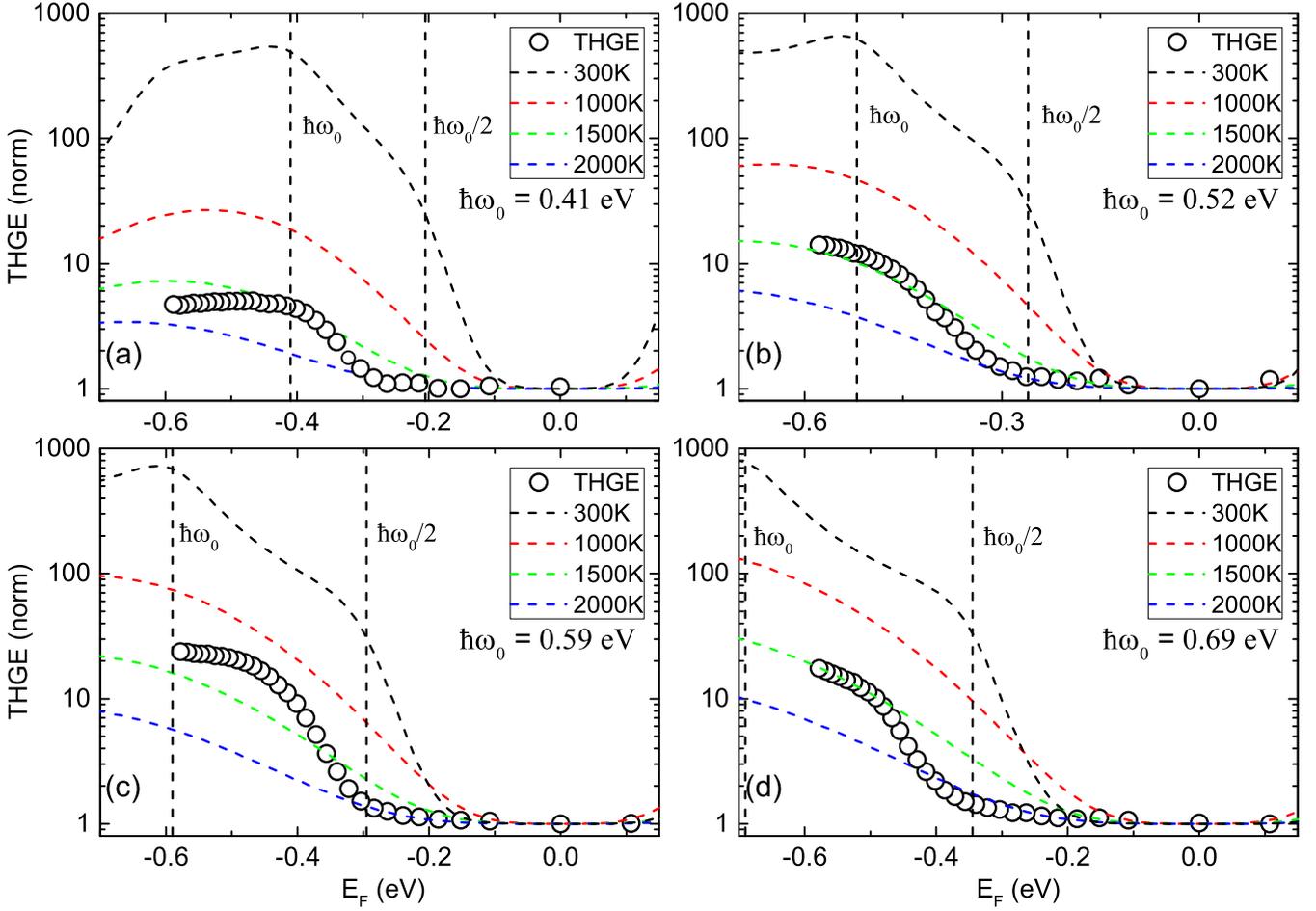


Figure 4: **Broadband THGE electrical modulation.** Experiments (circles) and theory (dotted lines) for THGE as a function of  $E_F$ ,  $T_e$  for SLG on Si/SiO<sub>2</sub> at incident photon energies of (a)0.41, (b)0.52, (c)0.59, (d)0.69eV. The vertical dotted lines in each panel are at  $|E_F| = \hbar\omega_0/2$  and  $\hbar\omega_0$ .

**Electronic temperature.** Both  $E_F$  and  $T_e$  play a key role in THGE. Fig.3b indicates that  $T_e$  is well above RT during the THG experiments. The experimental enhancement between 0.69eV and 0.41eV is  $\sim 68$ . From the theoretical curves (dotted lines) in Fig.3b, the enhancement between 0.69eV and 0.41eV is  $\sim 1400$  at  $T_e=300\text{K}$ ,  $\sim 180$  at  $1000\text{K}$ ,  $\sim 65$  at  $2000\text{K}$  and  $\sim 50$  at  $3000\text{K}$ . This indicates that  $T_e$  is in the range  $1000\text{-}2000\text{K}$ . Fig.4 compares experiments and theory for THGE for four  $\hbar\omega_0$  between 0.41 and 0.69eV and different  $T_e$ . Each curve, both theoretical (dotted lines) and experimental (white circles), is normalized by its minimum value to highlight the  $V_G$  dependent enhancement of THGE at different  $T_e$ . Both theory and experiments display a plateau-like feature for THGE at low  $|E_F|$  ( $2|E_F| < \hbar\omega_0$ ), which corresponds to inter-band transitions. By further increasing  $|E_F|$ , we reach the energy region for intra-band transitions ( $\hbar\omega_0 < 2|E_F|$ ), where we observe a THGE rise up to a maximum for  $|E_F| \sim 1.25\hbar\omega_0$  (Fig.4a). This is due to the merging of the two  $T_e=0\text{K}$  resonances at  $|E_F|/\hbar\omega_0 = 1$  and  $1.5$  as a result of high  $T_e$  (see Supplementary Information Sect.S2.4 and Supplementary Fig.5,6). Fig.4 again indicates that the best agreement between theory and experiments is reached when  $\sim 1000\text{K} \leq T_e \leq 2000\text{K}$ , consistent with Fig.3b. The data for all incident photon energies are well reproduced by the theoretical curves at  $T_e=2000\text{K}$  for  $|E_F| < \hbar\omega_0/2$ . For  $|E_F| > \hbar\omega_0/2$  the data are better reproduced by theoretical curves with lower  $T_e \sim 1000\text{-}1500\text{K}$ . This can be understood by taking into account the dependence of the linear absorption of SLG on  $\hbar\omega_0$ ,  $E_F$  and  $T_e$ , as discussed in the Supplementary Information Sect.3,4. For finite  $T_e$  ( $>300\text{K}$ ) inter-band transitions can occur also when  $\hbar\omega_0 < 2E_F$  due to thermal broadening of the SLG Fermi-Dirac distribution (see Supplementary Information Sect.S4.1). Intra-band transitions also contribute to an increase of  $T_e$ , especially when  $\hbar\omega_0 < 2E_F$ .

$T_e$  can also be estimated as follows. When a pulse of duration  $\Delta t$  and fluence  $\mathcal{F}$ , with average absorbed power per unit area  $P/A$ , photoexcites SLG, the variation  $dU$  of the energy density in a time interval  $dt$  is  $dU=(P/A)dt$ . The corresponding  $T_e$  increase is  $dT_e=dU/c_v$ , where  $c_v$  is the electronic heat capacity of the photoexcited SLG, as derived in Supplementary Information Sect.S3 and Supplementary Fig.7,8. When the pulse is off,  $T_e$  relaxes towards the lattice temperature on a time-scale  $\tau$ . This reduces  $T_e$  by  $dT_e = -(T_e/\tau)dt$  in a time interval  $dt$ . Thus:

$$\frac{dT_e}{dt} = \frac{\alpha}{c_v} \frac{\mathcal{F}}{\Delta t} - \frac{T_e - T_0}{\tau} \quad (3)$$

where  $T_0$  is the initial temperature. If the pulse duration is: (i) much longer than  $\sim 20\text{fs}$ , which is the time-scale for the electron distribution to relax to the Fermi-Dirac profile in both bands [42, 43]; (ii) comparable to the time-

scale  $\sim 150 - 200 fs$  needed to heat the optical phonon modes [42–45],  $T_e$  reaches a steady-state during the pulse, given by:

$$T_e = T_0 + \tau \frac{\alpha}{c_v(\mu_c, \mu_v, T_e)} \frac{\mathcal{F}}{\Delta t}. \quad (4)$$

$\alpha$  and the  $T_e$  dependence of  $C_v$  in Eq.4 are described in Supplementary Information Sect.S3,4. In our experiments:  $\mathcal{F}=70\mu J/cm^2$  and  $\Delta t=300fs$ . Based on this model  $T_e \sim 1000-1500K$  for  $|E_F| < \hbar\omega_0/2$  and  $\sim 500K$  for  $E_F \gg \hbar\omega_0/2$  if the residual intra-band absorption is 0.1%, as shown in Supplementary Information Sect.4.2 and Supplementary Fig.9-11. This is in good agreement with the THGE in Fig.4, where we see a reduction of 25-50% of  $T_e$  at  $|E_F| = \hbar\omega_0/2$ . This confirms the qualitative trend of THGE as a function of  $T_e$ .

**Conclusions.** The THGE efficiency in SLG can thus be modulated by over one order of magnitude by controlling its  $E_F$  and by almost two orders of magnitude by tuning the incident photon energy in the range  $\sim 0.4-0.7eV$ . The observation of a steep increase of THGE at  $|E_F|=\hbar\omega_0/2$  for all the investigated photon energies suggests that the effect can be observed over the entire linear bandwidth of the SLG massless Dirac fermions. These results pave the way to novel SLG-based nonlinear photonic devices, in which the gate tuneability of THG may be exploited to implement on-chip schemes for optical communications and signal processing, such as ultra-broadband frequency converters.

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## **Authors contributions**

A.C.F, G.C. and G.S. conceived and designed the experiment. G.S. and G.W. prepared the experimental setup. G.S., G.W, S.D.C., M.C. and S.B. performed the THG experiments. G.S. analysed the THG data. A.O. and D.Y. measured the Raman spectra. D.P., T.M., B.L., J. W., J.E.M., I.G. prepared the samples. H.R. and A.T. developed the THG theory and model for  $T_e$ . G.S., A.C.F., G.C. and M.P. wrote the paper, with input from all authors.

## **Competing interests**

The authors declare no competing financial interests.

## **Supplementary information**

Supplementary information is available in the online version of the paper. Reprints and permission information is available online at [www.nature.com/reprints](http://www.nature.com/reprints). Correspondence and requests for materials should be addressed to G.S. and A.C.F.

# Methods

## Samples preparation and characterization

SLG on Sa is prepared as follows. SLG is grown by CVD on Cu as for Ref. [46]. A Cu foil (99.8% pure) substrate is placed in a furnace. Annealing is performed at 1000 °C in a 20sccm (standard cubic centimeters per minute) hydrogen atmosphere at  $\sim 196$ mTorr for 30min. Growth is then initiated by introducing 5sccm methane for 30mins. The resulting film is characterized by Raman spectroscopy [47, 48] with a Horiba Labram HR800 spectrometer equipped with a 100x objective at 514nm, with a power on the sample  $\sim 500\mu\text{W}$  to avoid any possible heating effects. The D to G intensity ratio is  $I(\text{D})/I(\text{G}) \ll 0.1$ , corresponding to a defect density  $n_D \ll 2.4 \times 10^{10}\text{cm}^{-2}$  [49, 50]. The 2D peak position (Pos) and full width at half maximum (FWHM) are  $\text{Pos}(2\text{D}) \sim 2703\text{cm}^{-1}$  and  $\text{FWHM}(2\text{D}) \sim 36\text{cm}^{-1}$ , respectively, while  $\text{Pos}(\text{G}) \sim 1585\text{cm}^{-1}$  and  $\text{FWHM}(\text{G}) \sim 18\text{cm}^{-1}$ . The 2D to G intensity and area ratios are  $I(2\text{D})/I(\text{G}) \sim 3.3$  and  $A(2\text{D})/A(\text{G}) \sim 6.5$ , respectively. The CVD SLG is then transferred on Sa by polymer-assisted Cu wet etching [51], using polymethyl methacrylate (PMMA). After transfer  $\text{Pos}(2\text{D}) \sim 2684\text{cm}^{-1}$ ,  $\text{FWHM}(2\text{D}) \sim 24\text{cm}^{-1}$ ,  $\text{Pos}(\text{G}) \sim 1584\text{cm}^{-1}$ ,  $\text{FWHM}(\text{G}) \sim 13\text{cm}^{-1}$ ,  $I(2\text{D})/I(\text{G}) \sim 5.3$ ,  $A(2\text{D})/A(\text{G}) \sim 10$ . From Refs.52, 53 we estimate  $E_F \sim 250\text{meV}$ . After transfer,  $I(\text{D})/I(\text{G}) \sim 0.14$ , which corresponds to  $n_D \sim 6.0 \times 10^{10}\text{cm}^{-2}$  [49, 50] with a small increase of defect density.

The back-gated SLG sample is prepared by micromechanical exfoliation of graphite on Si+285nm SiO<sub>2</sub> [54]. Suitable single-layer flakes are identified by optical microscopy [38] and Raman spectroscopy [47, 48]. The device is then prepared as follows. We deposit a resist (A4-495) on the exfoliated SLG on Si/SiO<sub>2</sub> and pattern it with electron beam lithography. Then, we develop the resist in a solution of isopropanol (IPA) diluted with distilled water, evaporate and lift-off 5/70nm of Cr/Au. Cr is used to improve adhesion of the Au, while Au is the metal for source-drain contacts. Raman spectroscopy is then performed after processing. We get  $\text{Pos}(2\text{D}) \sim 2678\text{cm}^{-1}$ ,  $\text{FWHM}(2\text{D}) \sim 25\text{cm}^{-1}$ ,  $\text{Pos}(\text{G}) \sim 1581\text{cm}^{-1}$ ,  $\text{FWHM}(\text{G}) \sim 12\text{cm}^{-1}$ ,  $I(2\text{D})/I(\text{G}) \sim 4.9$ ,  $A(2\text{D})/A(\text{G}) \sim 10.3$ , indicating  $E_F < 100\text{meV}$  [52, 53].  $I(\text{D})/I(\text{G}) \ll 0.1$ , corresponding to  $n_D \ll 2.4 \times 10^{10}\text{cm}^{-2}$  [49, 50].

When  $V_G$  is applied,  $E_F$  is derived from  $V_G$  as follows [52]:

$$E_F = \hbar v_F \sqrt{\pi n} \quad (5)$$

where  $\hbar$  is the reduced Plank constant, and  $n$  is the SLG carrier concentra-

tion. This can be written as [52]:

$$n = \frac{C_{BG}}{e}(V_G - V_0) \quad (6)$$

where  $C_{BG} = \epsilon\epsilon_0/d_{BG} = 1.2 \times 10^{-8}\text{Fcm}^{-2}$  is the back-gate capacitance ( $d_{BG}=285\text{nm}$  is the back-gate thickness and  $\epsilon \sim 4$  the  $\text{SiO}_2$  dielectric constant [52]),  $e > 0$  is the fundamental charge and  $V_0$  is the voltage at which the resistance of the SLG back-gated device reaches its maximum (minimum of the current between source and drain). We note that the SLG quantum capacitance ( $C_{QC}$ ) is negligible in this context. The  $\text{SiO}_2$  layer and SLG can be considered as two capacitors in series and the SLG  $C_{QC}$  is  $\sim 10^{-6}\text{Fcm}^{-2}$  [55]. Thus, the total capacitance  $C_{tot} = (1/C_{BG} + 1/C_{QC})^{-1} \sim C_{BG}$ .

## THGE measurements and calibration

THGE measurements are performed in air at room temperature for both transmission and reflection, as shown in Fig.2. For excitation we use the idler beam of an OPO (Coherent) tuneable between  $\sim 0.31\text{eV}$  ( $4\mu\text{m}$ ) and  $\sim 0.73\text{eV}$  ( $1.7\mu\text{m}$ ). This is seeded by a mode-locked Ti:Sa laser (Coherent) with 150fs pulse duration, 80MHz repetition rate and 4W average power at 800nm. The OPO idler is focused by a 40X reflective objective (Ag coating, numerical aperture  $\text{NA}=0.5$ ) to avoid chromatic aberrations. The THG signal is collected by the same objective (in reflection mode) or collimated by an 8mm lens (in transmission mode) and delivered to a spectrometer (Horiba iHR550) equipped with a nitrogen cooled Si CCD. The idler spot-size is measured with the razor-blade technique to be  $\sim 4.7\mu\text{m}$ . This corresponds to an excitation fluence  $\sim 70\mu\text{J}/\text{cm}^2$  for the average power (1mW) used in our experiments. The idler pulse duration is checked by autocorrelation measurements, based on two-photon absorption on a single channel Si photodetector, and is  $\sim 300\text{fs}$ . Under these conditions, the THG signal is stable over at least 1 hour. For the  $V_G$  dependent THGE measurements we use a Keithley 2612B dual channel source meter to apply  $V_G$  between -150 and +150V, a source-drain voltage (10mV), as well as to read the source-drain current ( $I_{SD}$ ). For the photon energy dependence measurements we use 60s acquisition time and 10 accumulations (giving a total of 10 minutes for each spectrum). For the gate dependence measurements we proceed as follows. We tune  $V_G$  (62 points between -150 and +150V) and for each  $V_G$  we measure the THG signal by using 10s acquisition time and 1 accumulation. We use a shorter accumulation time compared to the photon energy measurements to reduce the total time required for each  $V_G$  scan. A lower accumulation time implies that less photons are collected by the CCD. We consider the amplitude of THG in

counts/s, by dividing the number of counts detected on the CCD by the accumulation time. Thus, in the case of  $V_G$  dependent measurements, SLG is kept at a given  $V_G$  for 10s before moving to the next point (next value of  $V_G$ ). This corresponds to  $\sim 10$  minutes for each measurement (*i.e.* a full  $V_G$  scan between -150 and + 150V). In this way, for each  $V_G$  and, consequently, for each  $E_F$ , we record one THG signal spectrum.

To estimate the  $\omega_0$  dependent THGE, it is necessary to first characterize the photon energy dependent losses of the optical setup. The pump-power is measured on the sample (by removing it and measuring the power after the objective). The major losses along the optical path are the absorption of Sa, the grating efficiency, and the CCD quantum efficiency. We also need to consider the CCD gain. The Sa transmittance is  $\sim 85\%$  in the energy range of our THG experiments. To evaluate the losses of the grating and the absorption of the CCD, we align the Ti:Sa laser, tuneable between  $\sim 1.2$  and  $1.9\text{eV}$  ( $\sim 650\text{-}1050\text{nm}$ ), with the microscope and detect it on the CCD. We then measure the signal on the spectrometer, given a constant number of photons for all wavelengths, and compare this with the spectrometer specifications. The spectrometer used in our setup is a Horiba Symphony II 1024 x 256 with Cryogenic Open-Electrode CCD Detector. The quantum Efficiency and grating (300 gr/mm , Blazed 600 nm 510 19 140) relative efficiency are available from the Horiba website. We get an excellent agreement between the two methods (*i.e.* evaluation of the losses from detection of the fundamental beam and spectrometer specifications). Thus we use the spectrometer specifications to estimate the losses due to grating and CCD efficiencies. We also account for the CCD gain, *i.e.* the number of electrons necessary to have 1 count. The instrument specifications give a gain  $\sim 7$ .

## Data availability

The data that support the plots within this paper and other findings of this study are available from the corresponding authors upon reasonable request.

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